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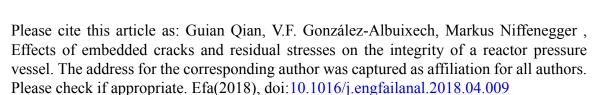
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Effects of embedded cracks and residual stresses on the integrity of a reactor pressure vessel

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Abstract

One potential challenge to the integrity of the reactor pressure vessel (RPV) of a pressurized water reactor is posed by a pressurized thermal shock (PTS), which is associated with rapid cooling of sections of the hot and still pressurized RPV by injection of relatively cold emergency coolant. PTS transients lead to high tensile circumferential and axial stresses in the RPV wall. If the stress intensity factor (SIF) is large enough, a critical crack may grow. Thus, the RPV has to be assessed against cleavage fracture.

In this paper, two kinds of embedded cracks, i.e. semi-elliptical and elliptical crack with depth of 17 mm and length of 102 mm are considered. The extended finite element method (XFEM) is used to model such postulated cracks. The embedded crack with tip in the cladding/base interface causes a high K_I . This is due to the stress discontinuities at the interface between the materials. In the FAVOR (probabilistic fracture mechanics code) calculation, for such cracks the point closest to the inner surface is considered in order to be conservative. However, due to the highly ductile cladding material, it is unlikely that the embedded crack will propagate through the cladding. Thus, it is more appropriate to consider the outer surface point of the crack front.

The effect of welding residual stress and cladding/base interface residual stress on the crack driving force is studied. Surface cracks are assumed in the study of residual stresses. Results show that considering realistic welding residual stresses may increase K_I by about 5 MPa·m^{0.5}, while the cladding/base interface residual stress has a negligible effect on K_I . The reason is that the cladding residual stress is only localized to the interface and it decreases significantly through the vessel wall. Considering welding residual stress increases the Weibull stress and fracture probability of the RPV.

Keywords: reactor pressure vessel, pressurized thermal shock, welding residual stress, embedded crack

Nomenclature

a crack depth, mm

 K_I Mode I linear elastic stress intensity factor, MPa·m^{0.5}

 K_{lc} material fracture toughness, MPa·m^{0.5}

 m, σ_0 model parameters known as Weibull modulus

 M_m free-surface correction for membrane stress

 M_b free-surface correction for bending stress

P fracture probability

Q crack shape correction factor for stress intensity factor calculation

t vessel wall thickness, mm

T temperature, °C

 V_0 elementary volume representing the mean volume occupied by each

micro-crack in a solid, mm³

 V_{pl} volume of the plastic deformation zone as the cleavage fracture process

zone, mm³

distance from the inner surface of the vessel, mm

 σ_R residual stress, MPa

 σ_m membrane stress, MPa

 σ_b bending stress, MPa

 σ_w Weibull stress, MPa

 σ_{th} threshold stress, MPa

 σ_l maximum tensile principal stress, MPa

CRS cladding residual stress

FEM finite element method

MBLOCA medium break loss-of-coolant accident

PTS pressurized thermal shock

RPV reactor pressure vessel

SIF stress intensity factor

SBLOCA small break loss-of-coolant accident

WRS welding residual stress

XFEM extended finite element method

1. Introduction

The integrity of the reactor pressure vessel (RPV) of a nuclear power plant has to be assured throughout the whole lifetime of the plant, and the proof of the exclusion of brittle failure of the RPV for all possible load cases is an important task in the safety assessment. During operation, certain abnormal conditions could result in the so called pressurized thermal shock (PTS), i.e. rapid cooling of sections of the hot and still pressurized RPV by injection of relatively cold emergency coolant. Brittle failure under PTS conditions and increasing neutron embrittlement during operation is generally considered to be the major threat to RPV integrity. Thus, the PTS analysis has to be performed according to the material property obtained from the surveillance program. During the last two decades, substantial progress has been achieved in the safety assessment of RPVs under PTS loadings [1-13]. Integrity analysis of a model RPV subjected to a small break loss-of-coolant accident (SBLOCA) and a medium break loss-of-coolant accident (MBLOCA) is performed by assuming crack depths of two times the nondestructive examination limit [1-2]. The constraint effect of crack tip on the fracture toughness is also analyzed in [1, 2]. Elastic-plastic analysis and cleavage fracture analysis have been performed in RPV materials considering different loading transients [3-7]. Chou et al. [8] performed a probabilistic analysis for a RPV considering the uncertainty of crack distributions and fracture toughness according to the US Nuclear Regulatory Commission [9]. Testing of fracture toughness in the ductile-to-brittle transition temperature is documented in [10]. The solutions of crack driving force and modeling of fracture toughness are presented [11, 12]. The general procedure for structural integrity assessment of a RPV subjected to a PTS is briefly introduced. In the structural integrity analysis, firstly the temperature distribution is calculated through the RPV wall for a certain transient. The input parameters, which consist of water temperature, pressure and heat transfer coefficient between water and the inner wall of the RPV, stem from thermal hydraulic calculation (e.g. RELAP).

