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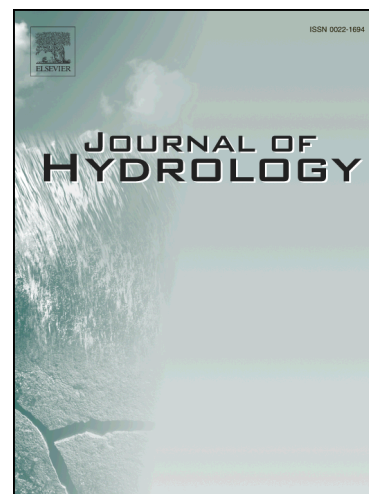
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1 **Rainfall partitioning after thinning in two low-biomass semiarid forests: impact of**
2 **meteorological variables and forest structure on the effectiveness of water-oriented**
3 **treatments**

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12 **Abstract**

13 Water-oriented forest management is an urgent need in semiarid catchments. In the case
14 of low-biomass forests and shrublands, the magnitude, efficiency and temporal duration
15 of thinning effects on rainfall partitioning needs further attention. This work studies the
16 effects of juvenile thinning and shrub clearing on stemflow (Stf), throughfall (Thr) and
17 interception (It) in two low-biomass forests (CAL: post-fire Aleppo pine saplings with
18 74% of basal area, BA, removed; and HU: evergreen oak coppice with 41% of BA
19 removed), as well as the relative contribution of the event meteorology. The effects are
20 compared with a control plot during the first 3-4 years. Stf rate (%) decreased with
21 density and, on a tree scale, it was enhanced by the treatment only in the bigger oaks.
22 Event Thr increased from 55 to 81% and from 68 to 86% of gross rainfall (Pg) for CAL
23 and HU respectively after thinning, resulting in about 15% less intercepted Pg. High
24 evaporative conditions and an open (ventilated) forest structure led to high It rates in the
25 controls when comparing with other studies, thus making the treatments more efficient
26 in net precipitation (Pn) gain (Pg intercepted decreased 17% or 2.3% per unit of LAI or
27 BA removed respectively). In general, depths (mm) were mostly explained (>75%) by
28 the rainfall characteristics of the event (e.g. amount, duration, intensity), with a limited
29 contribution from forest structure (e.g cover, LAI) and event meteorology (e.g.
30 temperature, wind speed, vapor pressure deficit). On the contrary, when expressed as
31 rates (% of Pg), forest structure and event-meteorology gained importance (explaining
32 25-65%), especially in the drier site (CAL). In this site, the low gain in Pn (~25 mm per
33 year on average) was offset with no temporal dampening during the span of this study,
34 as observed in the wetter site (HU), where plant growth tended to mitigate the effect of
35 the treatment by the end of the study. The results presented here make a contribution to

36 a better understanding of the effects of water-oriented forest management in low-
37 biomass semiarid forests.

38 **Key words:** adaptive silviculture, Aleppo pine *Pinus halepensis*, Holm oak *Quercus*
39 *ilex*, interception, throughfall, stemflow, boosted regression trees.

40 **1. Introduction**

41 The impact of climatic and global changes on the ecosystem services of forests is a
42 well-known documented fact that has gone much farther from research and science
43 towards international policy actions, urging on the need of a sustainable forest
44 management (UN, 2017). In spite that sustainable forest management is in the very
45 roots of Silviculture (Carlowitz von, 1713, cit. in Schmithüsen, 2013), the targets and
46 the strategies to maintain the principle of sustainability must be regionally tuned and
47 adapted as novel changes in both the environment and the socio-economic conditions
48 affect forests (Allen et al., 2015). In the context of global change-induced impacts,
49 adaptive silviculture aims to adapt forests to new environmental conditions or especially
50 to enhance resilience to changing disturbance regimes (Seidl et al., 2016). In semiarid
51 forests, the focus of this adaptive silviculture must be necessarily eco-hydrologically
52 founded and oriented, as water is the key element that links most of the global and
53 climatic stressors affecting these ecosystems (del Campo et al., 2017), such as increased
54 risk of drought stress and mortality, growth stagnation, blue/green water impairment,
55 wild fire susceptibility, etc. (López et al., 2009; Klein et al., 2013; Grant et al., 2013;
56 González-Sanchis et al., 2015; García-Prats et al., 2015; García de la Serrana et al.,
57 2015; Fernandes et al., 2016). These water-driven stressors mutually interact and
58 feedback with global change stressors such as the low value of forest products, rural
59 abandonment, forest densification and encroachment, lack of forest management, etc.
60 (Doblas-Miranda et al., 2017).

61 Low-biomass semiarid forests are especially prone to suffer from observed/projected
62 trends in precipitation, temperature and evapotranspiration (Lindner et al., 2014) that
63 may push many of them close to their distributional limit (Terradas and Savè, 1992;
64 Peñuelas et al., 2017). However, they have been much less studied in the context of
65 water-oriented forest management. Increasing net precipitation (decreasing interception
66 loss) is usually the first target of water-oriented forest management in semiarid forests
67 (Ungar et al., 2013; del Campo et al., 2014; Ilstedt et al., 2016). These studies claim a
68 shift on the paradigm of full canopy cover to medium covers (40-50%) through
69 selective thinning when implementing hydrology-oriented forest planning and
70 management. In this sense, management tools conceived and designed for full cover
71 (e.g. density diagrams, Valbuena et al., 2008) must be reconsidered within an approach
72 of defective forest cover as an alternative. Forest and water relationships have been
73 broadly studied and reviewed in semiarid forests (Llorens and Domingo, 2007; Levia
74 and Germer, 2015) and studies dealing with rainfall partitioning have been published for
75 decades (see reviews in Carlyle-Moses, 2004 and Swaffer et al., 2014), although those
76 specifically addressing the effects of forest treatments such as selective thinning or
77 shrub clearing are much scarcer, in particular in low-biomass forests (Table 1).
78 Different effects can be expected according to the particular forest structure being
79 treated and the meteorological characteristics of rainfall (Llorens et al., 1997; Crockford
80 and Richardson, 2000; Mateos and Schnabel, 2001; Levia and Frost, 2003; Muzylo et
81 al., 2012; Tanaka et al., 2015, 2017; Zabret et al., 2018). Accordingly, increased net
82 precipitation in a cleared structure could be counterbalanced by higher wet evaporation
83 under semiarid conditions (Dunkerley, 2000) so that the effectiveness of water-oriented
84 forest management could be argued. Comparing to other forest types (e.g. temperate and
85 sub-humid Mediterranean), low-biomass forests have distinctive values for most

86 structural variables (e.g., aboveground biomass, canopy storage capacity, LAI, basal
87 area, etc.), whereas for other variables (e.g., canopy cover, stem density, gap fraction,
88 etc.), the differences may be more ambiguous. A question arising from this is whether
89 the magnitude or rates of the structure-related hydrological processes, scale linearly to
90 more complexly structured forests (Limousin et al., 2008).

91 In this context, gains in net precipitation after treatments must be necessarily explained
92 in terms of the intensity of the treatments and this must be necessarily explained in
93 terms of changes in structural variables. That is to say, it is necessary to address how
94 much a particular hydrological process is significantly affected by silvicultural
95 treatments, whether different structural variables (e.g., cover vs. LAI) are equally useful
96 to explain the observed changes, and how much the role of climate (meteorological
97 features of rainfall) affects these relationships (magnitude or rate of the structure-related
98 hydrological processes). Studies addressing these questions may shed light on how
99 forest-water interactions can be readily and efficiently manipulated in different semiarid
100 contexts: forest-type and climate.

101 Unmanaged high-density stands of holm oak coppice and pine saplings regenerated
102 after wildfires are common forest covers in the driest regions of Mediterranean Spain
103 prone to climate-related disturbances (López et al., 2009; Doblas-Miranda et al., 2017),
104 stressing the need to better define adaptive treatments in terms of intensity, frequency
105 and efficiency. The major goal of this study is to quantify the effects of adaptive water-
106 oriented forest management (thinning) on rainfall partitioning (stemflow, throughfall
107 and interception) in two climatically and biologically contrasting low-biomass semiarid
108 forests. The specific objectives of the research are: i) study rainfall partitioning in two
109 low-biomass semiarid forest, i.e., are the magnitudes and rates of stemflow, throughfall
110 and interception comparable to those reported for more mature and complex forest

111 structures?; ii) assess the impact of forest treatments on rainfall partitioning, i.e., are the
112 magnitudes and rates of stemflow, throughfall and interception after thinning
113 comparable to those reported for more mature and complex forest structures?; iii)
114 address the relative importance of forest structure and rainfall characteristics in rainfall
115 partitioning and identify how much that importance changes with different site/climate
116 conditions and biological structures (oak vs. pine); iv) evaluate the short to mid-term
117 effect of the silvicultural interventions in rainfall partitioning. Table 1 presents a
118 selected list of published literature on rainfall partitioning that share common topics to
119 those covered in this study, and stresses the comprehensive combination addressed in
120 the present work: i) the effect of forest management in low-biomass semiarid forests, ii)
121 analysis of the relative importance of main drivers in Pg partitioning (Pg features,
122 meteorology and forest structure), iii) two distant sites with marked differences in
123 climate and vegetation that broaden the scope of the study, and iv) short to mid-term
124 evaluation of the effects being studied.

125 **2. Materials and methods**

126 *2.1. Study sites*

127 The study was carried out in two contrasted low-biomass forest types located in the
128 Valencia province (E Spain) distant about 100 km one to the other. Both sites differ not
129 only in vegetation, but also in climate, rainfall characteristics, soils and other bio-
130 geographical traits (Figure 1). The north-easternmost site, Calderona (CAL), is located
131 in the Natural Park “Sierra Calderona”, which occupies part of the provinces of
132 Valencia and Castellon following a general NW-SE orientation. The site has marked
133 influence from the Mediterranean Sea, which is just 25 km away and presents Aleppo
134 pine (*Pinus halepensis* Mill.) forests (saplings) regenerated after a wildfire occurred in
135 1992. The south-westernmost site is located in “La Hunde” (HU) public forest; it is

136 occupied by marginal oak forest and has a pronounced continental climate (Table 2).
137 The dominant species in this site is Holm oak (*Quercus ilex* ssp. *ballota* (Desf.) Samp.)
138 and accompanying species are *Q. faginea*, *Pinus halepensis*, *Juniperus phoenicea* and *J.*
139 *oxycedrus*. Although the climate is typically Mediterranean in both sites, there are
140 important differences between them due to the continental vs. maritime influence (Table
141 2); Sierra Calderona is characterized by high temporal rainfall variability and intense
142 droughts. In both sites, soils are relatively shallow (10-40cm), loamy textured, basic pH
143 and have high calcium carbonate content (Table 2). Parent rock is karstified and there
144 are no regular watercourses but numerous springs that may dry out in the summer
145 months.

