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Additional Information

1 Original article

2 **Going, going, gone: Predicting the fate of genomic**
3 **insertions in plant RNA viruses**

4

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23 **Electronic supplementary material.** The online version of this article () contains
24 supplementary material, which is available to authorized users.

25

26 **Abstract**

27 Horizontal gene transfer is common among viruses, whilst they also have highly compact
28 genomes and tend to lose rapidly artificial genomic insertions. Understanding the stability of
29 genomic insertions in viral genomes is therefore relevant for explaining and predicting their
30 evolutionary patterns. Here, we revisit a large body of experimental research on a plant RNA
31 virus, tobacco etch potyvirus (TEV), to identify patterns underlying the stability of a range of
32 homologous and heterologous insertions in the viral genome. We obtained a wide range of
33 estimates for the recombination rate –the rate at which deletions removing the insertion occur–
34 and these appeared to be independent of the type of insertion and its location. Of the factors
35 we considered, recombination rate was the best predictor of insertion stability, although we
36 could not identify specific sequence characteristics that would help predict insertion instability.
37 We also considered experimentally the possibility that functional insertions lead to higher
38 mutational robustness through increased redundancy. However, our observations suggest
39 that both functional and nonfunctional increases in genome size decreased mutational
40 robustness. Our results therefore demonstrate the importance of recombination rates for
41 predicting the long-term stability and evolution of viral RNA genomes and suggest there are
42 unexpected drawbacks to increases in genome size for mutational robustness.

43 **Introduction**

44 The movement of genetic material between lineages –horizontal gene transfer (HGT)– is a key
45 mechanism for introducing new genetic variation into lineages, and is therefore thought to be
46 an important driver of evolution (Koonin et al. 2001; Pál et al. 2005; Keeling and Palmer 2008;
47 Yue et al. 2012; Krupovic and Koonin 2014). Similarly, gene duplications are thought to play
48 an important role in evolution, by creating redundancy that can lift functional constraints to
49 evolution (Zhang 2003; Crow and Wagner 2006). Eukaryotes tend to have extensive
50 intergenic regions and large amounts of non-coding hereditary material (Lynch 2006), and
51 genomic insertions –the incorporation of heterologous sequences or duplication of existing
52 sequences– may therefore not appreciably affect fitness. The expected half-life of a genomic
53 insertion is therefore likely to be long enough that secondary mutations that help to
54 accommodate it functionally can occur before it is deleted, although there are clear exceptions
55 such as the extremely rapid gene loss of a non-tandem duplication in yeast (Naseeb et al.
56 2017). Functional accommodation could consist of changing gene expression levels,
57 optimizing catalytic activity of an enzyme or mitigating negative pleiotropic effects, both by
58 mutations within the insertion itself or elsewhere in the genome. At the other extreme, bacteria
59 often harbor and transmit numerous mobile genetic elements, or are naturally competent to
60 take up DNA from their surroundings. Although genomic insertions in bacteria may be less
61 stable than in eukaryotes due to selection for reduced genome size (Lynch 2006), HGT occurs
62 at very high rates, presenting many opportunities for the functional integration of insertions.

63 One the one hand, there is ample evidence that HGT has played an important role in
64 virus evolution (Monroe and Schlesinger 1983; Filée 2009; Tatineni et al. 2011; Song et al.
65 2013; Carter et al. 2013; Krupovic and Koonin 2014). On the other hand, viral genomes are
66 highly compact, and genome size appears to be under strong selection (Lynch 2006; Belshaw
67 et al. 2007; Belshaw et al. 2008). Unlike higher organisms, genomic insertions will be rapidly
68 purged, and unlike bacteria, which may have mobile genetic elements or natural competence,

69 genomic insertions will not occur at very high rates. Strong selection for smaller genome size
70 means that genomic insertions that do not confer some immediate beneficial function will be
71 rapidly lost, and consequently there is very little evolutionary time for the functional integration
72 of these sequences. This raises the conundrum of how viruses can have considerable HGT
73 and highly compact genomes at the same time (Zwart et al. 2014). Under what conditions can
74 a virus hold on to a novel sequence long enough to allow its functional integration into the
75 genome? This question is interesting from a basic perspective, but also has an applied
76 dimension. Engineered viruses are powerful biotechnological tools for heterologous gene
77 expression, but their application can be hindered by genomic instability (Chung et al. 2007;
78 Majer et al. 2013). Not only do cassettes for the expression of heterologous genes often prove
79 to be unstable, but many viruses have a propensity to rapidly generate defective interfering
80 viruses (*i.e.*, shorter versions of the genome lacking most of the coding sequences and only
81 retaining regulatory sequences necessary to bind the replicase; they have a replicative
82 advantage by virtue of being shorter) under cell culture or bioreactor conditions (Pijlman et al.
83 2001; Frensing 2015).

84 Whether organismal evolution can be predicted has become an important topic in
85 evolutionary biology (De Visser and Krug 2014; Lässig et al. 2017). This trend has been driven
86 by a desire to test the limits of our understanding, but also because evolutionary predictability
87 has relevance to real-world problems such as the evolution of antibiotic resistance (Schenk
88 and De Visser 2013) and mismatches between flu vaccines and circulating flu strains (Luksza
89 and Lässig 2014). Given that the stability of genomic inserts is relevant to understanding the
90 scope for HGT in viruses, and has practical biotechnological applications, here we set out to
91 identify factors that predict the stability of heterologous genomic insertions or homologous
92 duplications.

93 What are the key factors that affect the stability of insertions in virus genomes? From
94 first principles, we identify three main areas likely to govern the processes of the loss or
95 integration of inserted sequences. First, three factors probably will determine the stability of

96 an insertion: the mutational supply of deletions that (partially) remove the insertion, the fitness
97 effects of the insertion and the demography of a virus population, which will determine the
98 strength with which mutation and selection can act (Zwart et al. 2014). Second, some viruses
99 have high mutation and recombination rates (Tromas and Elena 2010; Tromas et al. 2014b),
100 but their position in sequence space can afford a degree of mutational robustness, that is, the
101 constancy of a phenotype in the presence of mutations (Montville et al. 2005; Lauring et al.
102 2012; Moratorio et al. 2017). If an insertion into a viral genome generates genetic or functional
103 redundancy, then this increased mutational robustness might be an evolutionary benefit (Crow
104 and Wagner 2006). Mutational robustness conferred by functional redundancy would have an
105 effect on the topography of the underlying fitness landscape, more precisely reducing its
106 ruggedness and creating regions of high neutrality, in which mutations will not affect fitness
107 (De Visser et al. 2003). However, these benefits may not be reflected in fitness measurements
108 obtained from short competition experiments. Third, for sequences that potentially might be
109 functionally integrated, we must also include the mutation supply and distribution of mutational
110 effects for beneficial mutations dependent on the presence of the insertion.

