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Additional Information

1 **Enhancing the mechanical features of clay surfaces by the absorption of nano-SiO₂**
2 **particles in aqueous media. Case of study on Bronze Age clay objects**

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18 **Abstract**

19 Nanoparticles are known to be able to enhance the performance of low dense
20 materials, achieving the small intergranular spaces to further interact with the matrix. In
21 this work, we propose a study on the use of nano-SiO₂ particles dispersed in an aqueous
22 medium as a treatment to improve the mechanical surface's resistance of an ancient clay
23 material. Several low-strength clay fragments dated from the Bronze Age were surface
24 treated with a commercial aqueous suspension of nano-SiO₂ particles. FESEM images
25 suggest that nanoparticles, of 20 nm diameter, filled the inter-granular spaces of the

26 clay. The improvement of the mechanical properties of surfaces was corroborated by the
27 nanoindentation technique, showing that the nanohardness and elastic modulus
28 increased from 15 to 150 MPa and from 1 to 8 GPa, respectively.

29

30 **Keywords:** surface treatment, SiO₂ nanoparticles, nanoindentation, heating reaction
31 diffractometer, microstructure, mechanical properties.

32 **1. Introduction**

33 The laboratories of heritage museums are constantly looking for new products to
34 improve the conservation-restoration of historic heritage objects [1-7] such as ancient
35 ceramics and clays [8-12] as well as new approaches to the measurement of the level of
36 consolidation (mechanical performance), of those treated objects. In this context, from a
37 conservation point of view, results of fundamental importance to increase the
38 mechanical performance of ancient pieces; not only because they are in general fragile
39 materials, but also because they should be maintained for long periods of time. It is
40 widely known that an effective strategy to improve the resistance and the mechanical
41 properties of materials, is to achieve certain grade of nanostructuring [13-15]. In the
42 restoration field of ancient ceramics that is their effective consolidation. Nanocomposite
43 materials have demonstrated good mechanical performance due to the high specific
44 surface area of nanoparticles that increases the physical interactions inside the material
45 at the nanometer scale [16]. Nowadays, it is increasing the interest of conservation-
46 restoration community to explore possible treatment procedures by using nanoparticles
47 on a non-nanostructured ancient material in order to confer new functionalities with
48 improved properties [17-19].

49 The consolidation procedure consists on a treatment in which a consolidating
50 (strengthening) substances is applied [3, 5, 20]. The treatment can be applied to the entire

51 volume of the piece or only on its surface or in certain localized areas. When the
52 treatment affect to the surfaces the visual aspect must always be kept as identical as
53 possible to untreated pieces. Other characteristics that the consolidating substance must
54 present are water permeability, absence of harmful secondary products and be non-toxic
55 for secure manipulation, which is not always possible due to the volatile character of
56 these organic products [21].

57 The most common products used to consolidate ancient ceramics or clay
58 materials are synthetic resins and the well-known ethyl silicate [22, 23]. Nowadays,
59 there is a trend to use safer and harmless products based on aqueous mediums.
60 Protective coatings containing SiO₂ are widely used to protect materials mainly from
61 corrosion, chemical reactions and mechanical damage [24]. In this context SiO₂
62 particles with dimensions at the nano-level scale are better on the improvement of the
63 mechanical performance even at low concentration loadings as a consequence of their
64 small particle size [25]. In this context, there are some novel commercial aqueous
65 suspensions based on dispersed SiO₂ nanoparticles that has been proposed as interesting
66 nanoreinforcement for coatings applications [26] and also to treat stone, ceramic and
67 clay surfaces [17].

68 This work aims to explore the possibility to confer to heritage pieces of better
69 superficial mechanical performances and to subsequently study the effectiveness of the
70 treatment. This case of study was performed on ancient clay fragments of the Bronze
71 Age found in the Lloma of Betxí in Paterna, Valencia (Spain) based on a surface
72 treatment with dispersed SiO₂ nanoparticles. The consolidating substance used was
73 characterized by Transmission Electron Microscopy, while the composition of the clay
74 piece was studied by Energy Dispersive X-ray (EDS). The microstructure of the
75 untreated and treated clay pieces was studied by optical and Field Emission Electron

76 Microscopy (FESEM). The efficiency of the treatment on the mechanical performance
77 of the ancient clay pieces was analyzed by several analyses such as nanoindentation and
78 scratch tests, which were performed to determine the surface hardness, elastic modulus
79 and scratch resistance properties. Furthermore, the possible colour changes caused by
80 this treatment were also evaluated in the CIELab space.

81

82 **2. Experimental details**

83 *2.1. Archaeological material*

84 Samples analyzed in this study were unearthed in a Bronze Age settlement
85 located in the ‘Lloma of Betxí’ in the city of Paterna, province of Valencia (Spain) [27].
86 In this excavation 28 pieces, almost all in small fragments, were discovered [28]. Fig. 1
87 shows a picture of some of found pieces. These pieces correspond to loom weights with
88 a characteristic rectangular geometry and four through holes. The estimated dating of
89 the artifacts agrees with the existence of an intensive textile industry in this location.
90 However, the weight measured for each piece was in the range between 1.800 and 2.000
91 g. This moderate weight leads to think that these pieces were used in stranding of fibers
92 techniques or in winding machines [28]. The stability of pieces seems adequate
93 considering the brittle character of the clay whereas their surfaces present a very low
94 resistance against low friction forces. The analyzed and treated samples in this study
95 were disjointed fragments.

96



Fig. 1. Image of the Bronze Age loom weights

97

98

99

100 2.2. *Strengthening treatment*

101 A commercial Nano Estel suspension (C.T.S., Italy) was used to consolidate the
102 surfaces of the clay pieces. Nano Estel is a colloidal aqueous suspension of SiO_2
103 nanoparticles. The most important characteristics reported by the product manufacturer
104 are water permeability when dried, compatibility with the original material, not
105 producing secondary products, short drying times, nontoxic, safe and harmless. The
106 suspension was stabilized by the supplier with NaOH ($<0.5\%$) giving to the suspension
107 a strong alkaline character (pH: 9.8-10.4). Table 1 summarizes the chemical and
108 physical properties of the suspension used in this study.

109 In this work two treatments with this suspension were conducted, one using a
110 diluted solution in distilled water (15wt% of nano- SiO_2), and another using the
111 suspension as-delivered (30wt% of nano- SiO_2). Samples were immersed in the
112 nano SiO_2 colloidal suspension at room temperature for 15 minutes to reach a good
113 absorption. Then, the samples were introduced inside a vacuum chamber for 2 hours.

114 Subsequently, the samples were drained and the excess liquid was absorbed using a
115 desiccant paper. Previous to the microstructural and nanomechanical analysis, samples
116 were kept at 24°C and 55% relative humidity inside a climatic chamber for 1 month.
117 Four untreated fragments and another four fragments of each treatment (4+8 samples)
118 were analyzed.

119

120 **Table 1.** Summary of the physical and chemical properties of the commercial nano-
121 SiO₂ suspension.

Nano Estel specifications	Physical and chemical properties
Solid material	SiO ₂
Appearance	Colourless liquid
Solid content	30 wt%
Viscosity	7 mPa s at 20 °C
Density	1.2 g / cm ³ at 20 °C
Particle dimension	< 20 nm
Specific surface	260 m ² / g
pH	10

122

123 *2.3. Microstructure and elementary chemical analysis*

124 The microstructure of the cross-section of samples was analyzed by an optical
125 reflection microscope, Leica M125 from Leica Microsystems, under a coaxial
126 illumination system powered by a KL1500 LCD illuminator. Previous to observation, a
127 convenient polishing metallographic procedure was performed with abrasive papers.

128 A JEOL Transmission Electron Microscope (TEM), Model JEM-1010, was used
129 to characterize the morphology of the suspension solid content. A drop of the

130 suspension was poured on a copper grid holder. After several minutes in air, the solvent
131 had evaporated spontaneously and the sample could then be analyzed.

132 The microstructure of the surface and the cross section of the nanocomposites
133 formed after the consolidating treatment, were observed by a Zeiss Oxford Field
134 Emission Electron Microscope (FESEM), model Ultra 55. Samples were coated with
135 Pt-Au. Elementary analysis was performed in the same equipment by using an energy
136 dispersive X-ray (EDS) detector under 20 kV acceleration.

137

138 *2.4. Structural analysis*

139 The crystalline structure of the material at room temperature was determined by powder
140 X-ray diffraction with a PANalytical X'Pert PRO diffractometer using Cu K α radiation.
141 For non-ambient measurements, the sample was heated in an Anton-Parr XRK-900
142 reaction chamber attached to the diffractometer.

143

144 *2.5. Surface colour properties*

145 An HP Scanjet 8300 professional image scanner was used to evaluate the
146 possible change of the colour properties by measuring colour coordinates in the CIELab
147 colour space. The instrument was calibrated with a white and black standard tiles.
148 Results were expressed as colour coordinates L (lightness), a^* (red-green) and b^*
149 (yellow-blue). Total colour differences (ΔE) induced by the Nano Estel treatments were
150 calculated with respect to untreated clay by using Equation 1:

151

$$152 \quad \Delta E = \sqrt{\Delta L^2 + \Delta a^{*2} + \Delta b^{*2}} \quad \text{Equation 1}$$

153

154 Statistical analysis of differences in colour parameters were studied by one-way analysis
155 of variance (ANOVA) using OriginPro 8 software. In order to identify which groups
156 were significantly different from other groups, mean value comparisons were done
157 employing a Tukey's test with a 95% confidence level.

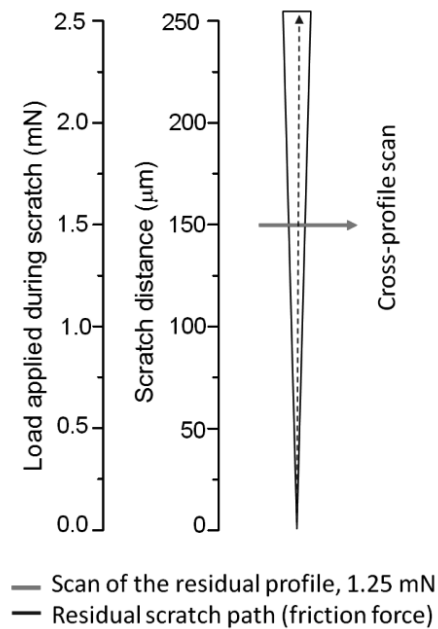
158

159 *2.6. Nanomechanical analysis*

160 Hardness (H) and elastic modulus (E) were acquired with a G-200 nanoindenter
161 (Agilent Technology, USA). The indenter consisted of a Berkovich geometry tip
162 previously calibrated on silica as a reference material. An array of 25 indentations with
163 a 150 μm distance between them was performed at a 2000 nm depth. The Continuous
164 Stiffness Measurement (CSM) was set at 2 nm harmonic oscillation amplitude and a 45
165 Hz oscillation frequency. This mode provides the in-depth stiffness profile and, hence,
166 allows the subsequent calculation of H and E [29].

