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Zubelzu, S.; Rodríguez Sinobas, L.; Andrés Doménech, I.; Castillo-Rodríguez, J.; Perales Momparler, S. (2019). Design of water reuse storage facilities in Sustainable Urban Drainage Systems from a volumetric water balance perspective. *The Science of The Total Environment*. 663:133-143. <https://doi.org/10.1016/j.scitotenv.2019.01.342>



The final publication is available at

<https://doi.org/10.1016/j.scitotenv.2019.01.342>

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1 Design of water reuse storage facilities in Sustainable Urban Drainage Systems from a
2 volumetric water balance perspective

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Volumetric water balance is proposed to analyze urban catchments.

The minimum of a cost function based on the water balance is proposed.

The minimum cost determines the optimum design of Sustainable Urban Drainage Systems.

Several urban catchments are studied with the proposed methodology.

1 Abstract

2 This paper presents a methodology for designing water reuse storage facilities as part of
3 Sustainable Urban Drainage Systems (SUDS) in urban catchments. The method analyzes the
4 whole water balance of the catchment. The contributions to the balance are irrigation and
5 precipitation; the outlets are evapotranspiration, seepage and discharge to the conventional
6 sewage system. The internal system variations are the volume of water to be locally reutilized
7 and the soil water content variation. A cost function that includes the costs of irrigation,
8 discharge to the conventional sewer system and reuse of water locally is proposed to estimate
9 the optimum volume of water to be reused. This approach for SUDS design goes beyond
10 traditional events-based perspectives oriented to damage prevention. This method conceives
11 stormwater as a resource and seeks its optimal use through the design of SUDS. Several types of
12 urban catchments were studied, and the results show that the proposed methodology can be
13 applied either for simulating SUDS behavior in urban catchments or for estimating the optimum
14 volume of water to be locally reused.

15 Keywords

16 Stormwater management; Surface runoff; Water reuse; Low impact development; Urban
17 catchment.

18 1 Introduction

19 Urban development results in an increase in impervious zones that influence the hydrology of
20 urban basins. The infiltration and evapotranspiration decrease, and runoff increases (Rodríguez-
21 Sinobas et al., 2018). The runoff peak flow and the risk of pluvial flooding increases, and the
22 runoff volume conveyed to the receiving water bodies also increases (Ahiablame and Shakya,
23 2016). The concentration time (Lim and Lu, 2016) and the groundwater recharge (Ogden et al.,
24 2011; Ursino, 2015) decrease, and contamination is favored (Morales-Torres et al., 2016). These
25 effects will continue to increase in view of the projections of future climate change (Pike et al.,
26 2011).

27 Stormwater management in cities has traditionally been based on centralized systems that seek
28 to evacuate runoff as quickly as possible through drainage networks that collect and convey the
29 runoff to the final destination (Kong et al., 2017).

30 In contrast, in recent years, a different stormwater management approach, the so-called
31 sustainable urban drainage management, has become more common (Sustainable Urban
32 Drainage System, SUDS, by its terminology in the United Kingdom, or Low Impact
33 Development, LID, as they are known in the United States). This paradigm shift advocates for a
34 decentralized management (Wang et al., 2018) as close to the runoff source as possible (Chang
35 et al., 2018). SUDS aim to mimic the hydrology of the predevelopment state (Pappalardo et al.,
36 2017).

37 SUDS include different infrastructures oriented to decentralized storm water management, such
38 as green roofs, porous pavements, infiltration trenches or infiltration areas (Mguni et al., 2016,
39 Wang et al., 2017).

40 Some studies have analyzed the effectiveness of SUDS and have tested their feasibility for
41 efficient urban basin management (Ahiablame et al., 2013; Busrzta-Adamiak and Mrowiec,
42 2013; Dietz, 2007; Gregoire and Claussen, 2011; Hunt et al., 2006; Lee et al., 2013; Trinh and
43 Chui, 2013), identifying barriers to their implementation (Dhakal and Chevalier, 2017;
44 Loperfido et al., 2014; Rodríguez-Rojas et al., 2018; Zhang et al., 2012) or analyzing the future
45 evolution of SUDS (Zischg et al., 2019).

46 Conversely, few studies have focused on the development of tools or design criteria for SUDS.
47 Some public administrations, as shown in Ballard et al. (2015) or Rossman and Huber (2016),
48 have proposed design criteria, guidelines and recommendations. These references define the
49 characteristics of the design event and set up limitations to the maximum peak runoff flow and
50 runoff volume, among other variables. This type of events-based approach to SUDS design
51 ensures the correct operation of the SUDS for events that do not exceed the magnitudes of the
52 design event. This design proposal agrees with the management principles of conventional
53 drainage systems but is not aligned with the philosophy of SUDS.

54 The SUDS operating principles go beyond simple runoff management that is oriented to damage
55 prevention and incorporates concepts such as local reutilization and efficient use of resources.
56 SUDS must manage the water resources efficiently for a wide range of situations, and not only
57 for design events. Additionally, as a holistic approach, the design of SUDS must consider all of
58 the variables influencing the hydrological cycle and not only those that are related to the design
59 rainfall event.

60 Considering the above, the main objective of this paper is to propose a method for SUDS design
61 and analysis from a volumetric water balance perspective. Therefore, we propose: (1) to assess
62 the feasibility of the proposed method to satisfy the existing SUDS design standards, and (2) to
63 analyze the sensitivity of the main water balance variables to the installed SUDS characteristics.

64 2 Materials and Methods

65 2.1 General volumetric water balance

66 A volumetric water balance (eq. 1) is proposed to analyze urban catchments.

$$67 \quad R + I = ET_k + \Delta\theta + SUD + CUD + D \quad (1)$$

68 where R is the precipitation, I is the irrigation, ET_k is the crop evapotranspiration, CUD is the
69 water volume conveyed to the conventional sewer system, SUD is the water volume managed
70 by the SUDS, D is the seepage and $\Delta\theta$ is the soil water content variation.

71 The volume of water managed by the SUDS refers to a generic volume of water that is
72 susceptible to be stopped, stored and locally reutilized. It is the result of the implementation of
73 one or several SUDS.

74 The following criteria were defined to characterize each variable of the water balance:

75 1) For each type of land use within the catchment, precipitation (R) can be divided into runoff
76 (R_R) and infiltration (R_I) as follows (eqs. 2 and 3).

$$77 \quad R_R = \sum R \times c_i \quad (2)$$

$$78 \quad R_I = \sum R \times (1 - c_i) \quad (3)$$

79 2) Runoff coefficients (c_i) were estimated with the Soil Conservation System Curve Number
80 Method (Soil Conservation System, 1985).

81 3) The rainfall infiltrating the pavement ($R_{I_{pav}}$) can be locally managed (SUD) or percolate to
82 the phreatic level (D) depending on whether the infiltrated water is being collected or not.

83 4) The rainfall that infiltrates planting zones ($R_{I_{plant}}$) increases the soil water content (θ) to reach
84 saturation. Once the soil is saturated, the additional infiltrated rainfall can be locally managed
85 (SUD) or percolate to the phreatic level (D).

86 5) Two alternatives were considered for runoff: (a) the runoff from pervious and impervious
87 zones is directly conveyed to the conventional sewer system (CUD) or (b) the runoff from
88 impervious zones is conveyed to the pervious zones to jointly infiltrate with the runoff that was
89 generated in pervious areas.

