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Additional Information

The centralizer of an endomorphism over an arbitrary field

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Abstract

The centralizer of an endomorphism of a finite dimensional vector space is known when the endomorphism is nonderogatory or when its minimal polynomial splits over the field. It is also known for the real Jordan canonical form. In this paper we characterize the centralizer of an endomorphism over an arbitrary field, and compute its dimension. The result is obtained via generalized Jordan canonical forms (for separable and non separable minimal polynomials). In addition, we also obtain the corresponding generalized Weyr canonical forms and the structure of its centralizers, which in turn allows us to compute the determinant of its elements

Keywords: Centralizer, companion matrices, non separable polynomials, generalized Jordan canonical form, generalized Weyr canonical form.

MSC[2008] 15A03, 15A21, 15A24, 15A27.

1 Introduction

The centralizer of an endomorphism has been widely described when the minimal polynomial splits on the underlying field, and different characterizations

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have been provided depending on the representation of the endomorphism. For the Jordan canonical form, a description of the centralizer can be found in [6, 9, 20] and for a Weyr canonical form, in [16]. For nonderogatory matrices over arbitrary fields few references exist; a parametrization of the centralizer for companion matrices (i.e., nonderogatory matrices) with irreducible minimal polynomial is given in [7]. For derogatory matrices, a description of the centralizer over the real field is provided in [9]. No general description can be found in the literature for the centralizer of derogatory matrices over arbitrary fields.

When the minimal polynomial of a matrix has irreducible factors of degree greater than 1, it can not be reduced to Jordan or Weyr canonical forms. However, the Jordan canonical form admits different generalizations over arbitrary fields (rational canonical forms) depending on whether the minimal polynomial is separable ([3, 18, 12, 17]) or nonseparable ([18, 11, 4]). We will describe the centralizer in both cases. The generalized Jordan form is chosen because it allows us to find a parametrization of the centralizer, in a relatively simple way.

We first recall the centralizer of a companion matrix, building block of the rational canonical forms. Then, we prove some technical lemmas, which solve certain matrix equations involving companion matrices. These results allow us to obtain the centralizer for the generalized Jordan canonical form. Afterwards, adapting appropriately the technical lemmas, we derive the results needed to obtain the centralizer for the separable case.

From the generalization of the Jordan canonical form we derive the generalized Weyr canonical form, and obtain the corresponding centralizer (which, as far as we know, cannot be found in the literature). Out of it, we also obtain an explicit formula for the determinant of the matrices in the centralizer. This fact is important in order to recognize the automorphisms of the centralizer, which is key, for instance, to study the hyperinvariant and characteristic lattices of an endomorphism (see [1, 14, 15]). We also compute the dimension of the centralizer.

The paper is organized as follows: in Section 2 we recall some definitions, previous results and the generalized Jordan canonical forms over arbitrary fields. In Section 3 we obtain the generalized Weyr canonical form. Section 4 is devoted to obtain the centralizer of the generalized Jordan form over arbitrary fields. In Section 5 we find the centralizer of the generalized Jordan form when the minimal polynomial is separable, for this case is not a particular case of the general one. In Section 6 we obtain the centralizers of matrices in the generalized Weyr canonical form, compute the determinant of a matrix in the centralizer and, finally, find the dimension of the centralizer.

2 Preliminaries

We recall some definitions and previous results, which will be used throughout the paper. Let V be a finite dimensional vector space over a field \mathbb{F} and $f:V\to V$ an endomorphism. We denote by A the matrix associated to f with respect to a given basis, p_A is the characteristic polynomial and m_A is the minimal polynomial of A. In what follows we will identify f with A. The degree of a polynomial p is written as $\deg(p)$.

Given a matrix
$$A = [a_{i,j}]_{i,j=1,...,n} \in M_n(\mathbb{F})$$
, we denote by $A_{*j} = \begin{bmatrix} a_{1j} \\ \vdots \\ a_{nj} \end{bmatrix}$

the j-th column of A and by $A_{i*} = \begin{bmatrix} a_{i1} & \dots & a_{in} \end{bmatrix}$ the i-th row of A, i.e.,

$$A = [A_{*1}, \dots, A_{*n}]$$
 and $A = \begin{bmatrix} A_{1*} \\ \vdots \\ A_{n*} \end{bmatrix}$.

We recall the primary decomposition theorem, which establishes that a matrix $A \in M_n(\mathbb{F})$ is similar to a direct sum of matrices whose minimal polynomials are powers of distinct irreducible polynomials over \mathbb{F} .

Theorem 2.1 ([10], see also [3, 17]). Let $m_A = p_1^{r_1} p_2^{r_2} \dots p_l^{r_l}$ be the minimal polynomial of $A \in M_n(\mathbb{F})$, where $p_i \in \mathbb{F}[x]$ are distinct monic irreducible polynomials and $r_i \in \mathbb{N}$. Let $V_i = \ker(p_i^{r_i}(A))$, $i = 1, \dots, l$. Then,

- (i) $V = V_1 \oplus \cdots \oplus V_l$,
- (ii) V_i is invariant for A,
- (iii) the minimal polynomial of $A_i = A_{|V_i|}$ is $p_i^{r_i}$.

The centralizer of A over \mathbb{F} is the algebra $Z(A) = \{B \in M_n(\mathbb{F}) : AB = BA\}$. The role of the centralizer is key to analyze important algebraic properties of the endomorphism ([20]).

The next proposition allows us to reduce the study of the centralizer to the case where the minimal polynomial is of the form $m_A = p^r$, with $p \in \mathbb{F}[x]$ irreducible.

Proposition 2.2. [8] Let A and B be endomorphisms on finite dimensional vector spaces V and W, respectively, over a field \mathbb{F} . The following properties are equivalent:

- 1. The minimal polynomials of A and B are relatively prime.
- 2. $Z(A \oplus B) = Z(A) \oplus Z(B)$.

From now on we will assume that the characteristic polynomial of A is $p_A = p^r$ with $p = x^s + c_{s-1}x^{s-1} + \ldots + c_1x + c_0$ irreducible. We denote by C

the companion matrix of p

$$C = \begin{bmatrix} 0 & 0 & \dots & 0 & -c_0 \\ 1 & 0 & \dots & 0 & -c_1 \\ 0 & 1 & \dots & 0 & -c_2 \\ \vdots & \vdots & \ddots & \vdots & \vdots \\ 0 & 0 & \dots & 1 & -c_{s-1} \end{bmatrix} \in M_s(\mathbb{F}).$$
 (1)

Knowing the centralizer of a matrix, we can obtain the centralizer of any other similar one. In order to obtain them, it is convenient to describe the centralizer of a canonical form.

One of the most useful canonical forms for the similarity of endomorphisms over a finite dimensional space is the Jordan canonical form. It allows us to easily know the determinant, characteristic and minimal polynomials, eigenvalues and eigenvectors and rank of the endomorphism, among others. We recall next two generalizations of it over arbitrary and perfect fields, respectively.

2.1 The generalized Jordan canonical form

The primary rational canonical form of a matrix over a field under similarity is a generalization of the Jordan canonical form. The name comes from the fact that it can be obtained using the operations of a field (rational operations) (see [18]).

Theorem 2.3 (Primary rational canonical form or generalized Jordan canonical form, [18, 17, 12, 11]). Let $p_A = p^r$ with $p = x^s + c_{s-1}x^{s-1} + \ldots + c_1x + c_0 \in \mathbb{F}[x]$ irreducible be the characteristic polynomial of $A \in M_n(\mathbb{F})$. Then, A is similar to

$$G = \operatorname{diag}(G_1, G_2, \dots, G_m), \tag{2}$$

where

$$G_{i} = \begin{bmatrix} C & 0 & \dots & 0 \\ E & C & \dots & 0 \\ \vdots & \ddots & \ddots & \vdots \\ 0 & \dots & E & C \end{bmatrix} \in M_{s\alpha_{i}}(\mathbb{F}), \quad i = 1, \dots, m,$$

$$(3)$$

C is the companion matrix (1) of p, E is the matrix

$$E = \begin{bmatrix} 0 & \dots & 0 & 1 \\ 0 & \dots & 0 & 0 \\ \vdots & \ddots & \vdots & \vdots \\ 0 & \dots & 0 & 0 \end{bmatrix} \in M_s(\mathbb{F}), \tag{4}$$

 $\alpha_1 \geq \alpha_2 \geq \ldots \geq \alpha_m \geq 0$ are integers such that p^{α_i} , $i=1,\ldots,m$ are the elementary divisors of G and $\sum_{i=1}^m \alpha_i = r$.

The following remarks aim at summarizing some properties of the generalized Jordan canonical form.

Remark 2.4. 1. The matrix G in (2) can be found in many references in the literature receiving different names: "rational canonical set" ([17], here the blocks G_i are called "hypercompanion matrices"), "classical canonical form" ([12]), "Jordan normal form for the field \mathbb{F} " ([11], in this paper it has been obtained by a duality method).

We call the matrix G the generalized Jordan form of A and $\alpha = (\alpha_1, \alpha_2, \ldots, \alpha_m)$ the generalized Segre characteristic of A. We will refer to a block G_i as a generalized Jordan block. Here, each α_i denotes the number of diagonal blocks in the matrix G_i .

When deg(p) = 1, the resulting matrix is the *Jordan canonical form* ([13]).

