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1 **Broadband, site selective and time resolved photoluminescence**
2 **spectroscopic studies of finely size-modulated Y₂O₃:Eu³⁺ phosphors**
3 **synthesized by a complex based precursor solution method**

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5 Sudeshna. Ray ^{a,b*}, Sergio Fabián León-Luis ^c, Francisco Javier Manjón ^a, Miguel Alfonso
6 Mollar ^a, Oscar Gomis ^d, Ulises Ruyman Rodríguez-Mendoza ^c, Said Agouram ^e, Alfonso
7 Muñoz ^f, Victor Lavin ^c
8

9 ^a Instituto de Diseño para la Fabricación y Producción Automatizada and MALTA Consolider Team,
10 Universitat Politècnica de València, 46022 València, Spain.

11 ^b Department of Applied Chemistry, National Chiao Tung University, Hsinchu 300, Taiwan.

12 ^c Departamento de Física Fundamental y Experimental, Electrónica y Sistemas and MALTA Consolider Team,
13 Universidad de La Laguna, 38200 San Cristóbal de La Laguna, Santa Cruz de Tenerife, Spain.

14 ^d Centro de Tecnologías Físicas and MALTA Consolider Team, Universitat Politècnica de València,
15 46022 València, Spain.

16 ^e Departamento de Física Aplicada y Electromagnetismo, Universitat de València,
17 46100 Burjassot, València, Spain.

18 ^f Departamento de Física Fundamental II, Instituto de Materiales y Nanotecnología and MALTA Consolider
19 Team, Universidad de La Laguna, 38200 San Cristóbal de La Laguna, Santa Cruz de Tenerife, Spain.
20

21 **ABSTRACT**

22 Undoped and Eu³⁺-doped cubic yttria (Y₂O₃) nanophosphors of good crystallinity, with selective
23 particle sizes ranging between 6 to 37 nm and showing narrow size distributions, have been
24 synthesized by a complex-based precursor solution method. The systematic size tuning has been
25 evidenced by transmission electron microscopy, X-ray diffraction, and Raman scattering
26 measurements. Furthermore, size-modulated properties of Eu³⁺ ions have been correlated with the
27 local structure of Eu³⁺ ion in different sized Y₂O₃:Eu³⁺ nanophosphors by means of steady-state and
28 time-resolved site-selective laser spectroscopies. Time-resolved site-selective excitation measurements
29 performed in the ⁷F₀→⁵D₀ peaks of the Eu³⁺ ions at C₂ sites have allowed us to conclude that Eu³⁺ ions
30 close to the nanocrystal surface experience a larger crystal field than those in the nanocrystal core.
31 Under the site selective excitation in the ⁷F₀→⁵D₀ peaks, energy transfer between the sites has also
32 been observed.

33 **Keywords:** nanophosphor; size-modulated synthesis; structure; Raman; photoluminescence; Eu³⁺
34

35 * Corresponding author: Dr. Sudeshna Ray

36 E mail - sudeshna@cc.nctu.edu.tw , Telephone (work) - +886-3-5731695, Fax - +886-3-57-23764
37

38 **1. Introduction**

39 Luminescence nanomaterials have gathered enormous technological importance in the
40 new millennium because they may have a number of potential advantages over conventional
41 micron-sized ones. In particular, the image resolution on a cathode ray tube display is closely
42 related to the particle size of the phosphors and it has been established that smaller particles
43 are favorable for higher resolution [1]. Besides, nanophosphors offer the possibility of
44 smoother films with higher packing densities than microphosphors and a larger percentage of
45 cathodoluminescent active materials at low-excitation voltages due to the reduced electron
46 penetration depth [2]. On the other hand, the unique electronic structure and the numerous
47 well defined optical transitions involving the electrons of the 4*f* shell in trivalent rare earth
48 (RE^{3+}) ions embedded in inorganic hosts make them potential candidates for many
49 applications, including lamp phosphors, fiber amplifiers, high density optical storage
50 materials, and electroluminescent display devices [3-7]. Consequently, extensive research
51 efforts have been undertaken on RE^{3+} -doped nanophosphors during the last two decades due
52 to their novel size-dependent optical properties for their potential applications in the photonic
53 and biophotonic fields of research [8].

54 Yttria (Y_2O_3), with cubic bixbyite structure at ambient conditions, is a transparent
55 material from the UV (230 nm) well into the infrared ($\sim 8 \mu\text{m}$), is optically isotropic and hard,
56 and accepts RE^{3+} ions in the trivalent state without charge compensating problems or ion-size
57 limitations. Besides, Y_2O_3 has one of the smallest frequencies of the dominant phonon (380
58 cm^{-1}) among the known oxides [9], favoring high quantum efficiencies of the RE^{3+} emitting
59 levels. Therefore, the optical properties of bulk RE^{3+} -doped Y_2O_3 have been extensively
60 studied and have shown that it is an excellent laser host material, with high brightness as a red
61 color phosphor, acceptable atmospheric stability, reduced degradation under applied voltage
62 and lack of hazardous constituents as opposed to sulfide phosphors [10-12] It has a lumen
63 equivalent brightness of 70% relative to 611 nm light and radiant efficiency of approximately