146 *2.2. Treatments application, experimental layout and forest structure*

147 This study was carried out at the plot scale as a basic land unit (pixel) useful to upscale
148 to higher entity units (hillslope, forest stand, catchment). Both experimental sites share a
149 common characteristic in the sense that tree density and competition is high
150 (overstocked) and no forest management has been applied in the last decades due to
151 their role of marginal and/or protective forests. Both sites exhibit low biomass forest
152 types, with aboveground biomass estimated from allometric equations of 47.3 Mg/ha
153 (22.2 Mg C/ha) in Calderona and 49.7 (23.1 Mg C/ha) in La Hude, well below values
154 in other Mediterranean and temperate forests (Pan et al., 2013). Juvenile thinning (CAL)
155 and thinning with shrub clearing (HU) treatments were executed by a contractor of the
156 Valencian Forest Service, following usual instructions and working methods (thinning
157 from below). Thinning/clearing removed the trees with smaller diameters and doubled-
158 trees and was performed to achieve a relatively homogeneous tree distribution (based on
159 forest cover). Coarse woody debris were removed outside the plots whereas fine woody
160 debris were piled and grinded into mulch onto the plots.

161 In CAL site, thinning works took place between January and October 2012 in an area of
162 about 50 ha, with very high density of pine saplings (over 15000 stems ha⁻¹). In a
163 representative area, one control (C) plot was left with no treatment and a contiguous
164 treated (T) plot was established (October 2012). C and T plots were of 1500 m²
165 respectively, both NW oriented (Table 2) and divided into 3 replicates or experimental
166 blocks from upslope to downslope in order to assure representative results (Table 3). La
167 Hunde site presents a coppice oak and shrubland forest as the result of traditional
168 fuelwood harvesting that fell into disuse in the 1970's. This forest has high stem
169 densities and, accordingly, high intraspecific competition that might be the responsible
170 of top-tree dieback observed after severe dry years. In May 2012 an experimental
171 thinning (tree with shrub clearing) was performed in a rectangular plot of about 1800 m²
172 following the same criteria as in CAL (Table 3). Adjoining the thinned area, a control
173 plot of similar size was established. Both plots were split into three blocks of similar
174 size too.

175 Spatial forest structure was characterized by the proper metrics in both plots before and
176 after the treatments. Total basal area removed in the treatments was 74% and 41% in
177 CAL and HU, respectively, and density reduction was 94% and 73%, respectively. Over
178 the ensuing months, forest structure characterization was done periodically during the
179 period of study. For the comparative purpose of this work we have summarized and
180 compiled different stand structure metrics measured along the study years (Table 3).

181 Basal area (BA, m² ha⁻¹) and tree density (D, trees ha⁻¹) were estimated by measuring
182 tree diameters at basal and breast heights (D_B, and D_{BH} respectively, cm) and counting
183 all trees in the plots. Diameter distribution was classified into 4 classes (CAL: D_{BH}<3.5
184 (1), 3.5 ≤ D_{BH}<7.5 (2), 7.5 ≤ D_{BH}<10.5 (3), D_{BH} ≥ 10.5 (4); HU: D_{BH}<7.5 (1), 7.5 ≤
185 D_{BH}<11 (2), 11 ≤ D_{BH}<15 (3), D_{BH} ≥ 15 (4), figures in cm). Forest cover (FC, %) was

186 measured in all of the blocks with a vertical densitometer (GRS, USA) with 50 readings
187 per block in a 3x3 m grid. Leaf Area Index (LAI, $m^2 m^{-2}$) was seasonally estimated in
188 each block at 1.0 m above ground using a LAI-2000 sensor (LI-COR, 1991, LI-Cor Inc.,
189 Lincoln, NE, USA) as described in Molina and del Campo (2011) and Leblanc and
190 Chen (2001). All measurements were made within areas at least 2 m away from the plot
191 limits to avoid edge effects.

192 *2.3. Rainfall partitioning: Pg, throughfall, stemflow and interception*

193 The study spans the period from October 1, 2013 to September 30, 2016 in the
194 Calderona site and from October 1, 2012 to September 30, 2016 in La Hunde site,
195 giving a total of 3 and 4 water years respectively. In both sites, we set a central data-
196 logging unit (CR1000, Campbell Sci., UT, USA) to register and store data,
197 supplemented with two AM16/32B Multiplexers, two SDM-IO16 expansion modules, a
198 solar panel and a 12V battery. The system was programmed to read the sensors output
199 every 5 seconds or one minute and to record the values every 5 s, 10 min or 30 min,
200 depending on the sensor and the environmental conditions, see text below.

201 Gross Precipitation (Pg) was characterized by considering its specific rain-related
202 features (rainfall) and its meteorological characteristics (event-meteorology). Pg was
203 continuously measured by means of a tipping-bucket rain gauge located over the canopy
204 (6 m above ground), with 0.2-mm resolution (7852, Davis Instruments Corp., Hayward,
205 CA, USA) and programmed to measure, when raining, at 5-sec intervals. To study the
206 rainfall and the event-meteorology, all data were re-grouped into 10-min intervals, as
207 this time lapse is more common in the literature. In the analysis of Pg, we used
208 Modified Julian Days (MJD), which facilitated the tallying of daily totals, and allowed
209 to compute the length of rain events simply by subtracting the starting and ending dates.
210 In event-based studies of interception it is vital to report both, the minimum inter-event

211 time and the resulting structure of intra-event gaps (Dunkerley, 2008). Minimum inter-
212 event time was set to 1-hour, which ensures that two consecutive rainfall events come
213 from different clouds (Llasat, 2001). According to this criterion, rain depth (P_g , mm),
214 rain event duration (P_D , min), maximum and mean rain rate or intensity recorded during
215 an event (P_I and $P_{I_{mx}}$, mm/h) and intra-event gaps (as the proportion of the time within
216 each event with no rainfall, P_{Gap}) were calculated. Only events over 1 mm were included
217 in the analysis (Muzylo et al., 2012). Also, in order to identify the relative importance of
218 convective high-intensity events, we adopted a threshold intensity of 50 mm/h (Llasat,
219 2001) corresponding to a time interval of 1-min. The increase of the time interval from
220 1-min to 10-min in our case provokes an attenuation of the high-intensity peaks, which
221 in our case led to an equivalent reduced threshold intensity of 23.8 and 21.5 mm/h for
222 CAL and HU sites, respectively (these thresholds are the 10-min equivalents to the 1-
223 min 50 mm/h in our events sample). By using these thresholds we computed the $\beta_{23.8,10}$
224 and $\beta_{21.5,10}$ parameters for each event as an indicative of its convective nature (Llasat,
225 2001), with values of $\beta=0$ non-convective; $0<\beta\leq 0.3$ slightly convective; $0.3<\beta\leq 0.8$
226 moderately convective and $0.8<\beta\leq 1.0$ strongly convective.

227 The variables used to characterize the event-meteorology were: mean and maximum
228 wind speed (U_{av} and U_{mx} , 7911 anemometer, Davis Instruments Corp.), air temperature
229 and relative humidity (T, RH sensor, Decagon Devices, Pullman, USA) and vapour
230 pressure deficit (D). Sensors were placed close to the rainfall gauge on the same mast, at
231 a height above canopy, recorded in the same time interval (5-sec when raining, 1-min
232 averaged to ten minutes when no rain) and stored on the data logger.

233 Stemflow (Stf) was measured by sealing stem collars to 4/3 trees per diameter class per
234 plot (n per treatment was between 9 and 16, as the treated plot in CAL had no trees
235 belonging to the lower class). The bark on each sample tree was scraped off to smooth

236 the surface in preparation for the fitting of a plastic collar with polyurethane sealant at a
 237 variable height between 0.3 and 1.2 m. After the plastic collars were attached, plastic
 238 tubes were inserted into small holes located in the lowest part of the collars to collect
 239 the water and divert it to tipping-buckets (Pronamic 100.054, 1 mm resolution, time
 240 lapse 10 min, 5-sec when raining) and then to 25 L deposits (10- to 15-day intervals, for
 241 validating). At tree scale, three key parameters (Leiva and Germer, 2015) were
 242 considered, the stemflow volume (or yield) of an individual tree i (Stf_i , $l\ tree^{-1}$) for a
 243 given Pg_j event; the stemflow coefficient (or rate) of a tree ($CStf_i$, $l\ tree^{-1}\ mm^{-1}$), which
 244 is the quotient of the tree stemflow volume drained (Stf_i) to the amount of precipitation
 245 (Pg_j in mm) that caused it. The efficiency of an individual tree to produce stemflow on a
 246 unit of ground area (a proxy to the funneling ratio as defined in Herwitz, 1986) was
 247 computed by dividing $CStf_i$ over the tree crown projected area: $CStf_{i,m}^2 = CStf_i / CA_i$,
 248 where, $CStf_{i,m}^2$ is the specific stemflow coefficient of tree i ($l\ mm^{-1}\ m^{-2}$), and CA_i is the
 249 crown projected area of tree i (m^2).
 250 Up-scaling to the equivalent stand-scale stemflow depth (mm) was performed by using
 251 the cover (FC) in the block as scalar (Swaffer et al., 2014). Due to extremely high tree
 252 density in one of the plots, up-scaling with density (Leiva and Germer, 2015) was
 253 discarded as it might produce overestimations. We found both $CStf_i$ and $Cstf_{i,m}^2$ varying
 254 as a function of tree size (D_B , D_{BH} and CA) with significant power functions ($Y=aX^b$,
 255 with Y either $CStf_i$ or $Cstf_{i,m}^2$ and X the tree size-related metric, $r^2>0.85$, $n=18$).
 256 Consequently, to obtain the stemflow depth per block, we used these power functions to
 257 obtain correction factors so that the measured stemflow for any Pg_j event corresponding
 258 to the mean *sampled tree* was corrected to the mean *block tree*. Accordingly, the
 259 stemflow depth per block was estimated as:
 260 $Stf_{Bi,j} (l\ m^{-2}) = CStf_{m^2,i,j} (l\ mm^{-1}\ m^{-2}) * Cf * Pg_j (mm) * Forest\ Cover (fraction)$

261 Where $Stf_{Bi,j}$ is the ground-based stemflow depth of a Pg_j event in the block i ($i=1,2,3$,
262 either for T or C), $CStf_{m^2,i,j}$ is the mean specific stemflow coefficient of sampled trees in
263 the block i for that Pg_j event, Cf is the correction factor to adjust for the $CStf_{i,m^2}$ of the
264 mean tree in the block (with slightly different crown-projected area) and $Pg_j, j=1,..m$ the
265 gross rainfall event. Finally, the rate of stemflow (%) for each block was considered as
266 the ratio between $Stf_{Bi,j}$ and the gross rainfall depth Pg_j , multiplied by 100, as an
267 efficiency index of the stand structure to channel the water that falls onto its canopy
268 towards the soil.