90 6) Crop evapotranspiration (ET_k) depends on the potential evapotranspiration (ET_0) and the
91 crop coefficient (k_c), as indicated in eq. 4.

$$92 \quad ET_k = k_c \times ET_0 \quad (4)$$

93 7) Soil water content variation ($\Delta\theta$) only refers to planting zones. Soil water content varies by
94 rainfall infiltration, irrigation, evapotranspiration and infiltration from the storage element (RI).
95 With c_{RD} being the portion of runoff directed to the pervious zones and c_D being the portion of
96 the incoming water that is stored in the soil, $\Delta\theta$ can be computed as follows (eq. 5).

$$97 \quad \Delta\theta = \theta_i - \theta_{i-1} = R_R \times c_{RD} \times c_D + R_{I_{plant}} \times c_D + RI \times c_D + I \times c_D - ET_k \quad (5)$$

98 where θ_i and θ_{i-1} are the soil moisture contents at the current and previous temporal steps.

99 To the extent that SUD is considered to be an available resource to be reutilized locally, a
100 storage element can be installed and different alternatives for water reuse can be analyzed. Two
101 alternatives for water reuse are proposed: re-infiltration to the planting zones (RI, eq. 6) or to the
102 phreatic level (RI_{PH} , eq. 7). For infiltration boxes or wells, RI depends on the amount of water
103 stored, the contact area (CS, that is, the area of walls of the box in contact with water) and the

104 soil infiltration properties (it is supposed that, for the steady state, the infiltration rate depends
 105 on the saturated hydraulic conductivity of the soil, k_s).

$$106 \quad RI = SUD \times (1 - r_{RIPH}) \times CS \times k_s / V_{SUD} \quad (6)$$

$$107 \quad RI_{PH} = SUD \times r_{RIPH} \times CS \times k_s / V_{SUD} \quad (7)$$

108 In which r_{RIPH} represents the portion of the stored water that is infiltrated to the phreatic level
 109 (RI_{PH}).

110 8) The volume of water available for local reuse (SUD) is the portion of infiltrated water that
 111 does not store within the soil and/or does not percolate. If c_{DSUD} and c_{IS} represent the fractions of
 112 infiltrated water that go to the SUDS in planting and pavement zones, respectively, SUD can be
 113 defined as shown in eq. 8.

$$114 \quad SUD = R_R \times c_{RD} \times (1 - c_D) \times c_{DSUD} + R_{Iplant} \times (1 - c_D) \times c_{DSUD} + R_{Ipav} \times c_{IS} - RI - RI_{PH} \quad (8)$$

115 SUD may range from zero to V_{SUD} , which is the planned storage capacity.

116 9) The volume of water that is conveyed to the conventional sewer system (CUD) is the sum of
 117 runoff (from pervious and impervious zones) that is not stored in the pervious zones to infiltrate,
 118 plus the volume of water that is directed to the storage tank exceeding V_{SUD} (eq. 9).

$$119 \quad CUD = R_R \times (1 - c_{RD}) + SUD > V_{SUD} = R_R \times (1 - c_{RD}) +$$

$$120 \quad [R_R \times c_{RD} \times (1 - c_D) \times c_{DSUD} + R_{Iplant} \times (1 - c_D) \times c_{DSUD} + R_{Ipav} \times c_{IS} - RI - RI_{PH} - V_{SUD}]_{SUD} > V_{SUD}$$

$$121 \quad (9)$$

122 10) Seepage (D) proceeds from both the infiltrated water that is not stored in the soil and/or is
 123 not collected in the SUDS and proceeds from RI_{PH} as well (eq. 10).

$$124 \quad D = R_R \times c_{RD} \times (1 - c_D) \times (1 - c_{DSUD}) + R_{Iplant} \times (1 - c_D) \times (1 - c_{DSUD}) + R_{Ipav} \times (1 - c_{IS}) + RI_{PH} +$$

$$125 \quad RI \times (1 - c_D) + I \times (1 - c_D) \quad (10)$$

126 11) Irrigation (I) is the variable that closes the water balance. The application of water as a daily
 127 basis fulfills the difference between the ET_k and the water that reaches the soil (represented by

128 the values within the parenthesis in eq. 11). Irrigation is applied only within the period in which
129 the aggregated monthly difference between R and ETK is negative.

$$130 \quad I = \text{ETk}_{\text{daily}} - (R_R \times c_{RD} \times c_D + R_{\text{Iplant}} \times c_D + RI \times c_D) \quad (11)$$

131 12) Finally, it is assumed that water infiltrates to the planting areas following the general 1D
132 soil water flux governing equation (eq. 12).

$$133 \quad u = -k(\theta) \times dH/dz \quad (12)$$

134 where u is the effective velocity, H is the hydraulic potential and k(θ) is the soil hydraulic
135 conductivity, which has been estimated by the van Genuchten (1987) and Mualem's (1976)
136 equations, while the parameters were retrieved from Carsel and Parrish (1988).

137 In summary, Figure 1 illustrates the scheme that was proposed for the whole water balance and
138 depicts all of the aforementioned variables. The proposed method is considered to be an ideal
139 uniform profile for the soil water distribution process that is described above.

140 -Figure 1 near here-

141 Figure 1. Scheme of the proposed water balance.

142 2.2 Design criteria for water reuse storage facilities

143 The optimum volume of water to be reutilized is deduced from the described water balance. An
144 optimization problem can be proposed for the optimum volume of water (V^*_{SUD}) for different
145 optimization functions according to the pursued objective. In this paper, we propose a
146 minimization problem that considers the costs of managing water (eq. 13).

$$147 \quad \text{Min } C = C(V_{\text{SUD}}) = V_{\text{SUD}} \times UC_{\text{SUD}} + UC_{\text{CUD}} \times CUD + UC_1 \times I$$

$$148 \quad \text{st: } V_{\text{SUD}} > 0; \quad (13)$$

$$149 \quad I \geq 0$$

150 in which UC_{SUD} , UC_{CUD} and UC_I are the unitary costs ($\text{€}/\text{m}^3$) of water reuse (drain systems,
151 storage capacity, etc.), conveying water to the municipal sewer system and irrigation,
152 respectively. V_{SUD} , CUD and I are the corresponding volumes described in section 2.1.

153 An average runoff coefficient was considered (eq. 14) to characterize the different types of land
154 use with regard to its hydrological properties.

$$155 \quad c^* = \sum c_i \times S_i/S_T \quad (14)$$

156 where S_i represents each land use area and S_T represents the total area.

157 2.3 SUDS characterization

158 The present paper considers SUDS to encompass a set of infrastructures aimed at collecting,
159 storing and reutilizing water locally. The resulting effect of the installed SUDS is the process of
160 SUD generation (see eq. 8). On this basis, the proposed methodology allows different
161 typologies of SUDS to be incorporated as follows:

162 a) Porous pavements: c^* represents the average runoff coefficient defined by the means of the
163 specific runoff coefficient of each land use category. Permeable pavements can be incorporated,
164 including their specific c_i and S_i values, as shown in eq. 14.

165 b) Swales, rain gardens or infiltration areas: these facilities can be included in the water balance
166 through c_{RD} . The entire runoff is directed to pervious zones if $c_{RD} = 1$, while $c_{RD} = 0$ implies that
167 no infiltration area or its equivalent is included.