167 Nanoscratch tests were performed using the same nanoindenter. Three scratches
168 were performed on each sample. Fig. 2 shows a schematization of the scratch test
169 conditions in this test. In order to scratch and record the features of the resulting groove,
170 (i) the indenter was loaded to a very low value (100 μN) to assure contact with the
171 surface of sample. The tip scans the original surface topography over the entire scratch
172 path. This pre-profile is used to level all the subsequent scratch cycles. Then, the
173 indenter returns to the origin of the acquired pre-profile path in order to reach the initial
174 scratch position. After that, the indenter is ramp-loaded from 0 to 2.5 mN at a 10 $\mu\text{m/s}$
175 scratch velocity, scratching a path of 300 μm length. When the scratch segment is
176 completed, the stages are moved back to the origin of the scratch path and the indenter
177 load is decreased to 100 μN . A subsequent post-profile path of the region just past the

178 scratch path is registered (this data is represented as a residual groove topography plot).
179 Finally, a cross-profile scan of the path is recorded by moving the stages to the 150 μm
180 cross profile position and then the cross profile is initiated applying a constant 0.1 mN
181 scan load.



182

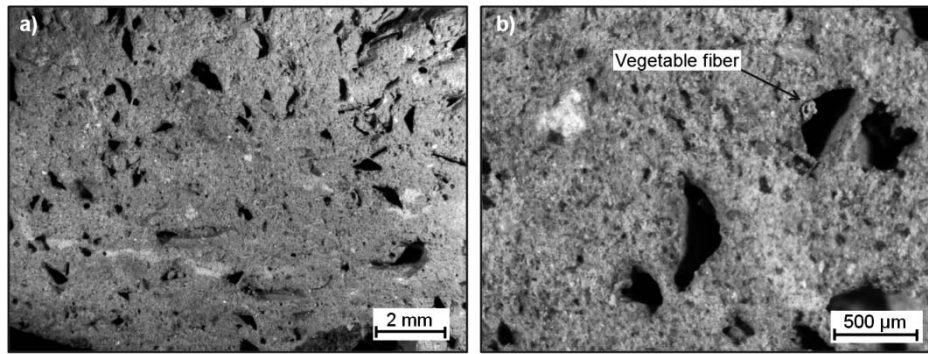
183 **Fig. 2.** Scheme of the scratch sequence and experimental conditions used

184

185 **3. Results and Discussion**

186 *3.1. Material characterization*

187 The microstructure, elementary and compositional analysis of samples was conducted
188 previous the treatment study. The Fig. 3 shows a representative image of the cross-
189 section view of a conveniently polished sample. The material presents a high porosity
190 with dimensions ranging from 500 to 2000 μm , approximately. Inside the pores, it was
191 common to find mineralized vegetable fibers.



192

193 **Fig. 3.** Optical microscopy image of the clay cross-section fragments at 50x and 75x
 194 magnifications.

195

196 A preliminary elemental analysis by EDS of the material in form of debris extracted
 197 from untreated pieces revealed the common chemical composition of a clay based
 198 material, revealing traces of Al, Mg, Fe and Ca (Table 2).

199

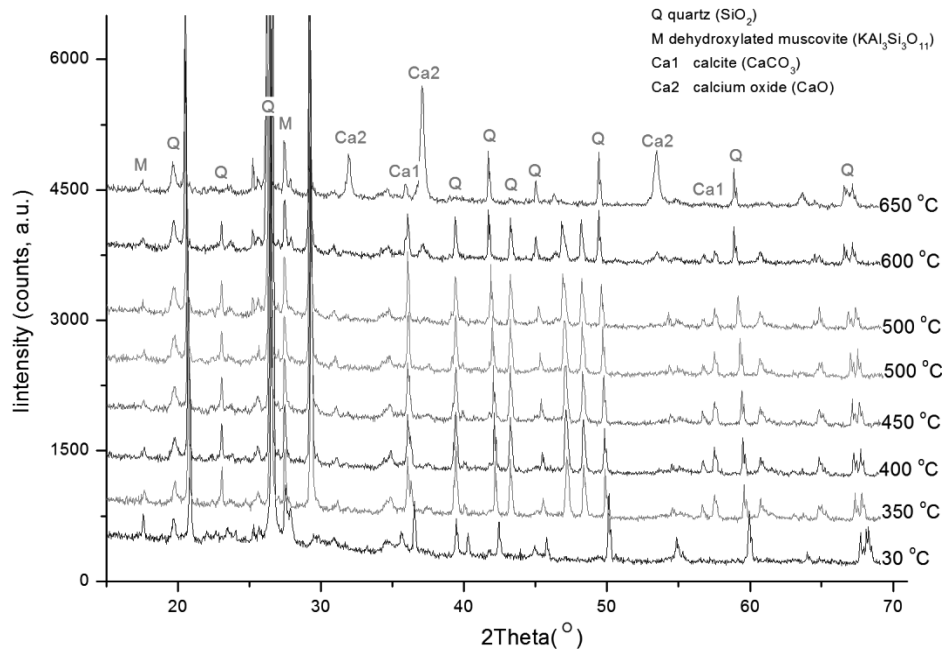
200 **Table 2.** Elemental analysis results of the clay material obtained by EDS-FESEM

Element	Weight%	Atomic%
Mg	1.46	1.32
Al	10.58	8.58
Si	24.71	19.25
K	4.23	2.37
Ca	10.48	5.72
Fe	3.34	1.31
O	44.80	61.27

201

202 According to the X-ray diffraction peaks observed for the original sample (Figure 4),
 203 the material is constituted by a mixture of Low Quartz (SiO_2), Calcite (CaCO_3) and
 204 monoclinic Muscovite 2M1 ($\text{H}_2\text{KAl}_3\text{Si}_3\text{O}_{12}$). These results are in good agreement with

205 the chemical analysis obtained from EDS-FESEM. The presence of Fe and Mg can be
206 attributed to a partial substitution of those elements in the structure of muscovite. The
207 presence of calcite in the material and the absence of peaks corresponding to lime (CaO)
208 suggest that the sample was originally heated at temperatures below 600°C.



209

210 **Fig. 4.** DRX spectrum of the powder extracted from the Bronze Age clay pieces.

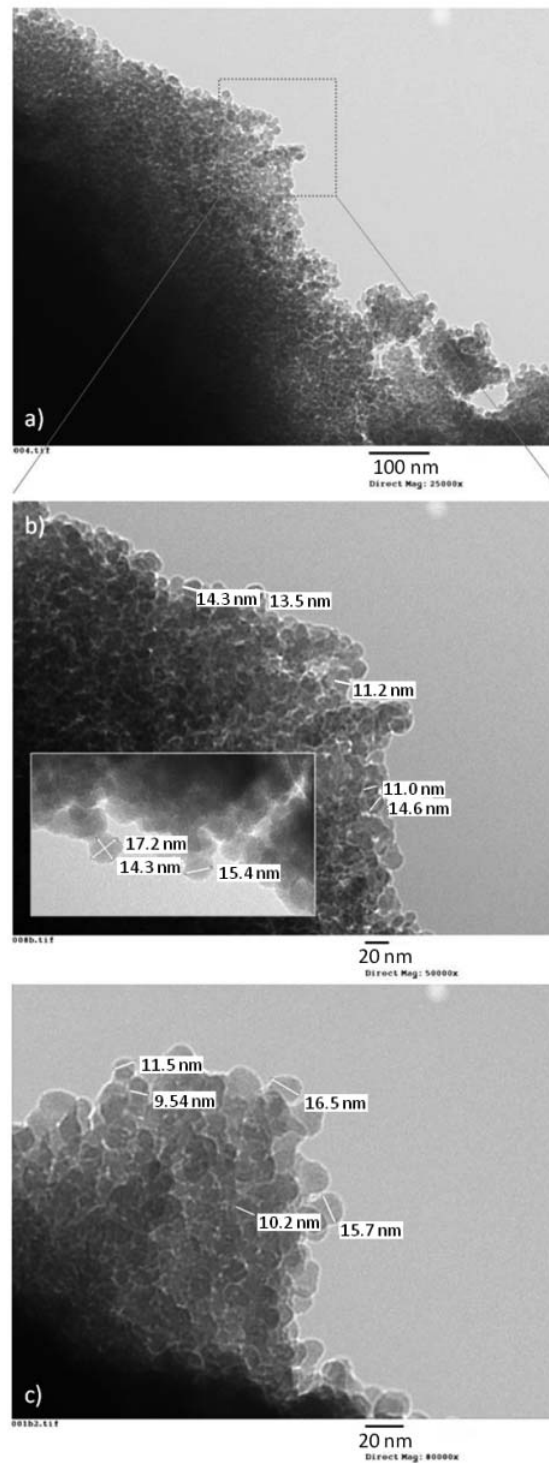
211

212 In order to clarify a possible ancient cooking, the material was heated *in situ* in a dry air
213 atmosphere. The X-ray diffractograms were acquired in the range from 350 to 600°C. As
214 it can be observed, the peaks corresponding to quartz remain unaltered during the whole
215 process, while the layers of muscovite expand along their stacking direction, being that
216 process reversible after cooling again at room temperature. However, at 650°C it is
217 observable an irreversible decomposition of calcite (CaCO₃) to form lime (CaO). This
218 reaction corroborates that these pieces were probably not cooked or heated above
219 600°C, it agreement with the observed presence of mineralized vegetal fibers.

220

221 *3.2. Microstructural characterization of the nanoparticle consolidating suspension*

222 The solid content of the suspension was analyzed by means of TEM. The images
223 showed in Fig. 5, captured at several magnifications, display spherical nanoparticles.
224 The measured diameters were in the range of 10 to 20 nm corroborating the nanometric
225 character of the solid content.



227 **Fig. 5.** TEM images of the nano-SiO₂ nanoparticles under several magnifications
228 (suspension was dried on carbon coated copper grid).

229

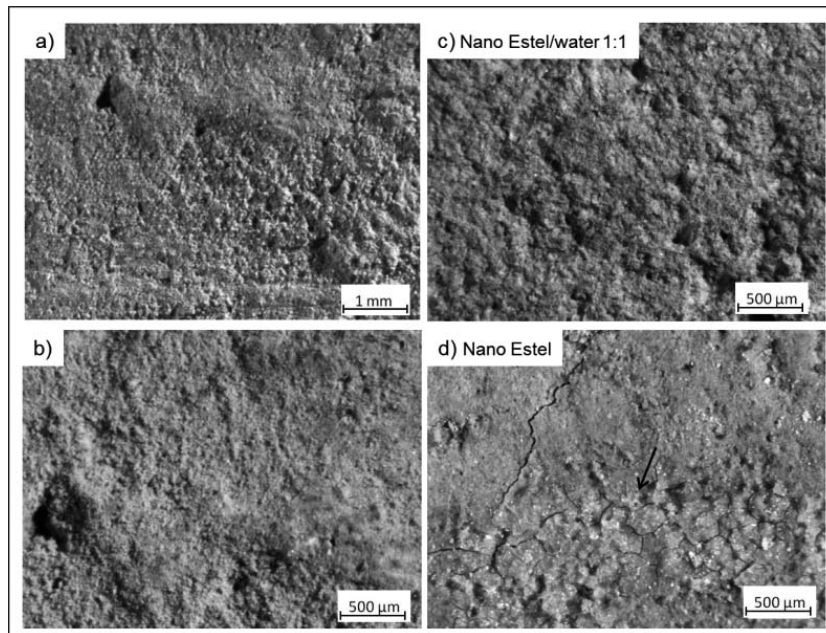
230 **3.3. Characterization of samples.**

231 This characterization study was focused on a comparative analysis of untreated
232 specimens and treated samples. The study was focused in the surface regions of
233 samples.