269 Throughfall (Thr), was measured by setting out 15 (CAL) or 9 (HU) galvanized steel
270 gutters per plot (5 or 3 per block according to the site), arranged following contour lines
271 and maintained in the same positions throughout the study (Llorens et al., 1997). The
272 devices were 200/250 cm long and 30.7/40 cm wide (CAL/HU), set at 50 cm above the
273 soil and sloping towards water counters equipped with pulse counters (Altair V4, Diehl
274 Metering, minimum flow rate 5 L/h). 25 L deposits gauged in 10-15 days intervals were
275 additionally attached to the gutters in order to cross calibrate the whole system. Total
276 collecting area per gutter was 0.613 (CAL) or 0.813 m² (HU), considered to be a
277 suitable area to obtain an estimate of the mean with a 95% probability (Rodrigo and
278 Avila, 2001). Although fixed rain gauges can lead to systematic errors and uncertainties
279 (Lloyd and Marques, 1988), total sampled area in our case (between 7.3 and 9.2 m²/plot,
280 or about 0.27 rain gauges of 200 cm² per m²) leads to errors less than 6% in throughfall
281 (Lloyd and Marques, 1988) because large collectors integrate the throughfall from a
282 broader canopy area and decreases the spatial variability. The time-lapse for the
283 counters was 5-sec when raining or 10-min for no raining periods.

284 Event interception (It) depth (mm) and rate (%) were computed as the difference
285 between gross rainfall minus the sum of throughfall and stemflow of that event. For the

286 blocks' average, when this amount scored negative values, it was set to zero (as
287 dripping points in some gutters should be offset by less throughfall in others).

288 *2.4. Data treatment and analysis*

289 Treatment effects were assessed by comparing T and C plots for event stemflow,
290 throughfall and interception (mm), their respective rates to Pg (%) and the cumulative
291 daily trends during the entire study period.

292 Data were quality-controlled for spikes and gaps. In some cases, larger data gaps were
293 completed using meteorological data from nearby stations (Serra and Ayora-La Hunde
294 from SAIH network) to estimate Pg and the meteorological variables, and then, simple
295 linear regressions with Pg to estimate the additional variables for rainfall partitioning
296 (stemflow and throughfall). When fitted models were not good enough or not
297 appropriate, we used Artificial Neural Network (Multilayer Perceptron Network, MLP
298 SPSS, IBM Corp., 2013) to estimate interception (mm) using forest structure and
299 precipitation variables as predictors (correlation between predicted values and holdout
300 sample was 0.95). All statistical proofs on the effect of thinning/clearing were
301 performed considering only empirical data, i.e. data estimated to fill in gaps were
302 exclusively used for computing accumulated temporal values.

303 Differences in depth and rates in Stf, Thr and It between T and C were analysed through
304 an ANCOVA analysis considering treatment (C, T) and block (1-3) as factors and Pg as
305 covariate (performed in SPSS, IBM Corp., 2013). In the case of Stf on a tree basis, an
306 ANCOVA with D_B as covariate was performed. Data were examined for normality and
307 homogeneity of variance (Levene's test), and observing the interaction term between the
308 covariate and treatment tested homogeneity in the regression slopes. When these
309 assumptions were violated, the variables were transformed with power functions to
310 achieve homoscedasticity or, alternatively, a nonparametric Kruskal–Wallis test based

311 on the chi-squared statistic was used. A significance level of $p < 0.05$ was used for all
312 analyses.

313 To study the relationships of rainfall, event-meteorology and forest structure on the
314 response variables (Stf, Thr and It), boosted regression trees models (BRT) were
315 performed in R software (R core team, 2015), using package “gbm” (Tanaka et al.,
316 2015, 2017; Ridgeway, 2017; Elith and Leathwick, 2017; Zabret et al., 2018). In the
317 BRT analysis, a Gaussian distribution family, a learning rate of 0.005, a tree complexity
318 of 4-5, and a bag fraction of 0.75 were set. The minimum number of trees was in all
319 cases above 1500. The results of this analysis provide the relative influence (RI) of the
320 predictors on the response variable, which measures the number of times a predictor
321 variable is selected for splitting, weighted by the squared improvement to the model as a
322 result of each split, averaged over all trees, and scaled so that the sum adds to 100 (Elith
323 et al., 2008). The higher the RI the stronger the influence of the predictor in the
324 response variable. The RI for the most influential variables in the models was plotted in
325 partial dependence plots (PDP) using the mentioned package in R software.
326 Cumulative treatment impacts on response variables (Stf, Thr and It) were evaluated in
327 terms of a shift of the daily ratio of treated/control following the intervention: $\ln(T/C)$
328 (Perry and Jones, 2016). Because of the complete block design layout, we assumed
329 baseline (in the pre-operational period) to be zero.

330 **3. Results**

331 *3.1. P characteristics*

332 Total Pg accumulated in the Calderona site (CAL) was 162, 370 and 246 mm for water
333 years 13-14, 14-15 and 15-16 respectively. During the period spanning from September
334 1, 2013 to September 20, 2014, only 105 mm were recorded (the driest year on record
335 for many meteorological stations in the region). As a consequence, since mid 2014 a

336 generalized tree mortality and dieback was observed over the area. In La Hunde site
337 (HU), measured P_g accumulated a total of 534, 271, 426 and 297 mm for the water
338 years from 12-13 to 15-16 respectively. In general, non-convective rainfall events in HU
339 presented higher P_g (1 mm more on average) and P_D (1.87 hours more on average) than
340 in CAL (Table 4). On the contrary, this latter site presented higher P_I , $P_{I_{mx}}$ and P_{Gap} and
341 higher evaporative atmospheric conditions (both D and U) reflecting a higher torrential
342 pattern in the precipitation. In this sense, CAL also presented higher rainfall
343 convectivity than HU, as observed in the higher relative number of events with $\beta \neq 0$
344 (8.7% of the events, 38% of P_g in CAL vs. 4.2% of the events and 11% of P_g in HU),
345 and their higher values in P_g , P_D , P_I , $P_{I_{mx}}$ and atmospheric turbulence (U) and water
346 vapour demand (D) (Table 4, $\beta \neq 0$). β presented maximum values of 0.91 in CAL (44
347 mm, 98 minutes) and 0.75 in HU (31 mm, 80 minutes)

348 3.2. Stemflow on a tree basis

349 Stemflow at the tree scale was studied for events > 1 mm for Stf, CStf and $CStf_m^2$. In
350 CAL, the ANCOVA indicated no significant differences between T and C either in Stf
351 nor CStf (Table 5). On the contrary, $CStf_m^2$ showed a significant difference between
352 both treatments (Table 5, Figure 2), indicating that treated trees were less efficient when
353 funnelling water with respect their crown-projected area. In this site, the mean sampled
354 tree for stemflow presented a D_B of 10.96 and 12.88 cm in C and T, respectively, and a
355 crown-projected area of 3.15 and 5.67 m² in C and T, respectively.

356 In HU site, Stf and CStf had to be analyzed through a Kruskal-Wallis test sorting the
357 data by diameter class. There were differences between C and T only for the bigger
358 trees in Stf and CStf (Table 5, Figure 2). Regarding $CStf_m^2$, the ANCOVA (D_B
359 covariate) also indicated significant differences between the treatments, with higher
360 value for T (Table 5, Figure 2). In this site the mean sampled tree for stemflow

361 presented a D_{BH} of 10.9 and 14.14 cm in C and T, respectively, and a crown-projected
362 area of 7.1 and 8.1 m² in C and T, respectively.

363 3.3. Event throughfall, stemflow and interception

364 In both sites, the throughfall per event was significantly higher in T than in C (Table 5,
365 Figure 3) in both depth and rate, according to the ANCOVA test. On the contrary,
366 interception was significantly lower in T than in C in both sites for depth and rate
367 (Table 5, Figure 3). In CAL site, event stemflow on a ground basis was higher in C than
368 in T for both depth and rate according to the Kruskal-Wallis test (Table 5, Figure 3). In
369 HU, event stemflow on a ground basis was no different between treatments (Table 5,
370 Figure 3).

371 3.4. Influence of rainfall features and forest structure on rainfall partitioning

372 In both sites, rainfall characteristics and forest structure were used as predictors of the
373 different variables of rainfall partitioning (Table 6; Figures 4 and S3) by using BRT
374 models. Cross-validation correlation coefficients presented better score in depth-
375 expressed (mm) variables, ranging between 0.824 and 0.993, than in rates (% Pg),
376 ranging between 0.434 and 0.947 (Table 6). The analyses of relative influence (RI)
377 performed through BRT, sorted the impact of the different independent variables on the
378 response variables. It is remarkable from Table 6 and Figures 4 and S3 the
379 overwhelming importance of the rainfall variables of the event (P_g , P_D , P_I , P_{Imx} , P_{Gap} and
380 β) on the amount (mm) of Stf , Thr and It , with a weighted RI of 77% (overall models).
381 On the contrary, the contribution of these variables to the rates was modest regardless of
382 the site (RI~16%). Forest structure and the meteorological conditions during rainfall
383 scored an RI less than 20% in the depths, but their RI in the rates increased up to 38 and
384 26% respectively in the overall models (Figure 4). In the drier pine site, rainfall
385 partitioning (either depth or rate) was more affected by forest structure and event-

386 meteorology (39 and 29% in rates respectively) than in the moister oak site (9 and 25%
387 in rates respectively), although in this latter site (HU), event-meteorology scored the
388 highest RI for rates compared with forest structure and rainfall features. It should be
389 stressed that the models fitted for the drier site of CAL explained more variation than
390 those fitted in HU.

391 Averaging over the whole period in both plots, simple regression fitted between It rates
392 and forest structure, yielded better fit for LAI ($r^2=0.83$) than for canopy cover ($r^2=0.68$)
393 (Figure 5).

394 *3.5. Cumulative interception and temporal trends*

395 Interception was always lower in T than in C in both sites (Figure 6) through the study
396 period, with total accumulated values of It in CAL of 153.4 and 229.0 mm for T and C
397 plots, respectively, out of a total Pg of 779 mm. By water years (Figure 6), 13-14 was
398 the driest (162 mm), registering 24.0 and 29.6% of It in T and C plots, respectively.

399 These percentages diminished in 14-15 to 19.4 and 27.8% for C and T plots
400 respectively, while in 15-16 the values were 16.7 and 31.7% for C and T, respectively.

401 In HU site, T and C plots intercepted a total amount of 234.2 and 440.8 mm respectively
402 out of a total Pg of 1501.4 mm during the four water years analyzed. By water years
403 (Figure 6), T and C percentages were respectively 12-13: 31.7 and 11.4%; 13-14: 29.0
404 and 16.0%; 14-15: 27.7 and 18.0%; 15-16: 25.3 and 17.2%. These values are slightly
405 different to those reported in the event-based analysis as most events with $Pg < 1$ mm
406 were considered as fully intercepted.

