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# Comments on "SPICE Model of Photomultiplier Tube Under Different Bias Conditions" 

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#### Abstract

The paper "SPICE Model of Photomultiplier Tube Under Different Bias Conditions" is commented. We revisit the mathematical formulation to compensate for some ambiguities in the original manuscript, and point out some inconsistencies in the results and reproducibility of the simulations, as well as in the optimized parameters originally obtained with the PSPICE simulation engine. All simulations are recalculated with the NGSPICE software using the corrected parameters and compared against the original figures. The reproducibility of our simulations is independently verified with PSPICE, as well as by numerically solving the analytical system of non-linear equations using Newton's method within MATLAB.


Index Terms-MODL, OPTO


## I. Introduction

TIHE behavioral modeling of a Photomultiplier Tube (PMT) using Simulation Program with Integrated Circuit Emphasis (SPICE) was proposed in [1] and applied later in [2]. The author predicted the non-linearity of the PMT gain [3], [4] for different photocathode currents and divider networks, as well as the inter-dynode voltages under static illumination conditions, and compared the simulation results to experimental measurements [5].

The SPICE model proposed by [1] is a very useful tool for studying the theoretical response of PMTs to arbitrary illumination conditions and for different voltage bias networks [5]. This relevant study has a broad number of applications. For example, it is essential in the field of prompt gammaray measurements during proton therapy with scintillation detectors coupled to PMTs [6], [7], where large count rate variations affect the stability of the gain [8].

In this comment, we address some ambiguities in the mathematical description of the aforementioned SPICE model and point out at some inconsistencies between the optimized parameters deployed in the simulation and the analytical predictions. We recalculate all results using two independent SPICE simulation engines: the open-source NGSPICE simu-

[^0]lator [9] (version 33+) and the commercial OrCAD PSPICE software [10] by Cadence (version 17.4). We then verify the reproducibility of the results published in the original manuscript. For completeness, we also enunciate the analytical equations that describe the node voltages in the circuit and solve numerically the resulting system of nonlinear equations with MATLAB [11] by MathWorks (version 2019b).

## II. Theoretical background

A PMT [12] is a non-thermionic vacuum tube consisting of $N+2$ electrodes, namely one cathode, $N$ dynodes and one anode (see Fig. 1). An ideal cathode $(i=0)$ emits electrons proportionally to the incident light thanks to the photoelectric effect. The dynodes $(i=1, \ldots, N)$ act as successive amplification stages of the emitted photoelectrons via secondary emission [13]. The anode ( $i=N+1$ ) does not amplify further, but rather collects the current emitted by the last dynode $(i=N)$. The emission of electrons in the photocathode, as well as the amplification process, are stochastic processes, cf. supplementary material. However, for the sake of clarity, in the following, we just analyze the average behaviour of the PMT using constant and deterministic parameters.

## A. Gain

The total gain of a PMT can be expressed [14] as the product

$$
\begin{equation*}
G=\eta_{N+1} \prod_{i=1}^{N} g_{i} \tag{1}
\end{equation*}
$$

where $g_{i}$ is the gain of the $i$-th dynode stage $(i=1, \ldots, N)$, namely the product of the secondary emission coefficient $\delta_{i}$
and the collection efficiency $\eta_{i}$ of the space preceding the dynode $i \in[1, N]$ (which corresponds to $n_{i-1}$ in [14]). $\eta_{N+1}$ is the anode collection efficiency. The amplification $g_{i}$ increases exponentially [14, Eq. 1.3] with the inter-electrode voltage $V_{i} \equiv V(i)-V(i-1)$ :

$$
\begin{equation*}
g_{i}=k_{i} V_{i}^{\alpha_{i}} \tag{2}
\end{equation*}
$$

being $V(i)$ the voltage at electrode $i$, and $\alpha_{i}$ and $k_{i}$ dynodespecific parameters accounting for collection efficiency and secondary emission. $\alpha_{i}$ and $k_{i}$ are dimensionless, and the division $V_{i} / 1 \mathrm{~V}$ in the base of the power function has been omitted in the formula for compactness. It should be noted that this simplified mathematical model of the PMT gain is only valid if all $V_{i} \geq 0 \mathrm{~V}$ for $i=0, \ldots, N+1$. In general, $\eta_{N+1}$ depends on the last inter-electrode voltage $V_{N+1}$. If $V_{N+1} \gg 0 \mathrm{~V}$ is fulfilled, it is usually assumed that the anode collection efficiency $\eta_{N+1}=1$, and the resulting expression for the gain is independent on $V_{N+1}$.

In (2), $k_{i}$ can be replaced with a more general expression depending on a voltage knee parameter $V_{\mathrm{k}, i}>0 \mathrm{~V}$ and the inter-electrode voltage $V_{i}$ :

$$
\begin{equation*}
\tilde{k}_{i}\left(V_{i}\right)=k_{i} / \sqrt{1+V_{\mathrm{k}, i} / V_{i}} \tag{3}
\end{equation*}
$$

to account for non-linearities in the collection process [1, Eq. 10].

For the simplified case proposed by the commented paper, $\eta_{N+1}=1, \alpha_{i}=\alpha$ and $k_{i}=k$ (for $i \in[1, N]$ ) can be considered constant with voltage and similar for every dynode, see [1, Eq. 4]. Moreover, for the recommended passive resistive network of [1, Fig. 2b] with equal $R_{i}=330 \mathrm{k} \Omega$ for $i \in[1, N]$, in the case of no illumination, the inter-dynode voltages $V_{i}(i=1, \ldots, N)$ are a constant (dimensionless) fraction $\epsilon$ of the total voltage bias $V_{\mathrm{B}}$ of a high voltage power supply. Hence, (1) and (2) result in:

$$
\begin{equation*}
G=\prod_{i=1}^{N} k\left(\epsilon V_{\mathrm{B}}\right)^{\alpha}=k^{N}\left(\epsilon V_{\mathrm{B}}\right)^{\alpha N} \tag{4}
\end{equation*}
$$

again with the implicit division of $V_{\mathrm{B}} / 1 \mathrm{~V}$, and with $\epsilon=$ $1 /(8+160 / 330)$.

## B. Electrode currents

Let us define the current $I_{\mathrm{v}, i}$ flowing in vacuum from electrode $i$ into electrode $i-1$, with $i=1, \ldots, N+1$, cf. green arrows in Fig. 1. As the current direction is anti-parallel to the movement of electrons (small blue balls) in the vacuum tube, it follows that $I_{\mathrm{v}, i}>0 \mathrm{~A}$. Following the notation by [1], the magnitude $I_{\mathrm{k}}>0 \mathrm{~A}$ of the current emitted by the photocathode is $I_{\mathrm{k}}=\left|I_{\mathrm{v}, 1}\right|$. The inter-electrode currents in vacuum $I_{\mathrm{v}, i}$ yield:

$$
\begin{equation*}
I_{\mathrm{v}, i}=I_{\mathrm{k}} \prod_{j=0}^{i-1} g_{j} \eta_{j+1} \tag{5}
\end{equation*}
$$

where we introduce $g_{0}=1$ for compactness. The latter equation can be expressed recursively:

$$
\begin{equation*}
I_{\mathrm{v}, i}=g_{i-1} \eta_{i} I_{\mathrm{v}, i-1} \tag{6}
\end{equation*}
$$



