



AUTHENTICITIES AND VIRTUAL REALITY THE CASE STUDIES JUPITER COLUMN AND KALEIDOPHONIC DOG

AUTENTICIDADES Y REALIDAD VIRTUAL. LOS ESTUDIOS DE CASO COLUMNA DE JUPITER Y KALEIDOPHONIC DOG

Jesús Muñoz*, Franziska Schaaf, Ralf H. Schneider, Caroline Y. Robertson-von Trotha

ZAK | Centre for Cultural and General Studies, Karlsruhe Institute of Technology (KIT), Ruppurrer Str. 1a, Haus B, 76137 Karlsruhe, Germany. jesus.morcillo@kit.edu; franziska.schaaf@kit.edu; ralf.schneider@kit.edu; caroline.robertson@kit.edu

Abstract:

The common notion of digital replicas is mostly dominated by the idea that a digital 3D reconstruction should be as faithful to the original artefact as possible. However, the resulting 3D models need often too many computing resources for displaying, so that it is barely possible to experience them with accuracy in a virtual environment. In order to make complex 3D replicas more accessible, the polygonal mesh has to be decimated at the expense of the details losing "authenticity" in an "auratic" sense. Against this background, we test a pluralistic notion of authenticity that relies more on conserving meanings rather than on conserving physical features by contextualizing 3D objects in VR environments. For this purpose, we use two case studies, the Ladenburg's Jupiter Column (II AD), and the audio-kinetic sculpture Kaleidophonic Dog (1967) by Stephan von Huene.

Key words: virtual archaeology, kaleidophonic dog, jupiter column, synesthetic documentation, authenticities

Resumen:

El concepto habitual de réplicas digitales está mayoritariamente dominado por la idea de que la reconstrucción 3D ha de ser tan fiel al artefacto original como sea posible. No obstante, los modelos 3D resultantes son a menudo tan complejos que es casi imposible experimentarlos con detalle en un entorno virtual al requerir demasiados recursos computacionales para su representación gráfica. Para hacer más accesibles las réplicas 3D hay que diezmar la red poligonal a costa de los detalles perdiendo "autenticidad" en un sentido "aurático". Con este trasfondo probamos una noción pluralística de autenticidad que consiste más en la conservación de significados que en la conservación de características físicas contextualizando objetos 3D en entornos de realidad virtual (RV). Con este fin usamos dos ejemplos, la Columna de Júpiter (II A.D.) y la escultura audiocinética de Stephan von Huene Kaleidophonic Dog (1967).

Palabras clave: arqueología virtual, kaleidophonic dog, columna de júpiter, documentación sinestésica, autenticidades

1. Introduction

In the "Nara Declaration" (1994) authenticity is described to rely on "truthful and credible values" (ICOMOS 1994). This implies a variable approach that overcomes the object-related and classic notion of the "original's aura" as the essential form of cultural tradition (Benjamin 1935). The widely spread use of optical and computer based technologies for the documentation of cultural heritage in recent years has favored the conceptual enhancement of authenticity as a working criterion for faithful and accurate documentations within the framework of virtualization, but we seldom find projects that consider authenticity as a "layered concept" in a consistent way, e.g., by taking into account the check list of the "Nara Grid" (Van Baalen 2008) for the re-creation of meaning in different social contexts. Rather, in the

"Seville Charter" (2012), which directly addresses virtual archaeology, we find a predominant aura-related notion of authenticity. According to the "Principles of Seville" on virtual archaeology, which actually enhance and update the guidelines formulated in the "London Charter" (2009), "authenticity must be a permanent operational concept in any virtual archaeology project" (§ 4), i.e., a requirement for virtualizing original objects. But that doesn't mean that there is no place for other interpretations. Even if the "Principles of Seville" do not explicitly relate to the ambitious definition of authenticity formulated in the "Nara Declaration" (1994), we can infer that some aspects of it are present in § 4.1 such as the idea that "archaeology is complex and not an exact and irrefutable science", and therefore, "it must be openly committed to making alternative virtual interpretations provided they afford the same scientific validity." In this sentence, the authenticity is determined in a similar way

* Corresponding Author: Jesús Muñoz. jesus.morcillo@kit.edu

as proposed in the “Nara Declaration”, i.e., through „thruhtful and credible values“ related to the presumably original context (cf. Nara 1994, § 9). “The Nara Document on Authenticity” (1994) goes beyond that considering time, space and cultural aspects as key factors of authenticity. But even here, authenticity is considered as an abstract entity linked to the original context or contexts of the past and not as a variable quality that changes depending on different uses and social memories, including present and future projections.