234 *3.3.1. Microstructural characterization*

235 The optical study of the surface of the untreated and treated samples exhibits
236 several differences, as can be seen in Fig. 6. Meanwhile the untreated sample (Fig. 6-a
237 and c) evidences a material without a consolidated surface (grains of clay are flyaway),
238 the treated samples show a consolidated surface without observable free particles (Fig.
239 6b and d). However, a thin cracked transparent film was found on the sample treated
240 with 30% of solid content (Fig. 6d). This residue is attributed to an excess of silica due
241 to the bad absorption that is probably caused as a consequence of the high viscosity of
242 the non-diluted suspension. This observation suggests that it is necessary to dilute the
243 colloidal suspension.

244

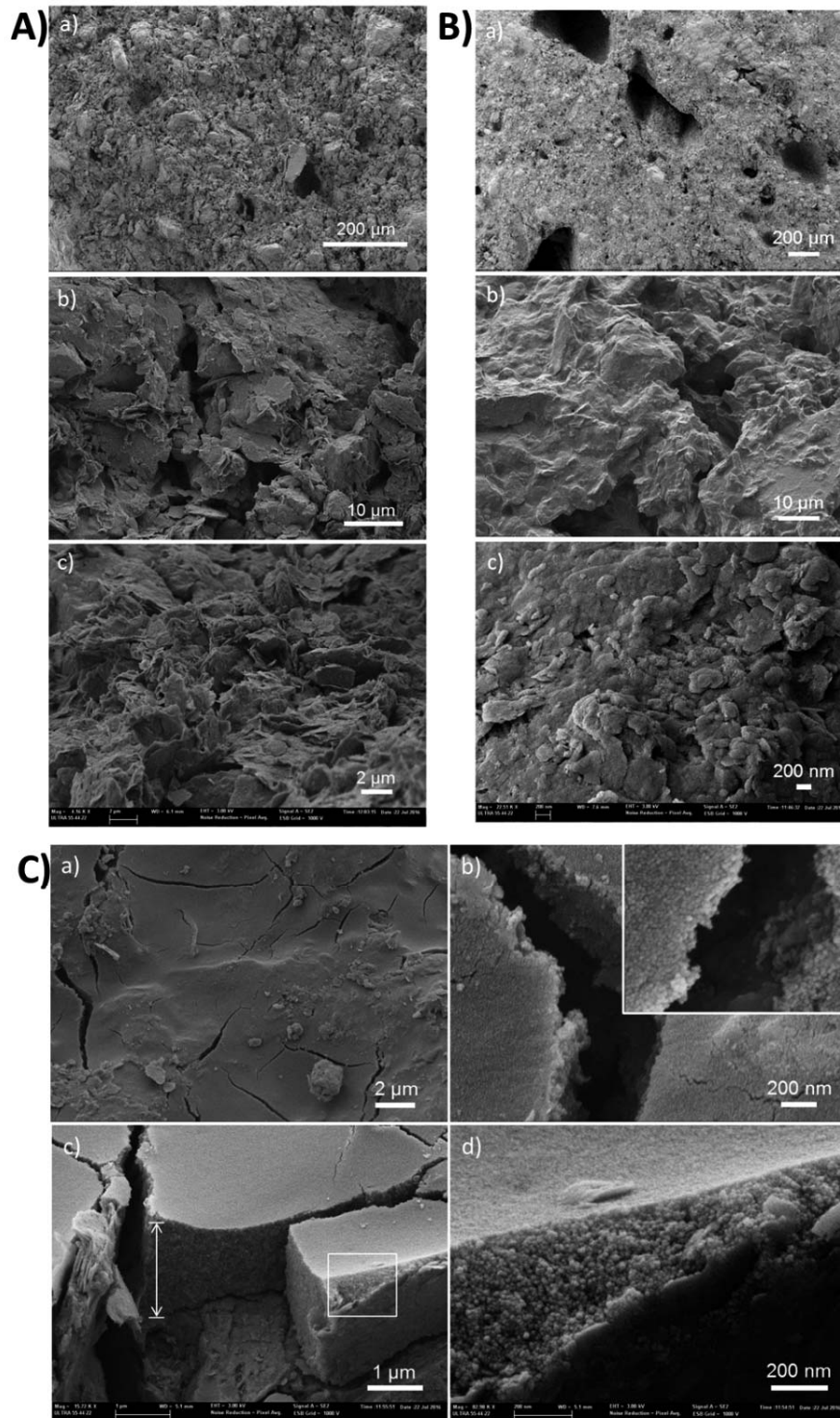


245

246 **Fig. 6.** Optical microscopy images of the clay surfaces a, b) without treatment, c) after
247 treatment with aqueous 15%wt nano-SiO₂ and d) after treatment with aqueous 30%wt
248 nano-SiO₂.

249

250 In depth analysis of the microstructure of untreated piece was performed by FESEM.
251 The Fig. 7-A shows captured images of the untreated clay piece at two magnifications.
252 Well defined polygonal and laminar thin grains characteristic of this compositional clay
253 are observed. These grains seem poorly bonded by the basal planes and the resultant
254 material is then easy delaminated, presenting the observed fragile character.
255 Furthermore, the lack of integrity is favored by the low dense material observed.



256

257 **Fig. 7** FESEM secondary electron images of the microstructure of the **A)** untreated clay
 258 at three magnifications, **B)** treated with diluted nanoSiO₂ at different magnifications and
 259 **C)** residual layer on the surface of the treated pieces with non-diluted nanoSiO₂
 260 suspension.

261

262 The analysis of the cross-section of the treated samples was also conducted. Fig.
263 7-B shows the microstructure of the cross-section of the treated clay with diluted
264 nanoSiO₂. The cross-section was obtained at about 100μm from the surface. It revealed
265 that the great pores previously detected by optical microscopy were not filled by the
266 solid content of the consolidating substance, (Fig. 7-B a). Nevertheless, higher
267 magnifications (Fig. 7-B b and c) reveal different microstructure than that of the
268 untreated clay (Fig. 7-A). A smooth microstructure was observed and the single grains
269 are not distinguished, suggesting that the solid content of the consolidating suspension
270 has appropriately penetrated into the inter grains regions.

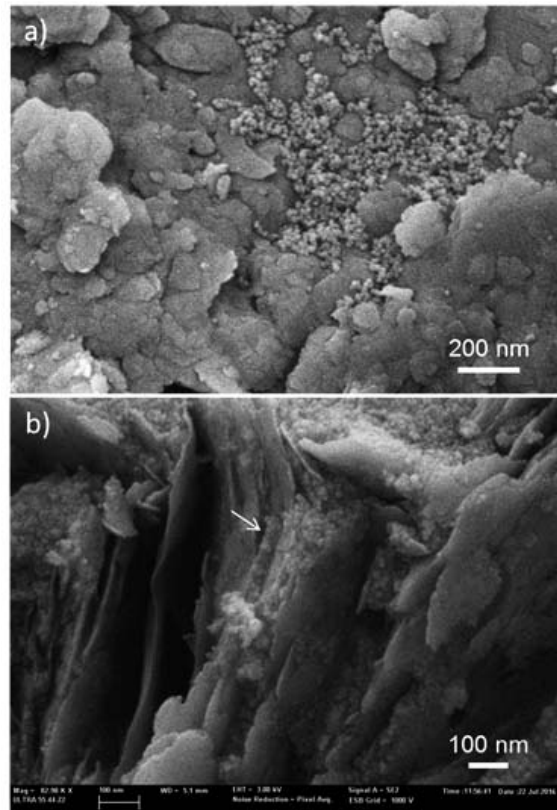
271 In the case of clays treated with undiluted suspension, the consolidating behavior
272 was only observed onto the outside of the surface, showing that the solid content could
273 not penetrate the clay material. The Fig. 7-C a, shows a cracked surface, in good
274 accordance with the residual material observed in Fig. 7d attributed to the bad
275 absorption generated by the high viscosity of the undiluted suspension. At higher
276 magnifications (Fig. 7-C b, c and d) it is revealed the nanostructure of the deposited
277 layer where nanoparticles can be distinguished.

278 These results, indicates that the use of the undiluted suspension leads to only a
279 very surface consolidation since the high viscosity does not allows a homogeneous
280 dispersion of nanoparticles into the clay matrix. Whereas, using a diluted suspension the
281 nanoparticles were homogeneously diffused to the bulk of the clay achieving a more
282 width consolidation treatment due to the ability of nanoparticles to enhance the
283 interfacial adhesion.

284 In order to clarify how the nanoparticles are improving the interactions between
285 the clay material and the consolidating suspension, several FESEM images were
286 captured at very high magnification. Fig. 8 shows the FESEM images at two

287 magnifications acquired from the cross-section of treated clay with diluted nanoSiO₂. It
288 can be seen that the nanoparticles were located not only between the laminar planes
289 (Fig.8-a), but also between the basal planes (Fig. 8-b).

290



291

292 **Fig. 8.** FESEM images of the nano-SiO₂ particles in the clay microstructure.

293

294 This finding confirms that the nanoparticles were able to penetrate the clay's
295 surface and also to fill the intergranular spaces, due to the fact that they are nanometric
296 as well as due to the well dispersed nanoparticles in the diluted colloidal suspension.
297 This fact favors the formation of a homogeneous material at the same time as it is
298 expected that the nanoparticles interact with the clay matrix by means of different kind
299 of interactions. The involved mechanism should be able to produce the formation of
300 stronger physico-chemical interactions than the typical found in consolidated ancient
301 clays, reducing the exfoliation character and, therefore, a greater resistance is expected.

302

303 *3.3.2. Colorimetric analysis*

304 The materials' colours are provided in a uniform colour scale, being the most
305 used the CIELab scale because it is the most uniform colour space from a perceptual
306 point of view [30]. In this work, the influence of the consolidated treatments on the
307 surface colour was evaluated by scanning the samples under a unique capture, making
308 sure that all samples receive the same lamp intensity, while light exposure and capture
309 parameters remained the same. The captured images (not shown) were subsequently
310 analyzed using professional photographic software. Table 3 summarizes the parameters
311 obtained from the CIELab colour spaces the average value resulting from 25 tests
312 performed at random positions over the images.

313

314 **Table 3.** Summary of the CIELa*b* characteristics of the analyzed samples

	Untreated	Nano Estel	Nano Estel/water v/v
L	74.4 ± 0.5 ^a	65.8 ± 0.8 ^b	65.9 ± 0.7 ^b
a*	16.9 ± 0.8 ^a	19.3 ± 0.8 ^{a,b}	20.1 ± 0.8 ^b
b*	23.2 ± 0.8 ^a	25.5 ± 0.4 ^a	20.1 ± 0.8 ^b
ΔL	-	8.6 ± 0.3	-8.5 ± 0.2
Δa	-	2.4 ± 0.1	3.2 ± 0.1
Δb	-	2.3 ± 0.4	3.1 ± 0.1
ΔE	-	9.2 ± 0.5 ^a	9.6 ± 0.2 ^a

315 ^{a-b} Different superscripts within the same row indicate significant differences between
316 formulations ($p < 0.05$).