111 Using this framework, here we attempt to better understand the fate of genomic
112 insertions in the genome of *Tobacco etch virus* (TEV; genus *Potyvirus*, family *Potyviridae*), a
113 plant RNA virus that we have developed as a model system for studying RNA virus evolution.
114 First, we combine the data from a large body of experimental work to identify empirically key
115 factors that help predict the stability of inserts. In previous work, we studied the evolutionary
116 fate of a range of insertions of heterologous sequences (Zwart et al. 2014; Willemsen et al.
117 2017) and homologous duplications (Willemsen et al. 2016a; Willemsen et al. 2016b), as well
118 as studying the fate of a virus gene made genetically redundant through its transgenic insertion
119 into the host genome (Tromas et al. 2014a). Here we use all these datasets to quantify
120 insertion stability, make estimates of parameters not on hand, and identify which factors best
121 predict stability of insertions. Second, although genetic or functional redundancy in principle
122 could have benefits for a virus, in practice this has not been shown whilst gene duplications

123 often have a high fitness cost (Willemsen et al. 2016a). We therefore studied experimentally
124 the effects of increased mutagenesis on viruses with insertions, to test the hypothesis that
125 these insertions may lead to increased mutational robustness.

126

127

128 **Materials and methods**

129

130 **Short summary of the experiments reviewed in this study**

131

132 As mentioned above, in previous studies we generated a number of artificial TEV genomes
133 carrying insertions of heterologous sequences from different origins (the *AlkB* domain from
134 *Nicotiana tabacum* involved in correcting alkylation damages in nucleic acids, the *2b* gene from
135 cucumber mosaic cucumovirus which is a suppressor of RNA silencing (VSR), and the *eGFP*
136 a Green Fluorescent Protein) (Zwart et al. 2014; Willemsen et al. 2017), and homologous
137 duplications of TEV genes (*HC-Pro*, *NIa-Pro*, *NIb*, and *CP*) (Willemsen et al. 2016a; Willemsen
138 et al. 2016b). Besides studying the fate of a virus gene after insertion, the fate of the replicase
139 *NIb* was also explored when it is genetically redundant through its transgenic insertion into the
140 host genome (Tomas et al. 2014a). In all cases, engineered genomes were evolved by serial
141 passages in *N. tabacum* L. var Xanthi NN, with at least five independent evolutionary lineages.
142 In Tomas et al. (2014a) passages were done in transgenic *N. tabacum* 35S::*NIb* plants
143 expressing the viral replicase gene. In all cases, at each passage, the viral population within
144 each plant was sampled, conveniently diluted and used to inoculate the next batch of plants.
145 In most studies two different demographic treatments were used by allowing infected plants to
146 growth either for three or nine weeks post inoculation (therefore a different number of
147 generations exist between transfers). At the end of the experimental evolution phase, usually
148 consisted of 27 weeks (three 9-week passages or nine 3-week passages), the evolved viral
149 populations were phenotypically (viral load, relative fitness, infectivity and virulence) and

150 genetically (Illumina NGS study of genetic variability within each evolved lineage)
151 characterized.

152

153 **Estimation of median time to deletion (TD_{50}) of the inserted sequences**

154

155 To estimate the median time to deletion of the inserted sequences (TD_{50}) present in the
156 different viruses, we first performed Kaplan-Meier survival analysis in SPSS 24.0 (IBM, Armonk
157 NY, USA) and R 3.4.3 (R Core Team 2016) package survival. “Surviving” populations are
158 those populations in which the intact insert can still be detected by RT-PCR assays (*i.e.*,
159 deletion mutants can also be present, but have not gone to fixation yet). The log-rank test was
160 used to assess for differences in survival between viruses at a given passage durations (3 or
161 9 weeks). Since the experiment with the wild-type virus in transgenic *N. tabacum* 35S::*Nilb*
162 plants was only run for four 3-week passages, and not a single lineage had fixed a deletion
163 variant (Tromas et al. 2014a), these data were excluded from all formal analysis of insert
164 survival. However, they are presented in the figures for comparison. Given the central
165 importance of insertion stability in this study, we verified our TD_{50} estimates using a different
166 modeling approach (see section 1 in Supplementary Material).

167

168 **Estimation of recombination rates for deletion of the insert**

169

170 To estimate recombination rates (*i.e.*, the rate at which viable deletions removing the insert
171 occur), a previously described approach was used (Willemsen *et al.*, 2016a). Briefly, two
172 coupled ordinary differential equations describing virus replication and recombination were
173 used to predict the number of viruses with an intact and deleted insert during replication within
174 a host (see section 2 in Supplementary Material). Stochastic bottlenecks at the start of each
175 infection (*i.e.*, passage) were modeled by assuming the number of virus founders follows a
176 negative binomial distribution over plants, and the distribution parameters were obtained from

177 empirical data. The model incorporates the effects of insert deletion on fitness by considering
178 the difference in within-host competitive fitness (W ; see section 3 in Supplementary Material)
179 measured for the virus with insertion vs the wild-type virus with no insert. The only parameter
180 that needs to be estimated is then the recombination rate, which has been done by evaluating
181 the model for a wide range of recombination rate values. We used bootstrapping to obtain the
182 95% confidence interval for recombination rate estimates. Although the experiment with the
183 wild-type virus in *N. tabacum* 35S::*NIb* transgenic plants was only run for four 3-week passage
184 (Tomas *et al.*, 2014a), deletions were detected in the fourth passage and we can therefore
185 make estimates of the recombination rate, albeit on a more limited data set. In this particular
186 experiment, Illumina sequencing was used instead of RT-PCR to detect deletion variants.
187 Since the sensitivity of the Illumina-based method is probably much higher than RT-PCR, we
188 only considered lineages with deletion variants present at frequencies > 0.1 as being mixed
189 populations composed of both intact ancestral virus and deletion variants.