Based on two case studies on digital cultural heritage, we will argue that contextual enhancement and new forms of virtual interaction are needed in order to re-enact all the possible meanings and “authenticities” of the object. For this purpose, we follow theoretical and practical approaches on authenticity in the field of virtual archaeology and cultural heritage (e.g. the idea of “progressive authenticities”, Lowenthal 2008) and art conservation with a special focus on core strategies for the conservation of media art such as emulation, re-interpretation and digital re-enactment (cf. Variable Media Network 2004; Rinehart and Ippolito 2014, Muñoz Morcillo *et al.* 2014).

2. The Case Studies *Jupiter Column* and *Kaleidophonic Dog*

The Jupiter Column of Ladenburg was used to test interdisciplinary research methods that make perceptible the various dimensions of cultural heritage. Built roughly 200 AD, destroyed shortly thereafter and then reconstructed and changed, this typical landmark in the Roman provinces was accidentally discovered in the 1970s, buried in a former well (Heukemes 1975). For the 3D reconstruction of the column, different methods were used. Researchers at the KIT-Institute of Photogrammetric and Remote Sensing (IPF) provided a photogrammetric reconstruction based on 700 photographs with a resolution of 36 megapixels using Agisoft (Fig. 1a and 1b), one of the most popular and efficient photogrammetric software, achieving a photographic looking model with original colors and a very high resolution. Researchers of the Heidelberg University FCGL (Forensic Computational Geometry Laboratory) applied structured light using the Breukmann SmartSCAN-3D-HE with an extreme high resolution but no texture information. This procedure in combination with geometrical filters helped for deciphering an overwritten inscription. (Fig. 1c). Finally, a web application for contextualizing the results using geodata and 3D annotation was developed by the Geoinformatics Research Group at the Heidelberg University (<http://ors.geog.uni-heidelberg.de/musieke>). Against this background, the spatio-temporal distribution and the speculations on the varying purposes of the statue put the general question of authenticity of cultural heritage to the fore. The object itself demanded a processual display, because of its complex record of findings and interpretations. Using 3D annotations and geodata in connection with a web application, researchers and the general public can not only examine the 3D reconstructed Jupiter Column, they also can understand, in which place the column was found, where it was supposed to have been before, and where similar

sculptures were located, allowing a study of the Jupiter Column as a type.

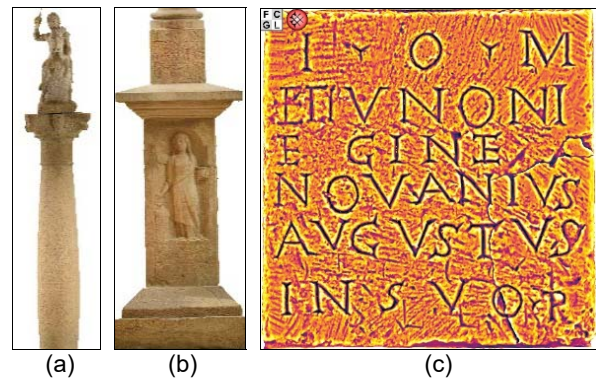


Figure 1: (a) and (b) Ortho perspective of the photogrammetric reconstructed Jupiter Column in two sections. Photo: IPF/KIT; (c) Detail of the inscription, digitized by applying structured light. Fictive colors. Photo: FCGL/Heidelberg University.

In our accompanying research, we found that both computer scientists and heritage professionals acknowledge “the original” and attributions of its auratic qualities. However, researchers in our sample stressed the importance of exact and accurate methods in digital reproductions. It can be said, that in creating digital versions, the “aura” of the original is replaced by the zeal and effort of the “accurate” and “geometrically exact” scientific research process. Moreover, the same researchers evoked the necessity to transmit scientifically produced knowledge to a larger audience. Hence, accurate, realistic, sustainable, and manageable representations help both to determine what the original artefact potentially was and to reveal its processual nature, providing thus a basis for further research and public communication. This notion of providing knowledge about and access to the artefact is congruent with the above-mentioned definition of the “Nara Declaration” (1994) demanding a transparent and therefore “credible” presentation of the sources of information.

