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# Comparison of different modeling approaches for minichannel evaporators under dehumidification

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## Abstract

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This paper firstly presents a comprehensive minichannel evaporator model (MCHX-1D-MB) based on fin theory coupled with the moving boundary technique along fin height. To validate the presented model, experimental data for R-134a and R-744 (CO<sub>2</sub>) minichannel evaporators were used. The proposed model successfully predicted the cooling capacity of R-134a and CO<sub>2</sub> evaporators with mean absolute error values of ±1.8 and ±4.3%, respectively. Regarding the outlet air temperature, the mean absolute errors in the estimated results were ±0.43 and ±0.9 °C for R-134a and CO<sub>2</sub> evaporators, respectively. Finally, to evaluate the impact of widely used assumption of cut fin on the air-side performance of minichannel evaporators, another model was developed (MCHX-1D-CF). The comparative study revealed that the most remarkable deviations between the two models appear when the evaporator operates under partially wet conditions, which were up to ≈12% in the latent heat transfer rate.

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## Nomenclature

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N	Numerical grid dimension (–)	56
NMTU	Number of mass transfer units defined in Eq. 16 (–)	58
NTU	Number of transfer units defined in Eq. 15 (–)	60
P	Perimeter (m)	63
p	Pressure (Pa)	63
Q	Heat transfer rate (W)	66
Q <sub>c</sub>	Cooling capacity (kW)	69
RH	Relative humidity (–)	70
T	Temperature (°C)	73
T*	Modified temperature for moist air defined in Table 1 (°C)	74
U <sub>r</sub>	Overall heat transfer coefficient for refrigerant-side (W/m <sup>2</sup> ·K)	79
U <sub>wet,a</sub>	Overall heat transfer coefficient for air-side under wet conditions (W/m <sup>2</sup> ·K)	80
V	Volume (m <sup>3</sup> )	83
W	Humidity ratio (kg <sub>w</sub> /kg <sub>da</sub> )	86
x, y, z	Spatial coordinates (m)	88
X	Refrigerant quality (–)	89

## Greek symbols

α	Sensible heat transfer coefficient (W/m <sup>2</sup> ·K)	91
α <sub>wet,a</sub>	Total heat transfer coefficient for air-side under wet conditions defined in Table 1 (W/m <sup>2</sup> ·K)	96
β	Parameter defined in Table 1 (K)	99
Ω	Void fraction (–)	100
ζ <sub>1</sub>	Parameter defined in Eq. 17 (m)	103
ζ <sub>2</sub>	Parameter defined in Eq. 18 (m)	105
θ	Temperature difference (K)	106
λ	Thermal conductance (W/K)	109

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110	$\xi$	Tube orientation (deg)
113	$\rho$	Density ( $\text{kg/m}^3$ )
114	$\Phi_L^2$	Two-phase multiplier (-)
116	$\psi$	Parameter defined in Eq. 2 (K)
118	$\omega$	Humidity ratio difference ( $\text{kg}_w/\text{kg}_{da}$ )
120	<b>Subscripts</b>	
123	<i>a</i>	Air or air cell index
124	acc	Acceleration
126	<i>c</i>	Centroid of tube wall cell
128	cond	Conduction
130	cont	Contraction
133	<i>dp</i>	Dew point
134	exp	Expansion
136	<i>f</i>	Fin or fin cell index
138	<i>fB</i>	Fin base
140	fp	Fin portion index
142	fric	Friction
144	<i>fT</i>	Fin tip
146	<i>G</i>	Gas
148	grav	Gravitational
150	in	Inlet
153	<i>k</i>	Direction index
154	<i>L</i>	Liquid
156	lat	Latent
158	out	Outlet
160	<i>r</i>	Refrigerant or refrigerant cell index
163	<i>s</i>	Surface of tube wall cell
164	sat	Saturated
166	seg	Tube segment
168	sens	Sensible
170	<i>sp</i>	Superheat region
173	<i>t</i>	Tube or tube wall cell index
174	tot	Total
176	<i>tp</i>	Two-phase region
178	<i>w</i>	Water
180	W, E, S, N	Directions of neighbor tube wall cell

183 **1 Introduction**

184 Heat exchangers are key components in refrigeration and air-  
 185 conditioning systems. They play an important role on the en-  
 186 ergy efficiency, refrigerant charge, and physical size of such  
 187 systems. Compared to conventional heat exchangers, the  
 188 minichannel heat exchangers have many desirable features,  
 189 such as higher performance and compactness, and lower re-  
 190 frigerant charge.

191 Nowadays, numerical models and software are very suit-  
 192 able tools for designing and testing complex minichannel heat  
 193 exchangers. These tools allow us to save lots of costs and time  
 194 in carrying out experimental campaigns.

195 When the minichannel heat exchanger is employed as an  
 196 evaporator, some challenges emerge in the air-side such as the

197 drainage of condensed water and frost formation. These chal-  
 198 lenges motivate the efforts to continue working in modeling of  
 199 the simultaneous cooling and dehumidification process.

200 Several minichannel evaporator models are available in the  
 201 literature, among them are Kim and Bullard [1], Jin et al. [2],  
 202 Wu and Webb [3], Brix et al. [4], Zhao et al. [5], Ren et al. [6],  
 203 Gossard et al. [7], Huang et al. [8], and Tian et al. [9].

204 Kim and Bullard [1] developed a minichannel evaporator  
 205 model for CO<sub>2</sub> air-conditioning system. The evaporator was  
 206 divided into segments along the refrigerant flow direction for  
 207 which the energy and mass balances were applied.  
 208 Furthermore, they developed new correlations for air-side heat  
 209 transfer and frictional losses, for both dry and wet conditions.  
 210 Regarding refrigerant-side calculations, the Hwang [10] cor-  
 211 relation for heat transfer coefficient, and Tran et al. [11] cor-  
 212 relation for frictional pressure drop were adopted. The results  
 213 showed that the root mean square errors for the cooling ca-  
 214 pacity and refrigerant-side pressure drop were  $\pm 2.6$  and  $\pm$   
 215 13.1%, respectively. Another CO<sub>2</sub> minichannel evaporator  
 216 model, which adopted similar modeling assumptions as the  
 217 model of Kim and Bullard [1], was presented by Jin et al.  
 218 [2]. Moreover, the proposed model considered the pressure  
 219 losses both in the headers and at the inlet of tubes. Each evap-  
 220 orator pass was divided into 10 equal-length segments along  
 221 the refrigerant flow direction. For each segment, according to  
 222 the inlet air dew point and average wall temperature (tubes and  
 223 fin), the model can select different procedures (totally dry or  
 224 totally wet procedure) to evaluate the total heat transfer rate.  
 225 The authors compared several correlations of refrigerant-side  
 226 heat transfer and pressure drop before selecting appropriate  
 227 correlations for the model. For calculating the air-side heat  
 228 transfer coefficient and pressure drop, the Kim and Bullard  
 229 correlations [12, 13] were adopted. The results showed that  
 230 using the Cheng et al. [14] and Jassim and Newell [15] corre-  
 231 lations, respectively, for refrigerant-side heat transfer and pres-  
 232 sure drop, the model developed predicted the experimental  
 233 data with reasonable accuracy. The root mean square devia-  
 234 tions for cooling capacities and refrigerant-side pressure drops  
 235 were  $\pm 1.9$  and  $\pm 12.3\%$ , respectively. They also concluded  
 236 that the pressure drop due to headers and inlet ports can be  
 237 neglected due to the small proportion compared with the total  
 238 pressure drop within the whole evaporator.

239 A numerical model for brazed aluminum minichannel  
 240 evaporator under dehumidification was developed by Wu  
 241 and Webb [3]. The evaporator was discretized along the re-  
 242 frigerant flow into three regions including the two-phase, liq-  
 243 uid-phase, and superheat regions. In the liquid-phase and su-  
 244 perheat regions, the refrigerant-side heat transfer and friction  
 245 coefficients were evaluated using correlations proposed by  
 246 Petukhov [16]. On the other hand, for the two-phase region,  
 247 the heat transfer correlation of Shah [17] was used alongside  
 248 the modified Friedel correlation from Zhang and Webb [18]  
 249 for pressure drop losses. In this model the dry air-side heat

250 transfer and pressure drop were evaluated using the semi-  
251 analytical correlations of Webb et al. [19]. The model was  
252 validated with R-404A evaporator, the results showed that  
253 the cooling capacity was overpredicted by 8%. The re-  
254 searchers reported that the main reason for this could be the  
255 flow maldistribution in the branch tubes.

256 On the other hand, Brix et al. [4] proposed a one-  
257 dimensional model for R-134a minichannel evaporator to in-  
258 vestigate the effects of maldistribution on the global perfor-  
259 mance. They used a finite volume approach to discretize the  
260 evaporator tubes assuming steady state conditions, fin cut at  
261 half the height, and no heat conduction between evaporator's  
262 segments. The authors adopted the correlations proposed by  
263 Zhang et al. [20] and Müller-Steinhagen and Heck [21] to  
264 calculate, respectively, the refrigerant-side heat transfer and  
265 pressure drop. In the air-side, they used the heat transfer and  
266 pressure drop correlations developed by Kim and Bullard  
267 [12]. The authors considered two parallel tubes to evaluate  
268 the maldistribution for two different scenarios, In the first one  
269 maldistribution of the inlet quality into the tubes was consid-  
270 ered, and in the second case a non-uniform airflow was con-  
271 sidered. The results showed that the total cooling capacity was  
272 significantly reduced by 23%, which was considered to be the  
273 upper limit of the influence of maldistribution for the study.

274 Zhao et al. [5] presented a simulation model for R-1234yf  
275 minichannel evaporator adopting the finite element technique  
276 and effectiveness-NTU method to calculate the heat transfer  
277 rate. Each tube was divided into 20 segments in the refrigerant  
278 flow direction assuming uniform refrigerant and air flow,  
279 adiabatic-fin-tip at half the height, and no maldistribution in  
280 the headers. The researchers compared between six different  
281 correlations regarding the two-phase heat transfer for refriger-  
282 ant. The refrigerant-side pressure drop was calculated using  
283 Friedel [22] correlation. On the other hand, the air-side heat  
284 transfer and frictional pressure losses were evaluated using  
285 Chang et al. [23, 24] correlations for dry surfaces, and Kim  
286 and Bullard [13] correlations for wet surfaces. The results  
287 showed that the model with Kandlikar's correlation [25]  
288 showed the best prediction of cooling capacity, with mean  
289 deviation of 5.9% compared with the experimental results.  
290 The authors indicated that the developed model using  
291 Kandlikar's correlation also presented acceptable results for  
292 the refrigerant-side pressure drops, with mean deviations up to  
293 21.9%.

294 Gossard et al. [7] developed and validated a finite volume,  
295 steady state evaporator model that include rectangular  
296 minichannel tubes with louvered fin. Then the model was  
297 used to compare the thermal-hydraulic performance of some  
298 experimental refrigerant mixtures. For each tube volume, the  
299 enthalpy potential (single-potential) method, shown in Wu  
300 and Webb [3], was adopted to calculate the overall heat trans-  
301 fer coefficient. The correlation proposed by Peters and  
302 Kandlikar [26] was employed to calculate the refrigerant-

side heat transfer coefficient, while the correlation of Field 303  
and Hrnjak [27] was used to evaluate the pressure drop. 304  
Regarding the air-side evaluation, the correlations of Park 305  
and Jacobi [28, 29] were adopted to calculate the heat transfer 306  
and pressure losses for dry and wet scenarios, respectively. 307  
They reported that the proposed model predicted the overall 308  
cooling capacity and refrigerant-side pressure drop of 309  
minichannel evaporators with an average deviation between 310  
the predicted and actual data of 8.5 and 16.5%, respectively. 311

312 Recently, Tian et al. [9] presented a distributed parameter 312  
numerical model with new flow boiling heat transfer correla- 313  
tion for parallel flow minichannel evaporators. The evaporator 314  
was divided into three parts refrigerant-, tube-, and air-side. 315  
Each control volume was treated as a simple cross-flow ar- 316  
rangement between refrigerant and air flow. The moisture 317  
separation coefficient, proposed by Deru [30], was used to 318  
characterize the contribution of mass transfer in the air-side 319  
total heat transfer rate. The new correlation for two-phase heat 320  
transfer was developed based on modifying superposition 321  
model. The results showed the model yielded the mean abso- 322  
lute errors of 1.5 and 19.8% in predicting the cooling capacity 323  
and refrigerant-side pressure drop, respectively. 324