64 8.7% with better saturation without any detrimental effects [13] and laser action has been
65 observed at 611.3 nm [14]. Owing to the fascinating perspectives of the industrial application
66 of nanophosphors and RE³⁺-doped yttria, a considerable effort has been made in the last years
67 in the synthesis of Y₂O₃:Eu³⁺ nanoparticles to control and improve the luminescence
68 properties of these nanophosphors [1, 15-22]. It is well known that the optical properties of
69 the RE³⁺ ions are governed by Judd-Offelt (J-O) *f-f* transitions, which depend on the
70 environment of these ions in a host matrix, and due to the static electric field produced by the
71 surrounding charge distribution corresponding to the anion neighbors. The inter-electronic
72 interaction between the electrons of the inner 4*f* shell of the RE³⁺ ions and the charge of the
73 host ligands, all distributed in a particular local point symmetry, is known as the crystal-field
74 (CF) interaction and it rules the fine structure splitting of the free-ion multiplets and the
75 forced intra-configurational 4*f*-4*f* electric-dipole transitions probabilities [23]. Consequently,
76 the luminescence dynamics of the RE³⁺ ions incorporated in nanoparticles, including the
77 spontaneous emission and the energy transfer probabilities, depends on the environment; i.e.,
78 the host lattice, the nanoparticle size and shape, the RE³⁺ ion concentration, the symmetry and
79 site occupied by RE³⁺ ions [24-30].

80 In order to analyze the influence of the RE³⁺ environment on the optical properties of
81 RE³⁺-doped hosts, the Eu³⁺ ion has usually been used as a structural probe, mainly because of
82 the large sensitivity of its luminescence on the local environment, which takes place mainly in
83 the visible range between the multiplets of the low energy terms, ⁵D₀ and ⁷F_J (J=1-4) [31,
84 32]. However, the most important and unique feature of Eu³⁺ ions is the existence of allowed
85 ⁷F₀↔⁵D₀ crystal-field transitions, i.e. transitions between singlet (non-degenerate) levels. [31,
86 32]. Consequently, it is possible to selectively excite the Eu³⁺ ions in a particular environment
87 in which absorption energy is resonant with a laser light, provided that the laser spectral line-
88 width is much narrower than the inhomogeneous broadening. This technique, known as

89 fluorescence line narrowing (FLN), allows obtaining valuable information about the energy
90 level structure, crystal-field parameters, lifetimes, homogeneous linewidths or energy transfer
91 processes between ions in different environments in the solid [31-35].

92 In the present work, we report the synthesis of undoped Y_2O_3 and Eu^{3+} -doped Y_2O_3
93 nanoparticles with selective sizes ranging from 6 to 37 nm, by a complex-based precursor
94 solution method. Crystalline structure, morphology, and vibrational properties of the as-
95 synthesized nanopowders have been studied by means of x-ray diffraction (XRD),
96 transmission electron microscopy (TEM), high-resolution transmission electron microscopy
97 (HRTEM), selective area electron diffraction (SAED), and Raman scattering measurements.
98 Structural characterization witnesses the generation of high quality of the nanophosphors.
99 With the help of lattice dynamics *ab initio* calculations we have analyzed Raman scattering
100 measurements in both doped and undoped nanocrystals and have found evidence of electronic
101 Raman scattering of Eu^{3+} ions in cubic Y_2O_3 nanophosphors, which may substitute Y^{3+} ions in
102 either of two sites C_2 or S_6 (also noted C_{3i}). The photoluminescence properties of $Y_2O_3:Eu^{3+}$
103 nanoparticles have also been characterized and the fine size-tuning of the Eu^{3+} such properties
104 in nanocrystals is discussed with the help of broadband and time-resolved site-selective
105 optical spectroscopies. In this context, the most interesting point, and one of the novel
106 outcomes of this research, is that the luminescence measurements suggest a continuous
107 variation of the crystal field on Eu^{3+} ions at the C_2 sites in the cubic nanocrystals. Therefore,
108 we propose the existence of different kinds of sites in Y_2O_3 nanocrystals, whose proportion
109 depends on the nanophosphor size: i) undistorted C_2 and S_6 sites, similar to those of bulk
110 Y_2O_3 , in the core of the nanocrystals; and ii) continuously-distorted C_2 and S_6 sites at the
111 vicinity of the nanocrystal surface. Moreover, we report the presence of a band at 582.4 nm in
112 all the nanophosphors related to the occupancy of Eu^{3+} in a third site completely different to

113 the 'true' C₂ or S₆ sites, which cannot be associated to other symmetries found in other Y₂O₃
114 nanophosphors.

115 In summary, although the synthesis of Y₂O₃ nanoparticles with sizes below 10 nm is
116 not novel, herein, we report a methodology for the fine size-tuning of well-crystallized cubic
117 nanophosphors substantiated by XRD, Raman and Photoluminescence spectroscopies and, to
118 the best of our knowledge, this is the first report of site selective broadband excitation on 6-
119 nm-sized high-quality cubic Y₂O₃:Eu³⁺ nanocrystals, evidencing the occupancy of Eu³⁺ in
120 different sites in small nanophosphors.