168 c) Drain systems: c_{IS} and c_{DSUD} allow the inclusion of drain systems for planting and paved
169 zones, respectively. A value of 1 represents an entire zone that is waterproofed and drained, and
170 0 means that drains have not been installed.

171 d) Stored water: r_{RIPH} refers to the destination of stored water. Values of $r_{RIPH} = 0$ or 1
172 correspond to cases in which the water is used for irrigation or groundwater recharge,
173 respectively.

174 e) Green roofs: these roofs can be included, which modify c^* and k_c . Depending on the specific
175 green roof design, c_{DSUD} or c_{IS} might also be affected.

176 3 Results and discussion

177 The methodology has been applied to four generic urban catchments with different
178 characteristics (called design alternatives, see Table 1), depending on whether the runoff is
179 directed (section 3.1) or not (section 3.2) to the pervious zones to infiltrate. A section that
180 analyzes the feasibility of the SUDS designed with the proposed method to satisfy the existing
181 design standards is also presented (section 3.3). Finally, section 3.4 presents a comparative
182 analysis.

183 -Table 1 near here-

184 Climatological data (daily rainfall and potential evapotranspiration) have been collected from
185 the weather station located at Barajas Airport in Madrid (Spain). Records from 2000 to 2016
186 were averaged on a daily basis.

187 Concerning the unitary costs of the system, the following values have been considered for the
188 cost function proposed in section 2.2: $UC_I = 1.68 \text{ €/m}^3$, $UC_{SUD} = 0.315 \text{ €/m}^3$, and $UC_{CUD} = 1.99$
189 €/m^3 .

190 3.1 Design alternatives that convey runoff to permeable zones

191 3.1.1 Optimum water volume and minimum cost

192 The first set of analyzed catchments include infiltration zones to receive and infiltrate runoff
193 ($c_{RD}=1$). A drain infrastructure underlying the entire catchment collects the infiltrated water and
194 stores it in a tank ($c_{IS}=c_{DSUD}=1$). Figure 2 shows both the optimum volume of water to be
195 reutilized (V^*_{SUD} , which corresponds with the optimum storage capacity) and the cost of the
196 optimum solution for different design alternatives.

197 -Figure 2 near here-

198 Figure 2. Optimum solutions, alternatives that convey the runoff to infiltrate. Optimum volumes
199 (Figure 2a) and the cost of the optimum solution of each analyzed alternative (Figure 2b).

200 The optimum water volume to be reused (Figure 2a) mainly depends on the final use of the
201 stored water (r_{RIPH}) and the average runoff coefficient (c^*). The smaller V^*_{SUD} values
202 correspond to $r_{RIPH} = 0$. Unlike the planting soil, no capacity limit for water infiltration is
203 observed for the phreatic level, so the required storage capacity is not as important for $r_{RIPH} = 1$
204 as it is for $r_{RIPH} = 0$.

205 No runoff goes to CUD ($c_{RD} = 1$), and the potential discharge from the storage tank is the only
206 source of CUD. Consequently, the cost of conveying water to the conventional sewer system
207 will be negligible compared with irrigation or SUDS costs.

208 As Figure 2a shows, V^*_{SUD} increases in c^* increments when $r_{RIPH} = 0$. The stored water can
209 infiltrate into a great volume of planting soil if c^* is small, whereas the same amount of water
210 ($c_{RD} = 1$ so that the runoff and the infiltration go to the storage element in any case) has to be
211 infiltrated in a smaller volume of planting soil if c^* increases. As a consequence, discharges to
212 CUD reduce if V^*_{SUD} increases to store the water that cannot infiltrate into soil at planting
213 zones.

214 Considering that the cost of conveying water to the conventional sewer systems is not a major
215 asset to the total cost, the results are mainly influenced by the irrigation cost: $UC_1 > UC_{SUD}$, and
216 usually $I > V_{SUD}$. This fact explains the alternatives in which $r_{RIPH} = 0$ show lower costs than that
217 of the others (Figure 2b).

218 3.1.2 Effect of the planned SUD on the main water balance variables

219 Figure 3 shows the variations of I (Figure 3a), RI (Figure 3b), D (Figure 3c), CUD (Figure 3d),
220 and V_{SUDav} (Figure 3e) as the planned V_{SUD} varies.

221 -Figure 3 near here-

222 Figure 3. Influence of V_{SUD} on the water balance. Variations of I (Figure 3a), RI (Figure 3b), D
223 (Figure 3c), CUD (Figure 3d), and V_{SUDav} (Figure 3e) as the planned V_{SUD} varies. Points
224 represent V_{SUD}^* . Continuous lines: $c^*=0.5$, dotted lines: $c^*=0.1$ and $c^*=0.9$.

225 As Figures 3a and 3b show, irrigation is partially covered by re-infiltration. Therefore, I reduces
226 as V_{SUD} increases for $r_{RIPH} = 0$. Otherwise, $RI=0$ and, consequently, I is constant. RI increases as
227 V_{SUD} increases to the maximum re-infiltration capacity, which depends on the soil porosity and
228 precipitation patterns. A reduction in irrigation is not proportional to the RI increase since RI
229 depends on the soil capacity to receive the water and not on the plant water requirements that
230 determine the irrigation scheduling.

231 Seepage (D) does not vary if $r_{RIPH}=0$ (Figure 3c). Conversely, the increase in V_{SUD} clearly
232 influences D if $r_{RIPH} = 1$. In this case, the storage element becomes the unique groundwater
233 feeding source. D is limited by precipitation patterns if $r_{RIPH} = 1$, and there is a threshold V_{SUD}
234 value that stores all the infiltrated water. This V_{SUD} value ensures that no flow is discharged to
235 the conventional sewer system.

236 CUD is only caused by the excess of incoming water beyond V_{SUD} . Consequently, CUD
237 decreases as V_{SUD} increases and approaches zero in the case the storage capacity holds all the
238 incoming water volume (Figure 3d). CUD reduction as V_{SUD} increases is more pronounced as
239 $r_{RIPH} = 1$, since the infiltration of the stored water is not limited by the soil water content.

240 V_{SUDav} is higher if the stored water is used for irrigation ($r_{RIPH} = 0$) as a result of the greater
241 resistance of the planting soil to receive water (see Figure 3e).

242 As the location of V_{SUD}^* in the graphics included in Figure 3 shows, the optimum solution for
243 $r_{RIPH} = 1$ is found for $CUD = 0$. In these cases, irrigation does not depend on V_{SUD}^* , and the
244 SUDS cost is lower than the cost of conveying the water to the conventional sewer system, so
245 the total cost is mainly determined by the latter. Conversely, the optimum solution for $r_{RIPH} = 0$
246 depends on the I and CUD costs, and their relative weight is determined by c^* . As a

247 consequence, the optimum solution varies between the following extreme cases: $CUD = 0$ and I
248 $\approx 0.65 \text{ m}^3/\text{m}^2$ if $c^* = 0.1$ and $I = 0$ and $CUD \approx 0.28 \text{ m}^3/\text{m}^2$ if $c^* = 0.9$.