190

191 **TEV mutagenesis**

192

193 N_2O causes oxidative deamination of particular bases, which in some cases results in base
194 pair changes. Thus, A and C are converted to hypoxanthine and U, respectively, thereby
195 modifying the corresponding base pairs from AU \rightarrow GC and CG \rightarrow UA. Therefore, the mutagenic
196 effect of N_2O does not require subsequent DNA synthesis. N_2O is very unstable, so it must be
197 generated just prior to use by reducing the pH of a solution of $NaNO_3$. Protonation of nitrite
198 will result in production of N_2O . For control of pH, a solution of sodium acetate (0.5 M, pH 5.4)
199 was used. The mutagenic effect will depend on the pH and the temperature.

200 We have optimized a procedure for TEV mutagenesis with N_2O . Infected plant material
201 was ground in liquid nitrogen and then homogenized with sterile water at a ratio of 1:1 (w:v).
202 Equal volumes of 2 M $NaNO_3$ and sodium acetate were mixed, and immediately one volume

203 of the infected plant extract was added and incubated at 26 °C for the indicated times. A
204 control reaction was prepared and run in parallel replacing NaNO₃ by water. The mutagenic
205 reaction was stopped by adding potassium phosphate buffer (50 mM, pH 7, 3% PEG).

206

207 **Evaluating mutational robustness**

208

209 For this study, we evaluated mutational robustness on a particularly relevant phenotypic trait;
210 infectivity. Virus preparations were treated with N₂O, as described above, using incremental
211 incubation times, t (1 – 3.5 h). The infectivity of the mutagenized viruses was evaluated by
212 inoculating 20 *N. tabacum* plants per incubation time. The same number of plants was also
213 inoculated with control non-mutagenized viruses of the same genotype in the same
214 experimental block. The frequency of infected plants was recorded 15 days post-inoculation
215 (dpi). Infectivity vs. mutagenic dose (measured as incubation time t) curves were compared
216 between pairs of treated and control genotypes. Mutational robustness in infectivity was
217 computed as the ratio of the area under the treatment infectivity curve $T(t)$ to the area under
218 the control infectivity curve $C(t)$: $R = \int T(t)dt / \int C(t)dt$. The rationale for this measure is as
219 follows: at the extreme case of a maximally robust virus, its infectivity will be not affected by
220 the mutagenic treatment, both areas will be identical and $R_{max} = 1$. At the other extreme, for a
221 maximally sensitive virus, its infectivity will always take value zero at all mutagenic dosages
222 and thus $R_{min} = 0$.

223

224

225 **Results**

226

227 To consider the fate of sequences inserted in virus genomes, here we reanalyzed data sets
228 from different experiments employing TEV clones with different genome modifications (Fig.

229 1A). These modifications included tandem duplication of genes, non-tandem duplications and
230 heterologous inserts. The genes involved in these experiments can be classified as functional
231 if they may provide a new function to the virus (genes encoding for *2b* and *AlkB*), redundant
232 with an already existing gene (*HC-Pro*, *Nla-Pro*, *Nlb*, and *CP*), or as non-functional (*eGFP*)
233 (Fig. 1A). For all viruses generated the termini of the inserted/duplicated gene were adjusted
234 allowing for proper cleavage after translation; in other words, additional gene products would
235 be expressed as independent proteins and not as fused to other viral proteins. Even though
236 we have no experimental evidence of how the polyproteins of the generated viruses are
237 processed, we speculate that the disruption of genome organization within the TEV genome
238 could lead to differences in efficiency of cleavage at the proteolytic sites. For all these
239 experiments, experimental evolution was carried out for a total evolutionary time of 27 weeks,
240 using both nine 3-week passages or three 9-week passages as different treatments.
241 Subsequent detection of deletion variants and fitness measurements were also done with
242 identical methods (Zwart et al. 2014; Willemsen et al. 2016a; Willemsen et al. 2016b;
243 Willemsen et al. 2017). Furthermore, we considered another dataset in which the replicase
244 *Nlb* gene was expressed by transgenic plants (*N. tabacum* 35S::*Nlb*), effectively making the
245 endogenous copy redundant (Tromas et al. 2014a). In this experiment, only four 3-week
246 passages were performed, and an alternative method was used for detecting deletion variants.
247 We first reanalyzed all these data to consider what factors best account for the stability of
248 inserted sequences.

249

250 **Wide range of passage-duration-dependent insert stabilities**

251

252 As an estimator of the stability of inserted genes, we first estimated TD_{50} using Kaplan-Meier
253 survival analysis (Figs. 1B and 1C). This rendered a wide range of TD_{50} values and a
254 significant effect of virus insert (log-rank tests; 3-week passages: $\chi^2 = 53.3$, 6 d.f., $P < 0.001$;

255 9-week passages: $\chi^2 = 45.6$, 6 d.f., $P < 0.001$). Whereas some sequences appear to be
256 completely stable (e.g., *2b*), others were lost almost instantaneously (e.g., *Nlb* at position 1,
257 *HC-Pro*, *CP*). Tandem duplications of homologous genes (*HC-Pro* and *CP*) were highly
258 unstable, as would be anticipated given the large supply of recombinants removing the
259 duplication. Non-tandem duplications of homologous genes (*Nlb* at positions 1 and 2, *Nla-*
260 *Pro*) showed highly variable outcomes, ranging from being highly unstable (*Nlb* at position 1)
261 to moderately stable (*Nla-Pro* at position 2). Heterologous genes (*AlkB*, *eGFP* and *2b*) did not
262 appear to be more unstable than homologous genes. Moreover, the cucumovirus VSR *2b* was
263 much more stable than the other two heterologous inserts (Fig. 1B). Therefore, providing
264 functional redundancy apparently does not predict long-term stability. Duplicating TEV VSR
265 *HC-Pro* resulted in a highly unstable genome which readily removed the additional gene copy.
266 By contrast, the cucumovirus VSR *2b* was retained during all the evolution experiments (Fig.
267 1B). Although the total evolutionary time was the same for both passage durations (27 weeks),
268 the inserts were clearly lost faster during the longer-duration passaging treatment (log-rank
269 test: $\chi^2 = 9.9$, 1 d.f., $P = 0.002$), highlighting the generality of demographic effects on insertions
270 (Zwart et al. 2014). In other words, for longer passages selection would be a more important
271 factor than drift whereas the balance would be the opposite for shorter passages, when
272 selection has less time to act between the periodic bottlenecks inherent to passaging. We
273 cross-validated these results with an alternative approach for estimating the time until deletion
274 of the transgene (see Supplementary Information Online and Fig. S1). As the results were
275 similar (Fig. S2) we choose to use the Kaplan-Meier estimator for all subsequent analysis.