407 The temporal trend observed in Figure 7 shows that the differences between C and T in
408 both sites were hold during the span considered (3 and 4 years in CAL and HU
409 respectively). Nevertheless, the temporal changes in magnitude differed between sites:
410 whilst in HU the differences in Stf, Thf and It are diminishing with the elapsed time

411 since clearing, in CAL there is a more static pattern with even slight increments along
412 time in the differences between C and T plots for both It and Thr. In HU, wet periods
413 from water year 13-14 onwards made the ratio $\ln T/C$ to decrease, whilst dry interims
414 tend to hold the differences (flattening the series). In the It series, the ratio changed
415 from -0.9 (2013) to -0.6 (2016). On the other hand, in CAL, light and sparse rainfall
416 events dominated over the whole period without a marked wet season, making the
417 differences to persist and slightly increase along time: It ratio changed from -0.30 to -
418 0.38 between the beginning and the end of the studied period.

419 **4. Discussion**

420 Rainfall features showed a contrasted pattern between both sites, reflecting the different
421 meteorological and climatic characteristics. In CAL site, the proximity to the
422 Mediterranean Sea clearly influenced the higher convectivity, dryness and warmth as
423 compared to HU site, despite the difference in altitude between both sites was only 200
424 m. In CAL, wet periods are almost absent, and important rainfall events (e.g.
425 $P_{g>10\text{mm}}$) are sparse and scattered along the year, mainly associated to convective
426 rainfall. On the contrary, in the cooler inland site, HU, wet periods do occur and
427 significant precipitation can accumulate in just a few days, as rain events may
428 concatenate during consecutive days. Considering a threshold of 2 mm h^{-1} for mean
429 rainfall intensity to separate low (L) and high (H) intensity events (Muzylo et al., 2012)
430 and a 5-hour rainfall duration threshold to distinguish short (S) and long (L) rainfall
431 events (Llasat, 2001), we may classify the rainfall as low/high intensities and short/long
432 durations (L/S, L/L, H/S and H/L; Table S1, supplementary material). Whilst H/L
433 events had a similar low frequency in both sites (about 2-3%), the remaining classes
434 were very irregularly distributed, with relative frequencies of 52% and 25% in H/S, 3%
435 and 17% in L/L and 41% and 56% in L/S for CAL and HU respectively. Total P_g in

436 each class is presented in Table S1, supplementary material. These figures are in turn
437 different in a site among different water years, reflecting an accused inter-annual
438 variability. For instance, in CAL, the extremely dry year 13-14, registered 87% of total
439 Pg as H/S.

440 The marked differences in the combined meteorological features of Pg had a primary
441 role in rainfall partitioning. Regardless of the treatment, mean Pg event-based
442 interception loss was 1.16 mm (24.6%) and 0.90 mm (18.9%) for CAL and HU
443 respectively, being the rate higher in H/S events and the depth in H/L (Table S2), as
444 previously reported by Muzylo et al., 2012.

445 At the tree-scale, stemflow yield was proportional to tree size and only bigger oaks
446 (D_{BH} classes 3 and 4) were significantly affected by the treatment, with higher Stf and
447 CStf than their relatives in the control plot. Stemflow yield is directly related to the 3-
448 dimensional geometry of the crown (Levia and Frost, 2003) and higher crown
449 densification was observed in the cleared oaks, especially in the top diameter classes
450 (e.g. LAI under the trees was 0.81 and 0.93 in the control and treated plots respectively,
451 unpublished data). This indicates that the crown structure changed after the treatment in
452 a fashion that improved both, the amount and the efficiency, by which the water is
453 funneled onto the trunk towards the soil. This differential impact of thinning on growth
454 depending on tree size has been previously reported in holm oak (Mayor and Rodà, 1993).

455 However, the significant enhancement of the stemflow at tree scale resulted in no
456 differences at the ground scale, due to the effect of thinning on density: higher stemflow
457 per tree was counterbalanced with a lower number of trees, so the role of forest structure
458 on this flux was essentially absent (Table 6). In this site, it stands out the very low
459 yields of stemflow observed at the ground scale (<1% Pg), which contrast with the
460 higher amounts (> 6% Pg) previously reported for the species in the Mediterranean

461 (Bellot and Escarré, 1998; Llorens and Domingo, 2007; Limousin et al., 2008). The
462 particular spatial forest structure of our low-biomass forest is responsible for these
463 differences. For instance, in the work of Limousin et al. (2008) control and thinned plots
464 have 5464 and 2364 trees ha⁻¹ respectively (1155 and 310 trees ha⁻¹ in this study) and
465 LAI values between 3.1 and 1.6 m² m⁻² (1.1-0.6 m² m⁻² in our case). Other studies with
466 low-density stands of holm oak also reported negligible stemflow yields (Mateos and
467 Schnabel, 2001; Hassan et al., 2017). On a tree scale, our data are much closer to the
468 values reported elsewhere (Bellot and Escarré, 1998). The plagiotropic habit of growth,
469 the roughness of the bark, or the trunk storage capacity (Zabret et al., 2018) are likely
470 responsible for the low values of Stf in this species.

471 Stemflow yield per pine (in CAL site) was higher than in the oaks. Lower tree size (less
472 surface on which collect and store water), lower bark water storage capacity (smooth at
473 their early ages) and steeper branch inclination angles, are known to be key factors
474 controlling stemflow volumes (Levia and Frost, 2003; Levia and Germer, 2015).

475 However, there is a threshold where less projected crown area offsets the steeper angles
476 and stemflow yields decline. Not only tree structure but also rainfall differences
477 between both sites may have contributed to the differences in stemflow production.

478 Convective storms with high intensity may result in a larger stemflow flux than rainfall
479 associated to fronts (Dunkerley, 2014). This author found that the temporal variation of
480 rainfall intensity and higher peak rainfall intensities yielded larger stemflow volume
481 than events with constant intensity. Regarding the treatment, even though both Stf and
482 CStf were slightly higher (non-significant) in the thinned trees, the funneling ratio
483 (CStf_m²) was significantly higher in the control. Higher crown opening, development
484 and exposure in the treatment may have increased the probability of both crown
485 evaporation and branch drip and thus decrease stemflow efficiency by decreasing

486 branch inclination angle, overloading preferential flow paths on trunks and forcing
487 stemflow to become throughfall (Crockford and Richardson, 2000; Levia and Germer,
488 2015). Also, isolated trees in the treatment are more exposed to crown evaporation and,
489 in fact, BRT models brought out in the event-meteorology a RI of 29% in the stemflow
490 rate (16% in depth) in this site. Regarding forest structure, the BRT model assigned a RI
491 of 58% of the total observed variation in stemflow rate (7 % in mm), well above the
492 values in the HU site, suggesting that changes in forest structure are more important
493 when meteorology becomes more evaporative. BRT models can help to disentangle and
494 rank the complicated influences on stemflow (Tanaka et al., 2017). Pine density and
495 diameter distribution after the treatment had a profound and significant effect on the
496 stemflow depth (mm): T plot presents similar range to that reported in the species
497 (Llorens and Domingo, 2007; Molina and del Campo, 2012) whereas C reached higher
498 values, close to 10% of P_g , which is consequence of the high tree density and cover
499 (Levia and Frost, 2003). As a localized point of water input, stemflow must necessary
500 increase at the expense of throughfall when the number of trees increases and the
501 volumes of water funneled down the tree trunk are significant (Dunkerley, 2014). In this
502 case, as stemflow depth was negatively affected by the thinning, opposite assessments
503 may arise according to the pursued effects of the treatment (hydrological, eco-
504 hydrological or hydro-geomorphological) as stemflow has proven influences on
505 preferential and subsurface flows, soil moisture patterns, localized deep drainage hot
506 spots, subsurface tunnel erosion, retreat of gullies etc. (see Leiva and Germer, 2015 for
507 references).

508 Regarding throughfall and interception, both depth and rate were significantly affected
509 by the treatment during the following years in both sites, as expected (Crockford and
510 Richardson, 1990; Bréda et al., 1995; McJannet and Vertessy, 2001; del Campo et al.,

511 2014). Thr and It in Mediterranean forests and scrublands are variable according to the
512 forest species/structure and the rainfall features (Mateos and Schnabel, 2001; Llorens
513 and Domingo, 2007; Muzylo et al., 2012; Swaffer et al., 2014). Although our data fit
514 into those general ranges, a detailed analysis is needed in order to better address the
515 specific effects of this adaptive silviculture in low-biomass stands. In Holm oak, Thr
516 and It fractions in our control plot (about 69% and 28% of Pg respectively) are in the
517 range compiled for this species (57-72 % and 18-31% of Pg for Thr and It respectively,
518 see Limousin et al., 2008 and references therein). However our forest structure is quite
519 simpler (LAI, cover and BA are much lower in our site) and that may lead to think that
520 our It values are high when compared with structures having higher canopy storage
521 capacities. In the cited work, their thinned oak plot had a similar cover to that in our
522 control plot (~60%) and evaporated around 20% of Pg, pointing out that It was indeed
523 higher in our drier conditions. The important difference is that, comparatively, these
524 authors reduced It by 34% after removing 33% of BA, whereas in this work It was
525 reduced by 60% after removing 41% of BA, indicating a higher relative gain in net
526 precipitation, and that the evaporation did not decrease linearly in proportion to canopy
527 structure with regards other forests of Holm oak (Gash et al., 1999; Limousin et al.,
528 2008). Other studies with isolated trees and canopy cover around 20% or less (Mateos
529 and Schnabel, 2001; Pereira et al 2009b; Hassan et al., 2017) reported It values below
530 10% of Pg, which agree with our experimental fit in Figure 5, and confirm higher It
531 values under dry Mediterranean conditions. Regarding the pine saplings in CAL site,
532 similar assertions can be made: It rates are in the reported range for this species and/or
533 for similar climatic conditions (Crockford and Richardson, 1990; Llorens and Domingo,
534 2007; Shachnovich et al., 2008; Molina and del Campo, 2012; Swaffer et al., 2014) in
535 spite their lower biomass. However the effect of thinning in our case had a greater

536 relative effect on I_t reduction: a removal of $13 \text{ m}^2 \text{ ha}^{-1}$ of BA, decreased I_t by 46% (or
537 15% less intercepted P_g , i.e. -1.28% per unit of BA removed). Previous work in mature
538 Aleppo pine trees achieved reductions -0.86% per unit of BA removed (Molina and del
539 Campo, 2012) (Figure 8). For other species and climates, our data stand out for being
540 out of range when relating I_t to forest structure either as I_t/LAI or I_t/BA ratios
541 (Crockford and Richardson, 2000). Therefore, a reduction of forest structure in low-
542 biomass unmanaged forests can produce a proportional greater effect in net precipitation
543 than a comparable reduction in more stocked and mature forests (Figure 8). Thinning
544 40% of BA in holm oak has been stressed as the best managing option for enhancing
545 disturbance resilience in this species (López et al., 2009).