Fig. 1: Schematic of an $N$-stage PMT connected to a voltage bias $V_{\mathrm{B}}$ in negative polarity mode and a chain of resistors $R_{i}$ with $i \in[1, N+1]$. The vacuum tube consists of one photocathode $(i=0), N$ dynodes $(i=1, \ldots, N)$, and one anode $(i=N+1)$. The electrode voltages $V(i)$ are shown in purple for $i \in[0, N+1]$. The power supply bias current is $I_{\mathrm{B}}$. The electrode currents are $I_{\mathrm{c}}, I_{\mathrm{dy}, i}, i \in[1, N]$, and $I_{\mathrm{a}}$, whereas the current flowing through the resistors is labeled as $I_{\mathrm{R}, i}, i \in[1, N+1]$. A photon $(\gamma)$, shown in blue, generates a photoelectron in the cathode. The electrons (small balls) flow in vacuum in the direction of the dashed blue arrow. The dashed green arrows show the sign convention of the definition of the inter-electrode vacuum currents $I_{\mathrm{v}, i}$.
by defining $I_{\mathrm{v}, 0}=I_{\mathrm{k}}$.
The current $I_{\mathrm{e}, i}$ going through each electrode $i=$ $0, \ldots, N+1$ towards the voltage divider network is obtained using Kirchhoff's current law:

$$
I_{\mathrm{e}, i}= \begin{cases}I_{\mathrm{v}, 1} & \text { if } i=0  \tag{7}\\ I_{\mathrm{v}, i+1}-I_{\mathrm{v}, i} & \text { if } i=1, \ldots, N \\ -I_{\mathrm{v}, N+1} & \text { if } i=N+1\end{cases}
$$

where $I_{\mathrm{e}, 0} \equiv I_{\mathrm{c}}, I_{\mathrm{e}, N+1} \equiv I_{\mathrm{a}}$ and $I_{\mathrm{e}, i} \equiv I_{\mathrm{dy}, i}, i \in[1, N]$, cf. Fig. 1.

For simplicity, it is assumed that electrons emitted by a dynode that are not collected by the next dynode or the anode are deflected back to the emitting dynode (no losses).

Hence, the current flowing into the cathode branch $I_{\mathrm{c}}$ is simply

$$
\begin{equation*}
I_{\mathrm{c}}=I_{\mathrm{k}} \eta_{1} \tag{8}
\end{equation*}
$$

Note that $I_{\mathrm{k}}$ is a parameter in the equations, whereas $I_{\mathrm{c}}$ denotes the current that an ideal ammeter would measure on the cathode.

Using (5), the current flowing into each dynode branch $I_{\mathrm{dy}, i}$ is:

$$
\begin{equation*}
I_{\mathrm{dy}, i}=I_{\mathrm{k}}\left(g_{i} \eta_{i+1}-1\right) \prod_{j=0}^{i-1} g_{j} \eta_{j+1} \tag{9}
\end{equation*}
$$

that when all $\eta_{i}=1, i \in[1, N+1]$ corresponds to [1, Eq. 6].
The current flowing into the anode branch $I_{\mathrm{a}}$ yields:

$$
\begin{equation*}
I_{\mathrm{a}}=-I_{\mathrm{k}} \prod_{j=0}^{N} g_{j} \eta_{j+1} \tag{10}
\end{equation*}
$$

and the gain is:

$$
\begin{equation*}
G=-I_{\mathrm{a}} / I_{\mathrm{k}} \tag{11}
\end{equation*}
$$

according to (1). In the simplified case (4) of constant parameters and all $\eta_{i}=1$, the anode current is (assuming very low illumination conditions):

$$
\begin{equation*}
I_{\mathrm{a}}=-I_{\mathrm{k}} k^{N}\left(\epsilon V_{\mathrm{B}}\right)^{\alpha N} \tag{12}
\end{equation*}
$$

From (9), if all $\eta_{i}, i \in[1, N+1]$ are the unity and $k_{i}, \alpha_{i}$ are constants, then the dynode currents yield:

$$
\begin{equation*}
I_{\mathrm{dy}, i}=I_{\mathrm{k}} k^{i-1}\left(k V_{i}^{\alpha}-1\right) \prod_{j=0}^{i-1} V_{j}^{\alpha} \tag{13}
\end{equation*}
$$

with $V_{0}=1 \mathrm{~V}$ for convenience, and the anode current (10) is:

$$
\begin{equation*}
I_{\mathrm{a}}=-I_{\mathrm{k}} k^{N} \prod_{j=0}^{N} V_{j}^{\alpha}, \tag{14}
\end{equation*}
$$

where all $V_{j}$ contain an implicit division of $V_{j} / 1 \mathrm{~V}$.
In general, the dynode currents $i=2, \ldots, N$ can be expressed recursively by manipulating (9):

$$
\begin{equation*}
I_{\mathrm{dy}, i}=I_{\mathrm{dy}, i-1}\left(1-\frac{1}{g_{i-1} \eta_{i}}\right)^{-1}\left(g_{i} \eta_{i+1}-1\right) \tag{15}
\end{equation*}
$$

which corresponds to [15, Eq 6] when all $\eta_{i}=1, i \in[1, N+$ $1]$. For the first dynode $(i=1)$, the corresponding equation is:

$$
\begin{equation*}
I_{\mathrm{dy}, 1}=I_{\mathrm{c}}\left(g_{1} \eta_{2}-1\right) \tag{16}
\end{equation*}
$$

which matches [1, Eq. 5] when $\eta_{1}=\eta_{2}=1$. For the anode ( $i=N+1$ ), the recursive equation yields:

$$
\begin{equation*}
I_{\mathrm{a}}=-I_{\mathrm{dy}, N}\left(1-\frac{1}{g_{N} \eta_{N+1}}\right)^{-1} \tag{17}
\end{equation*}
$$

Note that this SPICE modeling of the electrode currents is formally equivalent to the one proposed by [16], where the vacuum currents are simulated in a cascade, which simplifies considerably the expression of the recursion (6) in the current sources compared to (15), and reduces slightly the numerical burden for the solver.

## C. Resistive divider network

A common way to supply the $N+2$ electrodes of an $N$ stage PMT $(N>0)$ is to apply the total voltage bias $V_{\mathrm{B}}$ between anode ( $i=N+1$, positive) and cathode ( $i=0$, negative), and to use a chain of $N+1$ resistances $R_{i}(i=$ $1, \ldots, N+1$ ) between electrodes $i$ and $i-1$. We define the current $I_{\mathrm{B}}$ going from the positive pole of the voltage supply towards the anode, i.e. from the cathode to the negative pole of the source, and $I_{\mathrm{R}, i}$ as the current going from electrode $i$ to electrode $i-1$ through resistance $R_{i}$. As described before, $V(i)$ are the electrode voltages and $I_{\mathrm{v}, i}$ the currents flowing in vacuum from electrode $i$ into electrode $i-1$.

For this passive voltage divider, we ignore the capacitors since we are not modeling the transient response, as well as the small resistive load $R_{\mathrm{L}}$ (usually $50 \Omega$ ) that is normally connected to the anode when operated in negative polarity. Using Kirchhoff's current law and Ohm's law, the equations governing the PMT model can be formulated as follows for $i=1, \ldots, N+1$ :

$$
\begin{gather*}
I_{\mathrm{R}, i}+I_{\mathrm{v}, i}=I_{\mathrm{B}}  \tag{18}\\
V(i)-V(i-1)=I_{\mathrm{R}, i} \cdot R_{i}  \tag{19}\\
I_{\mathrm{v}, i}=I_{\mathrm{k}} \eta_{1} \prod_{j=1}^{i-1} k_{j}[V(j)-V(j-1)]^{\alpha_{j}} \eta_{j+1} \tag{20}
\end{gather*}
$$

where (20) for $i=1$ is defined instead as just $I_{\mathrm{v}, 1}=I_{\mathrm{k}} \eta_{1}$.
Manipulating the equations above, a system of $N+2$ nonlinear equations can be defined using the electrode voltages $V(i)$ as variables $(i=0, \ldots, N+1)$ :

$$
\begin{array}{ll}
V(0) & =-V_{\mathrm{B}} \\
\frac{V(i)-V(i-1)}{R_{i}} & =\frac{V(i+1)-V(i)}{R_{i+1}}+I_{\mathrm{v}, i+1}-I_{\mathrm{v}, i} \\
& ; i \in[1, N] \\
V(N+1) & =0 . \tag{24}
\end{array}
$$

Note that the power supply is connected to ground on the positive pole (anode), i.e. the PMT is operated with negative polarity.

