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Additional Information

# Biodegradability and disintegration of multilayer starch films with electrospun PCL fibres encapsulating carvacrol

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#### 1 Abstract

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- The biodegradation and disintegration of thermoplastic starch multilayers containing carvacrol(CA)-loaded poly-(ε-caprolactone) electrospun mats were evaluated under thermophilic composting conditions for 45 and 84 days, respectively, and compared with non-loaded carvacrol films and pure starch films. Sample mass loss, thermogravimetric and visual analyses were performed throughout the disintegration test. The disintegration behaviour of all multilayers was similar, reaching values of 75-80 % after 84 days. Biodegradation, assessed by carbon dioxide measurements, revealed that all the carvacrol-free films completely biodegraded after 25 composting days. However, the presence of CA notably affected the compost inoculum activity, thus limiting the biodegradability of the CA-loaded multilayers to a maximum value of around 85 % after 45 days. Nevertheless, this value was close to that established by the standard ISO method to qualify as biodegradable material.
- 13 **Keywords:** thermoplastic starch; poly-(ε-caprolactone); carvacrol; TGA; disintegration; 14 biodegradation.
- Abbreviations: EFSA, European Food Safety Authority; S, starch; PCL, poly-(ε-caprolactone); CA, carvacrol; GAA, glacial acetic acid; MCC, microcrystalline cellulose; TGA, thermogravimetric analysis; DTGA, derivative thermogravimetric analysis; SSR, synthetic solid residue; DS, dry solids; VS, volatile solids; MC, moisture content;

#### 1. Introduction

The quantity of plastics produced in the first 10 years of the current century is likely to approach the quantity produced in the entire preceding century [1] and only a modest percentage of these

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packaging materials ends up being recycled (plastic recycling rates of 9.1% in the US in 2015 [2] and 40.9% in the EU in 2016 [3]). The usage and disposal of plastics is controversial and there are growing concerns about waste accumulation, problems for wildlife resulting from ingestion and the potential for plastics to transfer harmful chemicals to wildlife and humans. There are numerous studies alerting to the alarming levels of microplastics found in oysters [4], mussels [5],[6],[7] crabs [8] and fish [9], [10] which move up through the food chain, ending up in the human body. Risk assessments developed by the European Food Safety Authority (EFSA) [11] have taken these considerations into account. However, perhaps the most important overriding concern is that our current usage is not sustainable [1].

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Due to the environmental impact these plastics generate owing to their long degradation times, more effort is being made to develop packaging from biodegradable materials. This trend is aligned with consumer demand for more natural products for food contact materials. As a result, new materials have been developed, using biodegradable polymers from renewable sources, such as polysaccharides and proteins, and natural active agents of plant or marine origin.

Starch (S) is one of the most commonly studied, readily available carbohydrates, obtainable from renewable sources at relatively low cost. Starch films present very good oxygen barrier capacity, but due to their hydrophilic nature, films exhibit water sensitivity and poor water vapour barrier properties. Combining, in multilayer assemblies, starch layers with sheets of hydrophobic polymers - with high water vapour barrier capacity - would provide these materials, intended for food packaging, with more adequate barrier capacity for both water vapour and oxygen. In this sense, poly-(ε-caprolactone) (PCL) (a completely biodegradable aliphatic polyester) [12] has been combined with thermoplastic corn starch, forming bilayers with improved barrier properties when compared to neat starch films [13], [14]. These multilayer films became active films with antimicrobial properties by incorporating carvacrol encapsulated into electrospun PCL layers [14]. The encapsulation of carvacrol in PCL mats by electrospinning showed better retention of the compound in this non-polar matrix, exhibiting higher encapsulation efficiency than starch [15]. Likewise, electrospinning is applied at room temperature which also contributes to preserve the compound against the potential deterioration and volatilization that can occur during the starch thermal processing. Carvacrol (CA) is a phenolic monoterpene, one of the major constituents of oregano and thyme essential oils [16]. It exhibits significant in vitro antimicrobial [17], [18], [19] and antioxidant activity [20], [21], and has been approved as a food additive by Joint FAO/WHO [22] and as flavouring substance by EFSA [23]. It is currently being used as a bioactive in packaging materials [24], [25], [26]. Thus, the addition of active compounds to biopolymer layers confers antimicrobial and/or antioxidant properties, making these materials more attractive as food packaging candidates. Given the inhibiting effect of such antimicrobial compounds on microflora, it is likely that the biodegradation of these matrices could be altered by the presence of active compounds [27], [28].

Composting is a form of organic recycling, based on the activity of the microbiota population, which breaks down the biodegradable parts of the waste, generating stabilized organic residue [29]. The resulting compost could be used as soil conditioner to increase soil productivity by replenishing some of its nutrients, and reduce the excessive use of synthetic fertilizers [27]. As pointed out by Balaguer et al. [27], not all biodegradable polymers are compostable, since compostability implies biodegradation by biological processes at a rate consistent with other known compostable materials, leaving non-visibly distinguishable or toxic residues. Therefore, evaluation of compostability includes three phases: disintegration, biodegradation and ecotoxicity. The biodegradable polymers can be affected by the presence of active packaging materials made with biodegradable polymers can be affected by the presence of actives or blend interactions and cannot be assumed as such. It must be analysed to ensure that the newly created materials comply with the requirements specified by law [29].