Based on the temperature field, the circumferential and axial stress histories for the whole transient are

calculated. Finally, cracks with different depths and orientations are assumed in the beltline region and the

calculation of SIFs is performed. Different models, such as the ASME model, the Master Curve and the FAVOR model [13], are used to characterize fracture toughness of the material at different temperatures by using the material chemical composition and the neutron fluence. In the integrity analysis of the RPV, both deterministic and PFM analyses are performed. In fact, the probabilistic analysis is always based on a deterministic analysis. In the deterministic method the maximum allowed RT_{NDT} to exclude crack initiation is determined.

It is widely studied that postulated embedded cracks close to the inner-surface of an RPV contribute significantly to the conditional probability of cleavage fracture of the RPV during the PTS events. Therefore, it is necessary that an accurate K_I computation for embedded cracks is available. In the FAVOR code [13] developed by ORNL, the crack tip close to the inner surface of the vessel wall is considered in order to be conservative. However, the propagation of the crack tip close to the inner surface is questionable due to the ductile material of the cladding. Thus, K_I calculation of the embedded crack is presented and discussed using FAVOR and extended finite element methods (XFEM).

In this paper, the effect of welding residual stress and cladding/base interface residual stress on the crack driving force is studied. The welding process to connect different RPV rings together creates the welding residual stress. Finite element analysis was applied to compare the crack driving force obtained using residual stress distribution formulas and the stress free temperature model.

The paper is organized as follows: The first part is introduction. The second part presents the fracture mechanics analysis for embedded cracks. Then follow the effects of residual stress and cladding on K_I. The last part is conclusions. In the modeling of embedded cracks in Figs.1, 2,4 (partly),5,7,8, XFEM is used to calculate K_I. In Figs. 6 ,4 (partly), 12, FAVOR is used to calculate K_I. In the analysis of residual stress in Figs. 10 and 11, 3D FEM is used to analyze K_I.

2. Fracture Mechanics analysis for embedded cracks

In FAVOR code, the stress intensity factor (SIF) of an embedded crack is calculated by the EPRI model in the ASME procedure [13]. It is a weight function method based on the resolution of nonlinear applied stresses through the RPV wall thickness into the linear superposition of approximate membrane and bending stress components. It is expressed as

$$K_{I} = \sqrt{\frac{\pi a}{Q}} \left(M_{m} \sigma_{m} + M_{b} \sigma_{b} \right) \tag{1}$$

In order to simplify the modelling, SIF can also be calculated by the interaction integral using XFEM, which enriches the finite element approach space with special functions that are able to describe the discontinuity and introduce the singular behaviour associated with the crack front, and makes its analysis, up to a certain point, independent of the mesh [14]. The essential idea in XFEM is to use a displacement field approximation that can model any crack face discontinuity and the near crack-tip asymptotic stress field. As a consequence it is not necessary to modify the mesh to consider a specific crack; at most, moderate refinement must be introduced around the crack to achieve good accuracy. XFEM is implemented in Abaqus [15]. In this study, FAVOR and XFEM are used to calculate the SIFs based on the reference transient.

