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1 **Oil-in-water emulsions stabilised by cellulose ethers:**  
2 **stability, structure and *in vitro* digestion**

3  
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21

## 22 **Abstract**

23

24 The effect of cellulose ethers in oil-in-water emulsions on stability during  
25 storage and on texture, microstructure and lipid digestibility during *in vitro*  
26 gastrointestinal digestion was investigated. All the cellulose ether emulsions  
27 showed good physical and oxidative stability during storage. In particular, the  
28 methylcellulose with high methoxyl substituents (HMC) made it possible to  
29 obtain emulsions with high consistency which remained almost unchanged  
30 during gastric digestion, and thus could enhance fullness and satiety  
31 perceptions at gastric level. Moreover, the HMC emulsion slowed down lipid  
32 digestion to a greater extent than a conventional protein emulsion or the  
33 emulsions stabilised by the other cellulose ethers. Therefore, HMC emulsions  
34 could be used in weight management to increase satiation capacity and  
35 decrease lipid digestion.

36

## 37 1. Introduction

38

39 Fat-rich diets have been associated with high incidences of obesity and a  
40 higher risk of coronary heart disease, diabetes, and certain forms of cancer.<sup>1</sup> A  
41 potential strategy for combating these chronic diseases is to develop healthier  
42 foods by reducing the amount of fat.<sup>1,2</sup> However, the development of fat-  
43 reduced products is challenging because fats have a major impact on the  
44 physicochemical, sensory, and nutritional properties of foods.<sup>1</sup> Since this makes  
45 it difficult to formulate foods whilst maintaining consumer satisfaction, another  
46 solution is to develop functional foods by using strategies associated with  
47 controlling lipid digestion in the gastrointestinal human tract in order to reduce  
48 the bioavailability of the fat.<sup>3,4</sup> This solution requires a good understanding of  
49 the relationship between food structure and its behaviour before and during  
50 digestion.<sup>5</sup>

51 A large part of the lipids in processed foods is consumed in the form of oil-in-  
52 water (o/w) emulsions, in which the lipids are embedded in the form of droplets  
53 in an aqueous medium in order to enhance their stability and organoleptic  
54 quality. Emulsions are thermodynamically unstable systems, but they can be  
55 physically stabilised by emulsifiers to avoid immediate separation into oil and  
56 aqueous phases.<sup>6</sup> Proteins and polysaccharides have been widely used as  
57 emulsifiers, stabilisers, thickening or gelling agents in the food industry, to form  
58 physically stable emulsions and to control microstructure, texture, flavour and  
59 shelf life.<sup>7-9</sup>

60 Besides their physical instability, o/w emulsions also suffer oxidative  
61 deterioration (such as lipid oxidation) during storage.<sup>7</sup> This is directly associated  
62 with negative effects on taste, appearance, texture and shelf life and also leads  
63 to the formation of off-flavours (rancidity) and toxic compounds.<sup>10</sup> Various  
64 factors can influence the rate of lipid oxidation in emulsion-based foods, such as  
65 droplet size, composition of the interfacial layer, colloid structures in the  
66 aqueous phase, the presence of antioxidants and pro-oxidants (transition  
67 metals), etc.<sup>6,10</sup>

68 Nevertheless, in whatever form they are consumed, lipids are emulsified in  
69 the mouth, the stomach, and/or the small intestine due to: i) the mechanical

70 stresses they experience, ii) the presence of various endogenous and dietary  
71 surface-active and stabilising components<sup>4</sup> and iii) lipid digestion being an  
72 interfacial process<sup>3</sup> in which gastric and pancreatic lipases have to bind to the  
73 o/w interface, via complexation with co-lipase which adsorbs onto bile salts in  
74 the case of pancreatic lipase.<sup>11</sup> Hence, the substrate for dietary fat digestion is  
75 usually lipid droplets coated by a complex layer of surface-active material.<sup>4</sup> In  
76 general, in a state of lipase abundance in the duodenum<sup>12</sup> the human body has  
77 an excess capacity for fat digestion, so the rate and extent of fat digestion are  
78 controlled by the ability of lipase to bind to emulsion interfaces. This ability is  
79 controlled in turn by the lipid droplet's characteristics (its surface area and the  
80 composition of the lipid itself) and interfacial composition (e.g. the presence of  
81 bile salts and the nature of the interfacial layer).<sup>13</sup> Thus, in order to obtain  
82 healthier foods, the choice of emulsifiers, particle size and fatty acid  
83 composition are major factors to be taken into account when processing food  
84 with the aim of delaying or limiting lipid digestion and absorption.<sup>3</sup>

85 Moreover, several studies have shown that adding polysaccharides in the  
86 form of fibre can increase viscosity and induce the formation of gels in the  
87 stomach, and these properties can slow down gastric emptying and  
88 concurrently increase gastric volume/stomach distension,<sup>14</sup> which is positively  
89 and linearly correlated with postprandial fullness.<sup>15</sup> Therefore, controlling the  
90 emulsion structure and thus its digestion behaviour could make it possible to  
91 obtain emulsions with enhanced satiating capacity and lower lipid digestion  
92 which could be used in weight management.

93 Cellulose ethers are non-ionic dietary fibres that differ principally in molecular  
94 weight, viscosity and degree of substitution. In recent studies, the effect of  
95 different hydroxypropyl methylcelluloses (HPMCs) on lipid digestion of o/w  
96 emulsions has been investigated.<sup>2,16</sup> Torcello-Gómez and Foster<sup>2</sup> found similar  
97 results of lipolysis curves regardless the molecular weight, substitution pattern  
98 or initial concentration in the bulk of the HPMCs. However, Pizones Ruiz-  
99 Henestrosa *et al.*<sup>16</sup> attributed the slight difference in lipolysis extent between two  
100 types of HPMC to the molecular events occurring at the interface upon bile salts  
101 adsorption, due to their different methyl/hydroxyl ratio. Therefore, there is no a  
102 clear trend on the lipid digestion and its relation to the molecular weight or  
103 degree and type of substitution of the cellulose ethers used as emulsifiers in o/w

104 emulsions and thus further investigation is needed. In this regard, two types of  
105 hydroxypropyl methylcellulose (HPMC) and two types of methylcellulose (MC)  
106 are used in this study as emulsifiers in o/w emulsions in order to design new  
107 emulsions with satiation capacity and low lipid digestion. These new emulsions  
108 are prepared with high fat content, thus they can be used as fat replacers of  
109 conventional sources of solid fat in the diet, such as butter or shortening.

110 The first aim of this study, therefore, was to study the physical and oxidative  
111 stability of o/w emulsions stabilised by cellulose ethers and the second aim was  
112 to study their microstructure, texture and lipid digestion (free fatty acid release –  
113 FFA) during *in vitro* gastrointestinal digestion.