The aim of this study is to assess the disintegration and biodegradation behaviour under laboratory composting conditions of starch-PCL multilayer films, incorporating or not carvacrol.

# 2. Materials and experimental design

#### 2.1. Materials

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- 77 Starch for film preparation was provided by Roquette Laisa España S.A. (Benifaió, Valencia, Spain),
- while PCL pellets (average M<sub>n</sub> 80,000), CA and glacial acetic acid (GAA) were obtained from Sigma-
- 79 Aldrich (Sigma-Aldrich Chemie, Steinheim, Germany).
- 80 For the biodegradation and disintegration studies, ripe compost (no older than 4 months) was
- offered by a local solid residue treatment plant (Valencia, Spain). Other components used for the
- 82 disintegration test consisted of urea (Urea 46% Prill, Tarazona, Spain), sawdust (Productos de
- 83 Limpieza Adrian, Almacera, Valencia, Spain), corn starch (Roquette Laisa España S.A. (Benifaió,
- 84 Valencia, Spain), rabbit-feed (Super Feed S.L., Madrid, Spain), saccharose (White sugar,
- 85 Azucarera Ebro, Madrid, Spain) and corn seed oil (Hacendado brand, Mercadona supermarkets,
- 86 Spain). For the biodegradation test, vermiculite from a local market was used. Microcrystalline
- 87 cellulose (MCC) (powder, 20 μm) was purchased from Sigma Aldrich Química S.L., Madrid, Spain.
- 88 Magnesium nitrate (Mg(NO<sub>3</sub>)<sub>2</sub>) and Phosphorous pentoxide (P<sub>2</sub>O<sub>5</sub>) used for creating controlled
- 89 relative humidity environments for sample storage were purchased from Panreac Química S.A.
- 90 (Castellar de Valles, Barcelona, Spain).

## 2.2. Films preparation

- 93 The starch films were prepared by compression moulding, following the protocol described
- 94 previously by Tampau et al. [14]. Briefly, a mixture of starch: glycerol: water=1:0.3:0.5 (wt. / wt.)
- 95 was processed in a two-roll mill (Model LRM-M-100, Labtech Engineering, Thailand) at 160 °C and
- 96 8 rpm for 30 minutes. The resulting pellets were conditioned for one week at 25 °C in a desiccator

press (Model LP20, Labtech Engineering, Thailand), at 50 bars / 160 °C for 2 min, then at 130 bars 99 100 / 160 °C for 6 min and cooled down to 50 °C for 3 min. Multilayer films were prepared by coating one side of a starch film with an electrospun layer 101 (application time of 90 minutes, voltage 15.0 kV, flow-rate 1.2 mL/h, distance needle-collector 15 102 103 cm) of a PCL solution (15 % wt. / wt.) in GAA with or without CA (15 g carvacrol / 100 g PCL), following the methodology described by Tampau et al. [14], using a Fluidnatek BioInicia (Valencia, 104 Spain) equipment. Later on, the PCL-coated starch films were thermo-compressed with another 105 106 neat starch film at 130 bars / 80 °C for 4 min followed by a cooling at 50 °C for 2 min. Thus, three 107 multilayer films were obtained: starch-starch (SS), starch-PCL-starch (SPS) and starch-PCL-starch containing carvacrol in the PCL layer (SPCAS). Thermocompression under the described 108 conditions gave rise to a good layer adhesion in normal conditions, although these layers partially 109 110 detached when starch sheets swelled under high moisture conditions, in which these films would not be applicable. 111

with saturated Mg(NO<sub>3</sub>)<sub>2</sub> aqueous solution, to ensure a 53 % relative humidity. Each individual

starch film was obtained from 4 grams of conditioned pellet, moulded by thermo-compression in a

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#### 2.3. Samples characterization

# 2.3.1. Moisture content, elemental composition and visual appearance

- 115 Prior to the tests, the multilayer films were analysed as to their moisture content (as described by
- 116 Cano et al. [28]) and thickness, measured in 5 different points using a Palmer digital micrometre
- (Comecta, Barcelona, Spain). In order to determine the C, N and H composition of the samples, an 117
- elemental analysis was performed by means of a Euro EA3000 analyser (EUROVECTOR, Milan, 118
- Italy). Analyses were carried out in triplicate. 119
- 120 The visual changes that samples presented throughout the disintegration experiments were
- 121 analysed. For this purpose, specific samples extracted from the reactors at different times were
- previously dried in a vacuum oven at 40 °C for a week. Pictures were taken by means of a digital 122
- 123 camera (EOS 5D Mark II, Canon, Japan).

