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# Selective and sensitive colorimetric detection of the neurotransmitter serotonin based on the aggregation of bifunctionalised gold nanoparticles

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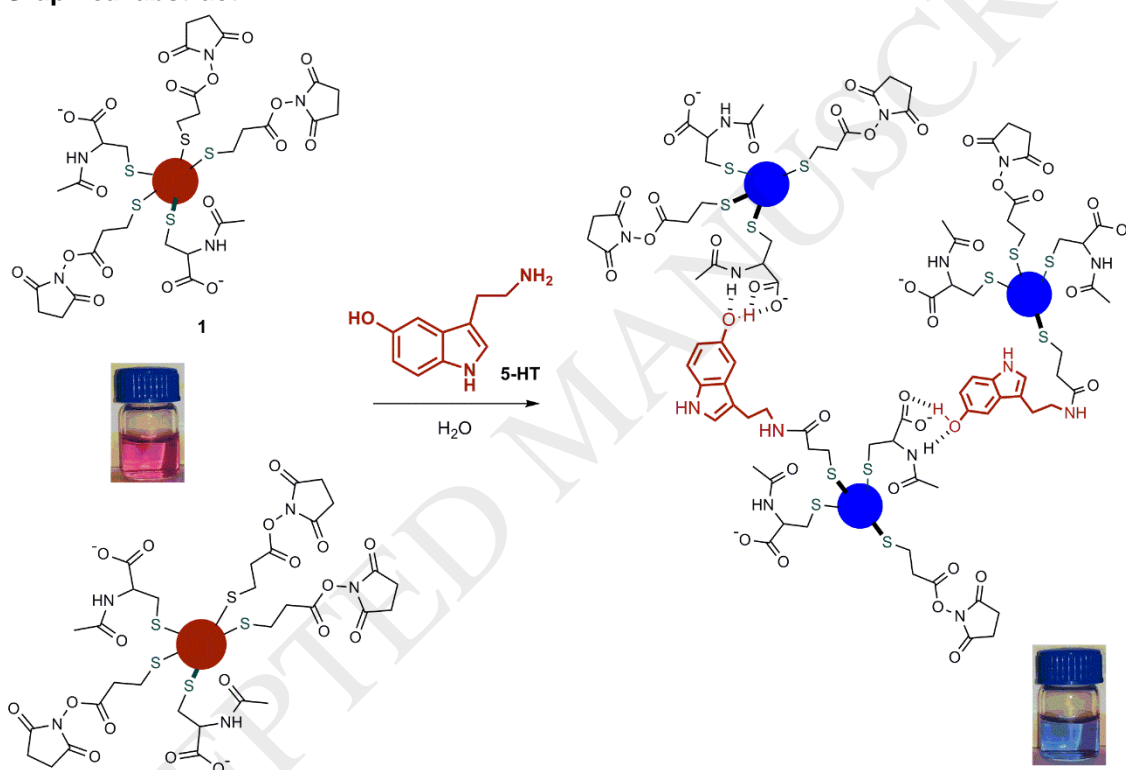
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## Graphical abstract



**Abstract:** We report a simple, sensitive and selective method for the colorimetric detection of serotonin (5-HT) in aqueous media using bifunctionalized gold nanoparticles (AuNPs). The probe (**1**) consisted of AuNPs functionalised with dithiobis(succinimidylpropionate) (DSP) and N-acetyl-L-cysteine (NALC). DSP was chosen to react with the amino group of 5-HT, whereas NALC was chosen to bind the hydroxyl group in 5-HT through hydrogen bonding and electrostatic interactions. A double interaction between nanoparticles and the hydroxyl and the amino group of serotonin led to interparticle-crosslinking aggregation. This, resulted in a colour change from red to blue that can be observed by the naked eye. The probe was selective to 5-HT and no colour modulation was observed in the presence of other neurotransmitters (i.e.

dopamine, epinephrine, norepinephrine), selected biomolecules (i.e. L-tyrosine, gamma-aminobutyric acid, L-cysteine, uric acid, oxalic acid, aspartic acid and glutamic acid) and common inorganic species. A limit of detection as low as 0.1  $\mu\text{M}$  was determined in buffered water at pH 7 by UV-vis titrations. Similar response of the probe to 5-HT was observed in simulated blood serum, with a limit of detection of 0.12  $\mu\text{M}$ , and a linear response within the 0-3  $\mu\text{M}$  concentration range, which is within the range of the 5-HT concentrations of clinical interest. Finally, the performance of probe (1) in real human blood samples was evaluated, and showed a remarkable ability to distinguish between normal 5-HT levels and 5-HT levels indicative of disease.