249 3.2 Design alternatives that do not convey runoff to permeable zones

250 3.2.1 Optimum water volume and minimum cost

251 The runoff goes directly to CUD in this group of design alternatives ($c_{RD} = 0$). A drain
252 infrastructure underlying the entire catchment collects the infiltrated water and stores it in a tank
253 ($c_{IS} = c_{DSUD} = 1$). Figure 4 shows the minimum cost (Figure 4a) and the storage capacity that
254 yields the minimum cost (Figure 4b) for each design alternative.

255 -Figure 4 near here-

256 Figure 4. Optimum solutions, alternatives not conveying the runoff to infiltrate. Optimum
257 volumes (Figure 4a) and the cost of the optimum solution of each analyzed alternative (Figure
258 4b).

259 The runoff is directed to the conventional sewage system so V^*_{SUD} minimally influences CUD.
260 In general, the volume of reutilized water decreases compared with the alternatives explained in
261 section 3.1, since runoff is not collected by SUDS. Unlike the previous design alternatives,
262 V^*_{SUD} decreases as c^* increases. No runoff is directed to permeable zones, and the unique
263 feeding source of V_{SUD} is R_I , which reduces as c^* increases, so a smaller V^*_{SUD} is required as c^*
264 increases. The greatest V^*_{SUD} corresponds to the case in which water is reutilized for irrigation
265 ($r_{RIPH} = 0$).

266 3.2.2 Effect of the planned SUD on the main water balance variables

267 Figure 5 shows I (Figure 5a), RI (Figure 5b), D (Figure 5c), CUD (Figure 5d), and V_{SUDav}
268 (Figure 5e) variations as the planned V_{SUD} varies.

269 -Figure 5 near here-

270 Figure 5. Influence of V_{SUD} on the water balance. Variation of I (Figure 5a), RI (Figure 5b), D
271 (Figure 5c), CUD (Figure 5d), and V_{SUDav} (Figure 5e) as the planned V_{SUD} varies. Points
272 represent V_{SUD}^* . Continuous lines: $c^*=0.5$, dotted lines: $c^*=0.1$ and $c^*=0.9$.

273 The relationship between I and RI (Figures 5a and 5b) does not differ from that observed for the
274 previous design alternatives (Figures 3a and 3b).

275 Seepage (Figure 5c) is smaller than in the previous design alternatives (sect. 3.1) because of the
276 different runoff destination. However, the trend with V_{SUD} does not differ substantially; D
277 increases to a maximum value that coincides with the V_{SUD} value that stores all the infiltrated
278 water. This V_{SUD} value also defines a threshold beyond which no discharge is conveyed from
279 the storage tank to CUD (Figure 5d). Unlike in the previous section, CUD does not reach zero
280 because the runoff is still discharged to CUD.

281 The irrigation cost is more sensitive to V_{SUD}^* than is the cost of conveying water to the
282 conventional sewer system, which is almost independent of V_{SUD}^* . Moreover, the amount of
283 infiltrated water is small, because runoff is not collected. All of these facts emphasize that, for
284 $r_{RIPH} = 0$, the optimum solutions are similar to the points of maximum re-infiltration, minimum
285 irrigation and CUD (Figures 5a, 5b and 5d). Conversely, since I does not depend on V_{SUD}^* , if
286 $r_{RIPH} = 1$, the optimum solution in these cases are similar to the V_{SUD} value that minimizes CUD.

287 3.3 Case study: Comparison with event-based design criteria

288 The method presented in this paper is based on a water balance that uses climatological data on
289 a daily basis. Unlike the traditional design models, this method seeks to achieve optimum
290 stormwater management for the widest range of hydrological conditions and not only for
291 specific rainfall events. Therefore, SUDS performance designed with the exposed criteria was
292 analyzed for typical rainfall extreme events. With this purpose, we adapted the requirements
293 that were defined in Ballard et al. (2015), which establishes that SUDS must be designed to
294 constrain the runoff volume and the peak runoff flow to the predevelopment green field rates.
295 Following this reference, the volume requirements have to be complied for a rainfall event of a

296 100 year return period of 6 hour duration, while a 100 year return period critical duration event
297 has to be considered for the runoff flow rate. We considered these durations, but we chose a 25
298 year return period event, since it adapted better to the local Spanish design criteria.

299 The alternatives presented in Table 1, with an additional alternative that considers the classical
300 catchment design without SUDS, were studied. For each design alternative, the distributions
301 among land uses (impermeable pavements with $c=0.9$, irrigated planting zones with $c=0.01$ and
302 porous pavements with $c=0.6$) presented in Table 2 were considered.

303 -Table 2 near here-

304 The total theoretical yearly irrigation requirement, which was calculated as the difference
305 between the precipitation and evapotranspiration, is approximately $5,287.23 \text{ m}^3$, thus resulting
306 in a maximum daily irrigation depth of 5.48 mm.

307 3.3.1 Runoff volume

308 Table 3 shows the calculated runoff volumes for the alternatives and the pavements
309 combinations that are presented in Tables 1 and 2, for a 25 year return period event of 6 hour
310 duration.

311 -Table 3 near here-

312 Table 3 shows that the alternatives of collecting and conveying the runoff to infiltrate in
313 permeable zones (alternatives 1 and 2) remove runoff and satisfy the volume requirements.

314 In the absence of installations to collect runoff (alternatives 3 and 4), the fulfillment of the
315 requirements depends on the porous pavement area. A minimum of $1,500 \text{ m}^2$ porous pavements
316 (with $5,000 \text{ m}^2$ planting zones and $3,500 \text{ m}^2$ impermeable pavements) has to be provided to
317 reduce the runoff volume to a level that is below the predevelopment level.

318 Figure 6 shows the hydrographs that were calculated with the dimensionless hydrograph method
319 that was proposed by Haan et al. (1994), for the alternatives and pavement combinations that
320 consider the rainfall event of 6 hour duration with a 25 year return period.

321 -Figure 6 near here-

322 Figure 6. Hydrographs for an event of 6 hour duration with a 25 year return period. Infiltration
323 and runoff hydrographs of the analyzed alternatives and pavement combinations for a rainfall
324 event of 6 hour duration and 25 year return period (Q = runoff flow rate; Q_{inf} = infiltrated flow;
325 Q_{SUDin} = incoming flow to the storage element; Q_{SUDout} = outcoming flow from the storage
326 element to CUD).

327 No differences were observed among the runoff hydrographs of alternatives 0, 3 and 4. The
328 infiltration hydrographs present different behavior depending on the runoff management.
329 Alternatives 3 and 4 do not harvest runoff, so the infiltrated volumes are smaller than the ones
330 for alternatives 1 and 2. The peak infiltrated flow of alternatives 1 and 2 is higher than that of
331 alternatives 0, 3 or 4. The infiltrated flow of the predevelopment state is always smaller than the
332 infiltrated flow of alternatives 3 and 4, whereas in alternatives 1 and 2, the infiltrated flow
333 depends on the porous pavement area.

334 When the initial soil water content is $0.25 \text{ m}^3/\text{m}^3$, the storage tank will start to fill after the peak
335 runoff flow. The start of filling, and then the probability of discharging to CUD, strongly
336 depends on the soil water storage capacity, which, in turn, depends on the soil type and initial
337 soil water content.

338 No discharge from the storage element to the conventional sewer system (Q_{SUDout}) was produced
339 because the incoming volume was smaller than V^*_{SUD} in any case. It has to be noted that RI is
340 supposed to be zero during the rainfall event. This explains why V_{SUD} curves become horizontal
341 for alternatives 2 and 4. Conversely, $RI_{PH} \neq 0$ if $r_{RIPH} = 1$ since the phreatic level can receive
342 water during the rainfall event. As a result, V_{SUD} curves reduce after reaching a maximum point
343 for alternatives 1 and 3.