325 Most of these models neglect the tube-to-tube heat conduc- 325  
tion (cut fin assumption) and do not allow for partial dehu- 326  
midification scenarios within the individual evaporator's seg- 327  
ment. These assumptions result to a simplified solution which 328  
omits the effects of previous phenomena on the evaporator 329  
performance. To the best of our knowledge, Ren et al. [6] 330  
and Huang et al. [8] presented the only two models that ac- 331  
count for the tube-to-tube heat conduction through the fin 332  
height in minichannel evaporators. 333

334 Ren et al. [6] developed a general three-dimensional simu- 334  
lation approach for minichannel/microchannel heat ex- 335  
changers considering the factors of heat conduction via fins, 336  
quality distribution among tubes, and flexible flow circuit ar- 337  
rangements. In this approach the heat exchanger was divided 338  
into port-fin control volumes, each one comprised of refriger- 339  
ant cell, port (tube wall) cell, and fin-air cell. To solve the 340  
energy equation of fin-air cell, the researchers adopted the 341  
one-dimensional fin theory, assuming the conduction bound- 342  
ary for the fins allocated in the middle of heat exchanger to 343  
account for heat conduction between adjacent tubes. The pro- 344  
posed model did not account for air-side mass transfer 345  
(dehumidification) in evaporators. The model was success- 346  
fully validated with the experimental data of Tuo and Hrnjak [31] 347  
to evaluate the prediction of surface temperature profile for a 348  
fully dry R-134a minichannel evaporator. The heat transfer 349  
and pressure drop of refrigerant-side were evaluated by the 350  
correlations of Gongor and Winterton [32] and Hu et al. [33], 351  
respectively. The air-side heat transfer was evaluated using 352  
Chang and Wang [23] correlation. 353

354 The numerical model of Huang et al. [8], besides its capa- 354  
bility to evaluate the heat conduction through the fin, it 355

356 considered the partial dehumidification scenarios for  
 357 minichannel evaporators. The proposed model applied a  
 358 segment-by-segment approach to analyze the refrigerant-  
 359 and the air-side. As the model of Ren et al. [6], the authors  
 360 employed the one-dimensional fin theory to simplify the en-  
 361 ergy balance for fin-air cell. However, the main difference  
 362 between the two models that the model of Huang et al. [8]  
 363 had also the capability to evaluate any dehumidification sce-  
 364 nario for the fin (totally dry, partially wet, or totally wet fin)  
 365 based on the fin boundary conditions (prescribed fin base and  
 366 tip temperatures or adiabatic-fin-tip at half the height). In the  
 367 case of wet scenarios, the dual-potential approach proposed by  
 368 McQuiston [34] was used to relate the air-to-surface tempera-  
 369 ture difference and humidity ratio difference. The model was  
 370 validated against computational fluid dynamics (CFD) model,  
 371 proposed by the same authors, and experimental data using  
 372 R-410A and R32. Regarding the refrigerant-side heat transfer  
 373 and pressure drop calculations the authors adopted, respec-  
 374 tively, the correlations of Kandlikar and Steinke [25] and  
 375 Friedel [22]. The results indicated that the model had an aver-  
 376 age absolute cooling capacity deviation of 2.92% compared  
 377 with experimental data. They also concluded that the fin cut  
 378 assumption significantly affects the air-side cooling capacity  
 379 when the wall temperature variation between adjacent tubes  
 380 becomes significant, especially in the region between two re-  
 381 frigerant flow passes.

382 The previous detailed review and discussion motivated  
 383 Hassan et al. [35, 36] to develop a comprehensive two-  
 384 dimensional numerical model (Fin2D-W) for wet fins of  
 385 minichannel evaporators. They conducted a comparative  
 386 study between the Fin2D-W model and classical  
 387 effectiveness-number of transfer units ( $\epsilon$ -NTU) approach to  
 388 evaluate the air-side performance of minichannel evaporators  
 389 under different dehumidifying conditions and values of super-  
 390 heat. The results showed that the deviations on the total heat  
 391 transfer rate were up to 52%. The main sources of these devi-  
 392 ations were the implicit assumptions adopted by  $\epsilon$ -NTU ap-  
 393 proach, such as the cut fin, uniform air temperature and hu-  
 394 midity ratio along the fin height, and impossibility of consid-  
 395 ering partial dehumidification scenarios. The main conclusion  
 396 of their study was that it is very important to identify firstly the  
 397 actual dehumidifying conditions for the fin and tube in order  
 398 to estimate correctly the latent heat transfer rate, subsequently  
 399 estimating properly the total heat transfer rate.

400 Based on the results and recommendations of the Fin2D-W  
 401 model, Hassan et al. [37] developed a more simplified model  
 402 (Fin1D-MB). This model is able to retain the most important  
 403 heat and mass transfer phenomena as the Fin2D-W model  
 404 does, but with a much lower computational cost. Fin1D-MB  
 405 model saved computational cost by up to 95%, compared to  
 406 the Fin2D-W model. Additionally, it successfully predicted  
 407 the actual dehumidifying condition of the fin, as a

408 consequence of adopting a moving boundary technique be-  
 409 tween the wet and dry portions along the fin height.

410 In the first part of this paper, a development of complete  
 411 minichannel evaporator model (MCHX-1D-MB) is presented.  
 412 In order to evaluate the global performance (air- and refrigerant-  
 413 side) of minichannel evaporators the Fin1D-MB air-side  
 414 module [37] has been integrated into the IMST-ART simula-  
 415 tion tool [38]. This tool has been developed by the Institute for  
 416 Energy Engineering to evaluate complete refrigeration cycles  
 417 or individual system components. The second part comprises  
 418 a validation of the MCHX-1D-MB model against experimen-  
 419 tal data for different minichannel evaporator geometries, re-  
 420 frigerants, and operating conditions. Several refrigerant-side  
 421 frictional pressure drop and heat transfer coefficients correla-  
 422 tions were applied to find the ones which properly predict the  
 423 experimental results. The final part of the current paper pre-  
 424 sents another model (MCHX-1D-CF) and a comparative  
 425 study between the presented models to evaluate the effect of  
 426 fin cutting on the air-side heat transfer rates for minichannel  
 427 evaporators.

## 2 Model development 428

### 2.1 Minichannel heat exchanger discretization 429

430 Figure 1 presents an example of a minichannel heat exchanger  
 431 that can be simulated by the IMST-ART program. This pro-  
 432 gram can simulate any refrigerant circuitry arrangement: any  
 433 number of refrigerant inlets and outlets; and any connection  
 434 between different tube outlets/inlets at any location. Figure 2a  
 435 shows the discretization of an evaporator into segments,  
 436 where the dashed lines correspond to the thermal connections  
 437 between wall cells, whereas the thicker lines correspond to the  
 438 refrigerant flow path.

439 First, the heat exchanger is discretized along the  $x$ -direction  
 440 (refrigerant flow), resulting into  $N_{seg}$  segments per tube. Each  
 441 segment (Fig. 2b) consists of: a refrigerant flow that is divided  
 442 into  $N_{t,z}$  channels in the  $z$ -direction; a flat tube which is  
 443 discretized into  $N_{t,z}$  cells in the  $z$ -direction; air flow and fins  
 444 which are always discretized into the same number of cells in

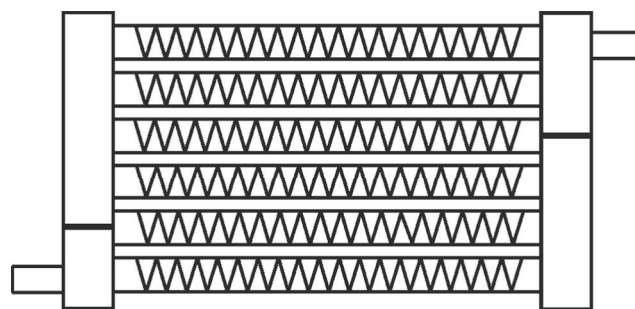


Fig. 1 Example of a minichannel heat exchanger that can be simulated by the IMST-ART program

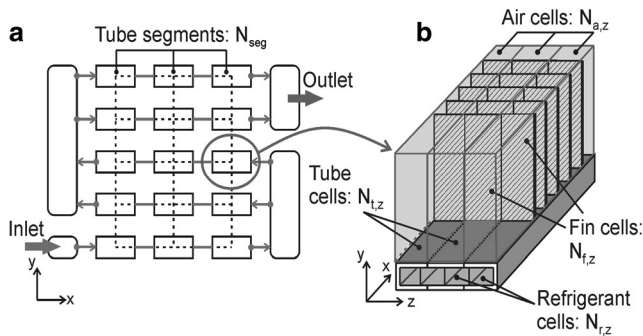


Fig. 2 a Discretization of minichannel heat exchanger to segments. b Schematic of segment discretization into cells

445 the  $z$ -direction, so that  $N_{a,z} = N_{f,z}$ . Accordingly, the  
 446 discretization for a minichannel heat exchanger is summarized  
 447 in the following as a grid:  $\{N_{seg}, N_{r,z}, N_{t,z}, N_{a,z}\}$ .

448 In the case of minichannel evaporators, the moist air ex-  
 449 change sensible and/or latent heat by convection with the tube  
 450 and fin cells in contact. The fin cells, in turn, conduct the heat  
 451 along the  $y$ -direction, and the bottom and top fin cells also  
 452 conduct heat to the neighboring tube wall. Then, the tube cells  
 453 transfer the total heat to the refrigerant cells in contact by  
 454 convection, and to their neighboring tube cells on the plane  
 455  $x$ - $z$  by conduction. The refrigerant flows in the channels along  
 456 the  $x$ -direction without any mixing between the channels.

457 Regarding the fluid cells, either air or refrigerant, there are  
 458 two classifications: elemental cell and mixture cell. The ele-  
 459 mental cell corresponds to the one described above, where the  
 460 heat is exchanged with the surrounding tube and/or fin walls.  
 461 The mixture cell is assumed to be adiabatic, and its function is  
 462 collecting the fluid from a number of tubes and distributing it  
 463 into the next tubes according to the heat exchanger circuitry.  
 464 The inlet and outlet ports of each tube are connected to the  
 465 corresponding mixture cells. The distribution of these mixture  
 466 fluid cells and the definition of the tubes connected to them  
 467 determine the flow path of each fluid.

468 **2.2 Governing equations**

469 Every fluid cell (either refrigerant or air) has two nodes, which  
 470 correspond to the inlet and outlet sections in the fluid flow  
 471 direction. The tube wall cells have only one node located in  
 472 the centroid of the cell, as shown in Fig. 3. On the other hand,  
 473 the fins do not have any nodes because a continuous function  
 474 governs in this case.

475 **2.2.1 Tube wall analysis**

476 The energy conservation equation within any of the tube wall  
 477 cells  $t$ , in contact with  $n_r$  refrigerant cells,  $n_a$  air cells, and  $n_f$  fin  
 478 cells can be written as:

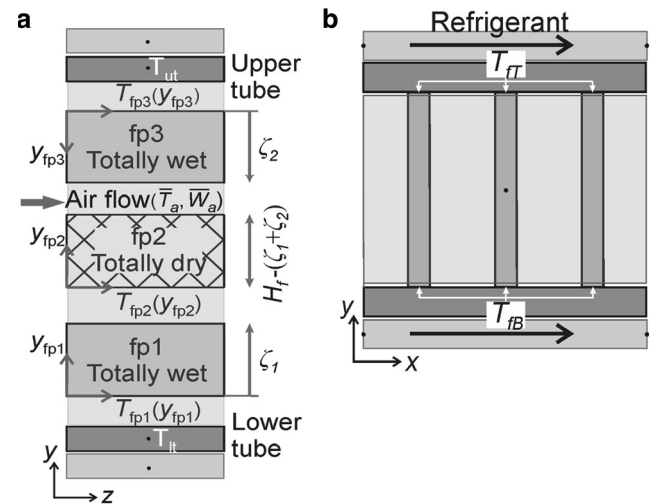


Fig. 3 a  $y$ - $z$  plane, which shows the virtual discretization of the fin in  $y$ -direction and boundaries between wet and dry portions,  $\zeta_1$  and  $\zeta_2$ . b  $y$ - $x$  plane, which shows the location of  $T_{FB}$  and  $T_{FT}$

$$\nabla(k_t \cdot \nabla T_{c,t}) dV + \sum_{r=1}^{n_r} U_{r,t} (T_r - T_{c,t}) dA_{r,t} + \sum_{a=1}^{n_a} U_{wet,a,t} (T_{a,t}^* - T_{c,t}) dA_{a,t} + \sum_{f=1}^{n_f} dQ_{cond,f} \Big|_{fin\ root} = 0 \quad (1)$$

The first term in Eq. 1 represents the 2D heat conduction between the current tube wall cell and neighboring tube wall cells in  $x$ - $z$  plane. The second and third terms represent the total heat transfer between the current tube wall cell and adjacent refrigerant cells and air cells, respectively. While, the last term represents the total heat conducted from/to the fin cells in contact with the tube.