2. The canonical form (2) allows the following decomposition

$$G = D + N = \operatorname{diag}(D_1, \dots, D_m) + \operatorname{diag}(N_1, \dots, N_m)$$

$$D_i = \begin{bmatrix} C & 0 & \dots & 0 \\ 0 & C & \dots & 0 \\ \vdots & \ddots & \ddots & \vdots \\ 0 & \dots & 0 & C \end{bmatrix}, \quad N_i = \begin{bmatrix} 0 & 0 & \dots & 0 \\ E & 0 & \dots & 0 \\ \vdots & \ddots & \ddots & \vdots \\ 0 & \dots & E & 0 \end{bmatrix}$$
(5)

with $N_i, D_i \in M_{s\alpha_i}(\mathbb{F})$. In general $DN \neq ND$.

Remark 2.5. A generalized Jordan basis can be written as $\mathcal{B} = \{v^{(1)}, v^{(2)}, \dots, v^{(m)}\}$ where $v^{(i)}$ is a generalized Jordan chain. Each one of them contains α_i partial chains, that is

$$v^{(1)} = \{\underbrace{w_{1,1}, \dots, w_{1,s}, \underbrace{w_{1,s+1}, \dots, w_{1,2s}, \dots, \underbrace{w_{1,(\alpha_1-1)s+1}, \dots, w_{1,\alpha_1s}}}_{v_1^{(1)}}\}$$

$$v^{(2)} = \{\underbrace{w_{2,1}, \dots, w_{2,s}, \dots, \underbrace{w_{2,(\alpha_2-1)s+1}, \dots, w_{2,\alpha_2s}}}_{v_{\alpha_2}^{(2)}}\}$$

$$\dots$$

$$v^{(m)} = \{\underbrace{w_{m,1}, \dots, w_{m,s}, \dots, \underbrace{w_{m,(\alpha_m-1)s+1}, \dots, w_{m,\alpha_ms}}}_{v_{\alpha_m}^{(m)}}\}$$

such that for $i = 1, \ldots, m$,

$$w_{i,1} \in \ker(p^{\alpha_i}(G)) \setminus \ker(p^{\alpha_i-1}(G)),$$

 $Gw_{i,j} = w_{i,j+1}, \ j = 1, \dots, s\alpha_i, \quad j \neq ks, \quad k = 1, \dots, \alpha_i,$
 $w_{i,ks+1} = p^k(G)w_{i,1}, \quad k = 1 \dots, \alpha_i - 1.$

Example 2.6. Let $\alpha = (3, 2)$, that is, $G = \operatorname{diag}(G_1, G_2)$ with

$$G_1 = \left[\begin{array}{ccc} C & 0 & 0 \\ E & C & 0 \\ 0 & E & C \end{array} \right] \in M_{3s}(\mathbb{F}), \quad G_2 = \left[\begin{array}{ccc} C & 0 \\ E & C \end{array} \right] \in M_{2s}(\mathbb{F}).$$

In this case the minimal polynomial of G is $m_G = p^3$ and $\deg(p) = s$. Let $\mathcal{B} = \{v^{(1)}, v^{(2)}\}$ be the generalized Jordan basis. Each Jordan chain $v^{(i)}$ contains α_i partial chains

$$v^{(1)} = \{\underbrace{w_{1,1}, \dots, w_{1,s}}_{v_1^{(1)}}, \underbrace{w_{1,s+1}, \dots, w_{1,2s}}_{v_2^{(1)}}, \underbrace{w_{1,2s+1}, \dots, w_{1,3s}}_{v_3^{(1)}}\}$$

$$v^{(2)} = \{\underbrace{w_{2,1}, \dots, w_{2,s}}_{v_1^{(2)}}, \underbrace{w_{2,s+1}, \dots, w_{2,2s}}_{v_2^{(2)}}\}$$

2.2 The generalized Jordan canonical form of the first kind

Concerning the existence of canonical forms of matrices for the similarity equivalence relation, particular attention deserves the case when the polynomial p is separable. In this case, another canonical form can be obtained which allows the so called Jordan-Chevalley decomposition of a matrix ([17]). We recall here the results.

The existence of the Jordan-Chevalley decomposition makes easier the study of certain properties of the endomorphism. For instance, one example is the study of the lattices of its hyperinvariant and characteristic subspaces (see [3, 15]). In particular, it makes easier the obtention of the centralizer of the endomorphism, as we will see later.

Theorem 2.7 (Generalized Jordan canonical form of the first kind, [10, 19]). Let $p_A = p^r$ with $p = x^s + c_{s-1}x^{s-1} + \ldots + c_1x + c_0 \in \mathbb{F}[x]$ irreducible and separable be the characteristic polynomial of $A \in M_n(\mathbb{F})$. Then, A is similar to a matrix of the form

$$G = \operatorname{diag}(G_1, G_2, \dots, G_m), \tag{6}$$

where

$$G_{i} = \begin{bmatrix} C & 0 & \dots & 0 \\ I & C & \dots & 0 \\ \vdots & \ddots & \ddots & \vdots \\ 0 & \dots & I & C \end{bmatrix} \in M_{s\alpha_{i}}(\mathbb{F}), \quad i = 1, \dots, m,$$

C is the companion matrix (1) of p, I is the identity matrix and $\alpha_1 \geq \alpha_2 \geq \ldots \geq \alpha_m \geq 0$ are integers such that p^{α_i} , $i = 1, \ldots, m$ are the elementary divisors of G and $\sum_{i=1}^m \alpha_i = r$.

- **Remark 2.8.** 1. This canonical form is known as the generalized Jordan form of the first kind ([4]). A particular case of this canonical form is the real Jordan canonical form ([9]). When $\deg(p) = 1$, this form also reduces to the Jordan canonical form.
 - 2. When p is separable, the matrix (6) is obviously similar to the generalized Jordan form (2) (for a proof see [19]).
 - 3. The canonical form (6) allows a decomposition analogous to (5), which is the following

$$G = D + N = \operatorname{diag}(D_1, \dots, D_m) + \operatorname{diag}(N_1, \dots, N_m)$$

$$D_i = \begin{bmatrix} C & 0 & \dots & 0 \\ 0 & C & \dots & 0 \\ \vdots & \ddots & \ddots & \vdots \\ 0 & \dots & 0 & C \end{bmatrix}, \quad N_i = \begin{bmatrix} 0 & 0 & \dots & 0 \\ I & 0 & \dots & 0 \\ \vdots & \ddots & \ddots & \vdots \\ 0 & \dots & I & 0 \end{bmatrix}$$

with $N_i, D_i \in M_{s\alpha_i}(\mathbb{F})$. Now, DN = ND, and the decomposition is known as Jordan-Chevalley decomposition. In fact, given $p_A = p^r$, p is separable if and only if A admits Jordan-Chevalley decomposition. See, for instance, [19].

3 The generalized Weyr canonical form

A canonical form of an endomorphism under similarity, relevant to theoretical and applied mathematics, is the Weyr canonical form. It has been obtained when the minimal polynomial splits over \mathbb{F} (therefore, it exists over algebraically closed fields). See [16] for details. This section is devoted to obtain a generalization of the Weyr form over an arbitrary field, which will be called the *generalized Weyr canonical form* (or *Weyr primary rational canonical form*).

One important feature leading the present work is that the Weyr canonical form allows to describe the matrices in the centralizer of an endomorphism in an upper triangular form (see [16]). We will generalize it to arbitrary fields, and will obtain the corresponding upper triangular form of the matrices in the centralizer. We will use this property to calculate the determinant of the elements of the centralizer.

The Weyr canonical form can be obtained from the Jordan canonical form reordering appropriately the vectors of a Jordan basis. In fact, it is associated to the conjugate partition of the Segre characteristic of the endomorphism (see [16]). To obtain the generalized Weyr canonical form we use the same sort of transformation, as we see next.

According to Proposition 2.2, we will assume that the minimal polynomial of the matrix $A \in M_n(\mathbb{F})$ is of the form $m_A = p^r$, where p is irreducible over \mathbb{F} .

The generalized Weyr canonical form will also be associated to the conjugate partition of the generalized Segre characteristic of A.

