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**On the effect of ultrasound-assisted atmospheric freeze-drying on the
antioxidant properties of eggplant**

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19 **Abstract**

20

21 The low operating temperatures employed in atmospheric freeze-drying permits an
22 effective drying of heat sensitive products, without any impairment of their quality
23 attributes. When using power ultrasound, the drying rate can be increased, thus reducing
24 the process duration. However, ultrasound can also affect the product quality. The aim of
25 this study was to evaluate the effect of various drying process variables, namely air
26 temperature and velocity, ultrasound power and sample size, on the antioxidant properties
27 of eggplant (*Solanum Melongena L.*) samples. For this reason, drying experiments were
28 carried out at different drying temperatures (-5, -7.5, -10 °C), power ultrasound levels (0,
29 25, 50 W; 21.9 kHz) and air velocities (2, 5 m s⁻¹) using different sample sizes (8.8 mm
30 and 17.6 mm cube side). The ascorbic acid content (Jagota and Dani method), total
31 phenolic content (Folin-Ciocalteau method), and the antioxidant capacity (FRAP method)
32 of the dried products were considered as quality indicators of the dried samples. The
33 increase in air velocity and temperature, as well as the sample size, significantly reduced
34 the antioxidant potential of the dried samples (p-value < 0.05). For a given sample size,
35 the application of ultrasound, at the acoustic power levels tested, did not produce
36 significant effects on the antioxidant indicators considered. Temperature measurements
37 inside the drying sample showed a non-negligible temperature rise when acoustic power
38 was applied.

39

40

41 **Keywords**

42

43 Atmospheric freeze-drying, ultrasound, antioxidant properties, phenolic compound,
44 vitamin.

45

46 1. Introduction

47

48 Freeze-drying is a low temperature drying process widely used for the purposes
49 of obtaining high quality products. The main difference with respect to hot air drying is
50 that water is not removed by evaporation but by sublimation from a completely frozen
51 product. Meryman (1959) showed that it is the difference in water vapor partial pressure
52 between the interface of sublimation of the product and the surrounding environment, and
53 not the absolute pressure, which is the driving force behind water sublimation. This means
54 that it is possible to freeze-dry a product not only under vacuum conditions, as in the
55 conventional vacuum freeze-drying process, but also at atmospheric pressure, as long as
56 a gradient of water partial pressure is established and maintained. In this sense,
57 Atmospheric Freeze-Drying (AFD) is the convective drying of a completely frozen
58 product using a stream of dried cold air for both water removal and heat supply. This
59 process can provide high quality products, as evidenced, among others, by Stawczyk, Li,
60 Witriwa-Rojchert, & Fabisiak, (2007), who proved that apple cubes freeze-dried at
61 atmospheric pressure exhibited a similar quality to those obtained by vacuum freeze-
62 drying. As regards energy consumption, AFD can provide an energy saving of 35%
63 (Wolff & Gibert, 1990) compared to vacuum freeze-drying. However, its main
64 disadvantage is that the mass transfer inside the dried layer becomes rate controlling, and
65 longer process times are required.

66 The main strategy for intensifying the AFD, saving time and energy without
67 impairing product quality, is the enhancement of the heat and mass transfer rates. To
68 achieve this goal, some additional energy should be supplied to the product. Power
69 ultrasound proved to be an effective, non-toxic and environmentally friendly way to speed
70 up not only the hot air-drying process (De la Fuente, Riera, Acosta, Blanco, & Gallego-
71 Juarez, 2006; Do Nascimento, Mulet, Ramirez-Ascheri, De Carvalho, & Carcel, 2016;
72 Puig, Perez-Munuera, Carcel, Hernando, Garcia-Perez, 2012) but also the AFD process
73 (Garcia-Perez, Carcel, Rossello, Riera, & Mulet, 2012). The acoustic waves create a cycle
74 of periodically repeated mechanical stresses of compression and expansion, which
75 produce different effects depending on the nature of the system (Garcia-Perez, Carcel,
76 Mulet, Riera, & Gallego-Juarez, 2015; Legay, Gondrexon, Le Person, Boldo, &
77 Bontemps, 2011). In solid products, the series of compressions and expansions of the
78 sample generate an effect similar to what happens to a sponge when quickly squeezed

79 and released (Liang, 1993). This helps water to flow out of the dried cake through both
80 the natural channels and other micro-pathways created by the ultrasonic stresses (Awad,
81 Moharram, Shaltout, Arker, & Youssef, 2010; Ricce, Rojas, Miano, Siche, & Augusto,
82 2016; Santacatalina, 2015). Ultrasound can also generate a micro-stirring at the solid-
83 fluid interface that contributes to reducing the external mass transfer resistance.
84 Furthermore, Gallego-Juarez, Rodríguez-Corral, Gálvez-Moraleda, & Yang (1999)
85 claimed that the application of power ultrasound only exerts a mild heating effect, thus
86 increasing interest in the ultrasound-assisted freeze-drying of thermally sensitive products
87 (Pereira & Vincente, 2009). Finally, it has to be highlighted that samples remains frozen
88 during the atmospheric freeze-drying process. This is a relevant issue as ultrasound is
89 known to induce cavitation in liquids, and during cavitation, hydrogen and hydroxide
90 radicals can be formed, which may lead to oxidation, thus affecting product
91 characteristics. However, this is not a concern in the AFD process as the water is in frozen
92 state when ultrasounds are applied.

93 When dealing with food drying, care must be taken with the effect of the drying
94 process on food's physical (rehydration capacity, color, texture, etc) and nutritional (e.g.
95 vitamins, proteins, etc.) properties. Natural antioxidants are particularly important,
96 especially in fruit and vegetables, because of their proven ability to prevent the effects of
97 oxidative stress. Disturbances in the organism redox equilibrium can lead to serious
98 damage to tissues, proteins, enzymes and genetic material, such as DNA and RNA
99 (Halliwell, 2007; Moneim, 2015). The antioxidant ability of food is related with vitamins
100 or phenolic compounds (Boonprakob, Kriengsak, Crosby, Cisneros-Zevallos, & Byrne,
101 2006; Oroian & Escriche, 2015). Vitamins are particularly important because they play
102 an important role in many important reactions and any vitamin shortage may result in
103 serious diseases (Porter, 2012). Another group of molecules of relevant interest is that of
104 the phenolic compounds, whose concentration is closely correlated with the antioxidant
105 capacity of many fruits and vegetables (Hossain & Shah, 2011; Li et al., 2010). Morales-
106 Soto et al. (2014) found that eggplants are one of the vegetables that exhibit the highest
107 antioxidant capacity, although this is heavily dependent on the kind of cultivars and the
108 harvesting season (García-Salas, Gomez-Caravaca, Morales-Soto, Segura-Carretero, &
109 Fernández-Gutiérrez, 2014).

110 Ultrasound application during drying has been reported to affect some nutritional
111 properties and the quality of the final product, both when drying at high temperatures

112 (Gamboa-Santos, Montilla, Soria, Cárcel, & Garcia-Perez, 2014) and also at low ones
113 (Santacatalina et al., 2014). This effect appears to be closely related to the porosity of the
114 solid, which determines how the waves propagate inside the product. Although the
115 nutritional properties can be impaired by the acoustic power applied (Santacatalina,
116 Contreras, Simal, Cárcel, & Garcia-Perez, 2016a), in particular for those samples having
117 a less porous matrix, hardness, rehydration rate or whiteness can be improved when the
118 drying rate is enhanced by ultrasound application (Santacatalina, Guerrero, Garcia-Perez,
119 Mulet, & Cárcel, 2016b).