546 Both the evaporative conditions and forest structure of our low-biomass forests explain
547 these differences with previous thinning studies. In most experiments, thinning
548 represents a shift from a closed-canopy control, where exponential eddy and wind speed
549 decay can be assumed, towards a more opened and ventilated forest structure, where
550 overall aerodynamic conductance and evaporation rates on wet isolated trees depends
551 mostly on the surrounding, rather than on above-canopy environmental conditions
552 (Pereira et al., 2009a). This means that reduced I_t in the control can be partially offset
553 by enhanced evaporation resulting from higher aerodynamic conductance due to higher
554 wind speed and more effective turbulent mixing (Teklehaimanot et al., 1991; Pereira et
555 al., 2016). In our case, however, low forest cover and sparseness were already present in
556 the controls (79 and 63% of cover in CAL and HU respectively), so the impact of
557 thinning on increasing turbulence and wind speed at the tree crowns level was
558 comparatively lower than in other studies. Decoupling coefficients (Jarvis and
559 McNaughton, 1986) calculated for the oaks (unpublished data) were below 0.1 for C
560 and T respectively, indicating a high degree of coupling between the canopy and the

561 free air stream regardless of the treatment. Similar rationale was provided in Crockford
562 and Richardson (2000) to explain the high interception in a low-cover pine plantation.
563 Also, it can be argued that the relative gain in net precipitation (or efficiency of the
564 thinning) in CAL was somewhat lower than in HU (Figure 8) due to the important
565 contribution of meteorology during rainfall in the former site, which actually weighted
566 more than in the latter site in the BRT fitted (Table 6). Likewise, the control trees in
567 CAL site (third block, Table 3), can behave as a closed canopy forest (Pereira et al.,
568 2016), and thus a lower depth of the fully ventilated part of the canopy makes the upper
569 part of the crown the main contributor to evaporation (the above-mentioned offsetting
570 effect would have been greater in this case). These findings underscore the importance
571 of rainfall evaporative conditions in semiarid climates (Dunkerley, 2000; Llorens et al.,
572 1997) together with their relationship to forest structure (Pereira et al., 2016) and
573 suggest again the higher relative importance of forest structure in rainfall partitioning
574 when the evaporative conditions are enhanced (Table 6 and Figure 4).

575 Along time, the effects of the thinning are dampening in HU as a consequence of the
576 growth of crowns in the treated trees (del Campo et al., 2014), which can also be argued
577 as a reason for the lower importance of forest structure in this site, as it was considered
578 constant through time. López et al., (2003) reported a lag of the response to thinning for
579 fine roots growth of about 1.5 years, a span that in our case would explain why during
580 the first year after clearing the differences ($\ln T/C$) peaked and decreased thereafter.

581 Higher net precipitation, soil temperature and soil nutrient content (from mulch
582 mineralization in thinned plot, unpublished data) enhance tree growth (López et al.,
583 2003). However, converging C and T values was not just due to increasing I_t in T, but
584 to decreasing I_t in C too. During the 4-years period we measured decreasing LAI and
585 transpiration values in C due to leaf abscission (unpublished data), a response of this

586 species to severe droughts in order to decrease stand It and allow improved soil
587 moisture (Barbeta and Peñuelas, 2016). This underscores the importance of these
588 adaptive water-oriented treatments and encourages future monitoring of this temporal
589 trend. Holm oak forests have shown climate-related mortality and growth decline since
590 the last century (Camarero et al., 2016), and years 2014 and 2015 presented in this site
591 very low precipitation and high temperatures that may push marginal populations close
592 to their distributional limit (Peñuelas et al., 2017). On the other hand, in CAL, dryness
593 and lack of enough wet years led to no temporal drift on $\ln T/C$, and thus a longer
594 duration of the effects of treatment due to low growth rates.

595

596 **6. Conclusions**

597 The results presented in this paper highlight that interception loss in low-biomass
598 semiarid forests is comparable or even higher to that of mature forests of the same
599 species with higher storage capacity but similar forest cover. Accordingly, the
600 effectiveness of a proactive-adaptive silviculture aimed to increase net rainfall has been
601 shown to be comparatively higher in terms of water quantity but also it is more cost-
602 effective, due to the removal of less biomass per unit of area treated. The impact of
603 forest structure modification on rainfall partitioning seems to be related to the dryness
604 of the site. In the RI analysis, event-meteorology and forest structure were more
605 important in the drier site of Calderona (comparing between sites), although in an intra-
606 site comparison, event-meteorology was more important than forest structure in La
607 Hunde and the opposite was true in Calderona. The temporal effect of the treatments on
608 tree growth might explain the weaker RI of forest structure in rainfall partitioning in La
609 Hunde, where growth dynamics likely dampened the differences along time. In the case
610 of the drier climate, the temporal effects of thinning appear to last longer than in the

611 wetter site due to a slower growth response of the remaining trees following severe
612 droughty years.

613 This study can make a contribution towards the implementation of ecohydrological-
614 oriented silviculture in semiarid regions by explicitly addressing issues related to the
615 magnitude, efficiency and duration of the effects of treatments in these stands on net
616 rainfall. Evaporation components between events and in dry spells (transpiration, soil
617 and litter evaporation, etc.) as well as the redistribution of infiltrated water must be
618 further addressed in order to have a full picture of the efficiency of treatments in terms
619 of blue and green water balance. Also, additional water years are needed in order to
620 better know about the temporal dynamics of these effects and define time interims for
621 successive forest treatments.

622

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630

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838 TABLE CAPTIONS

839 **Table 1.** Selected published literature about rainfall partitioning. Shaded cells
840 correspond to works with common points to the present study; by columns: 1) semiarid,
841 dry or Mediterranean climate, 2) short to mid-term period studied (≥ 3 years), 3) low-
842 biomass overstocked/unmanaged forests, 4) address the relative influence of rainfall
843 characteristics, meteorological conditions and forest structure, and 5) explicitly
844 considering the effect of forest management treatments. Abbreviations: P, mean annual
845 precipitation (mm); T, mean annual temperature ($^{\circ}\text{C}$); D, tree density (trees ha^{-1}), BA,
846 basal area ($\text{m}^2 \text{ha}^{-1}$); LAI: leaf area index ($\text{m}^2 \text{m}^{-2}$); P-ev, event rainfall characteristics;
847 P-met, event meteorological characteristics; FS, forest structure.

848 **Table 2.** Physiographic, climatic and edaphic features in both experimental sites
849 (Calderona, CAL and Hunde, HU) and plots (0, control, not treated and 1, treated
850 cleared/thinned). ^aRoman Numerals correspond to the experimental blocks from upslope
851 to downslope. ^bFractions in the following order: sand (2–0.02mm), silt (0.02–
852 0.002mm), clay (<0.002 mm). ^cIntervals are for soil depth (cm). Values are
853 mean \pm standard deviation (n is variable between 1 and 9). Sampling dates: May-2012
854 and May-2016).

855 **Table 3.** Summary of forest structure metrics in control and treated plots (C, T) for both
856 sites (CAL: Calderona; HU: La Hunde). See text for abbreviations. Values between
857 parentheses represent the average for each experimental block arranged from I to III
858 (upslope to downslope). [§] represents values in 2016, [†] represents values in the year of
859 treatment, ^{§†} represents values averaged along the total period.

860 **Table 4.** Rainfall and event-meteorology features of the Pg events studied in both study
861 sites, Calderona (CAL) and La Hunde (HU) presented for convective ($\beta \neq 0$) and non-
862 convective events ($\beta = 0$), where β is the parameter indicative of the convective nature of
863 an event. Pg: gross rainfall; P_D: event duration; P_I: mean intensity rate; P_{Imx}: maximum
864 intensity rate; P_{Gap}, intra-event gaps; T: temperature; U: wind speed (maximum and
865 average during the event); D: vapor pressure deficit. Only Pg > 1 mm events were
866 considered. Values reports are means; median and standard deviation in parenthesis. In
867 HU from 1/Oct/12 to 30/Sep/16, P_{≤1mm}: 220mm; In CAL from 1/Oct/13 to 30/Sep/16,
868 P_{≤1mm}: 94 mm).

869 **Table 5.** Summary of the statistical analyses (ANCOVA and Kruskal-Wallis)
870 performed for the comparison between control (C) and treatment (T) plots in both sites
871 (CAL and HU); *F* and *Chi* are the statistics used in the test; *, **, *** significance at p-
872 levels ≤ 0.05 , 0.01 and 0.001 respectively; df: degrees of freedom (factor, cases).
873 D_{BH3/4} refer to the diameter classes 3 and 4.

874 **Table 6.** Relative Importance from the BRT models fitted between the rainfall
875 partitioning variables (throughfall, stemflow and interception) and the three sets of
876 independent variables (rainfall, event-meteorology and forest structure, see Tables 2 and
877 3 for specific variables in each set). The importance, a relative term from 0 to 100, is
878 computed as the scaled contribution of each specific variable to the improvement of the
879 model. cv-correlation represents the cross-validation correlation coefficient of the fitted
880 model.

881

882 FIGURE CAPTIONS

883 **Figure 1.** Localization of the experimental plots

884 **Figure 2.** Mean and median values in Calderona and La Hunde sites for the three
885 variables selected to study the effect of forest management (thinning/clearing: T, control
886 without management: C) on tree stemflow (Stf, l/tree) (left), stemflow coefficient (CStf,
887 l/mm) (center) and, efficiency of stemflow (CStf_m², l/mm m²) (right). Bars indicate the
888 standard deviation. Different letters indicate significant differences between treatments.
889 In La Hunde, differences in l/tree and l/mm are just for the bigger trees of diameter
890 above 10.5 cm. Only P>1 mm considered.

891 **Figure 3.** Mean and median values in Calderona and La Hunde sites for throughfall,
892 stemflow (on a ground basis) and interception either as depth (mm) or rates (as % of
893 gross rainfall), as affected by forest management (thinning/clearing: T, control without
894 management: C). Error bars indicate the standard deviation. Different letters indicate
895 significant differences between treatments in a site.