The audio-kinetic sculpture *Kaleidophonic Dog* (1967, Fig. 2a) by the US-american-german artist Stephan von Huene (1932-2000) was virtualized for documentation and conservation purposes using VR-technologies as part of a methodological approach to a new kind of “informational preservation” (cf. Muñoz-Viñas 2011, p. 25). It consists of 8 wooden pipes, a xylophon, a wooden drum, a cymbal, and a wooden dog lying on his back and covered with red leather, with movable front legs, mouth and head. The 21 kinetic and acoustic parts are regulated by valves and bellows within a complex pneumatic system actioned by both a vacuum and a blowing motor. For this case-study, an interdisciplinary team composed of KIT-members of the Intelligent Sensor-Actuator-Systems Laboratory (ISAS) and the ZAK applied photogrammetric reconstruction based on 380 photos using VisualSFM, fine modelling in the 3D modelling software Blender as well as two game engines –Unity for testing and Unreal for the final implementation of sound and kinetic parameters–, and VR technologies for a lifelike experience of the results (Fig. 2c). We decided to include full sensory perception, such as spatial, kinesthetic, and acoustic experience levels, since they also transport important information for under-

standing cultural assets. At the KIT, we address this issue with the tests on an Oculus- and Kinect-based VR-system, developed by the ISAS Laboratory that allows the user for freely walking in a virtual environment (Faion *et al.* 2012). We used this system for the virtual re-enactment of media art into an “e-Installation”. An e-Installation is a virtualized media artwork that reproduces all synaesthesia and interaction levels of the artwork identified as relevant for the production of meanings (Muñoz Morcillo *et al.* 2014). It consists of the 3D model of the artwork, the inner logic – i.e. audio-visual, haptic, and sensor information– embedded in a game engine, and the appropriate tracking and VR-system to immersively experience the results. Based on a documentation of the artwork, which followed the pluralistic and multi-perspective approach of the “Variable Media Questionnaire” (by Ippolito, Depocas *et al.* 2003), we opted for the use of new textures for UV mapping the 3D model instead of following the photogrammetric information of the original. The manual texturing was formalistic nearer to the creation process of the artwork than using reconstructed textures, since the artist also covered 3D objects (i.e., hand carved wooden parts) with 2D surfaces (i.e., leather pieces, cf. Newmark 1972; Danieli 1968). In this sense, the making of the “virtual” Kaleidophonic Dog focuses on “the craftsman’s contribution to preserving authenticity” as described in “The Nara Grid” (Van Balen 2008, p. 40).

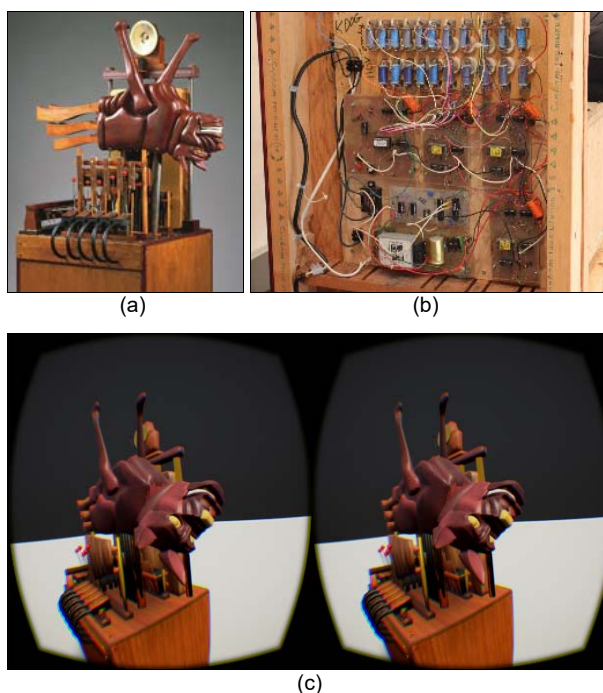


Figure 2: (a) Kaleidophonic Dog (1967). Photo: Artist’s State; (b) EPROM circuits for the control of the instruments and kinetic parts; (c) VR-version in Unreal. Photos: ZAK-ISAS/KIT.