317

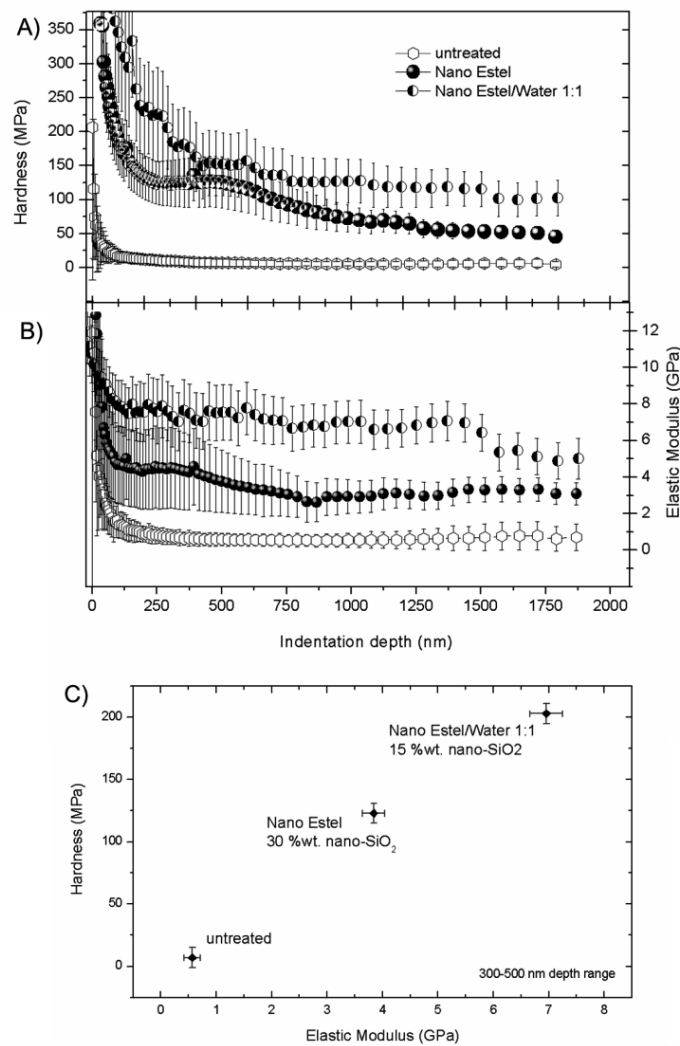
318 These values indicate that the clay pieces have a low luminosity and are located in the
319 quadrant of the CIELab space ranging from red to yellow. Moreover, positive values
320 obtained for the a^* coordinate indicate a deviation towards red, while positive values for
321 the b^* coordinate are indicative of a deviation towards yellow. The treatments have
322 produced a small darkening effect (decrease in L values). A reddening and somewhat
323 amber tonality was observed when increasing the concentration of the applied
324 suspension, demonstrated by the increased values of a^* and b^* coordinates,
325 respectively. No significant differences in total colour differences were observed
326 between Nano Estel treated samples ($p > 0.05$), but they showed a slight difference with
327 respect to untreated samples ($p < 0.05$). It should be noted that the total colour
328 differences were higher than 2.0, being this value frequently used as a limit of the
329 threshold of perceptible colour difference for the human eye [31]. Comparing the
330 treated samples with the untreated one, it was possible to conclude that the trend
331 towards red and yellow is significant in the case of the non-diluted suspension
332 treatment. Nevertheless, this tendency is not significant when the colloidal nanoSiO_2
333 suspension was diluted in water. Note that working with clay objects this problem can
334 be particularly difficult to deal with since color variations can be very evident

335

336 *3.3.2. Hardness and Elastic Modulus characterization*

337 The aim of this study was to evaluate the improvement on the mechanical
338 resistance of the surfaces of ancient clay pieces by the addition of commercial SiO_2
339 nanoparticles. The nanoindentation technique has shown an important development to
340 measure the mechanical properties of several kind of materials including metals [15,
341 32], ceramics [33-35] polymers [36-38] and wood products [39, 40]. This technique has
342 been also successfully used to study the conservation state of fragile heritage objects

343 [20, 25, 31] and offer the possibility to study a small volume of material in order to
 344 understand the conservation state of the entire piece [41]. The hardness (H) and elastic
 345 modulus (E) of these pieces were measured under very low loads using a nanoindenter.
 346 Fig. 9-a and b, shows the H and E in-depth profiles acquired for each analyzed sample.



347

348 **Fig. 9.** Nanoindentation results of untreated and treated samples in-depth: **a)** Hardness
 349 profile, **b)** elastic modulus profile and **c)** H vs. E values averaged over the 300-500 nm
 350 depth.

351

352 It's important to clarify that these nanoindentation curves are consequence to
 353 superficially indent a very small volume of material, assuring us that the piece is not

354 damaged by the test and that only the treated surface is measured. This is, the
355 penetration capacity achieved by the treatment isn't able to be detected under the
356 experimental conditions used in this study because this feature is out of the aim of this
357 work (this penetration capacity should be difficult to be extrapolated to the behavior of
358 the same material in other conditions of porosity, chemical composition, etc). These
359 curves reveal that the untreated samples have a mean hardness of 15 MPa and an elastic
360 modulus of 1 GPa. Nevertheless, samples treated with the concentrated suspension
361 shifted these curves to higher values, reaching 140 MPa and 5 GPa for H and E,
362 respectively. Nonetheless, the hardening effect for this case (concentrated suspension)
363 was only found over a shallow depth of ~600 nm. Once this depth is reached, the H and
364 E values tend to decrease, indicating that the treatment did not deepen beyond this
365 point, it agreeing with the conclusions obtained from the microstructure analysis
366 performed by FESEM previously commented. In this sense, when the nano-SiO₂
367 colloidal suspension was diluted in distilled water, the hardness and elastic modulus
368 reached higher values, 150 MPa and 8 GPa, respectively. As conclusion, the absorption
369 of SiO₂ particles increased the hardness and the moduli of the material, while it is
370 required to dilute the concentration of solid content in the suspension in order to
371 improve its penetration and to obtain an appropriate distribution. Considering the effect
372 of the treatment as a result of a surface effect (first nanometers in depth), these results
373 were averaged in the range of 300-500 nm in depth, as shown in Fig.9-c.

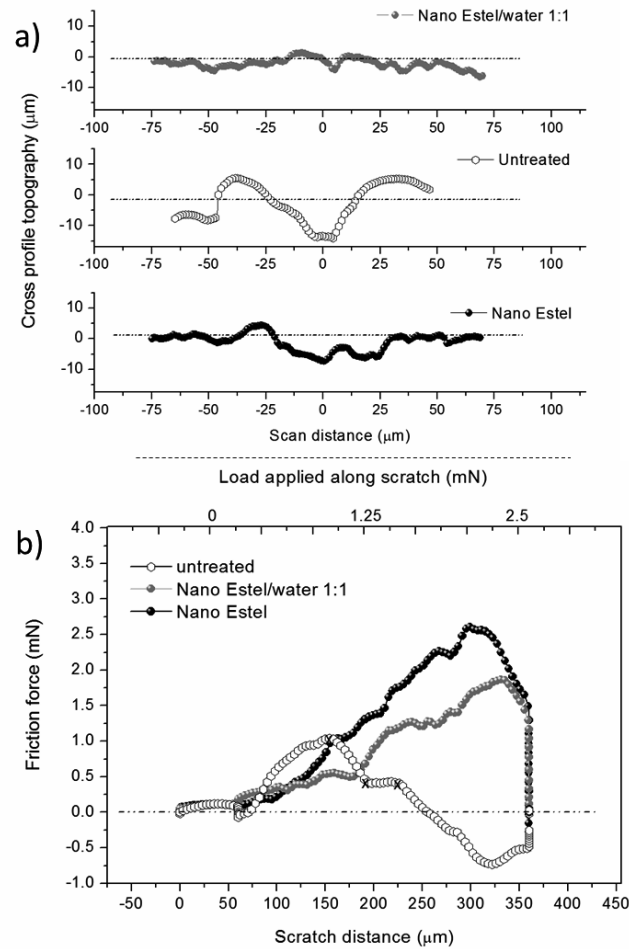
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375 *3.3.3. Friction surface's resistance characterization*

376 The advantage of the scratch test is that it allows determining the friction
377 resistance of the materials and can be considered as particular hardness measurement.
378 The concept of the scratch hardness complements the resistance behavior of any ancient

379 material as only small friction forces must be permitted during the conservation-
380 restoration procedure. A scratch procedure consists on moving the sample underneath
381 the indenter while a normal force is ramped. The result of this process is the generation
382 of a tangential force which scratches the specimen while special sensors register the
383 depth and friction force of the induced track.

384 The steps programmed for this experiment where the following: (i) a pre-scan of the
385 path topography using very low loads, (ii) a ramp of load that is linearly applied during
386 the programmed path, and (iii) a post-profile scan that is performed in order to acquire
387 the residual depth of the newly created track. Fig. 10-a, shows the residual cross-
388 profiles acquired on each scratched surface at 250 μm of the generated path (at ~ 1.75
389 mN scratch load). It is corroborated that the depth and hence, the damage provoked on
390 the untreated sample is significantly higher than in treated samples. The generated
391 groove on untreated samples reached 15 μm depth, versus the 5 and 8 μm for the treated
392 surface with diluted and non-diluted suspension, respectively.



393

394 **Fig. 10.** a) Cross-profile scans acquired after the scratch test at 150 μm of path, b)
 395 friction force curves acquired during the scratch tests.

396

397 In the absence of a significant failure mechanism, the friction force is linearly
 398 dependent on the normal force applied. The value of the resultant force depends of the
 399 coefficient of friction between materials. The friction forces registered along the scratch
 400 test for each sample are showed in Fig. 10-b. As can be seen on this figure, the friction
 401 force acquired on the untreated sample drop set a 150 μm scratch distance, indicating
 402 that the material failed at the critical ~1 mN scratch load. However, the surfaces treated
 403 with nano-SiO₂ revealed a continuous increase of friction without an observable failure
 404 mechanism, corroborating the consolidation effect. The higher friction force recorded in

405 the sample treated with non-diluted suspension is explained by the excess of non-
406 absorbed nano-silica that produces an adhesive effect.

407

408 The evidences of this study show that a treatment with an aqueous colloidal
409 suspension of nano-SiO₂ particles improves the mechanical resistance of the surfaces of
410 non-consolidated clay pieces. This behavior could be attributed to the ability of nano-
411 SiO₂ particles to harden the clay since they flow to the micro-cavities of the low dense
412 clay microstructure, it leading to physical-chemical interactions between the
413 nanoparticles and the consolidated material. The nanoparticles filled the narrow inter-
414 grain spaces, while the use of them diluted and dispersed in a water solution contributes
415 to improve their homogeneous distribution and their well dispersion allows the
416 enhancement of the interfacial adhesion in the final consolidated nanocomposite. In this
417 stage, the well dispersed nanoparticles are able to interact with the terminal charges
418 molecules of the clay matrix, such as hydroxides, aluminates, or other silicates, present
419 in the clay. Regardless it is widely known that the use of nanoparticles instead of larger
420 sized particles (i.e.: microparticles) is preferred not only due to their higher surface area
421 that allows better interaction with the material, but also due to the fact of if they are well
422 dispersed they are easily transported within the porous of the treated material. In further
423 studies it should search for the optimum dilution ratio in order to treat historical samples
424 with the lowest amount of silica needed to obtain the required final performances.