276

277 **High variation in recombination rate estimates**

278

279 We estimated the rate at which viable deletions removing –partially or completely– the insert
280 occurred, using a simulation model. We subsequently refer to this estimated parameter as the

281 recombination rate. We estimated the recombination rates separately for the 3-week and 9-
282 week passage data. The two estimates were compatible for all viruses (Fig. S3), lending
283 credence to the recombination rate estimates for the combined data. As we previously found
284 for median survival times, we again found high diversity in the recombination rates (Fig. 1D).
285 The simulation model used to make these estimates takes the fitness cost of the insert (Fig.
286 1E) into account, and the model was fitted by predicting what number of lineages had no
287 detectable deletions, a mixture of full-length ancestral viruses and deletions, and no detectable
288 full-length virus (Willemsen et al. 2016a). These estimates rely in part on the insert survival
289 data for model fitting, but incorporate more information and a complex underlying model.
290 Nevertheless, recombination rates appear to be highest for the viruses with the shortest TD_{50}
291 (compare Figs. 1B and 1C with 1D), which suggests that recombination rates rather than
292 fitness effects are the key determinant of insert stability. Both duplications and heterologous
293 inserts have high and low recombination rates, although the homologous duplications at least
294 never have a low rate. We could not estimate the recombination rate for TEV-2CP, but the
295 very high instability of this virus which precludes such estimates suggests this rate is extremely
296 high, as for TEV-2HC-Pro. Interestingly, we also noted that the estimated recombination rate
297 was high for the wild-type virus when passaged in plants expressing the viral *Nlb* gene.
298 Although differences in patterns of plant-mediated *Nlb* expression will undoubtedly influence
299 the result, this observation suggests that the virus sequence is not optimized to avoid such
300 genomic deletions, which would normally be lethal.

301

302 **Recombination rate is the best predictor of insertion stability**

303

304 To test which of our measurements was the best predictor of insertion stability, we considered
305 the Spearman rank correlations between all the factors (insert length, cloning site, whether the
306 insert is homologous or heterologous, competitive within-host fitness, and recombination rate)
307 and the TD_{50} values for the 3- and 9-week passages (Table S2). We only found a significant

308 correlation between recombination rate and the 3-week-passage TD_{50} values, although 9-
309 week-passage TD_{50} values had a stronger correlation with recombination rates than with any
310 of the other factors (notice the correlation was significant before adjusting the significance level
311 to multiple tests with Holm-Bonferroni's method). The analysis on this larger dataset therefore
312 suggests that recombination rates, rather than the fitness effects of insertions (Willemsen et
313 al. 2016a), appear to be the best predictors of stability. We again stress that the relationship
314 between TD_{50} and recombination rate is complex (section 4 in Supplementary material).

315 It might be argued that the above pairwise association analyses between factors
316 affecting insert stability would fail to detect the indirect effect of some factors when in
317 combination with other factors. To address this possibility, we fitted the data in Table S2 to
318 multiple regression models using a backward removal method. TD_{50} and the length of the
319 insert were used as dependent variables and the length of the insert, and the cloning site,
320 whether the insert was homologous or heterologous, the competitive within-host fitness, and
321 the recombination rate were incorporated as predicting factors into the models. When
322 analyzing the data for the 3-weeks passages, we found that the only predictors that were
323 retained in the model are the recombination rate and the insert type (*i.e.*, whether it was
324 homologous or heterologous) ($R^2 = 0.835$, $F_{2,4} = 10.092$, $P = 0.027$). Indeed, the amount of
325 collinearity between these two factors was assessed by means of the variance inflation factor
326 (*VIF*), which took a value of 1.281. *VIF* values close to 1 indicate independent orthogonal
327 factors, thus here we can conclude that in addition to the effect of recombination on stability
328 found by the correlation analyses above, the insert type also had an effect on stability that was
329 independent from recombination. When analyzing the data for the 9-weeks passages, the only
330 predictor that remained significant was the insert type ($R^2 = 0.837$, $F_{1,5} = 25.633$, $P = 0.004$).

331

332 **Cloning site and the nature of insert are the major determinants of deletion precision**

333

334 Recombination rate was found to be the best predictor of insertion stability, and we therefore

335 considered in detail where deletions occurred to determine whether there were any trends that
336 might help explain differences in estimated recombination rates. We explored the differences
337 in the exact site in which recombination takes place at the 5' and 3' ends of the insertions.
338 Though deletions were sometimes clean –especially in the case of tandem duplications of *HC-*
339 *Pro* and *CP-* in most cases they left a scar in the viral genome. In some cases, deletions
340 included a number of nucleotides from the 5' upstream end (negative values in Fig. 2A), in
341 other cases a number of nucleotides from the insert itself were left behind (positive values in
342 Fig. 2A). Likewise, fragments of the insert were retained or nucleotides downstream were
343 removed from the 3' end side (Fig. 2A; now signs inverted). We fitted the length of the deletion
344 data to a GLM model with *insert type* and *duration of passages* as orthogonal factors. Data
345 for the 5' and 3' ends were fitted independently. Only *insert type* had a significant effect on the
346 length of the deletion (LRT: $\chi^2 = 40.3$, 5 d.f., $P < 0.001$ for the 5' end; $\chi^2 = 29.8$, 5 d.f., $P <$
347 0.001 for the 3' end). In the case of the 3' end, in addition, a significant interaction *insert type-*
348 *by-duration of passages* was observed ($\chi^2 = 9.7$, 3 d.f., $P = 0.022$), with longer deletions
349 accumulating during long passages.