Two kinds of embedded cracks, i.e. semi-elliptical and elliptical crack with depth of 17 mm and length of 102 mm are considered, as shown in Fig. 1. The depth of the crack is two times the nondestructive detection limit, according to the German standard KTA 3201.2. The two kinds of embedded cracks in Fig. 1 are totally different crack types. The crack shown in Figure 1a is an underclad crack and the crack shown in Figure 1b is a subsurface (embedded) crack in base metal. The embedded crack in Fig.1b is considered in FAVOR but the underclad crack isn't considered in FAVOR. However, according to the report [16], the underclad crack is found in European reactors. In order to study the integrity of the underclad on the RPV, an underclad crack is postulated in this study. The vessel has the inner radius of 1668.5 mm and thickness of 171 mm including a 5 mm cladding. XFEM is used to model such cracks. The assembling of the RPV and the embedded crack and the mesh are shown in Fig. 2. The submodeling technique is used, as shown in Fig. 2. SIFs for crack front point A

and B are calculated. The postulated transients considered in this paper, as shown in Fig.3, are MBLOCA and SBLOCA described in [1, 2]. RPV material properties are described in [1, 2] and presented in Table 1. The results from XFEM are also compared with those from FAVOR for point A, as shown in Fig. 4. A good agreement is obtained, which validated the results calculated by XFEM. In Fig. 5, it is shown that the embedded crack with tip in the cladding/base interface (point A) causes a high K_I. K_I for point A is between 50%-100% higher than that for point B, which is due to the stress discontinuities at the interface between the materials. This confirms the conservative assumption in the FAVOR code. In the FAVOR calculation, for such cracks the point closest to the inner surface is considered in order to be conservative. The SIFs are compared with fracture toughness of the base material and cladding of the RPV. SIFs for both surface and embedded cracks are calculated. The surface crack and the depth of the crack are according to the German standard KTA 3201.2. K_{IC} database of cladding material (both irradiated and unirradiated) are collected from [17, 18]. It is shown in Fig. 6 that SIFs at the tip closest to the inner surface of the RPV is higher than the K_{Ic} of the base material while lower than that of cladding. This indicates that the embedded crack may initiate in the cladding direction because of a high SIF. However, due to the highly ductile cladding material, it is unlikely that the embedded crack will propagate through the cladding. Thus, it is more appropriate to consider the outer surface point B of the crack front in the integrity assessment. In FAVOR, If the SIF of an embedded crack (completely contained in the base material) exceeds the fracture toughness of the base material, then the following is assumed: The crack initiates in cleavage fracture in the base material; The embedded flaw instantaneously becomes an infinite surface crack, i. e., the cladding above the crack is assumed to have failed completely and the crack to have extended lengthwise, either in the axial or circumferential directions. From this study, a detailed crack propagation is needed. It is noted that in the integrity assessment of core region of RPV, the important embedded cracks are cracks with distances to the inner surface smaller than 3/8 of the wall thickness. In this study, we considered the most

critical case of the embedded cracks. The embedded crack located close to the inner surface of the RPV wall is more critical due to the higher K_I and lower K_{Ic} .

In a general 3D stress state, the critical crack orientation is not obvious as in axisymmetric cases where it is sufficient to analyse circumferential and axial cracks lying perpendicular to the principal stress directions. We therefore performed an additional analysis of a crack which is inclined by 45 degree to the axial direction of the RPV. In addition a planar crack was postulated. With a planar crack we mean that the crack is parallel to the inner surface of the vessel, similar to the hydrogen flakes found in Belgian RPVs. Both surface and embedded cracks have been found in US research reactors, according to [16]. It is shown in Fig. 7 that the SIF for a 45 degree inclined crack is between that for axial and circumferential cracks. However, the SIF for the planar crack is much lower and this crack is not likely to propagate, as shown in Fig. 8. It is noted that the negative K in Fig. 8 may be due to crack closure in the starting stage of the transient.

3. Effects of residual stress on K_I

The effect of welding residual stress and cladding/interface residual stress is studied. According to IAEA [19], the welding residual stress in the welds along the vessel wall is expressed as

$$\sigma_{R} = 56 \cdot \cos\left(\frac{2\pi x}{t}\right) \text{(MPa)}$$
 (2)

where t is the RPV thickness without cladding, x is the distance starting from the inner surface of the vessel wall.