120 The sample size, namely the surface to volume ratio, may also have a great
121 influence on the drying process: the drying time shortens enormously as the size is
122 reduced, which encourages the processing of small-sized products, when feasible.
123 Besides, the acoustic energy can speed up the drying rate (Colucci, Fissore, Mulet, &
124 Carcel, 2017) with only mild effects on product quality (Santacatalina, Fissore, Cárcel,
125 Mulet, & Garcia-Perez, 2015).

126 The aim of this study was to evaluate the effect of various operating variables,
127 namely air temperature and velocity, ultrasound power and sample dimension on some
128 antioxidant properties, (vitamin C content, total phenolic content and antioxidant
129 capacity) of eggplant (*Solanum Melongena L.*) samples during the ultrasonically-assisted
130 atmospheric freeze-drying process. The paper is thus organized as follows: firstly, details
131 are given about the experimental approach to the drying, with the design of the
132 experiments used for this purpose. Then, the experimental methods are presented, with
133 the methodology used for the measurement of the antioxidant potential of the samples
134 and the temperature profile during drying. Finally, the results of the experimental
135 investigation and quality assessment analysis are presented and discussed.

136

137 **2. Materials and methods**

138

139 Eggplant (*Solanum Melongena*), freshly purchased in a local market (Valencia, Spain),
140 was stored at $4\pm 1^{\circ}\text{C}$ for no more than two days before being dried. Cubic samples of two
141 different sizes (8.8 and 17.6 mm side respectively) were obtained from the core of the
142 vegetable (all the samples of one batch were obtained from the same vegetable) using a
143 household tool.

144 Due to the high content of chlorogenic acid, eggplant is more prone to browning

145 and to enzymatic oxidation than other *Solonaceae* (Barbagallo, Chisari, & Patanè, 2012).
146 In order to avoid any loss of antioxidant compounds not related with the drying process,
147 a widely-used method is to treat the samples with a sodium metabisulfite solution.
148 Therefore, all samples were treated with a 2% w/w ($\text{Na}_2\text{S}_2\text{O}_5$, Probus S.A., 97% purity)
149 solution for five minutes (Akyildiz, Aksay, Benli, Kiroglu, & Fenercioglu, 2004).

150 The convective dryer has already been presented in literature (Garcia-Perez,
151 Carcel, Rosselló, Riera, & Mulet (2012). It basically consists of a cylindrical drying
152 chamber directly connected to the piezoelectric transducer, whose wall acts as the
153 ultrasound radiator. Air flow is driven by a medium pressure fan, and air velocity is
154 controlled acting over the fan speed. The process air is cooled down by a heat exchanger,
155 using a glycol-water solution (45% v/v), and its temperature is controlled by an electric
156 resistance. In order to keep the relative humidity as low as possible, the air flow is forced
157 to pass through two trays full of water absorbent material, which is regenerated (at 250°C
158 for 7 h) and replaced before each test. To determine the drying kinetics, samples were
159 weighed at pre-set times using an industrial weighing module. For this purpose, the fan
160 is stopped, and the samples are taken out of the drying cylinder before measuring in order
161 to avoid any disturbance in the weight measurement.

162

163 *2.1.Design of experiments*

164 With the aim of evaluating the effects of the process variables on product quality, and
165 identifying those which play a major role, a proper experimental design was used. The
166 independent variables considered were: air velocity, air temperature and ultrasound (US)
167 power applied. The ascorbic acid content (AA), total phenolic content (TPC), and the
168 antioxidant capacity (AC) of the dried sample were chosen as the dependent variables.

169 The three factors, temperature (A), air velocity (B), and US power (C), were
170 studied with a classical factorial 2^3 design (Montgomery, 2001). Every factor was tested
171 at two levels, high (+) and low (-): temperature at -10 and -5°C, air velocity at 2 and 5
172 ms^{-1} and acoustic power at 0 and 50 W. This experimental design is graphically
173 represented in Figure 1.

174 A second set of experiments was performed in order to examine the effect of
175 temperature and ultrasound application in depth. In this case, a 3^2 factorial design (two
176 parameters, studied at three different values) was used. A temperature value of -7.5°C
177 and an acoustic power of 25 W were added to those previously tested. All the tests were

178 carried out on 8.8 mm side cubes.

179 In order to investigate the effects of the sample size, the experiments at $-10\text{ }^{\circ}\text{C}$, 2
180 m s^{-1} , with and without ultrasound (0, 25 and 50 W), were also carried out using 17.6 mm
181 side cubes. It is worth clarifying that the values of acoustic power applied shown in this
182 paper are just the electric power applied to the ultrasonic transducer. Taking into account
183 the volume of the drying chamber ($2.4 \cdot 10^{-3} \text{ m}^3$), these values represent a density of energy
184 applied of 10.3 kW m^{-3} and 20.5 kW m^{-3} , for the power of 25 W and 50 W respectively.
185 The actual acoustic field produced by this kind of transducer was previously measured
186 and reported (Riera, García-Pérez, Acosta, Carcel, & Gallego-Juárez, 2011).

187 In this study, the same mass load was used for every test: $14 \pm 1 \text{ g}$, corresponding
188 to forty 8.8 mm cubes or five 17.6 mm cubes. The ultrasonic frequency was set at 21.9
189 kHz. Every drying condition was tested three times in order to ensure the statistical
190 significance of the results.

191 Additional tests were carried out for the purposes of studying the influence of
192 ultrasound application on the evolution of the sample temperature during drying. For this
193 purpose, small and large cubes were dried together at 0, 10.3 kW m^{-3} and 20.5 kW m^{-3} .
194 Five K-type thermocouples (TC1 to TC5) were fixed to the sample holder. For each
195 run, as shown in Figure 2, TC1 and TC2 were placed in the centre of one of the small-
196 sized cubes and of one of the large-sized ones, respectively, both at the same height in
197 the holder in order to ensure exactly the same drying conditions. At a different height,
198 two other thermocouples, namely TC3 and TC3, were placed in two other cubes (one of
199 each size). Some more cubes, of both sizes, were added to reach the mass load of $14 \pm 1 \text{ g}$.
200 TC5 was used to measure the temperature inside the drying chamber. Temperature
201 measurements were recorded every five minutes by a data logger placed outside the
202 drying chamber. The experiments were also carried out with the large-sized cubes, but
203 completely dry, just to record the increase in temperature due to the ultrasound
204 application. Every test was repeated to ensure the reproducibility of the results.

205

206 *2.2. Quality assessment procedure*

207 Ethanol (PanReac química S.A., 96% v/v) was used to extract the components of interest
208 from the dried samples (Santacatalina et al., 2016a). Extractions were carried out at room
209 temperature (20°C) to prevent any thermal damage to the compounds considered. Lower
210 temperatures (with longer contact times) were also tested, but no evidence of substantial

211 improvement was observed in the extract. For the extraction, the dried samples were
212 ground using a domestic blender. Then, 20 ml of ethanol were added, and the mixture
213 was homogenized using an ultra-turrax (IKA T-25 ultra-homogenizer, 9500 rpm) for
214 three minutes. Afterwards, the solution was put into a magnetic stirrer for twenty minutes.
215 The solvent was finally filtered under a light vacuum. The flask was covered with
216 aluminum foil, as protection from the light, and stored at $4\pm 1^\circ\text{C}$ until the quality
217 parameters were measured.