344 3.3.2 Peak runoff

345 Figure 7 presents the hydrographs for the alternatives and pavement combinations considering
346 the rainfall event of critical duration and the 25 year return period.

347 -Figure 7 near here-

348 Figure 7. Hydrographs for a 25 year return period critical duration event. Infiltration and runoff
349 hydrographs of the analyzed alternatives and pavement combinations for a rainfall event of
350 critical duration and a 25 year return period (Q = runoff flow rate; Q_{inf} = infiltrated flow; Q_{SUDin}
351 = incoming flow to the storage element; Q_{SUDout} = outcoming flow from the storage element to
352 CUD).

353 The tendency of the runoff hydrographs does not differ between this rainfall event and the
354 previous event. The compliance of the requirement depends on the porous pavement area for
355 alternatives 0, 3 and 4 (the requirements are satisfied if $S_{pavpor} > 1,500 \text{ m}^2$), while it is guaranteed
356 in any case if runoff is conveyed to permeable zones for infiltration (alternatives 1 and 2).

357 Results differ from the hydrographs that are presented in Figure 6 for the infiltrated flow. As
358 Figure 7 shows, the maximum soil infiltration capacity limits the peak infiltrated flow for any
359 alternative, including the predevelopment situation. V_{SUD} filling curves look similar to the same
360 curves of the previous rainfall event, and the differences among the design alternatives are also
361 caused by the destination of the stored water. There is also a relevant time lag between the start
362 of infiltration and the tank filling due to the soil storage capacity. No discharge is observed in
363 the conventional sewer system for this rainfall event either.

364 Finally, Table 4 shows that the aggregated volume reduces compared with volumes shown in
365 Table 3.

366 -Table 4 near here-

367 3.4 Comparative analysis

368 The design alternative that conveys the surface runoff to pervious zones within the catchment
369 and that uses the stored water for irrigation is the optimum choice in terms of irrigation and
370 water conveyed to the conventional sewer system. Moreover, it is this alternative that yields the
371 minimum cost.

372 Design alternatives that harvest surface runoff fulfill the classical design standards, while the
373 compliance for the other design alternatives depends on the surface of porous pavements. This
374 relationship between the area of pervious zones and the effectiveness of the SUDS was also
375 stated by other authors, for example, Palla and Gnecco (2017) or Yang and Chui (2018). The
376 complementarity among different SUDS that are observed in the present paper was also
377 highlighted by other authors, for example, Duan et al. (2016). Similar to the results presented in
378 the present paper, Mei et al. (2018) found that the combination of porous pavements plus
379 bioretention cells and vegetated swales was the optimum solution according to a cost/benefit
380 criterion.

381 The literature does not agree with respect to the ability of SUDS to reduce flood risk, and results
382 are highly conditioned by the location and the analyzed combination of SUDS. For example,
383 Ahiablame and Shakia (2016) found that combining porous pavements, rain gardens and rain
384 barrels would lead to a 47 % reduction in the average annual runoff. Kong et al. (2017)
385 observed that the hydrological response did not mimic the predevelopment situation despite the
386 installed SUDS. In contrast, Bortolini and Zanin (2018) observed that rain gardens were able to
387 practically eliminate surface runoff for most of the analyzed rainfall events. In this research, we
388 have found that in harvesting surface runoff, using rain gardens and storing the infiltrated water,
389 neither flood nor discharge to the conventional sewer system from the catchment should be
390 expected.

391 In contrast, we have not found relevant differences in the SUD performance depending on the
392 storm duration as, for example, Her et al. (2016) observed. The main differences in our study
393 were caused by the soil storage capacity. The relevance of the soil water content to the runoff
394 control and the volume reduction was also highlighted by Batalini de Macedo et al. (2019).

395 4 Conclusions

396 A methodology based on a comprehensive volumetric water balance was proposed to analyze
397 urban catchments, including SUDS, using climatological data on a daily basis. The
398 contributions to the balance are irrigation and precipitation; the outlets are evapotranspiration,

399 seepage and discharge to the conventional sewage system. The internal system variations are the
400 volume of water to be locally reutilized and the soil water content variation.

401 A cost function including the costs of irrigating, discharging to the conventional sewer system
402 and reusing water locally was proposed to estimate the optimum volume of water to be
403 managed.

404 The methodology was applied not only to simulate the behavior of the main water balance
405 hydrological variables but also to estimate the optimum amount of water to be managed locally.

406 Regarding the study of different urban catchments, the catchments that conveys runoff to the
407 pervious areas and uses the stored water for irrigation provides the optimum solution. This
408 design ensures the minimum cost and the most efficient management of resources (the greatest
409 amount of water available to be reused and the smallest discharge to the conventional sewer
410 system). Additionally, alternatives conveying runoff to permeable zones satisfy the traditional
411 SUDS design criteria regarding the reduction of volume and peak runoff flow to the
412 predevelopment situation.

413 Further investigations should be conducted to evaluate the infrastructure operating conditions
414 during the season to focus on irrigation management. Moreover, field experiments should help
415 to monitor the variables that are studied in the present paper, such as the soil water content or
416 the storage filling level. In the field experiments, particular attention should also be paid to the
417 operating conditions under extreme rainfall events.

418 5 Acknowledgements

419 This research was partially developed within the LIFE CERSUDS project and was financed by
420 the LIFE Programme 2014-2020 of the European Union for the Environment and Climate
421 Action [LIFE15 CCA/ES/000091].

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Table 1

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Table 1. Analyzed design alternatives.

| Design Alternative | Draining and storing | Irrigation reutilization | Phreatic level reutilization | Infiltration area | Coefficients | Section |
|--------------------|----------------------|--------------------------|------------------------------|-------------------|---|---------|
| Alt. 1 | Yes | No | Yes | Yes | $r_{RIPH}=1$ $c_{IS}=c_{DSUD}=1$ $c_{RD}=1$ | 3.1 |
| Alt. 2 | Yes | Yes | No | Yes | $r_{RIPH}=0$ $c_{IS}=c_{DSUD}=1$ $c_{RD}=1$ | 3.1 |
| Alt. 3 | Yes | No | Yes | No | $r_{RIPH}=1$ $c_{IS}=c_{DSUD}=1$ $c_{RD}=0$ | 3.2 |
| Alt. 4 | Yes | Yes | No | No | $r_{RIPH}=0$ $c_{IS}=c_{DSUD}=1$ $c_{RD}=0$ | 3.2 |

Table 2[Click here to download Table: Table 2.docx](#)

Table 2. Land uses distribution for each design alternative

| Scenario | Impermeable pavement (m ²) | Porous pavement (m ²) | Planting zone (m ²) |
|----------|---|--------------------------------------|------------------------------------|
| S1 | 5,000 | 0 | 5,000 |
| S2 | 4,500 | 500 | 5,000 |
| S3 | 4,000 | 1,000 | 5,000 |
| S4 | 3,500 | 1,500 | 5,000 |
| S5 | 3,000 | 2,000 | 5,000 |
| S6 | 2,500 | 2,500 | 5,000 |
| S7 | 2,000 | 3,000 | 5,000 |