A similar formula is used in FAVOR [13] to account for the welding residual stress as

$$\sigma_{R} = 44 \cdot \cos\left(\frac{2\pi x}{t}\right) \text{(MPa)}$$
(3)

In this study, both equations are used to study the effect of welding residual stress. The prescribed residual stresses are applied to the ABAQUS models with a user subroutine which assigned stress at material points based on their radial and circumferential location. The stress was initialized with the model at room

temperature, and a subsequent analysis step allowed the stresses to self-equilibrate. A three-dimensional, axisymmetric model is built with linear elastic, temperature dependent, material properties applied for the base and cladding, as shown in Fig. 9. A semi-elliptical crack with depth of 17 mm is assumed and K_I of the deepest point in the crack front is calculated. As shown in Fig. 10, the welding residual stress results in a K_I of about 5 MPa·m^{0.5} along the crack front for both axial and circumferential cracks.

Moreover, the modified Beremin model is used to scale fracture toughness in different specimens. As a local approach to fracture, Beremin model [20] is essentially a two-parameter Weibull distribution as below:

$$P = 1 - exp \left[-\left(\int_{V_{pl}} \sigma_1^m dV / V_0 \right) / \sigma_0^m \right] = 1 - exp \left[-\left(\sigma_W / \sigma_0 \right)^m \right], \tag{4}$$

$$\sigma_{W} = \left(\int_{V_{pl}} \sigma_{1}^{m} dV / V_{0}\right)^{1/m},$$
with

where P is the cumulative probability of fracture, V_{pl} denotes the volume of the plastic deformation zone as the cleavage fracture process zone, m and σ_0 are the two model parameters known as Weibull modulus and the scale parameter, respectively, σ_l is the maximum tensile principal stress, V_0 is an elementary volume representing the mean volume occupied by each micro-crack in a solid, dV is the differential volume. In order to consider the plastic deformation effect, the cumulative failure probability formulation is modified to adopt a fixed-value threshold stress (σ_{th}) by Gao et al. [21],

$$P = 1 - exp \left[-\left(\frac{\sigma_W - \sigma_{th}}{\sigma_0 - \sigma_{th}}\right)^m \right]. \tag{6}$$

According to the European program [22], the calibrated parameters for this RPV material are m=6.36, σ_{th} =1546 MPa, σ_0 =2076 MPa, V_0 =0.001 mm³. The integration zone is volume where the von Mises stress is over two times the yielding stress. It is shown in Fig. 11 (a) that the Weibull stresses σ_w for the case with WRS is greater than that for the case without WRS. σ_w captures the stress and strain distributions ahead of the crack tip at the

same J. The probability of cleavage initiation for the RPV with WRS is calculated. It is clear in Fig. 11 (b) that the RPV with WRS has a higher failure probability due to the increasing of K_I by WRS.

The welding process to build the cladding on the base material causes residual stresses, partly according to the different thermal expansion of the two materials. In FAVOR, it is assumed that the residual stress at room temperature is 146.9 MPa [13, 23]. The effect of welding residual stress and cladding residual stress is studied with FAVOR and the result for K_I is shown in Fig. 12 (a). It is shown that considering the cladding residual stress has a negligible effect on K_I for a surface crack. The reason is that the cladding residual stress only is localized to the interface and it decreases significantly through the vessel wall. It is notice that this analysis is based on the single crack calculation according to the German KTA rule. However, it has been shown [24] that a very shallow surface which just penetrates the cladding into the base material is highly influenced by the cladding. This very shallow flaw can demonstrate the highest probability of cleavage initiation. Thus, in this case, cladding residual stress plays a very important role on K_I and the fracture probability.

4. Effects of cladding on K_I

In 3D FE calculations the question raised is whether the relatively thin cladding (austenitic stainless steel) has to be modelled, which causes problems due to the large difference in size of the different parts in the model, or whether it could be neglected.

The effect of cladding on K_I is therefore studied in Fig. 12 (b). The comparison of K_I and K_{Ic} shows an overlap between K_I and K_{Ic} , meaning that crack initiation may occur in the PTS transient. Considering the residual stress will increase K_I and thus increase the crack initiation probability of the RPV. It is shown that neglecting the cladding leads to an increase of peak K_I of about 2 MPa·m^{0.5} and a temperature shift of about -15 °C. We thus conclude that neglecting the cladding is a conservative approximation based on analyses of the single surface crack. It should be noted that a very shallow surface which just penetrates the cladding into the base material is highly influenced by the cladding [23]. For this very shallow flaw neglecting the cladding is a non-

conservative approximation. However, our assumption of the crack is according to the German KTA rule, which assumes the crack has a depth of 1/10 of the vessel wall thickness.