114

## 115 **2. Material and methods**

116

### 117 **2.1. Emulsion ingredients**

118

119 Oil-in-water emulsions were prepared with commercial sunflower oil (Koipe  
120 Sol, Deoleo S.A., Córdoba, Spain), drinking water (Bezoya, Calidad Pascual  
121 S.A.U., Burgos, Spain) and four different cellulose ethers with thermo-gelling  
122 ability (METHOCEL™ K4M, F4M, A4M and MX, from now on referred to as  
123 HHPMC, HPMC, MC and HMC, respectively), supplied by The Dow Chemical  
124 Company. HHPMC and HPMC are hydroxypropyl methylcelluloses. HHPMC  
125 (high hydroxypropyl methylcellulose) has a higher percentage of hydroxypropyl  
126 (7.7% hydroxypropyl, 22.5% methoxyl) than HPMC (hydroxypropyl  
127 methylcellulose) (6.8% hydroxypropyl, 29% methoxyl). MC and HMC are  
128 methylcelluloses. MC (methylcellulose) has less methoxyl substitution (30%  
129 methoxyl) than HMC (high methylcellulose) (methoxyl >30%). HHPMC, HPMC  
130 and MC have approximately the same viscosity (4000 mPa·s, measured at 2%  
131 aqueous solution at 20 °C by The Dow Chemical Company following reference  
132 methods ASTM D1347 and ASTM D2363) while HMC has a higher viscosity  
133 (50000 mPa·s, measured in the same way).

134

### 135 **2.2. Emulsion preparation**

136

137 The emulsions were prepared according to Sanz *et al.*<sup>17</sup> with some  
138 modifications. Each cellulose ether (2% w/w) was dispersed in the oil (47% w/w)  
139 using a Heidolph stirrer (Heidolph RZR 1, Schwabach, Germany) at 283 rpm for  
140 5 min. The mixture was then hydrated by gradually adding water at 1 °C while  
141 continuing to stir. A water temperature of 1 °C was selected in accordance with  
142 the specific hydration requirement of HMC and was employed for the other  
143 emulsifiers as well. Stirring continued using a homogenizer (Ultraturrax T18,  
144 IKA, Germany) at 6500 rpm for 15 s and subsequently at 17500 rpm for 60 s.  
145 Sorbic acid (0.1% w/w) was added as an antimicrobial agent to prevent  
146 microbial growth in the emulsions during storage (30 days at 4 °C).

147 A control emulsion with calcium caseinate (CaCN) (Fonterra Co-operative  
148 Group Ltd, Palmerston North, New Zealand) was also prepared for the *in vitro*  
149 digestion study. The CaCN powder (4.5% w/w) was slowly dispersed in the oil  
150 and then hydrated by gradually adding water, as previously described for the  
151 cellulose ether emulsions. In order to form an emulsion with similar oil droplet  
152 size to that of the cellulose ether emulsions, the homogenization conditions  
153 were also modified slightly: the first homogenizer speed (6500 rpm) was  
154 maintained for 30 s and the second (17500 rpm) for 120 s.

155

### 156 2.3. Physical stability

157

158 Physical stability was examined according to Goyal *et al.*<sup>18</sup> with few  
159 modifications. Immediately after preparation, approximately 20 g of sample  
160 were transferred into glass tubes (internal diameter 27 mm, height 100 mm),  
161 which were sealed with a plastic cap and stored at a low temperature (4 °C) for  
162 a period of 30 days. Digital photographs (Olympus E-510, Tokyo, Japan) of the  
163 samples were taken every 10 days. This physical stability investigation was  
164 performed in duplicate.

165

### 166 2.4. Oxidative stability

167

168 Fresh emulsions were placed in glass beakers, covered with aluminium foil  
169 and stored at a low temperature (4 °C) for 30 days. Before oxidative stability



170 determination, lipid extraction was carried out according to Timm-Heinrich *et*  
171 *al.*<sup>19</sup> with some modifications. The samples (approximately 20 g) were deep-  
172 frozen (-70 °C) for 24 h in a conical centrifuge tube and thawed before  
173 centrifugation at 10765 rpm for 10 min.

174 The primary lipid oxidation products were measured by the peroxide value  
175 method (PV) according to Hornero-Méndez *et al.*<sup>20</sup> In addition, formation of the  
176 secondary products was measured by the specific extinction value method  
177 (K270) according to ISO 3656.<sup>21</sup> Three replications were performed every 10  
178 days during the storage time.

179

## 180 2.5. *In vitro* digestion model

181

182 An *in vitro* gastrointestinal tract model consisting of oral, gastric and intestinal  
183 phases was used to simulate the biological fate of ingested samples, following  
184 Morell *et al.*<sup>22</sup>, Sanz *et al.*<sup>23</sup> and Qiu *et al.*<sup>24</sup> with some modifications.

185 To simulate oral digestion, 15 g of fresh emulsion sample were gently mixed  
186 for 5 s with 0.33 mL of fresh artificial saliva (pH 6.8, 62 mM NaHCO<sub>3</sub>, 6 mM  
187 K<sub>2</sub>HPO<sub>4</sub>·3H<sub>2</sub>O, 15 mM NaCl, 6.4 mM KCl, 3 mM CaCl<sub>2</sub>, mucin type II from  
188 porcine stomach (M2378, Sigma-Aldrich), α-amylase type VI-B from porcine  
189 pancreas (A3176, Sigma-Aldrich)).

190 To simulate gastric digestion, the sample obtained after the oral phase  
191 (bolus) was mixed with 4.8 mL of pre-incubated (37 °C; 5 min) simulated gastric  
192 fluid (pH 2, 53 mM NaCl, 1 mM CaCl<sub>2</sub>, 14.8 mM KCl, 5.7 mM Na<sub>2</sub>CO<sub>3</sub>). The pH  
193 was adjusted to 2.0 with HCl and 0.7 mg of pepsin (P7125, pepsin from porcine  
194 gastric mucosa, ≥ 400 units/mg protein, Sigma-Aldrich) was added. The mix  
195 was maintained at 37 °C with continuous stirring for 60 min.

196 To simulate intestinal digestion, 3.18 mL of bile extract (B8631, Sigma-  
197 Aldrich) solution (46.87 mg/mL phosphate buffer pH 7) and 1.2 mL of electrolyte  
198 mixture (pH 7, 21 mM NaCl, 2 mM KCl, 0.5 mM CaCl<sub>2</sub>) were added and the pH  
199 was increased to pH 7 with NaOH 1 M. After this, 0.5 g of pancreatin from  
200 porcine pancreas (P3292, Sigma-Aldrich) and 2.8 g of lipase from porcine  
201 pancreas (L3126, type II, 100-500 units/mg protein, Sigma-Aldrich) dissolved in

202 1.62 mL of phosphate buffer (pH 7) were added and the mix was maintained at  
203 37 °C and pH 7 with continuous stirring for 120 min.

204

## 205 2.6. Texture analysis

206

207 Emulsion texture measurement was carried out with a TA.XT.plus Texture  
208 Analyser (Stable Microsystems, Godalming, UK) using a 30 kg load cell. A back  
209 extrusion test was conducted using an A/BE-D40 back extrusion cell (40 mm  
210 diameter). The samples (50 g) were placed into an extrusion cylinder (50 mm  
211 internal diameter and 75 mm height) and one cycle was applied (speed: 1  
212 mm·s<sup>-1</sup>; distance: 15 mm). The area under the curve (N·s) after reaching the  
213 maximum force was recorded from the force-time profiles. The texture analysis  
214 was performed in triplicate.