Table 3[Click here to download Table: Table 3.docx](#)Table 3. Runoff volume (m³) for the studied alternatives and pavements combinations

| Scenario | Alt. 0 | Alt. 1 | Alt. 2 | Alt. 3 | Alt. 4 |
|--|--------|--------|--------|--------|--------|
| S1 | 45.3 | 0.0 | 0.0 | 45.3 | 45.3 |
| S2 | 43.5 | 0.0 | 0.0 | 43.5 | 43.5 |
| S3 | 41.7 | 0.0 | 0.0 | 41.7 | 41.7 |
| S4 | 39.9 | 0.0 | 0.0 | 39.9 | 39.9 |
| S5 | 38.1 | 0.0 | 0.0 | 38.1 | 38.1 |
| S6 | 36.3 | 0.0 | 0.0 | 36.3 | 36.3 |
| S7 | 34.5 | 0.0 | 0.0 | 34.5 | 34.5 |
| Pre-development S (m ²)=10,000; c=0.45 | | | 40.3 | | |

Table 4[Click here to download Table: Table 4.docx](#)

Table 4. Runoff volume for the studied alternatives and pavements combinations

| Scenario | Alt. 0 | Alt. 1 | Alt. 2 | Alt. 3 | Alt. 4 |
|--|--------|--------|--------|--------|--------|
| S1 | 21.4 | 0.0 | 0.0 | 21.4 | 21.4 |
| S2 | 20.6 | 0.0 | 0.0 | 20.6 | 20.6 |
| S3 | 19.7 | 0.0 | 0.0 | 19.7 | 19.7 |
| S4 | 18.9 | 0.0 | 0.0 | 18.9 | 18.9 |
| S5 | 18.0 | 0.0 | 0.0 | 18.0 | 18.0 |
| S6 | 17.2 | 0.0 | 0.0 | 17.2 | 17.2 |
| S7 | 16.4 | 0.0 | 0.0 | 16.4 | 16.4 |
| Pre-development S (m ²)=10,000; c=0.45 | | | 19.1 | | |

Figure 1

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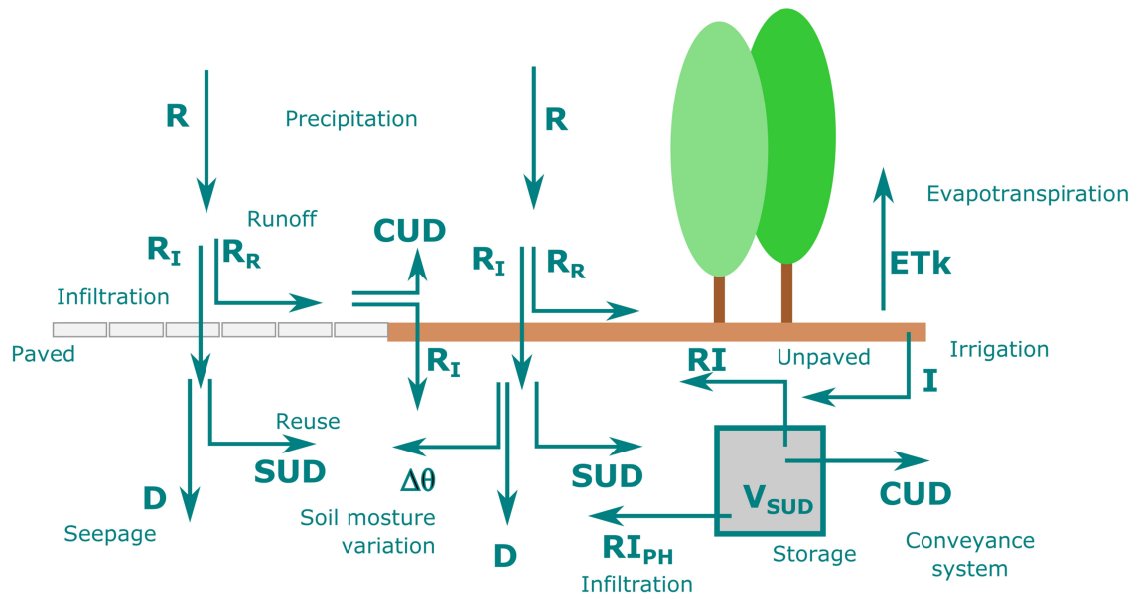


Figure 2
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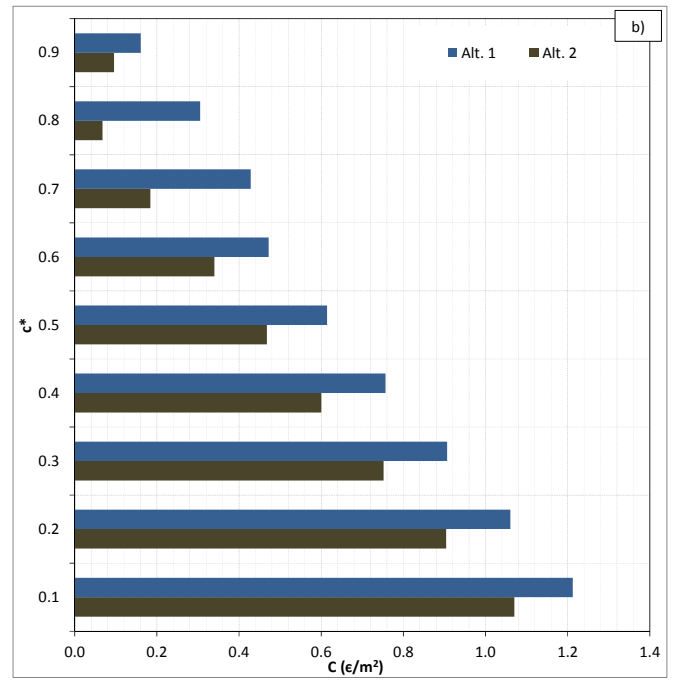
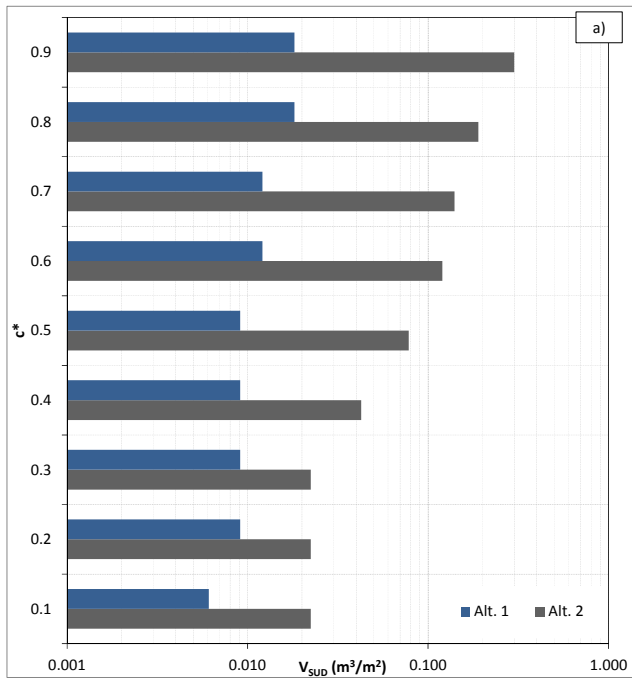