Let $\alpha=(\alpha_1,\ldots,\alpha_m)$ be the generalized Segre characteristic of A, and $\mathcal{B}=\{v^{(1)},v^{(2)},\ldots,v^{(m)}\}$ the generalized Jordan basis defined in Remark 2.5, where $v^{(i)}=\{v_1^{(i)},\ldots,v_{\alpha_i}^{(i)}\}$ and each partial chain $v_j^{(i)}$ is composed by a collection of s vectors. To obtain a generalized Weyr basis from it, we proceed analogously to the obtention of the Weyr basis, but replacing vectors by partial chains. The relations among the partial chains can be sketched as follows

$$v_{\alpha_1}^{(1)} \leftarrow \cdots \leftarrow v_1^{(1)}$$

$$v_{\alpha_2}^{(2)} \leftarrow \cdots \leftarrow v_1^{(2)}$$

$$\vdots \qquad \vdots$$

$$v_{\alpha_m}^{(m)} \leftarrow \cdots \leftarrow v_1^{(m)}$$

In order to renumber the partial chains according to its absolute position in the basis we need to introduce some notation. Let $(\beta_1, \ldots, \beta_h)$ be the different values of the generalized Segre partition and (n_1, \ldots, n_h) its frequencies. Let (μ_1, \ldots, μ_h) be the cumulative frequencies of β_i $(\mu_i = \mu_{i-1} + n_i)$. For $i = 1, \ldots, m$, let $\sigma_i = \alpha_1 + \ldots + \alpha_i$ $(\sigma_0 = 0)$. For $\sigma_{i-1} < j \le \sigma_i$, we define

$$v_j = v_{j-\sigma_{i-1}}^{(i)}.$$

Then, the partial chains of the basis can be described as follows

Now, taking this basis in vertical order we obtain the generalized Weyr form. In more detail, if we write the identity matrix as

$$I_n = [I_{*(1)}, \dots, I_{*(\sigma_1)}, I_{*(\sigma_1+1)}, \dots, I_{*(\sigma_{\mu_h})}],$$

where $I_{*(j)}$ denotes a block of s consecutive columns of I_n (notice that $\sigma_{\mu_h} s = n$), the permutation matrix reordering the basis is

$$P = \begin{bmatrix} I_{*(\sigma_{1})} & \dots & I_{*(\sigma_{\mu_{h}})} \mid I_{*(\sigma_{1}-1)} & \dots & I_{*(\sigma_{\mu_{h}}-1)} \mid \dots & I_{*(\sigma_{1}-\beta_{h}+1)} & \dots & I_{*(\sigma_{\mu_{h}}-\beta_{h}+1)} \mid \\ \dots & \mid I_{*(\sigma_{1}-\beta_{k})} & \dots & I_{*(\sigma_{\mu_{k-1}}-\beta_{k})} \mid \dots & \mid I_{*(\sigma_{1}-\beta_{k-1}+1)} & \dots & I_{*(\sigma_{\mu_{k-1}}-\beta_{k-1}+1)} \mid \\ \dots & \mid I_{*(\sigma_{1}-\beta_{2})} & \dots & I_{*(\sigma_{\mu_{1}}-\beta_{2})} \mid \dots & \mid I_{*(\sigma_{1}-\beta_{1}+1)} & \dots & I_{*(\sigma_{\mu_{1}}-\beta_{1}+1)} \end{bmatrix}$$
(7)

and the following theorem is obtained.

Theorem 3.1. Let $G = \operatorname{diag}(G_1, \ldots, G_m)$ be a generalized Jordan matrix as in (2). Let $p_G = p^r$ be its characteristic polynomial with p irreducible and $\operatorname{deg}(p) = s$. Let $\alpha = (\alpha_1, \ldots, \alpha_m)$ be the generalized Segre characteristic of G and $\tau = (\tau_1, \ldots, \tau_{\alpha_1})$ the conjugate partition of α .

Then, G is similar to a matrix

$$W = \begin{bmatrix} W_1 & E_2 & \dots & 0 & 0 \\ 0 & W_2 & \ddots & 0 & 0 \\ \vdots & \vdots & \ddots & \ddots & \vdots \\ 0 & 0 & \dots & W_{\alpha_1 - 1} & E_{\alpha_1} \\ 0 & 0 & \dots & 0 & W_{\alpha_1} \end{bmatrix},$$
(8)

where

$$W_{i} = \begin{bmatrix} C & 0 & \dots & 0 \\ 0 & C & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \dots & C \end{bmatrix} \in M_{s\tau_{i}}(\mathbb{F}), \quad i = 1, \dots \alpha_{1},$$

$$E_{i+1} = \begin{bmatrix} E & 0 & \dots & 0 \\ 0 & E & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \dots & E \\ 0 & 0 & \dots & 0 \\ \vdots & \vdots & \vdots & \vdots \\ 0 & 0 & \dots & 0 \end{bmatrix}$$

$$\in M_{s\tau_{i} \times s\tau_{i+1}}(\mathbb{F}), \quad i = 1, \dots \alpha_{1} - 1,$$

with E defined as in (4).

Proof. Representing G with respect to the basis reordered according to the permutation matrix P described in (7), we obtain the desired result, i.e. $P^{-1}GP = W$. Observe that, because of the reordering chosen, the sizes of the resulting diagonal blocks are given by τ , the conjugate partition of α .

Example 3.2. Let G be a generalized Jordan form with generalized Segre characteristic $\alpha = (3, 2, 2)$ and

$$\mathcal{B} = \{v^{(1)}, v^{(2)}, v^{(3)}\} = \{\{v_1^{(1)}, v_2^{(1)}, v_3^{(1)}\}, \{v_1^{(2)}, v_2^{(2)}\}, \{v_1^{(3)}, v_2^{(3)}\}\},$$

the corresponding basis. We can sketch the relations among the partial chains of the basis as

$$\begin{array}{cccc} v_3^{(1)} & \leftarrow v_2^{(1)} & \leftarrow v_1^{(1)} \\ v_2^{(2)} & \leftarrow v_1^{(2)} \\ v_2^{(3)} & \leftarrow v_1^{(3)} \end{array}$$

Notice that $(\beta_1, \beta_2) = (3, 2)$, $(n_1, n_2) = (1, 2)$, $(\mu_1, \mu_2) = (1, 3)$, $(\sigma_1, \sigma_2, \sigma_3) = (3, 5, 7)$. For $\sigma_{i-1} < j \le \sigma_i$, we define

$$v_j = v_{j-\sigma_{i-1}}^{(i)},$$

then, the partial chains of the basis can be described as follows

$$\begin{array}{cccc} v_3 & v_2 & v_1 \\ v_5 & v_4 \\ v_7 & v_6 \end{array} \right\} \quad n_1 = 1 \\ n_2 = 2$$

Now, if we take this basis in vertical order $\mathcal{B}' = \{\{v_3, v_5, v_7\}, \{v_2, v_4, v_6\}, \{v_1\}\},$ we obtain the generalized Weyr basis associated to the conjugate partition of α , $\tau = (3, 3, 1)$. The permutation matrix which reorders the basis is

$$P = \left[\begin{array}{cccc} I_{*(3)} & I_{*(5)} & I_{*(7)} & I_{*(2)} & I_{*(4)} & I_{*(6)} & I_{*(1)} \end{array} \right],$$

and the resulting matrix is

Remark 3.3. The Weyr characteristic can be obtained in terms of the kernels of $p^i(W)$ ([16]). Analogously, the generalized Weyr characteristic τ can be computed as

$$\begin{split} &\tau_1 = \frac{1}{s}\dim(\ker(p(W)),\\ &\tau_2 = \frac{1}{s}(\dim(\ker(p^2(W)) - \dim(\ker(p(W))),\\ &\vdots\\ &\tau_{\alpha_1} = \frac{1}{s}(\dim(\ker(p^{\alpha_1}(W)) - \dim(\ker(p^{\alpha_1-1}(W))). \end{split}$$

4 The centralizer of a matrix over an arbitrary field

The centralizer Z(A) of a matrix $A \in M_n(\mathbb{F})$ is known when the characteristic polynomial splits over \mathbb{F} (see [20]), for nonderogatory matrices (see [7]) and for $\mathbb{F} = \mathbb{R}$ (see [9]).

In this section we obtain the centralizer of a matrix in the generalized Jordan form (2), therefore for arbitrary fields. We can obtain it thanks to the structure of the generalized Jordan form and its behavior face to the matrix multiplication. We achieve the result in three steps: in Subsection 4.1 we recall the centralizer of a companion matrix (i.e., nonderogatory matrix), in Subsection 4.2 we obtain the centralizer of a generalized Jordan block, and finally in Subsection 4.3 we find the centralizer of a generalized Jordan matrix. To prove our results, we introduce in Subsection 4.2 some technical lemmas concerning the obtention of solutions of certain matrix equations.

4.1 The centralizer of a companion matrix

Let $C \in M_n(\mathbb{F})$ be the companion matrix (1) and $m_C(x) = x^n + c_{n-1}x^{n-1} + \ldots + c_1x + c_0$ its minimal polynomial.

In the following lemma we recall the characterization of the centralizer of a companion matrix ([7]). Next, we give a more simple proof than that of [7]. Moreover, the technique we use to prove it, is also used later to obtain the centralizer of the generalized Jordan form.

Lemma 4.1. [7] The centralizer Z(C) of the companion matrix C is

$$\{X \in M_n(\mathbb{F}) : X = [v \quad Cv \quad \dots \quad C^{n-1}v], \quad v \in \mathbb{F}^n\}.$$

Proof. From the definition of the centralizer, we have that

$$X \in Z(C) \Leftrightarrow CX = XC.$$

As,

$$CX = C \begin{bmatrix} X_{*1} & \dots & X_{*n} \end{bmatrix} = \begin{bmatrix} CX_{*1} & \dots & CX_{*n} \end{bmatrix},$$

 $XC = \begin{bmatrix} X_{*2} & \dots & X_{*n} & -c_0X_{*1} - \dots - c_{n-1}X_{*n} \end{bmatrix},$

identifying columns we obtain

$$X_{*2} = CX_{*1}$$

$$X_{*3} = CX_{*2} = C^2X_{*1}$$

$$...$$

$$X_{*n} = CX_{*n-1} = C^{n-1}X_{*1}$$

therefore

$$CX = XC \Leftrightarrow X = \begin{bmatrix} X_{*1} & CX_{*1} & \dots & C^{n-1}X_{*1} \end{bmatrix}.$$

As a consequence of the above lemma we see that the matrices in the centralizer Z(C) can be parametrized in terms of the elements of the first column. Next corollary shows another parametrization in terms of the last row.