It should be noted that a linearization scheme is used in Eq. 1 (third term) to relate the saturated air humidity ratio to its corresponding surface tube wall temperature, using the dual-potential approach [39], where  $W_{sat,s,t} = a_{a,t} + b_{a,t} T_{s,t}$ . It should be noticed that  $T_{s,t}$  and  $T_{c,t}$  are the temperatures evaluated, respectively, at the surface and centroid of the tube wall cell. Additionally, Table 1 defines the other parameters used in Eq. 1.

497 **2.2.2 Fin wall analysis**

498 As it discussed before in Hassan et al. [37], the physical  
 499 discretization of the fin is one-dimensional (along the air flow  
 500 direction). However, to capture the actual fin condition, it has  
 501 to be virtually discretized into three portions (fp1, fp2, and  
 502 fp3) in the  $y$ -direction (along the fin height), as shown in  
 503 Fig. 3a. Also, it can be noticed that each portion has its local  
 504 coordinates ( $y_{fp1}$ ,  $y_{fp2}$ , and  $y_{fp3}$ ) to simplify the analysis of fin  
 505 equation. The area of each portion is specified depending on  
 506  $\zeta_1$  and  $\zeta_2$ , which represent the boundaries between wet and  
 507 portions. These virtual boundaries differ from one fin cell to

t1.1 **Table 1** Definition of the parameters used in Eq. 1

t1.2	Parameter	Definition
t1.3	$U_{r,t} = 1/[(t_f/2 \cdot k_t) + (1/\alpha_{r,t})]$	is the overall heat transfer coefficient for the refrigerant-side;
t1.4	$U_{wet,a,t} = 1/[(t_f/2 \cdot k_t) + (1/\alpha_{wet,a,t})]$	is the overall heat transfer coefficient for the air-side under wet conditions;
t1.5	$\alpha_{wet,a,t} = \alpha_a \cdot f(1 + \beta_a \cdot b_{a,t})$	is the total heat transfer coefficient for the air-side under wet conditions, while $\alpha_{a,t}$ is the sensible heat transfer coefficient between the surrounding air and tube wall cell, if there is no dehumidification then $\alpha_{wet,a} = \alpha_a$ ;
t1.6	$\beta_a = h_{fg}/C_{p,ma} \cdot Le^{2/3}$	is a parameter resulted from using the Chilton-Colburn analogy which relates the heat and mass transfer coefficients [39];
t1.7	$b_{a,t} = (W_a - W_{sat,s,t})/(T_{dp} - T_{s,t})$	is the slope of saturation curve which is evaluated between the dew point of surrounding air and tube surface temperature; and
t1.8	$T_{a,t}^* = \frac{T_a + \beta_a [W_a - (W_{sat,s,t} - b_{a,t} T_{s,t})]}{1 + \beta_a \cdot b_{a,t}}$	is the modified temperature for moist air, if there is no dehumidification then $T_{a,t}^* = T_a$ .

508 another depending on the fin tip and base temperatures, and  
 509 dew point of surrounding air. Consequently, the fin could be  
 510 totally wet ( $\zeta_1 = H_f$  and  $\zeta_2 = 0$ ), totally dry ( $\zeta_1 = \zeta_2 = 0$ ), or  
 511 partially wet ( $H_f > \zeta_{1,2} \geq 0$ ).

512 According to the previous discussion, each fin cell has a  
 513 piecewise governing equation (Eq. 2) which consists of three  
 514 sub-functions. These sub-functions represent a continuous  
 515 temperature profile for the complete fin under any  
 516 dehumidifying scenario.

$$519 \theta_{a,f}(y) \begin{cases} \theta_{a,fp1}(y_{fp1}) = C_1 e^{M \cdot y_{fp1}} + C_2 e^{-M \cdot y_{fp1} - \psi} & 0 \leq y_{fp1} \leq \zeta_1 \\ \theta_{a,fp2}(y_{fp2}) = C_3 e^{m \cdot y_{fp2}} + C_4 e^{-m \cdot y_{fp2}} & 0 \leq y_{fp2} \leq H_f - (\zeta_1 + \zeta_2) \\ \theta_{a,fp3}(y_{fp3}) = C_5 e^{M \cdot y_{fp3}} + C_6 e^{-M \cdot y_{fp3} - \psi} & 0 \leq y_{fp3} \leq \zeta_2 \end{cases} \quad (2)$$

518 where  $m = \sqrt{P_f \cdot \alpha_{a,f} / k_f \cdot A_c}$ ,  $M = m \sqrt{1 + \beta_a b_{a,f}}$ , and  
 $\psi = \beta_a \{ W_a - [W_{sat,s,t} - (b_{a,f} T_a)] - b_{a,f} T_a \} / 1 + \beta_a b_{a,f}$

520  $\theta_{a,f}$  represents the temperature difference between air and fin, and  
 521  $\psi$  is a parameter which includes the effect of moist air humidity  
 522 ratio on the fin temperature profile.  $b_{a,f}$  is the slope of saturation  
 523 curve evaluated between the dew point of surrounding air and  
 524 minimum fin root temperature [39], which can be calculated  
 525 similar to  $b_{a,t}$  in Table 1. The unknown constants from  $C_1$  to  
 526  $C_6$  must be calculated from the boundary conditions of the heat  
 527 transfer problem along the fin height. Consequently, the condi-  
 528 tions required to calculate these constants are:  
 529

$$B.C. \begin{cases} \theta_{a,fp1}(y_{fp1} = 0) = \theta_{a,B} = T_a - T_{fB}, \\ \theta_{a,fp1}(y_{fp1} = \zeta_1) = \theta_{a,fp2}(y_{fp2} = 0), \\ \frac{d\theta_{a,fp1}}{dy_{fp1}} \Big|_{y_{fp1}=\zeta_1} = \frac{d\theta_{a,fp2}}{dy_{fp2}} \Big|_{y_{fp2}=0}, \\ \theta_{a,fp3}(y_{fp3} = 0) = \theta_{a,FT} = T_a - T_{fT}, \\ \theta_{a,fp2}(y_{fp2} = H_f - (\zeta_1 + \zeta_2)) = \theta_{a,fp3}(y_{fp3} = \zeta_2), \text{ and} \\ \frac{d\theta_{a,fp2}}{dy_{fp2}} \Big|_{y_{fp2}=H_f-(\zeta_1+\zeta_2)} = -\frac{d\theta_{a,fp3}}{dy_{fp3}} \Big|_{y_{fp3}=\zeta_2}, \text{ where} \\ \text{the negative sign in the right-hand-} \\ \text{side comes from the opposite directions of } y_{fp2} \text{ and } y_{fp3}. \end{cases} \quad (3)$$

Equation 2 and its boundary conditions (Eq. 3) as-  
 530 sume uniform air temperature and humidity ratio along  
 531 y-direction within the air cell adjacent to the evaluated  
 532 fin cell. So,  $\bar{T}_a$  and  $\bar{W}_a$  represent the integrated mean  
 533 values for air temperature and humidity ratio within the  
 534 cell, respectively. The positions of  $T_{fB}$  and  $T_{fT}$  are  
 535 shown in Fig. 3b. Therefore, it is possible to define  
 536 the fin temperature profile  $T_f$  as follows:  
 537  
 538  
 539  
 540

$$T_f(y) = \begin{cases} T_{fp1}(y_{fp1}) = \bar{T}_a - \theta_{a,fp1}(y_{fp1}) \\ T_{fp2}(y_{fp2}) = \bar{T}_a - \theta_{a,fp2}(y_{fp2}) \\ T_{fp3}(y_{fp3}) = \bar{T}_a - \theta_{a,fp3}(y_{fp3}) \end{cases}_f \quad (4)$$

$$= \left[ A(y_{fp1}, y_{fp2}, y_{fp3}) \right] \cdot \begin{bmatrix} \bar{T}_a \\ T_{fB} \\ T_{fT} \\ \bar{\psi} \end{bmatrix}$$

where  $A(y_{fp1}, y_{fp2}, y_{fp3})$  is a  $3 \times 4$  matrix that depends  
 541 on the local coordinates, fin geometry, dry fin parameter  
 542  $m$ , wet fin parameter  $M$ ,  $\zeta_1$ , and  $\zeta_2$ .  
 543  
 544

### 2.2.3 Refrigerant flow analysis

The energy balance in each refrigerant cell  $r$  in contact with  $n_t$   
 545 tube wall cells ( $t = 1 \rightarrow n_t$ ) is explained in Eq. 5.  
 546  
 547

$$\dot{m}_r \cdot dh_r = - \sum_{t=1}^{n_t} \alpha_{r,t} (T_r - T_{s,t}) dA_{r,t} \quad (5)$$

The total refrigerant-side pressure drop along the  $x$ -direc-  
 548 tion consists of frictional, acceleration, and gravitational pres-  
 549 sure drop terms, as follows  
 550  
 551  
 552  
 553

$$\left( \frac{dp}{dx} \right)_{r,tot} = \left( \frac{dp}{dx} \right)_{r,fric} + \left( \frac{dp}{dx} \right)_{r,acc} + \left( \frac{dp}{dx} \right)_{r,grav} \quad (6)$$

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557 In the superheat region, the single-phase total pressure drop  
558 can be expressed as:

$$\left(\frac{dp}{dx}\right)_{sp,tot} = \frac{f_G}{2} \frac{G_r^2}{D_h \rho_G} \Big|_{sp,fric} + G_r^2 \left[ \frac{1}{\rho_{G,out}} - \frac{1}{\rho_{G,in}} \right] \Big|_{sp,acc} + g \rho_G \sin \xi \Big|_{sp,grav} \quad (7)$$

561 However, in the two-phase region, the total pressure drop  
562 for refrigerant-side can be written as:

$$\left(\frac{dp}{dx}\right)_{tp,tot} = \frac{dp}{dx} \Big|_{tp,fric} + G_r^2 \left\{ \left[ \frac{X^2}{\rho_G \Omega} + \frac{(1-X)^2}{\rho_L (1-\Omega)} \right]_{out} - \left[ \frac{X^2}{\rho_G \Omega} + \frac{(1-X)^2}{\rho_L (1-\Omega)} \right]_{in} \right\} \Big|_{tp,acc} + g [\Omega \rho_G + (1-\Omega) \rho_L] \sin \xi \Big|_{tp,grav} \quad (8)$$

566 The void fraction  $\Omega$  is modeled as a separated-flow,  
567 adopting Chisholm's correlation [40] for the slip ratio.  
568 Table 2 shows different correlations for refrigerant-side fric-  
569 tional pressure drop coefficient (FPDC) and heat transfer co-  
570 efficient (HTC) which were applied to the current model. A  
571 comparative study between these correlations is presented lat-  
572 er in Section 4 to find the combination which properly esti-  
573 mates the experimental values.

575 **2.2.4 Moist air flow analysis**

576 Equation 9 represents the heat rate balance within an air cell  $a$   
577 in contact with a fin cell  $f$ , which is discretized into three  
578 portions ( $fp = 1-3$ ), and  $n_t$  tube cells

$$\dot{m}_a \cdot C_{p,ma} \cdot dT_a = - \sum_{fp=1}^3 \alpha_{a,fp} \cdot \theta_{a,fp} \cdot dz dy_{fp} - \sum_{t=1}^{n_t} \alpha_{a,t} (T_a - T_{s,t}) dA_{a,t} \quad (9)$$

580 where  $\theta_{a,fp}$  represents the temperature difference between sur-  
581 rounding air and corresponding fin portion temperature.

