

# Fredholm theory for demicompact linear relations

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## ABSTRACT

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We first attempt to determine conditions on a linear relation  $T$  such that  $\mu T$  becomes a demicompact linear relation for each  $\mu \in [0, 1)$  (see Theorems 2.4 and 2.5). Second, we display some results on Fredholm and upper semi-Fredholm linear relations involving a demicompact one (see Theorems 3.1 and 3.2). Finally, we provide some results in which a block matrix of linear relations becomes a demicompact block matrix of linear relations (see Theorems 4.2 and 4.3).

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## 1. INTRODUCTION

Throughout this work,  $X$ ,  $Y$  and  $Z$  are vector spaces over the field  $\mathbb{K} = \mathbb{R}$  or  $\mathbb{C}$ . A mapping  $T$ , whose domain is a linear subspace

$$\mathcal{D}(T) := \{x \in X : Tx \neq \emptyset\}$$

of  $X$ , is called a linear relation (or a multivalued linear operator) if for all  $x, z \in \mathcal{D}(T)$  and non-zero scalars  $\alpha$ ; we have

$$Tx + Tz = T(x + z)$$

$$\alpha Tx = T(\alpha x).$$

Evidently, the domain of linear relation is a linear subspace.

In this notation,  $\mathcal{LR}(X, Y)$  denotes the class of all linear relations on  $X$  into  $Y$ , if  $X = Y$  simply denotes  $\mathcal{LR}(X, X) := \mathcal{LR}(X)$ . If  $T$  maps the points of its domain to singletons, then it is said to be a single valued linear operator (or simply an operator). The simplest naturally occurring example of a multivalued linear operator is the inverse  $T^{-1}$  of a linear map  $T$  from  $X$  to  $Y$  defined by the set of solutions

$$T^{-1}y := \{x \in X : Tx = y\}$$

for equation  $Tx = y$ . Each linear relation is identified only by its graph,  $G(T)$ , which is defined by

$$G(T) := \{(x, y) \in X \times Y : x \in \mathcal{D}(T) \text{ and } y \in Tx\}.$$

The inverse of  $T$  is the linear relation,  $T^{-1}$  expressed by

$$G(T^{-1}) := \{(y, x) \in Y \times X : (x, y) \in G(T)\}.$$

The subspace

$$\mathcal{N}(T) := \{x \in \mathcal{D}(T) \text{ such that } (x, 0) \in G(T)\}$$

is called the null space of  $T$ , and  $T$  is called injective if  $\mathcal{N}(T) = \{0\}$ , that is, if  $T^{-1}$  is a single valued linear operator.

$$T^{-1}(0) := \mathcal{N}(T).$$

The range of  $T$  is the subspace

$$\mathcal{R}(T) := \{y \in Y, \exists x \in \mathcal{D}(T) : (x, y) \in G(T)\}$$

and  $T$  is called surjective if  $\mathcal{R}(T) = Y$ . If  $T$  is injective and surjective, then we state that  $T$  is bijective. The quantities

$$\alpha(T) := \dim(\mathcal{N}(T)) \text{ and } \beta(T) := \text{codim}(\mathcal{R}(T)) = \dim(Y/\mathcal{R}(T))$$

are called the nullity (or the kernel index) and the deficiency of  $T$ , respectively. We also write  $\overline{\beta}(T) := \text{codim}(\overline{\mathcal{R}(T)})$ . The index of  $T$  is defined by  $i(T) := \alpha(T) - \beta(T)$ . If  $\alpha(T)$  and  $\beta(T)$  are infinite, then  $T$  is said to have no index. Let  $M$  be a subspace of  $X$  such that  $M \cap \mathcal{D}(T) \neq \emptyset$  and let  $T \in \mathcal{LR}(X, Y)$ ; then, the restriction  $T|_M$ , is the linear relation indicated by

$$G(T|_M) := \{(m, y) \in G(T) : m \in M\} = G(T) \cap (M \times Y).$$

For  $S, T \in \mathcal{LR}(X, Y)$  and  $R \in \mathcal{LR}(Y, Z)$ , the sum  $S + T$  and the product  $RS$  are the linear relations determined by

$$G(T + S) := \{(x, y + z) \in X \times Y : (x, y) \in G(T) \text{ and } (x, z) \in G(S)\}, \text{ and}$$

$$G(RS) := \{(x, z) \in X \times Z : (x, y) \in G(S), (y, z) \in G(R) \text{ for some } y \in Y\},$$

respectively and if  $\lambda \in \mathbb{K}$ , the  $\lambda T$  is computed by

$$G(\lambda T) := \{(x, \lambda y) : (x, y) \in G(T)\}.$$

If  $T \in \mathcal{LR}(X)$  and  $\lambda \in \mathbb{K}$ , then the linear relation  $\lambda - T$  is identified by