350 To explore whether the exact genomic site in which the insertions were introduced had
351 an effect on stability, we analyzed the length of deletion data, now using *cloning site* as a
352 factor. Fig. 2B shows the data for the 5' and 3' ends of the inserts. For both datasets,
353 significant differences were observed between cloning sites (Kruskal-Wallis tests: $\chi^2 = 23.1$, 2
354 d.f., $P < 0.001$ for the 5' end; $\chi^2 = 10.4$, 2 d.f., $P = 0.006$ for the 3' end), with the length of the
355 endogenous viral sequences removed being shorter in the 5'UTR/*P1* site and longer in the
356 *HC-Pro/P3* site (Fig. 2B). A GLM model with *cloning site* and *insert type* as orthogonal factors
357 rendered identical conclusions: significant differences among cloning sites (LRT: $\chi^2 = 19.5$, 2
358 d.f., $P < 0.001$ for 5' end; $\chi^2 = 13.8$, 2 d.f., $P < 0.001$ for 3' end) and among insert types within
359 each cloning site ($\chi^2 = 26.7$, 3 d.f., $P < 0.001$ for 5' end; $\chi^2 = 17.7$, 3 d.f., $P = 0.001$ for 5' end).
360 Interestingly, in the 5'UTR/*P1* cloning site, 5' deletions on average removed 26.5 ± 12.7 (± 1

361 SD) nucleotides from the 5'UTR but retained an average of 44.5 ± 29.4 nucleotides at the 3'
362 end. The *P1/HC-Pro* cloning site, on average, removed 27.0 ± 12.1 nucleotides within the 5'
363 end of the *HC-Pro* cistron but retained an average of 12.6 ± 28.1 nucleotides of *HC-Pro* in the
364 3' end. Finally, in the *HC-Pro/P3* cloning site, deletions retained an average of 42.9 ± 6.9
365 nucleotides from the insert at the 5' end side and removed an average of 72.6 ± 16.1
366 nucleotides of the *P3* gene at the 3' end of the deletion.

367 Finally, we sought to determine whether the length of the scar left in the viral genome at
368 the 5' end served as predictor of the length of the scar left at the 3' end. To do so, we
369 computed a partial correlation coefficient, controlling for *insert type*, between the length of the
370 scars at both sides for each case. A significant correlation was found ($r = 0.449$, 56 d.f., $P <$
371 0.001), suggesting that stability depends both on the cloning site, the insert and the viral
372 sequence at both sides of the cloning site. Detailed analyses of the viral sequences around
373 the cloning sites provided no clue about why some are more recombinogenic than others. For
374 example, those sites more prone to recombination did not appear to be AU richer, that may
375 facilitate the slippage of the NIb replicase during replication (Kim and Kao 2001; Shapka and
376 Nagy 2004;). Likewise, no RNA structural elements were predicted nearby the cloning sites,
377 that may justify the NIb to stop and then facilitate a template switching during replication. Only
378 the 5'UTR was AU rich and contained some stem-loop structures. Therefore, we cannot
379 propose a mechanistic explanation for the differences in recombination rates across cloning
380 sites.

381

382 **Functional and nonfunctional insertions lead to decreased mutational robustness**

383

384 We hypothesized that genetic redundancy may result in an increase in mutational robustness.
385 To test this hypothesis, we evaluated mutational robustness for a number of genotypes that
386 differ in size (from the 9.4 kb of wild-type TEV to the 11.0 kb of TEV-2NIb2). As a proxy for

387 fitness, we used infectivity, that is, the number of plants infected after a given number of dpi.
388 The raw infectivity data, as a function of the intensity of the mutagenic treatment are shown in
389 Fig. S4. These data were transformed into a measure of mutational robustness as described
390 in the corresponding section of Materials and Methods and are shown in Fig. 3. A negative
391 yet not significant correlation between genome length and robustness was found (Fig. 3;
392 Spearman's $r_S = -0.700$, 3 d.f., $P = 0.188$; solid line). However, noticing that TEV-AlkB
393 behaved as an outlier, and removing it from the test, the correlation became highly significant
394 (Fig. 3; Spearman's $r_S = -1$, 2 d.f., $P < 0.001$; dashed line). Therefore, despite our small sample
395 size, these results suggest that recombinant genomes are more fragile and sensitive to the
396 effect of mutations than the wild-type TEV genome by the virtue of being longer. Furthermore,
397 this increased fragility is not compensated by genetic redundancy; notice that TEV-2N1b2 is
398 indeed the longest and most mutationally fragile of all the genotypes despite having two copies
399 of *N1b*.

400

401

402 **Discussion**

403

404 We set out to determine the best predictor of the evolutionary stability of insertions into the
405 TEV genome, using a large body of experimental work in a standardized setup. Similar to
406 previous analyses on smaller datasets, we found that insertion stability was strongly dependent
407 on passage duration (Dolja et al. 1993; Zwart et al. 2014; Willemsen et al. 2016b). The
408 simplest explanation for passage-duration-dependent effects on stability is suggested by the
409 model we used for estimating recombination rates (Willemsen et al. 2016a), which can largely
410 account for the differences between the short (3-week) and long (9-week) passages. Under
411 this model recombination is deterministic, meaning that deletion variants will arise in every
412 virus population. However, if their frequencies are low enough at the end of infection (smaller

413 than the inverse of the effective inoculum size), these deletion variants are unlikely to be
414 sampled during the genetic bottlenecks that occur at the beginning of infection in each new
415 passage. Consequently, the genomic integrity of the population is reset in every passage, and
416 passage length can have a marked effect on insertion stability, depending on the fitness
417 consequences of the insertion and recombination rates. We previously reported a wide range
418 of estimated recombination rates for viruses with duplications of viral genes (Willemsen et al.
419 2016a). Here we find such a wide variation for a broader insert diversity, including
420 heterologous inserts. Strikingly, we also estimated a high recombination rate for the wild-type
421 TEV virus passaged in transgenic plants expressing the viral *NIb* gene. This estimate suggests
422 that the TEV genome is not optimized to avoid such deletions, and that the recombination
423 supply of virus variants with –what would normally speaking be lethal genomic deletions– could
424 be appreciable.

425 Recombination rate was the factor that best accounted for insertion stability, having a
426 high correlation with TD_{50} for the 3-week passage data ($r_s = -0.889$, 6 d.f., $P < 0.003$; Table
427 S2). This result helps to understand the stability of the TEV genome, and informs the design
428 of stable recombinant viruses by suggesting design priorities. However, it also raises a number
429 conundrums. First, unlike the other factors we considered for predicting viral stability,
430 estimation of the recombination rate with our approach required actual evolution experiments.
431 Such measurements are therefore based entirely on empirical observation, meaning they are
432 probably too laborious to offer benefits in real-world situations and too phenomenological to
433 cast much light on the underlying mechanisms. Second, a detailed examination of the exact
434 position of deletions also did not yield much insight into the sequence determinants of
435 deletions. Both the position of insertion and the identity of the inserted sequence had
436 significant effects on the exact coordinates of the observed deletions, but such context-
437 dependence would be expected. In this situation, the most likely mechanism to generate
438 shorter genomes should be replicase-driven template switching (Nagy and Simon 1997). In
439 this situation, the low processivity of the *NIb* replicase forces it to release from the template

440 RNA being replicated and attach to a new template. The nascent RNA will then be a mosaic
441 from the two different templates (Nagy and Simon 1997). If, by chance the nascent RNA
442 retains the reading frame, it will be viable. This is the case for all the recombinants we have
443 observed that are not restoring the TEV wild-type sequence (Fig. 2A) but are still viable.
444 Tandem duplications of viral genes lead to highly unstable insertions, far less stable than non-
445 tandem duplications. In this situation, we hypothesize that sequence identity between the two
446 tandem copies will promote homologous recombination (Nagy and Simon 1997) at a high rate.