The mass balance, taking into account the Chilton-Colburn  
582 analogy [39], within any air cell gives:  
583

$$\dot{m}_a \cdot dW_a = \frac{1}{Le^{2/3} \cdot C_{p,ma}} \left[ - \sum_{fp=1}^3 \alpha_{a,fp} \cdot \omega_{a,fp} \cdot dz dy_{fp} - \sum_{t=1}^{n_t} \alpha_{a,t} (W_a - W_{sat,s,t}) dA_{a,t} \right] \quad (10)$$

584 where  $\omega_{a,fp}$  is the humidity ratio difference between the sur-  
585 rounding air and saturated air evaluated at specific fin portion  
586 temperature. In Eq. 10 the air cell can only exchange mass  
587 with the tube wall cells and fin portions whose temperatures  
588 are below the dew point of the air (dehumidification process  
589 exists); otherwise, Eq. 10 is not applied and  $dW_a = 0$ .  
590

591 The total air-side pressure drop along the  $z$ -direction com-  
592 prises frictional, acceleration, contraction, and expansion pres-  
593 sure drop terms, as follows

$$\left(\frac{dp}{dz}\right)_{a,tot} = \left(\frac{dp}{dz}\right)_{a,fric} + \left(\frac{dp}{dz}\right)_{a,acc} + \left(\frac{dp}{dz}\right)_{a,cont} + \left(\frac{dp}{dz}\right)_{a,exp} \quad (11)$$

594 where the frictional and acceleration terms are calculated sim-  
595 ilarly to Eq. 7. The pressure drop terms due to the sudden  
596 contraction and expansion in the heat exchanger are obtained  
597 following Kays and London [43]. The correlations used to  
598 evaluate the air-side HTC and FPDC are shown in Table 2.  
599

600 **2.3 Numerical scheme**

601 The finite volume method (FVM) was adopted to discretize  
602 the governing equations shown in the previous sub-section. In  
603 the presented governing equations, the wall temperature has  
604 been considered as the iterative variable of the problem, and  
605 the semi-explicit method for wall temperature linked equa-  
606 tions (SEWTLE), which was proposed by Corberán et al.  
607 [50], has been applied to solve the problem. The use of the  
608 wall temperature as an independent variable gives more free-  
609 dom to express explicitly the heat and mass transfer

t2.1 **Table 2** Correlations used in the current model for coefficients evaluation

t2.2 Fluid type	Heat transfer coefficient (HTC)	Frictional pressure drop coefficient (FPDC)	Expansion/Contraction pressure losses
t2.3 Refrigerant:			
t2.4 Single-phase	Gnielinski [41]	Churchill [42]	Kays and London [43]
t2.5 Two-phase	– Bennett and Chen (BC) [44] – Cooper (CO) [45] – Hwang (HW) [10] – Kandlikar and Balasubramanian (KB) [46] – Wojtan et al. (WUT) [47]	– Muller-Steinhagen and Heck (MSH) [21] – Mishima and Hibiki (MH) [48] – Tran et al. (TWF) [11] – Lee and Mudawar (LM) [49]	Kays and London [43]
t2.6 Air:			
t2.7 Dry condition	Kim and Bullard [12]	Kim and Bullard [12]	Kays and London [43]
t2.8 Wet condition	Kim and Bullard [13]	Kim and Bullard [13]	Kays and London [43]



610 phenomenon. Besides, it allows the formulation of equations  
 611 for energy conservation with fewer assumptions compared to  
 612 the classical  $\epsilon$ -NTU approach. Hassan et al. [37] explained, in  
 613 detail, the complete numerical scheme used to discretize the  
 614 governing equations, so that in the current paper only the final  
 615 discretized equations are presented.

616 **2.3.1 Discretization of tube wall governing equation**

617 The discretization of tube wall energy balance (Eq. 1) can be  
 618 expressed as follows:

$$\lambda_t \cdot T_{c,t} - \sum_{k=W,E,S,N} \lambda_{t-k} \cdot T_{c,k} = \sum_{r=1}^{n_r} U_{r,t} (\bar{T}_r - T_{c,t}) P_{r,t} \Delta x_{r,t} + \sum_{a=1}^{n_a} U_{wet,a,t} (\bar{T}_{a,t}^* - T_{c,t}) P_{a,t} \Delta z_{a,t} + \sum_{f=1}^{n_f} Q_{cond,f} \Big|_{fin\ root} \quad (12)$$

619 where

$$\bar{T}_r = \frac{T_{r,in} + T_{r,out}}{2}, \bar{T}_{a,t}^* = \frac{T_{a,t}^*|_{in} + T_{a,t}^*|_{out}}{2},$$

$$\lambda_{t-W} = \frac{k_t A_{t-W}}{\delta l_{t-W}}, \lambda_{t-E} = \frac{k_t A_{t-E}}{\delta l_{t-E}}, \lambda_{t-S} = \frac{k_t A_{t-S}}{\delta l_{t-S}},$$

$$\lambda_{t-N} = \frac{k_t A_{t-N}}{\delta l_{t-N}}, \text{ and } \lambda_t = \sum_{k=W,E,S,N} \lambda_{t-k}.$$

620 All  $\lambda_{t-k}$  terms refer to the conductance between the current  
 621 tube wall cell  $t$  and the adjacent tube wall cell (within  $x$ - $z$   
 622 plane) in the  $k$ -direction.

623 It is worth mentioning that in Eq. 12 the linear fluid tem-  
 624 perature variation (LFTV) scheme was employed [50]. This  
 625 scheme is based on temperature average and the assumption  
 626 that the fluids temperatures, and humidity ratios in the case of  
 627 moist air flow, along the fluids passes have a piecewise linear  
 628 function.

633 **2.3.2 Discretization of fin wall governing equation**

634 The fin temperature profile (Eq. 4) after discretization can be  
 635 illustrated as:

$$\bar{\theta}_{a,f} = \begin{Bmatrix} \bar{\theta}_{a,fp1} \\ \bar{\theta}_{a,fp2} \\ \bar{\theta}_{a,fp3} \end{Bmatrix}_{a,f} = \begin{Bmatrix} \bar{T}_a - \bar{T}_{fp1} \\ \bar{T}_a - \bar{T}_{fp2} \\ \bar{T}_a - \bar{T}_{fp3} \end{Bmatrix}_{a,f} = [B] \cdot \begin{Bmatrix} \bar{T}_a \\ T_{fB} \\ T_{fT} \\ \bar{\psi} \end{Bmatrix} \quad (13)$$

636 where  $[B]$  is a  $3 \times 4$  matrix that depends on fin geometry, dry  
 637 fin parameter  $m$ , wet fin parameter  $M$ ,  $\zeta_1$ , and  $\zeta_2$ . Complete  
 638 evaluation of the elements of this matrix was introduced in  
 639 [37] (Appendix A).  
 640

641 **2.3.3 Discretization of refrigerant flow governing equation**

642 Equation 14 represents the discretization of energy balance  
 643 within any refrigerant cell (Eq. 5)  
 644

$$T_{r,out} = \frac{T_{r,in} \left( 1 - 0.5 \sum_{t=1}^{n_t} NTU_{r,t} \right) + \sum_{t=1}^{n_t} NTU_{r,t} \cdot T_{s,t}}{\left( 1 + 0.5 \sum_{t=1}^{n_t} NTU_{r,t} \right)} \quad (14)$$

645 where  $NTU_{r,t} = \frac{\alpha_{r,t} A_{r,t}}{\dot{m}_r \bar{C}_{p,r}}$  645

646 Equation 14 is used for a one-phase flow, whereas for two-  
 647 phase flow the outlet temperature depends on the outlet  
 648 pressure.

649 **2.3.4 Discretization of moist air flow governing equations**

650 Based on Eqs. 9 and 10 and considering the fin temperature  
 651 profile (Eq. 13), the following expressions represent the aver-  
 652 age air temperature and humidity ratio, subsequently the outlet  
 653 air temperature and humidity ratio, within any moist air cell.

$$\bar{T}_a \left\{ 2 + NTU_{a,fp1} \cdot B_{1,1} + NTU_{a,fp2} \cdot B_{2,1} + NTU_{a,fp3} \cdot B_{3,1} + \sum_{t=1}^{n_t} NTU_{a,t} \right\} =$$

$$\left\{ \begin{array}{l} 2T_a^{in} - [NTU_{a,fp1} \cdot B_{1,2} + NTU_{a,fp2} \cdot B_{2,2} + NTU_{a,fp3} \cdot B_{3,2}] T_{fB} - \\ [NTU_{a,fp1} \cdot B_{1,3} + NTU_{a,fp2} \cdot B_{2,3} + NTU_{a,fp3} \cdot B_{3,3}] T_{fT} - \\ [NTU_{a,fp1} \cdot B_{1,4} + NTU_{a,fp2} \cdot B_{2,4} + NTU_{a,fp3} \cdot B_{3,4}] \bar{\psi} + \sum_{t=1}^{n_t} NTU_{a,t} \cdot T_{s,t} \end{array} \right\} \quad (15)$$

$$\bar{T}_a = \frac{T_a^{in} + T_a^{out}}{2}, NTU_{a,fp} = \frac{\alpha_{a,fp} A_{a,fp}}{\dot{m}_a \bar{C}_{p,ma}}, \text{ and } NTU_{a,t} = \frac{\alpha_{a,t} A_{a,t}}{\dot{m}_a \bar{C}_{p,ma}} \quad (\text{where})$$

$$\bar{W}_a \left\{ 2 + NMTU_{a,fp1} + 0 + NMTU_{a,fp3} + \sum_{t=1}^{n_t} NMTU_{a,t} \right\} =$$

$$\left\{ \begin{array}{l} 2W_a^{in} + a_{a,f} [NMTU_{a,fp1} + 0 + NMTU_{a,fp3}] - [b_{a,f} (NMTU_{a,fp1} (B_{1,1}-1) + 0 + NMTU_{a,fp3} (B_{3,1}-1))] T_a - \\ [b_{a,f} (NMTU_{a,fp1} \cdot B_{1,2} + 0 + NMTU_{a,fp3} \cdot B_{3,2})] T_{fB} - [b_{a,f} (NMTU_{a,fp1} \cdot B_{1,3} + 0 + NMTU_{a,fp3} \cdot B_{3,3})] T_{fT} - \\ [b_{a,f} (NMTU_{a,fp1} \cdot B_{1,4} + 0 + NMTU_{a,fp3} \cdot B_{3,4})] \bar{\psi} + \sum_{t=1}^{n_t} NMTU_{a,t} \cdot W_{sat,t} \end{array} \right\} \quad (16)$$

$$\bar{W}_a = \frac{W_a^{in} + W_a^{out}}{2}, NMTU_{a,fp} = \frac{\alpha_{a,fp} A_{a,fp}}{\dot{m}_a \bar{C}_{p,ma} \cdot Le^{2/3}}, \text{ and } NMTU_{a,t} = \frac{\alpha_{a,t} A_{a,t}}{\dot{m}_a \bar{C}_{p,ma} \cdot Le^{2/3}} \quad (\text{where})$$

666 **2.4 Solution methodology**

667 Figure 4 represents the solution methodology and procedures  
 668 applied in the current model.

669 After the initialization process, the iteration process  
 670 begins, which consists of three main steps. The first step  
 671 is to calculate the outlet temperatures for all fluids cells  
 672 using Eq. 14 for refrigerant flow, and Eq. 15 for moist air  
 673 flow. Afterwards, Eq. 16 is used to calculate the moist air  
 674 outlet humidity ratios. In the first iteration the  
 675 dehumidifying condition of the fin has not yet been

676 evaluated, so that the fin is assumed to be totally dry. The  
 677 second step is to calculate the tube wall cells temperatures  
 678 using Eq. 12. It can be observed that this equation consi-  
 679 ders the 2D heat conduction between the current tube  
 680 wall cell and neighboring cells, which results in a system  
 681 of linear equations involving all the tube wall cells tem-  
 682 peratures. To solve this system of equations, the line-by-  
 683 line iteration method is adopted in the current model [51].  
 684 This method converts the system of equations into a set of  
 685 explicit linear equations, which can be solved easily.