In the case that a voltage booster with bias $V_{\mathrm{b}}>0 \mathrm{~V}$ is used as in [1, Fig. 6], the system of equations gets modified by the constraint $V(6)=-V_{\mathrm{b}}$, being $V_{\mathrm{b}}<V_{\mathrm{B}}$. As a side note, we have neglected the voltage drop across the $50 \Omega$ resistors in the referenced divider network.

## D. Mathematical model simulation

The behavioral model of the PMT, outlined in Fig. 1, is given by equations (21) through (24), where $N=8, I_{\mathrm{v}, i}$ is defined by (20) with $\eta_{i}=1$ for $i=1, \ldots, N+1$, $R_{i}=330 \mathrm{k} \Omega$ for $i=1, \ldots, N, R_{N+1}=160 \mathrm{k} \Omega, \alpha=0.881$ and $k=0.0936$. This system of non-linear equations is solved by applying Newton's method [17], considering the inner node voltages $V(i), i=1, \ldots, N$ as unknowns. Choosing appropriate starting values for these variables is crucial to get fast convergence. At the beginning, these voltages can be set to the analytical solution of the linear system that results if

TABLE I: Dynode amplification parameters estimated with different methods and resulting PMT gain for three selected voltage bias $V_{\mathrm{B}}$.

| Symbols | od | ${ }_{1}$ | $G_{2}$ | ${ }_{3}$ | Source |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  | datasheet | $4.75 \cdot 10^{2}$ | $4.93 \cdot 10^{5}$ | $2.34 \cdot 10^{6}$ | u |
|  | extrapolation | $1.14 \cdot 10^{3}$ | $5.06 \cdot 10^{5}$ | $2.02 \cdot 10^{6}$ |  |
| $\alpha, k$ | optimization | $2.44 \cdot 10^{2}$ | $1.15 \cdot 10^{5}$ | $4.67 \cdot 10$ |  |
| $\hat{\alpha}, \hat{k}$ | interpolation | $4.82 \cdot 10^{3}$ | $4.85 \cdot 10^{5}$ | $2.34 \cdot 10$ |  |
| PMT gain is calculated according to (4) for the passive divider network of [1, Fig. 2b] with $\epsilon=1 /(8+160 / 330)$. <br> $G_{0} \equiv G(300 \mathrm{~V}), G_{1} \equiv G(800 \mathrm{~V})$, and $G_{2} \equiv G(1000 \mathrm{~V})$. The manufacturer gain is extracted graphically from the datasheet with an estimated error of $5 \%$. <br> $\alpha_{0} \approx 0.7765709$ and $k_{0} \approx 0.1512431 ; \alpha \approx 0.7843720$ and $k \approx$ $0.1213166 ; \hat{\alpha} \approx 0.881$ and $\hat{k} \approx 0.0936$. |  |  |  |  |  |
|  |  |  |  |  |  |
|  |  |  |  |  |  |

$I_{\mathrm{k}}=0$. Then, we solve non-linear systems for a sequence of parameter values $\left\{\tilde{I}_{\mathrm{k}}\right\}$ going from 1 pA to $I_{\mathrm{k}}$ in steps of 1 pA , taking the solution of each system as starting value for the next one. With this strategy, each solution is obtained in at most 3 Newton's method steps, with absolute tolerance $10^{-3}$.

Together with this mathematical model, that is solved with MATLAB, we construct the equivalent electrical circuit with the commercial engine PSPICE and the open-source software NGSPICE. Examples of the SPICE circuits are provided in the supplementary materials.

## ill. Validation

We reproduce the results and Figures of section IV of the commented paper [1] by using two different simulation engines: PSPICE, as the original author, and the open-source NGSPICE. Both engines reported (with our models and parameters) numerically comparable results. Equivalent results were also obtained by solving the analytical equations with MATLAB. No relevant differences were found between the model from [16] and the original one. The SPICE model where the parameters $\alpha$ and $k$ are sampled through auxiliary current sources and resistors for every dynode has been discussed in the supplements.

## A. Optimized parameters

Applying [1, Eq. 8] and the initially estimated parameters $\alpha_{0} \approx 0.7765709$ and $k_{0} \approx 0.1512431$, the manufacturer gain yields $G_{1} \equiv G(800 \mathrm{~V}) \approx 5 \cdot 10^{5}$ and $G_{2} \equiv G(1000 \mathrm{~V}) \approx$ $2 \cdot 10^{6}$, cf. Table I. The author optimized these parameters so that the result of the PSPICE simulation (compared to the analytical calculation) matched these two data points from the manufacturer gain curve. The simulation sets a very low constant (dark) photocathode current of $I_{\mathrm{k}}=10 \mathrm{pA}$ in order to measure the gain via (11). The optimized parameters are $\alpha \approx 0.7843720$ and $k \approx 0.1213166$. However, if one uses [ 1 , Eq. 8] with these new parameters, the result is $G_{1} \approx 1.1 \cdot 10^{5}$ and $G_{2} \approx 4.7 \cdot 10^{5}$, which is inconsistent by more than a factor of 4 with respect to the manufacturer gain, cf. Table I.

To investigate this effect, we recreated the circuit of [1, Fig. 2b] with the SPICE model of [1, Fig. 4], both in the PSPICE and NGSPICE, using a photocathode current $I_{\mathrm{k}}$ of 10 pA . The respective measured anode current $I_{\mathrm{a}}$ at 800 V and

1000 V was $(-1.15 \pm 0.01) \mu \mathrm{A}$ and $(-4.68 \pm 0.02) \mu \mathrm{A}$ for both tools. This matches well with the values $G_{1}$ and $G_{2}$ calculated analytically using the optimized parameters $\alpha$ and $k$, and is off by a factor of 4 with respect to the manufacturer gain. On the contrary, if one used instead the initially estimated parameters $\alpha_{0}$ and $k_{0}$, the measured anode currents would yield $(-5.09 \pm 0.03) \mu \mathrm{A}$ and $(-20.6 \pm 0.05) \mu \mathrm{A}$, respectively, which match well with the expected gain values.

Presumably, this discrepancy of the optimized parameters might have been caused by an oversight when transcribing the equations of [1] into the SPICE fields, which the optimizer compensates for by returning fairly different parameters so that the gain curve is still matched. For example, the GVALUE of the anode current source (12) might have been written with $N=9$ in place of $N=8$. In this hypothetical case, the incorrect ( $N=9$ ) analytical equation (4) predicts with the optimized parameters gains of $G_{1} \approx 4.9 \cdot 10^{5}$ and $G_{2} \approx$ $2.4 \cdot 10^{6}$, that match well with the manufacturer gain curve. If this hypothesis were true, one would not be able to reproduce the manufacturer gain curve using these optimized parameters, neither with the true $(N=8)$ analytical gain equation, nor with an independent SPICE model implementation.

Another potential explanation is that the PSPICE simulator default settings are prone to yield numerical errors at low photocathode currents, an effect which is fairly easy to overlook at first. We noticed that the default value of the minimum conductance in every branch $G M I N=1 \mathrm{pA}$ had to be overwritten with 1 fA or lower to get a reliable current source simulation. More details are given in Fig. S1 of the supplementary materials.