447 We had anticipated that insertions in the virus genome might have evolutionary benefits,
448 by increasing mutational robustness if they coded functional sequences that result in functional
449 redundancy. Theoretically, redundancy may contribute to flattening off the typically rugged
450 fitness landscape of RNA viruses (Cervera et al. 2016), thus allowing for a more efficient
451 exploration of distant regions of the fitness landscape without the need of crossing fitness
452 valleys (van Nimwegen 2006). Surprisingly, our results suggest no such relationship exists,
453 and that functional or non-functional increases in the size of the coding genome decrease
454 mutational robustness even for the case of duplicated genes. What mechanism might explain
455 this unexpected observation? TEV encodes an autocatalytically processed polyprotein,
456 meaning that frameshifts or stop codons occurring in the principal reading frame will be lethal
457 mutations regardless what genes are downstream these mutations. Therefore, any
458 robustness-related benefits gained from gene duplications by means of functional redundancy
459 are probably strongly outweighed by the increased occurrence of lethal mutations. We had
460 already shown that all insertions have a fitness cost, but our results show that insertions also
461 incur further deleterious effects by reducing mutational robustness. However, we think this
462 result may be specific to viruses expressing polyproteins, where mutations can have global
463 and lethal effect. For other genome organizations (i.e., multiple ORFs) functional redundancy
464 in the genome might still bolster mutational robustness.

465 In terms of the adaptive dynamics of a fast-replicating and highly mutagenic organism
466 such as an RNA virus, the potential benefit of increasing the neutrality of the fitness landscape

467 by an increase in functional redundancy may not outweigh the cost in replication speed
468 associated to the increase in genome length (Belshaw et al. 2007, 2008). The great
469 evolvability of RNA viruses is owed to the combination of short generation times, large
470 population sizes, high mutation rates, and strong selection. These characteristics allow them
471 to efficiently explore rugged fitness landscapes, even escaping from the basin of attraction of
472 local adaptive peaks, without the need of drifting into extensive neutral regions. Nevertheless,
473 one could suggest that robustness by redundancy may confer an evolutionary advantage in
474 the small population size, weak selection regime, in which the majority of mutations fixed are
475 deleterious. Although this is not the situation for the evolutionary experiments here reviewed,
476 we think this possibility can be rejected because our mutational robustness measurements
477 show that all inserts appear to make the virus genome more brittle. We therefore think our
478 engineered TEV genomes containing functional redundancy will not have immediate or
479 secondary fitness benefits under any conditions.

480 Mutational bias can be a driver of evolution and its predictability (Stoltzfus and
481 McCandlish 2017). Whilst mutational biases can have different effects on genome-size
482 evolution, the large mutational supply of deletions –and not selection for reduced genome
483 size– generally drives the evolution of smaller genomes in bacteria (Bobay and Ochman 2017).
484 Given the high recombination rate for viruses such as TEV (Tomas et al 2014b), could a bias
485 towards deletions also be driving the evolution of smaller virus genomes? Although we have
486 only ever observed recombination events that maintain genome size or reduce it, we do not
487 think there is yet any evidence that mutational bias drives the evolution of smaller viral
488 genomes, although we certainly cannot rule it out. Give our setup, all of the deletions we
489 observe in our experiments have been filtered by natural selection, and moreover all of the
490 insertions but one (TEV-2b) significantly reduced viral fitness. Although there are setups for
491 measuring the rate of homologous recombination (Tomas et al. 2014b), the lack of an
492 approach in which recombinants are not under positive or negative selection makes it difficult
493 to infer recombinatorial biases in viruses.

494 Overall our results show there is no straightforward or first-principle-based manner to
495 make predictions on the stability of insertions in virus genomes, emphasizing the need for a
496 detailed and quantitative understanding of the molecular mechanisms that shape higher level
497 phenomena. For example, consider that for our dataset we did not find a significant inverse
498 relationship between insertion length and fitness ($r_S = -0.252$, 6 d.f., $P = 0.548$; Table S2). All
499 the insertions we have studied are in the viral ORF and TEV uses a polyprotein-based
500 expression strategy. All cistrons in the principal ORF therefore will be translated equimolarly,
501 and we would therefore expect the length of the insert to be an important determinant of
502 competitive fitness, although the gene products of different insertions will have different fitness
503 consequences. The lack of any such relationship stresses the complexity that even these
504 simple organisms possess, and the necessity of experimental and molecular underpinnings
505 for making biologically relevant predictions.

506

507

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513

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515 related experiments and analyzed data; J.L.C. performed the robustness experiments; S.F.E.
516 coordinated the project, contributed to the experimental design, data analysis and writing the
517 manuscript; M.P.Z. contributed to the experimental design, data analysis and writing the
518 manuscript.

519

520 **Conflict of interest.** The authors declare no conflict of interests.

521

522 **Data archiving.** The infectivity data (Fig. S4) used to evaluate the robustness of the different
523 TEV genotypes can be downloaded from LabArchives (doi: 10.6070/H4R49P8X). All other
524 data used in this study were previously published and archiving details are provided in the
525 original publications.