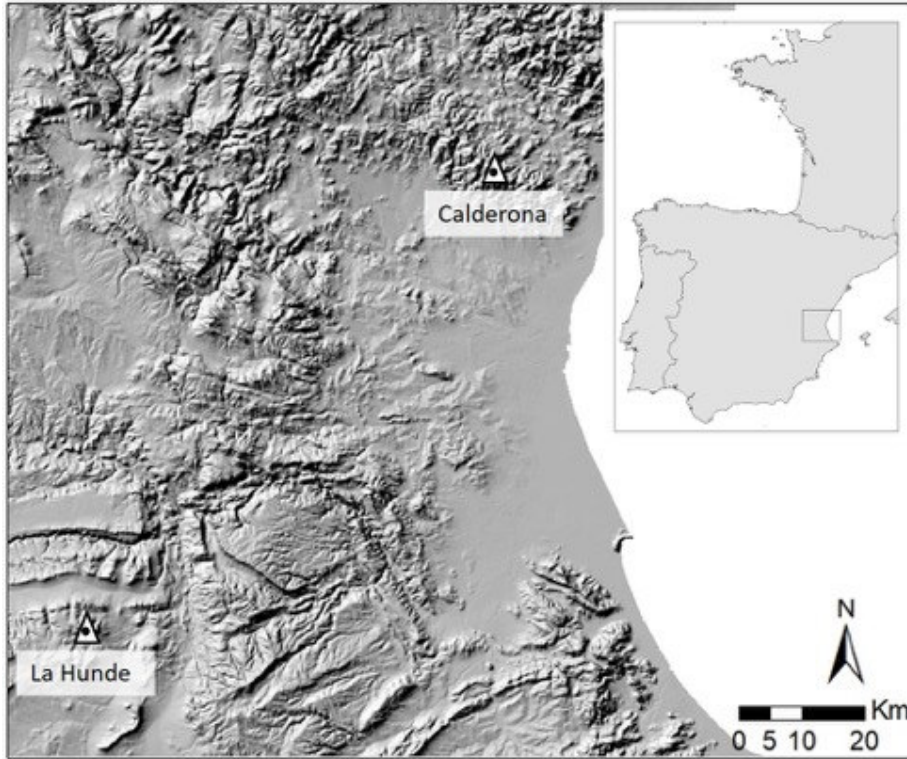
896 **Figure 4.** Mean relative importance of the three sets of predictors (rainfall, event-
897 meteorology and forest structure) for both depth (mm) and rate (% Pg) of Thr, Stf and
898 It, after weighting with the cv correlation coefficient obtained in the BRT models in the
899 study sites (HU, CAL and Overall).

900 **Figure 5.** Regressions of the event-based overall average of interception rate on forest
901 structure: LAI and Cover (n=12; 6 blocks per site).

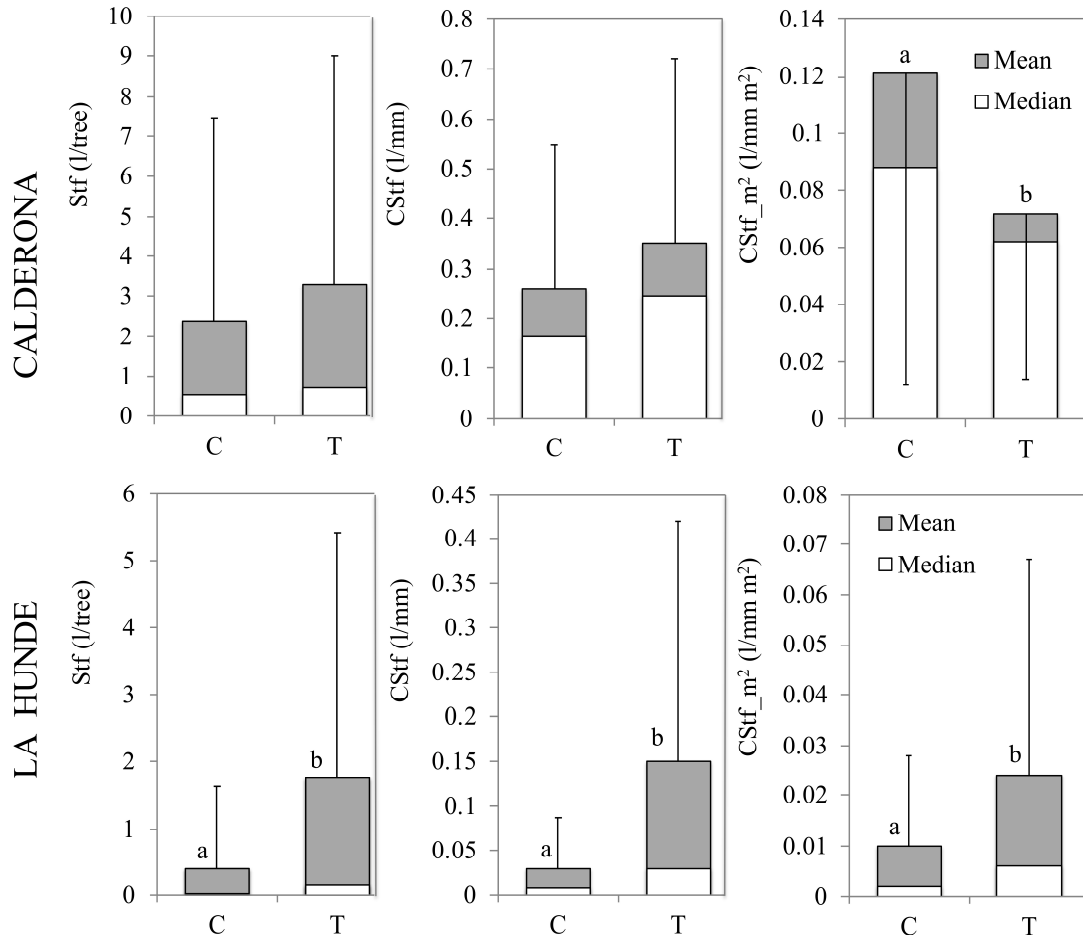
902 **Figure 6.** Partitioning of gross rainfall (Pg) in the throughfall (Thr), stemflow (Stf) and
903 net rainfall (Pn) according to the water years, site and forest treatment.

904 **Figure 7.** Cumulative treatment impacts on the response variables (Stf, Thr and It) as
905 shift of the daily ratio of treated/control (ln T/C) following the intervention (baseline
906 before treatment is assumed to be zero).

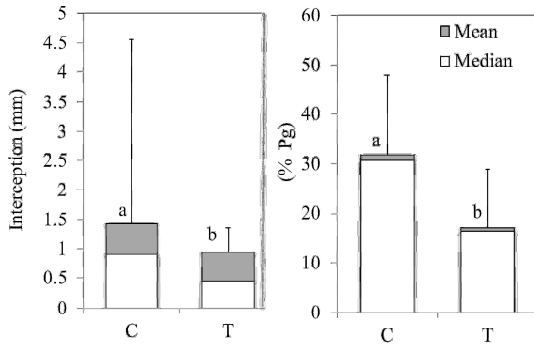
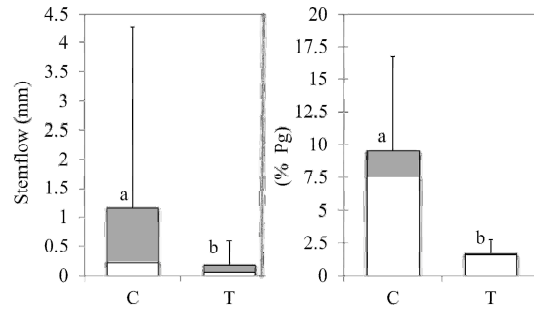
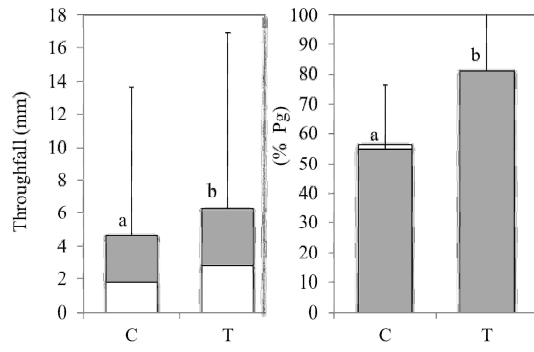
907 **Figure 8.** Efficiency of thinning per unit of basal area (BA) or LAI removed on the
908 reduction of the interception loss in the low biomass-forests studied here and in



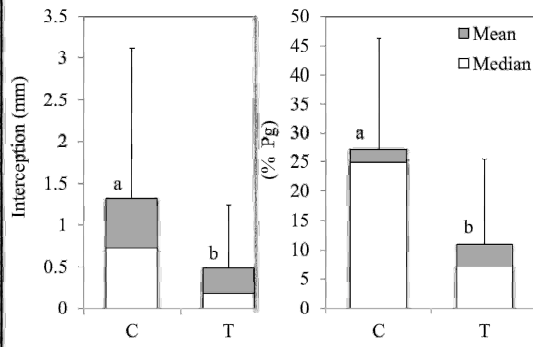
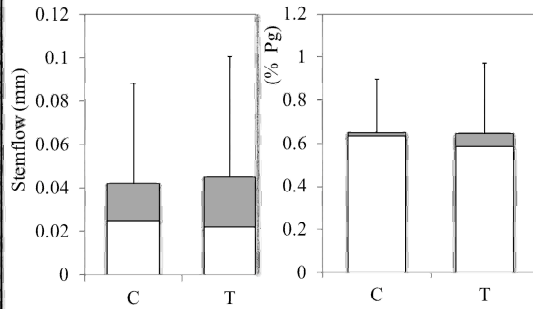
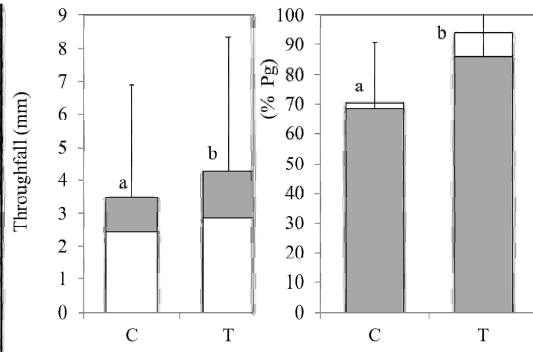
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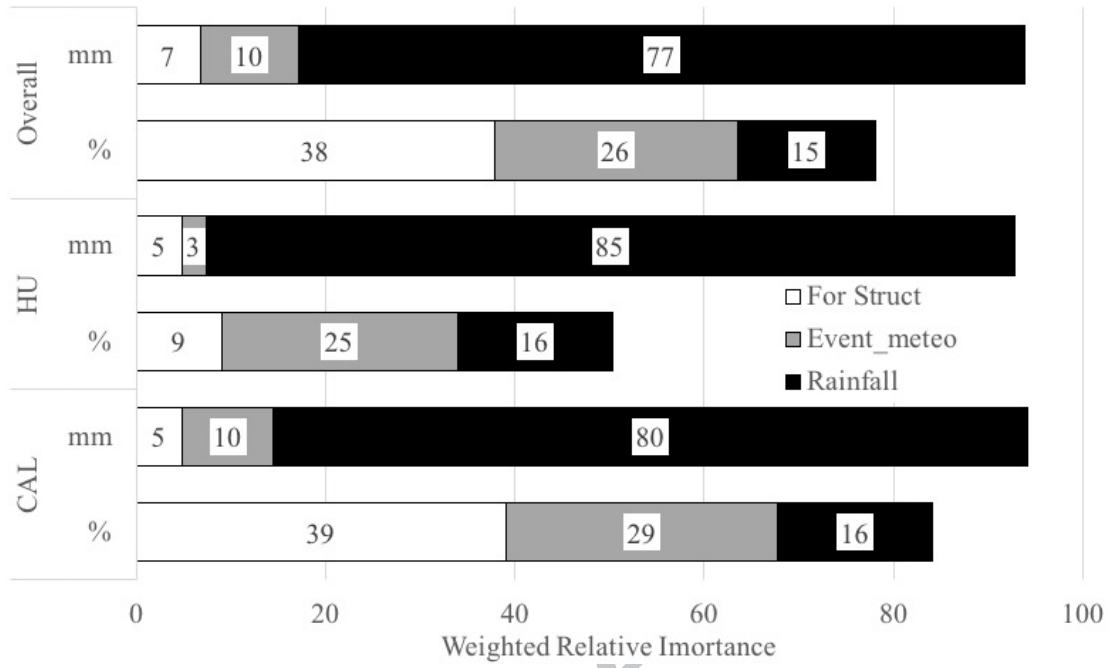