However, it is true that one can not stay with the initially estimated parameters $\alpha_{0}$ and $k_{0}$ by extrapolating them for voltages below 800 V , as the predicted gain with $N=8$ at 300 V would yield $G_{0} \equiv G(300 \mathrm{~V}) \approx 1.1 \cdot 10^{3}$, higher than the manufacturer value of $\approx 4.8 \cdot 10^{2}$, cf. Table I. If we use the wrong gain equation with $N=9$ and the optimized parameters, the result matches better $\approx 4.8 \cdot 10^{2}$. Hence, one must recalculate the parameters $\alpha$ and $k$, as the initial estimate provided in [1] only works well in the area between 800 V and 1000 V , whereas the optimized ones suffer from a likely error in the SPICE model.

Our approach is not to use an optimizer, but just two accurate values of the manufacturer curve, one at each end, so that no extrapolation error appears. This is legitimate, as the manufacturer gain is a straight line in a double-logarithmic plot, cf. [1, Fig. 2a], and thus there is no interpolation error. We import the manufacturer datasheet (PDF) in an open-source Scalable Vector Graphics (SVG) editor [18] and read out the pixel coordinates of the line, as well as of the axis. The resulting formula is

$$
\begin{equation*}
G\left(V_{\mathrm{B}}\right)=10^{(-14.77 \pm 0.01)} \cdot V_{\mathrm{B}}^{(7.048 \pm 0.001)} \tag{25}
\end{equation*}
$$

where $V_{\mathrm{B}}>0 \mathrm{~V}$ is the power supply voltage (magnitude) applied to the standard divider network recommended by the vendor, see [1, Fig. 2b]. It contains eight resistances of $330 \mathrm{k} \Omega$ and one of $160 \mathrm{k} \Omega$ between the anode and the last dynode. The base of the power function contains an implicit division
of $V_{\mathrm{B}} / 1 \mathrm{~V}$. We estimate that the reconstructed manufacturer gain curve (25) is accurate with a $5 \%$ error margin.

Combining (25) and (4) with $\epsilon_{i}=1 /(8+160 / 330) \approx$ $0.1179(\approx 1 / 8.5)$ for $i=1, \ldots, 8$, we obtain a faithful value of $\hat{\alpha}=(0.881 \pm 0.001)$ and $\hat{k}=(0.0936 \pm 0.0002)$. The resulting gains with these parameters are $\hat{G}_{0} \approx(4.82 \pm 0.05) \cdot 10^{2}$, $\hat{G}_{1} \approx(4.85 \pm 0.05) \cdot 10^{5}$, and $\hat{G}_{2} \approx(2.34 \pm 0.04) \cdot 10^{6}$, cf. Table I.

When simulating the circuit both in PSPICE and NGSPICE with these parameters and $I_{\mathrm{k}}=1 \mathrm{pA}$, compatible gain values are measured. The numerical solution with MATLAB matches, too. If the simulation is performed instead with $I_{\mathrm{k}}=10 \mathrm{pA}$, the resulting gain is slightly off by $4 \%$ with respect to the analytical calculation.

## B. Gain over voltage bias

In Fig. 2a, we reproduce the PMT gain over voltage curves of a passive divider network [1, Fig. 2b] for different photocathode currents, cf. [1, Fig. 5] of the commented paper, but with the graphically derived parameters $\hat{\alpha}$ and $\hat{k}$. Our results are self-consistent, as NGSPICE, PSPICE and MATLAB report nearly identical results, but are not compliant with [1, Fig. 5], whose data points are depicted as colored circles in our Figure.

A potential reason for this discrepancy might be an incorrect sign of $I_{\mathrm{k}}$ in the author's SPICE simulation (for this Figure). To test this hypothesis, we recalculate Fig. 2a (for $\hat{\alpha}=0.881$ and $\hat{k}=0.0936$ ) with a negative sign of $I_{\mathrm{k}}$ and obtain curves, cf. Fig. 2b, that match now moderately well with the colored circles, namely the data points extracted from [1, Fig. 5] using $\alpha=0.7843720$ and $k=0.1213166$.

Our hypothesis of a flipped sign affecting [1, Fig. 5] (but not further figures within that manuscript) is sustained by the good agreement of Fig. 2b as well as by a later publication of the same author [2, Fig. 4 and 5a], where results similar to our Figs. 2a and 5a are obtained.

## C. Inter-dynode voltages

In Fig. 3, we reproduce the PMT voltage stability using a resistive divider network with a fixed -100 V booster [1, Fig. 6], as done in [1, Fig. 7]. We depict the variation in interdynode voltage $V_{i}(i=1, \ldots, 8)$ with no incident light (dark current of $I_{\mathrm{k}}=10 \mathrm{pA}$ ) compared to maximum illumination (a photocathode current $I_{\mathrm{k}}$ such that $I_{\mathrm{a}} \approx-400 \mu \mathrm{~A}$ ). The simulated results (with $\hat{\alpha}=0.881$ and $\hat{k}=0.0936$ ) match moderately well with the experimental data points of [5, Fig. 13], and show a similar trend than those from [1, Fig. 7] (with $\alpha=0.7843720$ and $k=0.1213166$ ), which have not been depicted here.

## D. Gain non-linearity

In Fig. 4, we reproduce the PMT gain stability using the aforementioned divider network with booster [1, Fig. 6], as done in [1, Fig. 8]. We depict the variation in gain $G$ with no incident light (dark current of $I_{\mathrm{k}}=10 \mathrm{pA}$ ) compared to maximum illumination (a photocathode current $I_{\mathrm{k}}$ such that

(a) Correct sign of photocathode current. Note that the curves with high photocathode currents are stopped at earlier voltages due to convergence problems when the standing bias current is comparable to the amplified current. The case with $1 \mu \mathrm{~A}$ did not converge either and was left out.

(b) Flipped sign of photocathode current. Note that an artificial negative sign on parameter $I_{\mathrm{k}}$ was introduced in all equations.
Fig. 2: PMT gain $G$ of a passive divider network [1, Fig. 2] measured with an NGSPICE simulation using (11) for $\hat{\alpha}=$ 0.881 and $\hat{k}=0.0936$, as a function of the power supply voltage $V_{\mathrm{B}}$ for different values of the photocathode current $I_{\mathrm{c}}$. The blue dashed curve represents the manufacturer gain (25). The solid curves are the simulation results, and the superimposed dotted lines with the same color are the results obtained with PSPICE. The calculations with MATLAB are visible as black dash-dotted lines. The solid circles of matching colors correspond to data points extracted graphically from [1, Fig. 5], which used $\alpha=0.7843720$ and $k=0.1213166$.


Fig. 3: Relative variation of the inter-dynode voltage $V_{i, \text { bright }} / V_{i, \text { dark }}-1$ for a passive+booster network [1, Fig. 6] with no illumination $V_{i, \text { dark }} \equiv V_{i}\left(I_{\mathrm{k}}=10 \mathrm{pA}\right)$ compared to maximum illumination $V_{i, \text { bright }} \equiv V_{i}\left(I_{\mathrm{k}}\left(I_{\mathrm{a}}=-400 \mu \mathrm{~A}\right)\right)$, as a function of the total power supply voltage $V_{\mathrm{B}}$ (keeping the booster voltage fixed). The solid curves depict the voltage variations measured with the NGSPICE simulation for $\hat{\alpha}=$ 0.881 and $\hat{k}=0.0936$, and the black dash-dotted lines depict the corresponding results with MATLAB. The solid circles correspond to experimental measurements extracted graphically from [5, Fig. 13].
$I_{\mathrm{a}} \approx-400 \mu \mathrm{~A}$ ). The simulated results (with $\hat{\alpha}=0.881$ and $\hat{k}=0.0936$ ) do not match with those of [1, Fig. 8] (with $\alpha=$ 0.7843720 and $k=0.1213166$ ), nor with the experimental data points of [5, Fig. 15].