$$G(\lambda - T) := \{(x, y - \lambda x) : (x, y) \in G(T)\}.$$

Let  $T \in \mathcal{LR}(X, Y)$ . We write  $Q_T$  for the quotient map from  $Y$  into  $Y/\overline{T(0)}$ . Clearly,  $Q_T T$  is an operator. For all  $x \in \mathcal{D}(T)$ , we define  $\|Tx\| := \|Q_T Tx\|$ , and the norm of  $T$  is defined by  $\|T\| := \|Q_T T\|$ . We note that  $\|Tx\|$  and  $\|T\|$  are not real norms. In fact, a non-zero relation can have a zero norm.  $T$  is said to be closed if its graph  $G(T)$  is a closed subspace of  $X \times Y$ . The closure of  $T$  denoted by  $\overline{T}$  is defined in terms of its graph  $G(\overline{T}) := \overline{G(T)}$ . We denote by  $\mathcal{CR}(X, Y)$  the class of all the closed linear relations on  $X$  into  $Y$ , if  $X = Y$  which simply denotes  $\mathcal{CR}(X, X) := \mathcal{CR}(X)$ . If  $\overline{T}$  is an extension to  $T$ , we say that  $T$  is closable. Let  $T \in \mathcal{LR}(X, Y)$ . We say that  $T$  is continuous if for each neighbourhood  $V$  in  $\mathcal{R}(T)$ , the inverse image  $T^{-1}(V)$  is a neighbourhood in  $\mathcal{D}(T)$  equivalently if  $\|T\| < \infty$ ; open if  $T^{-1}$  is continuous, bounded if  $\mathcal{D}(T) = X$  and  $T$  is continuous, bounded below if it is injective and open and compact if  $\overline{Q_T T(B_{\mathcal{D}(T)})}$  is compact in  $Y$  ( $B_{\mathcal{D}(T)} := \{x \in \mathcal{D}(T) : \|x\| \leq 1\}$ ). We denote by  $\mathcal{KR}(X, Y)$  the class of all the compact linear relations on  $X$  into  $Y$ , if  $X = Y$  simply denotes  $\mathcal{KR}(X, X) := \mathcal{KR}(X)$ .

If  $X$  is a normed linear space, then  $X'$  will denote the dual space of  $X$ , i.e., the space of all the continuous linear functionals  $x'$  which are defined on  $X$ , with the norm

$$\|x'\| = \inf\{\lambda : |x'x| \leq \lambda\|x\| \text{ for all } x \in X\}.$$

If  $K \subset X$  and  $L \subset X'$ , we shall adopt the following notations:

$$\begin{aligned} K^\perp &:= \{x' \in X' : x' = 0 \text{ for all } x \in K\}, \\ L^\top &:= \{x \in X : x' = 0 \text{ for all } x' \in L\}. \end{aligned}$$

Clearly,  $K^\perp$  and  $L^\top$  are closed linear subspaces of  $X'$  and  $X$ , respectively. Let  $T \in \mathcal{LR}(X, Y)$ . The adjoint of  $T$ , which is  $T'$ , is defined by

$$G(T') = G(-T^{-1})^\perp \subset Y' \times X'$$

where  $\langle (y, x), (y', x') \rangle := \langle x, x' \rangle + \langle y, y' \rangle$ . This means that

$$(y', x') \in G(T') \text{ if, and only if, } y'y - x'x = 0 \text{ for all } (x, y) \in G(T).$$

Similarly, we have  $y'y = x'x$  for all  $y \in Tx, x \in \mathcal{D}(T)$ . Hence,  $x' \in T'y$  if, and only if,  $y'Tx = x'x$  for all  $x \in \mathcal{D}(T)$ .

**Definition 1.1** ([7, Definition, V.1.1]). (i) A linear relation  $T \in \mathcal{LR}(X, Y)$  is said to be upper semi-Fredholm and denoted by  $T \in \mathcal{F}_+(X, Y)$ , if there exists a finite codimensional subspace  $M$  of  $X$  for which  $T|_M$  is injective and open. (ii) A linear relation  $T$  is said to be lower semi-Fredholm and denoted by  $T \in \mathcal{F}_-(X, Y)$ , if its conjugate  $T'$  is upper semi-Fredholm.

If  $X = Y$ , this simply denotes  $\mathcal{F}_+(X, Y)$  and  $\mathcal{F}_-(X, Y)$  by respectively  $\mathcal{F}_+(X)$  and  $\mathcal{F}_-(X)$ .

For the case, when  $X$  and  $Y$  are Banach spaces, we extend the class of closed single valued Fredholm type operators provided earlier to include closed multi-valued operators. Note that the definitions of  $\mathcal{F}_+(X, Y)$  and  $\mathcal{F}_-(X, Y)$  are,

respectively, consistent with

$$\Phi_+(X, Y) := \{T \in \mathcal{CR}(X, Y) : R(T) \text{ is closed, and } \alpha(T) < \infty\},$$

$$\Phi_-(X, Y) := \{T \in \mathcal{CR}(X, Y) : R(T) \text{ is closed, and } \beta(T) < \infty\}.$$

If  $X = Y$ , this simply denotes  $\Phi_+(X, Y)$  and  $\Phi_-(X, Y)$  by respectively  $\Phi_+(X)$  and  $\Phi_-(X)$ .