215

## 216 2.7. Free fatty acid release

217

218 The extent of lipolysis was measured through the amount of free fatty acids  
219 (FFA) released during the intestinal phase. The pH of the mixture was  
220 monitored and the volume of NaOH 0.5 M used to neutralize the FFA released  
221 through lipid digestion was recorded using a pH-stat (Mettler-Toledo DL 50,  
222 Greifensee, Switzerland). The amount of FFA released was calculated as the  
223 percentage of FFA (% FFA) released during the digestion time as described by  
224 Li and McClements.<sup>25</sup> The measurement was carried out in duplicate.

225

## 226 2.8. Microstructure analysis

227

228 A Nikon ECLIPSE 80i (Nikon Co., Ltd., Tokyo, Japan) light microscope was  
229 used as described by Borreani *et al.*<sup>26</sup> An aliquot of each formulation was  
230 placed on a glass slide and observed at 20x magnification. A camera  
231 (ExWaveHAD, model no. DXC-190, Sony Electronics Inc, Park Ridge, New  
232 Jersey, USA) was attached to the microscope and connected to the video entry  
233 port of a computer. The images were captured and stored at 1280 x 1024 pixels  
234 using the microscope software (NIS-Elements F, Version 4.0, Nikon, Tokyo,

235 Japan). The software interfaced directly with the microscope, enabling image  
236 recording control. The images were taken from fresh samples and at the end of  
237 each digestion phase. Toluidine blue (0.2%) was used to stain the proteins and  
238 celluloses.

239 A Nikon confocal microscope C1 unit that was fitted on a Nikon Eclipse E800  
240 V-PS100E microscope (Nikon, Tokyo, Japan) was used. An aliquot of each  
241 formulation was placed on a glass slide and Nile Red (0.2%) and Rhodamine B  
242 (0.01%) solutions were added to stain fat and proteins and/or carbohydrates,  
243 respectively. Observations were performed 10 min after diffusion of the dyes  
244 into the sample at 60x magnification. Images were observed and stored with  
245 1024×1024 pixel resolution using the microscope software (EZ-C1 v.3.40,  
246 Nikon, Tokyo, Japan).

247 The droplet size of the fresh and intestinal-digested emulsions was  
248 determined from CLSM images. The diameter of 180 droplets from each sample  
249 was measured with the microscope software (NIS-Elements F, Version 4.0,  
250 Nikon, Tokyo, Japan).

251

## 252 2.9. Statistical analysis

253

254 Analysis of variance (ANOVA) was performed on the data using XLSTAT  
255 statistical software (version 2014.5.02, Microsoft Excel<sup>®</sup>, Barcelona, Spain).  
256 Fisher's Least Significant Difference (*LSD*) test was used to assess the  
257 differences in mean values ( $P < 0.05$ ).

258

## 259 3. Results and discussion

260

### 261 3.1. Physical stability

262

263 Phase separation was investigated to assess the stability of o/w emulsions  
264 during the storage time (Fig. 1). None of the cellulose ether emulsions exhibited  
265 phase separation during the 30-day storage period. The excellent physical  
266 stability of the emulsions containing cellulose ethers was probably due to the  
267 ability of these polysaccharides to increase the viscosity of the continuous

268 phase, which decreased droplet collisions, thus decreasing flocculation and  
269 coalescence and therefore reducing the creaming rate.<sup>8</sup> In the same way,  
270 Karlberg *et al.*<sup>27</sup> reported that the viscosity of the continuous phase and the  
271 adsorption of the hydrophobically modified cellulose at the o/w interface are the  
272 key factors for the stabilization mechanism of the emulsion.

273

### 274 3.2. Oxidative stability

275

276 The peroxide value (PV) of the emulsions over time is shown in Fig. 2A. In  
277 general, a continuous rising trend in PV was found throughout the storage time.  
278 However, the increase in PV seemed to differ according to the cellulose ether  
279 used to stabilise the emulsion. Specifically, the PV increased during storage in  
280 the following order: HPMC < MC < HHPMC < HMC. Therefore, the HPMC  
281 emulsion seemed to be the most oxidative-stable of the emulsions, as the PV  
282 increased slightly but significantly ( $P < 0.05$ ) between day 0 (5.4 meq/kg) and  
283 day 10 (6.6 meq/kg), then remained almost constant ( $P > 0.05$ ). This could  
284 mean that a smaller fraction of lipids was susceptible to oxidation due to the  
285 good protection afforded by HMPMC in this emulsion. In general, the oxidation  
286 stability provided by these cellulose ethers could be due to their adsorption  
287 ability on the o/w interface, acting as a physical barrier and thus separating the  
288 lipid substrates from the pro-oxidants present in the aqueous phase.<sup>28</sup> In  
289 addition, the amount of unadsorbed celluloses present in the continuous phase  
290 of the emulsions could enhance viscosity, resulting in slow diffusion of pro-  
291 oxidants and hence a decreased lipid oxidation rate, as observed by Khouryieh  
292 *et al.*<sup>8</sup> in whey protein-stabilised o/w emulsions with xanthan-locust bean gum  
293 mixtures. In this regard, as the HMC emulsion exhibited the highest viscosity  
294 (visual observations and textural results in section 3.3), it could be expected to  
295 be the most stable emulsion. However, the HMC emulsion exhibited a  
296 significant ( $P < 0.05$ ) increase in PV during the storage time (from 5.0 meq/kg at  
297 day 0 to 11.5 meq/kg at day 30), so it was the least oxidative-stable emulsion.  
298 This could be because some air bubbles formed inside the gel (Fig. 1) during  
299 the preparation of the HMC emulsion. The presence of these bubbles, and thus  
300 the presence of oxygen, could have promoted the formation of hydroperoxides.

301 Moreover, some authors have found a positive correlation between oil droplet  
302 size and lipid oxidation.<sup>29–31</sup> In this regard, the higher PV in HMC emulsion than  
303 in the other ones could be associated with its larger oil droplet size (see fresh  
304 emulsions mean diameters in microstructure section). Nonetheless, other works  
305 have shown no effect of droplet size on lipid oxidation<sup>32,33</sup> or an inverse  
306 correlation between droplet diameter and lipid oxidation.<sup>34,35</sup> Therefore, no  
307 consistent results are found in literature and thus there is no a clear trend on the  
308 lipid oxidation and its relation to the particle size measurements.<sup>31</sup> In  
309 conclusion, the good protection against oxidation afforded by cellulose ethers in  
310 o/w emulsions could be mainly due to their ability to separate the lipid substrate  
311 from the pro-oxidants (physical barrier on the interface) and their high capacity  
312 to thicken the aqueous phase (high bulk viscosity), which would result in slow  
313 diffusion of pro-oxidants.

314 As a consequence of hydroperoxide degradation, secondary oxidation  
315 products such as conjugated triens, aldehydes and ketones are formed and can  
316 be measured using the specific extinction coefficient at 270 nm (K270) (Fig.  
317 2B). High initial values could be due to the refined sunflower oil's containing oil  
318 refining products that also absorb at 270 nm. In general, all the emulsions  
319 showed a slight change in K270 values over the storage time. Therefore, few  
320 secondary oxidation products were expected to be formed. The HPMC  
321 emulsion exhibited a significant ( $P < 0.05$ ) increase in the K270 coefficient, from  
322 5.46 (day 0) to 6.45 (day 20). This could show that hydroperoxides formed  
323 during those 10 days degraded into few secondary oxidative products. Although  
324 the HMC emulsion exhibited a sharp increase in PV during storage, no  
325 significant ( $P > 0.05$ ) changes were observed in its K270 values.

