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Additional Information

1 **Association between faecal pH and fat absorption in children with cystic**
2 **fibrosis on a controlled diet and enzyme supplements dose**

3
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25 **Author contributions**

26 Joaquim Calvo-Lerma, Maria Roca-Llorens, Ana Andrés and Carmen Ribes-
27 Koninckx designed the research. Mieke Boon, Barbara de Koning, Carla Colombo,
28 Maria Garriga and Etna Masip collected the samples and the data. Maria Roca-
29 Llorens and Joaquim Calvo-Lerma analysed the data. Victoria Fornés-Ferrer
30 performed the statistical analyses. Joaquim Calvo-Lerma, Maria Roca-Llorens,
31 Mieke Boon, Barbara De Koning, Carla Colombo and Jessie Hulst wrote the paper.
32 Ana Andrés, Kris de Boeck, Jessie Hulst and Carmen Ribes-Koninckx revised and
33 corrected the paper. All authors approved the final version of the article, including the
34 authorship list. Joaquim Calvo-Lerma is the submission's guarantor (i.e. the person
35 who takes responsibility for the integrity of the work as a whole, from inception to
36 published article).

37

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42

43 **Conflict of interests**

44 All authors, after having read the journal's policy on conflicts of interest, declare that
45 there are no competing financial, professional or personal interests that might have
46 influenced the performance or presentation of the work described in this manuscript.

47 **What is the key message?**

- 48 • Faecal pH is a physiological parameter that has been correlated with fat
49 absorption in children with cystic fibrosis

50 **What does it add to the existing literature?**

- 51 • Faecal pH, as a surrogate marker of intestinal pH, could explain why
52 pancreatic enzyme replacement therapy is not effective for all the patients
- 53 • Use of proton pump inhibitors is associated to higher values of faecal pH

54 **What is the impact?**

- 55 • Faecal pH could be used as a biomarker to routinely monitor the efficacy of
56 pancreatic enzyme replacement therapy in the clinical practice
- 57 • Strategies to increasing intestinal pH in children with cystic fibrosis should be
58 targeted in the clinical practice

59 **ABSTRACT**

60 **Background:** Despite treatment with pancreatic enzyme replacement therapy
61 (PERT), patients with Cystic Fibrosis (CF) can still suffer from fat malabsorption. A
62 cause could be low intestinal pH disabling PERT. The aim was assessing
63 association between faecal pH (as intestinal pH surrogate) and coefficient of fat
64 absorption (CFA). Additionally, faecal free fatty acids (FFA) were quantified to
65 determine the amount of digested but unabsorbed fat.

66 **Methods:** In a 24h pilot study, CF patients followed a standardized diet with fixed
67 PERT doses, corresponding to theoretical optimal doses (TOD) determined by an *in*
68 *vitro* digestion model. Study variables were faecal pH, fat and FFA excretion, CFA
69 and transit time. Linear mixed regression models were applied to explore
70 associations.

71 **Results:** in 43 patients, median (1st, 3rd quartile) faecal pH and CFA were 6.1 (5.8,
72 6.4) and 90% (84,94) and they were positively associated ($p < 0.001$). Inverse
73 relationship was found between faecal pH and total fat excretion ($p < 0.01$), and total
74 FFA ($p = 0.048$). Higher faecal pH was associated with longer intestinal transit time
75 ($p = 0.049$) and the use of proton pump inhibitors ($p = 0.009$).

76 **Conclusions:** Although the clinical significance of faecal pH is not fully defined, its
77 usefulness as a surrogate biomarker for intestinal pH should be further explored.

78

79 **Word count: 3030**

80 INTRODUCTION

81 Around 85% of paediatric patients with Cystic Fibrosis (CF) suffer from
82 pancreatic insufficiency. The obstruction of the pancreatic duct precludes the release
83 of pancreatic enzymes into the intestine, resulting in nutrient maldigestion and
84 malabsorption ¹.