5. Conclusions

Based on this study, the following conclusions are drawn:

- 1. For the embedded crack, K_I for point A is between 50%-100% higher than that for point B. However, crack propagation through the cladding material is not likely, which confirms the conservative assumption in the FAVOR code.
- 2. The SIF for a 45 degree inclined crack is between that for axial and circumferential cracks. However, the SIF for the planar crack is much lower and this crack is not likely to propagate.
- 3. Considering welding residual stresses increase K_I by about 5 MPa·m^{0.5} (This is about 5% the peak K_I for the surface crack.) and the cladding residual stress has a negligible effect on K_I . Neglecting the cladding is a conservative approximation for the crack has a depth of 1/10 of the vessel wall thickness. It should be noted that a very shallow surface which just penetrates the cladding into the base material is highly influenced by the cladding. For this very shallow flaw neglecting the cladding is a non-conservative approximation.
- 4. Considering welding residual stress increases the Weibull stress and fracture probability of the RPV by about 20% for K_I =100 MPa m^{0.5}.

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Tab. 1 Thermo-mechanical properties of the base material and cladding of the RPV.

	Base n	Base material						Cladding					
Temperature [°C]	0	20	100	200	300	400	0	20	100	200	300	400	
Elastic modulus [10 ³ MPa]	206	206	199	190	181	172	200	200	194	186	179	172	
Mean linear thermal expansion coefficient [10 ⁻⁶ °C ⁻¹]	10.3	10.3	11.1	12.1	12.9	13.5	16	16	16	17	17	18	
Thermal conductivity [W/(m·K)]	44.4	44.4	44.4	43.2	41.8	39.4	15	15	16	17	19	21	
Specific heat capacity [J/(kg·K)]	450	450	490	520	560	610	500	500	500	540	540	590	
Density [10 ³ kg/m ³]	7.6	7.6	7.6	7.6	7.6	7.6	7.6	7.6	7.6	7.6	7.6	7.6	
Poisson's ratio		0.3						0.3					
Yield stress of the unirradiated material [MPa]		449.3											
Stress free temperature [°C]	280.3												

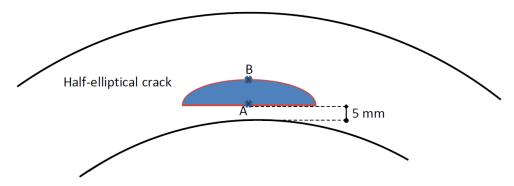


Fig. 1a Half-elliptical (Semi-elliptical) crack postulated in the study, both point A and B are considered in the calculation.

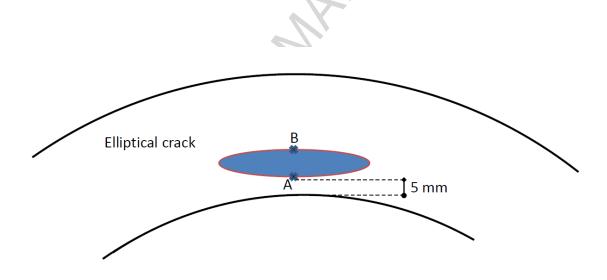


Fig. 1b Elliptical crack postulated in the study, both point A and B are considered in the calculation.