# 2.3.2. Thermogravimetric analysis

- The samples were submitted to a thermogravimetric analysis (TGA) at different times of the 125 126 composting process (day 0, 14, 21, 42 and 84). Prior to this analysis, the composted samples were 127 conditioned by drying in a vacuum oven at 40 °C for one week and later transferred to a desiccator with P<sub>2</sub>O<sub>5</sub> until constant weight. A TGA/SDTA 851e analyser (Mettler Toledo, Schwarzenbach, 128 Switzerland) working under nitrogen flow (20 mL / min) was used to obtain the weight loss curves 129 130 vs. temperature (TGA) and the first derivatives (DTGA). Between 5 and 10 mg of conditioned sample was placed in a 70 µL alumina crucible and heated from 25 to 600 °C at 10 K / min. With 131 the software provided by the Mettler Toledo analyser, the onset, peak and end temperatures of the
- 132
- 133 degradation steps were obtained. All measurements were done in triplicate.

#### 2.4. Compost and synthetic solid residue (SSR)

- The ripe compost (acting as the inoculum) was prepared by removing any inert pieces like shards
- of glass and stones, and then sieved. Its pH was assessed by mixing 1 part compost to 5 parts
- deionized water and measured immediately, to ensure a value between 7 and 9.
- Following the ISO 20200 International Standard (2004) [30], a synthetic solid residue (SSR) was
- prepared for the disintegration test, by manually mixing the required components described in
- section 2.1. For the purpose of the biodegradation test, the inoculum was just mixed with vermiculite
- to prevent compacting and thus ensuring good oxygenation. For both tests, the water content was
- adjusted to 55 % (wt. / wt.) by adding de-ionized water and gently stirring. This ensured the compost
- was moist, but without visible free water.
- The SSR was characterized as to its dry solids (DS) and volatile solids (VS) content, as specified
- by ISO 20200 [30], both at the beginning, as well as at the end of the composting process (84 days).
- 146 The DS was determined by drying the analysed sample in an oven at 105 °C until constant mass
- was reached and expressed as a percentage of the total mass of the analysed sample. The VS was
- obtained from the previously dried sample by calcination at 550 °C in a muffle (Selecta, Barcelona,
- Spain) until constant weight, and expressed as a percentage with respect to the DS. The ripe
- 150 compost used for the biodegradation study was also characterized in terms of DS and VS at initial
- 151 time.

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## 2.5. Disintegration test

- Disintegration test was carried out in the laboratory, following the International Standard guidelines
- 154 [30]. Roughly 10 grams of film samples (cut into 25 x 25 mm squares) were placed with 1 kg of wet
- SSR in each composting unit (reactor) consisting of a polypropylene box with lid. On each one of
- the narrow sides of the reactor, one hole (5 mm in diameter) was made at approximately 6.5 cm
- 157 from the bottom, to allow gas exchange between the inside and outside atmospheres. The filled
- reactors were placed in an oven (Selecta, J.P. Selecta S.A., Barcelona, Spain) at 58±2 °C to ensure
- 159 controlled thermophilic conditions. Their initial weight was recorded and was closely monitored
- throughout the duration of the essay (84 days), restoring it totally or partially with de-ionised water,
- as specified by the aforementioned ISO standard [30]. Three reactors per formulation were
- prepared, each reactor containing less than 10 g total mass of samples included in mesh bags (1 x
- 163 1 mm mesh size). One of these samples with around 5 g (cut into 25 x 25 mm squares) was used
- to control the sample weight loss at the final time, according to the standard guidelines, and the
- other mesh bags, each with only one sample square (25 x 25 mm, about 0.3 g) were extracted from
- the reactor at different control times in order to carry out the TGA and visual analysis (described in
- section 2.3), and the weight control. Prior to these analyses, the mesh bags containing samples
- were gently cleaned with a soft brush to eliminate the adhered compost residues. The disintegration
- percentage after 84 days ( $D_{84}(\%)$ ) was calculated by means of the **eq. 1**:

170  $D_{84}(\%) = \frac{m_0 - m_{84}}{m_0} \cdot 100$  [eq. 1]

where  $m_0$  is sample dry mass at the start of test and  $m_{84}$  is dry mass of the final disintegrated samples after 84 composting days.

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# 2.6. Biodegradation test

The starch films containing electrospun PCL material were also submitted to an aerobic biodegradation assessment under controlled composting conditions following the guidelines of the ISO 14855-1 standard method [31], as adapted by other authors [27] and [28] by mixing the non-activated vermiculite and compost to prevent the compost compaction and ensure good oxygen access. The principle of this method assumes that the CO<sub>2</sub> that forms during the biodegradation of a sample is directly proportional to the carbon percentage that is biodegraded from that respective sample. The test was performed inside airtight glass jars of 2000 mL in volume, whose lids were modified with a covered septum. Inside the jars, 2 polypropylene cups were placed: one containing 3 g of dry compost mixed with 1 g of vermiculite and a sample quantity (previously cut in 2 mm² squares) equivalent to 50 mg of carbon, while the second one, contained water to ensure 100% relative humidity inside the jar. The samples were maintained up to 45 days at 58±2 °C. A control sample was also prepared using MCC as reference material [27]. A blank sample contained just compost with vermiculite. The percentage of CO<sub>2</sub> generated inside the reactors was measured in triplicate using a CO<sub>2</sub> analyser (CheckMate 9900 PBI Dansensor, Ringsted, Denmark) throughout the biodegradation process.