This discrepancy is mentioned in [1] and attributed to experimental measurement errors in [5]. To verify the theoretical inconsistency of Figs. 13 and 15 of [5], we calculate analytically what the expected gain variation would be, cf. red triangles of Fig. 4. This is performed using the experimentally measured inter-dynode voltage variations, which seem to match more faithfully with the simulation, cf. Fig. 3. Using (1) and (2) for a constant $k$, the gain $G\left(I_{\mathrm{k}}\right)$ is:

$$
\begin{equation*}
G\left(I_{\mathrm{k}}\right)=k^{N} \prod_{i=1}^{N}\left(V_{i}\left(I_{\mathrm{k}}\right)\right)^{\alpha} \tag{26}
\end{equation*}
$$

and the relative variation when using a different photocathode current $\tilde{I}_{\mathrm{k}}$ yields:

$$
\begin{equation*}
\frac{G\left(\tilde{I}_{\mathrm{k}}\right)}{G\left(I_{\mathrm{k}}\right)}-1=-1+\prod_{i=1}^{N}\left(\frac{V_{i}\left(\tilde{I}_{\mathrm{k}}\right)}{V_{i}\left(I_{\mathrm{k}}\right)}\right)^{\alpha}=-1+\prod_{i=1}^{N}\left(\phi_{i}+1\right)^{\alpha} \tag{27}
\end{equation*}
$$

where the terms $\phi_{i} \equiv V_{i}\left(\tilde{I}_{\mathrm{k}}\right) / V_{i}\left(I_{\mathrm{k}}\right)-1$ are the data points presented in Fig. 3.

The mismatch between gain variation measurement and simulations might be due to experimental uncertainties, or because the SPICE model is not sophisticated enough and does not account for the anode collection efficiency, as well as dynode-specific effects and non-linearities. Nonetheless, the analytic prediction of the gain variation using experimental


Fig. 4: Relative variation of the PMT gain $G_{\text {bright }} / G_{\text {dark }}-1$ for a passive+booster network [1, Fig. 6] with no illumination $G_{\text {dark }} \equiv G\left(I_{\mathrm{k}}=10 \mathrm{pA}\right)$ compared to maximum illumination $G_{\text {bright }} \equiv G\left(I_{\mathrm{k}}\left(I_{\mathrm{a}}=-400 \mu \mathrm{~A}\right)\right)$, as a function of the total power supply voltage $V_{\mathrm{B}}$ (keeping the booster voltage fixed). The blue solid curve depicts the gain variation measured with the NGSPICE simulation for $\hat{\alpha}=0.881$ and $\hat{k}=0.0936$, and the black dash-dotted line depicts the corresponding result with MATLAB. The orange circles correspond to the simulation of [1, Fig. 8] obtained with $\alpha=0.7843720$ and $k=0.1213166$. The green squares are the experimental measurements extracted graphically from [5, Fig. 15]. The red triangles represent the analytical prediction (27) using the experimental values of $\phi_{i}$ from [5, Fig. 13].
voltage measurements [5, Fig. 13] matches remarkably well with the NGSPICE simulation results, cf. Fig. 4, using our parameters $\hat{\alpha}$ and $\hat{k}$.

## E. Anode collection efficiency

When operated at a voltage bias $V_{\mathrm{B}}$ of 1000 V , the SPICE model under investigation predicts, cf. Fig. 5a, first an increase of the gain with the amount of incident light and then a decrease above a photocathode current of 175 pA . This complies with the observation from [2, Fig. 5a]. Furthermore, the interdynode voltages, cf. Fig. 6a follow a similar trend than those of [2, Fig. 6a].

The results presented here are valid mathematical solutions of the PMT model under investigation, however they do not describe faithfully the physical behaviour of the PMT at photocathode currents above 100 pA . The reason is that the PMT model assumes that the anode collection efficiency $\eta_{N+1} \approx 1$ under the condition that the $V_{N+1} \gg 0 \mathrm{~V}$. However, the premise that the voltage between anode and last dynode is large enough does not hold in the examples above, as it is shown in cf. Fig. 7a. Above 100 pA , the voltage at the last dynode is above the voltage at the anode, i.e. $V_{N+1}<0 \mathrm{~V}$. Under this condition, the anode would not collect any electron emitted by the last dynode; it would rather deflect them back to the dynode, hence $\eta_{N+1} \rightarrow 0$.

We propose an ad-hoc model of the voltage-dependent anode collection efficiency $\eta_{N+1}\left(V_{N+1}\right)$ using the logistic

(a) Ideal anode collection efficiency. The green circles are data points derived graphically from [2, Fig. 5a], that used $\alpha=0.7843720$ and $k=0.1213166$.

(b) Realistic formula for the anode collection efficiency (28). For comparison, the MATLAB result with ideal efficiency is shown as a thistle dash-dotted line.

Fig. 5: PMT gain $G$ of a passive divider network [1, Fig. 2b] as a function of photocathode current $I_{\mathrm{c}}$ for a fixed power supply voltage $V_{\mathrm{B}}=1000 \mathrm{~V}$. The blue dashed line depicts the gain according to the manufacturer, and the solid orange curve is the one measured with the NGSPICE simulation for $\hat{\alpha}=0.881$ and $\hat{k}=0.0936$. The PSPICE curve is depicted with red dots and the MATLAB result is shown as a black dash-dotted line.
function:

$$
\begin{equation*}
\eta_{N+1}\left(V_{N+1}\right)=\frac{1}{1+\exp \left[\left(10 \mathrm{~V}-V_{N+1}\right) / 1 \mathrm{~V}\right]} \tag{28}
\end{equation*}
$$

that is 1 for $V_{N+1} \gg 10 \mathrm{~V}$ and tends to zero when $V_{N+1} \ll$ 10 V .

Thus, the SPICE model equations have to be modified for the last dynode (15) and the anode (17) substituting (28) for $\eta_{N+1}$. The corresponding results are shown in Figs. 5b, 6b and 7 b . Whereas the logistic model for the anode collection efficiency is over-simplistic and the knee parameter of 10 V arbitrary, it shows that the results are more realistic from the physical point of view, as the voltage of the last dynode never

(a) Ideal anode collection efficiency. The colored circles are data points derived graphically for each dynode from [2, Fig. 6a], that used $\alpha=0.7843720$ and $k=0.1213166$.

(b) Realistic formula for the anode collection efficiency (28). For comparison, the MATLAB result with ideal efficiency is shown as thistle dash-dotted lines.

Fig. 6: Inter-dynode voltage $V_{i} \equiv V(i)-V(i-1), i \in[1, N]$ of a passive divider network [1, Fig. 2b] as a function of photocathode current $I_{\mathrm{c}}$ for a fixed power supply voltage $V_{\mathrm{B}}=$ 1000 V. The blue dashed line depicts the nominal inter-dynode voltage according to the divider network (under no light), and the solid orange curve is the one measured with the NGSPICE simulation for $\hat{\alpha}=0.881$ and $\hat{k}=0.0936$. The colored dotted lines are the corresponding simulations with PSPICE and the MATLAB results are shown as black dash-dotted lines.
surpasses in this case the anode voltage.

## IV. Conclusion

In our comment, we have revisited and disambiguated the mathematical formulation of the behavioral SPICE model of a PMT [1]. We have also addressed some inconsistencies in the optimized parameters as well as a presumable oversight in a sign affecting one of the original Figures.

Furthermore, we have shown that the original SPICE model predicts in some situations positive voltages at the last two dynodes, which is not realistic from the physical point of

(a) Ideal anode collection efficiency. The colored circles are data points derived graphically for each dynode from [2, Fig. 6a], that used $\alpha=0.7843720$ and $k=0.1213166$.

(b) Realistic formula for the anode collection efficiency (28). For comparison, the MATLAB result with ideal efficiency is shown as thistle dash-dotted lines.