85 Pancreatic Enzyme Replacement Therapy (PERT) consists in the exogenous
86 administration of encapsulated pancreatic enzymes (amylase, protease and lipase)
87 with every meal, and is the standard of care to treat pancreatic insufficiency, and
88 thereby to help prevent malnutrition ^{1,2}. While digestion of carbohydrates and protein
89 is generally satisfactory, digestion of fat can be compromised despite of PERT ^{3,4}.
90 Pancreatic lipase requires an alkaline pH for optimal activity (it is inactive at pH lower
91 than 5.5), which is altered in CF due to decreased secretion of sodium bicarbonate
92 into the duodenum ^{4,5}. The complete process of fat digestion (fat hydrolysis or
93 lipolysis) implies the breakdown of triglyceride molecules into free fatty acids (FFA) ⁶
94 and their absorption in the intestine ^{7,8}. If digestion is disturbed due to abnormally low
95 pH and/or lack of pancreatic enzymes, triglycerides would neither be hydrolysed nor
96 absorbed and would be excreted in the faeces as non-digested fat ^{6,9}. On the other
97 hand, it is possible that fat is hydrolysed into FFA, but not absorbed due to bile acid
98 deficiency resulting in lack of micelle formation ¹⁰.

99 In fact, previous research confirmed that small bowel pH in CF is positively
100 associated with the efficacy of PERT. Lipid digestion was evaluated in several
101 studies within MyCyFAPP Project ¹¹; first, an *in vitro* study determined the theoretical
102 optimal doses (TOD) of enzymes needed for maximum lipolysis from different food
103 products, in the CF-specific intestinal environment ⁹. The TODs were next evaluated
104 in an *in vivo* pilot study: all patients adhered to the same standard 24h diet and used

105 the same dose of PERT (the TOD), to identify patient specific factors that influence
106 lipolysis ¹². This resulted in a median CFA of 90% ¹² suggesting that adjustment of
107 PERT on TOD was accurate enough for adequate dietary fat digestion and
108 absorption. However, a subgroup of the study population could not reach the 90%
109 clinical target, and none of the studied patient characteristics so far could explain this
110 result. Therefore, we hypothesized that a low small intestine pH could have caused
111 insufficient PERT activity, leading to fat maldigestion and malabsorption, as
112 previously reported in a small series of patients with CF ⁶. In addition, we
113 hypothesised that assessing FFA excretion could be an indicator of the efficacy of
114 the administrated PERT dose, as its presence in faeces would indicate digestion was
115 effective, but further absorption was impaired.

116 To test this hypothesis, the faecal samples collected in MyCyFAPP study
117 were further assessed for pH and FFA, in addition to fat excretion quantification.
118 Given the impossibility to assess intestinal pH in this series of patients, faecal pH
119 was explored as a surrogate marker.

120 Therefore, the objective of the present study was to further investigate the
121 association between CFA and faecal pH. Secondly we aimed to explore total
122 faecal FFA concentration as an additional biological indicator of the efficacy of the fat
123 digestion process in patients with controlled dietary fat and PERT intake.

124

125 **PATIENTS, MATERIALS, AND METHODS**

126 **Subjects and study design**

127 Study patients were regularly followed at 5 European CF Centres (Madrid,
128 Valencia, Milan, Leuven and Rotterdam), with a confirmed CF diagnosis, pancreatic
129 insufficiency, age between 2 and 18 years in stable gastrointestinal and respiratory

130 conditions since at least 2 weeks before signing the informed consent. Acute
131 infections, use of antibiotics, severe cholestasis and changes in the usual treatment
132 during the 2 weeks before enrolment were considered exclusion criteria. Details
133 about inclusion and exclusion criteria, and the study design are explained in detail in
134 a previous publication by our group ¹². The Ethics Committees of all the participating
135 centres approved the study protocol.