526

527

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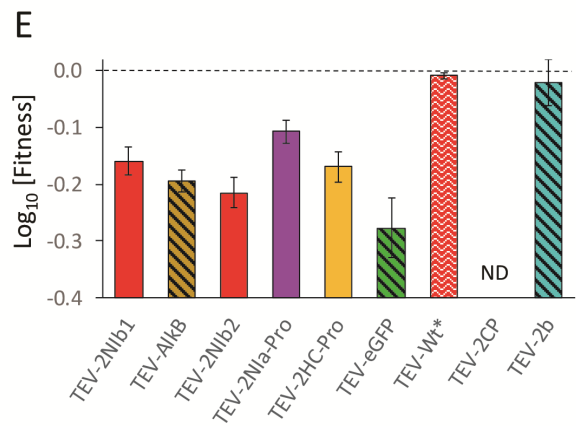
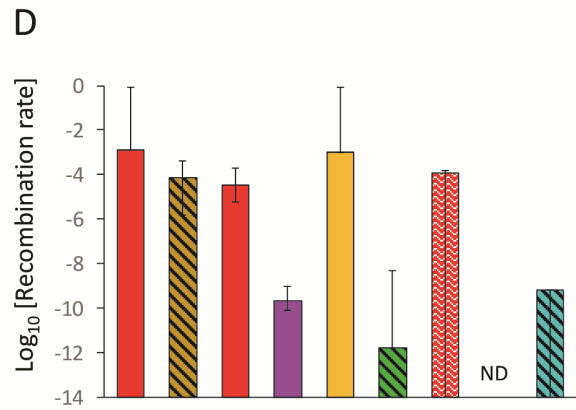
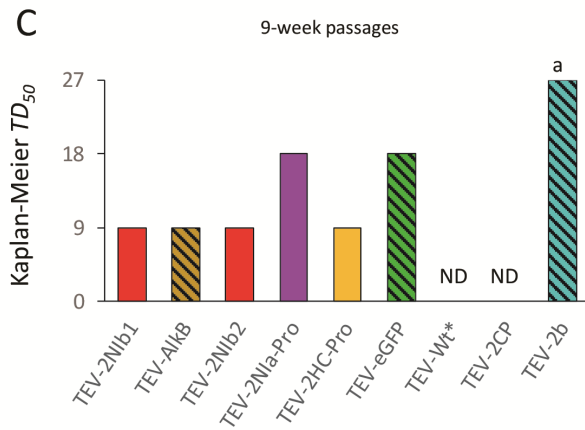
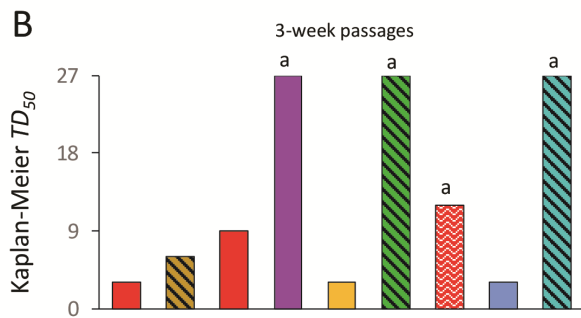
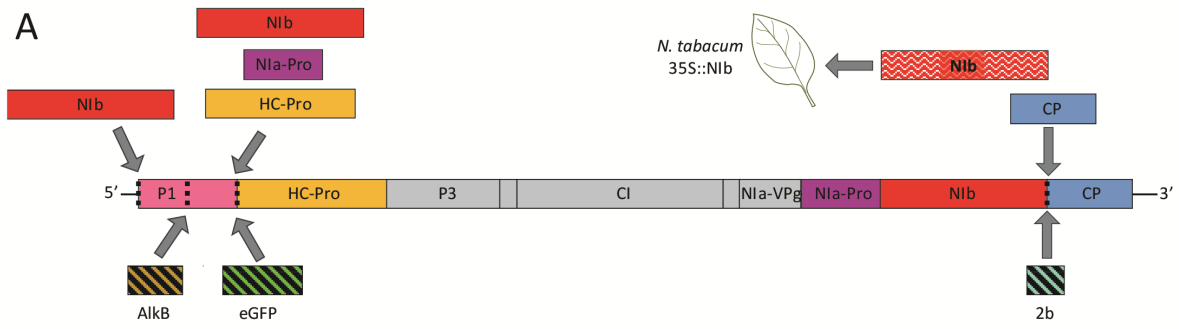
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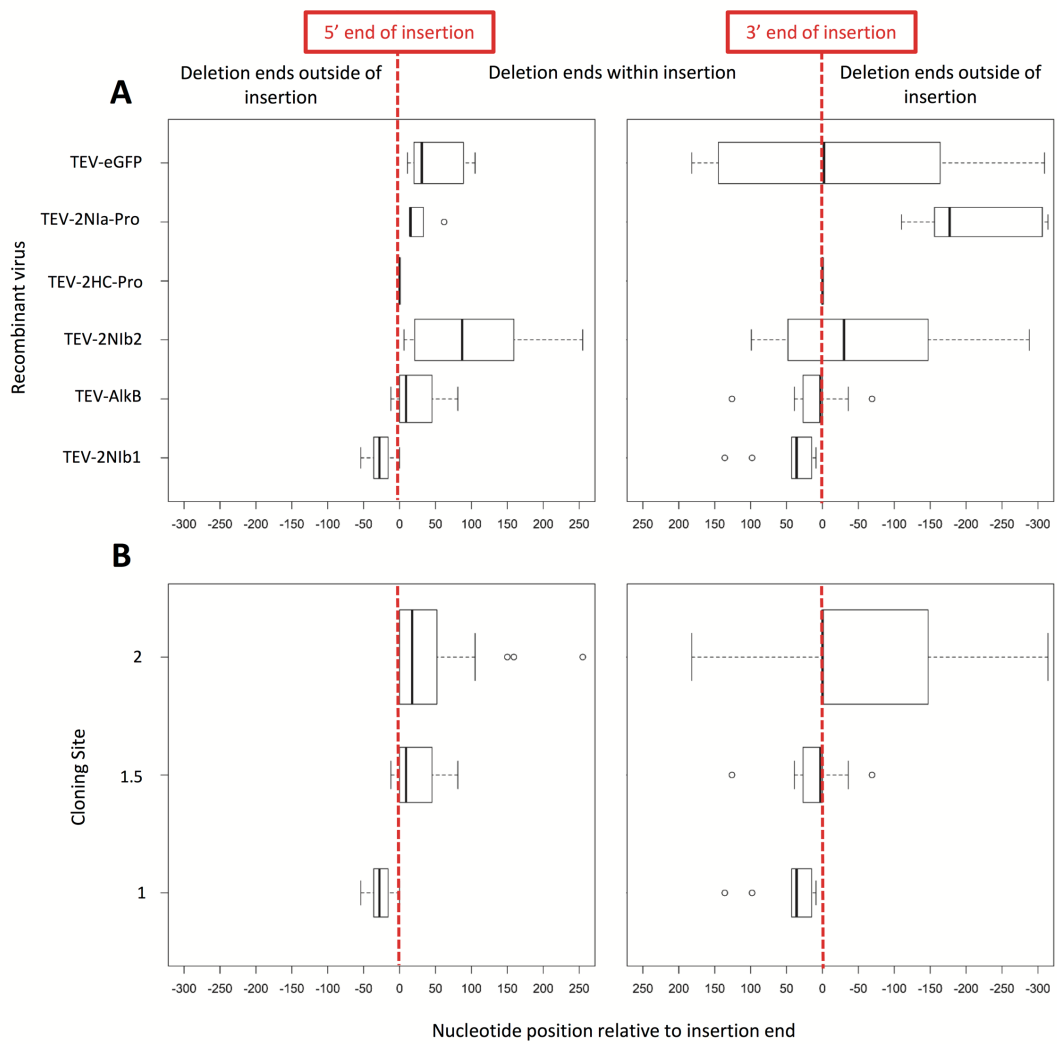
628 **Fig. 1.** (A) Overview of the different viruses with gene inserts is given. Note that the coloring
629 coding introduced in this panel is used consistently throughout the figure. Engineered
630 genomes contain only one of the indicated insertions or translocations. The insertion of the
631 virus *NIb* gene in the host genome makes this sequence redundant and leads to its loss, but
632 for these experiments a wild-type virus could be used. Hence this virus is referred to as TEV-
633 Wt* in the figure. (B) and (C) Kaplan-Meier estimator of median survival (TD_{50}) is given for the
634 3-week and 9-week passaging data, respectively. A letter “a” indicates the survival time cannot
635 be estimated because no or too few deletions had occurred when the experiment was stopped
636 to allow for an TD_{50} estimate. (D) Estimated recombination rates and their 95% fiducial
637 intervals, as estimated by bootstrapping. Recombination rate here means the rate at which
638 deletions removing the insert wholly or partially, and resulting in a viable virus, occur. When
639 the lower fiducial limit for the recombination rate extends to zero, the error bar extends to a
640 value of -14 . (E) Previous estimates of the competitive within host fitness for these viruses,
641 relative to the wild-type TEV virus. Errors bars represent the standard error of the mean (with
642 $5 \leq n \leq 10$, depending on each particular case). Note that the replicase NIb was expressed by
643 transgenic plants in the case of the TEV-Wt* virus, and only four 3-week passages were
644 performed. No recombination rate and fitness data (ND) were available for the TEV-2CP virus,
645 given its extremely high instability. The column labels at the bottom of the figure correspond
646 to both panels B and C or panels D and E.