326 In conclusion, in general, cellulose ethers provide good oxidative stability for  
327 o/w emulsions.

328

### 329 3.3. Texture analysis

330

331 The area under the curve (AUC) was taken as representative of the extrusion  
332 force profiles in relation to time, indicating the consistency of the samples  
333 (Table 1). On the one hand, the results showed that all the samples behaved in

334 the same way, as the AUC values of each cellulose ether emulsion decreased  
335 during the digestion phases as follows: fresh emulsion > after oral digestion >  
336 after gastric digestion. Specifically, the initial AUC values (fresh emulsions)  
337 exhibited a slight decrease after the oral phase but an accentuated decrease  
338 after the gastric phase. The reduction in AUC values for all the emulsions during  
339 the digestion phases was mainly due to the dilution effect of adding simulated  
340 oral and gastric fluids, because approximately the same results were obtained  
341 on carrying out the same test with water instead of simulated fluids (data not  
342 shown). Espert *et al.*<sup>36</sup> also observed that the decrease in force values in highly  
343 concentrated methylcellulose o/w emulsions should be attributed to water  
344 dilution rather than stomach conditions (acid pH and pepsin activity). Moreover,  
345 Espinal-Ruiz *et al.*<sup>1</sup> noted that the viscosity of all the emulsions they analysed  
346 (o/w emulsions stabilised by Tween-80 mixed with methylcellulose, chitosan or  
347 pectin) was relatively low under simulated gastric and intestinal conditions. They  
348 suggested that this could be attributed to the progressive dilution that occurs  
349 after passage through each stage of the gastrointestinal model. The emulsions  
350 stabilised with HPMC, HHPMC and MC exhibited similar AUC values in each  
351 phase, and therefore possessed a similar consistency. Although HPMC and MC  
352 emulsions were significantly different ( $P < 0.05$ ) before digestion (fresh  
353 emulsions), they did not exhibit significant differences ( $P > 0.05$ ) after the oral  
354 and gastric phases. The HMC emulsion showed significantly higher AUC values  
355 ( $P < 0.05$ ) compared to the other emulsions in all the phases. Hence, the HMC  
356 emulsion presented the highest resistance to extrusion, as it was the most  
357 consistent in all phases.

358 These results could offer an initial approach to weight management, because  
359 simply increasing the viscosity of foods and beverages increases subsequent  
360 satiety responses.<sup>37</sup> The intake of food or fluid distends the stomach and  
361 triggers mechanoreceptors and vagal afferents, which regulate satiation and  
362 satiety,<sup>15</sup> as the postprandial gastric volumes are linearly associated with  
363 perceptions of fullness and satiety.<sup>38</sup> As a consequence of larger gastric  
364 volumes, gastric emptying is delayed.<sup>39</sup> However, it must be taken into account  
365 that the intestine also plays a dominant role in satiation and satiety. The  
366 digestion and absorption of the nutrients influence gastrointestinal processes  
367 related with satiation and satiety. Therefore, the HMC emulsion, which exhibited

368 the highest consistency at gastric level, may be expected to slow down gastric  
369 emptying and concurrently increase gastric distension to a higher extent than  
370 the other cellulose emulsions and thus to increase fullness and satiety  
371 perceptions. Hence, this could be a good way to combat excess weight and  
372 obesity.

373

374 **Table 1.** Area under the curve (AUC) values (N·s) of the cellulose ether  
375 emulsions before (fresh emulsion) and after oral and gastric *in vitro* digestion.

376

Sample	Fresh emulsion	Oral phase	Gastric phase
HPMC	24.69 <sup>a</sup> (1.20)	21.53 <sup>a</sup> (0.99)	5.03 <sup>ab</sup> (0.47)
HHPMC	28.01 <sup>ab</sup> (0.42)	17.44 <sup>b</sup> (1.25)	4.46 <sup>b</sup> (0.83)
MC	31.52 <sup>b</sup> (2.68)	23.82 <sup>a</sup> (1.73)	6.27 <sup>a</sup> (0.29)
HMC	69.79 <sup>c</sup> (4.94)	53.89 <sup>c</sup> (1.27)	21.31 <sup>c</sup> (1.50)

377 Values in parentheses are standard deviations. Different superscript letters in the same  
378 column denote values with statistically significant differences ( $P < 0.05$ ) according to  
379 the LSD multiple range test.

380 HPMC: hydroxypropyl methylcellulose emulsion; HHPMC: high hydroxypropyl  
381 methylcellulose emulsion; MC: methylcellulose emulsion; HMC: high methylcellulose  
382 emulsion

383

### 384 3.4. FFA release during *in vitro* digestion

385

386 The free fatty acids (FFA) released during digestion of the cellulose ether  
387 emulsions were compared with a CaCN emulsion in order to check the  
388 effectiveness of cellulose ethers in decreasing fat digestibility. Fig. 3 shows the  
389 profiles of the FFA released from the different emulsions over the digestion  
390 time. As a general trend, there was a relatively rapid release of FFA during the  
391 first 10 min, after which the rate of lipid digestion decreased, reaching an almost  
392 constant value at the longer times. The slower rate of FFA release could be  
393 associated with an accumulation of lipolysis products at the droplet surface,  
394 which could compete with the lipase molecules for adsorption at the interface,  
395 reducing the lipase activity.<sup>4,11</sup> The CaCN emulsion had the highest digestion  
396 rate and extent of FFA release (approximately 50%). Conversely, the cellulose  
397 ether emulsions seemed to stabilise at 40 min and therefore showed an

398 appreciable decrease in the extent of lipid digestion compared to the CaCN  
399 emulsion. The relatively slower initial digestion rate of the cellulose ether  
400 emulsions might have been due to the higher time taken for the surface-active  
401 components in the bile extract or lipase to adsorb to the droplet surfaces and  
402 displace the initial emulsifier layer.<sup>24</sup> Among the emulsions stabilised with  
403 different types of cellulose ethers, the HHPMC, HPMC and MC emulsions  
404 behaved in the same way. They exhibited the same FFA release profile and  
405 small differences in the extent of lipid digestion (25-30% FFA released). This  
406 agrees with Pizones Ruiz-Henestrosa *et al.*<sup>16</sup>, who found that the amount of  
407 FFA released during the digestion of two emulsions stabilised by two different  
408 HPMCs (different molecular weight and hydrophobicity) was similar (45-50%).  
409 Moreover, in another study, all the emulsions stabilised with different types of  
410 HPMC had very similar digestion profiles regardless of their molecular weight or  
411 methoxyl content.<sup>2</sup> In contrast, in the present study the HMC emulsion was the  
412 least-digested one, exhibiting a very slow increase in FFA release and reaching  
413 approximately 20% of FFA released. The results obtained suggest that the  
414 lipase was able to access the emulsified lipid more readily in the CaCN-coated  
415 droplets than in the cellulose ether-coated droplets, with the HMC emulsion  
416 being the least accessible. Similarly, the lipid hydrolysis experiments of Mun *et*  
417 *al.*<sup>4</sup> suggested that the initial caseinate layer surrounding the droplets did not  
418 prevent the formation of free fatty acids in the emulsions. In addition, some  
419 authors have found non-ionic surfactants (such as different celluloses ethers  
420 and polysorbate 20 (Tween20) emulsions) to be more resistant to lipid digestion  
421 than protein or other polysaccharide-stabilised emulsions.<sup>1,4,11</sup> Moreover,  
422 different types of HPMC-stabilised emulsions have been reported as being  
423 more resistant to lipid digestion than a Tween20 emulsion.<sup>2</sup>