136 Patients were instructed to adhere to a standardised 24h test diet with
137 corresponding fixed PERT doses (based on the TODs, obtained during the *in vitro*
138 studies) ⁹. In this way, the influence of patient-specific characteristics on fat digestion
139 could be evaluated. The diet consisted of five meals, starting with an afternoon
140 snack (bread toasted bread with butter and jam) and dinner (ham and cheese
141 sandwich) on the first day, and breakfast (milk with breakfast cereal), morning snack
142 (yoghurt and orange juice) and lunch (pizza and dairy desert) on the second day.
143 Portions could be adjusted according to age and individual preferences. PERT doses
144 were strictly instructed per each meal, corresponding to the TOD. Encapsulated dyes
145 (E-120, red carmine and E-132, indigo blue) were ingested at start and end of the
146 24h fixed diet in order to identify the faeces specifically pertaining to the study period
147 ¹².

148 Clinical parameters obtained were age, gender, genotype, PPI use, transit
149 time, total faecal fat (and the subsequent calculated coefficient of fat absorption),
150 total faecal FFA and faecal pH.

151

152 **Faecal analyses**

153 The faecal samples were frozen (-20 to -80 °C) and shipped from all the
154 participating centres to the central lab in Instituto de Investigación Sanitaria La Fe

155 (Valencia, Spain) for analysis. Since there is no well described or generally accepted
156 standardised method for homogenisation of faecal samples in the literature ¹³⁻¹⁶, the
157 homogenisation of samples was carried out carefully after thawing at room
158 temperature. The samples that were not dyed were discarded before
159 homogenisation. Faeces were mixed with 750 W stirrers (Braun MQ735) until
160 complete homogenisation was obtained (approx. 5 minutes per sample, depending
161 on the consistency and volume).

162 *Total faecal fat*

163 Total faecal fat (which does not differentiate between triglycerides and free
164 fatty acids) was quantified on the homogenised faecal samples (10 g) with infrared
165 spectroscopy, the gold standard to analyse fat in faeces ¹. Random selected
166 samples (n=10) were used to evaluate the developed homogenisation protocol.

167 *Coefficient of fat absorption (CFA)*

168 The CFA was calculated as the percentage of grams of fat excreted in the
169 collected faeces relative to the grams of fat in the test diet ¹²⁻¹⁷. This parameter is
170 considered equivalent to the % lipolysis extent used in the *in vitro* setting. Transit
171 time was calculated as the time between the ingestion of the colour markers and the
172 moment the first dyed-stool appeared.

173 *Free fatty acids in faeces*

174 Aliquots from the homogenised faecal samples (5 g) were lyophilised and
175 esterified. Then the FFA profile was characterised by gas chromatography-mass
176 spectrometry (GC-MS) technique ¹⁸.

177 *Faecal pH measurement*

178 Aliquots from the homogenised samples (5 g) were thawed at room
179 temperature and then mixed with 10 ml of deionized water and homogenized 1-2 min

180 using, firstly, a vortex and then using a horizontal shaker for 10 min (200 rpm).
181 Faecal pH was measured by directly inserting the glass electrode of the Accumet
182 AE150 pH Benchtop-Meter (Fisher Scientific) into the homogenized faeces.
183 Measurements were taken twice per sample. In addition, in a random selection of 20
184 samples, pH was measured at three moments over a period of 24 h and repeated 1
185 month later to assess possible changes in the measurement over time.

186

187 **Statistical analysis**

188 A pilot study was conducted, provided that there was not an estimated effect
189 or association to refer to given the lack of bibliography about the association
190 between CFA and faecal pH. Therefore, an estimation of the statistical power was
191 not required. Preparatory studies are designed to test the performance
192 characteristics and capabilities of measures, procedures and operational strategies
193 and or provide the means to evaluate aspects of novel approaches ^{19,20}.
194 Recommended sample sizes for pilot study range between 10-30 participants for
195 pilot studies ²¹.

196 A beta regression model was used to study the effect of the faecal pH on the
197 CFA. Logarithmic transformation of faecal fat in stools and transit time was
198 performed to approach a normal distribution with normalised extreme values. The
199 association between faecal pH with the total amount of fat in stools (g) was studied
200 by a univariate linear regression model, and the effect of the faecal pH on total FFA
201 (mg/g faeces), and the effect of FFA on CFA, by means of a linear regression robust
202 model). Finally, a linear regression multivariate robust model was established to
203 study the association of age, transit time and use of PPI on faecal pH.