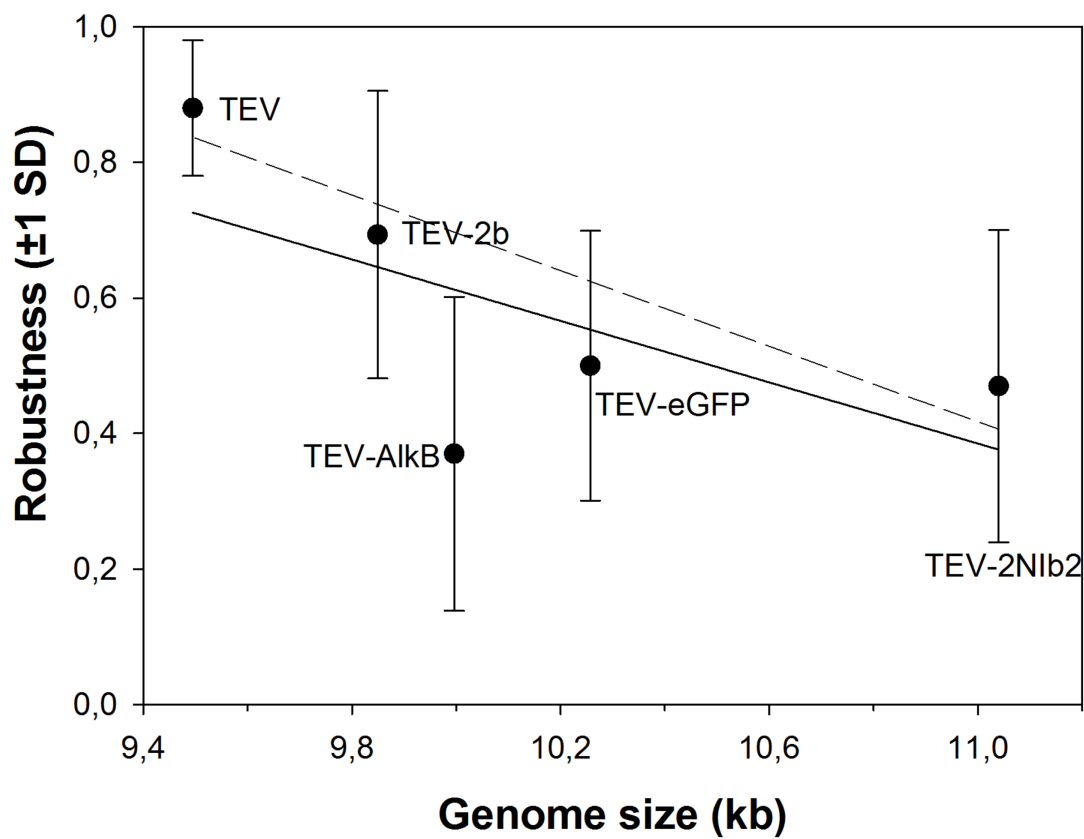
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649 **Fig. 2.** (A) Distribution of the length of the scars left by recombination events that resulted in
650 removing the inserted genes. The vertical red lines indicate the exact position in which the
651 genes were inserted (5' and 3', respectively). The figure illustrates how deletions in some
652 cases included sequences outside the insert. (B) Distribution of length of the scars for the
653 different insertion sites. TEV-2N1b1 is inserted at cloning site 1, TEV-AlkB at cloning site 1.5,
654 and TEV-2N1b2, TEV-2HC-Pro, TEV-2N1a-Pro and TEV-eGFP at cloning site 2. In all cases,
655 the dark line represents the mean, boxes represent the interquartile range, the whiskers extend
656 to the most extreme data point which is no more than range times the interquartile range, and
657 widths are proportional to the square-roots of the number of observations in the group.



659 **Fig. 3.** Relationship between mutational robustness and the length of some of the different
660 TEV clones used in this study. Robustness was calculated as indicated in Material and
661 Methods using the infectivity data shown in Fig. S4. The solid line is included only to illustrate
662 the negative trend. The dashed line is also included to illustrate the negative trend after
663 removing the data from TEV-AIkB.



664