686 The third step of the iteration process is to evaluate  
 687 the dehumidifying condition of each fin cell (either to  
 688 be totally dry, totally wet, or partially wet) then calcu-  
 689 late its average temperature. Firstly, the fin cell  
 690 dehumidifying condition is evaluated according to the  
 691 fin cell roots temperatures and average dew point tem-  
 692 perature of the surrounding air. After identifying the real  
 693 fin cell condition,  $\zeta_1$  and  $\zeta_2$  are calculated using Eqs. 17  
 694 and 18, respectively. Secondly, the exact length for each  
 695 fin portion (fp1, fp2, and fp3) is calculated based on  $\zeta_1$ ,  
 696  $\zeta_2$ , and  $H_f$ .

$$\zeta_1 = \frac{1}{m} \cdot \ln \left[ \frac{-1}{2(\theta_{a,IT} e^{mH_f} - \theta_{a,IB})} \times \left[ \frac{-\bar{\theta}_{dp} e^{2mH_f} + \bar{\theta}_{dp} e^{mH_f} + \sqrt{\bar{\theta}_{dp}^2 e^{6mH_f} - 2(2\theta_{a,IB}\theta_{a,IT} e^{mH_f} + \bar{\theta}_{dp}^2 - 2\theta_{a,IB}^2) e^{4mH_f} + e^{4mH_f}}}{4\theta_{a,IT}^2 e^{2mH_f} - 4\theta_{a,IB}\theta_{a,IT} e^{mH_f} + \bar{\theta}_{dp}^2} e^{2mH_f} \right] \right] \quad (17)$$

699

$$\zeta_2 = H_f \cdot \left[ \frac{1}{m} \cdot \ln \left[ \frac{2(\theta_{a,IT} e^{mH_f} - \theta_{a,IB})}{\bar{\theta}_{dp} e^{2mH_f} - \bar{\theta}_{dp} e^{mH_f} + \sqrt{\bar{\theta}_{dp}^2 e^{6mH_f} - 2(2\theta_{a,IB}\theta_{a,IT} e^{mH_f} + \bar{\theta}_{dp}^2 - 2\theta_{a,IB}^2) e^{4mH_f} + e^{4mH_f}}} \right] \right] \quad (18)$$

702

700  $\bar{\theta}_{dp} = \bar{T}_a - \bar{T}_{dp}$ ,  $\theta_{a,IB} = \bar{T}_a - T_{IB}$ , and  $\theta_{a,IT} = \bar{T}_a - T_{IT}$ . (where)

705

706 Finally, the average fin cell temperature is determined  
 707 using Eq. 13. It can be noticed that there is no longi-  
 708 tudinal heat conduction in the fin along the  $z$ -direction,  
 709 subsequently the fin wall temperature calculations do  
 710 not need any iterative procedures. Based on this, the  
 711 fin wall temperature field is obtained explicitly. The  
 712 iterative process continues till the value of the residual  
 713 converges to the required tolerance.

### 714 3 Experimental setup

715 The experiments were carried out in a reversible air-to-  
 716 refrigerant heat pump test facility, as shown in Fig. 5,  
 717 which mainly consists of three circuits: air, water, and  
 718 refrigerant loops. The heat pump operates with R-134a  
 719 and it is equipped with: a multi-speed hermetic

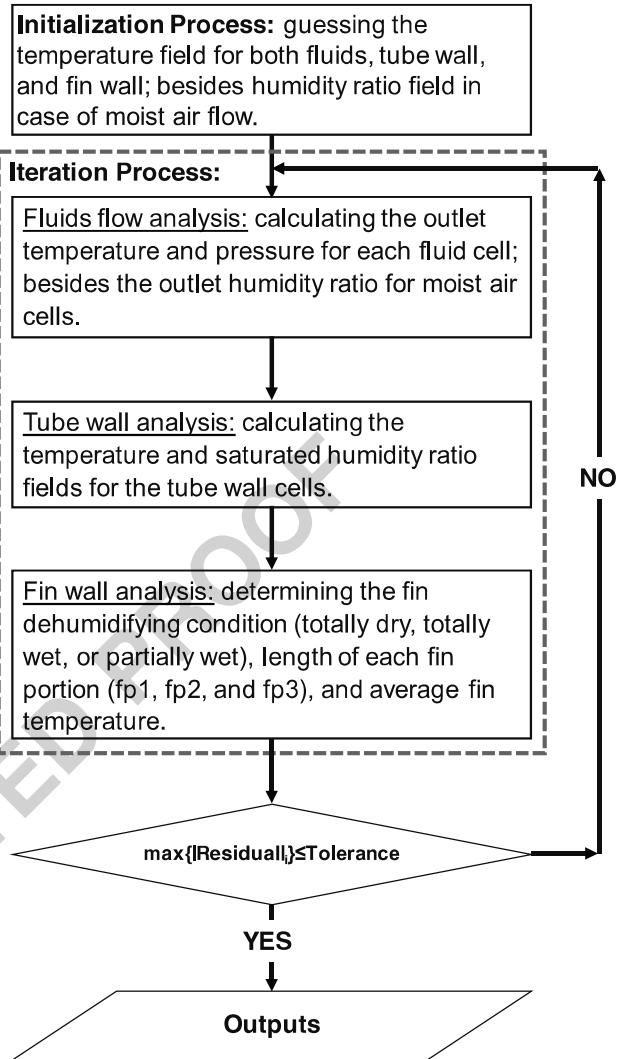


Fig. 4 Flowchart of the presented evaporator model (MCHX-1D-MB)

720 reciprocating compressor with a displacement of 720  
 721 34.38 cm<sup>3</sup>, a brazed plate condenser (water-to-refrigerant) 721  
 722 an electronic valve as the expansion device, and 722  
 723 finally, an aluminum minichannel evaporator (Table 3 723  
 724 lists its main specifications). The operating conditions, 724  
 725 which were specified as input data for the tested evap- 725  
 726 orator, are summarized in Table 4. The energy balance 726  
 727 between the evaporator's air- and refrigerant-side was 727  
 728 within  $\pm 10\%$  error bands, with a mean absolute relative 728  
 729 deviation (MARD) of  $\pm 6\%$ , where 729

$$\text{MARD}^{\text{cooling capacity}} = \left( \frac{1}{\text{Experimental points } (n_{\text{exp}})} \sum_{i=1}^{n_{\text{exp}}} \left| \frac{\text{Air-side capacity} - \text{Refrigerant-side capacity}}{\text{Refrigerant-side capacity}} \right| \right) \times 100 \quad (19)$$

730 Table 5 provides the range of measurements and corre- 730  
 731 sponding maximum uncertainties. 732  
 733

734 **4 Model validation and discussion**

735 **4.1 Model validation with R-134a minichannel**  
 736 **evaporator results**

737 The numerical grid size chosen was the one that gave a good  
 738 balance between accuracy and computational cost. According  
 739 to the previous grid definition and Fig. 2, the discretization  
 740 grid employed for all the predicted results was: {5, 3, 3, 3}.  
 741 For humid air, Lewis number is usually close to unity (ranged  
 742 from 0.8 to 0.9). Also, it is relatively insensitive to variations  
 743 in temperature [52], so a Lewis number of unity was assumed  
 744 in the whole validation.

745 As previously mentioned, different combinations of  
 746 refrigerant-side FPDC and HTC correlations (Table 2) were  
 747 applied to find the most suitable one that gives the best pre-  
 748 dictions regarding the heat transfer rates and pressure drop  
 749 values. Whereas, Kim and Bullard correlations [12, 13] were  
 750 used for the air-side calculations. These correlations are rec-  
 751 ommended by many authors in the literature to evaluate the  
 752 performance of such type of fin (multi-louvered) [1, 2, 5, 53].

753 For nomenclature representation, abbreviations for the  
 754 refrigerant-side correlations have been proposed, as shown  
 755 in Table 2. The combination of refrigerant-side correlations  
 756 is illustrated as, the abbreviation of FPDC correlation + the  
 757 abbreviation of HTC correlation.

758 The input parameters to the model were refrigerant super-  
 759 heat, inlet quality, inlet air temperature and relative humidity,  
 760 and inlet mass flow rates of refrigerant and air. Whereas, the

761 inlet saturation temperature, refrigerant-side pressure drop,  
 762 outlet air temperature, and cooling capacity were the selected  
 763 parameters to validate the proposed model.

764 Figure 6 compares the calculated inlet saturation tempera-  
 765 tures  $T_{r,sat,in}$  with the measured ones for different refrigerant-  
 766 side FPDC and HTC correlations. It can be observed (Fig. 6a  
 767 and b) that the best combinations are MH + KB and LM + CO,  
 768 they predicted quite well the inlet saturation temperature  
 769 values within  $\pm 0.5$  °C error bands, with nearly similar mean  
 770 absolute error (MAE) values of  $\pm 0.239$  and  $\pm 0.237$  °C, re-  
 771 spectively. In the current study the MAE is calculated as:

$$MAE = \left\{ \begin{aligned} &= \frac{1}{\text{Experimental points } (n_{exp})} \sum_1^{n_{exp}} |\text{Predicted value} - \text{Measured value}|, \text{ as a mean absolute difference} \\ &= \left( \frac{1}{\text{Experimental points } (n_{exp})} \sum_1^{n_{exp}} \frac{|\text{Predicted value} - \text{Measured value}|}{\text{Measured value}} \right) \times 100, \text{ as a mean absolute percentage} \end{aligned} \right. \quad (20)$$

772 The calculated refrigerant-side pressure drop  $\Delta p_r$  against  
 773 the measured data is depicted in Fig. 7, for different HTC and  
 774 FPDC correlations. It can be seen that the combinations of  
 775 MH + KB (Fig. 7a), MH + CO (Fig. 7b), and LM + CO (Fig.  
 776 7b) give the most appropriate prediction results for the  $\Delta p_r$ .  
 777 They successfully estimated the data within  $\pm 20\%$  error  
 778 bands, with MAE values of  $\pm 9.12$ ,  $\pm 9.98$ , and  $\pm 10.02\%$ ,  
 779 respectively.

780 It can be concluded from the previous results that the com-  
 781 bination of MH + KB is the most appropriate one for  
 782 refrigerant-side calculations in the current study. Based on  
 783 MH + KB combination, and Kim and Bullard correlations  
 784  
 785

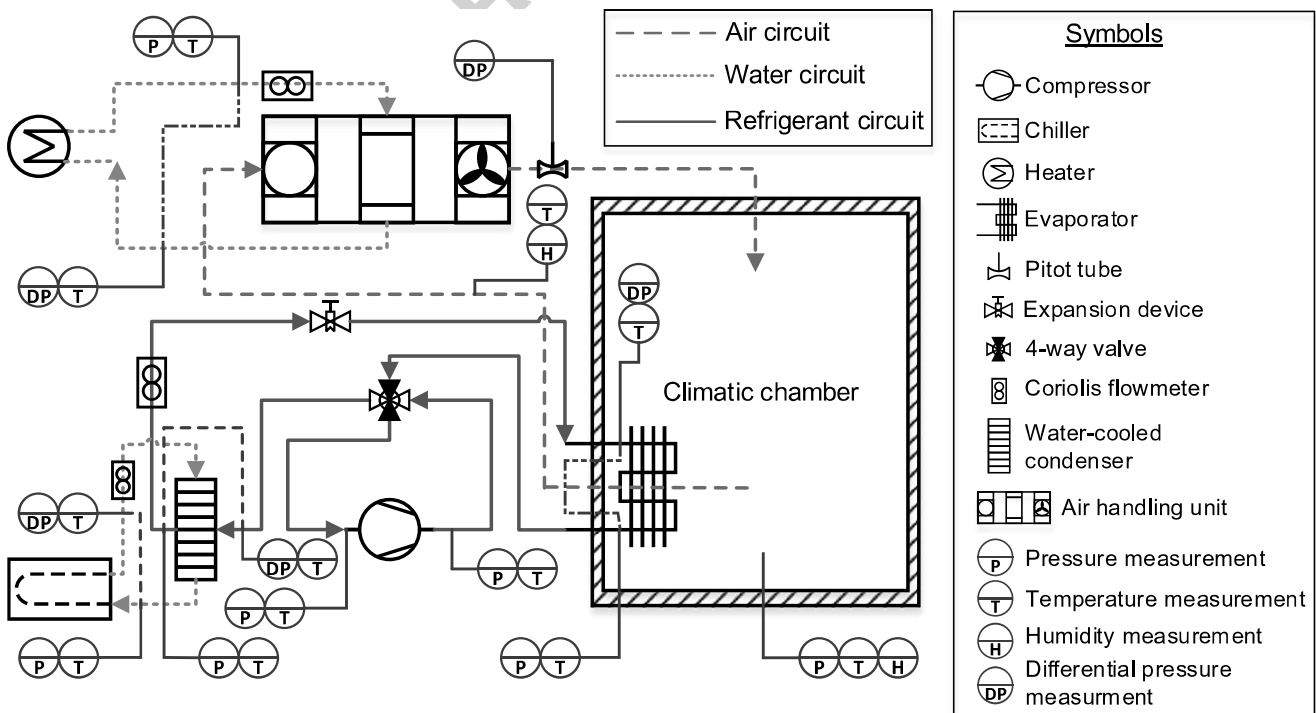


Fig. 5 Schematic diagram of the test facility for the R-134a air-to-refrigerant heat pump

t3.1 **Table 3** R-134a minichannel evaporator's specifications

t3.2	Core height (mm)	335.49
t3.3	Core length (finned length) (mm)	483.3
t3.4	Core depth (air-flow direction) (mm)	18.8
t3.5	Number of slabs	1
t3.6	Face area (m <sup>2</sup> )	0.1606
t3.7	Total air-side area (m <sup>2</sup> )	2.6678
t3.8	Tube major (air-flow direction) (mm)	18.8
t3.9	Tube minor (mm)	1.93
t3.10	Number of tubes	33
t3.11	Number of passes/(tubes per pass)	4/(8-6-7-12)
t3.12	Number of ports per tube	8
t3.13	Port shape	Triangular
t3.14	Port hydraulic diameter (mm)	0.78
t3.15	Fin type	Multi-louvered
t3.16	Fin thickness (mm)	0.1
t3.17	Fin height (mm)	7.33
t3.18	Fin density (fpi)	14

786 [12, 13], Figs. 8 and 9 compare the predicted outlet air temper-  
 787 ature  $T_{a,out}$  and mass flow rate of condensed water  $\dot{m}_w$  with  
 788 the experimental measurements, respectively.