**Keywords:** serotonin (5-HT) • gold nanoparticles • colorimetric detection • aggregation • neurotransmitters

## 1. Introduction

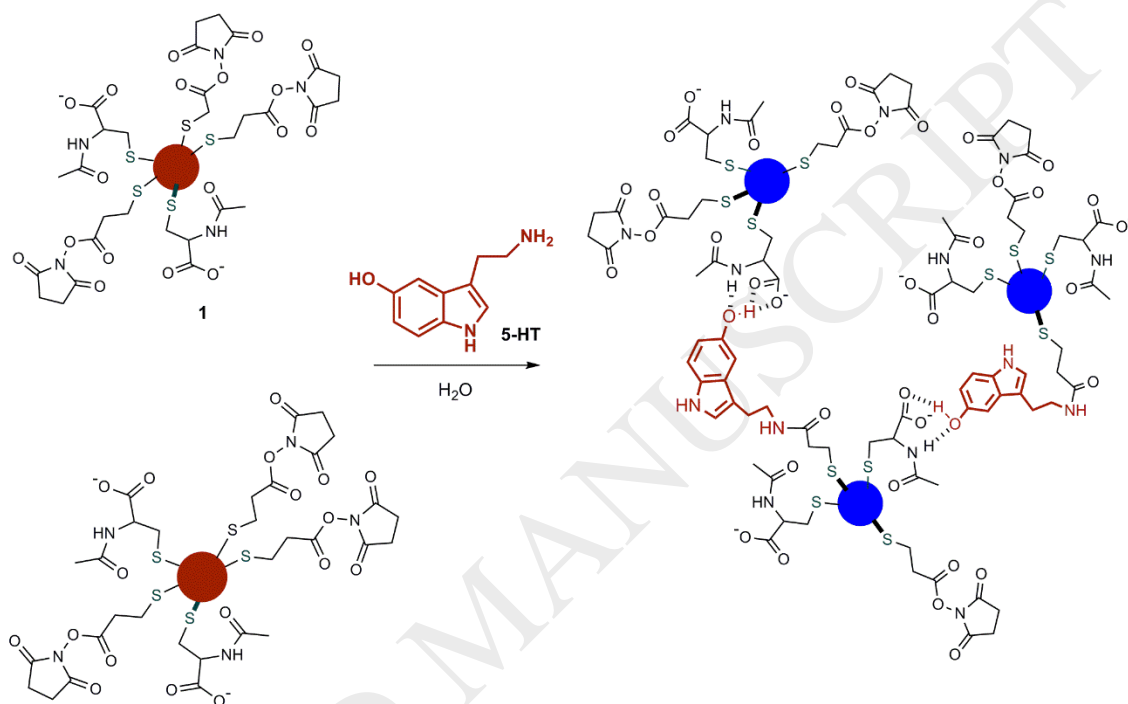
Serotonin (5-hydroxytryptamine, 5-HT), commonly known as the molecule of happiness, is an important neurotransmitter that plays a key role in the regulation of various cognitive and behavioural functions such as sleep, mood, pain, anxiety, appetite control, sexual activity and learning [1]. Serotonin is produced in several locations including the brain, to transport information along the central nervous system, and also in the gastrointestinal track (GIT) to regulate intestinal movement [2-3]. Abnormal 5-HT levels have been related with a number of disorders like depression, migraines, ADHD, autism and inflammatory syndromes [4-5]. Moreover, carcinoid tumours, which usually start in the GI tract, secrete large amounts of 5-HT. This leads to high 5-HT levels in the blood of patients and causes flushing of the skin, diarrhoea and breathing problems. In fact, evaluating 5-HT blood levels, together with 5-hydroxyindoleacetic acid (a metabolite of 5-HT), is the principal laboratory test to diagnose carcinoid syndrome [6-7]. Although how the serotonergic system operates exactly is still not well-known, scientists are attempting to elucidate the possible hormonal role of serotonin in neurodegenerative disorders like Parkinson's [8-9] and Alzheimer's disease [10]. All these previous results have suggested the potential use of 5-HT as a biomarker in a number of diseases.