424 The low release of FFA from cellulose ether emulsions in the present study  
425 might have been due to a number of possible reasons. Firstly, cellulose ethers  
426 may have been able to form interfaces that were more resistant to displacement  
427 by bile salts, which may make it difficult for lipase to access the interface  
428 required for lipid digestion.<sup>2,24</sup> Secondly, greater interactions between the  
429 cellulose and bile salts might take place, hindering the access of bile salts to the  
430 o/w interface.<sup>2</sup> Thirdly, the high consistency of cellulose emulsions at the end of  
431 the gastric phase (especially that of the HMC emulsion) may have been able to



432 alter mass transport, inhibiting the ability of lipase to reach the lipid droplet  
433 surfaces.<sup>1</sup> Therefore, these results, together with those of several other  
434 researchers, demonstrate that lipase activity, and hence lipid digestion, could  
435 depend on the nature of the emulsifier, among other physicochemical factors.

436 The present results could offer a second approach to weight management:  
437 reducing lipid digestion and thus, possibly, lipid absorption. Several  
438 gastrointestinal processes affect satiation and satiety. They include gastric  
439 distension and gastric emptying, as previously mentioned, but also digestion  
440 and absorption, which are influenced by the physicochemical properties of the  
441 nutrients present in a meal.<sup>40</sup> When fat is emptied from the stomach into the  
442 small bowel, the presence of fatty acids is sensed by the small intestinal  
443 mucosa, which leads to secretion of gut peptides such as cholecystokinin (CCK)  
444 and peptide YY (PYY), the two important satiety hormones.<sup>12</sup> In turn, these  
445 hormones lead to a delay in gastric emptying,<sup>41</sup> influencing hunger and food  
446 intake.<sup>42</sup> Therefore, although reducing lipid digestion in order to reduce lipid  
447 absorption could be a good strategy for combating chronic diseases associated  
448 with overweight and obesity, it is important to digest a relatively small part of the  
449 lipids in order to influence satiety as well and avoid possible digestive problems.  
450 In this regard, the possibility of controlling the structure and lipid digestion of  
451 novel emulsions in order to control the appetite (increasing the feeling of  
452 satiation and satiety, which might lead to lower total calorie consumption) and  
453 nutrient delivery is of considerable interest.<sup>1,16</sup>

454

### 455 3.5. Microstructure analysis

456

457 LM and CLSM were used to observe the initial microstructure of the cellulose  
458 and caseinate emulsions and follow the microstructural changes that took place  
459 during gastrointestinal digestion (Fig. 4 and 5A). The fresh emulsions had a  
460 heterogeneous distribution of oil droplets size (Fig. 5B). The mean diameter of  
461 the oil droplets were  $9.4 \pm 4.1 \mu\text{m}$  for CaCN emulsion,  $10.3 \pm 3.1 \mu\text{m}$  for HPMC  
462 emulsion,  $9.2 \pm 2.9 \mu\text{m}$  for HHPMC emulsion, and  $10.5 \pm 4.6 \mu\text{m}$  for MC  
463 emulsion. Therefore, these emulsions exhibited a similar mean droplet  
464 diameter. On the contrary, the HMC emulsion showed several large oval oil

465 droplets (Fig. 4 and 5A) with a mean diameter of  $16.4 \pm 5.6 \mu\text{m}$  and a droplet  
466 size distribution with higher values than those of the other emulsions (Fig. 5B),  
467 as well as some air spaces among the oil droplets. These results were in  
468 accordance with the visual aspect observed in Fig. 1 (shown in section 3.1),  
469 where some holes could be observed in the HMC emulsion but none were  
470 visible in the other emulsions.

471 Although the consistency values decreased after the oral phase (see results  
472 section 3.3), no dilution effect in the cellulose ether emulsions was appreciable  
473 in the micrographs. This effect could be due to water holding capacity of the  
474 cellulose ethers. However, this effect was noticeable in the CaCN emulsion,  
475 where the oil droplets seemed to be more dispersed and the protein network  
476 formed by the CaCN could be clearly seen in purple.

477 After the gastric phase (Fig. 4), the purple-stained protein network of the  
478 CaCN emulsion disappeared, due to pepsin digestion, and several oil droplets  
479 therefore appeared flocculated, forming a large floc (around  $267 \mu\text{m}$ ). Mun *et*  
480 *al.*<sup>4</sup> also observed many clustered droplets rather than large individual droplets  
481 in a caseinate emulsion, indicating that it appeared to be more prone to droplet  
482 flocculation than coalescence. On the one hand, this fact could be due to the  
483 drop of the pH from the oral phase (pH 6.8) to the gastric phase (pH 2) that  
484 could destabilise CaCN, because CaCN reaches its isoelectric point (around pH  
485 4.6) and could aggregate and precipitate. On the other hand, the proteolysis of  
486 the interfacial layer promotes the formation of oil droplet aggregates as it  
487 causes a gradual loss in the superficial charge of the droplets and reduces the  
488 thickness of the interfacial layer.<sup>11</sup> In the micrographs of the cellulose ether  
489 emulsions after the gastric phase (Fig. 4), the dilution effect was more visible  
490 than after the oral phase, the size of the oil droplets remained almost  
491 unchanged (Fig. 4) and flocculation mechanisms were absent. Bellesi *et al.*<sup>11</sup>  
492 found that after few minutes of gastric digestion, HPMC-coated droplets showed  
493 a slight change in particle size distribution, which remained almost constant for  
494 the rest of the gastric digestion time. The authors explained that this was  
495 because of the lower number of ionizable groups reported for the HPMC  
496 compared to the proteins (soy and whey proteins) and because the pepsin had  
497 no effect on fats and carbohydrates. Moreover, Gallier *et al.*<sup>43</sup> observed that a  
498 non-ionic surfactant was not affected by the drop in pH in the stomach and thus

499 Tween-oil emulsions remained stable under gastric conditions. The results  
500 corroborate the fact that cellulose ether emulsions are more resistant under  
501 gastric conditions than protein emulsions.