204 Analyses were carried out using the R software (version 3.5.1) and the
205 libraries betareg (version 3.1-0), clickR (version 0.3.64) and MASS (version 7.3-49).
206 A p value <0.05 was considered statistically significant.

207

208 **RESULTS**

209 **Patients characteristics and descriptive results**

210 Forty-three children with CF were included. The study population
211 characteristics were previously described by Calvo-Lerma et al. and are summarized
212 in table 1 (2019) ¹².

213

214 **Association of faecal pH with CFA, and free fatty acid excretion**

215 As shown in **Table 1**, the median total amount of fat in faeces was 8.4 (4.8,
216 12.3) g resulting in a median CFA of 90% with a small range between 1st and 3rd Q
217 (84, 94%). The total amount of free fatty acids was 2.3 (1.6, 3.6) mg/g of faeces. The
218 median pH of the samples was 6.08 (5.78, 6.38), with a minimum pH of 5.26 and a
219 maximum of 6.85.

220 Reproducibility of the faecal pH measurement was high, with a mean
221 standard deviation of 0.02 when measuring the pH at three different points of the
222 homogenized sample. Changes in the pH measurement along a 24 h period were
223 not detected. Test results were highly reproducible (CV <15%) between two different
224 aliquots of the same homogenised sample.

225 The faecal pH was significantly associated with the CFA ($p < 0.001$, $R^2 = 0.42$)
226 (**Figure 1A**), with higher pH values relating to higher CFA. This result is confirmed
227 by the significant inverse relationship between the pH and the total amount of fat in
228 faeces (**Figure 1B**), as the diet was fixed ($p < 0.001$, $R^2 = 0.38$). This finding was also

229 reinforced by the inverse association between faecal pH and the total amount of
230 FFA (**Figure 1C**) ($p = 0.048$, $R^2 = 0.35$): the lower the pH the higher the total amount
231 of fat and FFA in faeces.

232 When considering patients adhered to PPI therapy separately, median faecal
233 pH values was 6.34 (6.09, 6.72), and in patients without following this therapy the
234 value was found to be 5.91 (5.57, 6.31), the difference being statistically significant
235 ($p < 0.01$) (**Figure 2**).

236 Fecal pH was positively and significantly associated with transit time ($p =$
237 0.049 and with the use of PPI ($p = 0.009$) in a multivariable analysis (**Table 2**). In
238 contrast, neither the age of the patient nor any of the other study variables showed
239 any association with faecal pH values.

240 In addition, the association between CFA and FFA excretion was studied
241 (**Figure 2**). Low CFA being associated with high amount of faecal FFA ($p < 0.001$)
242 suggests that lipolysis is occurring, but the FFAs were not being absorbed. Low
243 amounts of FFA in faeces were associated with higher values of CFA ($p < 0.001$),
244 suggesting that triglyceride molecules were properly hydrolysed, resulting in FFA
245 release and absorption. In contrast, low absorption of fat was associated with high
246 amount of excreted FFA.

247

248 **DISCUSSION**

249 In this study we tried to further explore the mechanisms of fat absorption in
250 CF. We have therefore characterised total fat and FFA excretion and pH in faecal
251 samples of children with CF adhering to a standardised diet and using fixed doses of
252 PERT, based on a CF specific *in vitro* digestion model. Both faecal pH and FFA were

253 used as an investigational tool. Faecal collection was very accurate being performed
254 between colorimetric dyes, and this enabled also to measure transit time.