In this scenario, the development of methods to detect 5-HT is a field of interest. Reported methods for 5-HT detection include liquid chromatography [11-17] and electrochemical techniques [18-24]. For example, the oxidation/reduction of 5-HT and dopamine using modified glassy carbon electrodes has been reported as a suitable electrochemical approach for 5-HT detection [23-24]. Enzymatic [25] and solid surface-room temperature phosphorescence assays have also been reported [26]. Other reported methods include fluorometric [27-29], flow cytometry [30], immunocytochemical and immunohistochemical [31-33] techniques. Although most of these methods can accurately measure 5-HT, they require sophisticated high cost equipment and are time-consuming (especially liquid chromatography). However, designing simple procedures to detect 5-HT remains a challenge due to the complexity of the molecule and common interference from other neurotransmitters with similar chemical structures such as dopamine and epinephrine.

As an alternative to traditional instrumental methods, chromogenic probes offer certain advantages as they are usually cheap, easy to use, require simple instrumentation or not instrumentation at all, and allow in situ and at-site detection [34]. An appealing approach to develop colorimetric probes is to use gold nanoparticles (AuNPs) given their unique optoelectronic properties [35-37]. When using AuNPs, the detection strategy is usually based on colour changes that arise from interparticle plasmon coupling during analyte-induced aggregation of nanoparticles. It is known that the red colour of dispersed AuNPs of a certain size turns dark blue upon aggregation, and this colour change can usually be observed by the naked eye, even at very low analyte concentrations [38-43]. For instance, Tian and co-workers have reported the use of functionalised AuNPs for the colorimetric detection of dopamine. A double interaction between the nanoparticles and the diol and the amino group of dopamine triggered the aggregation of AuNPs that results in a clear colour modulation [44].

For all the above reasons, and given our current interest in developing probes to detect bio-relevant molecules, we report herein a simple, sensitive and selective method for the colorimetric detection of 5-HT using bifunctionalised AuNPs. The recognition paradigm is shown in Scheme 1. Probe **(1)** consists of AuNPs of ca. 15 nm, which were functionalised dithiobis(succinimidylpropionate) (DSP) and N-acetyl-L-cysteine (NALC). DSP was chosen as a suitable group because it is able to react with the amino group in 5-HT [45], whereas NALC

plays a double role: (i) it acts as a stabiliser for AuNPs thanks to its negative charge at a neutral pH; (ii) is also able to bind the hydroxyl group in 5-HT through hydrogen bonding and electrostatic interactions [46]. Whereas, functionalised AuNPS **1** would not aggregate, we expected the double interaction between 5-HT and **1** to lead to interparticle-crosslinking aggregation, which would result in a colour change from red to blue.



**Scheme 1.** Sensing paradigm of the colorimetric detection of serotonin (5-HT) based on gold nanoparticles bifunctionalised with NALC and DSP (probe **1**).

## 2. Experimental

### 2.1. Chemicals

Chloroauric acid ( $\text{HAuCl}_4 \cdot 3\text{H}_2\text{O}$ ), sodium citrate dihydrate, N-acetyl-L-cysteine (NALC), dithiobis succinimidyl propionate (DSP), serotonin (5-HT), dopamine (DA), epinephrine (Epy),

norepinephrine (NE), L-tyrosine (L-Tyr), gamma-aminobutyric acid (GABA), uric acid (AU), oxalic acid (AO), aspartic acid (A.A) and glutamic acid (GA) were commercially available, and were used without purification.