Figure 3

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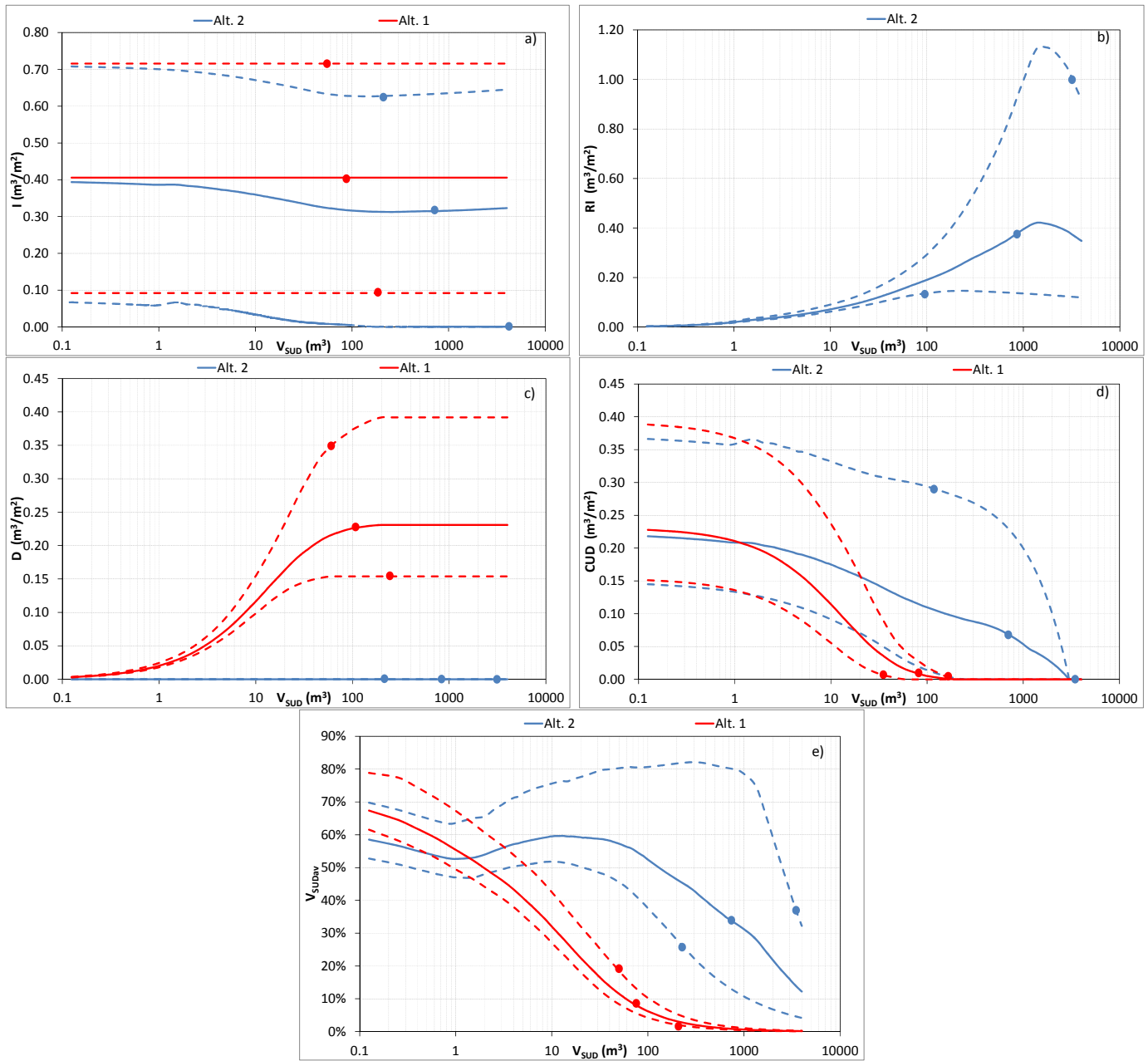


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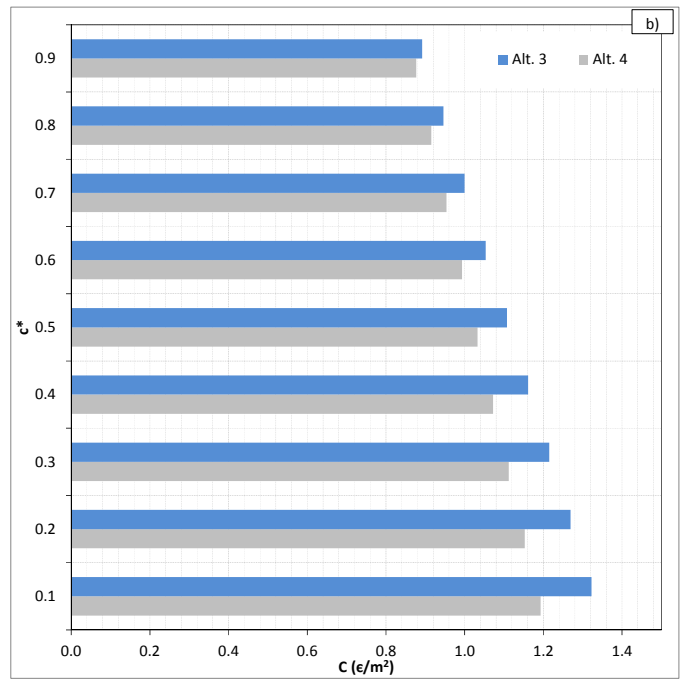
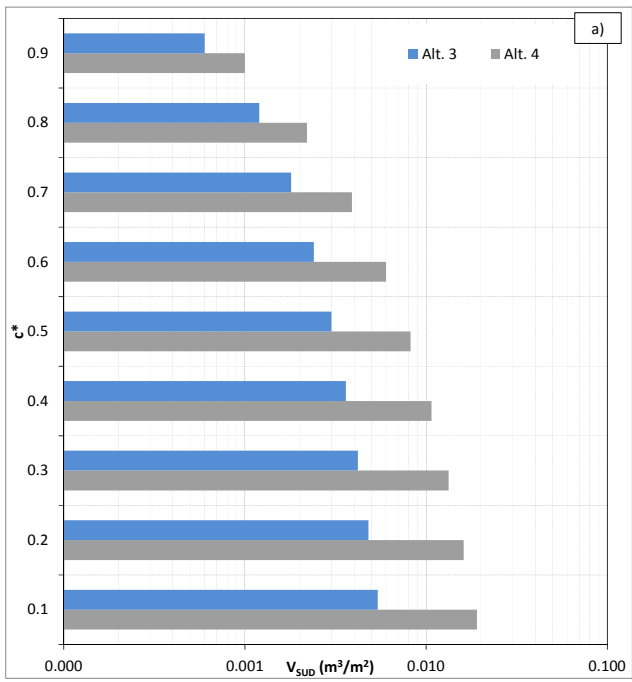