218

219 2.2.1. Ascorbic Acid content (AA)

220 The amount of Vitamin C was determined by means of a test, using Folin-Ciocalteu
221 reagent, derived from what was firstly proposed by Jagota & Dani (1982). The reactants
222 used are the followings:

- 223 • Folin-Ciocalteu (Sigma-Aldrich, 2 M) reagent, diluted in distilled water (1:10
224 v/v);
- 225 • Trichloroacetic acid, at 7.5 % by volume.

226 The procedure for the analysis was the following: 1 ml of the sample extract and 1 ml of
227 trichloroacetic acid were mixed in a tube and, after shaking, were left to rest for 5 minutes
228 at $4\pm 1^\circ\text{C}$. After that, the solution was filtered, and 0.2 ml were placed in a 4.5 ml
229 spectrophotometer cuvette with 0.2 ml of Folin-Ciocalteu reagent and 2 ml of water.
230 Finally, after 10 minutes rest in darkness at room temperature, the absorbance at 760 nm
231 was read.

232 The amount of Vitamin C was quantified by means of a calibration curve,
233 previously determined using known solutions of ascorbic acid and ethanol in the range of
234 $1\text{-}15\text{ mg}_{\text{AA}}\text{ L}^{-1}$. The results are reported as milligrams of ascorbic acid (mg_{AA}) per 100
235 grams of dried matter, and as the percentage of degradation with respect to the
236 concentration measured in the fresh product (c_0):

$$237 \quad \% \text{Degradation} = \frac{c_0 - c_f}{c_0} \cdot 100 \quad (1)$$

238

239 2.2.2. Total Phenolic Content (TPC)

240 The total phenolic content was determined by means of the Folin-Ciocalteu method, as
241 reported by Gao, Ohlander, Jeppson, Bjork, & Trajkovski (2000), with few modifications
242 (Ahmad-Qasem, Barrajon-Catalan, Micol, Mulet, & Garcia-Perez, 2013). The reactants

243 used are the followings:

- 244 • Folin-Ciocalteu (Sigma-Aldrich, 2 M) reagent, diluted in distilled water (1:10
- 245 v/v);
- 246 • Sodium carbonate, at 20% v/v.

247 The procedure for the analysis was the following. The extract was diluted (2 ml of the
248 solvent were added to 1 ml of the previously obtained extract). Then, 0.1 ml of the diluted
249 extract was mixed in a 4.5 ml spectrophotometer cuvette, with 0.2 ml of Folin-Ciocalteu
250 reagent and 2 ml of water. After 3 minutes rest in darkness at room temperature, 1 ml of
251 sodium carbonate solution was added and, after 1 hour of incubation, again in the dark at
252 room temperature, the absorbance at 765 nm was read.

253 The amount of phenols was quantified using a calibration curve previously
254 obtained using a solution of gallic acid in ethanol in the range of 1-10 mg_{GA} L⁻¹. The
255 resulting concentration of phenolic compounds is reported as milligrams of gallic acid
256 (mg_{GA}) per 100 grams of dried matter and as the percentage of degradation with respect
257 to the concentration measured in the fresh product (Equation 1).

258

259 2.2.3. Antioxidant Capacity (AC)

260 The Ferric Reducing Antioxidant Power (FRAP) assay was used to determine the
261 antioxidant capacity of extracts (Benzie & Strain, 1996). The reactants used were the
262 following:

- 263 • Hexahydrate ferric chloride (LabChem 99%);
- 264 • Glacial acetic acid (Panreac quimica S.A.);
- 265 • Sodium acetate (Panreac quimica S.A., 99%);
- 266 • 2,4,6-Tris(2-pyridyl)-s-triazine (TPTZ) (Sigma-Aldrich, 99%);
- 267 • HCl (Sigma-Aldrich, 37%).

268 The reactive is a mixture of three different reactants: an acetate buffer 0.3 M (pH 3.6),
269 prepared dissolving 0.155 g of sodium acetate and 0.8 ml of acid in distilled water; a 20
270 mmol/L aqueous solution of ferric chloride (0.27165 g in 50 ml of distilled water) and a
271 solution of 0.064 g of TPTZ in 20 ml of HCl 40 mM (obtained from the dilution of 37%
272 HCl). These three reactants were prepared every day before the test and stored, protected
273 from the light, at 4±1°C. In a 1.5 ml cuvette 30 µl of distilled water, 30 µl of sample and
274 900 µl of FRAP mixture were mixed and, after 30 minutes at 37°C, the absorbance at 595
275 nm was read.

276 The total antioxidant power was quantified using a calibration curve previously
277 determined using a solution of Trolox in ethanol in the range of 20-70 mg_T L⁻¹. The results
278 were reported as milligrams of Trolox (mg_T) per 100 grams of dried matter and as the
279 percentage of degradation with respect to the concentration measured in the fresh product
280 (Equation 1).

281 The significance of the difference between the AA, TPC and AC of the samples
282 dried under the different conditions tested was evaluated by the analysis of variance
283 (ANOVA) method. The StatgraphicsTM software was used for this purpose, and two levels
284 of confidence value were considered: p-value lower than 0.05 and p-value lower than
285 0.01.

286

287 **3. Results and discussion**

288

289 The ascorbic acid content, the total phenolic content and the antioxidant capacity of the
290 fresh eggplant used in this study were the following: 48.6 mg_{AA} 100 g_{dm}⁻¹, 52.5 mg_{GA} 100
291 g_{dm}⁻¹, and 376.2 mg_{Trolox} 100 g_{dm}⁻¹ respectively. The concentration of all these compounds
292 was affected by the AFD process, and every drying condition tested produced a
293 percentage of degradation higher than 20%, as discussed in the following sub-sections.

294

295 *3.1. Influence of the drying temperature on the antioxidant potential*

296 As a general trend, the increase in drying temperature produced an increase in the
297 degradation of the antioxidant potential of eggplant samples. From Figure 3, it can be
298 observed that the mean value of degradation of AA moves from 41.1% at -10°C to 57.9%
299 at -7.5°C and, finally, to 68.8 % at -5°C. In a similar way, the mean value of degradation
300 of TPC increased from 48.9% at -10°C to 60.3% at -7.5°C and, finally, to 73.3% at -5°C.
301 A much more pronounced temperature influence was observed for the AC, whose mean
302 degradation values were 20.6%, 49.9% and 68.3% at -10, -7.5 and -5°C, respectively.
303 Table 1 shows the results obtained from the ANOVA analysis of every drying experiment
304 carried out with the 8.8 mm side eggplant cubes. As can be observed, the effect of air
305 temperature was significant for the three independent variables, with a confidence level
306 of 95 % in the case of the TPC and the AC, and of 99% for the AA.