Corollary 4.2. Let $X = [x_{i,j}] \in Z(C)$. Then,

$$x_{n-i,1} = c_{n-i}x_{n,1} + c_{n-i+1}x_{n,2} + \dots + c_{n-1}x_{n,i} + x_{n,i+1}, \quad i = 1,\dots, n-1.$$
 (9)

Proof. As

$$X = \begin{bmatrix} x_{1,1} & x_{1,2} & \dots & x_{1,n} \\ x_{2,1} & x_{2,2} & \dots & x_{2,n} \\ & & \ddots & \\ x_{n,1} & x_{n,2} & \dots & x_{n,n} \end{bmatrix} = \begin{bmatrix} X_{*1} & CX_{*1} & \dots & C^{n-1}X_{*1} \end{bmatrix},$$

for j = 1, ..., n - 1

$$\begin{bmatrix} x_{1,j+1} \\ x_{2,j+1} \\ \dots \\ x_{n-1,j+1} \\ x_{n,j+1} \end{bmatrix} = C \begin{bmatrix} x_{1,j} \\ x_{2,j} \\ \dots \\ x_{n-1,j} \\ x_{n,j} \end{bmatrix} = \begin{bmatrix} 0 & 0 & \dots & 0 & -c_0 \\ 1 & 0 & \dots & 0 & -c_1 \\ \dots & \dots & \dots & \dots \\ 0 & 0 & \dots & 0 & -c_{n-2} \\ 0 & 0 & \dots & 1 & -c_{n-1} \end{bmatrix} \begin{bmatrix} x_{1,j} \\ x_{2,j} \\ \dots \\ x_{n-1,j} \\ x_{n,j} \end{bmatrix} = \begin{bmatrix} -c_0 x_{n,j} \\ x_{1,j} - c_1 x_{n,j} \\ \dots \\ x_{n-2,j} - c_{n-2} x_{n,j} \\ x_{n-1,j} - c_{n-1} x_{n,j} \end{bmatrix}.$$

It means that for $i = 1, ..., n, \quad j = 1, ..., n - 1 \quad (x_{0,j} = 0)$

$$x_{n-i,j} = c_{n-i}x_{n,j} + x_{n-i+1,j+1}. (10)$$

Applying (10) repeatedly we obtain the conclusion:

$$x_{n-i,1} = c_{n-i}x_{n,1} + x_{n-i+1,2} =$$

$$= c_{n-i}x_{n,1} + c_{n-i+1}x_{n,2} + x_{n-i+2,3} =$$

$$\cdots$$

$$= c_{n-i}x_{n,1} + c_{n-i+1}x_{n,2} + \dots + c_{n-1}x_{n,i} + x_{n,i+1}.$$

As a consequence, the following results arise.

Corollary 4.3. [2] Assume that $C \in M_n(\mathbb{F})$ is the companion matrix (1). Then, it is satisfied that

- 1. $\dim(Z(C)) = n$.
- 2. Let $X \in Z(C)$, then

$$\det(X) = 0 \Leftrightarrow X_{*1} = 0 \Leftrightarrow X_{n*} = 0.$$

3. If the polynomial associated to C is irreducible, then

$$det(X) = 0 \Leftrightarrow X = 0.$$

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4.2 The centralizer of a generalized Jordan block

Let G be a generalized Jordan block as in (3). Our target now is to obtain the centralizer of G.

We introduce the following notation: given a matrix $X=[x_{i,j}]_{1\leq i,j\leq n}\in M_n(\mathbb{F})$, we denote by $\tilde{X}\in M_n(\mathbb{F})$

$$\tilde{X} = \begin{bmatrix} 0 & x_{n,1} & x_{n,2} & \dots & x_{n,n-1} \\ 0 & 0 & x_{n,1} & \dots & x_{n,n-2} \\ & & \ddots & & \\ 0 & 0 & 0 & \dots & x_{n,1} \\ 0 & 0 & 0 & \dots & 0 \end{bmatrix}.$$

$$(11)$$

The next lemma is key to compute the centralizer of a generalized Jordan matrix G.

Lemma 4.4. Let $C \in M_n(\mathbb{F})$ be a companion matrix as in (1) and E a matrix as in (4). Let $X, Y \in Z(C)$ and $T \in M_n(\mathbb{F})$. Then

$$EX + CT = TC + YE \Leftrightarrow X = Y, \quad T = T' + \tilde{X},$$

where $T' \in Z(C)$ and \tilde{X} is defined as in (11).

Proof. By Lemma 4.1 we have that

$$X \in Z(C) \Leftrightarrow X = \begin{bmatrix} X_{*1} & CX_{*1} & \dots & C^{n-1}X_{*1} \end{bmatrix},$$

 $Y \in Z(C) \Leftrightarrow Y = \begin{bmatrix} Y_{*1} & CY_{*1} & \dots & C^{n-1}Y_{*1} \end{bmatrix}.$

Observe that

$$EX = \begin{bmatrix} x_{n,1} & \dots & x_{n,n-1} & x_{n,n} \\ 0 & \dots & 0 & 0 \\ & \ddots & & \\ 0 & \dots & 0 & 0 \end{bmatrix}, \quad YE = \begin{bmatrix} 0 & \dots & 0 & y_{1,1} \\ 0 & \dots & 0 & y_{2,1} \\ & \ddots & & \\ 0 & \dots & 0 & y_{n,1} \end{bmatrix}.$$

If we denote by e_i the i-th vector of the canonical basis of \mathbb{F}^n , then

$$EX + CT = \begin{bmatrix} x_{n,1}e_1 & \dots & x_{n,n}e_1 \end{bmatrix} + \begin{bmatrix} CT_{*1} & \dots & CT_{*n} \end{bmatrix},$$

$$TC + YE = \begin{bmatrix} T_{*2} & \dots & T_{*n} & -c_0T_{*1} - \dots - c_{n-1}T_{*n} + Y_{*1} \end{bmatrix}.$$

Identifying the two expressions we obtain

$$T_{*2} = x_{n,1}e_1 + CT_{*1}$$

$$T_{*3} = x_{n,2}e_1 + CT_{*2} = x_{n,2}e_1 + C(x_{n,1}e_1 + CT_{*1}) = (x_{n,2} + x_{n,1}C)e_1 + C^2T_{*1}$$
...
$$T_{*n} = x_{n,n-1}e_1 + CT_{*n-1} = (x_{n,n-1} + x_{n,n-2}C + \dots + x_{n,1}C^{n-2})e_1 + C^{n-1}T_{*1}$$

$$-c_0T_{*1} - \dots - c_{n-1}T_{*n} + Y_{*1} = x_{n,n}e_1 + CT_{*n}$$
(12)

Hence

$$T_{*2} = x_{n,1}e_1 + CT_{*1}$$

$$T_{*3} = x_{n,2}e_1 + x_{n1}e_2 + C^2T_{*1}$$

$$...$$

$$T_{*n} = x_{n,n-1}e_1 + x_{n,n-2}e_2 + ... + x_{n,1}e_{n-1} + C^{n-1}T_{*1}$$

$$-c_0T_{*1} - ... - c_{n-1}T_{*n} + Y_{*1} = x_{n,n}e_1 + CT_{*n}$$

and replacing T_{*2}, \ldots, T_{*n} into the last equation

$$c_{0}T_{*1} + c_{1}(x_{n,1}e_{1} + CT_{*1}) + c_{2}(x_{n,2}e_{1} + x_{n,1}e_{2} + C^{2}T_{*1}) + \dots$$

$$c_{n-1}(x_{n,n-1}e_{1} + x_{n,n-2}e_{2} + \dots + x_{n,1}e_{n-1} + C^{n-1}T_{*1}) + x_{n,n}e_{1} + \\
 + C(x_{n,n-1}e_{1} + x_{n,n-2}e_{2} + \dots + x_{n,1}e_{n-1} + C^{n-1}T_{*1}) =$$

$$= (c_{0}I_{n} + c_{1}C + \dots + c_{n-1}C^{n-1} + C^{n})T_{*1} + \\
 c_{1}x_{n,1}e_{1} + \\
 c_{2}(x_{n,2}e_{1} + x_{n,1}e_{2}) + \\
 \dots \\
 c_{n-2}(x_{n,n-2}e_{1} + x_{n,n-3}e_{2} + \dots + x_{n,1}e_{n-2}) + \\
 c_{n-1}(x_{n,n-1}e_{1} + x_{n,n-2}e_{2} + \dots + x_{n,2}e_{n-2} + x_{n,1}e_{n-1}) + \\
 x_{n,n}e_{1} + x_{n,n-1}e_{2} + x_{n,n-2}e_{3} + \dots + x_{n,1}e_{n} =$$

$$c_{1}x_{n,1} + c_{2}x_{n,2} + \dots + c_{n-2}x_{n,n-2} + c_{n-1}x_{n,n-1} + x_{n,n} \\
 c_{2}x_{n,1} + c_{3}x_{n,2} + \dots + c_{n-1}x_{n,n-2} + x_{n,n-1} \\
 \dots \\
 c_{n-2}x_{n,1} + c_{n-1}x_{n,2} + x_{n,3} \\
 c_{n-1}x_{n,1} + x_{n,2} \\
 x_{n,1} \end{vmatrix} = Y_{*1},$$

Taking into account Corollary 4.2 we conclude that

$$x_{i,1} = y_{i,1}, \quad i = 1, \dots, n,$$

therefore $X_{*1} = Y_{*1}$, and since $X, Y \in Z(C)$ we obtain that X = Y. Moreover, from equations (12) denoting $T = \begin{bmatrix} T_{*1} & T_{*2} & \dots & T_{*n} \end{bmatrix}$,

$$T = \begin{bmatrix} T_{*1} & CT_{*1} & C^2T_{*1} & \dots & C^{n-1}T_{*1} \end{bmatrix} + \begin{bmatrix} 0 & x_{n,1} & x_{n,2} & \dots & x_{n,n-1} \\ 0 & 0 & x_{n,1} & \dots & x_{n,n-2} \\ & & & \ddots & \\ 0 & 0 & 0 & \dots & x_{n,1} \\ 0 & 0 & 0 & \dots & 0 \end{bmatrix},$$

i.e. $T = T' + \tilde{X}$ where $T' \in Z(C)$ and \tilde{X} defined as in (11) as desired.