425

426

427 **4- Conclusions**

428 Brittle and ancient clay surfaces were successfully strengthened with a special
429 surface treatment consisting of a colloidal suspension of nano-SiO₂. TEM images

430 corroborated that the diameters of particle were below 20nm. Meanwhile, FESEM
431 images confirmed that the diffusion of the nanoparticles to the bulk of the clay depends
432 on the concentration of the consolidating substance. Moreover, it was found that the
433 SiO₂ nanoparticles are able to fill the inter-granular spaces of the laminar microstructure
434 of the ancient clay pieces, enhancing the interfacial adhesion and, thus, improving the
435 final mechanical performance. The nanoindentation technique has been confirmed as a
436 powerful, non-destructive method that can measure the hardness, elastic modulus and
437 scratch resistance of delicate surfaces of ancient pieces. The dispersed SiO₂
438 nanoparticles were able to enhance the interfacial adhesion grains/nanofiller and, thus
439 increase the friction resistance and hardness (from 15 MPa to 150 MPa) and the elastic
440 modulus (from 1 to 8 GPa) for untreated and treated surfaces, respectively. However,
441 the required concentration to improve the mechanical resistance affects the visual
442 appearance of the clays by slightly darkening the surface of the ancient clays.

443

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451

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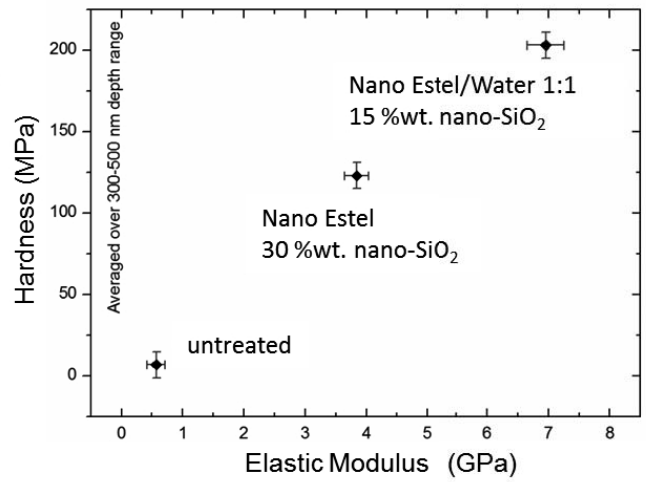
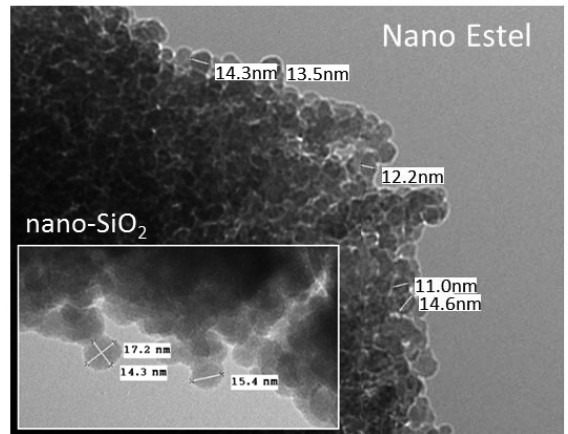
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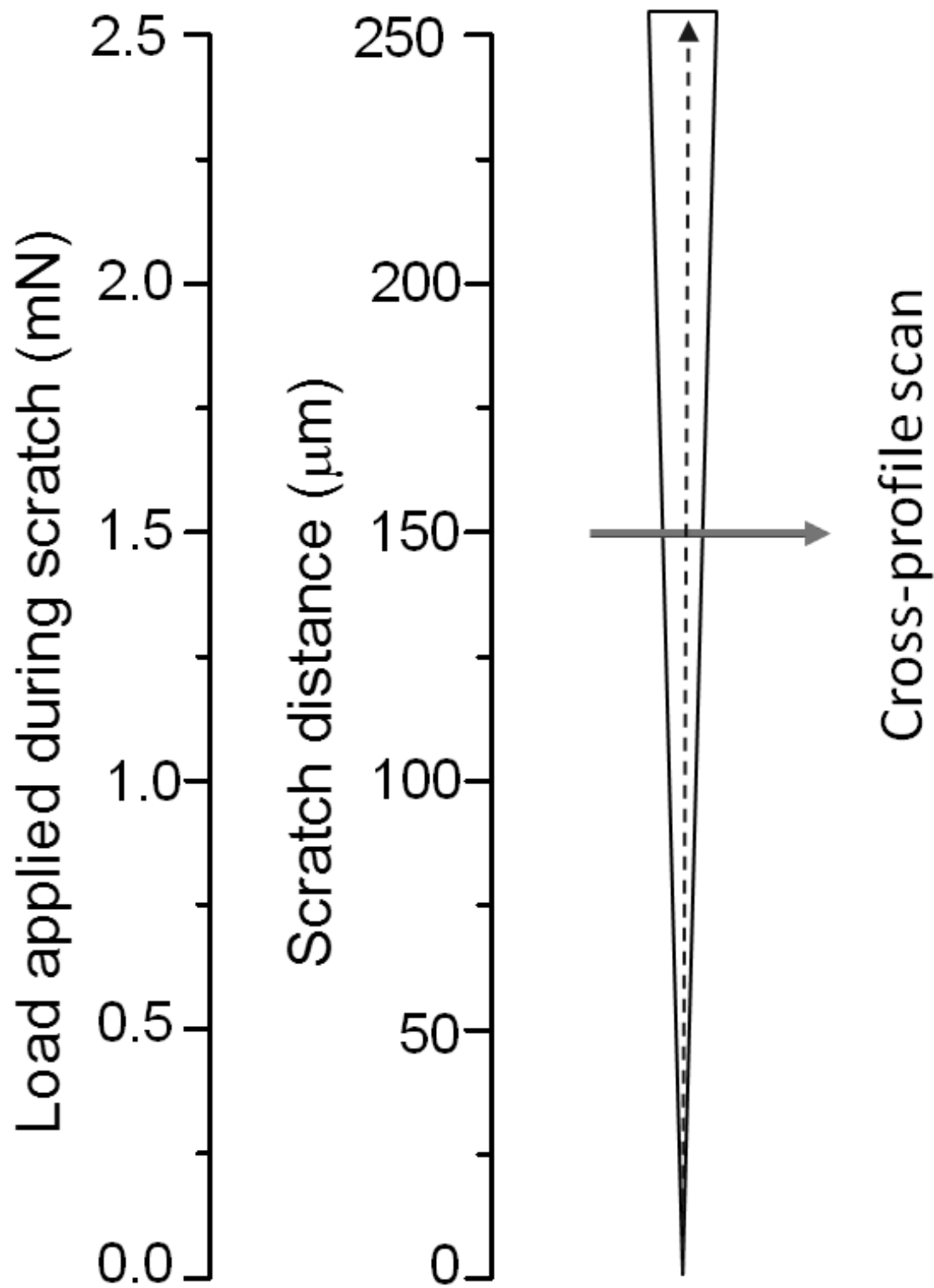
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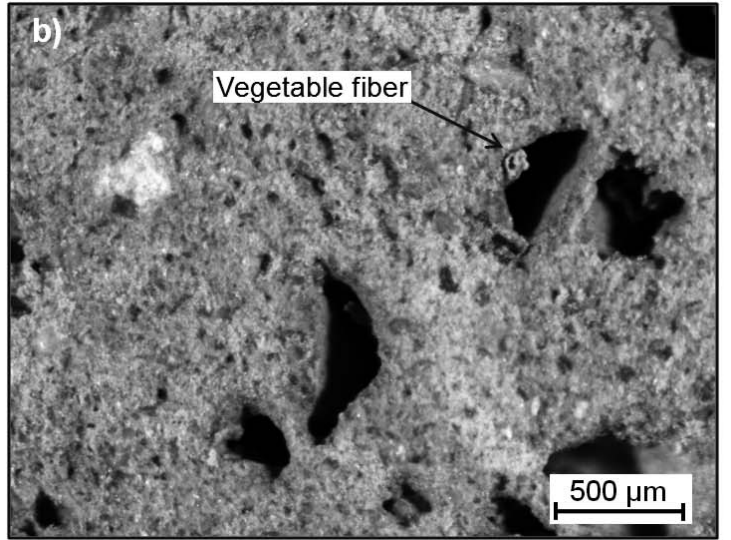
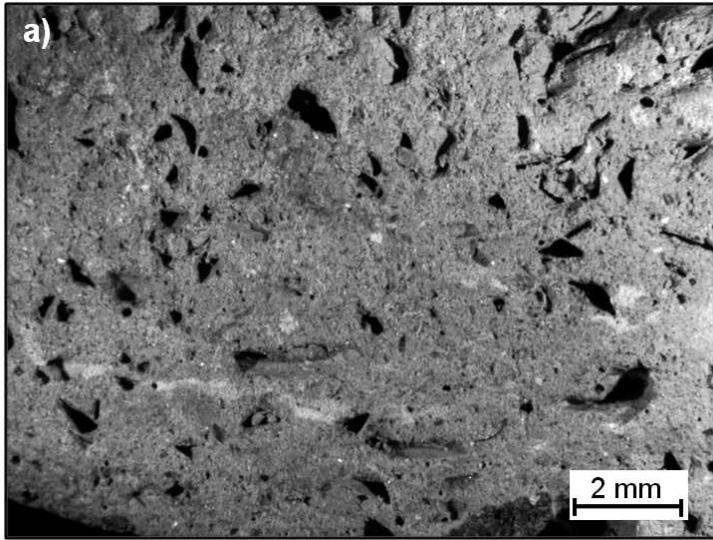
Clay pieces of the Bronze Age