307 These results differ from those reported by Santacatalina et al. (2014) during the
308 low-temperature drying of apple. These authors found that, in the case of using drying

309 temperatures below 0 °C, the degradation of antioxidant capacity was greater the lower
310 the temperature used. The residual enzyme activity present in the unfrozen rubbery-state
311 water fraction of frozen apple samples can produce enzymatic reactions (Blanda,
312 Cerretani, Cardinali, Bendini, & Lercker, 2008) during drying. Thus, the longer drying
313 time needed at lower temperatures increased the oxidant reactions (Ahmad-Qasem et al.,
314 2015). However, in the present paper, the pre-treatment of eggplant samples with sodium
315 metabisulfite solution can prevent these enzymatic reactions. Therefore, the degradation
316 of antioxidant properties can only be attributed to their own drying process and the
317 influence of the process variables. Thus, the drying was more aggressive with antioxidant
318 properties as higher the drying temperature.

319

320 *3.2. Influence of the air-drying velocity on the antioxidant potential*

321 The degradation of the antioxidant potential was slightly enhanced by an increase in air
322 velocity (Figure 4). Thus, in the case of drying processes carried out at -10°C and without
323 ultrasound application, the mean value of AA degradation moved from 41.1% to 58.1%
324 when the air velocity increased from 2 to 5 m s⁻¹. For TPC, the degradation was 20.6%
325 and 58.1% for 2 and 5 m s⁻¹, respectively, and 48.9% and 59% in the case of AC. The
326 statistical analysis confirmed the significance ($p < 0.05$) of these differences in the case
327 of AC and AA degradation (Table 1). On the contrary, no significant differences were
328 found in the average TPC degradation between the two air velocities tested. The increase
329 in air velocity produces turbulences in the air-solid interphase that reduce the boundary
330 layer and, thus, may improve mass transport and, finally, the drying rate. This can
331 contribute to a better oxygen transfer from the drying air to the sample, increasing
332 oxidation reactions, which can affect both the AA and the AC (Moreno, Brines, Mulet,
333 Rosselló, & Cárcel, 2017). On the contrary, the natural variability of the raw matter may
334 be the reason for the non-significant effect of air velocity on the TPC.

335

336 *3.3. Influence of the ultrasonic power applied on the antioxidant potential*

337 Ultrasound application slightly influenced the degradation of the antioxidant properties
338 studied. As can be observed in Figure 5, a mild increase in the percentage of degradation
339 of the AA, TPC and AC of the samples dried at -10 °C and 2 m s⁻¹ may be noticed when
340 ultrasound is applied, with no differences between the two ultrasonic power levels tested.
341 Thus, the average degradation of AA moved from 41.1% in the drying process carried

342 out without ultrasound to 58.7% and 53.2% in the experiments carried out at 25 and 50
343 W, respectively. For TPC, the degradation in samples dried without ultrasound
344 application was 48.9%, while it was 66.2% at 25 W and 66.0% at 50 W. In the case of
345 AC, the influence was more important, moving from 20.6% in the experiments without
346 ultrasound to 59.2% and 52.7% at 25 W and 50 W respectively. Other authors found a
347 similar effect of ultrasound application during low-temperature drying in the antioxidant
348 properties of products (Moreno et al., 2017; Santacatalina et al. 2014; 2016a). In general,
349 the effects produced by ultrasound on product structure could promote other oxidation
350 reactions that affect the antioxidant potential of samples. However, the ANOVA analysis
351 showed that these differences between eggplant samples dried with and without
352 ultrasound were not significant (Table 1). The greater influence of ultrasound on drying
353 rate (Colucci et al., 2017), which significantly contributes to the reduction in the contact
354 time between the samples and the air, can make the extent of the oxidation reactions
355 potentially enhanced by the ultrasound non-significant. This fact has also been observed
356 during the drying of food products at moderate (20-40 °C) temperatures (Frias, Peñas,
357 Ullate, & Vidal-Valverde, 2010; Gamboa-Santos, Montilla, Soria, Cárcel, & Garcia-
358 Perez, 2014). In any case, the results showed that it is possible to carry out the drying
359 process at the highest ultrasonic power tested reducing the processing time by more than
360 80% (Colucci et al., 2017) and without significantly (p -value > 0.05) affecting the quality
361 of the dried eggplant.

362

363 *3.4. Influence of the sample size on the antioxidant potential*

364 The size of the samples influenced the percentage of the antioxidant potential degradation
365 of dried eggplant. Thus, the degradation of AA increased from 41.1% in the case of the
366 8.8 mm side cubes (Figure 5) to 71.5% in that of the 17.6 mm side cubes (Figure 6), both
367 dried without ultrasound application. For TPC, the increase in sample size leads to the
368 percentage of degradation rising from 48.9% to 71.6%, and from 20.6% to 66.7% in the
369 case of AC. This can be jointly attributed to the high porosity of the eggplant and the
370 different time needed to dry the samples, three times higher in the large-sized samples
371 than in the smaller ones (Colucci et al., 2017). On the contrary, drying apples samples of
372 different size and geometry, Moreno et al. (2017) found milder antioxidant properties
373 degradation in the samples with lower external surface/mass ratio, which were larger
374 samples. However, the porosity of apple is significantly lower than eggplant. This means

375 that the actual surface/mass ratio for eggplant, which is in contact with the oxygen present
376 in the air, is higher than the external surface/mass ratio. Therefore, the longer exposure
377 to the process air can increase the extent of the oxidation reactions, and, so, the percentage
378 of degradation of AA, TPC and AC.

379 As regards the application of ultrasound, no significant effect was found on the
380 three antioxidant properties studied in the 17.6 mm side cube samples, either at 25 W or
381 at 50 W. In fact, the percentage of degradation was similar for both acoustic power levels,
382 as shown in Figure 6, and not significantly different from non-ultrasonically assisted dried
383 samples. However, as shown previously, the drying time was significantly shortened
384 when the ultrasonic power level applied increased. Similar conclusions may be drawn
385 when considering the 8.8 mm side cube samples, as shown in Figure 5.

386 The effect of the sample size on the results could indicate that the absorption of
387 ultrasonic energy may be dependent on this variable. In fact, as Figure 7 shows, the 8.8
388 mm side eggplant cubes dried with the application of an ultrasonic power of 50 W
389 exhibited a lower antioxidant potential degradation than the 17.6 mm side ones dried
390 under the same conditions, even if the drying time was only slightly shorter (2.8 h vs 3.7
391 h, Colucci et al. 2017). This conclusion is supported by the statistical analysis presented
392 in Table 2, pointing out that the effect of both independent factors, sample size and
393 acoustic power, were significant (p -value < 0.05). An explanation for these results could
394 be a greater ultrasonic absorption in the larger sample size. This can induce a rise in the
395 temperature that leads to product thawing, causing drying to take place by evaporation
396 instead of by sublimation.

397

398 *3.5. Sample temperature evolution during drying*

399 The effects of ultrasound are mainly mechanical. However, the vibration of
400 product structure caused by ultrasound application can convert this mechanical energy
401 into heat by friction. In fact, in the liquid media application of ultrasound, calorimetry is
402 one of the most widely used methods to determine the acoustic energy applied (Carcel
403 Benedito, Bon, & Mulet, 2007; Raso, Mañas, Pagán, & Sala, 1999). In convective drying
404 processes, like AFD, the application of ultrasound can also produce an increase in the
405 temperature of the material (Carcel, García-Pérez, J.V., Benedito, & Mulet, 2012). This
406 temperature rise could then be a measurement of the acoustic energy absorbed by the
407 solid being dried.