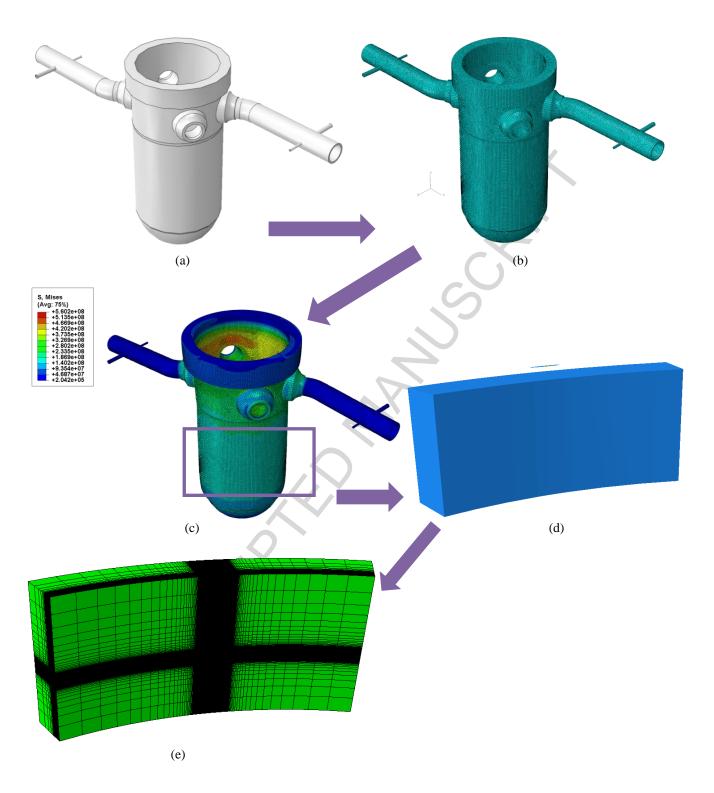


Fig. 2a the whole RPV model, Fig. 2b the meshed RPV, Fig. 2c stress distribution of the RPV, Fig. 2d XFEM assembling of the submodel RPV with an embedded crack, Fig. 2e XFEM modeling of an embedded crack in the submodeling.

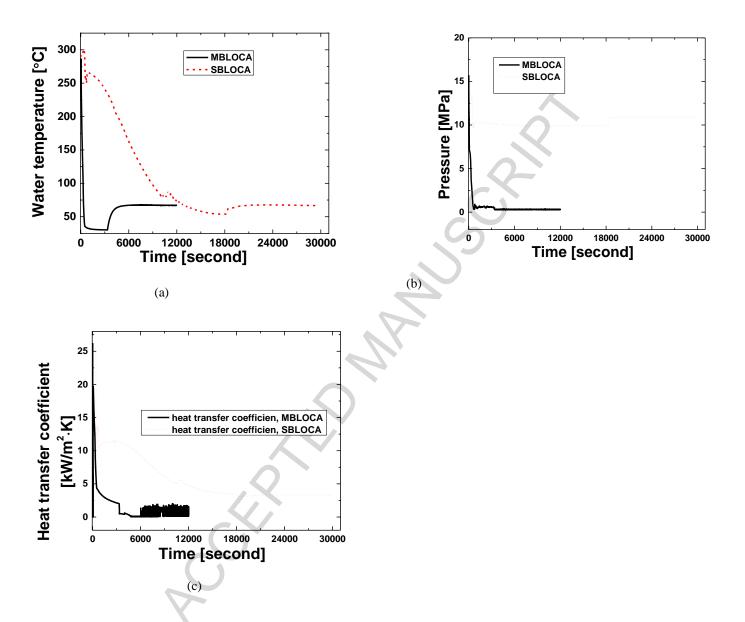


Fig. 3. MBLOCA and SBLOCA transients (a) water temperature history, (b) pressure history, (c) heat transfer coefficient history.

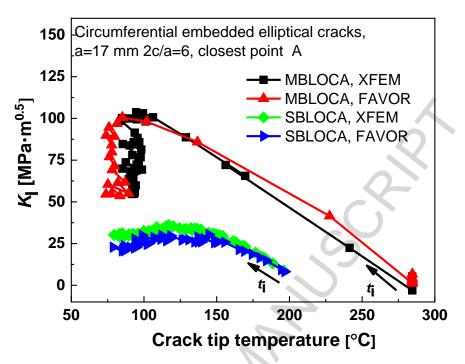


Fig. 4 $K_{\rm I}$ for the embedded elliptical crack, MBLOCA and SBLOCA, point A is calculated by FAVOR and XFEM.