789 Figure 8 shows that the proposed model predicted the  
 790 outlet air temperatures within  $\pm 0.5$  °C error bands, with  
 791 a MAE of  $\pm 0.43$  °C. On the other hand, it can be seen  
 792 in Fig. 9 that the predicted values of mass flow rate of  
 793 condensed water are within  $\pm 10\%$  error bands, with a  
 794 MAE of  $\pm 5.4\%$ .

795 The good prediction of inlet saturation temperatures, outlet  
 796 air temperatures, and water condensation rates has a positive  
 797 impact on the predicted cooling capacity as shown in Fig. 10.  
 798 It can be clearly noticed that the predicted values of cooling  
 799 capacity are within  $\pm 5\%$  error bands.

800 **4.2 Model validation with CO<sub>2</sub> minichannel**  
 801 **evaporator results**

802 The experimental results of Beaver et al. [54] were used to  
 803 validate the current model against minichannel evaporator  
 804 employed in a transcritical CO<sub>2</sub> air-conditioning system. The  
 805 characteristics of target evaporator and test conditions are  
 806 summarized in Tables 6 and 7, respectively.

807 This range of conditions allows simulating the evapo-  
 808 rator under different dry and wet conditions. The inlet  
 809 refrigerant pressure, inlet vapor quality, inlet air tempera-  
 810 ture and relative humidity, and inlet mass flow rates for  
 811 air and refrigerant were selected, in the current study, as  
 812 inputs for the presented model. On the other hand, the  
 813 cooling capacity, refrigerant-side pressure drop, and outlet  
 814 air temperature are the outputs which were selected for  
 815 the validation.

In this study, the correlation of Tran et al. [11] (TWF) was 816  
 utilized to predict the CO<sub>2</sub> frictional pressure drop coefficient. 817  
 This correlation was selected based on the recommendation of 818  
 Kim and Bullard [1] who compared between many FPDC 819  
 correlations for their CO<sub>2</sub> minichannel evaporator model. 820  
 However, to predict a proper value of refrigerant-side HTC, 821  
 different correlations were applied, see Table 2. Kim and 822  
 Bullard correlations [12, 13] were kept to estimate the air- 823  
 side HTC and FPDC. 824

Figure 11 shows the calculated cooling capacity values 825  
 versus the measured ones for different refrigerant-side HTC 826  
 correlations. It can be observed that the combination of 827  
 TWF + KB successfully estimated the cooling capacity within 828  
 $\pm 10\%$  error bands, with a MAE of  $\pm 4.3\%$ . 829

According to the previous results, the ratios of predicted to 830  
 measured refrigerant-side pressure drop versus the refrigerant 831  
 mass flow rate values are illustrated in Fig. 12. Generally, the 832  
 proposed model underpredicted the refrigerant-side pressure 833  
 drop compared to the experimental data. However, the maxi- 834  
 mum deviation between the predicted and measured values 835  
 was 11 kPa (corresponds to a deviation of  $\approx 0.1$  K in the evap- 836  
 oration temperature). 837

Finally, Fig. 13 compares the calculated outlet air temper- 838  
 ature values with the measured values. It can be noticed that 839  
 approximately 60% of the predicted data are within  $\pm 1.0$  °C 840  
 error bands with a MAE of  $\pm 0.9$  °C, while the rest are within 841  
 $\pm 2.0$  °C error bands. 842

843 **5 Effect of fin cutting on the air-side**  
 844 **performance of minichannel evaporators**

The cut fin is a widely used assumption in modeling the 845  
 heat exchangers to simplify the solution [1–5, 7]. It as- 846  
 sumes that the fin is cut at half the height. Subsequently, it 847  
 results to two separate fin portions with adiabatic-tips, as 848  
 shown in Fig. 14. 849

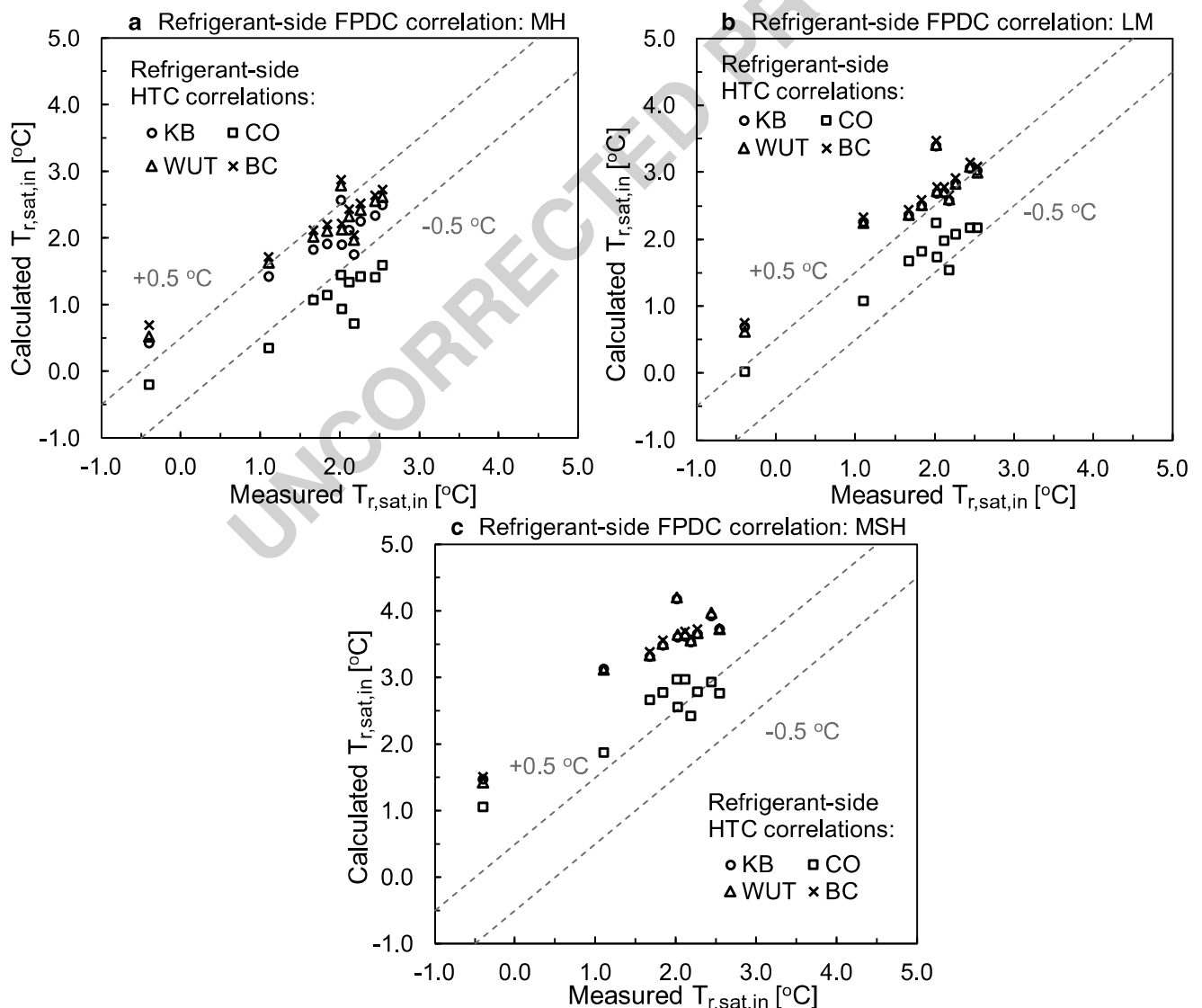
To understand the influence of cut fin assumption on 850  
 the air-side performance of minichannel evaporators, a 851  
 new model was developed (MCHX-1D-CF). The 852  
 discretization scheme and governing equations used in 853  
 MCHX-1D-CF model are almost similar to the ones 854  
 adopted by the MCHX-1D-MB model, except the fin 855  
 discretization along  $y$ -direction (fin height). As it can be 856  
 seen in Fig. 14, the middle fin portion (fp2) is omitted and 857  
 the fin cell is discretized into two equal portions (fp1 = 858  
 fp3 =  $H_f/2$ ). It is worth mentioning that the concept of 859  
 moving boundary, to distinguish between wet and dry 860  
 zones along the fin height, is no longer used in the 861  
 MCHX-1D-CF model. Instead of this, each fin portion is 862  
 evaluated separately, it could be totally dry or wet based 863  
 on fin base temperature and dew point temperature of 864  
 surrounding air. 865

t4.1 **Table 4** Operating conditions for the tested R-134a minichannel evaporator

t4.2	Air	Refrigerant		
t4.3	Inlet dry-bulb temperature (°C)	7	Inlet mass flow rate (kg/h)	32.4–38
t4.4	Inlet relative humidity (%)	73–90	Inlet vapor quality (–)	0.22–0.24
t4.5	Inlet flow rate (m <sup>3</sup> /h)	890–1890	Outlet superheat (K)	7.9–12.6

t5.1 **Table 5** Measured parameters and uncertainty

t5.2	Measured parameters	Device	Measuring range	Maximum uncertainty
t5.3	Temperature	Pt100 RTD class A 1/10 DIN	223–523 K	±0.1 K
t5.4	Absolute pressure	Piezoresistive sensor	0–1600 kPa	±14.6 kPa
t5.5	Differential pressure	Capacitive sensor	–249–248 kPa	±0.54 kPa
t5.6	Refrigerant mass flow rate	Coriolis flow meter	0–2178 kg/h	±0.5 kg/h
t5.7	Air flow rate	Venturi tube and differential pressure capacitive sensor	0.083–0.972 m <sup>3</sup> /s	±0.021 m <sup>3</sup> /s
t5.8	Air relative humidity	Capacitive humidity sensor	0–100%	±3.35%
t5.9	Compressor power consumption	Electrical measuring transducer	0–1500 W	±8.66 W



**Fig. 6** Comparison of calculated and measured inlet saturation temperatures  $T_{r,sat,in}$  for the tested R-134a minichannel evaporator