408 To check the effect of the ultrasound application on the AFD process on the
409 sample temperature, an additional set of experiments was carried out. In this case, the
410 evolution of the temperature inside the samples was measured over a whole drying
411 process (air temperature of $-8\text{ }^{\circ}\text{C}$). Although the test was carried out twice, and four
412 temperature profiles were obtained for every test, for the sake of simplicity, only the
413 temperature profiles obtained by 3 thermocouples (TC1, TC2 and TC5) are reported in
414 Figure 8. The sample temperature evolution during drying when no acoustic power was
415 applied (Figure 8A) was as expected. At the beginning of the drying, the temperature of
416 the samples was lower than that of the air as the ice sublimation is an endothermic process.
417 When the product was completely dried, the temperature inside the product reached
418 thermal equilibrium with the external air. The temperature inside the 17.6 mm side cube
419 samples was a little bit higher than that of the 8.8 mm side cube samples, but always
420 lower than that of the air.

421 When drying was carried out with US application, samples of both sizes showed
422 a temperature higher than that of the air inside the drying chamber at every moment. The
423 temperature of the smaller samples was always lower than that measured in the larger
424 one, for both ultrasonic powers applied, 25 W (Figure 8B) and 50 W (Figure 8C). The
425 temperature of the 8.8 mm side cube samples was below the melting point: so, these
426 samples remained frozen during drying. Therefore, in this case, the product was dried
427 following a proper freeze-drying process. On the contrary, the temperature in the 17.6
428 mm side cube samples reached values greater than 0°C and, therefore, the water
429 elimination took place by evaporation and not by sublimation.

430 The varying increases in sample temperature in the samples of different sizes can
431 indicate that the amount of acoustic energy absorbed was also different and related with
432 the volume of the product. This could explain the more marked effect of ultrasound
433 application on 17.6 mm side cubes: a greater rise in temperature will indicate a greater
434 absorption of acoustic energy and so more intense ultrasonic effects. In fact, when drying
435 was carried out without ultrasound, the drying time needed by 17.6 mm side cube samples
436 (45 h) was almost three times that needed by 8.8 mm side cube samples (15.3 h) (Colucci
437 et al., 2017). However, when the drying included the application of an ultrasonic power
438 of 50 W, the drying time was similarly reduced for both sample sizes (3.7 h and 2.8 h for
439 17.6 and 8.8 mm side samples, respectively). On the other hand, the thermal energy
440 provided by the conversion of acoustic energy into heat is partially dissipated by the

441 process air, which is cooling down the product. The lower surface-to-volume ratio can
442 also help to explain the difference in the temperature profiles, the thermal source being
443 volumetric and the effectiveness of the air cooling proportional to the sample surface. The
444 relevant increase in the drying rate can hardly be explained simply by the relatively low
445 increase in sample temperature, and the different magnitude of the ultrasonic effect on
446 both sample sizes was probably due to a higher ultrasonic energy absorbance. The higher
447 temperature and the greater ultrasonic energy absorption that, in some cases, may result
448 in ice melting, can also explain the high degradation percentage obtained for every
449 antioxidant capacity assay.

450 Finally, for the purposes of proving that these thermal effects are independent of
451 vapor flow and ice sublimation, one additional test was carried out. In this case, the drying
452 process with power ultrasound was extended to assure the complete drying of samples.
453 Then, when samples were undoubtedly completely dried, the ultrasound was shut down
454 for a certain time and then turned on again. The sample temperature evolution was
455 recorded during the whole experiment. As is shown in Figure 9, when ultrasound was
456 applied, the sample temperature increased until it reached a constant value. When
457 ultrasound application was stopped, the sample temperature decreased to the temperature
458 of the air. When the acoustic power was applied again, the temperature suddenly reached
459 the value observed before ultrasound was shut down. This can indicate that the increase
460 in the measured sample temperature is just due to ultrasonic vibration, disappearing when
461 ultrasound is not applied. This must be clarified by testing the behaviour of other
462 products.

463

464 **4. Conclusions**

465

466 Both the drying temperature and the air velocity can reduce the ascorbic acid content, the
467 total phenolic content and the antioxidant capacity of atmospheric freeze-dried eggplant
468 samples. On the contrary, the application of power ultrasound proved to be effective at
469 shortening the drying time and, at the ultrasonic power level tested, did not significantly
470 affect the eggplant antioxidant content. The antioxidant potential degradation was greater
471 when processing larger samples.

472 The sample temperature rose when ultrasound was applied; this increase was more
473 marked in the largest samples tested, where ultrasound shortened the drying time more

474 significantly. In the case of the large samples, it can lead to ice melting, and the presence
475 of liquid water can jeopardize the final product quality. The increase in temperature
476 indicates the different amount of acoustic energy absorbed by the sample and the
477 effectiveness of ultrasound application. More detailed investigation is required into the
478 physics of converting acoustic power into heat and the behaviour of different sample
479 textures

480 It can be concluded that power ultrasound is a promising technology for
481 accelerating the AFD process, but attention must be paid to the optimization of the
482 operating conditions in order to limit the thermal effects of acoustic energy and to ensure
483 the preservation of the nutritional properties of the samples. In this sense, ultrasonically-
484 assisted AFD also needs to be studied from both an economic point of view and also from
485 the perspective of energy consumption.

486

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488

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636

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638 and Antioxidant Capacity for the tests carried out on the sample processed
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641

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646

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649 transducer; F, vibrating cylinder; G, sample load device; H, retreating pipe; I,
650 slide actuator; J, weighing module; K, heat exchanger; L, heating elements; M,
651 desiccant tray chamber; N, computer; O, amplifier; P, resonance dynamic
652 controller (from Garcia-Pérez et al. 2012). Detail of the modified sample holder
653 used for temperature measurement: TC1 and TC3 are thermocouples attached
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655 mm side cube samples, TC5 thermocouple measured the air temperature inside
656 the drying chamber.

657

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659 of the antioxidant properties of eggplant (8.8 mm side cube samples) dried at
660 2 ms^{-1} and without ultrasound application. Average values and standard
661 deviation.

662 (■: Ascorbic acid, □: Total phenolic content, ▣: Antioxidant capacity).

663

664 **Figure 4.** Effect of the air velocity on the percentage of degradation of the antioxidant
665 properties of eggplant dried at -10 °C without ultrasound application. Average
666 values and standard deviation.

667 (■: Ascorbic acid, □: Total phenolic content, ▣: Antioxidant capacity).

668

669 **Figure 5.** Effect of different US power applied on the degradation of the antioxidant
670 properties of eggplant (8.8 mm side cube samples) dried at -10 °C and 2 ms^{-1} .
671 Average values and standard deviation.

672 (■: Ascorbic acid, □: Total phenolic content, ▣: Antioxidant capacity).

673

674 **Figure 6.** Effect of different US power applied on the degradation of the antioxidant

675 properties of eggplant (17.6 mm side cube samples) dried at -10 °C and 2 ms⁻¹. Average values and standard deviation.

676 (■ : Ascorbic acid, □ : Total phenolic content, ▣ : Antioxidant capacity).

678

679 **Figure 7.** Effect of ultrasound power (cases A and C: no US, cases B and D: 50 W) and
680 sample geometry (cases A and B: 8.8 mm and cases C and D: 17.6 mm) on the
681 degradation of the antioxidant properties.