Conversely, assume that $X, T' \in Z(C)$, Y = X and $T = T' + \tilde{X}$ where \tilde{X} is defined as in (11). To prove that

$$EX + CT = TC + YE$$
,

it is enough to prove that

$$EX + C\tilde{X} = \tilde{X}C + XE.$$

and this equation is satisfied whenever (9) is satisfied. But it fulfills because, $X \in Z(C)$.

Corollary 4.5. The set

$$\mathcal{M} = \{(X,T) \in M_n(\mathbb{F}) \times M_n(\mathbb{F}) : X \in Z(C), T = T' + \tilde{X}, T' \in Z(C)\}$$

is a vector subspace of dimension

$$\dim(\mathcal{M}) = 2n.$$

Notice that since $E\tilde{X}=\tilde{X}E=0$, Lemma 4.4 can be stated in a more general form as in the following lemma.

Lemma 4.6. Let $C \in M_n(\mathbb{F})$ be a companion matrix as in (1) and E a matrix as in (4). Let $X', Y' \in Z(C)$, $T, A \in M_n(\mathbb{F})$ and $X = X' + \tilde{A}$, $Y = Y' + \tilde{A}$ with \tilde{A} defined as in (11). Then

$$EX + CT = TC + YE \Leftrightarrow X = Y, \quad T = T' + \tilde{X},$$

where $T' \in Z(C)$ and \tilde{X} is as in (11).

Particular cases of the previous results are stated in the next corollary; they will be used later.

Corollary 4.7. 1. Let $Y \in Z(C)$ and $T \in M_n(\mathbb{F})$. Then

$$CT = TC + YE \Leftrightarrow Y = 0, T \in Z(C).$$

2. Let $X \in Z(C)$ and $T \in M_n(\mathbb{F})$. Then

$$TC = CT + EX \Leftrightarrow X = 0, T \in Z(C).$$

The next theorem is the main result of this subsection. We give a characterization of the centralizer of a generalized Jordan block.

Theorem 4.8 (Centralizer of a generalized Jordan block). Let $G \in M_{s\ell}(\mathbb{F})$ be a generalized Jordan block, $m_G = p^{\ell}$, p irreducible and $\deg(p) = s$. Then, the centralizer Z(G) of G is

$$\left\{ \begin{bmatrix}
X_{1,1} & 0 & \dots & 0 & 0 \\
X_{2,1} & X_{1,1} & \dots & 0 & 0 \\
\vdots & \ddots & \ddots & \vdots & \vdots \\
X_{\ell-1,1} & X_{\ell-2,1} & \ddots & X_{1,1} & 0 \\
X_{\ell,1} & X_{\ell-1,1} & \dots & X_{2,1} & X_{1,1}
\end{bmatrix}, X_{1,1} \in Z(C), X_{i,1} = X'_{i,1} + \tilde{X}_{i-1,1}, X'_{i,1} \in Z(C), X$$

where $\tilde{X}_{i-1,1}$ is defined as in (11).

Proof. For $\ell = 1$ the result is immediate. Assume that $\ell \geq 2$. We prove the theorem by induction on $k = 2, ..., \ell$. For k = 2 it is straightforward to see that

$$Z\left(\left[\begin{array}{cc} C & 0 \\ E & C \end{array}\right]\right) = \left\{\left[\begin{array}{cc} X & 0 \\ T & X \end{array}\right]: \ T = T' + \tilde{X}, \quad X, T' \in Z(C)\right\}.$$

Assume that the property is true for k and let us prove that it is satisfied for k + 1.

Let us write

$$G_{k+1} = \left[\begin{array}{cc} G_k & 0 \\ E_k & C \end{array} \right],$$

where $E_k = [0 \dots 0 E]$. Assume that $X_{k+1} \in Z(G_{k+1})$ and write

$$X_{k+1} = [X_{i,j}]_{1 \le i,j \le k+1} = \begin{bmatrix} & X_k & X_{1,k+1} \\ & \ddots & & \\ & & X_{k,k+1} \\ \hline & X_{k+1,1} & \dots & X_{k+1,k} & X_{k+1,k+1} \end{bmatrix}, \quad X_{i,j} \in M_s(\mathbb{F}).$$

Then,

$$X_{k+1}G_{k+1} = G_{k+1}X_{k+1}$$

if and only if the following equations (13)-(16) are satisfied

$$G_k X_k = X_k G_k + \begin{bmatrix} 0 & \dots & 0 & X_{1,k+1} E \\ \vdots & & \vdots & \vdots \\ 0 & \dots & 0 & X_{k,k+1} E \end{bmatrix},$$
(13)

$$G_{k} \begin{bmatrix} X_{1,k+1} \\ \vdots \\ X_{k,k+1} \end{bmatrix} = \begin{bmatrix} X_{1,k+1}C \\ \vdots \\ X_{k,k+1}C \end{bmatrix}, \tag{14}$$

$$\begin{cases}
EX_{k,1} + CX_{k+1,1} &= X_{k+1,1}C + X_{k+1,2}E, \\
\vdots &\vdots &\vdots \\
EX_{k,k} + CX_{k+1,k} &= X_{k+1,k}C + X_{k+1,k+1}E,
\end{cases} (15)$$

$$EX_{k,k+1} + CX_{k+1,k+1} = X_{k+1,k+1}C. (16)$$

From equations (14) and (16) we have

$$\begin{cases} CX_{1,k+1} &= X_{1,k+1}C \\ EX_{1,k+1} + CX_{2,k+1} &= X_{2,k+1}C \\ & \vdots \\ EX_{k-1,k+1} + CX_{k,k+1} &= X_{k,k+1}C \\ EX_{k,k+1} + CX_{k+1,k+1} &= X_{k+1,k+1}C \end{cases}$$

and as a consequence of Corollary 4.7 we obtain $X_{1,k+1} = \ldots = X_{k,k+1} = 0$ and $X_{k+1,k+1} \in Z(C)$.

Now, equation (13) reduces to $G_k X_k = X_k G_k$. Applying the induction hypothesis we can write

$$X_k = \begin{bmatrix} X_{1,1} & 0 & \dots & \dots & 0 \\ X_{2,1} & X_{1,1} & 0 & \dots & 0 \\ X_{3,1} & X_{2,1} & X_{1,1} & \dots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ X_{k,1} & X_{k-1,k} & X_{1,k-2} & \dots & X_{1,1} \end{bmatrix},$$

where $X_{1,1} \in Z(C)$ and $X_{i,1} = X'_{i,1} + \tilde{X}_{i-1,1}, X'_{i,1} \in Z(C)$ and $\tilde{X}_{i-1,1}$ is as in (11), for $i = 2, \ldots, k$. It allows us to rewrite equations (15) as follows

$$\begin{cases} CX_{k+1,k+1} = X_{k+1,k+1}C \\ EX_{1,1} + CX_{k+1,k} = X_{k+1,k}C + X_{k+1,k+1}E \\ EX_{2,1} + CX_{k+1,k-1} = X_{k+1,k-1}C + X_{k+1,k}E \\ \vdots \\ EX_{k-1,1} + CX_{k+1,2} = X_{k+1,2}C + X_{k+1,3}E \\ EX_{k,1} + CX_{k+1,1} = X_{k+1,1}C + X_{k+1,2}E \end{cases}$$

As $X_{1,1}$ and $X_{k+1,k+1} \in Z(C)$, by Lemma 4.4 the second of these equations implies that $X_{k+1,k+1} = X_{1,1}$ and $X_{k+1,k} = X'_{k+1,k} + \tilde{X}_{1,1}$ with $X'_{k+1,k} \in Z(C)$. Now, from the third equation and Lemma 4.6 we obtain that $X_{k+1,k} = X_{2,1}$ and $X_{k+1,k-1} = X'_{k+1,k-1} + \tilde{X}_{2,1}$. Proceeding in the same way we obtain the desired result.

The following corollary generalizes Corollary 4.5.