## 2.2. General Methods

UV-Vis absorption spectra were recorded using a 1-cm path length quartz cuvette on a Shimadzu UV-2101PC spectrophotometer. All the measurements were taken at room temperature. To verify the serotonin-induced aggregation of the gold nanoparticles probe, high-resolution transmission electron microscopy (JEOL-1010 transmission electron microscopy operating at 100 kV) was used. Z potential and hydrodynamic diameter values were measured in a Malvern Zetasizer ZS 3 times in 10-25 cycles. Fourier-transform infrared spectroscopy spectra were recorded with a Cary 630 FT-IR spectrometer within the wavenumber range of 648-4000 $\text{cm}^{-1}$  at a resolution of 8  $\text{cm}^{-1}$ . Proton nuclear magnetic resonance spectroscopy ( $^1\text{H}$ -NMR) spectra were recorded with a Bruker DRX-500 Spectrometer (500 MHz, 1024 scans).

## 2.3. Synthesis of citrate-capped AuNPs

Citrate-capped AuNPs with a diameter ca. 15 nm, were synthesised as previously reported [46]. Briefly, 5 mL of aqueous 13.61 mM trisodium citrate solution were added to an aqueous boiling solution of  $\text{HAuCl}_4$  (95 mL, 0.23 mM) and the resulting solution was allowed to boil for 30 min until a red solution was obtained. The solution was cooled to room temperature. Then the mixture was purified by filtering through a 0.22  $\mu\text{m}$  membrane and the filtrate was stored in a refrigerator at 4 $^\circ\text{C}$  until it was used.

## 2.4. Functionalisation of AuNPs

Probe **1** was prepared by modifying the citrate-capped AuNPs by ligand-exchange reaction, which was performed at room temperature by mixing 95 mL of the as-prepared AuNPs with 190  $\mu\text{L}$  of 2 mM aqueous solution of NALC and 190  $\mu\text{L}$  of DSP (2 mM in DMF). Ligands were added simultaneously and the solution was stirred for 1 h with magnetic stirring. To purify **1**, the mixture was centrifuged for 10 min at 11,000 rpm and the supernatants were decanted twice.

## 2.5. Sensing studies

For the sensing studies, serotonin solutions were freshly prepared in 50 mM Tris buffer, pH 7.0, at room temperature. Next 500  $\mu\text{L}$  of **1** ( $4.37 \times 10^{-10}$  M) and 500  $\mu\text{L}$  of the 5-HT solution at an appropriate concentration were placed to obtain a final volume of 1 mL. Samples were incubated for 3 min before taking measurements in the spectrophotometer. The procedure was the same for the interferences. Simulated blood serum (SBS) [47] was prepared as follows: 8.036 g of NaCl, 0.352 g  $\text{NaHCO}_3$ , 0.225 g of KCl, 0.230 g of  $\text{K}_2\text{HPO}_4 \cdot 3\text{H}_2\text{O}$ , 0.311 g  $\text{MgCl}_2 \cdot 6\text{H}_2\text{O}$ , 40 mL of HCl 1M, 0.293 g of  $\text{CaCl}_2$ , 0.072 g of  $\text{Na}_2\text{SO}_4$  and 6.063 g of Tris were all dissolved in 1 L of milliQ water and adjusted to pH 7.4. Next 100 mL of this solution and 900 mL of milliQ water were used to prepare the corresponding SBS solutions of 5-HT. Then 500  $\mu\text{L}$  of **1** were mixed with 500  $\mu\text{L}$  of SBS solutions of 5-HT at an appropriate concentration, incubated for 3 min, and analysed by UV-vis spectrophotometry. Real human blood samples from a healthy volunteer were obtained thanks to the support of our university health centre. Firstly, red blood cells were separated from serum by centrifugation. Next, serum samples were further centrifuged (15 min, 11000 rpm) using Amicon Ultra-05 centrifugal filters units with Ultracel-10 membranes to remove proteins, and were mixed (1:1) with 50 mM Tris Buffer. Finally, 100  $\mu\text{L}$  of **1** and 100  $\mu\text{L}$  of the resulting serum samples (which either contained spiked 5-HT or did not) were incubated for 3 min and measured in the spectrophotometer (see the illustrated steps in Figure S13).