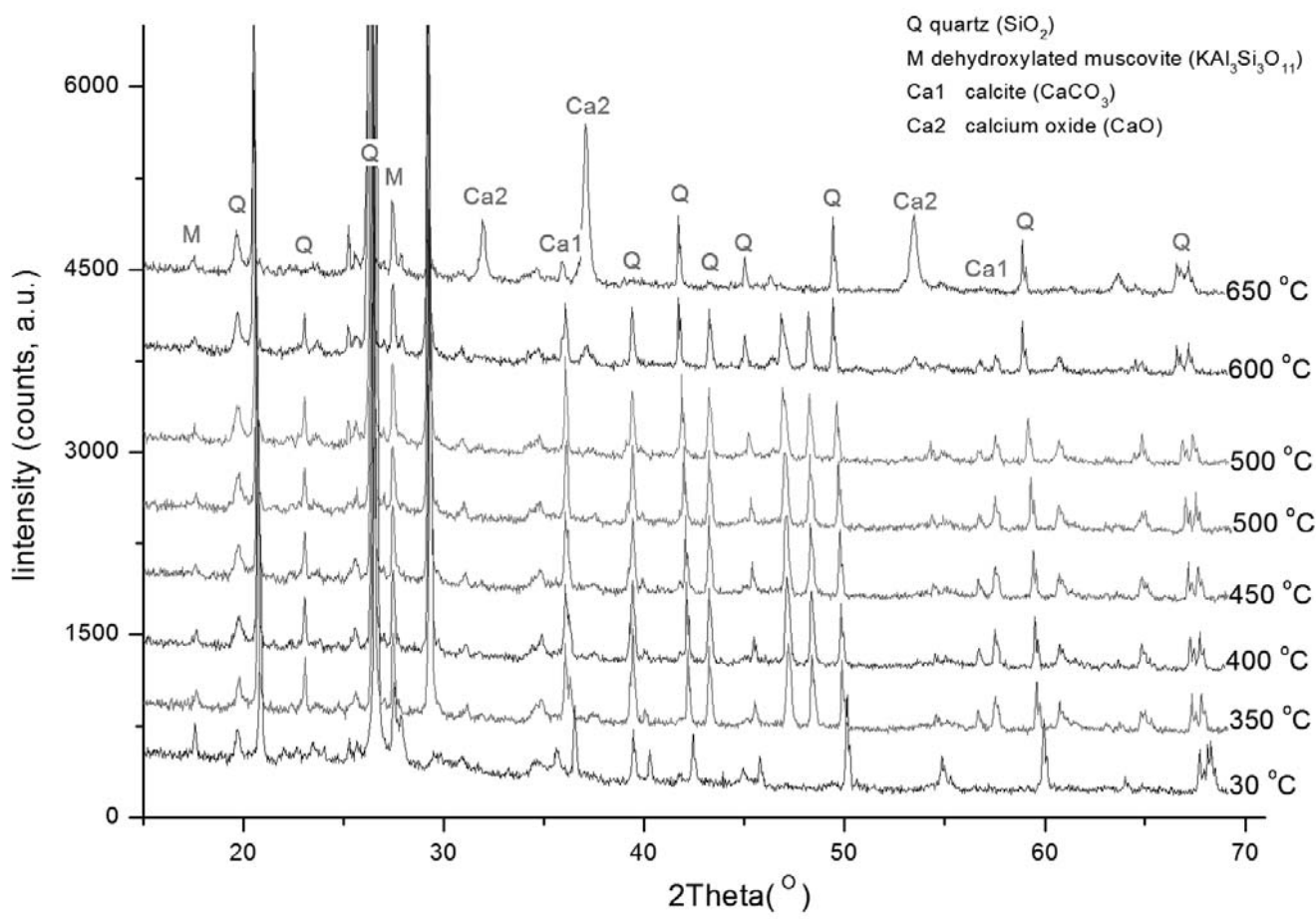


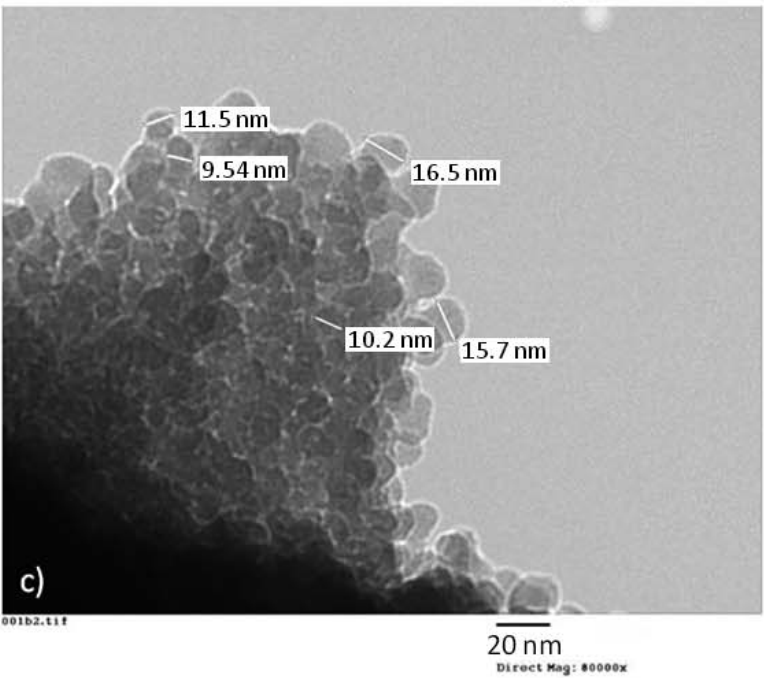
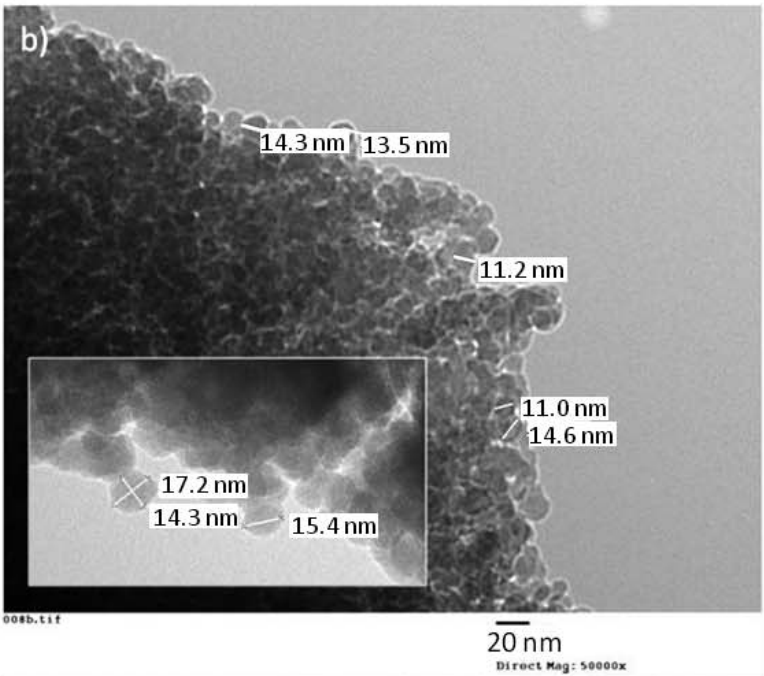
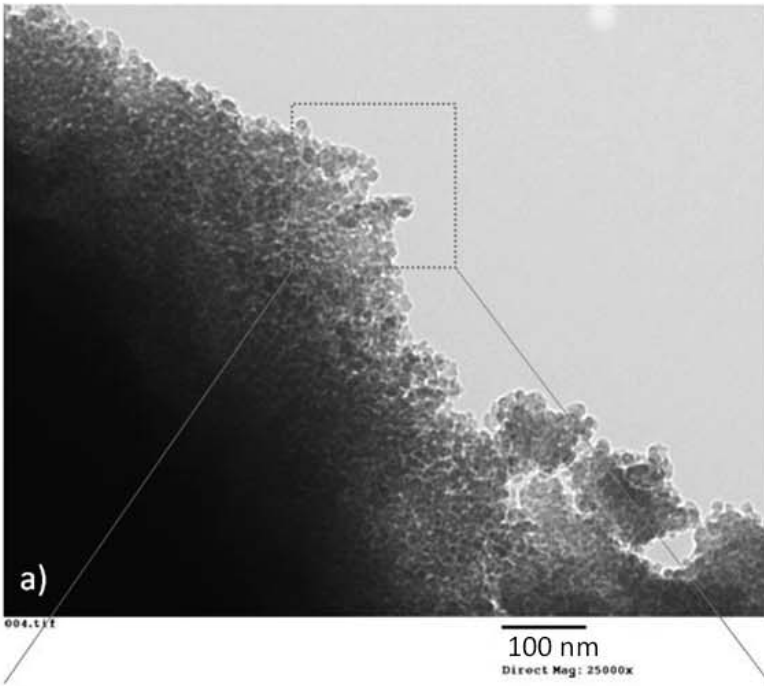




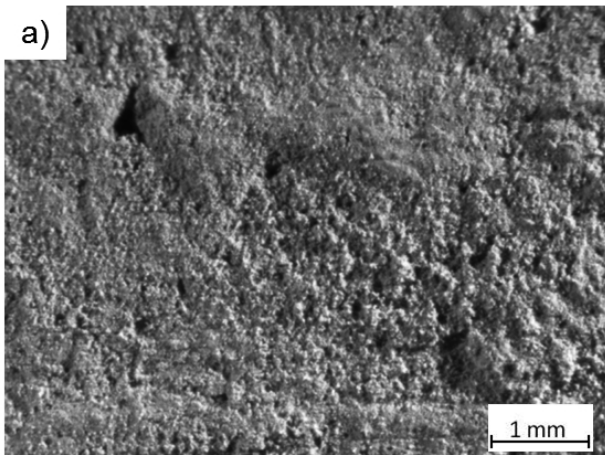
- Scan of the residual profile, 1.25 mN
- Residual scratch path (friction force)



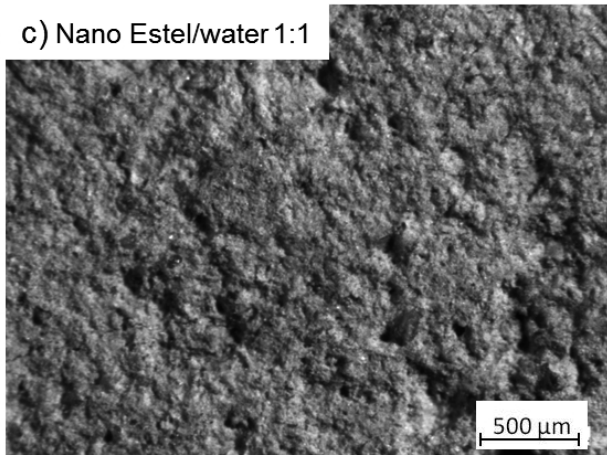




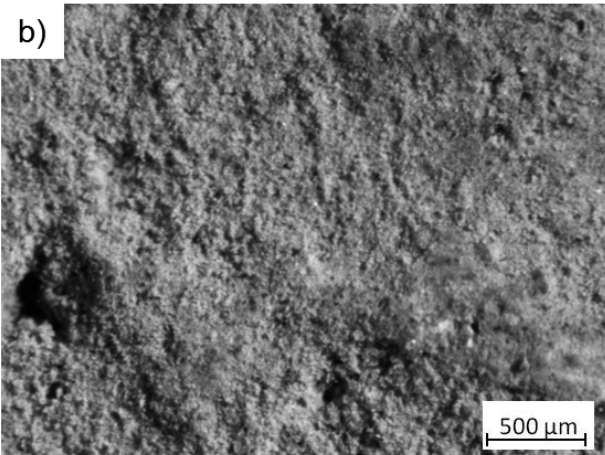
a)