682 (■ : Ascorbic acid, □ : Total phenolic content, ▣ : Antioxidant capacity).

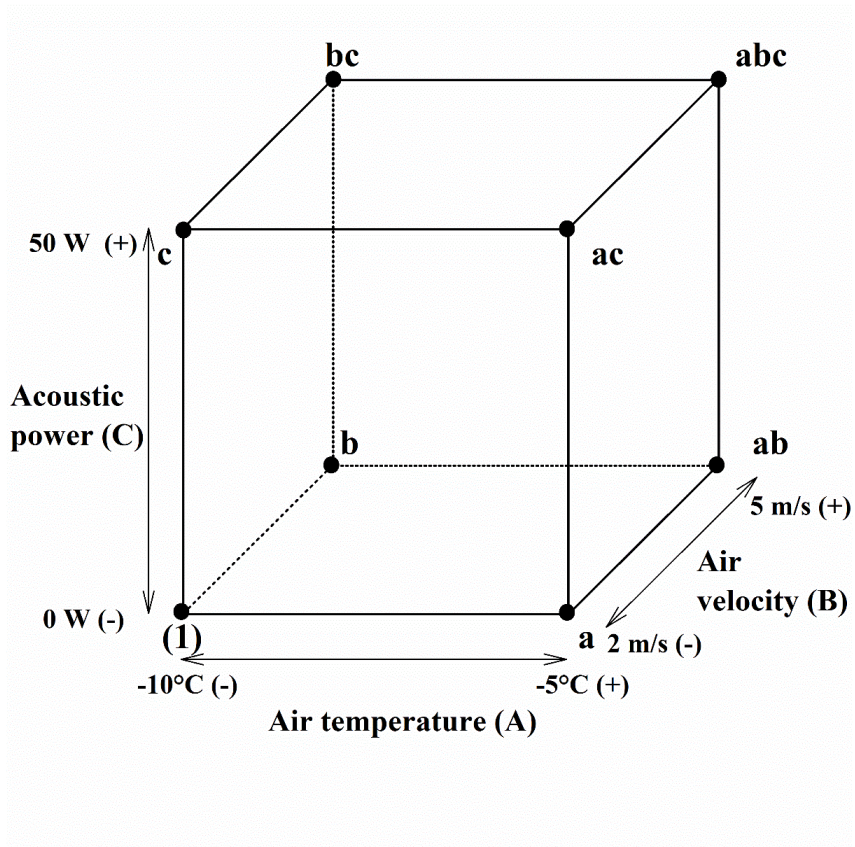
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686 different acoustic powers applied (case A: 0 W, case B: 25 W, case C: 50 W).

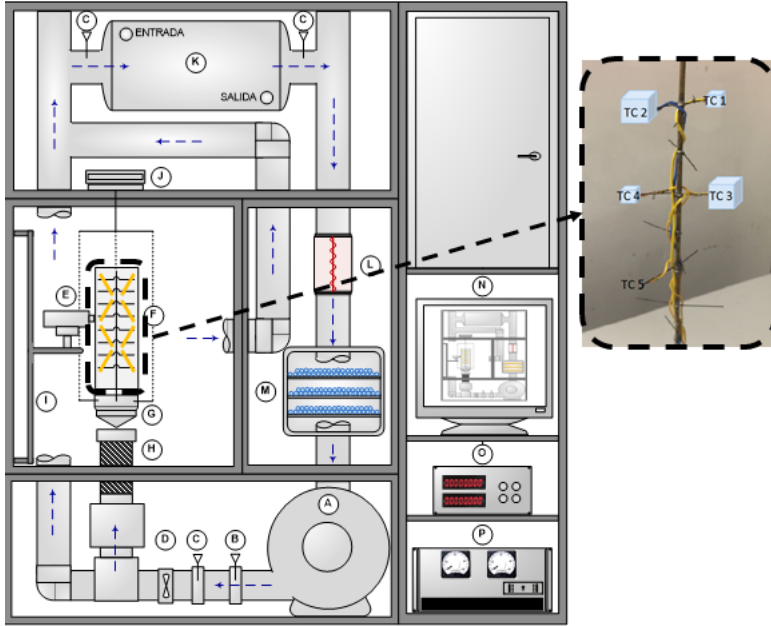
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689 measurement (▲: 8.8 mm side cube sample, ●: 17.6 mm side cube sample,
690 solid line: chamber temperature) during a drying test at different acoustic
691 powers applied (case A: 25 W, case B: 50 W).