The theoretical amount of  $CO_2$  that could be generated from the sample ( $CO_2^{Th}_S$ ) was estimated from its carbon content applying **eq. 2**. The biodegradation percentage (B%) at each time was calculated as the ratio between the cumulative amounts of  $CO_2$  produced by the sample throughout the 45 days with respect to the theoretical amount ( $CO_2^{Th}_S$ ), applying **eq. 3** [31].

$$CO_2^{Th}_S = DW_S \cdot C_S \cdot \frac{Mw_{CO_2}}{Mw_C}$$
 [eq. 2]

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$$B\% = \frac{\sum CO_{2S} - \sum CO_{2B}}{CO_2^{Th}}$$
 [eq. 3]

- 196 where:
- 197 -DWs is the dry weight of sample (g);
- 198 C<sub>S</sub> is the percentage of carbon in the dry sample, as determined by elemental analysis (%);
- 199  $Mw_{CO_2}$  and  $Mw_C$  are the molecular weights of  $CO_2$  and of C respectively;
- 200  $-\sum CO_{2S}$  is the cumulative amount of carbon dioxide in the sample reactors at each time throughout
- 201 test period (g);
- $-\sum CO_{2B}$  is the cumulative amount of carbon dioxide detected in the blank reactor at each time (g).
- The experimental data obtained from the biodegradation test was modelled using Hill's equation
- 204 (eq.4) in order to describe the kinetics of the process.

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$$B\% = B\%_{max} \cdot \frac{t^n}{k^n + t^n}$$
 [eq. 4]

207 Where:

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- 208 B%<sub>max</sub> is the percentage of biodegradation at infinite time (%);
- 209 t is the time (days);
- k is the time at which 0.5B%<sub>max</sub> has occurred;
- 211 -n is the curve radius of the sigmoid function.

### 2.7. Statistical analysis

- 213 All statistical analysis were performed through analysis of variance (ANOVA) using the application
- STATGRAPHICS Centurion XVI (Statgraphics Technologies, Inc., The Plains, Virginia 20198,
- USA). Fisher's lest significant difference (LSD) procedure was used at the 95% confidence level.

# 217 **3. Results**

### 3.1. Properties of multilayer films

**Table 1** presents the properties of the starch film samples prior to the tests. As can be observed, while the films presented similar moisture content values among formulations, the presence of the electrospun layer of PCL significantly increased the film thickness and the carbon content of these multilayers, according to the presence of PCL with higher C ratio in the molecule. The thickness of multilayer films notably increased with respect to the usual thickness of monolayer films. [32].

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**Table 1.** Samples' moisture content (MC), thickness and elemental carbon (C %) analysis prior to the composting test. Mean values and standard deviation.

Sample / reactor	MC (%)	Thickness (μm)	C %
SS	6.73±0.16 <sup>ab</sup>	430±30 <sup>a</sup>	40.4±0.2 <sup>a</sup>
SPS	6.59±0.16 <sup>a</sup>	500±30 <sup>b</sup>	41.2±0.6 <sup>ab</sup>
SPCAS	6.956±0.010 <sup>b</sup>	490±20 <sup>b</sup>	42.3±1.3 <sup>b</sup>

Different superscript letters (a, b, c...) in the same column indicate significant differences (p<0.05) among samples

#### 3.2. Compost characteristics

The active compost used as inoculum for both tests presented a pH of 8.25 (measured according to the ISO method), total dry solids (DS) content of  $70\pm1$  % and organic matter content of  $56\pm1$  % (expressed as volatile solids (VS) with respect to the dry solids). Characteristics of the precomposting SSR prepared for the disintegration test are shown in **Table 2**. The volatile solids content decreased slightly at the end of the composting process with respect to the initial value, as an indicator of the organic matter being converted into  $CO_2$  by the compost microflora. Then, the test was validated taking into account the standard method, which established a reduction of the

volatile content in the sample after the composting period (R values, **Table 2**) of over 30 % as well as the standard deviation of the disintegration values of the samples (D<sub>84</sub> (%), in **Table 2**) lower than 10 units. Likewise, throughout the duration of the test, the colour changes described by the ISO 20200 standard [30] were observed in the compost (from lighter yellow (due to sawdust presence) to a darker brown). The odour of the compost was strongly ammoniacal within the first week, and it disappeared gradually, according to that described in ISO 20200 standard [30].

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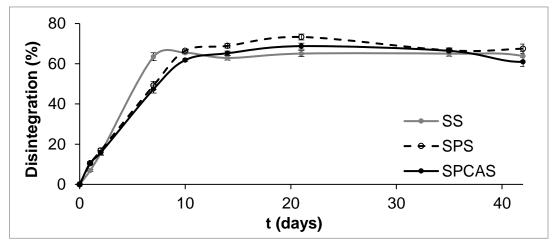
**Table 2.** Volatile solids VS (g volatiles / 100 g compost DS) before and after the composting period of the disintegration test, the difference between these values (R: expressed as % with respect to the initial value) and disintegration percentage for the samples. Mean values and standard deviations.