255 First, we found that faecal pH was positively associated with CFA, and was
256 inversely related with total FFA. In our previous study we concluded that when using
257 the *in vitro* predicted optimal dose of enzymes (TOD), CFA was not associated with
258 any of the assessed patients' characteristics (genotype, nutritional status, lung
259 function, age) with the only exception of transit time ¹². We concluded that other
260 patients' characteristics could have had an impact on CFA, particularly intestinal pH
261 ¹². Thus, the present findings on faecal pH, could explain why some patients did not
262 reach the 90% target CFA, supporting the hypothesis of the present work: the
263 association between faecal pH and CFA suggests that the TOD cannot achieve
264 satisfactory levels of fat digestion in patients with a faecal pH lower than the pH
265 range for lipase activity. Secondly, we found that faecal FFA is associated with CFA.

266 Higher CFA was related to lower FFA excretion, suggesting that in patients
267 with satisfactory fat digestion, the absorption of delivered FFA must have been
268 efficient, as low amounts were present in faeces. Thus, this finding also suggests
269 that when the *in vitro* predicted dose of PERT was prescribed, fat was not only well
270 digested but also well absorbed. Conversely, some patients with low CFA tended to
271 have high FFA excretion, pointing at possible further malabsorption problems.
272 **However, none of these patients characteristics could explain this finding.**

273 A previous study by our group has shown the strong influence of the intestinal
274 pH on lipolysis under simulated *in vitro* gastrointestinal digestion ^{9,22,23}. One of the
275 few studies found in the literature showed that patients on tube feeding (n = 18) with
276 low intestinal pH profiles were unable to reach satisfactory levels of fat digestion and
277 absorption, despite high PERT doses ⁶. Compared to this study, our sample size

278 was larger (n = 43), patients were on a normal diet (rather than being on enteral
279 nutrition), and faecal pH was measured as a surrogate of intestinal pH (given the
280 impossibility to assess it because of ethical restrictions and need for invasive
281 techniques). Robinson et al. (1990), in contrast, performed a direct measurement of
282 the intestinal pH. Both studies, however have reached the same finding of the
283 association between intestinal pH and fat absorption. Also, the proportion of patients
284 with a very low intestinal pH (<5.8) was similar in both studies (around 20%).
285 Complementarily, other digestion agents, such as bile salts concentration, have
286 proved to exert a relevant role in fat digestion and absorption. Malabsorption of bile
287 acids occur in patients with CF, and abnormal biliary secretion or intraluminal acidic
288 precipitation of bile acids could contribute to steatorrhea (REF). In addition, the study
289 of the impact of reduced bile salts concentration in the context of in vitro digestion
290 study of several foods, has repeatedly been associated with decreased extents of
291 lipolysis (REF).

292 Possible determinants for faecal pH values were explored, finding that PPI
293 use and longer transit time were associated with higher pH values. Studies
294 evaluating the pH profile along the gastrointestinal tract in a healthy population by
295 using the wireless motility capsule and radiotelemetry device support the main
296 assumption that faecal pH may be considered as a surrogate for intestinal pH, as pH
297 in the duodenum (lipid digestion) and rectum (before faecal deposition) were
298 equivalent^{10,24,25}. In case of CF, concretely, pH along the first hour of small intestine
299 digestion ranges between 5 and 7^{24,26}, in agreement with the pH range found in the
300 faecal samples of our patients. Overall, the mean intestinal pH reported by Aburb et
301 al. (2018) for healthy subjects was 6.2 and, in our cohort, median 6.02 was obtained.
302 Despite this difference could appear to be small, a decrease of 0.2 in pH in terms of

303 lipase enzyme activity can imply a substantial reduction of fat digestion (REF). In
304 addition, this difference in pH becomes higher when only considering those patients
305 not following the PPI therapy, which would be reflected in lower fat digestion.

306 Our findings also showed that patients taking PPI had higher faecal pH.
307 Treatment with PPI reduces gastric acid secretion and leads to less acidic stomach
308 environment during digestion ²⁷. As a consequence, the gastric contents enter the
309 duodenum with a more alkaline pH than the usual, thus modifying the intestinal
310 conditions towards a normal environment, despite the limited pancreatic bicarbonate
311 secretion in patients with CF ^{10,18}.