121

122 **2. Experimental Procedure**

123 *2.1. Synthesis*

124 Undoped and Eu³⁺-doped (1 at. wt%) Y₂O₃ nanoparticles were prepared by a complex-
125 based precursor solution method, in which triethanolamine (TEA) was used as a complexing
126 agent [18, 36]. In the synthesis, an aqueous Y(NO₃)₃ solution, along with stoichiometric
127 amount of an aqueous Eu(NO₃)₃ solution for doped samples, was mixed with the requisite
128 amount of TEA by maintaining metal ion to TEA mole ratio at 1:4. At the beginning, TEA
129 formed a precipitate with metal ions likely due to the formation of metal hydroxides, like
130 yttrium hydroxide [Y(OH)₃]. To get a clear solution, this precipitate was dissolved by adding
131 concentrated HNO₃ to the solution. For this purpose the pH must be kept between 3 and 4
132 because for smaller pH, Y(OH)₃ decomposes and a homogenous solution is formed where
133 TEA can make metal-coordinate complexes. The clear solution of TEA-complexed metal
134 nitrate was evaporated on a hot plate by continuous heating at 180–200 °C with constant
135 stirring that led to foaming and puffing. During evaporation, the nitrate ions provide an *in situ*
136 oxidizing environment for TEA, which partially converts the hydroxyl groups of TEA into
137 carboxylic acids. Upon complete dehydration, the nitrates themselves decomposed with the

138 evolution of brown fumes of nitrogen dioxide, leaving behind a voluminous, organic-based,
139 black, fluffy powder, i.e., the precursor powder. Complete evaporation of the precursor
140 solution resulted in a highly branched polymeric structure, with the metal ions
141 homogeneously lodged in its matrix and thus preventing the segregation of nanoparticles.
142 homogeneously lodged in its matrix and thus preventing the segregation of nanoparticles.

143 The precursor mass was then divided into four parts and subsequently calcined and
144 annealed at different temperatures like 500 °C, 600 °C, 800 °C and 1000 °C for 2 h in order to
145 obtain the undoped and Eu³⁺ doped Y₂O₃ nanoparticles of different sizes.

146

147 2.2. Morphological, structural, vibrational and optical characterization

148 Morphology, size, size dispersion, and structure of the nanocrystals at ambient
149 conditions were analyzed by high-resolution transmission electron microscopy (HRTEM),
150 and selected area electron diffraction (SAED) with a Tecnai G2 F20 field emission gun TEM
151 under an acceleration voltage of 200 kV. Samples for HRTEM measurements were deposited
152 onto 300 mesh copper TEM grids coated with 50 nm carbon films. Nanocrystals dispersed in
153 acetone were placed on the grid dropwise. The excess liquid was allowed to evaporate in air.

154 Structural characterization and phase identification of the nanopowders was carried
155 out by powder XRD measurements with a Rigaku Ultima IV diffractometer equipped with a
156 vertical goniometer and the Cu K α (1.5406 Å) as the incident radiation source.

157 Vibrational properties of the Y₂O₃ nanophosphors were studied by means of Raman
158 scattering measurements performed with a HORIBA-Jobin Yvon LabRam HR UV
159 microspectrometer with a thermoelectrically-cooled CCD camera using the 632.81 nm line of
160 an He-Ne laser with a resolution better than 2 cm⁻¹.

161 Luminescence properties of Eu³⁺-doped Y₂O₃ nanopowders in the visible range were
162 measured after a broadband excitation at 395 nm with a 450 W Xe arc lamp. Emissions were

163 focused with a convergent lens onto a 0.75 m single-grating monochromator (Jobin Yvon
164 Spex 750M) with a resolution of 0.1 nm coupled to a photomultiplier tube (Hamamatsu
165 R928). Time-resolved site-selective excitation and emission spectra were measured by
166 exciting Eu^{3+} ions with a 10 ns pulsed optical parametric oscillator OPO
167 (EKSPLA/NT342/3/UVE) using a digital storage oscilloscope (LeCroy WS424) coupled to
168 the detection system.

169

170 2.3. Calculation Details

171 First principles lattice dynamics calculations were developed to help in the
172 interpretation of Raman scattering measurements in yttria nanoparticles. It is well known that
173 the phase stability and the electronic and dynamical properties of semiconductors are well
174 described by DFT-based total-energy calculations [37]. Therefore, we performed *ab initio*
175 total-energy calculations in bulk Y_2O_3 with the Vienna *ab initio* simulation package (VASP)
176 [38] using the plane-wave method and the pseudopotential theory within the density
177 functional theory (DFT) [39]. The projector-augmented wave scheme (PAW) [40] was
178 implemented in this package to take into account the full nodal character of the all-electron
179 charge density in the core region. Basis set including plane waves up to an energy cutoff of
180 520 eV were used in order to achieve accurate, highly converged results and an accurate
181 description of the electronic properties. The description of the exchange-correlation energy
182 was performed with the generalized gradient approximation (GGA) with the PBEsol [41]
183 prescription. A dense special k-point sampling for the Brillouin Zone (BZ) integration was
184 performed in order to obtain very well converged energies and forces. The structure was fully
185 relaxed to their equilibrium configuration through the calculation of the forces and the stress
186 tensor. In the relaxed equilibrium configuration, the forces on the atoms are less than 0.004

187 eV/Å and the deviation of the stress tensor from a diagonal hydrostatic form is less than 0.1
188 GPa.

189 Lattice dynamics calculations of phonon modes were performed at the zone centre (Γ point)
190 of the Brillouin zone. For the calculation of the dynamical matrix at the Γ point we used the
191 direct method [42] that involves a separate calculation of the forces in which a fixed
192 displacement from the equilibrium configuration of the atoms within the primitive unit cell is
193 considered.