	\/	'S	R		
Reactor	=	0 g DS)	(%)	Disintegration	
	Pre	Post	Decrease in	D <sub>84</sub> (%)	
	composting	composting	VS		
SSR (blank)		91.3±0.6 <sup>c</sup>	43±1 <sup>a</sup>	-	
SS	05.0.0	80.5±0.7 <sup>a</sup>	55±1 <sup>b</sup>	75±6ª	
SPS	95.0±0.3	85.9±1.1 <sup>b</sup>	52± 2 <sup>b</sup>	81.1±0.5 <sup>a</sup>	
SPCAS		84.5±0.5 <sup>b</sup>	51± 2 <sup>b</sup>	75±4 <sup>a</sup>	

Different superscript letters (a,b,c...) in the same column indicate significant differences (p<0.05) among samples.

# 3.3. Disintegration test

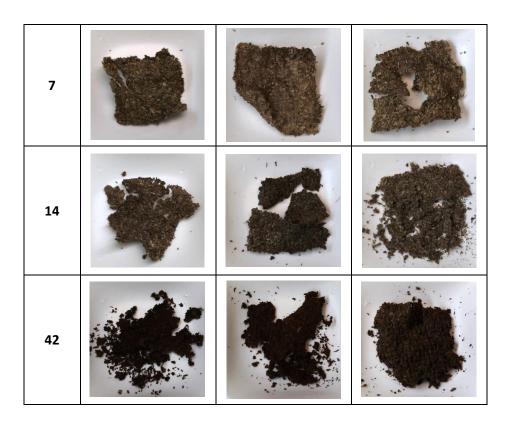
The degree of disintegration (D) of films when exposed to laboratory-scale composting environmental conditions (58±2 °C for 84 days) provided information about the physical breakdown of films into smaller fractions. Figure 1 shows the disintegration values as a function of time for the different samples. As shown, all films presented similar disintegration patterns taking into account the uncertainty associated with the sample mass control. It is difficult to ensure the lack of compost particles in the sample and the complete delivery of the disintegrated sample particles from the mesh, since under moist environment these remain stuck/agglomerated and compacted under the mechanical action of the mesh. Similar asymptotic values were attained for the different samples (75-80 %) after about 14 days, although the final values shown in **Table 2** correspond to the samples introduced in the reactor for the purpose of this control, according to standard guidelines, at the end of the test period (84 days). The mass fluctuations throughout the asymptotic period can be attributed to the different amounts of adhered compost particles or to different losses of disintegrated sample particles through the mesh. The visual appearance of the samples at different composting times is also presented in Figure 2. It is remarkable that about 14 days only were required to disintegrate the films as can be deduced from both Figures 1 and 2. In fact, the visual appearance of the samples at 14 days corresponded to agglomerated particles with certain degree



**Figure 1**. Development of sample disintegration as a function of time for the different multilayer films. (Mean values and LSD intervals (p<0.05)).

Non-significant differences (p<0.05) in the  $D_{84}$  (%) values were found among samples at the end of the composting period, being the mean  $D_{84}$  (%) value 77±5 %. These values are in agreement with those mentioned by Castro-Aguirre et al. [33] for trays with similar composition. As reported by Balaguer et al. [27], the erosion kinetics are affected by two major factors: 1) the water diffusion through the polymer layer and 2) the rate of degradation of the polymeric chains. Other authors [28] observed higher disintegration rates for starch films obtained by casting which could be attributed to differences in the films thickness and polymer arrangements in the film structure obtained by different processing methods. Nevertheless, this disintegration process in the obtained bilayer films was very similar according to their similar specific surface. This permits the water diffusion and uptake, favouring the microbial action and bulk erosion, thus breaking the matrix in small fragments.

	Sample								
day	SS	SPS	SPCAS						
0									
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**Figure 2.** Visual appearance of the samples throughout the disintegration period.