Fig. 7: Electrode voltages $V(i)$ of a passive divider network [1, Fig. 2b] as a function of photocathode current $I_{\mathrm{c}}$ for a fixed power supply voltage $V_{\mathrm{B}}=1000 \mathrm{~V}$. The solid curves are the voltages measured with the NGSPICE simulation for $\hat{\alpha}=0.881$ and $\hat{k}=0.0936$. The colored dotted lines are the corresponding simulations with PSPICE and the MATLAB results are shown as black dash-dotted lines.
view. This effect can be mitigated by introducing a term in the equations in order to model the anode collection efficiency.

All SPICE simulations have been replicated with corrected parameters using both NGSPICE and PSPICE, and the results were in accordance with the numerical solution of the system of non-linear equations using Newton's method in MATLAB.

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## SUPPLEMENTARY MATERIAL

## NGSPICE circuit file

Listing 1: NGSPICE circuit file of a PMT using the recursive formulation, cf. (15), (16), and (17), for reproducing Figs. 5a, 6a and 7a.


* Divider network for R7400U, corresponding to Fig.2b of Krihely2014
* Total voltage bias

V0 GND Cathode 1000

* Photocathode current

IO Sinp Sinm dc 1p

* PMT subcircuit connection

XPMT1 d1 d2 d3 d4 d5 d6 d7 d8 Cathode GND Sinp Sinm KRIHELY14_Dyn08 PARAMS: alpha=0.881 k=0.0936

* Passive divider network

R1 Cathode d1 330k
R2 d1 d2 330k
R3 d2 d3 330k
R4 d3 d4 330k
R5 d4 d5 330k
R6 d5 d6 330k
R7 d6 d7 330k
R8 d7 d8 330k
R9 d8 GND 160k

* Condensators

C1 d7 d6 10n

```
C2 d8 d7 10n
C3 d8 GND 10n
* DC Sweep
.dc IO 1p 400p 1p
* Control and Plots
.control
version
run
display
plot -v.xpmt1.vmes20#branch/v.xpmt1.vmes0#branch
plot d2-d1 d3-d2 d4-d3 d5-d4 d6-d5 d7-d6 d8-d7
plot d1 d2 d3 d4 d5 d6 d7 d8 xpmt1.sano
.endc
.end
```


## Numerical error amplification in PSPICE

If a circuit similar to Listing 1 is implemented in PSPICE using the default solver settings, there is a critical amplification of numerical errors that leads to senseless simulation results. This is because the default value of the minimum conductance $G M I N$ of every branch in PSPICE yields 1 pA per volt, e.g. the voltage drop across the two nodes of a current source. In the case of 1000 V total voltage bias, the current source representing the photocathode inyects an error of 1000 pA , which can be comparable or higher than the illumination conditions under study. If the source current is measured with an ammeter, there will be a significant numerical error that is amplified in further stages.

This numerical error is illustrated in Fig. S1 for the PSPICE circuit outlined in Listing 2. It should be noted that the simulated gain has been measured as the quotient between the current measured with a dummy voltage source ( 0 V ), acting as an ideal ammeter, on the anode versus the cathode branch. If one would use the real value of $I_{\mathrm{k}}$ in the denominator, the error would be much larger, especially for low photocathode currents.

If the author used some recursivity in the equations as the presence of sensing 0 V voltage sources in [1, Fig. 3] seems to hint at, there is a possibility that this effect might was overlooked. Then, the simulated gain at the photocathode current of 10 pA used for his simulation would be overestimated, as shown in Fig. S1, and reducing the amplification parameters $k_{0}$ and $\alpha_{0}$ towards $k$ and $\alpha$, cf. Table I, during the optimization step could have compensated for it. Leading also to an overall bias and a discrepancy with the proposed equations.

Hence, the solution to prevent this potential error is to change the value GMIN from $10^{-12}$ to e.g. $10^{-18}$, or to leave the default value of GMIN and use instead the explicit formulation, cf. Listing 3. In the latter case, there is still some error in the current of the cathode branch, but the error is not amplified by subsequent dynodes as the behavioral source equations use the original parameter $I_{\mathrm{k}}$.

Listing 2: PSPICE netlist file of a PMT using the recursive formulation, cf. (16), (15), and (17).

[^1]

Fig. S1: PMT gain $G$ of a passive divider network [1, Fig. 2b] as a function of photocathode current $I_{\mathrm{c}}$ for a fixed power supply voltage $V_{\mathrm{B}}=1000 \mathrm{~V}$. The blue dashed line depicts the gain according to the manufacturer, and the solid curves are the ones measured with the PSPICE simulation, cf. Listing 3, for $\hat{\alpha}=0.881$ and $\hat{k}=0.0936$, for different values of GMIN. The green circles are data points derived graphically from [2, Fig. 5a], that used $\alpha=0.7843720$ and $k=0.1213166$.

```
G_G8 0 Dy8 VALUE {I (V_V17)/(1-1/(k*V(A8,A7)**al))*(k*V(A9,A8)**al-1) }
G_G9 0 0 VALUE {-I (V_V18)/(1-1/(k*V(A9,A8)**al)) }
* Supply 
I_I1 0 Cat DC {Ik}
.END
```

Listing 3: PSPICE netlist file of a PMT using the explicit formulation, cf. (9), and (10).
** Analysis setup **
. PARAM Ik=10pA al=0.881 k=0.0936
.DC LIN PARAM Ik 1p 400p 1p

| * Passive | divider | network |
| :--- | :--- | :--- |
| R_R1 | A2 A1 | 330 k |
| R_R2 | A3 A2 | 330 k |
| R_R3 | A4 A3 | $330 k$ |
| R_R4 | A5 A4 | $330 k$ |
| R_R5 | A6 A5 | $330 k$ |
| R_R6 | A7 A | $330 k$ |
| R_R7 | A8 A7 | $330 k$ |
| R_R8 | A9 A8 | $330 k$ |
| R_R9 | 0 A9 | $160 k$ |