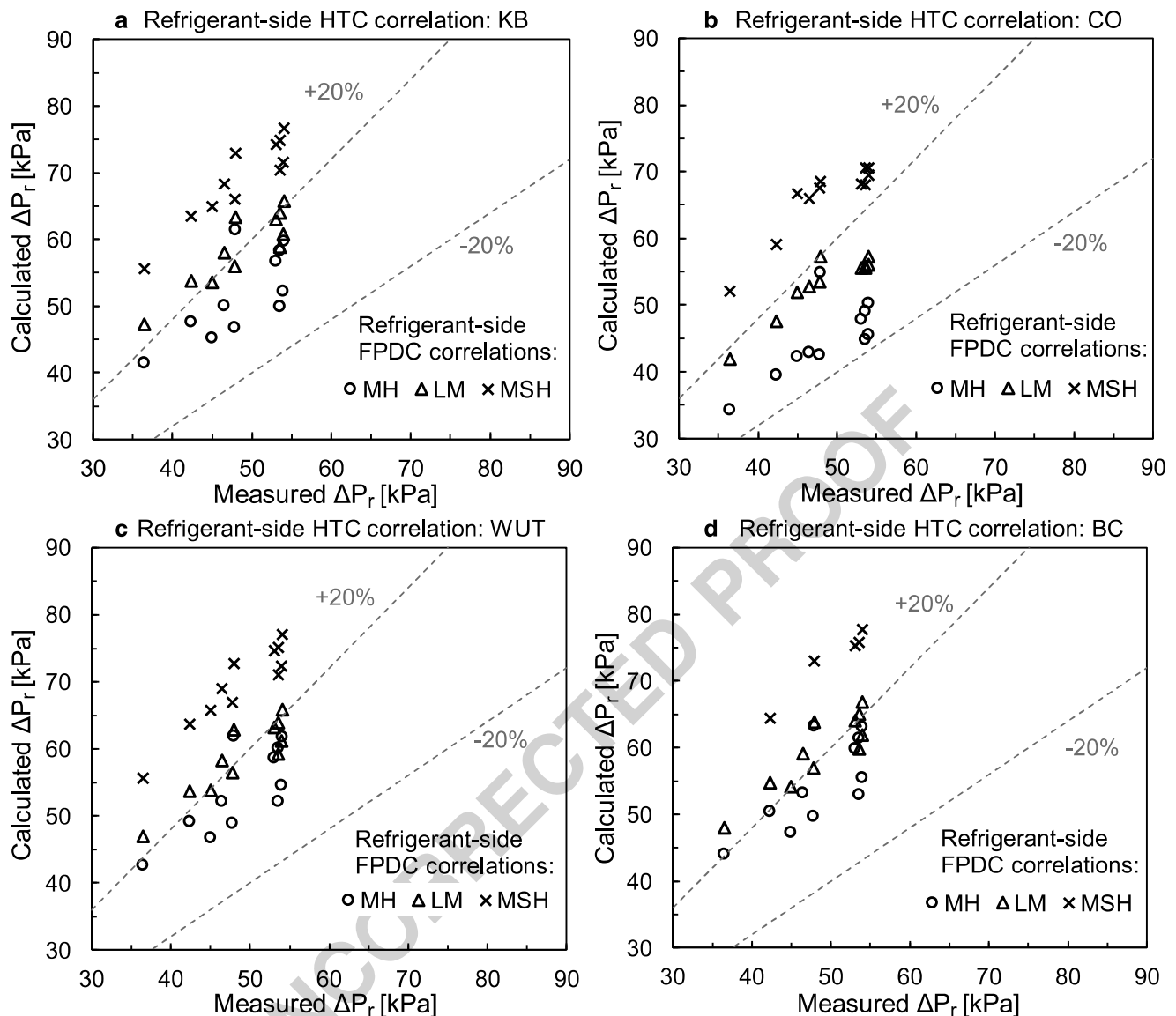
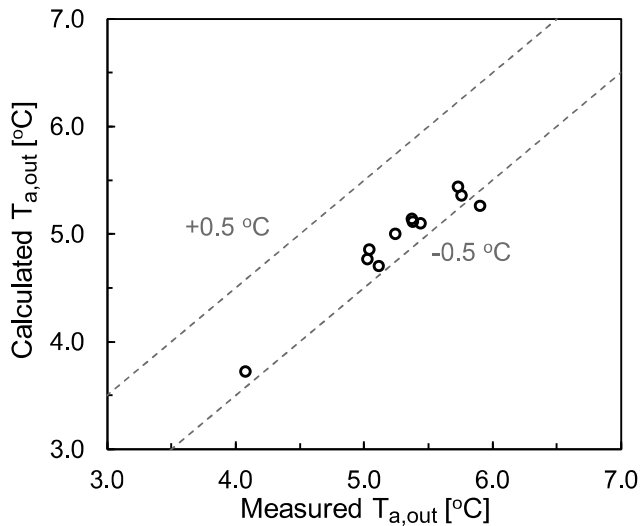


Fig. 7 Comparison of calculated and measured refrigerant-side pressure drop  $\Delta p_r$  values for the tested R-134a minichannel evaporator

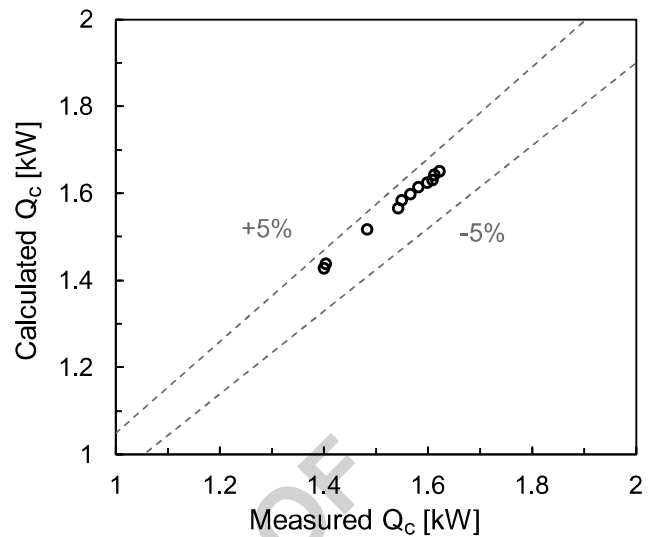
866 A comparative study between the presented numerical  
 867 models was implemented using the same R-134a  
 868 minichannel evaporator and operating conditions which  
 869 were adopted in the validation study. The inlet air tem-  
 870 perature, air volume flow rate, inlet refrigerant quality,  
 871 and refrigerant mass flow rate were fixed at 7 °C, 1500  
 872 m<sup>3</sup>/h, 0.23, and 36.5 kg/h, respectively. While, the inlet  
 873 air relative humidity was varied from 95 to 60%, this  
 874 allowed evaluating the evaporator performance under  
 875 different dehumidifying scenarios (totally wet, partially  
 876 wet, and totally dry conditions).

877 Figure 15 illustrates the absolute relative deviation  
 878 (ARD) values in latent and sensible heat transfer rates as  
 879 a function of inlet air relative humidity. It should be noted

880 that the ARD values were calculated relative to MCHX-  
 881 1D-MB model results. As it can be seen in Fig. 15, the  
 882 results are divided to three main regions, based on the  
 883 MCHX-1D-MB model predictions. In the totally wet re-  
 884 gion, the entire evaporator operates under fully wet con-  
 885 ditions. It can be observed that the deviations between the  
 886 proposed models are only up to  $\approx 0.8\%$ . At the first pre-  
 887 diction of a partially wet fin cell, the partially wet re-  
 888 gion comes into action. This region is divided further to two  
 889 sub-zones. In sub-zone 1, the deviations in partially wet  
 890 region begins to increase clearly with the decrease in inlet  
 891 air relative humidity till reaching  $\approx 12\%$  at  $RH_{a,in} = 71\%$ .  
 892 Regarding the second sub-zone, despite the deviations be-  
 893 tween the current models exponentially increase up to



**Fig. 8** Predicted vs. measured outlet air temperatures  $T_{a,out}$  for the tested R-134a minichannel evaporator



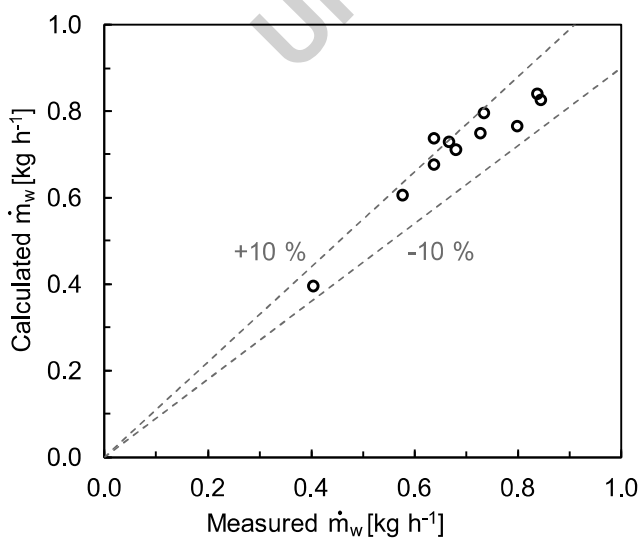
**Fig. 10** Predicted vs. measured values of cooling capacity  $Q_c$  for the tested R-134a minichannel evaporator

894  $\approx 48\%$ , they do not have any significant effects on the total  
 895 air-side heat transfer rates because of neglected contribu-  
 896 tion of latent heat transfer within this zone. Therefore,  
 897 sub-zone 2 is considered to be a transition region between  
 898 partially wet and totally dry regions, which could be ig-  
 899 nored without any important impacts on the current com-  
 900 parative study. Finally, the totally dry region starts once  
 901 the latent heat transfer rate equals zero.

902 On the other hand, the relative deviations in sensible  
 903 heat transfer rate between MCHX-1D-CF and MCHX-1D-  
 904 MB models are insignificant, as it can be concluded from  
 905 Fig. 15. These deviations reach a maximum value of

$\approx 1.1\%$ . It is worth mentioning that Martínez-Ballester  
 et al. [53] reported similar results in their comparative  
 study regarding the impact of cut fin assumption on the  
 sensible heat transfer rate for minichannel gas coolers and  
 condensers.

To understand more the sources of deviations between  
 the current models in the partially wet region, a compar-  
 ison between different fin temperature profiles is present-  
 ed in Fig. 16. These temperature profiles were obtained  
 at 76% inlet air relative humidity. At this specific value,  
 approximately half of the total number of fin cells was



**Fig. 9** Predicted vs. measured values of mass flow rate of condensed water  $\dot{m}_w$  for the tested R-134a minichannel evaporator

**Table 6** CO<sub>2</sub> minichannel evaporator's characteristics [54]

Core height (mm)	440	t6.1
Core length (finned length) (mm)	820	t6.2
Number of slabs	1	t6.3
Face area (m <sup>2</sup> )	0.36	t6.4
Total air-side area (m <sup>2</sup> )	7.926	t6.5
Tube major (air-flow direction) (mm)	16.51	t6.6
Tube minor (mm)	1.65	t6.7
Number of tubes	41	t6.8
Number of passes/(tubes per pass)	1/41	t6.9
Number of ports per tube	11	t6.10
Port shape	Circular	t6.11
Port diameter (mm)	0.79	t6.12
Fin type	Multi-louvered	t6.13
Fin thickness (mm)	0.1	t6.14
Fin height (mm)	8.9	t6.15
Fin density (fpi)	17	t6.16

t7.1 **Table 7** Test conditions for the CO<sub>2</sub> minichannel evaporator [54]

t7.2	Air		Refrigerant	
t7.3	Inlet dry-bulb temperature (°C)	26.5	Inlet mass flow rate (kg/h)	127–170
t7.4	Inlet relative humidity (%)	14–55	Inlet vapor quality (-)	0.16–0.4
t7.5	Inlet flow rate (m <sup>3</sup> /h)	2000–2450	Inlet pressure (kPa)	4100–5000

917 estimated to be under partially wet conditions. It can be  
 918 clearly noticed from Fig. 16 that MCHX-1D-CF model  
 919 always underestimates the fin temperature profile compared  
 920 to MCHX-1D-MB model. This is a consequence  
 921 of cutting the fin at half the height; which, in turn, prevents  
 922 the heat conduction between adjacent tubes through the fin.  
 923 This underestimation of fin temperature profile results to a  
 924 misprediction of the actual dehumidifying condition of the fin.  
 925 This, sequentially, has a direct impact on the latent heat transfer  
 926 rate calculations, and results to these noticeable deviations  
 927 between the two models in the partially wet region.

929 It should be mentioned that in the current study the  
 930 fin parameter ( $m \cdot H_f$ ) ranges between 0.9 and 1.0. This is  
 931 the main parameter that affects the fin efficiency and its overall  
 932 thermal performance. It is expected that the deviations in the  
 933 air-side heat transfer rates between the MCHX-1D-CF and  
 934 MCHX-1D-MB models will increase further with the increase  
 935 in fin parameter.

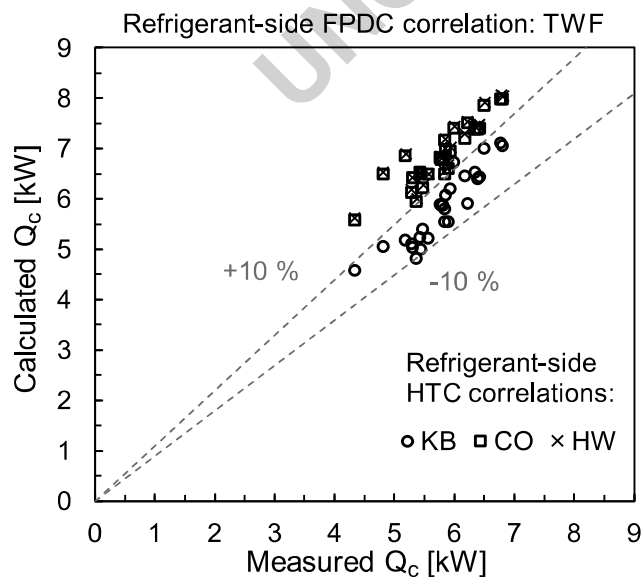


Fig. 11 Comparison between the calculated cooling capacity  $Q_c$  values and measured values of Beaver et al. [54]

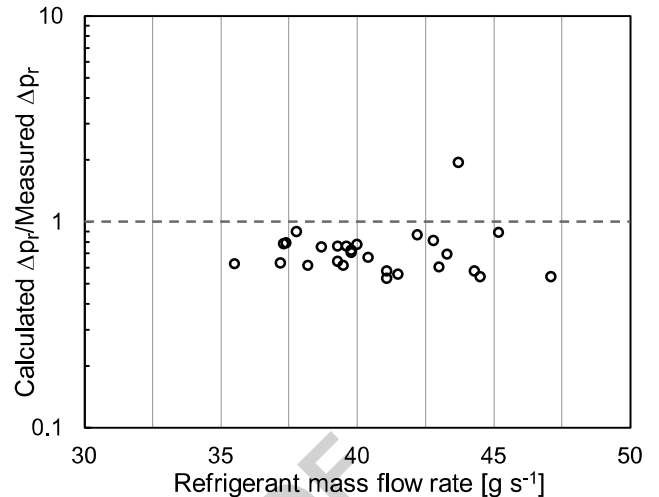


Fig. 12 Ratio of predicted to measured refrigerant-side pressure drop vs. refrigerant mass flow rate for the CO<sub>2</sub> minichannel evaporator

## 6 Summary and conclusions

936

937 A minichannel evaporator model (MCHX-1D-MB) was de-  
 938 veloped and successfully validated against experimental data  
 939 for different operating conditions. The main features and ad-  
 940 vantages of the proposed model are: continuous temperature  
 941 profile along the fin height (even under partial dehumidifica-  
 942 tion scenarios), accounting for the heat conduction between  
 943 adjacent tubes through the fin (no utilization of cut fin as-  
 944 sumption), predicting precisely the fin dehumidifying condi-  
 945 tion (totally dry, various scenarios of partially wet, or totally  
 946 wet fin), and simple modeling technique with short calculation  
 947 time.