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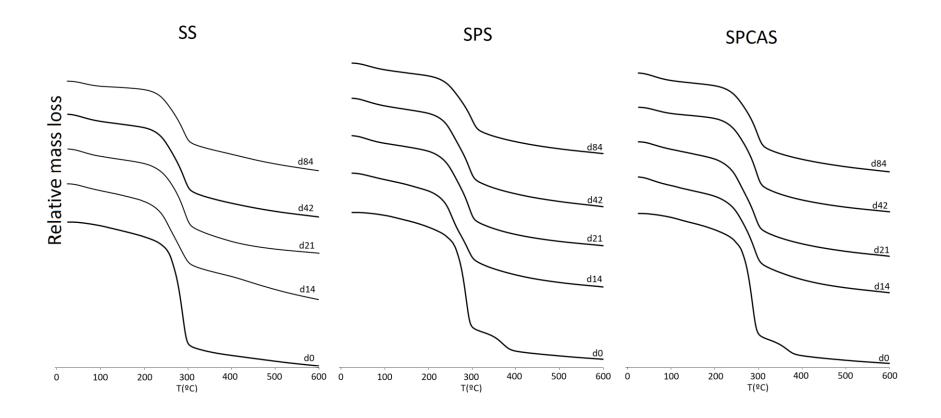
The degradation degree of polymer throughout the disintegration period has been analysed via thermogravimetric analysis at different times. Figure 3 shows TGA and DTGA curves obtained for the films at different times and **Table 3** gives the onset and peak temperatures for the degradation steps of the samples at initial and final time of the disintegration test. As can be observed in Figure 3, samples presented a first degradation step at around 61-63 °C (T<sub>D</sub>), corresponding to the evaporation of bound water. This step was not detected in the samples at initial time (t=0), which suggests that the partially degraded samples exhibit greater water binding capacity due to the changes in the mean molecular weight or composition of the substrate. The main peak corresponding to the starch degradation showed the maximum degradation rate at T<sub>p</sub> around 280-290 °C, in agreement with the value reported by Collazo-Bigliardi et al. [34] for starch films. However, it is remarkable that the peak became wider and split throughout the composting period. This reflects the formation of starch subunits of lower molecular weight that degrade at lower temperature, as has been observed by other authors [35], [36]. The mass loss profiles (DTGA curves) slightly vary for the different multilayers. This suggests differences in the degradation mechanisms in each case, depending on the presence of PCL and carvacrol. The degradation step of electrospun PCL at about 360 °C (as described by Tampau et al. [14]) only appeared in the initial samples, and it was no longer observed in samples at 14 days of the process. This suggests most of the PCL polymer chains were quickly broken down by the composting bacteria due to the low thickness (less than 60 µm) of the electrospun layer and the possible detachment of the multilayer

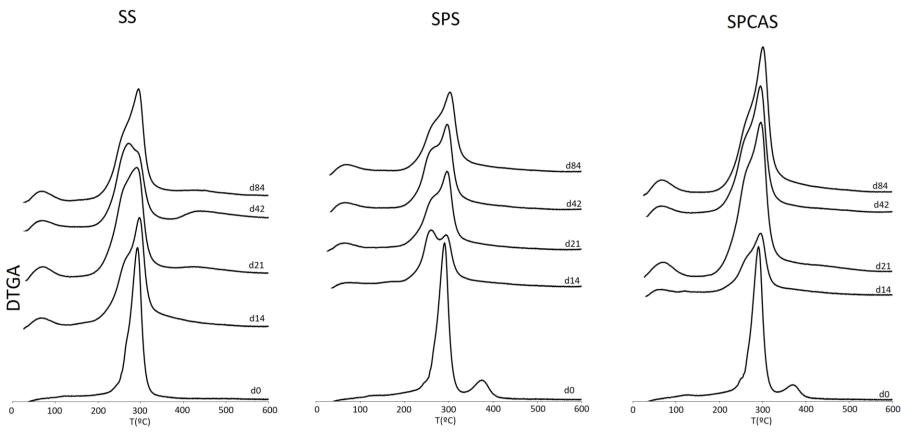
assembly, promoted by the starch swelling in the wet compost environment, which increases the specific surface area of the PCL sheet and the disintegration's effectiveness. There was no thermoreleased carvacrol detected for the samples submitted to TGA analysis at different times of the disintegration process. This can be due to its low mass fraction in the bilayer (about 0.5), as reported in a previous study [14].

The percentage of residual mass at 600  $^{\circ}$ C is also presented in **Table 3**. All initial samples showed low values for this parameter, which significantly (p < 0.05) increased after the composting period. The increase in the residual mass after 84 days can be fully justified by disappearance of the organic fraction of the sample (forming CO<sub>2</sub>, N<sub>2</sub>, CH<sub>4</sub>, and H<sub>2</sub>O) during biodegradation, with the subsequent increase of mass fraction of mineral content, as observed by other authors [28]. However, sample contamination with compost particles could also contribute to the increase in the residue.

**Table 3**. TGA parameters obtained for the pre- and post- composting samples: onset  $(T_o)$ , peak  $(T_p)$ , endset  $(T_e)$  temperatures, and pyrolysis residual mass at 600 °C. Different superscript letters in the same column indicate significant differences (p<0.05) among samples.