### 3. Results and Discussion

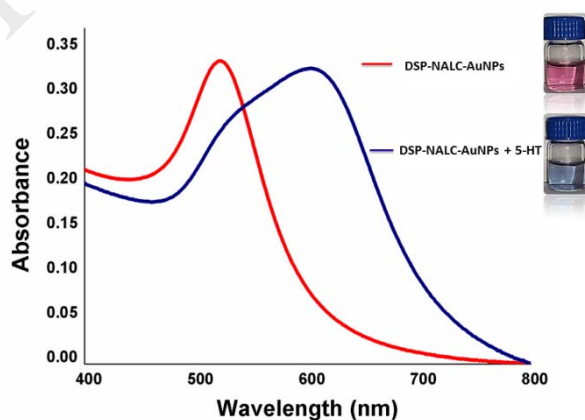
In order to prepare probe **1**, the citrate-stabilised AuNPs were firstly obtained following the Turkevich-Frens method, by reducing tetrachloroauric acid with trisodium citrate in boiling water [48-50]. Then, citrate was displaced from the surface of the nanoparticles in a ligand-exchange reaction by the simultaneous addition of NALC and DSP (1:1 molar ratio). Finally, the functionalised AuNPs **1** were purified by repeated centrifugation and redispersion in water.

The resulting bifunctionalised AuNPs were characterised by UV-Vis, transmission electron microscopy (TEM), dynamic light scattering (DLS), Fourier-transform infrared spectroscopy (FTIR) and proton nuclear magnetic resonance spectroscopy ( $^1\text{H-NMR}$ ) studies. The monodisperse bifunctionalised nanoparticles (**1**) were obtained with an average size of 15 nm as determined by TEM (Figure S1). The aqueous suspensions of **1** were red wine-coloured and showed a surface plasmon absorption peak at 521 nm, which perfectly agrees with the



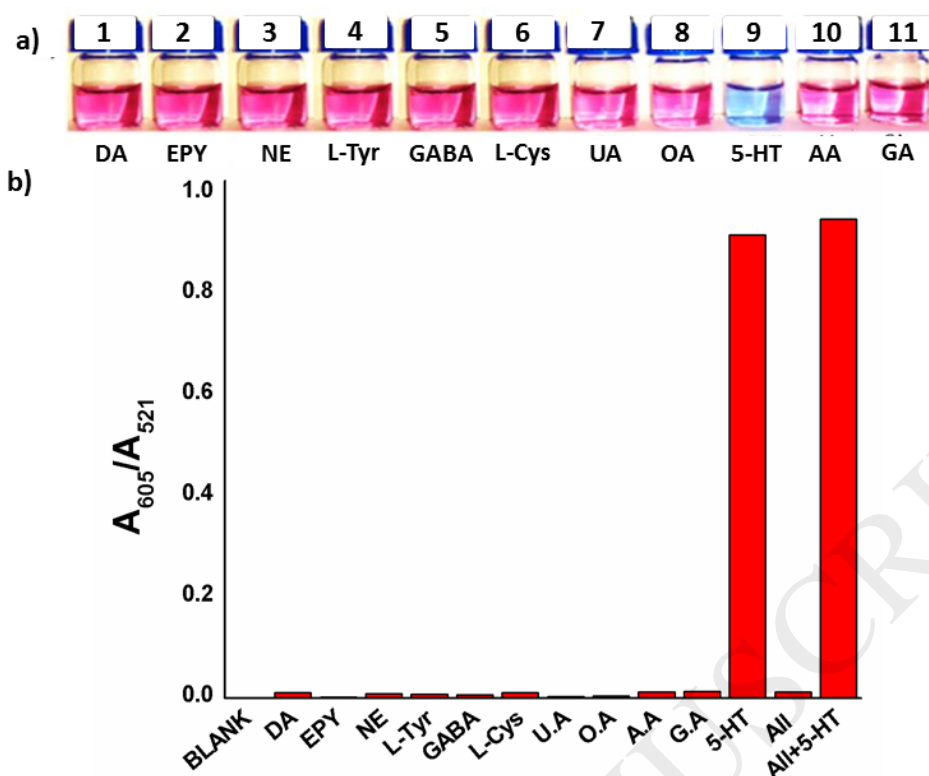
typical colour and SPR band shown by those AuNPs smaller than 25 nm [51-52]. Typical concentrations of final probe **1** were calculated by UV-vis spectroscopy to be ca.  $8.74 \times 10^{-10}$  M from an estimated molar extinction coefficient of  $\epsilon = 3.97 \times 10^8 \text{ M}^{-1} \text{ cm}^{-1}$  [53]. The FTIR studies showed the appearance of absorption bands which were attributed to NALC (C=O stretching at  $1649 \text{ cm}^{-1}$ , N-H bending at  $1543 \text{ cm}^{-1}$  and N-H stretching at  $3352 \text{ cm}^{-1}$ ), as reported in other studies [54], and DSP's C=O stretching also appeared at  $1720 \text{ cm}^{-1}$  [55] (see Figure S3).  $^1\text{H-NMR}$  spectra also showed the appearance of signals, which were attributed to the functionalisation with NALC and DSP. (see Figure S4). The red wine-coloured aqueous dispersions of **1** remained stable in the refrigerator for more than 1 month, and no changes were observed on the characteristic plasmon absorption band.