502 After the intestinal phase (Fig. 4 and 5A), the micrographs showed that large  
503 changes had occurred in all the emulsions, especially in the CaCN emulsion.  
504 Very small oil droplets (with a mean diameter of  $2.2 \pm 0.9 \mu\text{m}$  for CaCN  
505 emulsion,  $3.1 \pm 1.0 \mu\text{m}$  for HPMC emulsion,  $2.7 \pm 1.1 \mu\text{m}$  for HHPMC emulsion,  
506  $2.3 \pm 0.6 \mu\text{m}$  for MC emulsion, and  $4.5 \pm 2.8 \mu\text{m}$  for HMC emulsion) and the  
507 formation of new kinds of aggregates were observed, which were very large  
508 (around 130-140  $\mu\text{m}$ ) in the case of the CaCN emulsion (Fig. 4). However, the  
509 HMC emulsion exhibited smaller changes (Fig. 4 and 5A), as several oil  
510 droplets with different sizes were still observed and aggregates did not seem to  
511 have been formed. The oil droplet size distribution of the HMC emulsion (Fig.  
512 5B) showed a wide peak around 3-4  $\mu\text{m}$  near the peaks of the other emulsions,  
513 but also another peak around 8  $\mu\text{m}$  and a tail around 10-14  $\mu\text{m}$ . These results  
514 matched those obtained for the percentage of FFA release. On the one hand,  
515 the CaCN emulsion was the one with highest values of FFA release, indicating  
516 that it was the most-digested sample, and this emulsion showed the smallest oil  
517 droplets (with a mean diameter of  $2.2 \pm 0.9 \mu\text{m}$  at the end of the intestinal  
518 phase). On the other hand, the HMC emulsion was the least-digested (it  
519 showed lower %FFA values) likely due to the largest oil droplets (with a mean  
520 diameter of  $4.5 \pm 2.8 \mu\text{m}$ ) exhibited after *in vitro* gastrointestinal digestion.  
521 Bellesi *et al.*<sup>11</sup> observed that irrespective of the composition/structure of the  
522 emulsions, the initial surface area determined the initial rate of lipolysis.  
523 Therefore, the lower release of FFA from the HMC emulsion could be due to a  
524 wide variety of reasons. Firstly, its higher droplet size compared to the other  
525 cellulose ether emulsions. Hence, this emulsifier provided a smaller initial  
526 interfacial area for the lipase to attach to, with the possibility of hydrolysing lipids  
527 at a lower rate and to a smaller extent, as Torcello-Gómez and Foster<sup>2</sup>  
528 observed in different HPMC emulsions. In this regard, the inhibition of lipid  
529 digestion could be expected to increase as the flocs size rose and as the  
530 packing of droplets and polymers within the flocs grew, since these factors  
531 would reduce the ability of lipase molecules to diffuse rapidly through the whole  
532 of the flocs.<sup>1</sup> Nevertheless, although the CaCN emulsion could present a

533 smaller initial interfacial area due to the formation of flocs at the gastric phase  
534 (Fig. 4), it was the most-digested sample. Secondly, the highest bulk viscosity of  
535 the HMC emulsion, which implies a physical impediment for the lipase to reach  
536 the interface. Thirdly, the possible thermal gelation of the continuous aqueous  
537 phase at 37 °C,<sup>17</sup> which could make even more difficult the access of the  
538 enzyme to the substrate.

539 Pizones Ruiz-Henestrosa *et al.*<sup>16</sup> concluded that the difference in the rate  
540 and extent of lipolysis found in their results could mainly be attributed to the  
541 molecular events occurring at the interface upon bile salt adsorption, rather than  
542 to differences in the molecular weight/viscosity or the size/surface area  
543 available for the action of lipase/colipase. Hydrophobic interactions have been  
544 postulated to take place between cellulose ethers and bile salts<sup>44</sup> and both  
545 methyl and hydroxypropyl groups can bind or “sequester” bile salts.<sup>16</sup>  
546 Specifically, the hydrophobic faces of bile salt molecules adsorb to the  
547 hydrophobic portions of cellulose ethers.<sup>45</sup> In the case of methylcelluloses  
548 (which only have methyl group substituents), although bile salts would adsorb to  
549 the methyl groups, other methyl groups would be still available for hydrophobic  
550 association for cellulose molecule self-assembly.<sup>45</sup> In the case of hydroxypropyl  
551 methylcelluloses (HPMCs), the adsorption of bile salts onto the larger  
552 hydroxypropyl groups would hinder the hydrophobic association to a larger  
553 extent due to steric effects and because hydroxypropyl groups are more  
554 “difficult” to incorporate within ordered structures than methylcelluloses.<sup>44</sup>  
555 Therefore, in the case of HPMCs the lower methyl group content and the  
556 presence of more polar and larger hydroxypropyl groups that inhibit  
557 intermolecular association leads to the formation of a more untangled system  
558 than with MC and explains why HPMCs would be more affected by bile  
559 salts.<sup>44,45</sup> In this context, bile salts interacting with the hydrophobic groups of the  
560 cellulose backbone would impart a negative charge that would increase the  
561 repulsion between the cellulose molecules, thus decreasing their tendency to  
562 aggregate or self-assemble. As the self-assembly or aggregation tendency of  
563 HPMCs was more hindered by bile salts than that of the other cellulose ethers,  
564 as described above, this would provoke more untangling of the cellulose  
565 molecules at the interface, making more sites available for lipase adsorption  
566 and resulting in more extensive lipolysis.<sup>16</sup> Therefore, a thicker adsorbed

567 interfacial layer formed by cellulose ethers and/or an interfacial arrangement  
568 with more entanglements, which could be the case of HMC emulsion due to  
569 higher methyl substitution, and thus stronger hydrophobic interactions, could  
570 possibly be less susceptible to disruption by intestinal components (mainly bile  
571 salts as described above).<sup>2</sup> This could be why the HMC emulsion exhibited the  
572 lowest percentage of FFA release, besides its larger initial droplet size and its  
573 higher consistency. Consequently, according to the findings of the present  
574 study, the physical barrier effect of the cellulose ethers on the droplet interfaces,  
575 the increased viscosity in the continuous phase, the molecular events occurring  
576 at the interface as well as the droplet size could have a great impact on lipid  
577 digestibility.

578

#### 579 **4. Conclusions**

580

581 This study has demonstrated that the use of cellulose ethers provided good  
582 physical and oxidative stability to o/w emulsions. This seemed to be due to the  
583 ability of the cellulose ethers to form a physical barrier on the interface, allowing  
584 the lipid substrate to be separated from the pro-oxidants, as well as to a  
585 thickened aqueous phase that would slow down the diffusion of these pro-  
586 oxidants.

587 Also, the use of these cellulose ethers with thermo-gelling ability, specifically  
588 HMC, made it possible to obtain o/w emulsions with high consistency even  
589 during gastric digestion, which could slow down gastric emptying and increase  
590 gastric distension, thus increasing fullness and satiety perceptions.

591 Moreover, this study has shown that cellulose ethers, in particular HMC,  
592 delay lipid digestion of o/w emulsions compared to a conventional food  
593 emulsifier (calcium caseinate).

594 Therefore, considering the results as a whole, it was concluded that  
595 controlling the structure of emulsions and their digestion behaviour could  
596 achieve emulsions that enhance satiation capacity and decrease lipid digestion,  
597 which could be used in weight management.

598

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600

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606

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702 61.  
703  
704

705 **Figures captions**

706

707 **Fig. 1** Effect of emulsifier type and storage time on physical stability. HPMC:  
708 hydroxypropyl methylcellulose emulsion; HHPMC: high hydroxypropyl  
709 methylcellulose emulsion; MC: methylcellulose emulsion; HMC: high  
710 methylcellulose emulsion.