**Lemma 1.2** ([1, Lemma 2.1]). *Let  $T : \mathcal{D}(T) \subseteq X \longrightarrow Y$  be a closed linear relation. Then,*

(i)  $T \in \Phi_+(X, Y)$  if, and only if,  $Q_T T \in \Phi_+(X, Y/T(0))$ .

(ii)  $T \in \Phi_-(X, Y)$  if, and only if,  $Q_T T \in \Phi_-(X, Y/T(0))$ .

**Definition 1.3** ([9]). Let  $X$  be a Banach space. Let  $D$  be a bounded subset of  $X$ . We define  $\gamma(D)$ , the Kuratowski measure of noncompactness of  $D$ , to be  $\inf\{d > 0 \text{ such that } D \text{ can be covered by a finite number of sets of a diameter less than or equal to } d\}$ .

The following Proposition displays some properties of the Kuratowski measure of noncompactness which are frequently used.

**Proposition 1.4** ([9]). *Let  $D$  and  $D'$  be two bounded subsets of  $X$ . Then, we have the following properties:*

(i)  $\gamma(D) = 0$  if, and only if,  $D$  is relatively compact.

(ii) if  $D \subseteq D'$ , then  $\gamma(D) \leq \gamma(D')$ .

(iii)  $\gamma(D + D') \leq \gamma(D) + \gamma(D')$ .

(iv) For every  $\alpha \in \mathbb{C}$ ,  $\gamma(\alpha D) = |\alpha| \gamma(D)$ .

The linear relations, which were introduced into a functional analysis by J. Von Neumann, were motivated by the need to consider adjoints of non-densely defined linear differential operators. These linear relations were widely investigated in a large number of papers (see, for example, [2], [3] and [5]).

The notion of demicompactness for linear operators (that is, single valued operators) was introduced into the functional analysis by W.V Petryshyn [10], to discuss fixed points. Since this notion has become a hot area of research triggering significant scientific concern, several research papers such as [8, 10] invested in their investigation. In 2012, W. Chaker, A. Jeribi and B. Krichen achieved some results on Fredholm and upper semi-Fredholm operators involving demicompact operators [6].

In what follows, we shall present two definitions set forward by A. Ammar, H. Daoud and A. Jeribi in 2017 [4], who extended the concept of demicompact and  $k$ -set-contraction of linear operators on multivalued linear operators and developed some pertinent properties.

**Definition 1.5** ([4, Definition 3.1]). A linear relation  $T : \mathcal{D}(T) \subseteq X \longrightarrow X$  is said to be demicompact if for every bounded sequence  $\{x_n\}$  in  $\mathcal{D}(T)$ , such

that  $Q_{I-T}(I-T)x_n = Q_T(I-T)x_n \rightarrow x \in X/\overline{T(0)}$ , there is a convergent subsequence of  $Q_T x_n$ .

**Definition 1.6** ([4, Definition 4.1]).  $T : \mathcal{D}(T) \subseteq X \rightarrow Y$  is a linear relation, while  $\delta_1$  and  $\delta_2$  are respectively Kuratowski measures of noncompactness in  $X/D$  and  $Y$ , where  $D$  is a closed subspace of  $\mathcal{N}(T)$ . Let  $k \geq 0$ ,  $T$  is said to be  $k - D$ -set-contraction if, for any bounded subset  $B$  of  $D(T)$ ,  $Q_T T(B)$  is a bounded subset of  $Y/\overline{T(0)}$  and

$$\delta_2(Q_T T B) \leq k\delta_1(Q_D B).$$

If  $D = \{0\}$ , then  $T$  is said to be  $k - \{0\}$ -set-contractive linear relation or simply  $k$ -set-contractive.

According to these definitions and referring to certain notations and some basic concepts of demicompact linear relations, we elaborate the following propositions.

**Proposition 1.7.** *Let  $T : \mathcal{D}(T) \subseteq X \rightarrow X$  be a closed single-valued linear operator.*

(i) [6, Theorem 4] *If  $T$  is demicompact, then  $I - T$  is an upper single-valued linear operator semi-Fredholm.*

(ii) [6, Theorem 5] *If  $\mu T$  is demicompact for each  $\mu \in [0, 1]$ , then  $I - T$  is a single-valued linear operator Fredholm and  $i(I - T) = 0$ .*

The basic objective of this paper is to attempt to answer the following question "Under which conditions does the linear relation  $\mu T$  for each  $\mu \in [0, 1]$  become a demicompact linear relation?" Subsequently, we shall exhibit some results on Fredholm linear relations and upper semi-Fredholm demicompact linear relations. Thereafter, we shall display some results about a demicompact block matrix of linear relations.