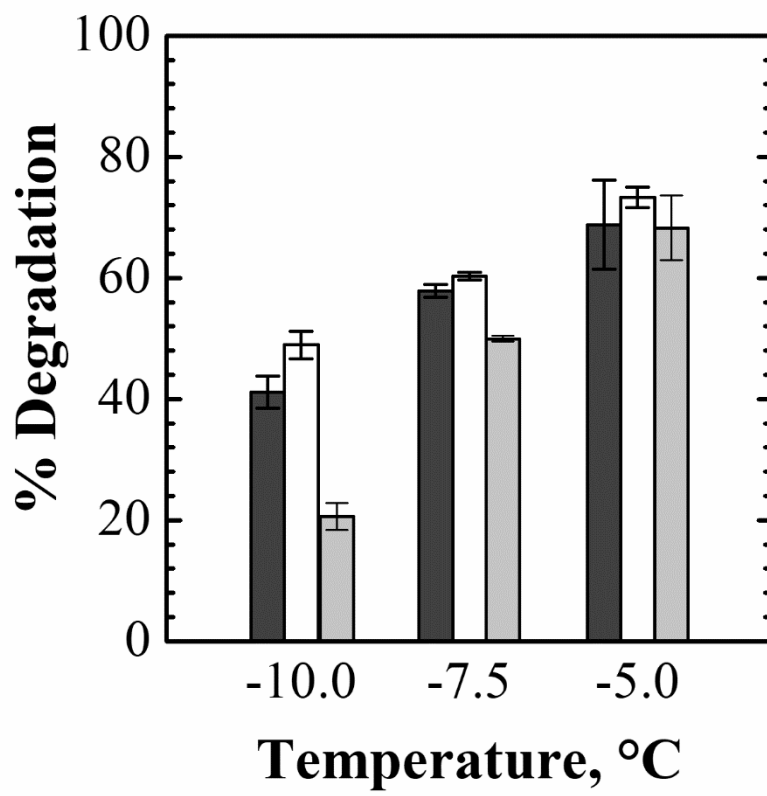
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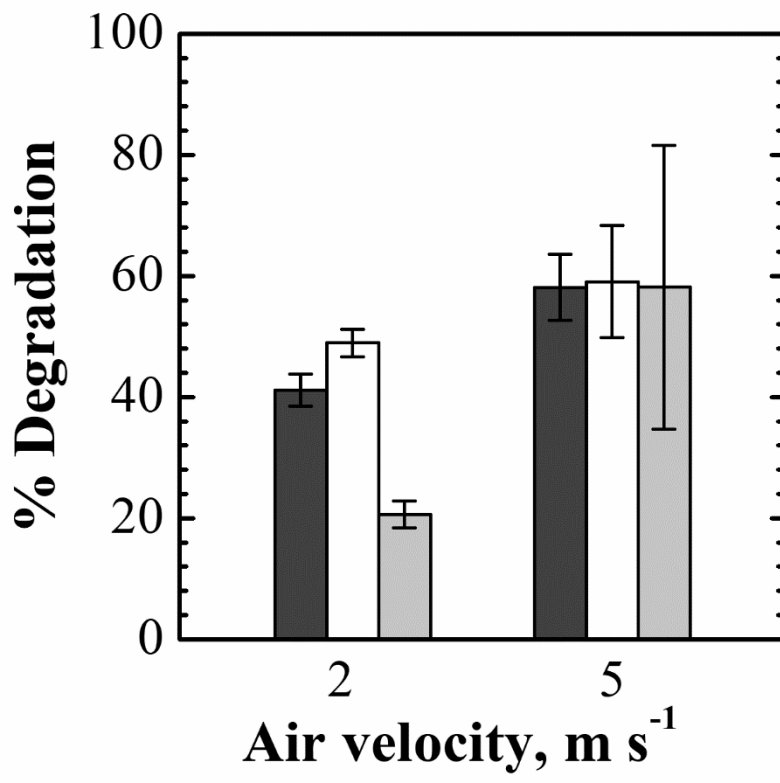
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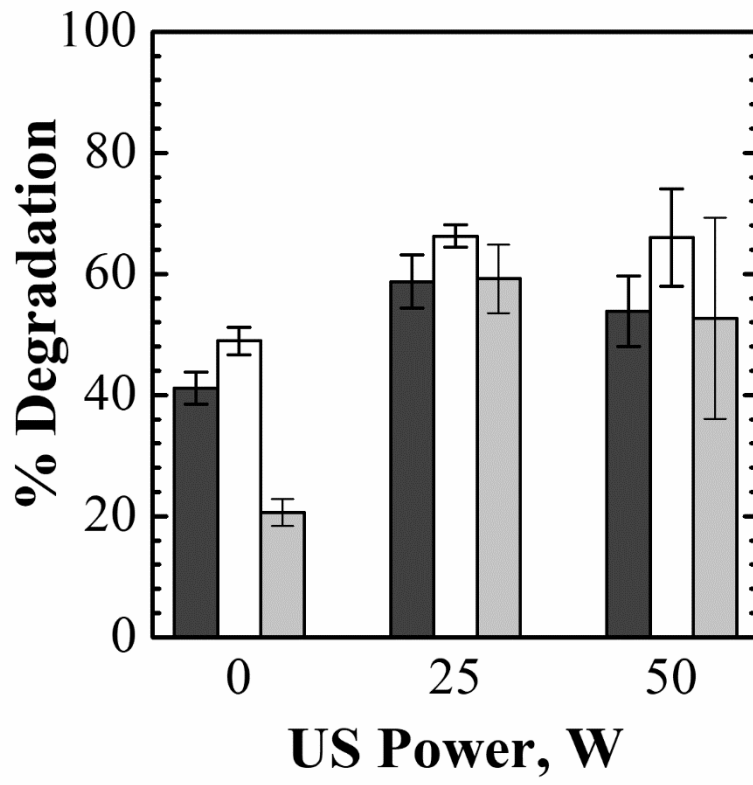


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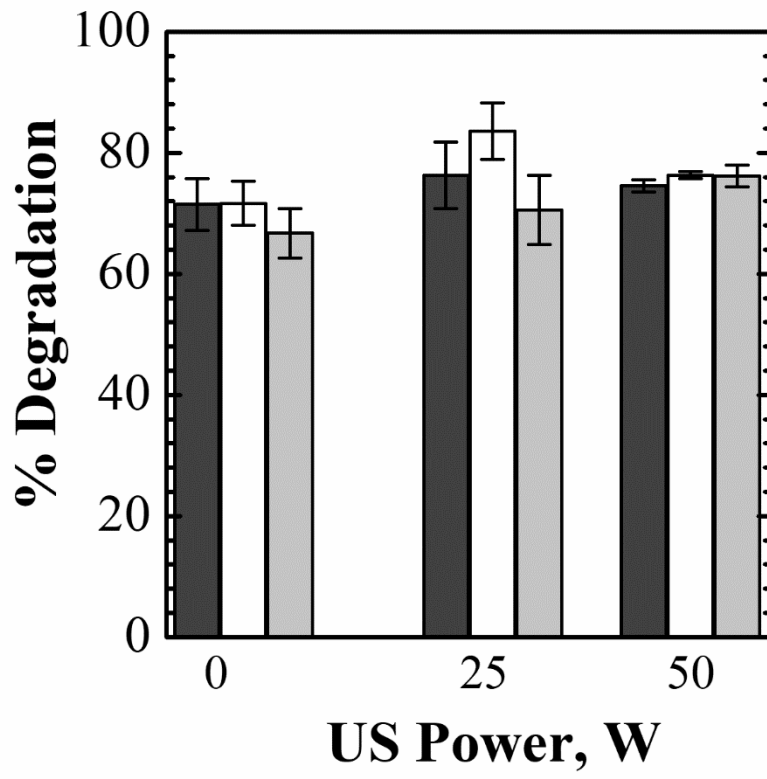