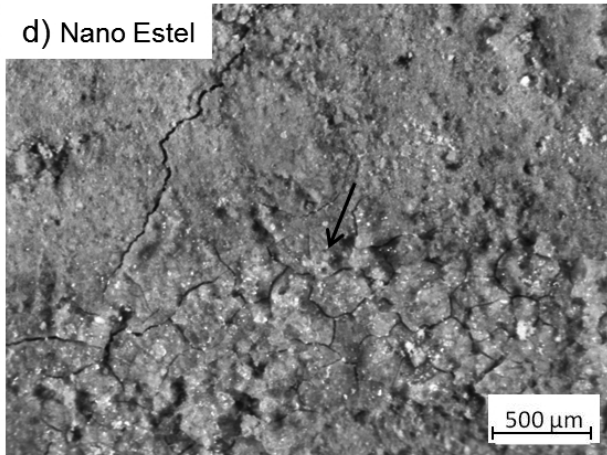
c) Nano Estel/water 1:1

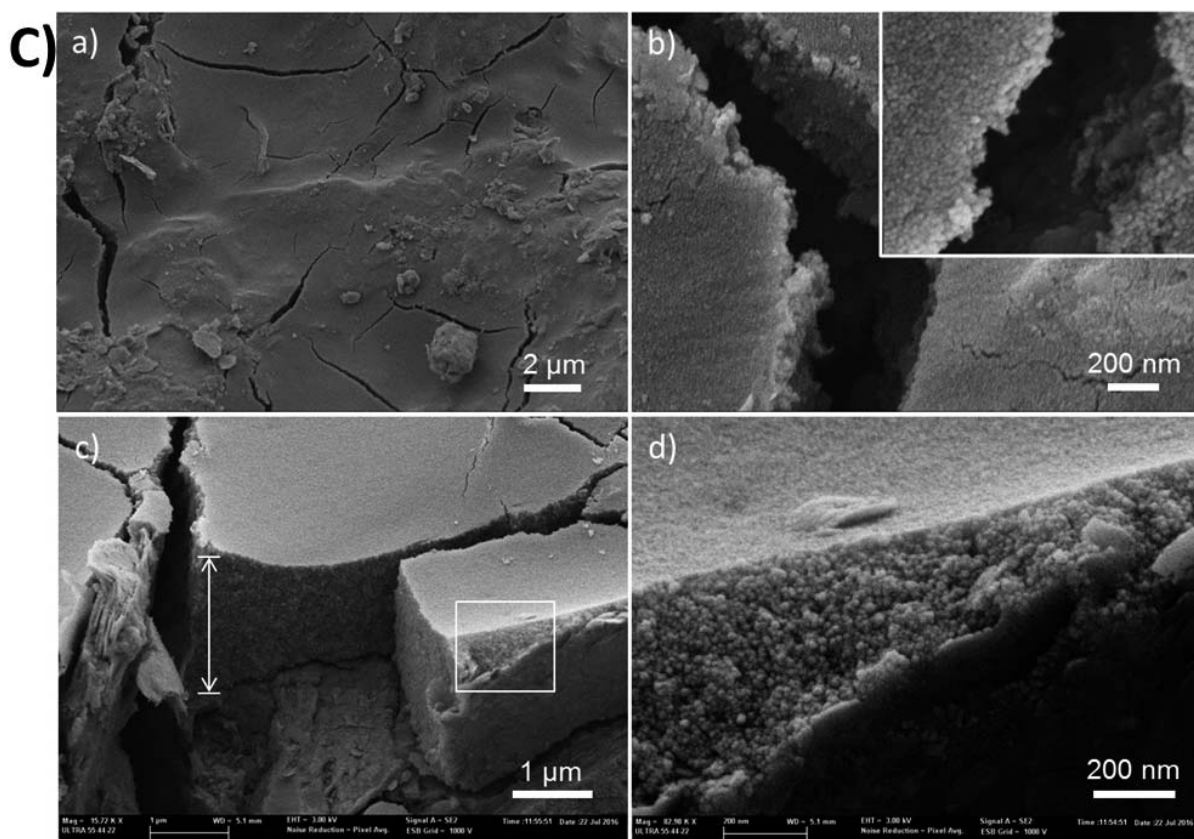
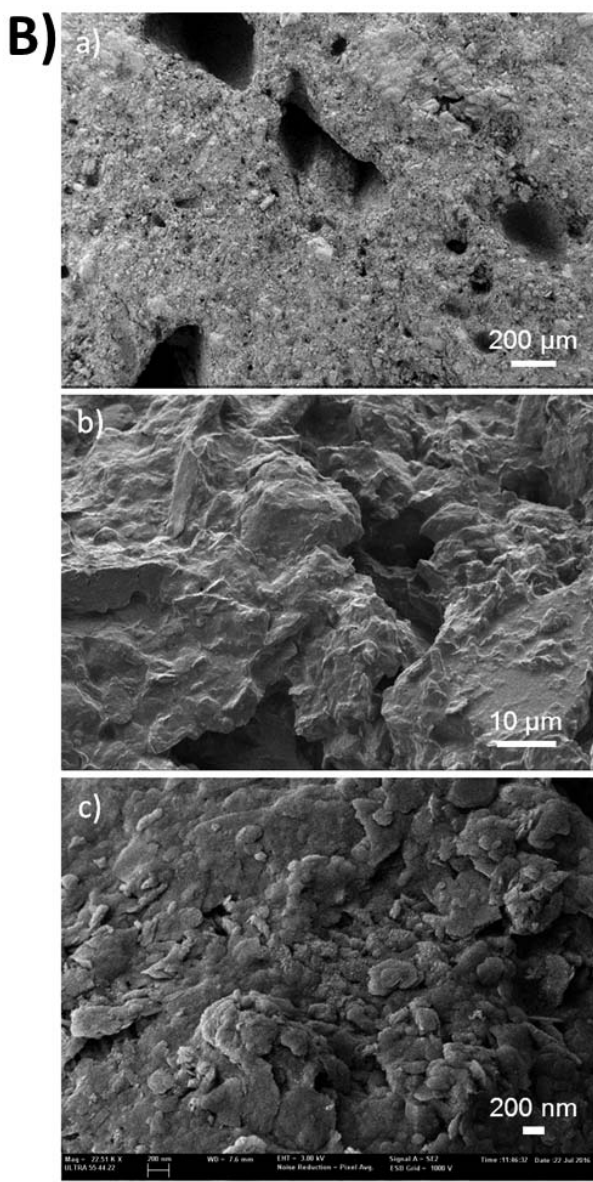
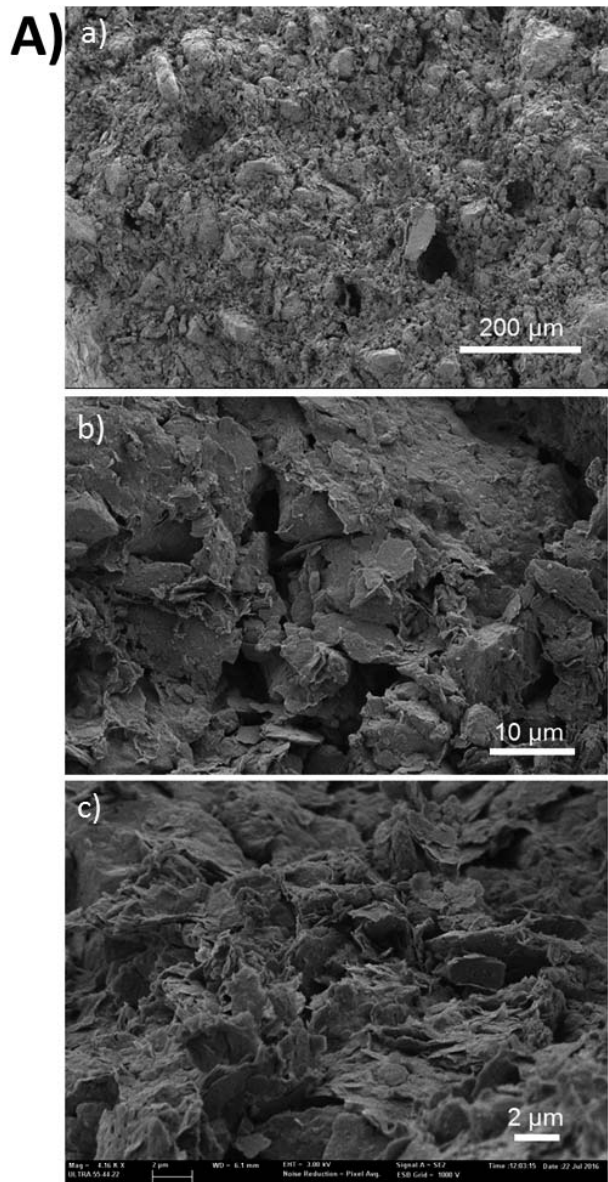


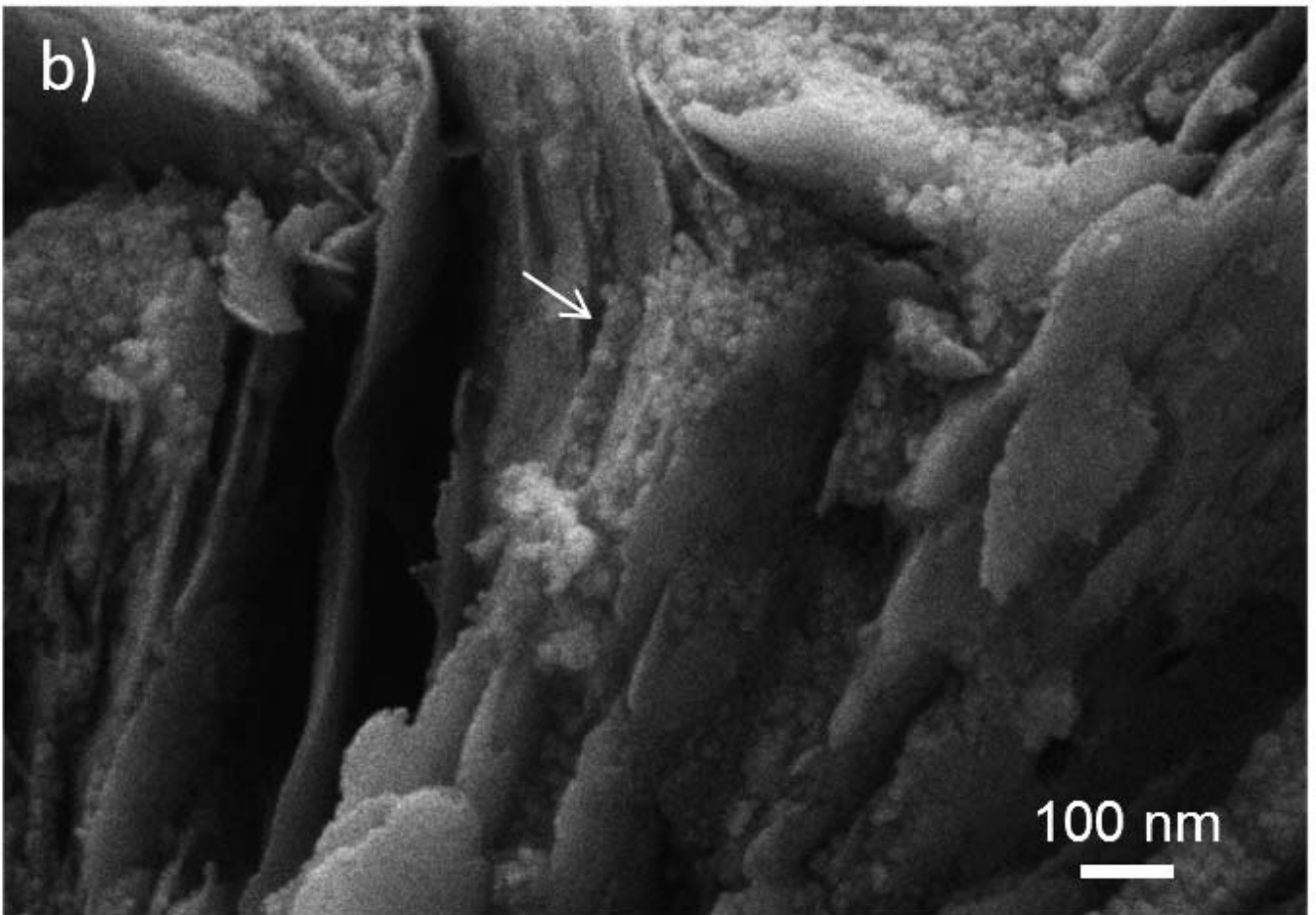
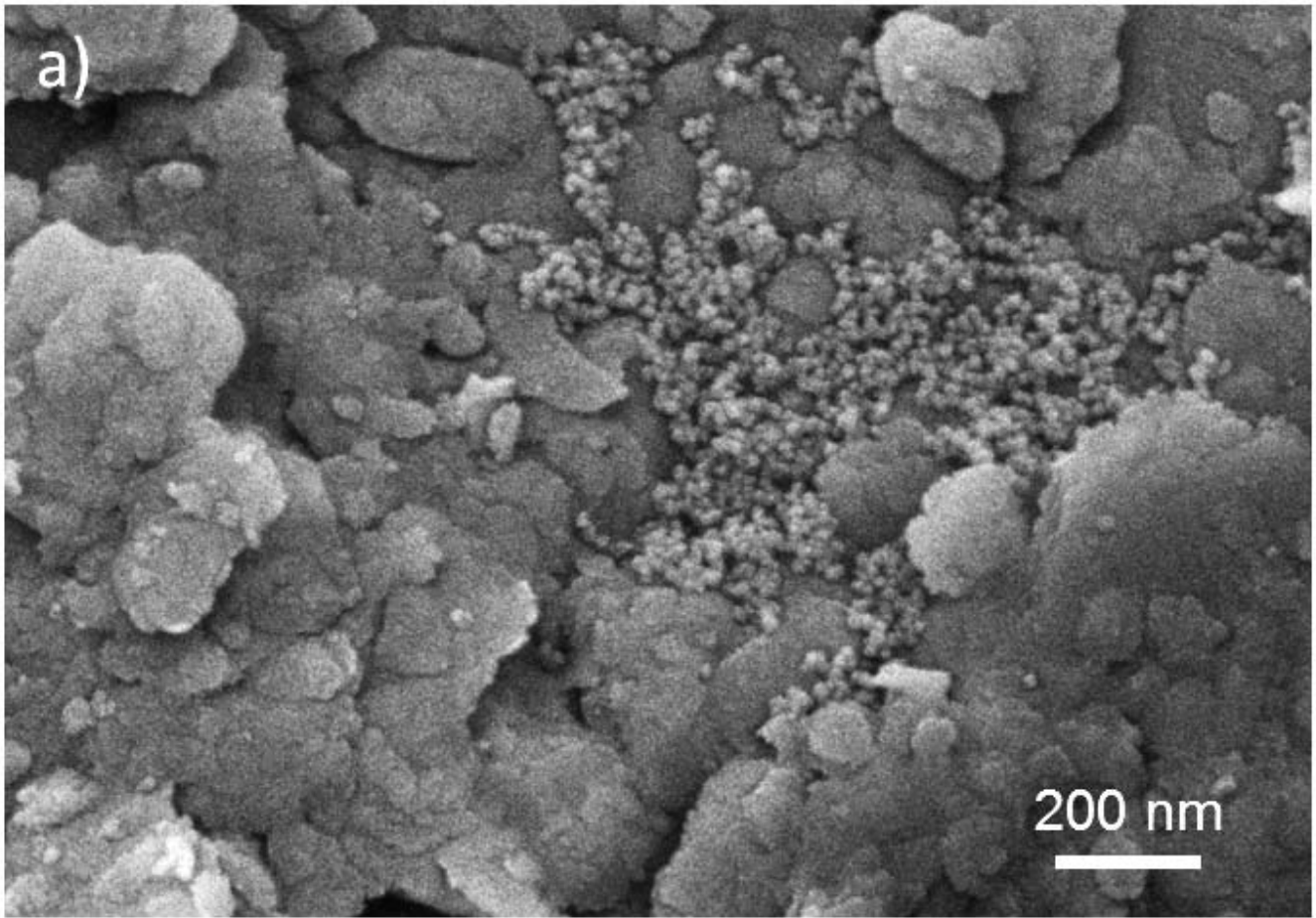
b)