194

195 **3. Results and Discussion**

196 *3.1. Structural and morphological properties*

197 As already commented, bulk Y_2O_3 usually crystallizes in the cubic bixbyite phase
198 (space group T_h^7 , Ia-3), which is one of the typical structures of RE^{3+} sesquioxides (C-type).
199 In this structure, Y ions are surrounded by six O ions generating an assembly of two types of
200 distorted YO_6 octahedra since there are two possible positions for the Y ion: i) a site with
201 inversion symmetry of S_6 (or C_{3i}) local point symmetry and, ii) a site with no inversion
202 symmetry of C_2 local point symmetry, both highlighted in Fig. 1. Since there are 24 C_2 (24d)
203 sites and 8 S_6 (8b) sites in the unit cell, there are three times more Y^{3+} ions at C_2 sites than at
204 S_6 sites, whereas O atoms are located at 48e sites with C_1 point symmetry. On the other side, a
205 metastable monoclinic structure can be obtained after quenching from high pressures and
206 temperatures in micron-sized Y_2O_3 particles [43], despite the stable structure at ambient
207 conditions is the cubic bixbyite structure.

208 The morphology and sizes of Eu^{3+} -doped and undoped yttria nanoparticles have been
209 analyzed by means of HRTEM measurements. All nanoparticles have mainly spherical shape
210 as shown in Fig. 2(a). The average sizes of samples annealed at 500, 600, 800, and 1000 °C
211 for 2 h have been estimated by measuring over 100 particles to be 6, 13, 21, and 37 nm,

212 respectively. It is worth noting that the size dispersion obtained from the full width at half
213 maximum (FWHM) of the size distribution peak of each batch is found to be less than $\pm 15\%$
214 of the average size [as shown in Fig. 2(b)]. Thus quite sharp and narrow distributions of
215 nanoparticles are synthesized by the proposed method. From the HRTEM image shown in
216 Fig. 2(c) the lattice spacing between different layers of doped yttria nanoparticles of 6 nm was
217 calculated to be 3.2 \AA , which likely corresponds to the (222) plane of cubic phase Y_2O_3 . The
218 distance between the planes corresponding to (222) plane in bulk Y_2O_3 is 3.05 \AA , which is
219 smaller than the distance obtained for the nanocrystal annealed at $500 \text{ }^\circ\text{C}$. Thus, from HRTEM
220 pictures of undoped and doped yttria nanoparticles, we can conclude that for both cases the
221 distance between lattice planes is larger for the nanoparticles, indicating an increase in the
222 lattice parameter of the nanoparticles accompanied by the decrease in their sizes. HRTEM
223 pictures indicate the good quality of the 6 nm sized $\text{Y}_2\text{O}_3:\text{Eu}^{3+}$ samples annealed at the rather
224 low temperature of $500 \text{ }^\circ\text{C}$. This is further confirmed by the rather narrow rings obtained by
225 SAED (Fig. 2(d)).

226 Fig. 3 shows the XRD patterns of Eu^{3+} -doped yttria nanoparticles obtained after
227 different annealing temperatures. They exhibit only peaks consistent with cubic phase
228 (JCPDS 43-1036) and no additional peaks of other phases, like monoclinic Y_2O_3 and Eu_2O_3
229 [44], or broadband contributions from an amorphous phase have been observed even in the
230 smallest nanoparticles synthesized. Similar XRD patterns are obtained for undoped yttria
231 nanoparticles (not shown). It is worth noting that the XRD patterns of Eu^{3+} -doped and
232 undoped yttria nanophosphors annealed at $1000 \text{ }^\circ\text{C}$ are similar to those reported in the
233 literature for bulk single crystal yttria.

234 Two major effects were noted in the XRD patterns of both undoped and Eu^{3+} -doped
235 yttria as the size of the nanophosphors decreases: i) a shift of XRD peaks towards smaller
236 angles, and ii) a drastic increase in their FWHMs (presented in left inset of Fig. 3). While this

237 second effect is consequence of the decrease of the nanocrystal size, the first effect can be
238 explained by an increase in the lattice parameter as the nanoparticle size decreases. The right
239 inset of Fig. 3 shows the change of the lattice parameter obtained for $\text{Y}_2\text{O}_3:\text{Eu}^{3+}$ nanoparticles
240 as a function of the nanocrystal size. The lattice parameter of the $\text{Y}_2\text{O}_3:\text{Eu}^{3+}$ nanophosphors
241 increase monotonically from 10.607 Å, which is similar to bulk yttria ($a=10.603$ Å) [45], for
242 an annealing temperature of 1000 °C to 10.635 Å for an annealing temperature of 500 °C. This
243 change in the lattice parameter correlates with the decrease in the size of the particle from 37
244 nm down to 6 nm on going from 1000 °C to 500 °C in the thermal treatment. Thus, the lattice
245 parameter of the $\text{Y}_2\text{O}_3:\text{Eu}^{3+}$ nanoparticles with a diameter of 6 nm is 0.33% larger than that of
246 bulk Y_2O_3 . Similarly, the lattice parameter of the undoped Y_2O_3 nanoparticles with a diameter
247 of 6 nm is 0.26% larger than that of bulk Y_2O_3 . This increase of the lattice parameter with the
248 decrease in nanocrystal size is in agreement with previous results of $\text{Y}_2\text{O}_3:\text{Eu}^{3+}$ nanoparticles
249 synthesized by other methods [1, 36, 46]. However, unlike other works, it is worth noting that
250 our XRD patterns indicate a very good crystalline quality of all the as-synthesized
251 nanopowders since the main peaks of the cubic phase of yttria can be observed even in the
252 samples with the smallest size (6 nm) despite the increasing broadening of the diffraction
253 peaks. The analysis of the peak broadening with the Hall method has allowed us to estimate
254 that the size of crystallites agrees with that obtained from HRTEM measurements.
255 Furthermore, we have found that the smallest nanocrystals, with sizes below 10 nm, have a
256 strain of ca. 0.2%, whereas strain is negligible for nanocrystals with sizes above 10 nm.