The rest of the current paper is organized as follows. In section 2 which is entitled "Auxiliary results on demicompact linear relation", we provide conditions so that any linear relation becomes a demicompact linear relation and we present the results deriving from these demicompact relations (see Theorems 2.4 and 2.5). In section 3 which is entitled "Fredholm and upper semi-Fredholm linear relations", we investigate Fredholm linear relations as well as upper semi-Fredholm demicompact linear relations (see Theorems 3.1 and 3.2). Finally we exhibit some results in which a block matrix of linear relations becomes a demicompact block matrix of linear relations (see Theorems 4.2 and 4.3).

## 2. AUXILIARY RESULTS ON DEMICOMPACT LINEAR RELATIONS

In this Section, we try to answer the following question "Under which conditions does the linear relation  $\mu T$  for each  $\mu \in [0, 1]$  become a demicompact linear relation?" We then present some fundamental results about demicompact linear relations.

**Lemma 2.1.** *Let  $T : \mathcal{D}(T) \subseteq X \rightarrow X$  be a linear relation. If  $I - Q_T$  is compact, then  $T$  is demicompact if, and only if,  $Q_T T$  is demicompact.*

*Proof.* We suppose that  $T$  is demicompact. Let  $\{x_n\}$  be a bounded sequence of  $\mathcal{D}(T)$  such that  $x_n - Q_T T x_n \rightarrow y$ . We have

$$(2.1) \quad x_n - Q_T T x_n = (I - Q_T)x_n + Q_T x_n - Q_T T x_n.$$

Based upon Eq. (2.1) and considering that  $I - Q_T$  is compact and  $\{x_n - Q_T T x_n\}$  is a convergent sequence,  $\{Q_T x_n - Q_T T x_n\}$  has a convergent subsequence. When the latter is added to demicompact  $T$ , we get  $\{Q_T x_n\}$ , as a convergent subsequence. On the other side, we have

$$\begin{aligned} x_n &= x_n - Q_T x_n + Q_T x_n \\ &= (I - Q_T)x_n + Q_T x_n. \end{aligned}$$

Since  $I - Q_T$  is compact and  $\{Q_T x_n\}$  has a convergent subsequence,  $\{x_n\}$  has a convergent subsequence. Conversely, we suppose that  $Q_T T$  is demicompact. Let  $\{x_n\}$  be a bounded sequence of  $\mathcal{D}(T)$  such that  $Q_T x_n - Q_T T x_n \rightarrow y$ . We have

$$(2.2) \quad Q_T x_n - Q_T T x_n = -(I - Q_T)x_n + x_n - Q_T T x_n.$$

According to Eq. (2.2), and considering the fact that  $I - Q_T$  is compact and  $\{Q_T x_n - Q_T T x_n\}$  is a convergent sequence, we infer that  $\{x_n - Q_T T x_n\}$  has a convergent subsequence. Bearing in mind the fact that  $Q_T T$  is demicompact and  $\{x_n - Q_T T x_n\}$  has a convergent subsequence, we obtain  $\{x_n\}$  as a convergent subsequence. On the other side, we have

$$Q_T x_n = Q_T x_n - x_n + x_n = -(I - Q_T)x_n + x_n.$$

Besides, we have  $I - Q_T$  which is compact and  $\{x_n\}$  which has a convergent subsequence. Thus,  $\{Q_T x_n\}$  has a convergent subsequence.  $\square$

**Proposition 2.2.** *Let  $T : \mathcal{D}(T) \subseteq X \rightarrow X$  be a continuous linear relation. If  $T$  is a  $k - \overline{T(0)}$ -set-contraction, then  $\mu T$  is demicompact for each  $\mu k < 1$ .*

*Proof.* Let  $\{x_n\}$  be a bounded sequence of  $\mathcal{D}(T)$  such that  $Q_{\mu T} x_n - Q_{\mu T} \mu T x_n \rightarrow y$ . We have

$$(2.3) \quad Q_{\mu T} x_n = Q_{\mu T}(x_n - \mu T x_n) + Q_{\mu T} \mu T x_n.$$

Suppose that  $\gamma(\{Q_{\mu T} x_n\}) \neq 0$ . Therefore, using Eq. (2.3) and Proposition 1.4, we obtain

$$\begin{aligned} \gamma(\{Q_{\mu T} x_n\}) &\leq \gamma(\{Q_{\mu T}(x_n - \mu T x_n)\}) + \gamma(\{Q_{\mu T} \mu T x_n\}) \\ &\leq \mu k \gamma(\{Q_{\mu T} x_n\}) \\ &< \gamma(\{Q_{\mu T} x_n\}). \end{aligned}$$

However, the result is not accurate. It follows that  $\gamma(\{Q_{\mu T} x_n\}) = 0$ . Hence,  $\{Q_{\mu T} x_n\}$  is relatively compact.  $\square$

An immediate consequence of Proposition 2.2 is the following Corollary:

**Corollary 2.3.** *Let  $k \geq 0$  and  $T : \mathcal{D}(T) \subseteq X \rightarrow X$  be a continuous linear relation. If  $T$  is a  $k - \overline{T(0)}$ -set-contraction, then  $\frac{1}{1+k} T$  is demicompact.*

**Theorem 2.4.** *Let  $T : \mathcal{D}(T) \subseteq X \longrightarrow X$  be a linear relation. If  $m \in \mathbb{N}^*$ ,  $(Q_T \mu T)^m$  is compact for each  $\mu \in [0, 1)$  and  $I - Q_{\mu T}$  is compact, then  $\mu T$  is demicompact for each  $\mu \in [0, 1)$ .*

*Proof.* Let  $\{x_n\}$  be a bounded sequence of  $\mathcal{D}(T)$  such that

$$y_n = Q_{\mu T} x_n - Q_{\mu T} \mu T x_n \rightarrow y.$$

Let's consider the various cases for  $m$ :

Case 1: For  $m = 1$ . Using Lemma 2.1, we notice that,  $\mu T$  is demicompact for each  $\mu \in [0, 1)$ .

Case 2: For  $m \in \mathbb{N}^* \setminus \{1\}$ , we have

$$\begin{aligned} \sum_{k=0}^{m-1} (Q_{\mu T} \mu T)^k Q_{\mu T} x_n &= \sum_{k=0}^{m-1} (Q_{\mu T} \mu T)^k y_n + \sum_{k=0}^{m-1} (Q_{\mu T} \mu T)^{k+1} x_n \\ Q_{\mu T} x_n + \sum_{k=1}^{m-1} (Q_{\mu T} \mu T)^k Q_{\mu T} x_n &= \sum_{k=0}^{m-1} (Q_{\mu T} \mu T)^k y_n + \sum_{k=0}^{m-2} (Q_{\mu T} \mu T)^{k+1} x_n \\ &\quad + (Q_{\mu T} \mu T)^m x_n \\ Q_{\mu T} x_n + \sum_{k=0}^{m-2} (Q_{\mu T} \mu T)^{k+1} Q_{\mu T} x_n &= \sum_{k=0}^{m-1} (Q_{\mu T} \mu T)^k y_n + \sum_{k=0}^{m-2} (Q_{\mu T} \mu T)^{k+1} x_n \\ &\quad + (Q_{\mu T} \mu T)^m x_n. \end{aligned}$$

Since  $Q_T T Q_T$  and  $(Q_T T)^n Q_T$  are single-valued linear operators for all  $n \geq 1$ , we get  $\sum_{k=0}^{m-2} (Q_{\mu T} \mu T)^{k+1} Q_{\mu T} x_n$  which is single-valued. Therefore,

$$\begin{aligned} Q_{\mu T} x_n &= \sum_{k=0}^{m-1} (Q_{\mu T} \mu T)^k y_n + \sum_{k=0}^{m-2} (Q_{\mu T} \mu T)^{k+1} (I - Q_{\mu T}) x_n \\ &\quad + (Q_{\mu T} \mu T)^m x_n. \end{aligned}$$

As a matter of fact,

$$\begin{aligned} \gamma(\{Q_{\mu T} x_n\}) &\leq \sum_{k=0}^{m-1} \bar{\gamma}((Q_{\mu T} \mu T)^k) \gamma(\{y_n\}) + \bar{\gamma}((Q_{\mu T} \mu T)^m) \gamma(\{x_n\}) \\ &\quad + \sum_{k=0}^{m-2} \bar{\gamma}((Q_{\mu T} \mu T)^{k+1}) \bar{\gamma}(I - Q_{\mu T}) \gamma(\{x_n\}) \\ &= 0. \end{aligned}$$

We conclude that  $\gamma(\{Q_{\mu T} x_n\}) = 0$ . Hence,  $\{Q_{\mu T} x_n\}$  is relatively compact. Thus, there is a convergent subsequence of  $\{Q_{\mu T} x_n\}$ .  $\square$

**Theorem 2.5.** *Let  $T : \mathcal{D}(T) \subseteq X \longrightarrow X$  be a linear relation and  $k \geq 0$ .*

(i) *If  $m \in \mathbb{N}^*$ ,  $(Q_T T)^m$  and  $I - Q_{\mu T}$  are compact, then  $\frac{1}{1+k} T$  is demicompact.*

(ii) If  $(Q_T\mu T)^m$  is compact for each  $\mu \in [0, 1)$  and  $m > 0$ , then  $\mu T$  is demicom-compact for each  $\mu \in [0, 1)$ .

(iii) If  $m \in \mathbb{N}^*$ ,  $\bar{\gamma}((Q_T\mu T)^m) \leq k$  and  $I - Q_{\mu T}$  is compact, then  $\mu T$  is demicom-compact for each  $0 \leq \mu^m k < 1$ .