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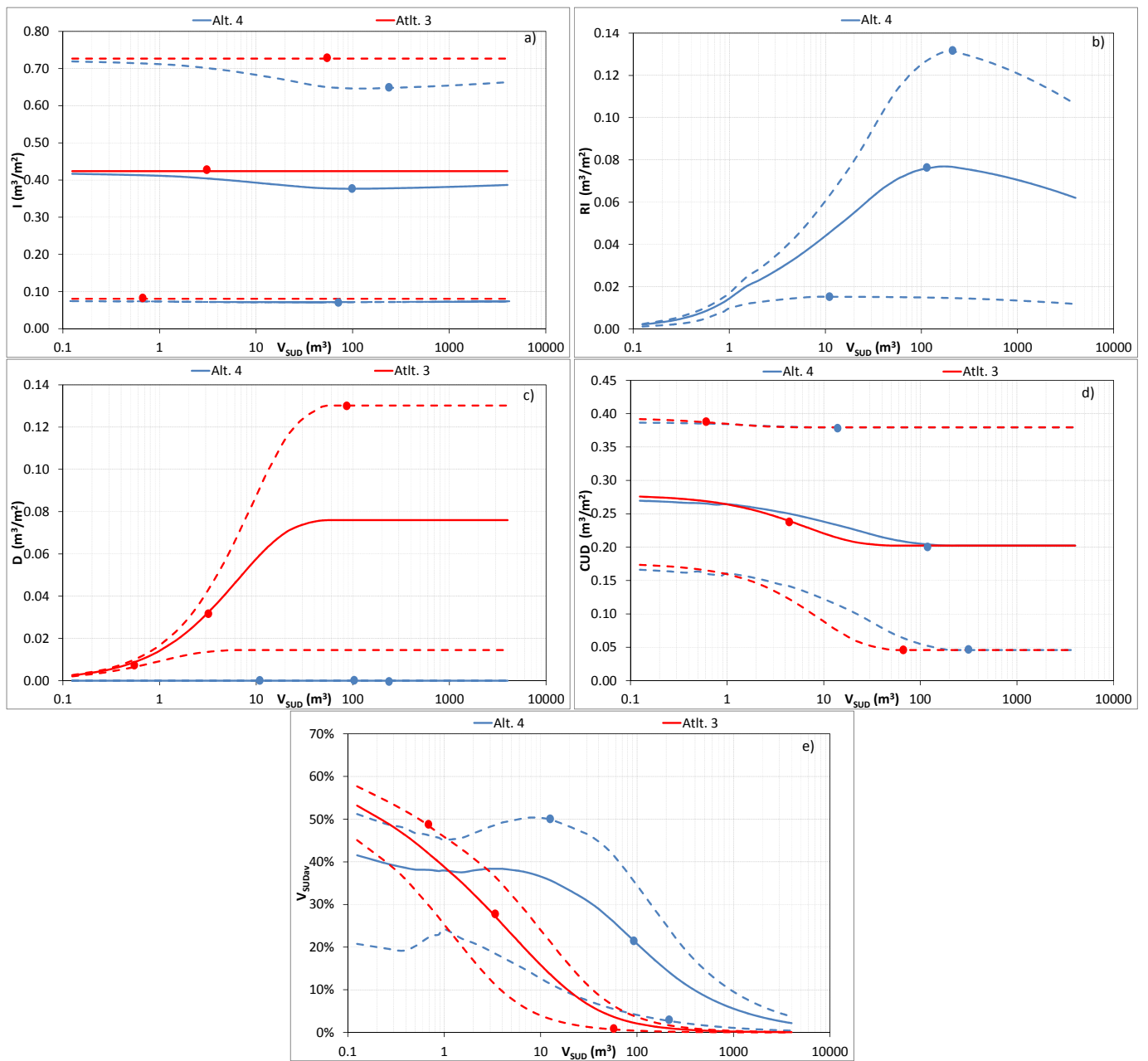


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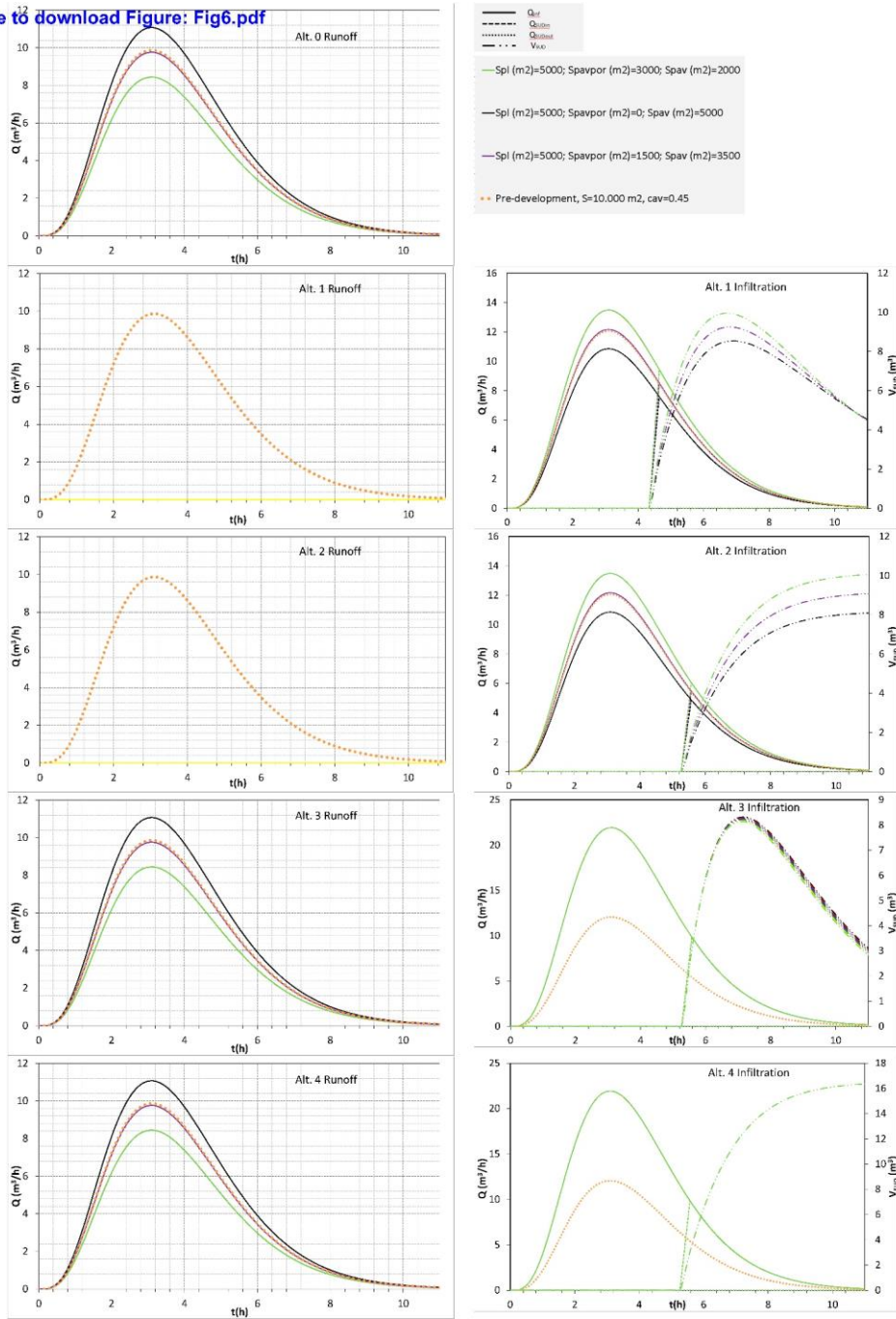


Figure 7

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