As for the composition –a pseudo haphazard mixture of sounds–, there are two historically documented versions: the first one worked with five punched tapes in different length that activated the bellows of the instruments and kinetic parts, and the second one based on EPROM-circuits and relays (Fig. 2b). As the artist described (Kipphoff and Altner, p. 58), there are aesthetical differences between the pneumatically and the electrically regulated sculpture: the first version with

punched tapes was much more “sensuous” because of the fully pneumatic control, therefore it had a more noticeable corporeal presence. Changing some parameters in the game engine, the reproduction of this different versions is also possible. The composition principle itself was virtualized making a mixture of migration of the original binary code stored in the EPROMs and emulation of the timing and counter information of the circuits by blueprint programming these parameters in Unreal. The mentioned parameters were retrieved for the first time thanks to the virtualization effort.

3. Interim Findings: Authenticities

The “over-authenticity” of 3D objects such as the Jupiter Column promises an additional value for research activities. If those 3D objects are also embedded in convenient multimodal virtual environments, a pluralistic approach to the “authenticities” and “meanings” involved is possible, as the virtual re-enactment of the Kaleidophonic Dog and its multi-versional representation demonstrate. The preservation of media art in practice promotes a concept of authenticity in terms of continuity of representation, critical analysis and decision-making models for future challenges.

In the field of archaeology, we also need a pluralistic concept of authenticity. As the case study Jupiter Column shows, researchers and the general public can greatly profit from digitally reconstructed cultural artefacts that reveal layers of meanings and historical speculations in time-space annotations. The idea of the relative irrelevance of the physical source for the documentation of its meaning in a truthful sense is even clearer in the field of “informational preservation” as we see in the case study Kaleidophonic Dog or in the “digital conservation” in general. The source can be understood as its absolute physical condition but the authenticity is the result of the faithful transmission of its meanings that does not rely on the physical source but in its contextualization and creation processes. A transmission procedure based on scientifically testable virtualizations cannot utterly ensure the conservation of every meaning layer of an artwork, but is not necessarily worse than the transmission of ancient texts, which authenticity mainly relies on criticism and philological analyses.

Furthermore, the virtualization of the Kaleidophonic Dog was a complex knowledge process that allowed us to document the audio-kinetic composition principle of the artwork by studying the electronics: the documentation made during the virtualization process revealed the timing and counter parameters for the first time.

4. Conclusions

The interim results of the two projects discussed provide interesting findings for a reassessment of criteria for contextualizing 3D models: 1. there are more than only one authentic result regarding the 3D reconstruction using different methods: every result is a valid one, and the sum of them enhances the perceptible informational basis of the original; 2. we need several contextualization layers in order to understand the meanings of the objects through time and cultural contexts; and 3. the discovery of new documentation

aspects through virtualization processes supports an investigative notion of authenticity.

Last but not least, these experiences in VR archaeology and digital re-enactment of media art encourage some emendations in § 4.4 of the Seville Charter regarding authenticity in the sense of the Nara Declaration and the media art conservation theory: VR-Archaeology “must be openly committed to making alternative virtual interpretations provided by scientific validity”, whereby scientific validity should be based on truthful and credible values for different versions of an object, different uses through time as well as different cultural contexts and memories, and maybe even future projections of meanings.

Acknowledgements

This interim analysis on authenticity and virtual archaeology wouldn't be possible without the cooperation with interdisciplinary teams, whose members we thank here: Dr. Andreas Hensen (Museum

Lobdengau in Ladenburg), Prof. Dr. Christian Witschel (Heidelberg Center for Cultural Heritage, HCCH), Dr. Hubert Mara (FCGL – Forensic Computational Geometry Laboratory), Dr. Thomas Vögtle (Institute of Photogrammetric and Remote Sensing, IPF), Prof. Dr. Bernhard Höfle (GIScience / Geoinformatics Research Group), Florian Faion, Antonio Zea, and Prof. Dr. Uwe D. Hanebek (Intelligent Sensor-Actuator-Systems Laboratory, ISAS), as well as the e-Installation-students Sophie von Schmettow, Lian Xizhe, Miriam Jöchner, and the research fellow Mercedes Morita (Laboratory of Ablation, Cleaning and Restoration with Laser – Optics Research Center of La Plata, Argentina). For support during the documentation of the Kaleidophonic Dog we also thank the engineer and art restorer Prof. Werner W. Lorke (iO Interdisziplinäre Objekte) and the art critic Dr. Petra Kipphoff von Huene.