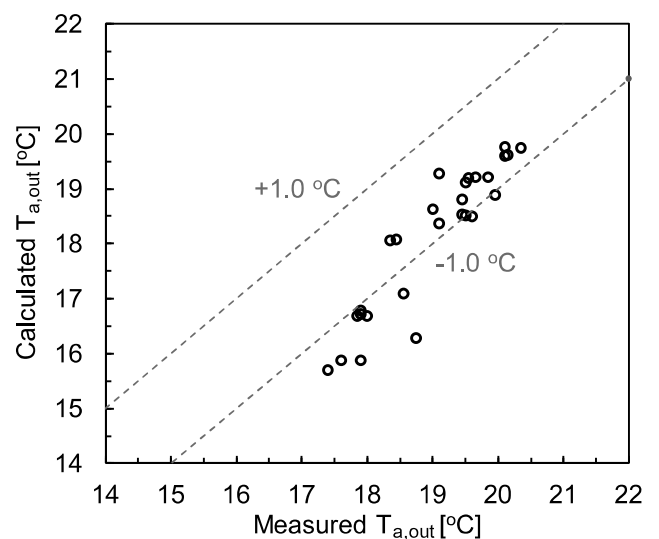


Fig. 13 Predicted vs. measured outlet air temperatures  $T_{a,out}$  of Beaver et al. [54]



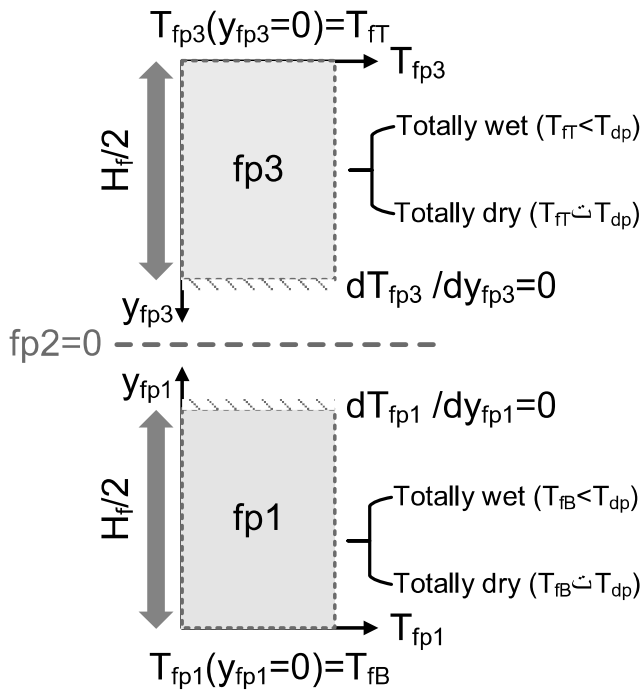


Fig. 14

Fig. 14 Fin discretization scheme used in MCHX-1D-CF model

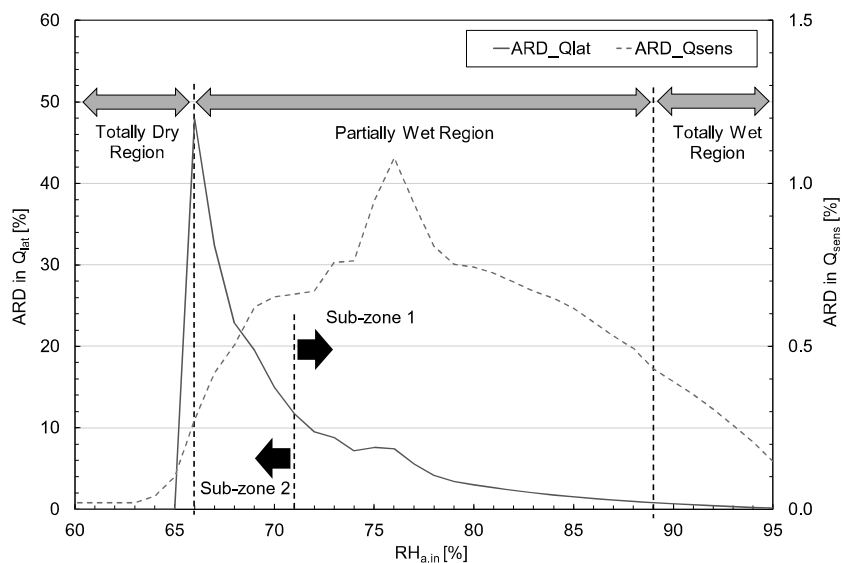
948 Regarding the validation of R-134a evaporator, the results showed that the correlation of Mishima and Hibiki [48], for refrigerant-side frictional pressure drop coefficient, and correlation of Kandlikar and Balasubramanian [46], for refrigerant-side heat transfer coefficient, are the best combination regarding the heat transfer and pressure drop results. Based on this, the current model successfully estimated the inlet saturation temperature, refrigerant-side

956 pressure drop, outlet air temperature, mass flow rate of condensed water, and cooling capacity with MAE values of  $\pm 0.24$  °C,  $\pm 9.12\%$ ,  $\pm 0.43$  °C,  $\pm 5.4\%$ , and  $\pm 1.8\%$ , respectively. It is worth mentioning that in the current validation study, the widely accepted correlations of Kim and Bullard [12, 13] were selected to estimate the air-side frictional pressure drop and heat transfer coefficients under dry and wet conditions.

964 Regarding the validation of CO<sub>2</sub> evaporator, the results revealed that the most appropriate correlations for estimating the refrigerant-side frictional pressure drop and heat transfer coefficients, in this case, are the correlations of Tran et al. [11] and Kandlikar and Balasubramanian [46], respectively. Based on this, the proposed model predicted quite well the cooling capacity and outlet air temperature with MAE values of  $\pm 4.3\%$  and  $\pm 0.9$  °C, respectively. Regarding the refrigerant-side pressure drop, the maximum deviation between the calculated and measured values was 11 kPa.

975 To study the effect of widely used assumption of cut fin at half the height (adiabatic-fin-tip assumption), a new model (MCHX-1D-CF) was developed. The comparison of air-side heat transfer rates between MCHX-1D-MB and MCHX-1D-CF models showed that the deviations in latent heat transfer rate were insignificant in the totally wet region. However, in the partially wet region, these deviations started to increase up to  $\approx 12\%$ , with the decrease in the inlet air relative humidity. The assumption of cut fin, adopted by the MCHX-1D-CF model, prevents the heat conduction through the fin and underpredicts the fin temperature profile. This results to a misprediction of actual fin dehumidifying condition, making MCHX-1D-CF model always overpredicts the latent heat transfer rate compared to the MCHX-1D-MB model.

Fig. 15 Absolute relative deviations (ARD) in latent and sensible heat transfer rates between MCHX-1D-CF and MCHX-1D-MB models



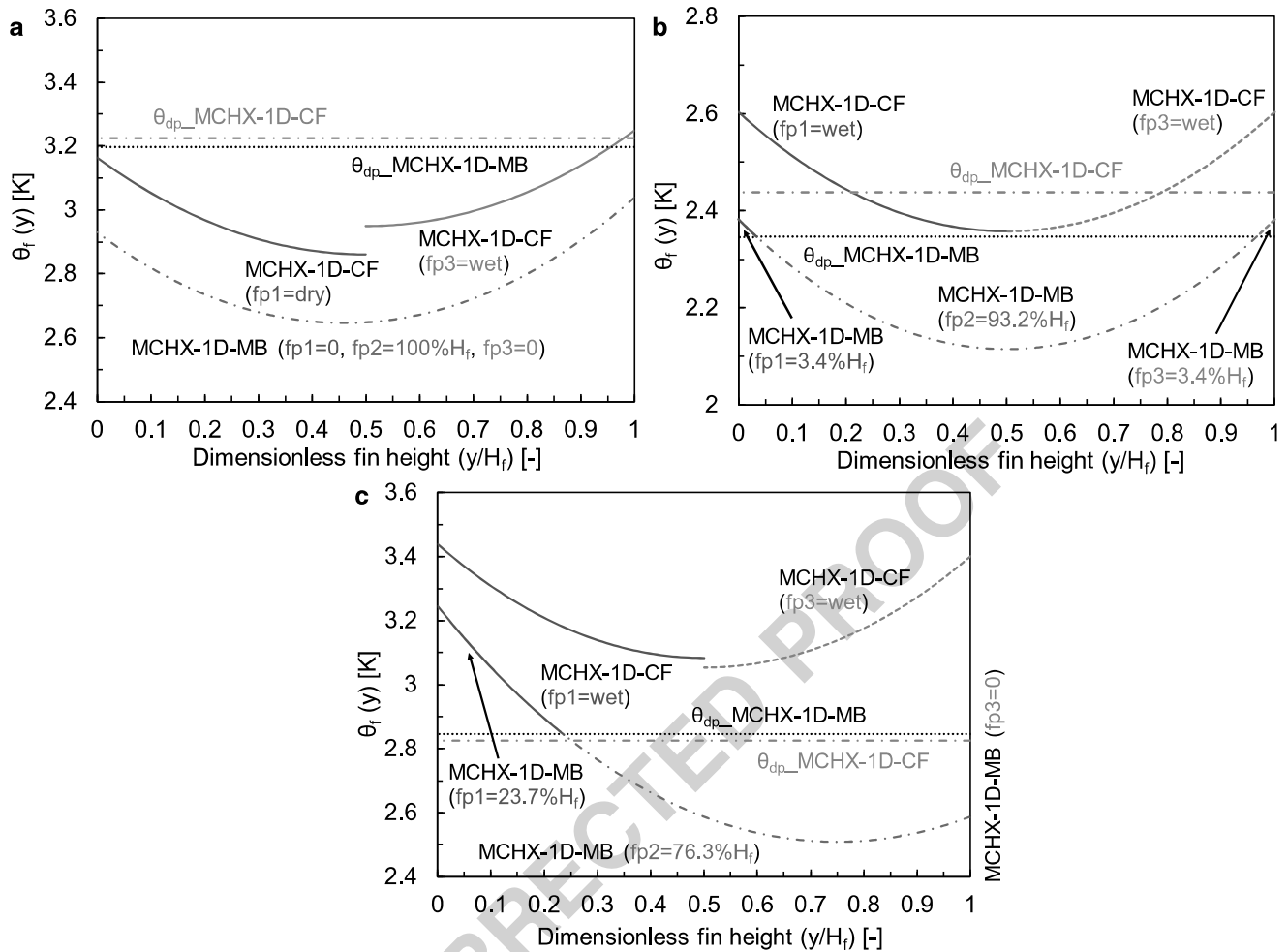


Fig. 16 Comparison of predicted fin temperature profiles between MCHX-1D-CF and MCHX-1D-MB models: **a** fin at 2nd row, **b** fin at 5th row, and **c** fin at 32th row

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993

994 **Compliance with ethical standards**

995 **Conflict of interest** On behalf of all authors, the corresponding author  
 996 states that there is no conflict of interest.

997 **Publisher's note** Springer Nature remains neutral with regard to jurisdic-  
 998 tional claims in published maps and institutional affiliations.

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