711

712 **Fig. 2** Lipid oxidation rate of o/w emulsions stabilised with different cellulose  
713 ethers. **A)** Peroxide value (PV) and **B)** specific extinction coefficient at 270 nm  
714 (K270) during a storage period of 30 days. The error bars represent standard  
715 deviations. Different lowercase letters on the bars indicate significant  
716 differences ( $P < 0.05$ ) during the storage period within each emulsion. 0; 10; 20  
717 and 30 denote storage time in days. HPMC: hydroxypropyl methylcellulose  
718 emulsion; HHPMC: high hydroxypropyl methylcellulose emulsion; MC:  
719 methylcellulose emulsion; HMC: high methylcellulose emulsion.

720

721 **Fig. 3** Free fatty acid (FFA) released under simulated *in vitro* intestinal  
722 conditions from emulsions stabilised by protein (CaCN ×) and by different  
723 cellulose ethers (HHPMC ●, MC ■, HPMC ◆ and HMC ▲). The error bars  
724 represent standard deviations.

725

726 **Fig. 4** Light microscopy micrographs of emulsions stabilised by different  
727 emulsifiers before (fresh emulsion) and after oral, gastric and intestinal  
728 digestion phases. Magnification 20x. The scale bars measure 100 μm. White  
729 and black arrows show cellulose ethers and oil droplets respectively. CaCN:  
730 calcium caseinate emulsion; HPMC: hydroxypropyl methylcellulose emulsion;  
731 HHPMC: high hydroxypropyl methylcellulose emulsion; MC: methylcellulose  
732 emulsion; HMC: high methylcellulose emulsion.

733

734 **Fig. 5 A)** Confocal micrographs of emulsions stabilised by different emulsifiers  
735 before (fresh emulsion) and after intestinal digestion phase. Magnification 60x.  
736 The scale bars measure 60 μm. **B)** Droplet size distribution of emulsions before  
737 (fresh) and after intestinal digestion phase. CaCN: calcium caseinate emulsion;

738 HPMC: hydroxypropyl methylcellulose emulsion; HHPMC: high hydroxypropyl  
739 methylcellulose emulsion; MC: methylcellulose emulsion; HMC: high  
740 methylcellulose emulsion.  
741