257

258 3.2. *Vibrational properties*

259 According to group theory [47], the irreducible representation of the optical Raman
260 active modes in cubic Y_2O_3 is: $\Gamma_{\text{op}} = 4A_g + 4E_g + 14T_g$. Thus, there are twenty-two Raman-
261 active modes, where E_g and T_g (or F_g) modes are doubly and triply degenerated, respectively.

262 The Raman-active modes of cubic Y_2O_3 can be divided into fifteen modes ($3A_g+3E_g+9T_g$)
263 coming the vibration of O ions in the 48e Wyckoff positions and seven modes ($A_g+E_g+5T_g$)
264 coming from the vibration of Y ions in the 24d Wyckoff positions. Curiously, Y ions located
265 at 8b (S_6) sites do not contribute with any Raman-active mode and, therefore, conventional
266 Raman scattering cannot provide information on the occupancy of these sites.

267 Fig. 4 shows the room temperature Raman spectra of Y_2O_3 (a) and $\text{Y}_2\text{O}_3:\text{Eu}^{3+}$ (b)
268 nanoparticles obtained by annealing at different temperatures. Raman spectra of Y_2O_3
269 nanocrystals are dominated by the 376 cm^{-1} mode with $A_g + T_g$ symmetry. All the observed
270 Raman modes at around 318, 329, 376, 429, 469, 564 and 591 cm^{-1} , summing a total of 7
271 Raman-active modes, are consistent with those already reported in the literature for the cubic
272 phase [19]. Therefore, our Raman scattering measurements confirm that all undoped Y_2O_3
273 nanoparticles crystallize in the cubic bixbyite structure irrespective of the nanocrystal size and
274 that there is no trace of Raman modes of other phases even in the smallest nanoparticles. On
275 the other hand, Raman spectra of $\text{Y}_2\text{O}_3:\text{Eu}^{3+}$ nanoparticles show some extra modes along with
276 the Raman-active modes of the cubic phase. In particular, the intensity of the 429 cm^{-1} mode
277 seems to be greatly enhanced and some peaks at around 452, 491, and 604 cm^{-1} appear in the
278 Raman spectrum of $\text{Y}_2\text{O}_3:\text{Eu}^{3+}$ nanocrystals. These features have been previously reported in
279 $\text{Y}_2\text{O}_3:\text{Eu}^{3+}$ nanoparticles [36] and were attributed to the Eu^{3+} doping effect without further
280 explanation. These results suggest that the lattice vibrations of Y_2O_3 are very sensitive to the
281 presence of Eu^{3+} ions in the sites of the Y^{3+} ions, although XRD patterns could not find
282 differences in the crystal structure between Y_2O_3 and $\text{Y}_2\text{O}_3:\text{Eu}^{3+}$ nanoparticles.

283 Interestingly, two major effects were noticed in the Raman spectra of both undoped
284 and Eu^{3+} -doped yttria as the size of the nanophosphors decreases: i) a shift of Raman peaks
285 towards smaller frequencies, and ii) an increase in their FWHMs; in particular, many Raman
286 bands become specially more asymmetric at the low-frequency side. These effects can be

287 observed in the inset of Fig. 4(a) and right inset of Fig. 4(b) for the main Raman peak of Y_2O_3
288 near 329 cm^{-1} . The change in linewidth of the Raman modes can be explained by the
289 inhomogeneous strain broadening associated with the small dispersion in particle size and by
290 phonon confinement [48, 49]. On the other hand, Husson *et al.* has reported an increase of
291 many Raman-active mode frequencies in cubic Y_2O_3 as a result of application of pressure
292 [50]. Since this effect can be ascribed to the contraction of Y-O bonds with increasing
293 pressure, the decrease in the Raman frequencies we have measured at ambient conditions with
294 the decrease in the nanocrystal size is contrary to the effect of pressure and can be ascribed to
295 the elongation of Y-O bonds [45, 50]. In fact, a similar low-frequency shift of the main
296 Raman peaks of sesquioxides has been found on increasing lattice constant [51]. Therefore,
297 this result is indicative of the increase of the lattice parameter of the cubic unit cell with
298 decreasing nanoparticle size and confirms the result observed by XRD as well as HRTEM
299 measurements previously commented.

300 In order to explore the nature of the extra modes appearing in the Raman spectra of
301 Eu^{3+} -doped Y_2O_3 nanoparticles we have compared the frequencies of the Raman-active
302 modes measured in Y_2O_3 nanocrystals with 37 nm size (those with the lattice parameter most
303 similar to bulk material) with the *ab initio* calculated frequencies for bulk Y_2O_3 at ambient
304 conditions. Our experimental and theoretical frequencies and those of other experimental data
305 reported in the literature are compared in Table I. It is clear that the new bands observed in
306 Eu^{3+} -doped Y_2O_3 nanoparticles do not correspond to any of the first-order Raman-active
307 modes of cubic Y_2O_3 . Furthermore, they seem not to correspond to any of the first-order
308 Raman-active modes of monoclinic Y_2O_3 or of Eu_2O_3 clusters [52-54]; otherwise, peaks at
309 other frequencies would be observed. Moreover, they cannot be attributed to
310 photoluminescence from Eu^{3+} ions since our laser line (632.8 nm or 15798 cm^{-1}) cannot excite
311 the Eu^{3+} ions from the ${}^7\text{F}_0$ ground state to above the ${}^5\text{D}_0$ level at 17215 cm^{-1} , as will be