* Amplification functions

G_G1 0 A2 VALUE \{Ik*( $k * V(A 2, A 1) * * a l-1)\}$
G_G2 O A3 VALUE \{ $\mathrm{Ik} * \mathrm{k} *(\mathrm{~V}(\mathrm{~A} 2, \mathrm{~A} 1) * * a l) *(\mathrm{k} * \mathrm{~V}(\mathrm{~A} 3, \mathrm{~A} 2) * * a l-1)$ \}
G_G3 O A4 VALUE \{ $\mathrm{Ik} * \mathrm{k} * * 2 *((\mathrm{~V}(\mathrm{~A} 2, \mathrm{~A} 1) * \mathrm{~V}(\mathrm{~A} 3, \mathrm{~A} 2)) * * a l) *(\mathrm{k} * \mathrm{~V}(\mathrm{~A} 4, \mathrm{~A} 3) * * a l-1)$
$+\begin{aligned} & \} \\ & \text { G } \\ & \text { G }\end{aligned}$
G_G4 0 A5 VALUE \{
$+I k * k * * 3 *((\mathrm{~V}(\mathrm{~A} 2, \mathrm{~A} 1) * \mathrm{~V}(\mathrm{~A} 3, \mathrm{~A} 2) * \mathrm{~V}(\mathrm{~A} 4, \mathrm{~A} 3)) * * a l) *(\mathrm{k} * \mathrm{~V}(\mathrm{~A} 5, \mathrm{~A} 4) * * a l-1)\}$
G_G5 0 A6 VALUE \{
$+\quad I k * k * * 4 *((\mathrm{~V}(\mathrm{~A} 2, \mathrm{~A} 1) * \mathrm{~V}(\mathrm{~A} 3, \mathrm{~A} 2) * \mathrm{~V}(\mathrm{~A} 4, \mathrm{~A} 3) * \mathrm{~V}(\mathrm{~A} 5, \mathrm{~A} 4)) * * \mathrm{al}) *(\mathrm{k} * \mathrm{~V}(\mathrm{~A} 6, \mathrm{~A} 5) * * \mathrm{al}-1)\}$
G_G6 0 A7 VALUE \{
$+I k * k * * 5 *((\mathrm{~V}(\mathrm{~A} 2, \mathrm{~A} 1) * \mathrm{~V}(\mathrm{~A} 3, \mathrm{~A} 2) * \mathrm{~V}(\mathrm{~A} 4, \mathrm{~A} 3) * \mathrm{~V}(\mathrm{~A} 5, \mathrm{~A} 4) * \mathrm{~V}(\mathrm{~A} 6, \mathrm{~A} 5)) * * a l) *(\mathrm{k} * \mathrm{~V}(\mathrm{~A} 7, \mathrm{~A} 6) * * \mathrm{al}-1)$
$+\quad\}$
G_G7 0 A8 VALUE \{
$+I k * k * * 6 *((V(A 2, A 1) * V(A 3, A 2) * V(A 4, A 3) * V(A 5, A 4) * V(A 6, A 5) * V(A 7, A 6)) * * a l) *(k * V(A 8, A 7) * * a l-1)$
$+\quad 3$
$G_{-}$
G_G8 O A9 VALUE \{
$+\quad I k * k * * 7 *((\mathrm{~V}(\mathrm{~A} 2, \mathrm{~A} 1) * \mathrm{~V}(\mathrm{~A} 3, \mathrm{~A} 2) * \mathrm{~V}(\mathrm{~A} 4, \mathrm{~A} 3) * \mathrm{~V}(\mathrm{~A} 5, \mathrm{~A} 4) * \mathrm{~V}(\mathrm{~A} 6, \mathrm{~A} 5) * \mathrm{~V}(\mathrm{~A} 7, \mathrm{~A} 6) * \mathrm{~V}(\mathrm{~A} 8, \mathrm{~A} 7)) * * \mathrm{al}) *(\mathrm{k} * \mathrm{~V}(\mathrm{~A} 9, \mathrm{~A} 8) * * a l-1)$
$+\quad$ \}
G_G9 00 VALUE \{
$+\quad-\mathrm{Ik} * \mathrm{k} * * 8 *((\mathrm{~V}(\mathrm{~A} 2, \mathrm{~A} 1) * \mathrm{~V}(\mathrm{~A} 3, \mathrm{~A} 2) * \mathrm{~V}(\mathrm{~A} 4, \mathrm{~A} 3) * \mathrm{~V}(\mathrm{~A} 5, \mathrm{~A} 4) * \mathrm{~V}(\mathrm{~A} 6, \mathrm{~A} 5) * \mathrm{~V}(\mathrm{~A} 7, \mathrm{~A} 6) * \mathrm{~V}(\mathrm{~A} 8, \mathrm{~A} 7) * \mathrm{~V}(\mathrm{~A} 9, \mathrm{~A} 8)) * * \mathrm{al})$
$+\quad\}$
$\begin{array}{lll}\text { * Supply } & & \\ \text { V_V1 } & 0 & \text { A1 } \\ 1000 \mathrm{~V} \\ \text { I_I1 } & 0 & \text { A1 } \\ \text { DC }\{\text { Ik }\}\end{array}$
.END


Fig. S2: PMT gain deviation $G / G_{\text {Ref }}-1$ of a passive divider network [1, Fig. 2b] as a function of total voltage bias $V_{\mathrm{B}}$ for a fixed (dark) photocathode current $I_{\mathrm{c}}=10 \mathrm{pA}$. $G_{\text {Ref }}$ refers to the manufacturer gain (25). The blue dashed line depicts the gain according to the manufacturer (no deviation). The red dotted curve is the median measured with the PSPICE simulation using Monte Carlo statistical analysis for 100 runs, and the shaded area covers the region between the first and third quartiles. The dynode parameters $\alpha_{i}, k_{i}, i \in[1, N]$, cf. Listing 4 and [1, Fig. 3], have a mean value $\hat{\alpha}=0.881$ and $\hat{k}=0.0936$ and a tolerance of $1 \%$. For comparison, the MATLAB curve with $0 \%$ tolerance is presented as black dash-dotted line.

## Monte Carlo statistical analysis

The dynode model proposed by [1, Fig. 3] with auxiliary current sources and resistors with a predefined tolerance enables the use of Monte Carlo statistical analysis within PSPICE or NGSPICE. For the sake of clarity, we had focused on the average results with constant parameters: neither the NGSPICE, PSPICE or MATLAB calculations included stochastic repetitions with random variations of the dynode parameters within the central part of the manuscript.
A dedicated statistical analysis is presented here, with the corresponding PSPICE and NGSPICE circuits on Listings 4 and 5. It should be noted that, in the case of the NGSPICE subcircuit, the equations have to be tweaked for the solver to converge. The reason might be that the jacobian is presumably evaluated at initial inter-dynode voltages of $V_{i}=0$, where the derivatives of the source equations contain a singularity in the term $V_{i}^{\alpha-1}$. All occurrences of $V_{i}$ are thus changed with $V_{i}+10^{-300}$ in Listing 5. In the case of PSPICE, this workaround is not necessary, but the GMIN has to be lowered down to $10^{-18}$ if the recursive Listing 4 is used, as explained in Fig. S1.

The Monte Carlo statistical analysis of the simulated PMT gain using PSPICE is presented in Figs. S2 and S3 for 100 runs.
Listing 4: PSPICE netlist file of a PMT using the recursive formulation and auxiliary sources for Monte Carlo sampling of dynode parameters.



Fig. S3: PMT gain $G$ of a passive divider network [1, Fig. 2b] as a function of photocathode current $I_{\mathrm{c}}$ for a fixed power supply voltage $V_{\mathrm{B}}=1000 \mathrm{~V}$. The blue dashed line depicts the gain according to the manufacturer. The red dotted curve is the median measured with the PSPICE simulation using Monte Carlo statistical analysis for 100 runs, and the shaded area covers the region between the first and third quartiles. The dynode parameters $\alpha_{i}, k_{i}, i \in[1, N]$, cf. Listing 4 and [1, Fig. 3], have a mean value $\hat{\alpha}=0.881$ and $\hat{k}=0.0936$ and a tolerance of $1 \%$. For comparison, the MATLAB curve with $0 \%$ tolerance is presented as black dash-dotted line. The green circles are data points derived graphically from [2, Fig. 5a], that used $\alpha=0.7843720$ and $k=0.1213166$.

```
+ I(V_V11)/(1-1/(V(k1)*V(A2,A1)**V(al1)))*(V(k2)*V(A3,A2)**V(al2)-1) }
G_G3 0 Dy3 VALUE {
+ I(V_V12)/(1-1/(V(k2)*V(A3,A2)**V (al2)))*(V(k3)*V(A4,A3)**V(al3)-1) }
G_G4 0 Dy4 VALUE {
+ I(V_V13)/(1-1/(V(k3)*V(A4,A3)**V(al3)))*(V(k4)*V(A5,A4)**V(al4)-1) }
G_G5 0 Dy5 VALUE {
+ I(V_V14)/(1-1/(V(k4)*V (A5,A4)**V (al4)))*(V(k5)*V(A6,A5)**V(al5)-1) }
G_G6 0 Dy6 VALUE {
+ I(V_V15)/(1-1/(V(k5)*V (A6,A5)**V (al5)))*(V(k6)*V(A7,A6)**V(al6)-1) }
G_G7 0 Dy7 VALUE {
+ I(V_V16)/(1-1/(V(k6)*V(A7,A6)**V(al6)))*(V(k7)*V(A8,A7)**V(al7)-1) }
G_G8 0 Dy8 VALUE {
+ I (V_V17)/(1-1/(V(k7)*V(A8,A7)**V (al7)))*(V(k8)*V(A9,A8)**V(al8)-1) }
G_G9 0 0 VALUE {-I (V_V18)/(1-1/(V(k8)*V(A9,A8)**V(al8))) }
* Auxiliary DC sources for k and alpha sampling
\begin{tabular}{lllll} 
I_I11 & 0 & k1 1 & DC & 1 \\
I_I12 & 0 & k2 2 & DC & 1
\end{tabular}
I I13 0 k3 DC 1
I_I14 0 k4 DC 1
I_I15 0 k5 DC 1
I_I16 0 k6 DC 1
I_I17 0 k7 DC 1
I_I18 0 k8 DC 1
I_I21 0 al1 DC 1
I_I22 0 al2 DC 1
I_I23 0 al3 DC 1
I_I24 0 al4 DC 1
I_I25 0 al5 DC 1
I_I26 0 al6 DC 1
I_I27 0 al7 DC 1
I_I28 0 al8 DC 1
* Resistors: modify them to apply desired tolerance
R_R11 k1 0 {k}
R_R12 k2 0 {k}
R_R13 k3 0 {k}
R_R14 k4 0 {k}
R_R15 k5 0 {k}
R_R16 k6 0 {k}
R_R17 k7 0 {k}
R_R18 k8 0 {k}
R_R21 al1 0 {al}
R_R22 al2 0 {al}
R_R23 al3 0 {al}
R_R28 al8 0 {al}
R_R27 al7 0 {al}
R_R26 al6 0 {al}
R_R25 al5 0 {al}
R_R24 al4 0 {al}
```