Corollary 4.9. Under the hypotesis of Theorem 4.8 we have that

$$\dim(Z(G)) = \ell s.$$

4.3 The centralizer of a generalized Jordan form

In order to obtain the centralizer of a generalized Jordan form we need to prove first two technical results.

Lemma 4.10. Let $G_1 \in M_{sa}(\mathbb{F}), G_2 \in M_{sb}(\mathbb{F}), a \geq b$, be two generalized Jordan blocks. Let $T = [T_{i,j}] \in M_{sa \times sb}(\mathbb{F})$ be a block matrix with $T_{i,j} \in M_s(\mathbb{F})$ such that

$$G_1T = TG_2. (17)$$

Then,

$$T = \begin{bmatrix} 0 \\ T_1 \end{bmatrix},$$

with $T_1 \in Z(G_2)$.

Proof. Denoting $T = [Y_{i,j}]_{i=1,\dots,a,j=1,\dots,b}$, equation (17) is

$$\begin{bmatrix} CY_{1,1} & \dots & CY_{1,b-2} & CY_{1,b-1} & CY_{1,b} \\ EY_{1,1} + CY_{2,1} & \dots & EY_{1,b-2} + CY_{2,b-2} & EY_{1,b-1} + CY_{2,b-1} & EY_{1,b} + CY_{2,b} \\ \vdots & \vdots & \vdots & \vdots & \vdots \\ EY_{a-3,1} + CY_{a-2,1} & \dots & EY_{a-3,b-2} + CY_{a-2,b-2} & EY_{a-3,b-1} + CY_{a-2,b-1} & EY_{a-3,b} + CY_{a-2,b} \\ EY_{a-2,1} + CY_{a-1,1} & \dots & EY_{a-2,b-2} + CY_{a-1,b-2} & EY_{a-2,b-1} + CY_{a-1,b-1} & EY_{a-2,b} + CY_{a-1,b} \\ EY_{a-1,1} + CY_{a,1} & \dots & EY_{a-1,b-2} + CY_{a,b-2} & EY_{a-1,b-1} + CY_{a,b-1} & EY_{a-1,b} + CY_{a,b} \end{bmatrix}$$

$$= \begin{bmatrix} Y_{1,1}C + Y_{1,2}E & \dots & Y_{1,b-2}C + Y_{1,b-1}E & Y_{1,b-1}C + Y_{1,b}E & Y_{1,b}C \\ Y_{2,1}C + Y_{2,2}E & \dots & Y_{2,b-2}C + Y_{2,b-1}E & Y_{2,b-1}C + Y_{2,b}E & Y_{2,b}C \\ \vdots & \vdots & \vdots & \vdots & \vdots \\ Y_{a-2,1}C + Y_{a-2,2}E & \dots & Y_{a-2,b-2}C + Y_{a-2,b-1}E & Y_{a-2,b-1}C + Y_{a-2,b}E & Y_{a-2,b}C \\ Y_{a-1,1}C + Y_{a-1,2}E & \dots & Y_{a-1,b-2}C + Y_{a-1,b-1}E & Y_{a-1,b-1}C + Y_{a-1,b}E & Y_{a-1,b}C \\ Y_{a-1,1}C + Y_{a-1,2}E & \dots & Y_{a-1,b-2}C + Y_{a-1,b-1}E & Y_{a-1,b-1}C + Y_{a-1,b}E & Y_{a-1,b}C \\ Y_{a-1,1}C + Y_{a-1,2}E & \dots & Y_{a-1,b-2}C + Y_{a-1,b-1}E & Y_{a-1,b-1}C + Y_{a-1,b}E & Y_{a-1,b}C \\ Y_{a-1,1}C + Y_{a-1,2}E & \dots & Y_{a-1,b-2}C + Y_{a-1,b-1}E & Y_{a-1,b-1}C + Y_{a-1,b}E & Y_{a-1,b}C \\ Y_{a-1,1}C + Y_{a-1,2}E & \dots & Y_{a-1,b-2}C + Y_{a-1,b-1}E & Y_{a-1,b-1}C + Y_{a-1,b}E & Y_{a-1,b}C \\ Y_{a-1,1}C + Y_{a-1,2}E & \dots & Y_{a-1,b-2}C + Y_{a-1,b-1}E & Y_{a-1,b-1}C + Y_{a-1,b}E & Y_{a-1,b}C \\ Y_{a-1,1}C + Y_{a-1,2}E & \dots & Y_{a-1,b-2}C + Y_{a-1,b-1}E & Y_{a-1,b-1}C + Y_{a-1,b}E & Y_{a-1,b}C \\ Y_{a-1,1}C + Y_{a-1,2}E & \dots & Y_{a-1,b-2}C + Y_{a-1,b-1}E & Y_{a-1,b-1}C + Y_{a-1,b}E & Y_{a-1,b}C \\ Y_{a-1,1}C + Y_{a-1,2}E & \dots & Y_{a-1,b-2}C + Y_{a-1,b-1}E & Y_{a-1,b-1}C + Y_{a-1,b}E & Y_{a-1,b}C \\ Y_{a-1,1}C + Y_{a-1,2}E & \dots & Y_{a-1,b-2}C + Y_{a-1,b-1}E & Y_{a-1,b-1}C + Y_{a-1,b}E & Y_{a-1,b}C \\ Y_{a-1,1}C + Y_{a-1,2}E & \dots & Y_{a-1,b-2}C + Y_{a-1,b-1}E & Y_{a-1,b-1}C + Y_{a-1,b}E & Y_{a-1,b}C \\ Y_{a-1,1}C + Y_{a-1,2}E & \dots & Y_{a-1,b-2}C + Y_{a-1,b-1}E & Y_{a-1,b-1}C + Y_{a-1,b}E & Y_{a-1,b}C \\ Y_{a-1,1}C + Y_{a$$

Identifying the block components of the last column and taking into account Corollary 4.7, we successively obtain that

$$Y_{1,b} = 0$$
, $Y_{2,b} = 0$, ... $Y_{a-1,b} = 0$, $Y_{a,b} \in Z(C)$.

Then, identifying the block components of the last but one column and taking into account Corollary 4.7 and Lemma 4.6, we successively obtain that

$$Y_{1,b-1} = 0$$
, $Y_{2,b-1} = 0$, ... $Y_{a-2,b-1} = 0$, $Y_{a-1,b-1} = Y_{a,b}$

and

$$Y_{a,b-1} = Y'_{a,b-1} + \tilde{Y}_{a,b}, \quad Y'_{a,b} \in Z(C).$$

Identifying the block components of the third block column starting from the end and taking into account Corollary 4.7, Lemma 4.6 and Lemma 4.4, we obtain

$$Y_{1,b-2} = 0$$
, $Y_{2,b-2} = 0$, ... $Y_{a-3,b-2} = 0$, $Y_{a-2,b-2} = Y_{a,b}$

and

$$Y_{a-1,b-2} = Y_{a,b-1}, \quad Y_{a,b-2} = Y'_{a,b-2} + \tilde{Y}_{a,b}, \quad Y''_{a-1,b-2} \in Z(C).$$

Proceeding analogously down the remaining columns we obtain

$$T = \begin{bmatrix} 0 & 0 & \dots & 0 & 0 \\ \vdots & \vdots & & \vdots & \vdots \\ 0 & 0 & \dots & 0 & 0 \\ Y_{a,b} & 0 & \dots & 0 & 0 \\ Y_{a,b-1} & Y_{a,b} & \dots & 0 & 0 \\ \vdots & \vdots & \ddots & \vdots & \vdots \\ Y_{a,2} & Y_{a,3} & \dots & Y_{a,b} & 0 \\ Y_{a,1} & Y_{a,2} & \dots & Y_{a,b-1} & Y_{a,b} \end{bmatrix},$$

with $Y_{a,j} = Y'_{a,j} + \tilde{Y}_{a,j-1}$ and $Y_{a,b}, Y'_{a,j} \in Z(C)$ for $j = 1, \dots, b-1$ as desired. \square

The next lemma can be proved in a similar way.

Lemma 4.11. Let G_1, G_2 be two generalized Jordan blocks as in (3), $G_1 \in M_{sa}(\mathbb{F}), G_2 \in M_{sb}(\mathbb{F}), a \leq b$. Let $T = [T_{i,j}]_{i=1,\dots,a,j=1,\dots,b}$ be a block matrix with $T_{i,j} \in M_s(\mathbb{F})$ such that

$$G_1T = TG_2$$
.

Then,

$$T = \begin{bmatrix} T_1 & 0 \end{bmatrix},$$

with $T_1 \in Z(G_1)$.

Theorem 4.12 (Centralizer of a generalized Jordan form). Let $G = \text{diag}(G_1, \ldots, G_m)$ be a generalized Jordan matrix. Let $p_G = p^r$ be its characteristic polynomial, p irreducible, $m_G = p^{r_1}$, $r_1 \leq r$ and $\deg(p) = s$. Let $\alpha = (\alpha_1, \ldots, \alpha_m)$ be the generalized Segre characteristic of G. If $X \in Z(G)$, then

$$X = [X_{i,j}]_{i,j=1,...,m},$$

where $X_{i,j} \in M_{s\alpha_i \times s\alpha_j}(\mathbb{F})$ are block lower triangular Toeplitz matrices of the form:

- 1) If $\alpha_i = \alpha_j$, then $X_{i,i} \in Z(G_i)$.
- 2) If $\alpha_i < \alpha_j$, then

$$X_{i,j} = \begin{bmatrix} X_{i,i} & 0 \end{bmatrix},$$

where $X_{i,i} \in Z(G_i)$.