312 The strengths of this study include the accuracy of faecal collection using
313 colorimetric markers. The samples are of unique value since for the first time to our
314 knowledge, they represent the product of the digestion and absorption of the same
315 diet and the same PERT doses followed by a cohort of 43 patients with CF. It was
316 therefore possible to assess differences in CFA only in terms of individual patients'
317 characteristics, avoiding confounding factors related to the diet or the variability in
318 PERT. Another strength relies on the GC-MS technique used, the most accurate and
319 state of the art method to quantify free fatty acids ²⁸.

320 On the other hand, the main limitation of the present study is the assumption
321 that faecal pH reflects the pH of the small intestine. In the colon, several reactions
322 occur, including fermentation of unabsorbed or undigested nutrients (carbohydrates,
323 protein) by some specific bacteria conforming the microbiota. This results in the
324 production of short chain fatty acids, which may reduce the luminal pH. However,
325 even if the study subjects could have different microbiota profiles, the production of
326 short chain fatty acids is highly dependent on the pattern of food intake ²⁹, and all of
327 our patients had the same standardised meals. Thus, our positive findings support

328 the inclusion of microbiota assessment in future series. Another factor possibly
329 modifying the pH in the colon is related to carbohydrates malabsorption ³⁰, which
330 however was unlikely to be present in our patients since normally it is associated to
331 liquid stools ³¹ (present in only one of our patients ¹²). In light of the significant
332 association between faecal pH, total faecal fat and free fatty acids and CFA, along
333 with the comparable range of pH as in previous series, we suggest this indirect
334 measurement could reflect at least in the intestinal conditions. **These findings**
335 **support conducting a future study to validate the relationship between intestinal pH**
336 **and faecal pH in these population, so faecal pH could be considered** as a non-
337 invasive biomarker of the intestinal pH and provide insight on the mechanism of
338 malabsorption.

339 The strong association and moderate correlation between faecal pH and CFA
340 reinforces the previous data obtained by our research group, indicating that
341 strategies aimed at increasing intestinal pH may result in better fat absorption. Thus,
342 our results support the use of PPI therapy in addition to PERT in patients with low
343 CFA but already taking high doses of PERT, as previously concluded by Robinson et
344 al. (1990) ⁶. However, the clinical value of using faecal pH to guide management
345 requires further evaluation. It should be always kept in mind that long-term PPI
346 treatment may lead to complications by suppressing the natural barrier of the gastric
347 acid against pathogenic bacteria ³². It has been associated with an increase number
348 of pulmonary exacerbation and hospitalisation ³³.

349 Another clinical application, although with possible limitations, would be
350 measuring pH in faeces as a complementary qualitative method to indirectly assess
351 fat digestion and absorption. It is a simple, non-invasive and fast technique that only

352 requires a pH-metre, a common and not expensive equipment. This technique could
353 possibly complement the 24h faeces collection.

354 In conclusion, faecal pH is suggested as a possible factor explaining
355 differences found in the CFA in a cohort of patients with CF that followed the same
356 diet and had the same doses of PERT according to an *in vitro* evidence-based
357 method. Strategies to increase the intestinal pH in CF are encouraged in order to
358 enhance lipid digestion, being aware of the potential side effects. In addition, the use
359 faecal pH as surrogate for fat malabsorption could be a fast and easy technique,
360 which with pertinent additional validation, could be used in the clinical practice.

361

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366

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- 457

458 **FIGURE LEGENDS**

459

460 **Figure 1.** Faecal pH values are (A) positively associated with the coefficient of fat
461 absorption (CFA); (B) negatively associated with the faecal fat excreted; and (C)
462 negatively associated with the free fatty acid (FFA) excretion. *95% CI, confidence*
463 *interval of the estimated effect.*

464

465 **Figure 2.** Patients adhered to therapy with proton pump inhibitors (PPI) (n=14)
466 obtained significantly higher median faecal pH than those not following this therapy
467 (n=28).

468

469 **Figure 2. Figure 3.** Free fatty acid (FFA) excretion is negatively associated with the
470 coefficient of fat absorption (CFA). *95% CI, confidence interval of the estimated*
471 *effect.*