312 discussed in the next section. Our *ab initio* calculations, which provide the frequencies of the
313 16 T_u infrared active modes and the A_u and E_u silent modes, seem to indicate that they do not
314 correspond neither to infrared-active modes nor to silent modes allowed by the loss of the
315 translational symmetry in nanocrystals. Finally, it is rather curious that these extra modes are
316 not observed in Raman spectra of Y_2O_3 nanoparticles doped with either Sm^{3+} , Dy^{3+} , Yb^{3+} , or
317 Er^{3+} [55-57]. Therefore, we are led to think that they are due to the activation of new
318 vibrational modes related to Eu^{3+} ions. They can be related to a resonance effect occurring
319 only for Eu^{3+} ions excited with the red laser, since such an effect seems not to be present even
320 in $Y_2O_3:Eu^{3+}$ nanoparticles excited with blue or green light [58, 59].

321 In this respect, many papers have reported the occurrence of electronic Raman
322 scattering of rare earths in different hosts when excited with the HeNe laser. In most cases,
323 the frequencies of the modes measured in electronic Raman scattering match with the
324 frequencies of the closest levels of the RE^{3+} ion to the ground state obtained by luminescence
325 measurements, as occurs for the electronic Raman scattering of Eu^{3+} ions in YGG, YVO_4 , and
326 Y_2O_3 [60-62]. In other cases, electronic Raman scattering can provide information on RE^{3+}
327 ions at sites showing no luminescence. This is the case of Ce^{3+} ions in Y_2O_3 which shows
328 resonant effects that allow obtaining the energy levels of Ce^{3+} ions located in C_2 or C_{3i} (S_6)
329 sites depending on the excitation laser energy [63]. Since our Raman measurements do not
330 show the characteristic peaks of the 7F_1 levels of the Eu^{3+} ion in C_2 sites in Y_2O_3 [63] the new
331 modes observed in Eu^{3+} -doped samples could be due to levels of the Eu^{3+} ion occupying S_6
332 sites in Y_2O_3 . In particular, the strong mode at 429 cm^{-1} in $Y_2O_3:Eu^{3+}$ nanocrystals can be
333 ascribed to electronic Raman scattering due to the transition between the 7F_0 ground state and
334 the highest Stark level of the 7F_1 multiplet of the Eu^{3+} ions located in C_{3i} (S_6) sites [64, 65].
335 Furthermore, the 429 cm^{-1} mode shows a frequency shift and broadening as nanocrystal size
336 decreases similar to that of the main Raman peak of Y_2O_3 as presented in the left inset of Fig.

337 4(b). Unfortunately, we have not been able to obtain more information regarding the nature of
338 the extra peaks located at 452, 491 and 604 cm^{-1} whose changes of frequency, intensity, and
339 width are more difficult to analyze for the different nanocrystal sizes. Further work is needed
340 to clarify the nature of these bands.

341 In summary, the presence of Eu^{3+} ions in S_6 sites is confirmed by our Raman
342 spectroscopy measurements. The successive frequency shift as well as increase in the FWHM
343 of the Raman bands of both doped and undoped samples, substantiates the fine size tuning of
344 the nanophosphor as evidenced by XRD. Moreover, using structural arguments for the
345 isomorphic substitution of the Y^{3+} ions by Eu^{3+} ions, it is expected that Eu^{3+} ions in the Y_2O_3
346 host occupy the C_2 and the S_6 types of sites with approximately equal probability.
347 Consequently, since there are about three times more C_2 sites than S_6 sites it is expected that
348 there are 3 times more Eu^{3+} ions at C_2 sites than at S_6 sites, as already commented.

349

350 *3.3. Photoluminescence properties*

351 Optical spectroscopy, and especially the time-resolved site-selective fluorescence line
352 narrowing (FLN) technique, also allows studying the distribution and the structure of the
353 environments of RE^{3+} ions in solids [31, 32]. For that purpose, it must be taken into account
354 that the optical transitions between any two states of the optically active ion are governed by
355 different selection rules for these two sites. The CF interaction can be expanded in odd and
356 even terms allowed by group theory applied to a particular local symmetry site. The odd CF
357 Hamiltonian is responsible for the mixing of the wavefunctions of the $4f^N$ ground
358 configuration with those of opposite-parity excited configurations and it gives rise to forced
359 electric-dipole optical transitions within the ground configuration, forbidden for the free- RE^{3+}
360 ion. On the other side, the even parity CF Hamiltonian breaks the free- RE^{3+} ion multiplets and
361 gives rise to the hyperfine crystal-field, or Stark, levels structure of the RE^{3+} ion [66]

362 Consequently, the CF acting on the Eu^{3+} ions at C_2 sites of cubic Y_2O_3 contains both odd and
363 even CF terms and, therefore, magnetic- and electric-dipole transitions are both allowed.
364 However, for Eu^{3+} ions at S_6 sites, which have a center of inversion, the CF Hamiltonian
365 contains only even terms and only magnetic- and vibronic-coupled electric dipole transitions
366 can be expected [67]. Thus nearly all the Eu^{3+} emission transitions are originated in only one
367 of the two sites available for the Eu^{3+} ion; i.e., the C_2 site.