3) If $\alpha_i > \alpha_i$, then

$$X_{i,j} = \left[\begin{array}{c} 0 \\ X_{j,j} \end{array} \right],$$

where $X_{i,j} \in Z(G_i)$.

Proof. Let $X \in Z(G)$ and assume that $X = [X_{i,j}]_{i,j=1,...,m}$. Then, the block components of X satisfy the following equations:

$$G_i X_{i,j} = X_{i,j} G_j, \quad i, j = 1, \dots, m.$$

The structure of the blocks $X_{i,j}$ for $i,j=1,\ldots,m$ is a direct consequence of Theorem 4.8 and Lemmas 4.10 and 4.11.

Example 4.13. Let G be a generalized Jordan matrix with minimal polynomial $m_G = p^5$, where p is an irreducible polynomial of $\deg(p) > 1$ and whose companion matrix is C as in (1). Let $\alpha = (5,4,3,1,1)$ be its generalized Segre characteristic, i.e. the generalized Jordan form of G is

	C E	$C \\ E$	$C \\ E$	$C \\ E$	C									
G =						C E	~							
						E	C							
G –							E	C						
								E	C					
										C				
										E	C			
											C E	C		
													C	
														C

In this case a matrix $X \in Z(G)$ has the following form

	$ \begin{bmatrix} A_1 \\ A_2 \\ A_3 \\ A_4 \\ A_5 \\ \hline H_1 \end{bmatrix} $	A_1 A_2 A_3 A_4	$A_1 \\ A_2 \\ A_3$	A_1 A_2	A_1	$egin{array}{c} I_1 & I_2 & \\ I_3 & I_4 & \\ \hline B_1 & \end{array}$	$I_1 \\ I_2 \\ I_3$	$egin{array}{c} I_1 \ I_2 \end{array}$	I_1	$egin{array}{c} L_1 \ L_2 \ L_3 \end{array}$	L_1 L_2	L_1	Q_1	W_1
X =	H_1 H_2 H_3 H_4	H_1 H_2	H_1 H_2	И.		B_1 B_2 B_3 B_4	B_1 B_2 B_3	$egin{array}{c} B_1 \ B_2 \end{array}$	R.	M_1 M_2 M_3	M_1 M_2	M_1	R_1	X_1
	J_1 J_2	J_1		111		K_1 K_2	K_1		B_1	C_1 C_2	C_1			
	$\frac{J_3}{N_1}$ T_1	J_2	J_1			K_3 O_1 U_1	K_2	K_1		$\begin{array}{c c} C_3 \\ \hline P_1 \\ \hline V_1 \\ \end{array}$	C_2	C_1	$\begin{array}{c c} S_1 \\ \hline D_1 \\ \hline F1 \end{array}$	$\begin{bmatrix} Y_1 \\ G_1 \\ E_1 \end{bmatrix}$

where $A_1 \in Z(C)$ and for i = 2, ..., 5, $A_i = A'_i + \tilde{A}_{i-1}$ with $A'_i \in Z(C)$ and \tilde{A}_{i-1} is defined as in (11). An analogous pattern occurs in each block.

5 The centralizer of a matrix over a perfect field

When \mathbb{F} is a perfect field we can replace the matrices E by identity matrices I in the generalized Jordan form, obtaining the generalized Jordan canonical form of the first kind (see Theorem 2.7). In fact, a perfect field is not a necessary condition, it is enough that the polynomial p is separable.

In what follows, we calculate the centralizer of a generalized Jordan matrix of the first kind. Although it is not a particular case of the centralizer of a generalized Jordan form, we can easily derive the technical results we need to obtain the centralizer in this case from those obtained in Section 4.

An example of this case is the centralizer of the real Jordan form.

The following results are variants of Lemma 4.6 and Corollary 4.7, respectively, adapted to this case.

Lemma 5.1. Let $C \in M_n(\mathbb{F})$ be a companion matrix as in (1). Let $X, Y \in Z(C)$ and $T \in M_n(\mathbb{F})$. Then

$$X + CT = TC + Y \Leftrightarrow X = Y, T \in Z(C).$$

This result is, in fact, an immediate consequence of the next lemma.

Lemma 5.2. Let $C \in M_n(\mathbb{F})$ be a companion matrix as in (1). Let $Y \in Z(C)$ and $T \in M_n(\mathbb{F})$. Then

$$CT = TC + Y \Leftrightarrow Y = 0, T \in Z(C).$$

Proof. By Lemma 4.1 we have that

$$Y \in Z(C) \Rightarrow Y = \begin{bmatrix} Y_{*1} & CY_{*1} & \dots & C^{n-1}Y_{*1} \end{bmatrix}.$$

$$CT = \begin{bmatrix} CT_{*1} & \dots & CT_{*n} \end{bmatrix}$$

$$TC + Y = \begin{bmatrix} T_{*2} & \dots & T_{*n} & -c_0T_{*1} - \dots -c_{n-1}T_{*n} \end{bmatrix} + \begin{bmatrix} Y_{*1} & CY_{*1} & \dots & C^{n-1}Y_{*1} \end{bmatrix}.$$

Identifying the two expressions we obtain:

$$T_{*2} = CT_{*1} + Y_{*1}$$

$$T_{*3} = CT_{*2} + CY_{*1} = +C^{2}T_{*1} + 2CY_{*1}$$
...
$$T_{*i} = C^{i-1}T_{*1} + (i-1)C^{i-2}Y_{*1}$$
...
$$T_{*n} = C^{n-1}T_{*1} + (n-1)C^{n-2}Y_{*1}$$

$$-c_{0}T_{*1} - \dots - c_{n-1}T_{*n} = CT_{*n} + C^{n-1}Y_{*1}$$

Replacing the values of all T_{*i} in the last equation we have:

$$-c_0T_{*1}-c_1(CT_{*1}+Y_{*1})\dots-c_{i-1}(C^{i-1}T_{*1}+(i-1)C^{i-2}Y_{*1})\dots-c_{n-1}(C^{n-1}T_{*1}+(n-1)C^{n-2}Y_{*1})=C(C^{n-1}T_{*1}+(n-1)C^{n-2}Y_{*1}+C^{n-1}Y_{*1},$$

therefore,

$$p(C)T_{*1} = p'(C)Y_{*1}.$$

Observe that p(C) = 0 and since p is separable $p'(C) \neq 0$. Moreover, $p'(C) \in Z(C)$. By Corollary 4.3 we have that $\det(p'(C)) \neq 0$. Hence, $Y_{*1} = 0$. The converse is trivial.

Theorem 5.3 (Centralizer of a generalized Jordan block of the first kind). Let $G \in M_{s\ell}(\mathbb{F})$ be a generalized Jordan block of the first kind with $m_G = p^{\ell}$, $\deg(p) = s$, p irreducible and separable. Then, the centralizer Z(G) of G is

$$\left\{ \begin{bmatrix} X_1 & 0 & \dots & 0 \\ X_2 & X_1 & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ X_{\ell} & X_{\ell-1} & \dots & X_1 \end{bmatrix}, X_i \in Z(C), i = 1, \dots, \ell \right\}.$$

Proof. For $\ell=1$ the result is immediate. Assume that $\ell\geq 2$. We prove the theorem by induction on $k=2,\ldots,\ell$. For k=2, it can be proved as a consequence of Lemmas 5.1, 5.2. Assume that the hypothesis is true for k. To prove that it is also true for k+1 it is enough to follow step by step the proof of Theorem 4.8, replacing E by the identity matrix.

Observe that the centralizer in this case is analogous to the centralizer obtained in Theorem 4.8, but now there is no dependency between the blocks of a lower diagonal and those of the diagonal immediately above it.

In order to obtain the centralizer of a generalized Jordan form of the first kind, we need to translate Lemmas 4.10 and 4.11 to the case where the polynomial p is separable. Their proofs are analogous to those of Lemmas 4.10 and 4.11.

Lemma 5.4. Let $G_1 \in M_{sa}(\mathbb{F}), G_2 \in M_{sb}(\mathbb{F}), a \geq b$ be two generalized Jordan blocks of the first kind. Let $T = [T_{i,j}] \in M_{sa \times sb}(\mathbb{F})$ be a block matrix with $T_{i,j} \in M_s(\mathbb{F})$ such that

$$G_1T = TG_2$$
.

Then,

$$T = \begin{bmatrix} 0 \\ T_1 \end{bmatrix},$$

with $T_1 \in Z(G_2)$.

Lemma 5.5. Let G_1, G_2 be two generalized Jordan blocks of the first kind, $G_1 \in M_{sa}(\mathbb{F}), G_2 \in M_{sb}(\mathbb{F}), a \leq b$. Let $T = [T_{i,j}] \in M_{sa \times sb}(\mathbb{F})$ be a block matrix with $T_{i,j} \in M_s(\mathbb{F})$ such that

$$G_1T = TG_2$$
.

Then,

$$T = \begin{bmatrix} T_1 & 0 \end{bmatrix},$$

with $T_1 \in Z(G_1)$.