(iv) If  $m \in \mathbb{N}^*$ ,  $\bar{\gamma}(T^m) \leq k$  and  $I - Q_{\frac{1}{1+k}T}$  is compact, then  $\frac{1}{1+k}T$  is demicom-compact.

*Proof.* (i) An immediate consequence of Theorem 2.4 for  $\mu = \frac{1}{1+k}$ .

(ii) Likewise, based on the preceding proof of Theorem 2.4, we obtain

$$Q_{\mu T}x_n = \sum_{k=0}^{m-1} (Q_{\mu T}\mu T)^k y_n + \sum_{k=0}^{m-2} (Q_{\mu T}\mu T)^{k+1} (I - Q_{\mu T})x_n + (Q_{\mu T}\mu T)^m x_n.$$

As a matter of fact,

$$\begin{aligned} \gamma(\{Q_{\mu T}x_n\}) &\leq \sum_{k=0}^{m-1} \bar{\gamma}((Q_{\mu T}\mu T)^k)\gamma(\{y_n\}) + \bar{\gamma}((Q_{\mu T}\mu T)^m)\gamma(\{x_n\}) \\ &\quad + \sum_{k=0}^{m-2} \bar{\gamma}((Q_{\mu T}\mu T)^{k+1})\bar{\gamma}(I - Q_{\mu T})\gamma(\{x_n\}) \\ &= 0. \end{aligned}$$

We conclude that  $\gamma(\{Q_{\mu T}x_n\}) = 0$ . Hence,  $\{Q_{\mu T}x_n\}$  is relatively compact. Thus, there is a convergent subsequence of  $\{Q_{\mu T}x_n\}$ .

(iii) Let  $\{x_n\}$  be a bounded sequence of  $\mathcal{D}(T)$  such that  $y_n = Q_{\mu T}x_n - Q_{\mu T}\mu T x_n \rightarrow y$ . Suppose that  $\gamma(\{Q_{\mu T}x_n\}) \neq 0$ . We have

$$\begin{aligned} \gamma(\{Q_{\mu T}x_n\}) &\leq \sum_{k=0}^{m-1} \bar{\gamma}((Q_{\mu T}\mu T)^k)\gamma(\{y_n\}) + \bar{\gamma}((Q_{\mu T}\mu T)^m)\gamma(\{x_n\}) \\ &\quad + \sum_{k=1}^{m-1} \bar{\gamma}((Q_{\mu T}\mu T)^k)\bar{\gamma}(I - Q_{\mu T})\gamma(\{x_n\}) \\ &\leq \mu^m \bar{\gamma}((Q_{\mu T}T)^m)\gamma(\{x_n\}) \\ &\leq \mu^m \bar{\gamma}((Q_T T)^m)\gamma(\{x_n\}) \\ &\leq \mu^m k \gamma(\{x_n\}) \\ &< \gamma(\{x_n\}). \end{aligned}$$

However, this result is not accurate. It follows that  $\gamma(\{Q_{\mu T}x_n\}) = 0$ . Hence,  $\{Q_{\mu T}x_n\}$  is relatively compact.

(iv) An immediate consequence of (iii) for  $\mu = \frac{1}{1+k}$  resides in the fact that, we have  $\frac{k}{(1+k)^m} < 1$  for each  $k \geq 0$ . □



### 3. FREDHOLM AND UPPER SEMI-FREDHOLM DEMICOMPACT LINEAR RELATIONS

In this Section, we set forward some results on Fredholm and upper semi-Fredholm linear relations involving demicompact linear relations. In particular, in both Theorems stated below, we extend Proposition 1.7, to linear relations:

**Theorem 3.1.** *Let  $T : \mathcal{D}(T) \subseteq X \longrightarrow X$  be a closed linear relation. If  $T$  is demicompact and  $I - Q_T$  is compact, then  $I - T$  is an upper semi-Fredholm relation.*

*Proof.* Let  $T$  be a demicompact and  $I - Q_T$  be a compact linear relation. Using Lemma 2.1, we infer that  $Q_T T$  is demicompact. Based on the latter and using Proposition 1.7 (i), we obtain  $I - Q_T T$  which is an upper semi-Fredholm single valued linear operator. On the other side,

$$\begin{aligned} Q_{I-T}(I - T) &= Q_T(I - T) \\ &= Q_T I - Q_T T + I - I \\ &= -(I - Q_T)I + I - Q_T T. \end{aligned}$$

Since  $I - Q_T$  is compact and  $I - Q_T T$  is an upper single valued linear operator semi-Fredholm, we notice that  $Q_{I-T}(I - T)$  is an upper single valued linear operator semi-Fredholm. Using Lemma 1.2, we obtain  $I - T$  which is an upper semi-Fredholm relation.  $\square$

**Theorem 3.2.** *Let  $T : \mathcal{D}(T) \subseteq X \longrightarrow X$  be a closed linear relation. If  $\mu T$  is demicompact and  $I - Q_T$  is compact, then  $I - T$  is a Fredholm relation and  $i(I - T) = 0$ .*