Sample	Day	1 <sup>st</sup> step		2 <sup>nd</sup> step		3 <sup>rd</sup> step		Residual	
		To	Tp	T <sub>e</sub>	T <sub>o</sub>	Tp	T <sub>o</sub>	Tp	mass (%)
SS	0	-	-	-	257±1 <sup>b</sup>	286±2 <sup>b</sup>	-	-	3±3ª
	84	37±4 <sup>a</sup>	63±1 <sup>a</sup>	109±3ª	223±11ª	290±1°	-	-	34±5 <sup>b</sup>
SPS	0	-	-	-	244±2 <sup>b</sup>	281±1 <sup>a</sup>	331±15 <sup>a</sup>	366±5ª	6±1 <sup>a</sup>
51 5	84	39±4ª	62±3ª	104±4 <sup>a</sup>	227±15 <sup>a</sup>	293±2 <sup>d</sup>	-	-	37±4 <sup>b</sup>
SPCAS	0	-	-	-	244±1 <sup>b</sup>	282±1ª	321±28 <sup>a</sup>	362±8ª	5±2 <sup>a</sup>
	84	37±4ª	61±4 <sup>a</sup>	105±3ª	243±6 <sup>b</sup>	293±1 <sup>d</sup>	-	-	34±2 <sup>b</sup>





**Figure 3.** TGA and DGTA curves of starch multilayer films submitted to the disintegration process at different composting times (0, 14, 21, 42 and 84 days).

# 3.4. Biodegradation test

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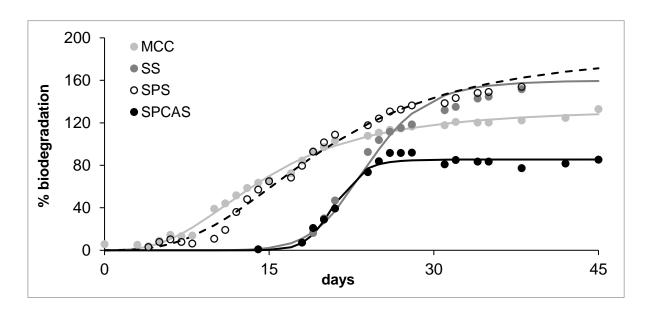
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The samples' biodegradability potential was assessed in a laboratory setup by direct measurement of the CO<sub>2</sub> generated during aerobic composting at 58±2 °C for 45 days. The compostable material is used by microorganisms as an energy source for their metabolic activities and cellular growth, which under aerobic conditions means the transformation of the samples' carbon into CO<sub>2</sub>. The maximum amount of CO<sub>2</sub> that could be generated from the samples was theoretically calculated based on the carbon content (**Table 1**) previously determined through elemental analysis.

Figure 4 presents the biodegradation kinetics of the starch based multilayers and the microcrystalline cellulose used as reference material. All samples exhibited the typical sigmoid profile of the respirometric test in agreement with other studies [27], [28]. An initial lag period lasting among 4-18 days, depending on the sample, was observed. This period length is affected by the adaptation/selection time of microorganisms and the time where the degree of material degradation reached about 10 % of the maximum. The presence of carvacrol in SPCAS sample and the higher adhesion forces of starch sheets in SS sample (that increased the effective sample thickness) seemed to delay the start of the biodegradation phase (time from the lag period to the 90% of maximum biodegradation). A plateau was reached in all samples, after around 30 days, which represents the maximum degradation values reached for each sample. The initial lag period for MCC sample was similar to those found by others authors [28]. For the multilayers, this period was longer than those found in the literature for cast starch based films [28]. This could be due to the different film thickness and polymer structure or crystalline content, affected by the film's processing method. SPS displayed a shorter initial lag period than SS films, probably because of the lack of adherence among hydrophilic (SS) and hydrophobic (PCL) layers [37] in the moist environment, where starch layers swelled promoting the multilayer detachment throughout the process. This enhances the specific film surface area and biodegradation rate. The effect of specific surface area on the biodegradation behaviour of films has also been previously reported by other authors [38].



**Figure 4.** Biodegradation kinetics of MCC and the different films with and without carvacrol throughout the composting time. Experimental data (symbols) and Hill's fitted model (lines).