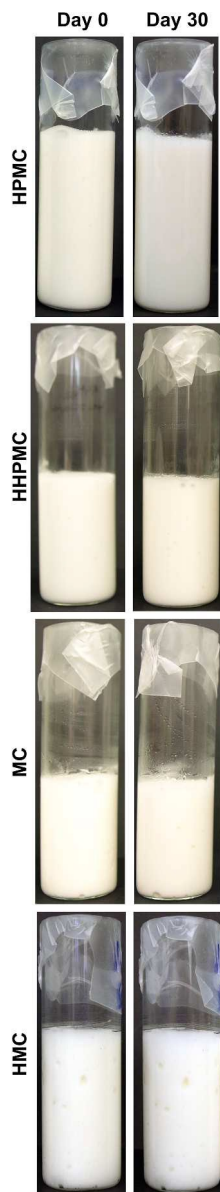


Figure 1

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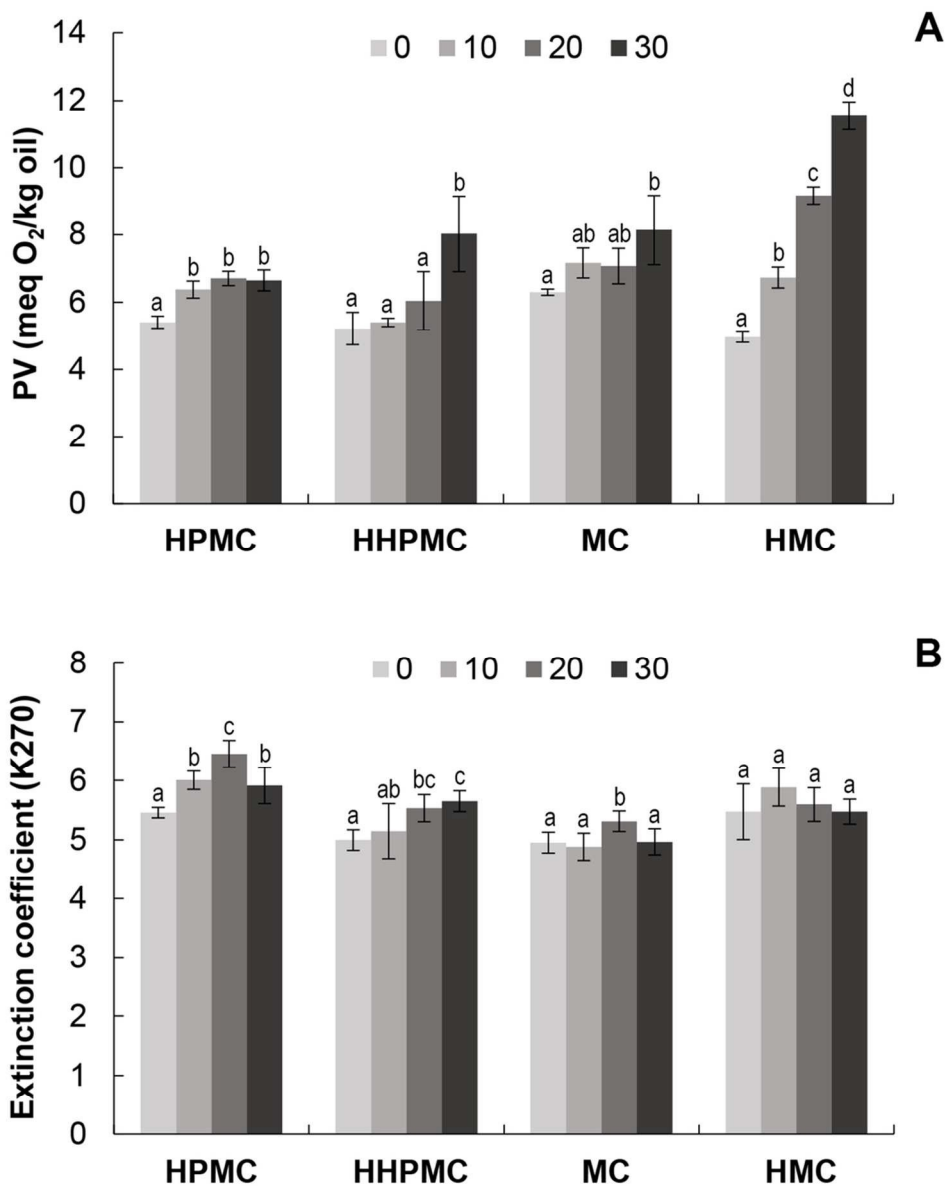
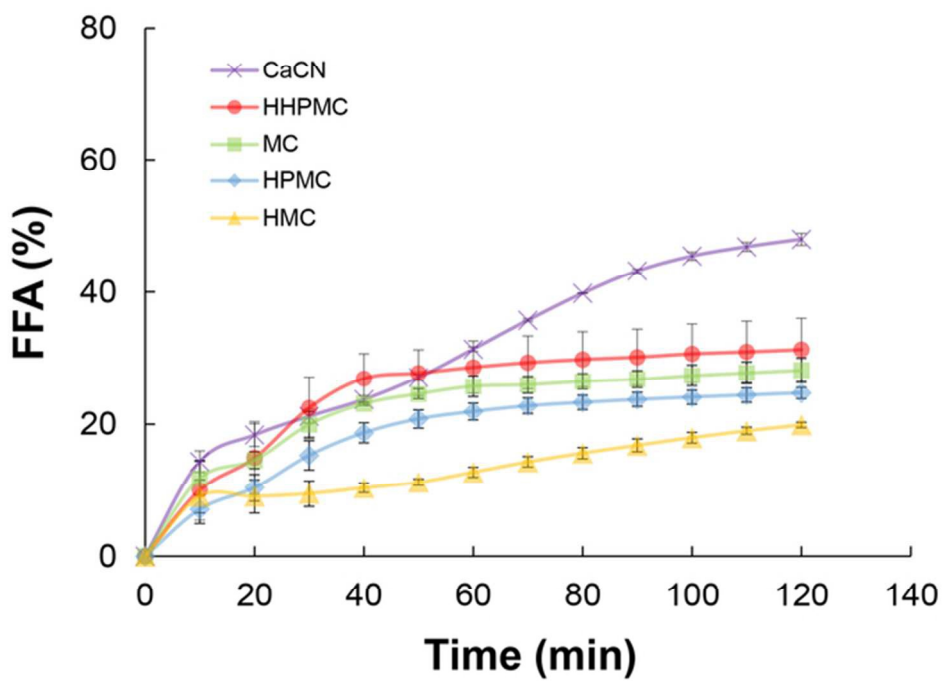
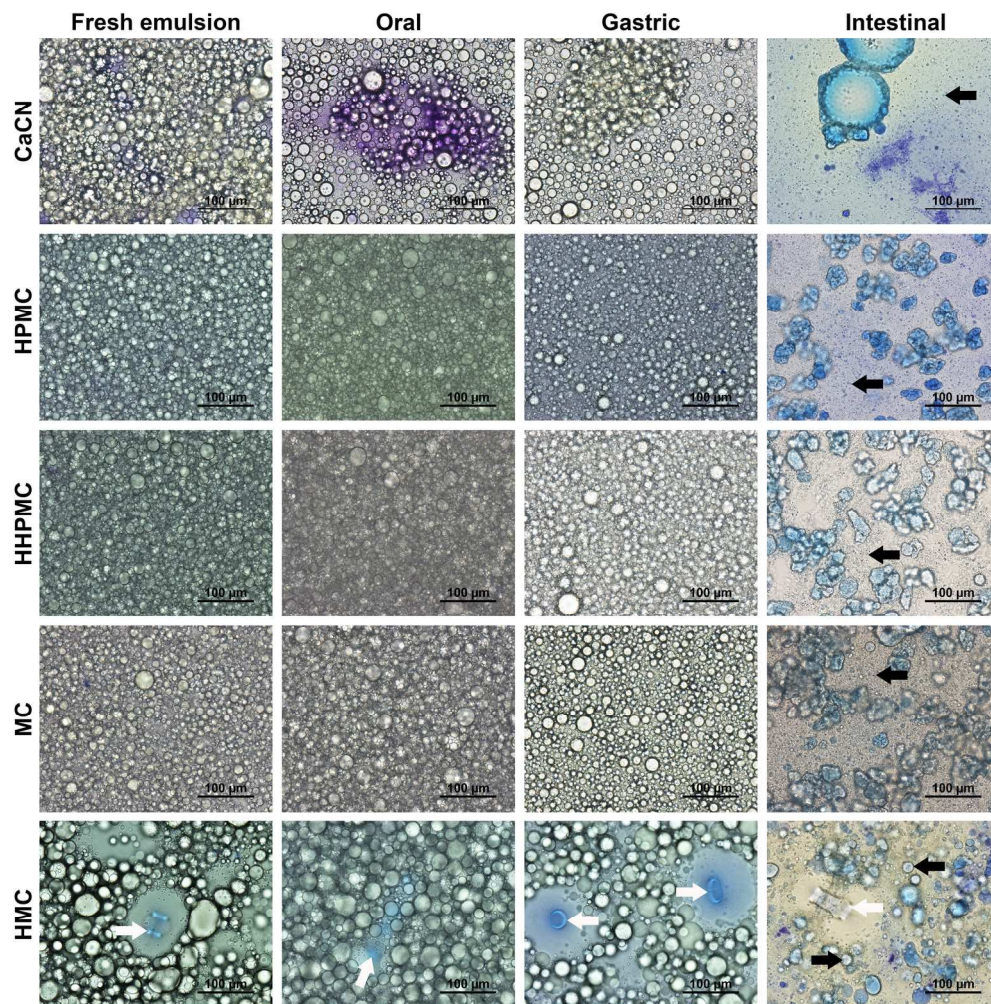


Figure 2

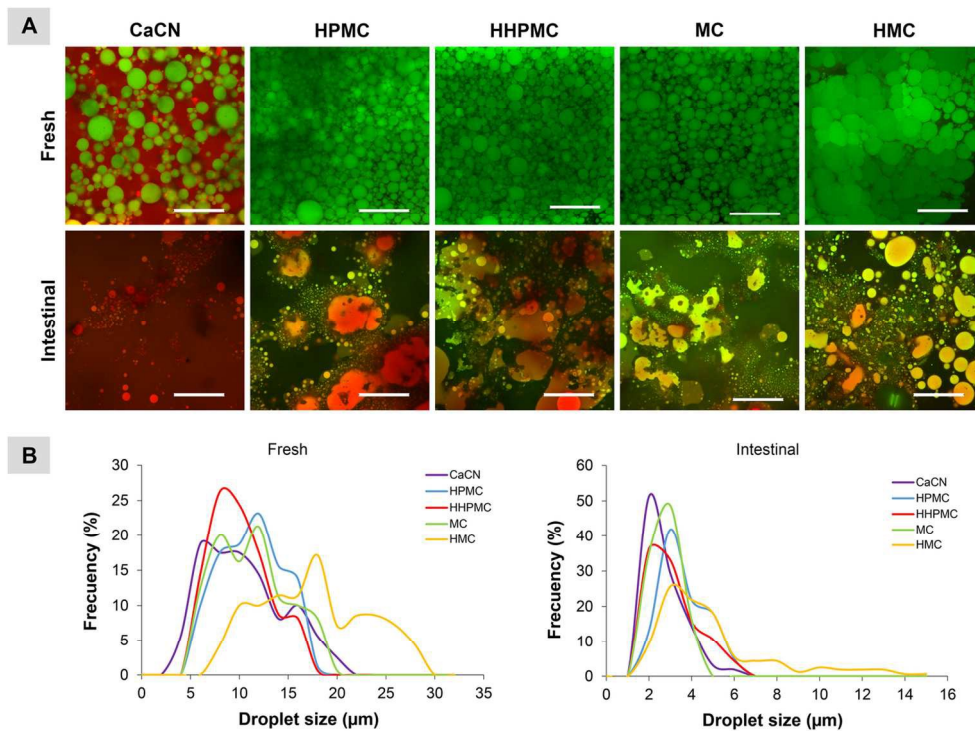
101x124mm (300 x 300 DPI)



60x44mm (300 x 300 DPI)



172x173mm (300 x 300 DPI)



128x95mm (300 x 300 DPI)



Cellulose ether emulsions have good physical and oxidative stability and can delay *in vitro* lipid digestion.

HMC emulsions inhibit lipolysis more than others and could enhance gastric fullness and satiety