*Proof.* Let  $T$  be a demicompact linear relation and  $I - Q_T$  be a compact operator. Applying Lemma 2.1, we get  $Q_T T$  which is a demicompact linear relation. Using Proposition 1.7 (ii) and demicompact  $Q_T T$ , we obtain  $I - Q_T T$ , which is a single valued linear operator Fredholm and  $i(I - Q_T T) = 0$ . On the other side,

$$\begin{aligned} Q_{I-T}(I - T) &= Q_T(I - T) \\ &= Q_T I - Q_T T + I - I \\ &= -(I - Q_T)I + I - Q_T T. \end{aligned}$$

Moreover, we have  $I - Q_T$  which is compact and  $I - Q_T T$  which is a single valued linear operator Fredholm and  $i(I - Q_T T) = 0$ . Therefore,  $Q_{I-T}(I - T)$  is a Fredholm operator of index zero. Using Lemma 1.2, we notice that  $I - T$  is a Fredholm relation and  $i(I - T) = 0$ .  $\square$

**Proposition 3.3.** *Let  $T : \mathcal{D}(T) \subseteq X \longrightarrow X$  be a continuous linear relation. If  $T$  is demicompact,  $k - \overline{T(0)}$  is a set-contraction and  $I - Q_T$  is compact, then  $I - T$  is a Fredholm relation and  $i(I - T) = 0$ .*

*Proof.* Since  $T$  is demicompact,  $k - \overline{T(0)}$  is a set-contraction and  $I - Q_T$  is compact, grounded on Corollary 2.3, we deduce that  $\frac{1}{1+k}T$  is demicompact. Using Theorem 3.2, we obtain  $I - T$  which is Fredholm relation and  $i(I - T) = 0$ .  $\square$

#### 4. DEMICOMPACT BLOCK MATRIX OF LINEAR RELATIONS

In this section, a block matrix of linear relations  $\mathcal{L}$  is identified. Afterwards, some results, where this block matrix of linear relations  $\mathcal{L}$  becomes a demicompact block matrix of linear relations, are displayed.

In the Banach space  $X \oplus Y$ , we consider the linear relation  $\mathcal{L}$  provided by the block matrix of linear relations

$$(4.1) \quad \mathcal{L} = \begin{pmatrix} A & B \\ C & D \end{pmatrix},$$

where  $A : \mathcal{D}(A) \subseteq X \rightarrow X$ ,  $B : \mathcal{D}(B) \subseteq Y \rightarrow X$ ,  $C : \mathcal{D}(C) \subseteq X \rightarrow Y$  and  $D : \mathcal{D}(D) \subseteq Y \rightarrow Y$  are linear relations with their natural domain

$$\mathcal{D}(\mathcal{L}) := \left( \mathcal{D}(A) \cap \mathcal{D}(C) \right) \oplus \left( \mathcal{D}(B) \cap \mathcal{D}(D) \right).$$

The graph of  $\mathcal{L}$  is defined by

$$G(\mathcal{L}) := \left\{ \left( (x_1, x_2), (y_1, y_2) \right) : (x_1, x_2) \in \mathcal{D}(\mathcal{L}), y_1 \in Ax_1 + Bx_2 \text{ and } y_2 \in Cx_1 + Dx_2 \right\}.$$

**Lemma 4.1** ([5, Remark 2.3]). *Let  $\mathcal{L} = \begin{pmatrix} A & B \\ C & D \end{pmatrix}$  be a block matrix of linear relations where  $A : \mathcal{D}(A) \subseteq X \rightarrow X$ ,  $B : \mathcal{D}(B) \subseteq Y \rightarrow X$ ,  $C : \mathcal{D}(C) \subseteq X \rightarrow Y$  and  $D : \mathcal{D}(D) \subseteq Y \rightarrow Y$ . If  $B(0) \subset A(0)$  and  $C(0) \subset D(0)$ , then*

$$Q_{\mathcal{L}}\mathcal{L} = \begin{pmatrix} Q_A A & Q_A B \\ Q_D C & Q_D D \end{pmatrix}.$$

**Theorem 4.2.** *Let  $A : \mathcal{D}(A) \subseteq X \rightarrow X$  and  $D : \mathcal{D}(D) \subseteq Y \rightarrow Y$  be two demicompact linear relations. Then,  $\mathcal{M} = \begin{pmatrix} A & 0 \\ 0 & D \end{pmatrix}$  is a demicompact linear relation.*

*Proof.* Let  $\{t_n\} = \begin{pmatrix} x_n \\ y_n \end{pmatrix}$  be a bounded sequence of  $\mathcal{D}(\mathcal{M})$  such that  $Q_{\mathcal{M}}t_n - Q_{\mathcal{M}}\mathcal{M}t_n$  is convergent. We have

$$\begin{aligned} Q_{\mathcal{M}}t_n - Q_{\mathcal{M}}\mathcal{M}t_n &= \begin{pmatrix} Q_A & 0 \\ 0 & Q_D \end{pmatrix} \begin{pmatrix} x_n \\ y_n \end{pmatrix} - \begin{pmatrix} Q_A A & 0 \\ 0 & Q_D D \end{pmatrix} \begin{pmatrix} x_n \\ y_n \end{pmatrix} \\ &= \begin{pmatrix} Q_A x_n - Q_A A x_n \\ Q_D y_n - Q_D D y_n \end{pmatrix}. \end{aligned}$$