Theorem 5.6. Let $G = \operatorname{diag}(G_1, \ldots, G_m)$ be a generalized Jordan matrix of the first kind. Let $p_G = p^r$ be its characteristic polynomial, p be irreducible and separable, $m_G = p^{r_1}$, $r_1 \leq r$ and $\deg p = s$. Let $\alpha = (\alpha_1, \ldots, \alpha_m)$ be the generalized Segre characteristic of G. If $X \in Z(G)$, then

$$X = [X_{i,j}]_{i,j=1,...,m},$$

where $X_{i,j} \in M_{s\alpha_i \times s\alpha_j}(\mathbb{F})$ are block lower triangular Toeplitz matrices of the form:

- 1) If $\alpha_i = \alpha_i$, then $X_{i,i} \in Z(G_i)$.
- 2) If $\alpha_i < \alpha_j$, then

$$X_{i,j} = \left[\begin{array}{cc} X_{i,i} & 0 \end{array} \right],$$

with $X_{i,i} \in Z(G_i)$.

3) If $\alpha_i > \alpha_i$, then

$$X_{i,j} = \left[\begin{array}{c} 0 \\ X_{i,j} \end{array} \right],$$

with
$$X_{j,j} \in Z(G_j)$$
.

Proof. The result follows straightforward from Theorem 5.3 and Lemmas 5.4 and 5.5. $\hfill\Box$

6 Centralizer of a generalized Weyr form

In this section we compute the centralizer of a generalized Weyr matrix. Let W be a matrix of the form

$$W = \begin{bmatrix} W_1 & E_2 & \dots & 0 & 0 \\ 0 & W_2 & \ddots & 0 & 0 \\ \vdots & \vdots & \ddots & \ddots & \vdots \\ 0 & 0 & \dots & W_{\alpha_1 - 1} & E_{\alpha_1} \\ 0 & 0 & \dots & 0 & W_{\alpha_1} \end{bmatrix},$$

with W_i and E_i as in (8).

Theorem 6.1. Let W be the generalized Weyr matrix with generalized Segre characteristic $\alpha = (\alpha_1, \ldots, \alpha_m)$. Let $\tau = (\tau_1, \ldots, \tau_{\alpha_1})$ be the conjugate partition of α . Then, if $K \in Z(W)$,

$$K = \begin{bmatrix} K_{1,1} & K_{1,2} & \dots & K_{1,\alpha_1-1} & K_{1,\alpha_1} \\ 0 & K_{2,2} & \dots & K_{2,\alpha_1-1} & K_{2,\alpha_1} \\ \vdots & \vdots & \ddots & \vdots & \vdots \\ 0 & 0 & \dots & K_{\alpha_1-1,\alpha_1-1} & K_{\alpha-1-1,\alpha_1} \\ 0 & 0 & \dots & 0 & K_{\alpha_1,\alpha_1} \end{bmatrix},$$
(18)

built according to the following recursive construction

- 1. K_{α_1,α_1} is a block matrix of $\tau_{\alpha_1} \times \tau_{\alpha_1}$ independent blocks of Z(C).
- 2. The blocks on the main diagonal for $i = 1, ..., \alpha_1 1$ are of the form

$$K_{i,i} = \left[\begin{array}{cc} K_{i+1,i+1} & Y_{i,i} \\ 0 & X_{i,i} \end{array} \right],$$

where $X_{i,i}$ is composed by $(\tau_i - \tau_{i+1}) \times (\tau_i - \tau_{i+1})$ independent blocks of Z(C) and $Y_{i,i}$ is composed by $\tau_{i+1} \times (\tau_i - \tau_{i+1})$ independent blocks of Z(C).

3. The blocks on the last column for $i = 1, ..., \alpha_1 - 1$ are of the form

$$K_{i,\alpha_1} = \left[\begin{array}{c} Y_{i,\alpha_1} \\ X_{i,\alpha_1} \end{array} \right],$$

where X_{i,α_1} is a block matrix of $(\tau_i - \tau_{i+1}) \times \tau_{\alpha_1}$ independent blocks of Z(C) and $Y_{i,\alpha_1} = Y'_{i,\alpha_1} + \begin{pmatrix} \tilde{Y}_{i+1,\alpha_1} \\ \tilde{X}_{i+1,\alpha_1} \end{pmatrix}$ where Y'_{i,α_1} is composed by $\tau_{i+1} \times \tau_{\alpha_1}$ independent blocks of Z(C) and \tilde{Y}_{i+1,α_1} , \tilde{X}_{i+1,α_1} are composed by blocks defined as in (11). Notice that $Y_{\alpha_1,\alpha_1} = K_{\alpha_1,\alpha_1}$.

4. For $i, j = 1, ..., s - 1, i \le j$,

$$K_{i,j} = \left[\begin{array}{cc} K_{i+1,j+1} & Y_{i,j} \\ 0 & X_{i,j} \end{array} \right],$$

where $X_{i,j}$ is a block matrix of $(\tau_i - \tau_{i+1}) \times (\tau_j - \tau_{j+1})$ independent blocks of Z(C), and $Y_{i,j} = Y'_{i,j} + \begin{pmatrix} \tilde{Y}_{i+1,j} \\ \tilde{X}_{i+1,j} \end{pmatrix}$ where $Y'_{i,j}$ is composed by $\tau_{i+1} \times (\tau_j - \tau_{j+1})$ independent blocks of Z(C) and $\tilde{Y}_{i+1,j}$, $\tilde{X}_{i+1,j}$ are composed by blocks defined as in (11).

Proof. Let G be a matrix similar to W in generalized Jordan form. If P is the matrix described in (7) and $X \in Z(G)$, then $P^{-1}XP = K$.

Remark 6.2. If p is separable, the block structure of $K \in Z(W)$ is the same as (18) but every block component is in Z(C).

Example 6.3. Following with Example 4.13, the Weyr characteristic of G is $\tau = (5, 3, 3, 2, 1)$. This partition τ gives us the number of blocks of the diagonal blocks in the generalized Weyr form.

The generalized Weyr form is

	$\int C$	C	C	C	C	E	E	E							
W =						C	C	C	E	E	E				,
									C	C	C	E	E		
												C	C	\overline{C}	

and a matrix $K \in Z(W)$ has the form

	A_1	I_1 B_1	$L_1 \\ M_1 \\ C_1$	Q_1 R_1 S_1 D_1 F_1	$W_1 \\ X_1 \\ Y_1 \\ G_1 \\ E_1$	A_2 H_1	$I_2 \\ B_2 \\ K_1$	M_2 C_2	$\begin{vmatrix} A_3 \\ H_2 \\ J_1 \end{vmatrix}$	$I_3 \\ B_3 \\ K_2$	L_3 M_3 C_3 P_1 V_1	H_3 J_2	$I_4 \\ B_4 \\ K_3 \\ O_1 \\ U_1$	A_5 H_4 J_3 N_1 T_1	
						A_1	$egin{array}{c} I_1 \ B_1 \end{array}$	$L_1 M_1$	$egin{array}{c} A_2 \ H_1 \end{array}$	$egin{array}{c} I_2 \ B_2 \end{array}$	$L_2 M_2$	A_3 H_2	I_3 B_3	A_4 H_3	
K =							1	C_1	1	K_1	C_2	J_1	K_2	J_2	,
									A_1	I_1	L_1	A_2	I_2	A_3	
										B_1	M_1	H_1	B_2	H_2	
											C_1		K_1	J_1	
												A_1	I_1	A_2	
													B_1	H_1	
														A_1	l

where the blocks in this matrix satisfy the same relations as in Example 4.13.

6.1 Determinant of the centralizer

We proved in Theorem 6.1 that the elements of the centralizer of the generalized Weyr canonical form are block upper triangular matrices. As a consequence, the determinant of $K \in Z(W)$ can be computed as the product of the determinants of the diagonal blocks (see [5] for the centralizer of a Weyr form)

$$\det(K) = \det(K_{1,1}) \det(K_{2,2}) \dots \det(K_{r,r}).$$

Hence, the diagonal blocks are key to characterize the automorphisms of the centralizer. An important application of this property will be the characteriza-

tion of hyperinvariant and characteristic lattices of the endomorphism (see [14] for the case when p splits over \mathbb{F}).

In Example 4.13 (also Example 6.3), the expression of the determinant for those elements of the centralizer results in

$$\det(K) = \det(X) = \det(A_1)^5 \det(B_1)^4 \det(C_1)^3 \det \begin{bmatrix} D_1 & G_1 \\ F_1 & E_1 \end{bmatrix}.$$

Remark 6.4. Notice that the formula is exactly the same in the separable and nonseparable cases, as the block components of the diagonal blocks of a matrix $K \in Z(W)$ are elements in Z(C). Therefore, the condition for a matrix in the centralizer to be an automorphism is exactly the same in both cases.

6.2 Dimension of the centralizer

As a consequence of Corollary 4.9, to compute the dimension of the centralizer we must take into account that each block has dimension equal to s. Then, according to the Segre and Weyr characteristics we have that

$$\dim(Z(G)) = s(\alpha_1 + \dots + (2m-1)\alpha_m) =$$

= $\dim(Z(W)) = s(\tau_1^2 + \dots + \tau_r^2).$

Notice that when deg(p) = s = 1 the result matches the Frobenius formula of the dimension of the centralizer of a Jordan and Weyr form ([16]).

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