368

369 3.3.1. Broadband excitation

370 The electronic energy level scheme of the Eu^{3+} ions in solids consists of seven ${}^7\text{F}_J$
371 ($J=0-6$) multiplets well separated (around 12000 cm^{-1}) from the ${}^5\text{D}_J$ ($J=0-4$) ones and other
372 strongly overlapped excited multiplets above the ${}^5\text{D}_3$ state at around 25000 cm^{-1} [31-33] The
373 luminescence takes place mainly in the visible range between the multiplets of the low-energy
374 terms, ${}^7\text{F}$ and ${}^5\text{D}$. Fig. 5 shows the room temperature emission spectra of the Eu^{3+} -doped Y_2O_3
375 nanophosphors with sizes of 6 and 37 nm obtained under broadband excitation of the ${}^7\text{F}_0 \rightarrow {}^5\text{L}_6$
376 transition at around 395 nm (25316 cm^{-1}). Note that when Eu^{3+} ions are excited to levels
377 above the ${}^5\text{D}_0$ state there is a fast non-radiative multiphonon relaxation to this level because of
378 the small energy difference between all the involve levels. However, Eu^{3+} ions decay
379 radiatively from the ${}^5\text{D}_0$ level because the large energy difference to the closest ${}^7\text{F}_6$ level
380 prevents the possibility of multiphonon relaxation. Therefore, the quantum emission
381 efficiency of ${}^5\text{D}_0 \rightarrow {}^7\text{F}_J$ transitions is close to the unity.

382 Different peaks corresponding to the ${}^5\text{D}_0 \rightarrow {}^7\text{F}_J$ ($J=0-4$) transitions can be observed in
383 Fig. 5. The ${}^5\text{D}_0 \rightarrow {}^7\text{F}_1$ (585-605 nm) transition shows magnetic-dipole character and is allowed
384 by all the selection rules independently on the composition of the host matrix [68-70]. The
385 ${}^5\text{D}_0 \rightarrow {}^7\text{F}_2$ (608-635 nm) and ${}^5\text{D}_0 \rightarrow {}^7\text{F}_4$ (685-720 nm) transitions are electric-dipole in nature
386 and are forced by the odd CF Hamiltonian. The ${}^5\text{D}_0 \rightarrow {}^7\text{F}_J$ ($J=0,3,5$) emission transitions are

387 strictly forbidden in the frame of the intermediate scheme of the Judd– Ofelt theory [71, 72],
388 i.e. they do not obey the selection rules for the forced electric-dipole transitions: if the initial
389 or final state is a singlet ($J=0$) then $|\Delta J| = 2,4,6$. Therefore, the low intensities of the ${}^5D_0 \rightarrow {}^7F_0$
390 (around 580 nm) and ${}^5D_0 \rightarrow {}^7F_3$ (640–675 nm) transitions of Eu^{3+} in cubic Y_2O_3 can be
391 explained by the J-mixing effect, i.e. the mixing of wave functions of a given J-Stark state
392 with those of the closer J multiplet states through the B_{2q} , B_{4q} and B_{6q} even CF parameters.
393 This process induces an effective borrowing of intensity from the other electric-dipole
394 transitions [73-75], especially from the high intense ${}^5D_0 \rightarrow {}^7F_2$ hypersensitive transition.

395 According to group theory, the degeneracy of all the levels of Eu^{3+} ions at the low-
396 symmetry C_2 site is completely lifted, giving rise to a number of Stark levels equal to
397 $2J+1=1,3,5,7,9$ with with angular momentum $J=0,1,2,3,4$ respectively. Since the 5D_0 initial
398 emitting level is non-degenerate, the emission bands show a structure due CF splitting of the
399 7F_J ($J=1-4$) levels. Thus, a complete identification of the number and energy positions of the
400 7F_J Stark components have been obtained from the peak energies, which completely fit the
401 energy levels observed at the C_2 site in $\text{Y}_2\text{O}_3:\text{Eu}^{3+}$ cubic single crystal [10, 76, 77]. For
402 comparison, the peak energy positions of the Eu^{3+} ions in bulk Y_2O_3 single crystal have been
403 indicated by vertical lines in Fig. 5 [77]. It is worth noting that this identification is valid
404 independently of the size of the nanophosphor, since no additional peaks, which could be
405 related to other phases, have been observed in any of the nanophosphors, except for the weak
406 peak at around 582.4 nm that will be discussed later. Thus, it can be concluded from
407 luminescence measurements that, with the present method of synthesis, a cubic structure has
408 been obtained for all the Y_2O_3 nanophosphors, independently of the annealing temperature.
409 This conclusion is in agreement with the XRD and Raman scattering measurements
410 previously discussed.

411 Important differences in the linewidths of the emission peaks are observed for
412 nanocrystals with different sizes (see Fig. 5 and the inset). All the emission peaks show a
413 large broadening for the smallest nanophosphors (6 nm), which is especially evident when
414 comparing the $^5D_0 \rightarrow ^7F_{3,4}$ emissions. With the increase of the annealing temperature and the
415 size of the nanoparticles, the emission bands become more defined and sharper, resulting in a
416 spectrum quite similar to that measured in a Y_2O_3 single crystal. These results indicate that: i)
417 all Eu^{3+} ions occupy the same crystallographic position (C_2 site) in the core of the
418 nanophosphors, which is the same as in the bulk material, and named hereafter as the 'true' C_2
419 site and, ii) in the smallest nanophosphors there is an inhomogeneous broadening of the
420 emission profiles due to the existence of a continuous distribution of C_2 environments for the
421 Eu^{3+} ions related to distortions, or relaxations, of the yttria structure closer to the surface of
422 the nanoparticles in which a higher segregation of Eu^{3+} ions to the surface occurs. It is evident
423 that higher annealing temperatures favor the generation of a nanomaterial with cubic structure
424 of better crystallinity and homogeneous location of Eu^{3+} ions in 'true' C_2 sites as the
425 nanophosphor size increases. A similar behavior can be assumed for those Eu^{3+} ions located at
426 the S_6 sites (observed in electronic Raman scattering) as the nanocrystal size increases.