CALDERONA

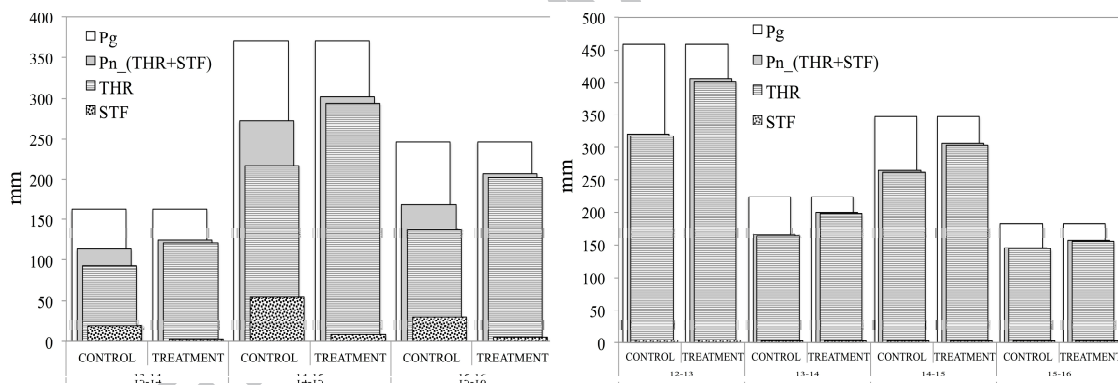
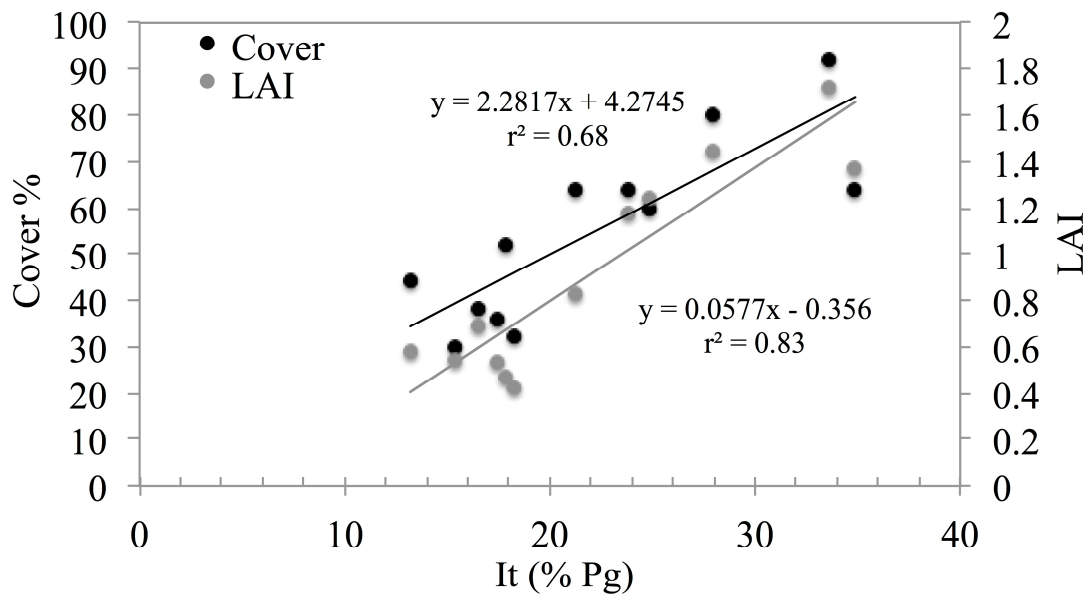


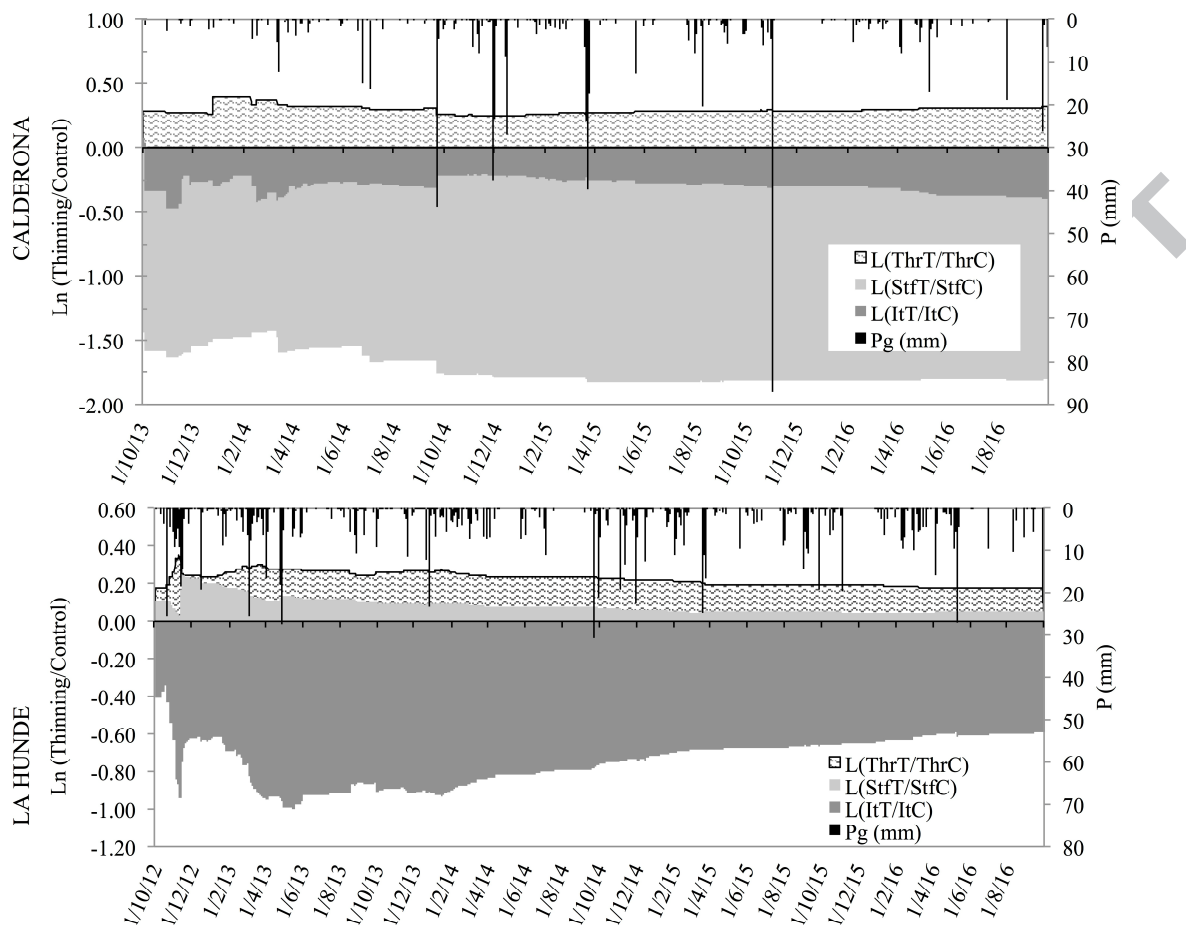
LA HUNDE

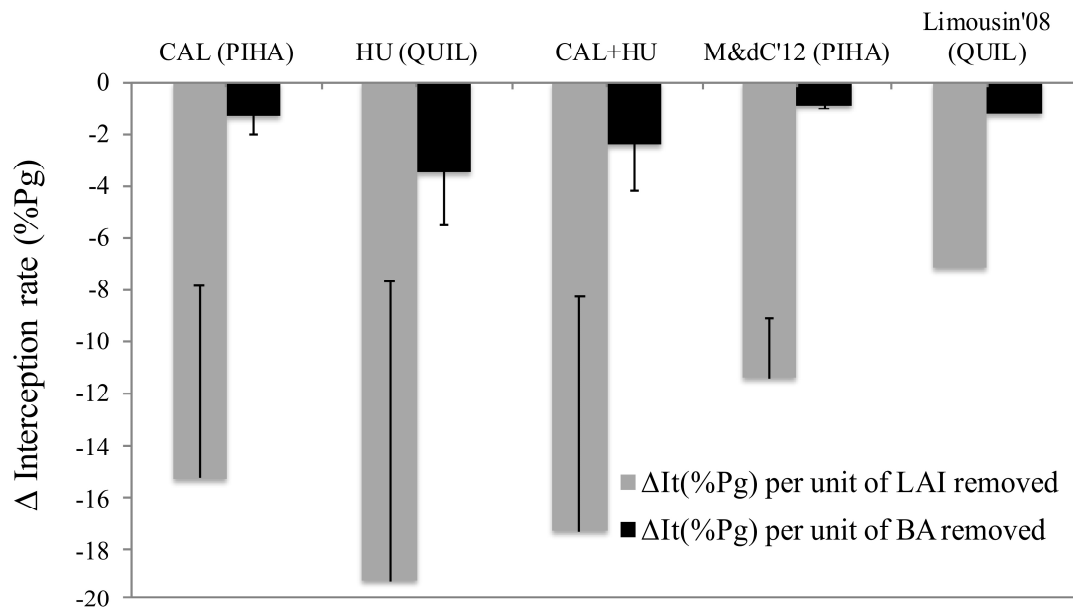
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909 previous studies in both species (Molina and del Campo (2012), Aleppo pine; Limousin
 910 et al., (2008), Holm oak).