In order to evaluate the sensing capabilities of the prepared nanoparticles, buffered aqueous suspensions of **1** at pH 7.0 (Tris, 50 mM) were studied in the absence and presence of 5-HT ( $100 \mu\text{M}$ ). Whereas the suspension of the nanoparticles remained red in the absence of 5-HT, addition of 5-HT induced a remarkable shift of the surface plasmon absorption from 521 nm to 605 nm, which resulted in a colour change from red to blue that could be observed by the naked eye, and indicated aggregation of AuNPs (see Figure 1). Aggregation of nanoparticles was not only confirmed by the instantaneous colour change, but also by TEM (vide infra) and DLS (see Figure S2). From the latter, it was found that the hydrodynamic diameter of the nanoparticles increased from 16.5 nm for **1** to 704 nm when 5-HT was added. The zeta potential reduced from  $-17.8 \text{ mV}$  (**1**) to  $-6.14 \text{ mV}$  (**1**+5-HT).



**Figure 1.** UV-Vis of **1** in the absence (red) and presence of serotonin ( $100 \mu\text{M}$ ) (blue) at pH 7.0 (Tris, 50 mM).

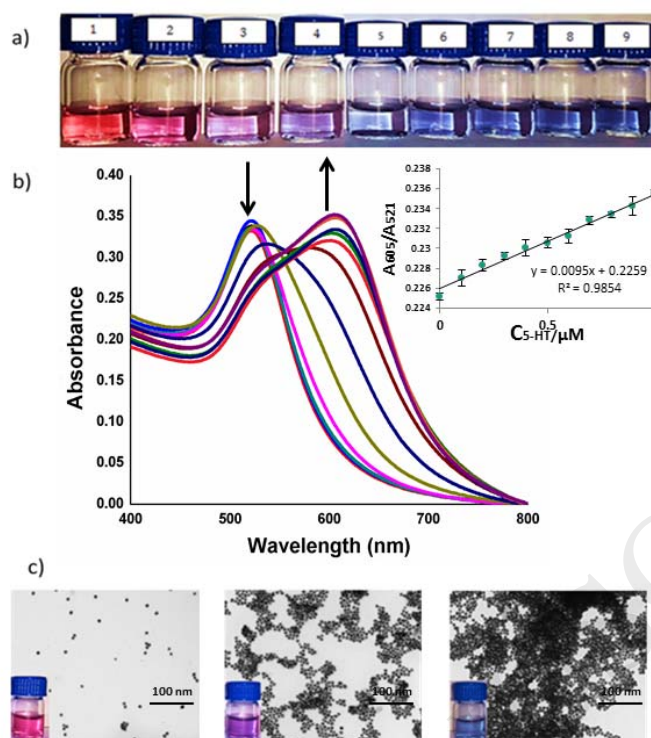
In another step, the selectivity of the prepared functionalised AuNPs towards 5-HT was evaluated. The response of **1** in the presence of other neurotransmitters, e.g., dopamine (DA), epinephrine (Epy) and norepinephrine (NE), and of other biomolecules, e.g., L-tyrosine (L-Tyr, a precursor for stimulatory neurotransmitters), gamma-aminobutyric acid (GABA, an inhibitory neurotransmitter), L-cysteine (L-Cys), aspartic acid (AA) and glutamic acid (GA) (excitatory amino acids), uric acid (UA, described recently as a potential neurotransmitter) and oxalic acid (OA) was studied. Whereas a remarkable colour change was observed in the presence of 5-HT, negligible colour modulations and negligible changes in the  $A_{605}/A_{521}$  ratio ( $A_{605}$  = absorbance at 605 nm,  $A_{521}$  = absorbance at 521 nm) were observed upon the addition of potential interferents (see Figure 2a and 2b and Figure S5). In an additional competitive experiment, the response of **1** in a mixture that contained 5-HT and DA, Epy, NE, L-Tyr, GABA, L-Cys, UA, OA, AA and GA (500  $\mu$ M of each) was also tested. As seen in Figure 2b, the observed response was extremely similar to that found when probe **1** was used alone with 5-HT. All these data indicate that the bifunctionalised AuNPs **1** are