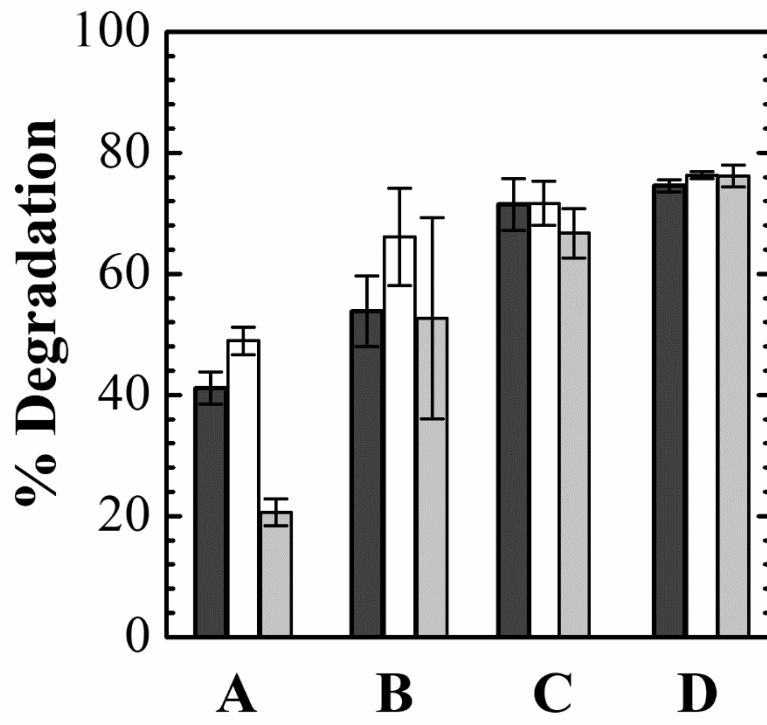
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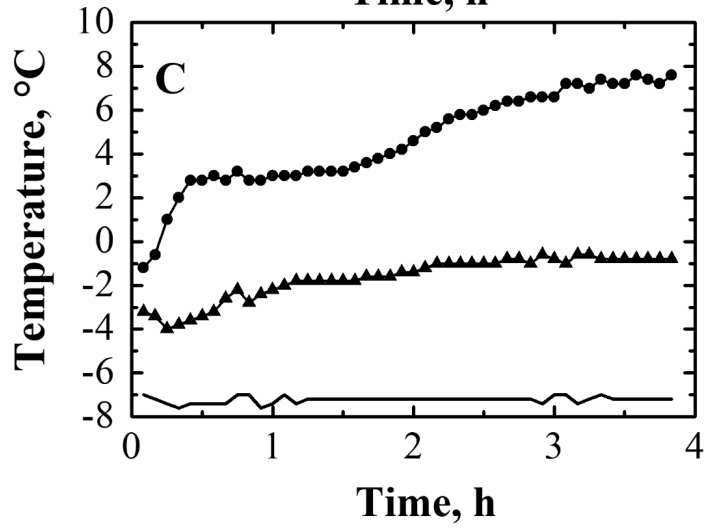
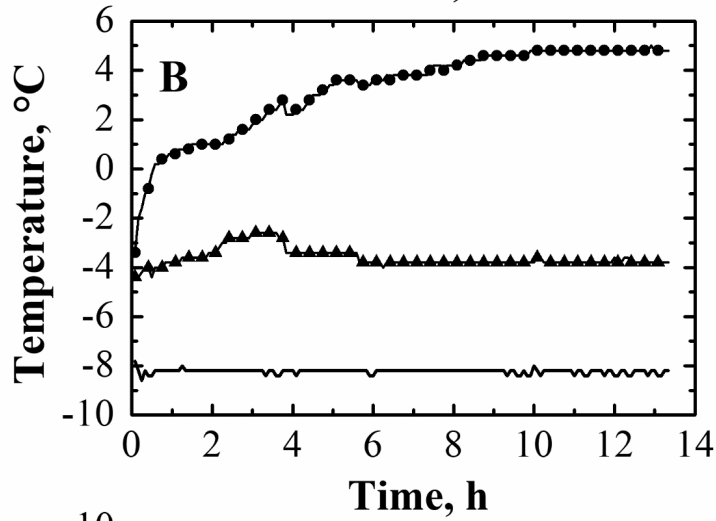
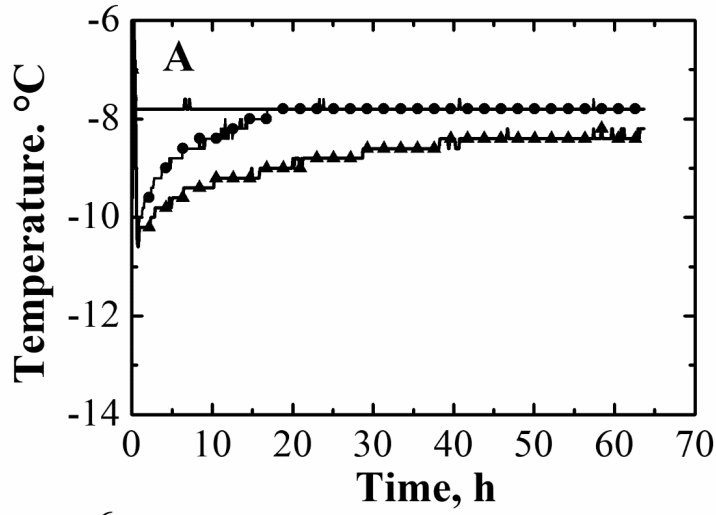
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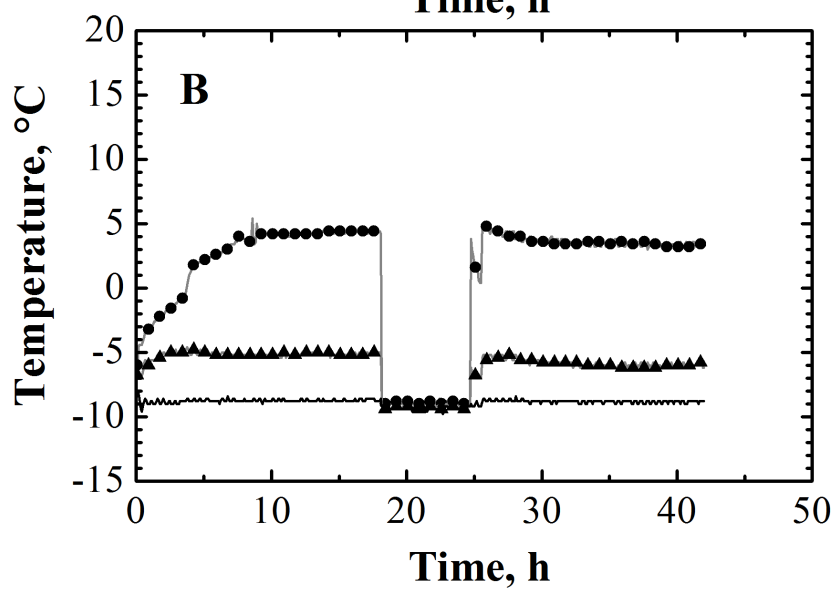
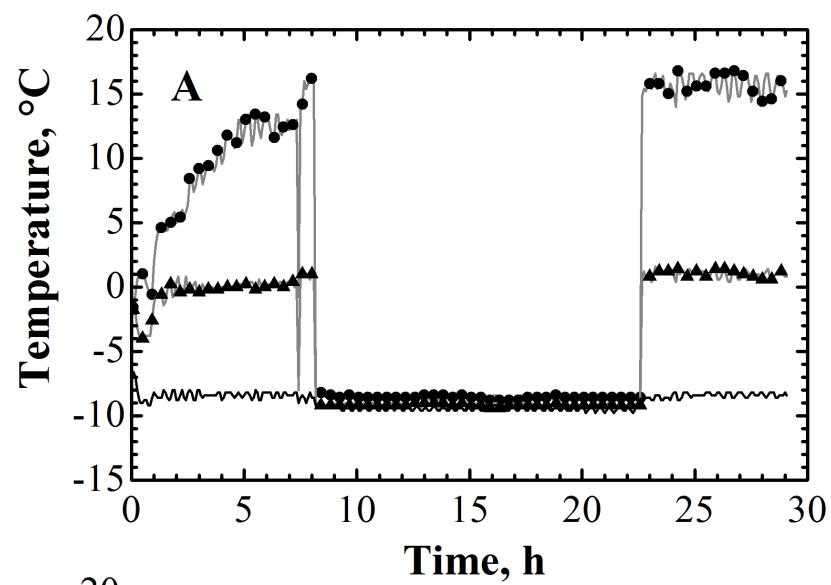


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Table 1

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Factor	AA		TPC		AC	
	p-value	Confidence Level	p-value	Confidence Level	p-value	Confidence Level
Temperature	0.01	99 %	0.05	95 %	0.05	95 %
Air velocity	0.05	95 %	0.72	NS	0.03	95 %
Ultrasound power	0.45	NS	0.30	NS	0.07	NS

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Table 2

729

Factor	AA		TPC		AC	
	p-value	Confidence Level	p-value	Confidence Level	p-value	Confidence Level
Ultrasound power	0.02	95 %	0.03	95 %	0.01	99 %
Size	0.00	99 %	0.00	99 %	0.00	99 %
Second order size / US power	0.09	NS	0.15	NS	0.24	NS

730

731