| * Supply |  |
| :--- | :--- |
| V_V1 0 <br> I_I1 0 A1 1000V <br> Cat DC $\{$ Ik $\}$  |  |
| .END |  |

Listing 5: NGSPICE subcircuit of a PMT using the recursive formulation and auxiliary sources for Monte Carlo sampling of dynode parameters.


* Dynode amplification stages

G1 GND SDy1 GND GND value= gvald ( I (VMESO), V(a1), V(k1), $V(C a t) \quad$ )
G2 GND SDy2 GND GND value $=$ gval ( $I(V M E S 1), V(a 1), V(k 1), V(a 2), V(k 2), V(D y 2), V(D y 1), V(C a t)$,
G3 GND SDy3 GND GND value = gval ( $I(V M E S 2), V(a 2), V(k 2), V(a 3), V(k 3), V(D y 3), V(D y 2), V(D y 1))$
G4 GND SDy4 GND GND value= gval ( I (VMES3), V(a3), V(k3), V(a4), V(k4), V(Dy4), V(Dy3), V(Dy2) )
G5 GND SDy5 GND GND value= gval ( $I(\operatorname{VMES} 4), V(\mathrm{a} 4), \mathrm{V}(\mathrm{k} 4), \mathrm{V}(\mathrm{a} 5), \mathrm{V}(\mathrm{k} 5), \mathrm{V}(\mathrm{Dy} 5), \mathrm{V}(\mathrm{Dy} 4), \mathrm{V}(\mathrm{Dy} 3) \mathrm{s})$
G6 GND SDy6 GND GND value $=$ gval ( $I(\operatorname{VMES5}), V(a 5), V(k 5), V(a 6), V(k 6), V(D y 6), V(D y 5), V(D y 4)$,
G7 GND SDy7 GND GND value= gval ( I (VMES6), V(a6), V(k6), V(a7), V(k7), V(Dy7), V(Dy6), V(Dy5) )
G8 GND SDy8 GND GND value= gval ( $I(V M E S 7), V(a 7), V(k 7), V(a 8), V(k 8), V(D y 8), V(D y 7), V(D y 6) \quad)$
G20 SAno GND GND GND value= gvala( $I(V M E S 8), V(a 8), V(k 8), V(a 8), V(k 8), V(A n o), V(D y 8), V(D y 7))$

* Auxiliary DC sources for $k$ and alpha sampling

I1 GND k1 1
I2 GND k2 1
I3 GND k3 1
I4 GND k4 1
I5 GND k5 1
I6 GND k 61
I7 GND k7 1
I8 GND k8 1
I11 GND a1 1
I12 GND a2 1
I13 GND a3 1
I14 GND a4 1
I15 GND a5 1
I16 GND a6 1
I17 GND a7 1
I18 GND a8 1

* Resistors: modify them to apply desired tolerance

R1 GND $\mathrm{k} 1 \quad\{\mathrm{k}\}$
R2 GND k 2 \{k\}
R3 GND k3 $\{\mathrm{k}\}$
R4 GND k4 $\{\mathrm{k}\}$
R5 GND k 5 \{k\}
R6 GND $k 6\{k\}$
R7 GND k7 $\{k\}$

R8 GND $\mathrm{k} 8 \quad\{\mathrm{k}\}$
R11 GND a1 \{alpha\}
R12 GND a2 \{alpha\}
R13 GND a3 \{alpha\}
R14 GND a4 \{alpha\}
R15 GND a5 \{alpha\}
R16 GND a6 \{alpha\}
R17 GND a7 \{alpha\}
R18 GND a8 \{alpha\}
. ENDS


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[^1]:    ** Analysis setup **
    .PARAM Ik=10pA al=0.881 k=0.0936
    .DC LIN PARAM Ik 1p 400p 1p
    .OPTIONS GMIN=0.001f

    * Passive divider network
    R_R1 A2 A1 330k
    R_R2 A3 A2 330 k
    R_R3 A4 A3 330k
    R_R4 A5 A4 330k
    R_R5 A6 A5 330 k
    R_R6 A7 A6 330 k
    R_R7 A8 A7 330k
    R_R8 A9 A8 330k
    R_R9 0 A9 $160 k$

    | * Measure | stage currents |  |  |
    | :--- | :--- | :--- | :--- |
    | V_V10 | Cat A1 | DC | 0 |
    | V_V11 | Dy1 A2 | DC | 0 |
    | V_V12 | Dy2 A3 | DC | 0 |
    | V_V13 | Dy3 A4 | DC | 0 |
    | V_V14 | Dy4 | A5 | DC |
    | 0 |  |  |  |
    | V_V15 | Dy5 A6 | DC | 0 |
    | V_V16 | Dy6 A7 | DC | 0 |
    | V_V17 | Dy7 A8 | DC | 0 |
    | V_V18 | Dy8 A9 | DC | 0 |

    * Amplification functions

    G_G1 0 Dy1 VALUE \{ $\left.I\left(V \_V 10\right) *(k * V(A 2, A 1) * * a l-1)\right\}$
    G_G2 0 Dy2 VALUE \{ $I(V / V 11) /(1-1 /(k * V(A 2, A 1) * * a 1)) *(k * V(A 3, A 2) * * a l-1)\}$
    G_G3 0 Dy3 VALUE \{ $I(V / V 12) /(1-1 /(k * V(A 3, A 2) * * a l)) *(k * V(A 4, A 3) * * a l-1)\}$
    G_G4 0 Dy4 VALUE $\{I(V / V 13) /(1-1 /(k * V(A 4, A 3) * * a l)) *(k * V(A 5, A 4) * * a l-1)\}$
    G_G5 0 Dy5 VALUE \{I $(V-V 14) /(1-1 /(k * V(A 5, A 4) * * a l)) *(k * V(A 6, A 5) * * a l-1)\}$
    G_G6 0 Dy6 VALUE $\{I(V / V 15) /(1-1 /(k * V(A 6, A 5) * * a l)) *(k * V(A 7, A 6) * * a l-1)\}$
    G_G7 0 Dy7 VALUE \{ $I(V / V 16) /(1-1 /(k * V(A 7, A 6) * * a l)) *(k * V(A 8, A 7) * * a l-1)\}$