able to selectively detect 5-HT in buffered media, and in the presence of other neurotransmitters and selected biomolecules. One possible explanation for the good selectivity for 5-HT versus catecholamines (dopamine, epinephrine and norepinephrine) could be the different ionisation equilibria of the biogenic phenolic amines and catecholamines in biological media, with different proportions of zwitterionic species [55-56]. The selectivity of the probe in the presence of common inorganic cations and anions was also tested. Probe **1** remained stable in the presence of the different species individually and in a mixture that contained them all. Remarkably, the probe retained its capability to recognise 5-HT in the presence of all the inorganic species (see Figure S6).



**Figure 2.** Response of **1** in the presence of different molecules (500  $\mu\text{M}$ ) at pH 7.0 (Tris, 50mM). **a)** Vials with the different tested molecules. **b)** Representation of  $A_{605}/A_{521}$  for interferences.

Furthermore, the sensitivity of **1** to 5-HT was evaluated. In this experiment, the colour changes of aqueous suspensions of **1** in the presence of different amounts of 5-HT were studied. As seen in Figure 3, a gradual colour modulation from red wine to purple, and finally to blue, which was dependent on the 5-HT concentration, was observed. This change coincides with the proposed sensing protocol that involves the 5-HT-induced aggregation of the bifunctionalised AuNPs. The changes in colour shown in Figure 3a agree with changes in the UV-vis bands observed for the different prepared suspensions of **1** in the presence of increasing amounts of 5-HT (see Figure 3b). An increase in the aggregation of AuNPs, according to the 5-HT concentration, was confirmed by the TEM studies (see Figure 3c). From the  $A_{605}/A_{521}$  vs. 5-HT concentration plot, a linear response within the 0-1  $\mu\text{M}$  5-HT concentration range was noted. From these studies a limit of detection (LOD) as low as 0.1  $\mu\text{M}$  (17  $\text{ng mL}^{-1}$ ) was determined (see Figure S7). The calculated LOD indicates the noteworthy sensitivity of the probe, and confers our system a competitive basis to detect 5-HT at relevant clinical concentrations. In particular, reported studies have determined that 5-HT levels above 500  $\text{ng mL}^{-1}$  (2.80  $\mu\text{M}$ ) in