911 **Table 1**

Site, climate, country, P, T and forest-type	Length of the study period	Stand structure metrics	Driving variables explicitly addressed:	Effect of (adaptive) forest management	Reference
Upper Yass Representative Basin, oceanic climate, Australia (P: 679), dry sclerophyll eucalypt forest and pine plantation	7 years	D: 1525-1708 BA: 34-35	P-ev, P-met, FS	Thinning in a <i>Pinus radiata</i> plantation	Crockford & Richardson, 1990
Longmile, Rotorua, mild temperate climate, New Zealand (P: 1623, T:13), <i>Pinus radiata</i> plantation	2 years	D: 754 LAI: 4.9	P-ev, P-met, FS	Thinning in a <i>Pinus radiata</i> plantation	Whitehead, & Kelliher, 1991
Vallcebre catchment, Mediterranean mountain climate, Spain (P: 850, T: 9), monospecific stand of <i>Pinus sylvestris</i>	30 months	D: 2400 BA: 38	P-ev, P-met	Not considered	Llorens et al., 1997
Guadalperalon catchment, Mediterranean, Atlantic and continental climate, Spain (P: 516, T: 16), Open woodland (savannah-like) of <i>Quercus ilex</i>	3 years	D: 35-40	P-ev, P-met	Compare pruned and un-pruned trees.	Mateos & Schnabel, 2001
Puchabon State Forest, Mediterranean sub-humid climate, Southern France (P: 908, T: 13.5), dense coppice forest of <i>Quercus ilex</i> (clearcut in 1942)	12-24 months	D: 6885 LAI: 3.1	P-ev, P-met, FS	Combination of control, thinned (LAI: 1.6) and throughfall exclusion. Response to reduced P.	Limousin et al., 2008
Taxiarhis forest, temperate mesothermal climate, northern Greece (P: 980mm; T: 11.6 °C), hardwood forest dominated by <i>Quercus frainetto</i>	24 months	D: 818-1825 BA: 9.3-36	FS	Hydrological impacts of thinning and clearcutting	Ganatsios et al., 2010
Vallcebre catchment, Mediterranean mountain climate, Spain (P: 850, T: 9), <i>Quercus pubescens</i> forest mixed with other deciduous species	30 months	D: 828 BA: 29 LAI: 3.35	P-ev, P-met, FS	Not considered	Muzyło et al., 2012
Yatir forest, Semiarid climate, Israel (P: 278, T:19.6), monospecific plantation of <i>Pinus halepensis</i> .	Dry/wet seasons	D: 300 BA: 6.7 LAI: 1.5	No Rainfall partitioning performed	Thinning discussed	Ungar et al., 2013
La Hunde public forest, semiarid continental Mediterranean climate, Spain (P: 465, T: 13.7), monospecific plantation of	27 months	D: 1289 BA: 36 LAI: 2.6	P-ev, FS	Thinning to different intensities	Molina & Del Campo, 2012; Del Campo et al., 2014

<i>Pinus halepensis.</i>					
Sardon catchment, semiarid Mediterranean climate, Spain (P:589, T:13.2), open woodland of <i>Quercus ilex</i> and <i>Q. pyrenaica</i>	2 years	D: 13 Cover: 7%	P-ev, P-met, FS	Not considered	Hassan et al., 2017
Sierra Calderona, semiarid Mediterranean climate (P:340, T:14), E Spain, dense sapling forest of <i>Pinus halepensis</i> regenerated after wildfire	3 / 4 years	D: 1155- 11300 BA: 8.5- 17 LAI: 1.1- 1.5 Above- ground biomass: 23 MgC/ha	P-ev, P-met, FS	Thinning and shrub clearing treatments	This study
La Hunde public forest, semiarid continental Mediterranean climate, Spain (P: 465, T: 12.8), marginal oak coppice forest of <i>Quercus ilex</i>					

912

913

Table 2.

	Units	CAL		HU	
		0 (control)	1 (treated)	0 (control)	1 (treated)
Coordinates	Geographic	39°42'29-30" N 0°27'25-26" W	39°42'28-30" N 0°27'22-24" W	39°4'29-30" N, 1°14'25-26" W	39°4'48-49" N 1°14'46-48" W
Altitude	m a.s.l.	785-795		1080-1100	
Slope	%	26.8±6.5	28.9±10.3	30.8±6.0	33.1±7.9
Aspect	° (0°: east)	318.0±4.8	304.5±20.2	327.43±28.1	311.62±18.3
P (mm)	mm	342		466	
T (°C)	°C	14.0		12.8	
PET (mm)	mm	837		749	
Soil Depth ^a	cm	I:20;II:30;III:70		I:15;II:30;III:40	
Texture ^{b,c}	%	0-15: 45;29;26	0-15: 39;34;27	0-10: 44;33;23 10-30: 57;23;20 30-40: 48;32;19	
pH	(water)	8.3±0.2	8.3±0.1	7.9±0.2	8.0±0.1
Carbonates	(g g ⁻¹ dry soil)	0.319±0.154	0.371±0.114	0.215±0.096	0.260±0.042

914

915

Table 3.

Plot	D _B (cm) [§]	D _{BH} (cm) [§]	BA (m ² ha ⁻¹) [†]	Density (tree ha ⁻¹) [†]	Cover (%) [§]	LAI (m ² m ⁻²) ^{†§}
LA HUNDE						
HU-C	11.87 (12.1;11.6;11.9)	8.62 (8.4;8.1;9.0)	8.5 (5.1;7.1;12.4)	1155 (875;1000;1460)	62.7 (60;64;64)	1.1 (1.24;0.83;1.18)
HU-T	18.09 (18.5;14.3;17.3)	14.18 (14.2;10.8;13.6)	4.98 (3.7;5.0;6.2)	310 (267;317;333)	39.3 (36;44;38)	0.6 (0.53;0.58;0.69)
CALDERONA						
CAL-C	4.33 (9.5;4.2;3.7)	2.74 (6.4;2.8;2.3)	17.5 (14.5;15.3;22.8)	11300 (3360;5188;25350)	78.7 (64;80;92)	1.5 (1.4;1.4;1.7)
CAL-T	13.18 (16.4;12.2;12.1)	8.51 (11.0;8.0;7.5)	4.54 (5.4;3.7;4.5)	703 (522;622;964)	38.7 (32;32;52)	0.5 (0.5;0.4;0.4)

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917 **Table 4.**

Event convec tivity	N	Total P (mm)	Event-rainfall characteristics						Event-meteorology			
			P _g (mm)	P _D (min)	P _I (mm/h)	P _I _{mx} (mm/h, $\Delta T=10$ min)	P _{Gap} (% events)	P _{Gap} (% time)	T (°C)	D (Pa)	U _{mx} (m/s)	U _{av} (m/s)
CALDERONA												
$\beta=0$	106	421	4.0 (2.6;3.9)	128 (91;118)	2.9 (2.1;3.2)	5.9 (4.8;4.7)	91	45	9.6	138	6.6	2.3
$\beta \neq 0$	10	262	26.2 (19.7;24.4)	171 (95;190)	12.4 (7.4;8.6)	40.4 (40.8;11.5)	100	20	13.3	227	10.9	3.7
LA HUNDE												
$\beta=0$	226	1136	5.0 (2.8;6.2)	240 (150;303)	1.7 (1.2;1.7)	4.1 (2.9;3.7)	67	40	8.1	127	3.5	0.7
$\beta \neq 0$	10	134	13.4 (12.4;8.0)	98 (83;73)	11.3 (9.8;7.0)	34.3 (31.5;11.1)	80	40	16.2	186	3.4	0.5

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919 **Table 5.**

Site	Response variable	d.f.	F or <i>Chi</i>	Average: C - T, units
CALDE RONA	Stemflow yield, Stf	1,900	1.31	2.4 - 3.3 l/tree
	Stemflow rate, CStf	1,900	1.48	0.26 - 0.35 l/mm
	Stf. funneling, CStf _m ²	1,900	5.97*	0.121 - 0.072 l/mm m ²
	Throughfall, mm	1,575	14.8***	4.66 - 6.26 mm
	Throughfall, %	1,575	182.1***	54.9 - 81.2 %
	Stemflow, mm	1,582	121.8***	1.15 - 0.18 mm
	Stemflow, %	1,582	348.9***	9.5 - 1.7 %
	Interception, mm	1,668	8.33**	1.42 - 0.94 mm
	Interception, %	1,691	163.0***	31.8 - 17.1%
LA HUND E	Stemflow yield, Stf D _{BH3}	1,225	19.84***	0.29 - 1.43 l/tree
	Stemflow yield, Stf D _{BH4}	1,209	8.01**	0.50 - 2.02 l/tree
	Stemflow rate, CStf D _{BH3}	1,225	46.98***	0.03 - 0.21 l/mm
	Stemflow rate, CStf D _{BH4}	1,209	5.90*	0.08 - 0.22 l/mm
	Stf. funneling, CStf _m ²	1,1098	46.07***	0.010 - 0.024 l/mm m ²
	Throughfall, mm	1,254	31.0***	3.49 - 4.27 mm
	Throughfall, %	1,254	50.0***	68.8 - 86.0%
	Stemflow, mm	1,464	1.22	0.042 - 0.045 mm
	Stemflow, %	1,464	0.44	0.65 - 0.64 %

	Interception, mm	1,337	69.4***	1.32 - 0.48 mm
	Interception, %	1,337	85.3***	27.1 - 11.0%

920

921 **Table 6.**

Site		cv correlation	Relative Importance (0-100)		
			Rainfall	Event- meteorology	For. Struct.
Overall	Thr, mm	0.992	91.7	7.2	1.2
	Stf, mm	0.922	75.8	16.5	7.7
	It, mm	0.901	76.8	9.7	13.5
	Thr, %	0.743	21.5	32.6	45.8
	Stf, %	0.947	10.5	24.6	64.9
	It, %	0.652	27.2	44.7	28.1
CAL	Thr, mm	0.993	90.9	8.1	1.0
	Stf, mm	0.913	77.0	16.2	6.8
	It, mm	0.921	85.4	6.6	8.0
	Thr, %	0.833	21.1	29.5	49.4
	Stf, %	0.936	13.0	28.9	58.1
	It, %	0.755	25.5	45.6	28.9
HU	Thr, mm	0.981	97.4	1.9	0.7
	Stf, mm	0.978	98.1	1.3	0.5
	It, mm	0.824	78.2	5.8	16.0
	Thr, %	0.485	30.6	46.4	23.0
	Stf, %	0.589	34.5	55.1	10.3
	It, %	0.434	31.3	46.3	22.5

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Highlights

- Interception loss in low-biomass semiarid forests is higher than in mature closed forests
- Thinning reduced interception (I_t) more than 15% of P_g inter-annually
- Relative influence of forest structure and event meteorology in I_t was higher in a drier, warmer site.
- Gain in net P per unit of LAI removed (efficiency) was higher than for other forests/climates.