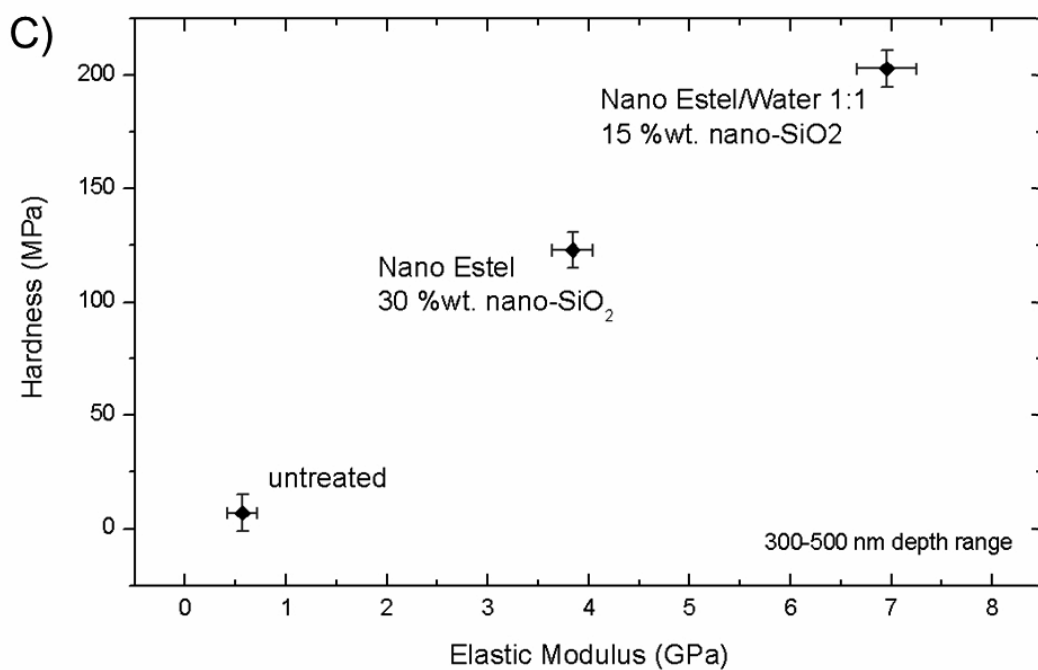
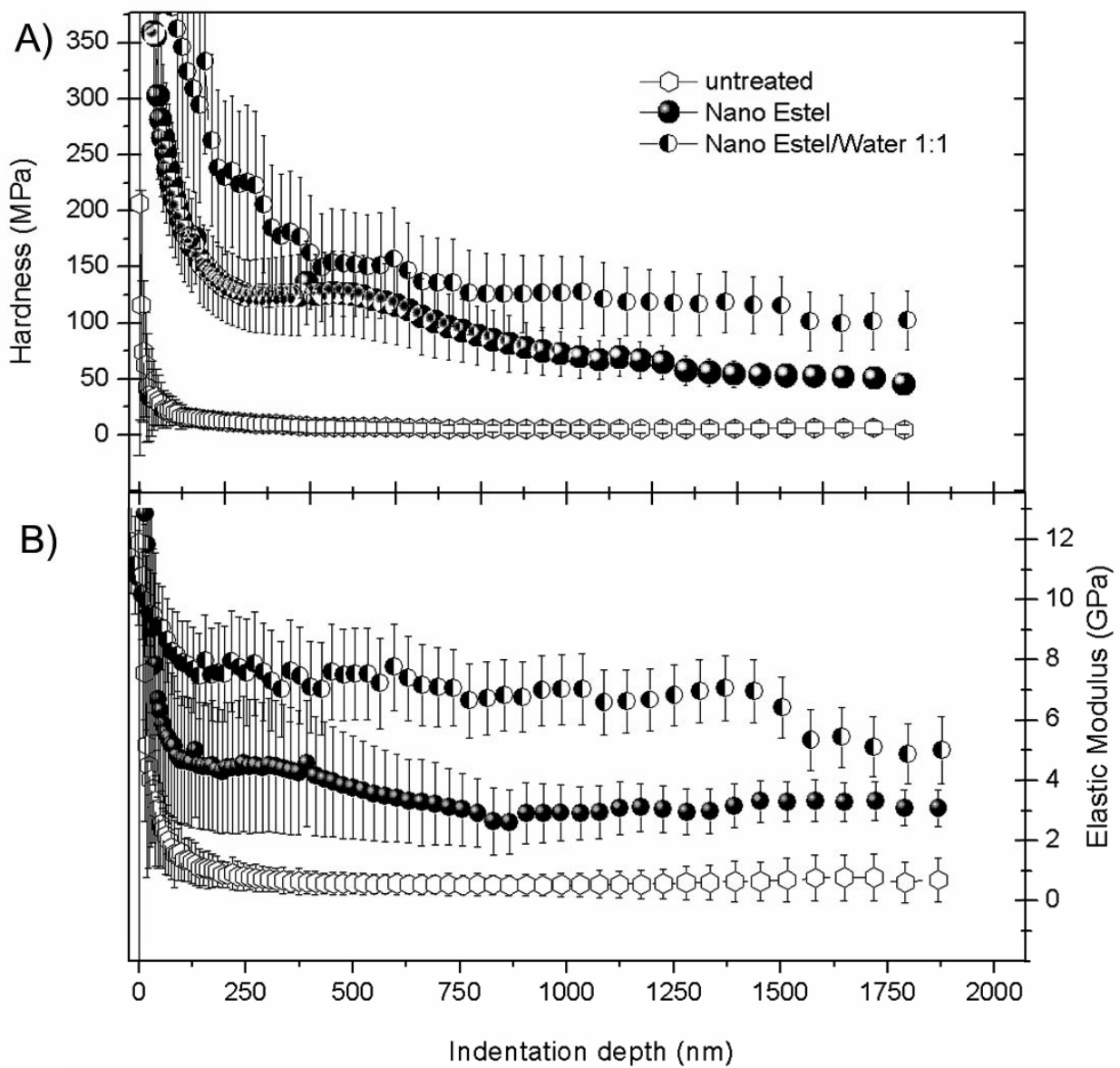
d) Nano Estel







Mag = 82.98 K X 100 nm WD = 5.1 mm EHT = 3.00 kV Signal A = SE2 Time :11:56:41 Date :22 Jul 2016
ULTRA 55.44.22 Noise Reduction = Pixel Avg. ESB Grid = 1000 V



Nano Estel specifications	Physical and chemical properties
Solid material	SiO ₂
Appearance	Colourless liquid
Solid content	30 wt%
Viscosity	7 mPa s at 20 °C
Density	1.2 g / cm ³ at 20 °C
Particle dimension	< 20 nm
Specific surface	260 m ² / g
pH	10

Table 1. Summary of the physical and chemical properties of the commercial nano-SiO₂ suspension.

Element	Weight%	Atomic%
Mg	1.46	1.32
Al	10.58	8.58
Si	24.71	19.25
K	4.23	2.37
Ca	10.48	5.72
Fe	3.34	1.31
O	44.80	61.27

Table 2. Elemental analysis results of the clay material obtained by EDS-FESEM

Table 3. Summary of the CIELa*b* characteristics of the analyzed samples

	Untreated	Nano Estel	Nano Estel/water v/v
L	74.4 ± 0.5 ^a	65.8 ± 0.8 ^b	65.9 ± 0.7 ^b
a*	16.9 ± 0.8 ^a	19.3 ± 0.8 ^{a,b}	20.1 ± 0.8 ^b
b*	23.2 ± 0.8 ^a	25.5 ± 0.4 ^a	20.1 ± 0.8 ^b
ΔL	-	8.6 ± 0.3	-8.5 ± 0.2
Δa	-	2.4 ± 0.1	3.2 ± 0.1
Δb	-	2.3 ± 0.4	3.1 ± 0.1
ΔE	-	9.2 ± 0.5 ^a	9.6 ± 0.2 ^a

^{a-b} Different superscripts within the same row indicate significant differences between formulations ($p < 0.05$).