Since  $\{x_n\}$  is a bounded sequence of  $\mathcal{D}(A)$ ,  $Q_A x_n - Q_A A x_n$  are convergent and  $A$  is a demicompact linear relation; then  $\{Q_A x_n\}$  has a convergent subsequence. Similarly, we get  $\{Q_D y_n\}$  which has a convergent subsequence. Hence,  $\{Q_{\mathcal{M}}t_n\}$  has a convergent subsequence.

□

**Theorem 4.3.** Let  $A : \mathcal{D}(A) \subseteq X \rightarrow X$  and  $D : \mathcal{D}(D) \subseteq Y \rightarrow Y$  be two demicompact linear relations and let  $B : \mathcal{D}(B) \subseteq Y \rightarrow X$  and  $C : \mathcal{D}(C) \subseteq X \rightarrow Y$  be two linear relations.

If  $Q_A(I - B)$  and  $Q_D(I - C)$  are compact and  $B(0) \subseteq A(0)$  and  $C(0) \subseteq D(0)$ ,

then  $\mathcal{L} = \begin{pmatrix} A & B \\ C & D \end{pmatrix}$  is a demicompact linear relation.

*Proof.* Let  $\{t_n\} = \begin{pmatrix} x_n \\ y_n \end{pmatrix}$  be a bounded sequence of  $\mathcal{D}(\mathcal{L})$  such that  $Q_{\mathcal{L}}t_n - Q_{\mathcal{L}}\mathcal{L}t_n$  is convergent. Using Lemma 4.1, we obtain

$$\begin{aligned} Q_{\mathcal{L}}t_n - Q_{\mathcal{L}}\mathcal{L}t_n &= \begin{pmatrix} Q_A & Q_A \\ Q_D & Q_D \end{pmatrix} \begin{pmatrix} x_n \\ y_n \end{pmatrix} - \begin{pmatrix} Q_AA & Q_AB \\ Q_DC & Q_DD \end{pmatrix} \begin{pmatrix} x_n \\ y_n \end{pmatrix} \\ &= \begin{pmatrix} Q_Ax_n + Q_Ay_n - Q_AAx_n - Q_AB y_n \\ Q_Dx_n + Q_Dy_n - Q_DCx_n - Q_DD y_n \end{pmatrix} \\ &= \begin{pmatrix} Q_Ax_n - Q_AAx_n + Q_A(I - B)y_n \\ Q_Dy_n - Q_DDy_n + Q_D(I - C)x_n \end{pmatrix}. \end{aligned}$$

We have  $\{x_n\}$  which is a bounded sequence and  $Q_A(I - B)$  which is compact. Then,  $\{Q_A(I - B)y_n\}$  is bounded. Since  $\{Q_Ax_n - Q_AAx_n + Q_A(I - B)y_n\}$  is convergent and  $\{Q_A(I - B)y_n\}$  is bounded,  $\{Q_Ax_n - Q_AAx_n\}$  is convergent. Subsequently, using the fact that  $A$  is a demicompact linear relation,  $\{Q_Ax_n\}$ , therefore, has a convergent subsequence. Similarly, we get  $\{Q_Dy_n\}$  which has a convergent subsequence. As a matter of fact,  $\{Q_{\mathcal{L}}t_n\}$  has a convergent subsequence. □

An immediate consequence of Theorem 4.3 is the following Corollary:

**Corollary 4.4.** Let  $A : \mathcal{D}(A) \subseteq X \rightarrow X$  and  $D : \mathcal{D}(D) \subseteq Y \rightarrow Y$  be two demicompact linear relations and let  $B : \mathcal{D}(B) \subseteq Y \rightarrow X$  and  $C : \mathcal{D}(C) \subseteq X \rightarrow Y$  be two linear relations.

Thus, the block matrix  $\mathcal{L} = \begin{pmatrix} A & B \\ C & D \end{pmatrix}$  is a demicompact linear relation, if one of the following conditions holds:

- a.:  $Q_A(I - B)$  and  $Q_C(I - D)$  are compact and  $B(0) \subseteq A(0)$  and  $D(0) \subseteq C(0)$ .
- b.:  $Q_B(I - A)$  and  $Q_D(I - C)$  are compact and  $A(0) \subseteq B(0)$  and  $C(0) \subseteq D(0)$ .
- c.:  $Q_B(I - A)$  and  $Q_C(I - D)$  are compact and  $A(0) \subseteq B(0)$  and  $D(0) \subseteq C(0)$ .

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