This work was supported by the Stadt Karlsruhe (“Interdisziplinärer Kulturtopf”) and the HEiKA-Research funds.

References

- BENJAMIN, W., 2006. *Das Kunstwerk im Zeitalter seiner technischen Reproduzierbarkeit*. Frankfurt am Main: Suhrkamp.
- DANIELI, F.A., 1968. West Coast Grottoes: Stephan von Huene. *Art Forum*, Januar 1968, pp. 50-52.
- DEPOCAS, A., IPPOLITO, J. and JONES, C., (eds.) 2003. *The Variable Media Approach – Permanence through Change*. New York: Guggenheim Museum Publications. See <http://variablemediaquestionnaire.net/> [6/1, 2016]
- FAION, F., FRIEDBERGER, S., ZEA, A. and HANEBECK, U.D., 2012. Intelligent sensor-scheduling for Multi-Kinect-Tracking. *Proceedings of the 2012 IEEE/RSJ, IROS*, October 2012, Vilamoura, Algarve, Portugal.
- HEUKEMES, B., 1975. Die Jupitergigantensäule von Ladenburg in antiker Zeit und heute – dreimal zerstört und zweimal wiederhergestellt. *Denkmalpflege in Baden-Württemberg* 4, Heft 2, pp. 39-43.
- INTERNATIONAL FORUM OF VIRTUAL ARCHAEOLOGY, 2012. *Principles of Seville*. International Principles of Virtual Archaeology. Final Draft. SEAV (Sociedad Española de Arqueología Virtual). Online document: <http://www.arqueologivirtual.com/carta/wp-content/uploads/2012/03/BORRADOR-FINAL-FINAL-DRAFT.pdf> [3/30, 2016].
- INTERNATIONAL COUNCIL ON MONUMENTS AND SITES, 1994. *The Nara Document on Authenticity*. ICOMOS/ICCROM/UNESCO: Nara.
- KIPPHOFF VON HUENE, P. and ALTNER, M., (eds.) 2012. *Stephan von Huene – Die gespaltene Zunge. Texte & Interviews*. Split Tongue. Text & Interviews. München: Hirmer.
- LOWENTHAL, D., 2008. Changing Criteria of Authenticity. In: Pamela Jerome (ed.), *An Introduction to Authenticity in Preservation*, *APT Bulletin* 39, no. 2/3, p.4.
- MUÑOZ, J., FAION, F., ZEA, A., HANEBECK, U.D. and ROBERTSON-VON-TROTHA, C.Y., 2014. e-Installation: Synesthetic Documentation of Media Art via Telepresence Technologies, Preprint: <http://archiv.org/abs/1408.1362> [4/15, 2016]. Revised version in: Bosteranu, Maria; Crăciun, Cerasella (eds.), 2016, *Space and Time Visualisations*, Springer Verlag (in press). See also <http://www.e-installation.org> [6/1, 2016].
- MUÑOZ-VIÑAS, S., 2011. *Contemporary Theory of Conservation*. Oxford: Elsevier.
- NEWMARK, D., 1972. An Interview with Stephan von Huene on his Audio-Kinetic Sculptures. *Leonardo* 5, pp. 69-72.
- RINEHART, R. and IPPOLITO, J., 2014. *Re-collection. Art, New Media, and Social Memory*. Cambridge: The MIT Press.
- VARIABLE MEDIA NETWORK, 2004. *Seeing Double. Emulation in Theory and Practice* (Solomon R. Guggenheim Museum; Daniel Langlois Foundation). Online Document <http://www.variablemedia.net/e/seeingdouble/> [6/1, 2016].
- VAN BALEN, K., 2008. The Nara Grid: An Evaluation Scheme Based on the Nara Document on Authenticity. *APT Bulletin* 39, no. 2/3 39: pp. 39-45.