blood serum can be indicative of carcinoid tumours, while values above  $1,000 \text{ ng mL}^{-1}$  are indicative of metastasis [58-62]. Other studies have found that 5-HT rises from a mean value of  $767 \text{ ng mL}^{-1}$  for those patients with carcinoid tumours to  $1,720 \text{ ng mL}^{-1}$  for the patients with carcinoid tumours who are also affected by heart disease [63]. Given the importance of determining the 5-HT concentration in blood serum, further studies into the response of probe **1** in simulated blood serum [40] were carried out. Probe **1** in simulated serum showed similar behaviour as described above in water when increasing amounts of 5-HT were added (see the Supplementary Data). From the  $A_{605}/A_{521}$  vs 5-HT concentration plots, a linear response in simulated serum within the 0 to  $3 \text{ }\mu\text{M}$  5-HT concentration range was observed, and a LOD of  $0.12 \text{ }\mu\text{M}$  ( $23 \text{ ng mL}^{-1}$ ) was determined in this medium, which was lower than the normal range for adults of  $50\text{-}300 \text{ ng mL}^{-1}$  ( $0.28$  to  $1.70 \text{ }\mu\text{M}$ ) in blood [64-65]. Finally, encouraged by these results, we decided to test the performance of nanoparticles **1** in real human blood samples. Samples were taken from a healthy volunteer, and were centrifuged to remove red blood cells and proteins (see the Experimental section and Figure S13 for details). Nanoparticles were stable in serum samples and led to a change that was observed by the naked eye when serum was spiked with  $1 \text{ mM}$  of 5-HT (see Figure S14 A). A remarkably significant increase in the  $A_{605}/A_{521}$  values was observed from normal serum to serum containing  $10 \text{ }\mu\text{M}$  of 5-HT (indicative of carcinoid tumours) (see Figure S14-B).



**Figure 3.** **a)** Colorimetric visualisation of probe **1** when 5-HT was added at concentrations of 0, 0.9, 10, 20, 30, 40, 50, 70, 100  $\mu\text{M}$  (1-9, respectively). **b)** Changes in the UV-Vis spectra of probe when 5-HT was added at concentrations of 0, 0.9, 10, 20, 30, 40, 50, 70, 100  $\mu\text{M}$ . Inset: plot of the  $A_{605}/A_{515}$  vs. 5-HT concentrations within the 0-1  $\mu\text{M}$  range. **c)** TEM images of **1** with 0, 40 and 150  $\mu\text{M}$  of 5-HT, respectively. Experiments were performed at a buffered pH 7.0 (Tris, 50 mM).

#### 4. Conclusions

In summary, we report herein a new method for the colorimetric detection of 5-HT using 15 nm AuNPs bifunctionalized with dithiobis(succinimidylpropionate) and N-acetyl-L-cysteine. The prepared nanoparticles, **1**, selectively detect 5-HT in water at pH 7 (Tris, 50mM) via the 5-HT-induced aggregation of the bifunctionalised AuNPs which results in a remarkable colour change. The probe is selective to 5-HT and no colour modulation was observed in the presence of other neurotransmitters (i.e. dopamine, epinephrine, norepinephrine) and selected biomolecules (i.e. L-tyrosine, gamma-aminobutyric acid, L-cysteine, uric acid, oxalic acid, aspartic acid and glutamic acid). A linear response within the 0-1  $\mu\text{M}$  5-HT concentration range and a LOD as low as 0.1  $\mu\text{M}$  were determined in buffered water at pH 7 (Tris, 50 mM). A similar

response of probe **1** to 5-HT was observed in simulated blood serum with a linear response within the 0-3  $\mu\text{M}$  5-HT concentration range and with a LOD as low as 0.12  $\mu\text{M}$ . Finally, the probe was capable of detecting 5-HT in human blood samples and of distinguishing between normal 5-HT levels and 5-HT levels that are indicative of disease. Given the importance of serotonin in different diseases, we expect that our findings could help to elucidate the role played by serotonin and to develop innovative tools for early point-of-care or personalised diagnosis applications.

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### **Appendix A. Supplementary Data**

Supplementary data associated with this article can be found, in the online version, at...

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