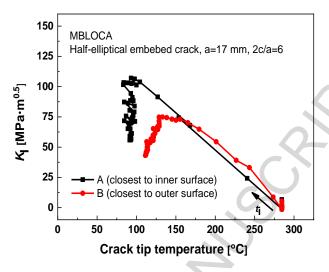


Fig. 5a K_I for the half-elliptical crack, MBLOCA, both point A and B are calculated

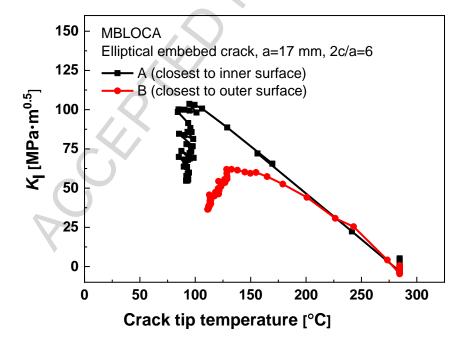


Fig. 5b K_I for the elliptical crack, MBLOCA, both point A and B are calculated.

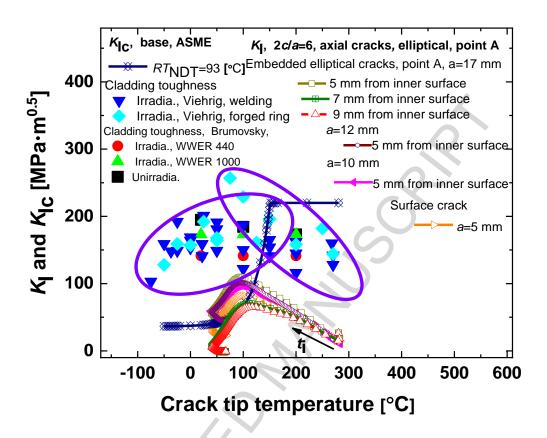


Fig. 6 Comparison of K_I and K_{Ic} for both surface and embedded cracks subjected to MBLOCA, K_{Ic} for both base and cladding are compared.

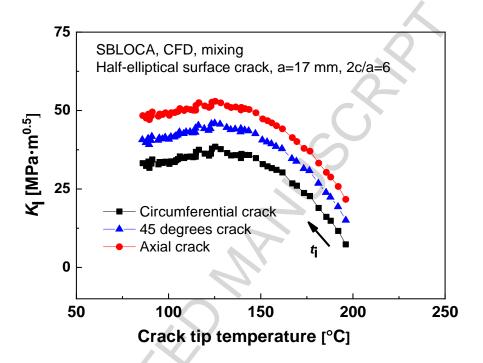


Fig. 7 K_I for the circumferential, axial and 45 degree inclination cracks, SBLOCA

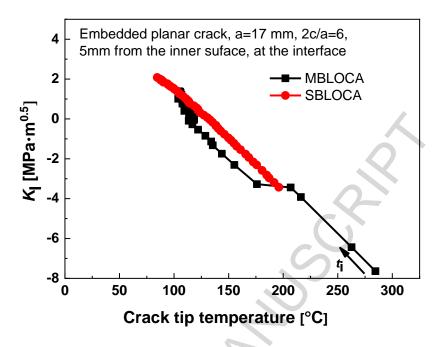


Fig. 8 $K_{\rm I}$ for the embedded planar crack, MBLOCA and SBLOCA.

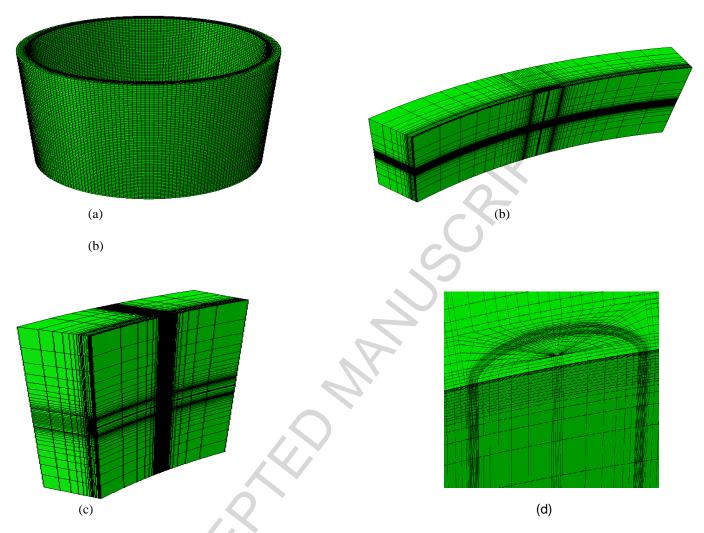


Fig. 9a Cylinder to introduce WRS in finite element simulation, Fig. 9b sub model of a circumferential crack in a cylinder, Fig. 9c sub model of an axial crack in a cylinder, Fig. 9d crack tip mesh.