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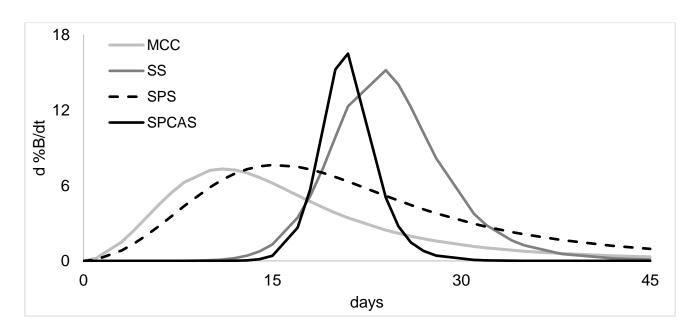
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For starch and cellulose, amylases and cellulases are responsible for the cleavage of glycosidic bonds [39]. The degradation of PCL mainly takes place by enzymatic hydrolytic ester cleavage, which is attributed to microorganisms that secrete extracellular PCL depolymerases such as esterase. cutinase and lipase [40], [41], [42]. The PCL also undergoes hydrolytic degradation due to the presence of hydrolytically labile aliphatic ester linkages, but this degradation is rather slow because of the hydrophobic nature of PCL [43]. After 25 days, biodegradation of the reference sample (MCC) was greater than 70%, thus meeting the requirements established by the ISO 14855 standard [31]. and the CA-free film samples reached values of around 100 % of biodegradation (B<sub>25</sub>% values, **Table** 4). The biodegradation experimental values were fitted by the Hill's model, and the obtained parameters are shown in Table 4. As can be observed, MCC, SS and SPS films reached maximum biodegradation values greater than 100% (curve plateau), which can be due to the priming effect. This effect occurs when the compost inoculum in the samples' reactors produces more CO2 than the one in the blank reactors [44], as microflora is overstimulated by small molecules being released into the medium as consequence of the polymer degradation. This effect was not observed in samples containing carvacrol. In fact, SPCAS was not fully degraded during the composting period, reaching a B<sub>max</sub> (%) value of around 85 %, which can be attributed to the antimicrobial effect of carvacrol. This effect could limit the growth of the microbial community and its biodegradation action on the films (longer lag period and lower maximum degradation). In fact, the CA loaded electrospun PCL fibres in multilayer films have been reported to exert an effective antimicrobial action due to the CA diffusion into the starch layers [14]. So, the partial migration of the carvacrol to the starch layers in the multiplayer assembly will affect biodegradation of both PCL and S sheets, thus reaching lower final biodegradation values. Other studies carried out with starch and gluten films incorporating active essential oils showed that the presence of these antimicrobials did not significantly inhibit the biodegradation process of the films [27], [28]. In these cases, the use of hydrophilic films, which absorb water easily, contributed to the plasticization of the polymer matrix and thus, to the fast antimicrobial compound release and volatilization, and so, it doesn't affect the microbial assimilation of the films under composting exposure. In contrast, the presence of carvacrol in the multilayer assembly with greater thickness seems to increase the persistence of the antimicrobial in the films, thus partially inhibiting the microbial action and the enzyme access to the polymer, further limiting the biodegradation processes.

**Table 4.** Hill's parameters: **n**, **k** (the time needed for 50 % of  $B_{max}$  to occur),  $B_{max}$  (percentage of biodegradation at infinite time),  $B_{25}$  (percentage of biodegradation after 25 days) maximum biodegradation rate ( $\tau_{max}$ ), time at this maximum ( $t_{\tau max}$ ) for the different films and microcrystalline cellulose (MCC, reference) and  $R^2$  (correlation coefficient for the fitted model).

Sample	n	k (days)	B <sub>max</sub> (%)	B <sub>25</sub> (%)	τ <sub>max</sub> (%B/day)	t τ max (days)	R <sup>2</sup>
MCC	2.8	14.5	134	110	7.3	11	0.90
SS	9.1	24.0	160	95	15.2	24	0.85
SPS	2.8	19.8	188	124	7.6	15	0.96
SPCAS	16.2	20.9	85	81	16.5	21	0.80

Figure 5 shows the biodegradation rates obtained from the first derivative of Hill's equation as a function of time for each sample. In **Table 4**, the maximum biodegradation rate  $(\tau_{max})$  and the time needed to reach this maximum (t T max) value are shown. SS bilayer exhibited greater degradation rates than SPS (around 15.2 and 7.6 %B/day, respectively) but delayed in time. This can be attributed to the greatest adhesion force between the two starch layers in the SS assembly that limit the water diffusion between layers, making the greater sample thickness more effective than in the SPS assembly. In the latter, the starch swelling in the wet ambient should enhance the detachment of the layers, reducing the effective thickness of the multilayer. This should favour a more extended biodegradation process with lower maximum biodegradation rate, in line with the progressive increase in the specific surface area of the multilayer assembly. Additionally, the polyester enzymatic degradation (leading to small organic acid fractions) could also affect the biochemical route of starch degradation. SPS films with and without carvacrol exhibited different maximum biodegradation rates (16.5 and 7.6 %B/day, respectively) and these values were reached at different incubation times (21 and 15 days for SPCAS and SPS, respectively). So, those films incorporating CA needed a longer composting exposure time to reach the maximum biodegradation rate than those films without the antimicrobial agent. This could be attributed to the time necessary for carvacrol volatilization and the subsequent reduction of its inhibitory effect.



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**Figure 5**. Biodegradation rates of MCC and the different films with and without carvacrol throughout the composting time.

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#### 4. Conclusion

All multilayer films (containing or not CA) exhibited the same trend of disintegration throughout the 404 composting exposure time. The biodegradation process of pure bilayer starch films was retarded, in 405 406 comparison with starch-PCL multilayer, by the greater effective thickness of the bilayer, due to the highest adhesion forces between the starch sheets. In contrast, starch-PCL multilayers, exhibited an 407 earlier, more extended degradation behaviour with lower peak rate. The biodegradation test revealed 408 409 that the presence of CA notably affected the compost inoculum activity, thus limiting the 410 biodegradability of the CA-loaded multilayers to a maximum value of around 85 %. Nevertheless, the biodegradation values reached by the CA loaded films were very close to that established by the 411 412 standard ISO method to be considered as biodegradable material (90 %). Further biodegradation studies under longer composting times are recommended to evaluate the total biodegradation of 413 414 carvacrol-loaded SPS films.

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