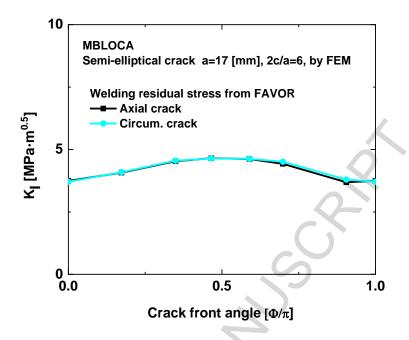


Fig. 10a K_I of surface cracks due to the welding residual stress documented in FAVOR, calculated by 3D FEM.

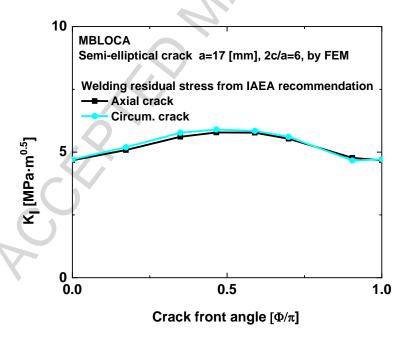


Fig. 10b K_I of surface cracks due to the welding residual stress as documented in IAEA, calculated by 3D FEM.

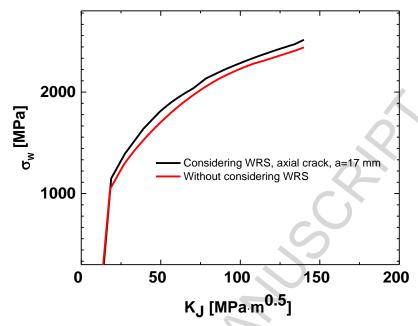


Fig. 11a Comparison of Weibull stress of the RPV with considering WRS and without considering WRS.

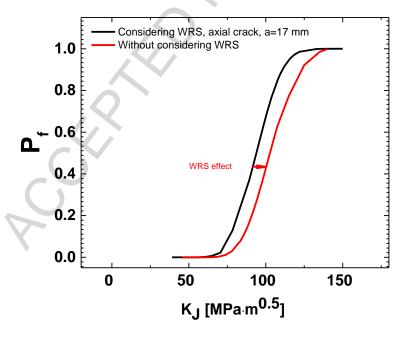


Fig. 11b Fracture probability of the RPV with considering WRS and without considering WRS.

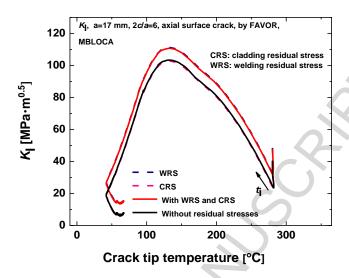


Fig.12a Influence of cladding (CRS) and welding residual stress (WRS) on K_{I} .

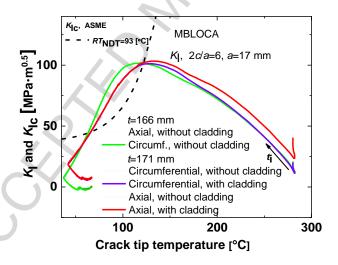


Fig. 12b K_I of surface cracks with and without considering cladding, calculated by FAVOR.

Highlights

- 1. It is unlikely for the embedded crack to propagate through the cladding
- 2. It is more appropriate to consider the outer surface point of the crack front
- 3. Considering welding residual stresses increases K_I by about 5 MPa·m^{0.5}
- 4. Cladding/base interface residual stress has a negligible effect on K_I
- 5. Considering welding residual stress increases Weibull stress and fracture probability