427

428 3.3.2. Time-resolved site-selective spectroscopy

429 To obtain more information about the inhomogeneous broadening of the emission
430 bands in the smallest nanocrystals, the $^7F_0 \leftrightarrow ^5D_0$ transitions between singlet (non-degenerate)
431 levels have been analysed in detail. These transitions give single peaks that cannot be splitted
432 by any local point symmetry (crystal-field) around the Eu^{3+} ion. Consequently, any additional
433 peak observed for these transitions should be directly related to other available Eu^{3+} sites in
434 the nanophosphors of the cubic phase or another one, either crystalline or amorphous.

435 The $^5D_0 \rightarrow ^7F_0$ and $^5D_0 \rightarrow ^7F_1$ transitions for four different nanophosphors are shown in
436 the inset of Fig. 5. It can be clearly observed that there is a broadband contribution to the
437 short-wavelength side of the $^7F_0 \rightarrow ^5D_0$ transition for the smallest nanophosphors (those
438 annealed at 500 and 600 °C). This broadband overlaps with the single peak associated to Eu^{3+}
439 ions in the 'true' C_2 site at 581.2 nm and also with the peak associated to Eu^{3+} ions in an
440 second phase at around 582.4 nm, which is present in all Eu^{3+} -doped nanophosphors.

441 We have performed time-resolved excitation spectra associated to the $^7F_0 \rightarrow ^5D_0$
442 transition in the smallest Eu^{3+} -doped nanoparticles (6 nm) and obtained by detecting the
443 $^5D_0 \rightarrow ^7F_1$ transition at 13 K under a pulsed laser excitation (Fig. 6). A broadband detection at
444 593 nm has been chosen since a contribution from all the environments and sites of the Eu^{3+}
445 ions to this emission is expected. The spectra are presented normalized to the emission of the
446 Eu^{3+} ions in the 'true' C_2 site at 581.2 nm. The spectrum taken 30 μs after the laser pulse
447 resembles that of the steady state emission spectrum of Fig. 5 except that the peak at 582.4 nm
448 is completely masked by the emission of the Eu^{3+} ions in the 'true' C_2 site. The large
449 broadband contribution to the short-wavelength side of this single peak is due to the
450 overlapping of homogeneous $^5D_0 \rightarrow ^7F_0$ spectra of Eu^{3+} ions located at different environments
451 or sites in the nanophosphor. Therefore, many emissions have slightly different energies than
452 those Eu^{3+} ions in the 'true' C_2 site. Increasing the time delay after the laser pulse results in a
453 faster decay of the emissions from these environments compared to the emission of Eu^{3+} ions
454 in the 'true' C_2 site. Furthermore, both emissions decay much faster than the emission of the
455 second phase, which can be seen with an increasing intensity with the time delay respect to
456 the 'true' C_2 site.

457 To interpret these results it must be recalled that XAS measurements of Qi *et al.*
458 observed an increase of structural disorder in $Y_2O_3:Eu^{3+}$ nanophosphors on decreasing size
459 from 40 to 9 nm [16]. They concluded that Eu^{3+} and Y^{3+} ions located near the surface of the

460 nanoparticles had a higher coordination than those far from the surface and this result was
461 observed for the two phases coexisting in the nanoparticles: one crystalline $\text{Y}_2\text{O}_3:\text{Eu}^{3+}$ and
462 one amorphous unknown phase. In this context, Song *et al.* [78], suggested that the proportion
463 of surface atoms in $\text{Y}_2\text{O}_3:\text{Eu}^{3+}$ nanocrystals is quite high (about 80% for ~5 nm particles)
464 compared to bulk sample (less than 1% for ~3 mm sample), thus enhancing the proportion of
465 local displacement and making the near surface of the nanosized particle particularly unstable.
466 Moreover, an amorphous phase has been also observed in several works reporting monoclinic
467 and cubic Y_2O_3 nanophosphors, where it has been suggested that they could be due to faceting
468 between nanoparticles, necks between particles, the presence of adsorbates or particularities in
469 the chemistry of the particle's surface [20, 43, 44].

470 On the basis of the above arguments, we tentatively attribute the broadband
471 contribution in the smallest nanoparticles to Eu^{3+} ions in a distribution of environments with
472 an increasing fluctuation of their local structures due to differences in the Eu^{3+} -ligand bond
473 distances and angles when reaching the surface of the nanoparticle. In fact, since the broad
474 shoulder appears at the short-wavelength side of the ${}^7\text{F}_0 \rightarrow {}^5\text{D}_0$ excitation we can assume that
475 this broadband is associated to Eu^{3+} ions located in environments that feel stronger CF
476 interactions with their ligands. Thus, in 6-nm-size nanophosphors, there would be a large
477 dispersion of environments for the nanostructures with distorted cubic structure leading to
478 stronger CF than those present in the 'true' C_2 cubic sites (observed in the nanostructures with
479 larger sizes) of the bulk material. In other words, the 'true' cubic C_2 site would act as a 'parent
480 structure' that, after suitable distortions, would give rise to all the distribution of environments
481 for the Eu^{3+} ions present in the smallest nanophosphors. This hypothesis is supported by the
482 strain and the large increase of the lattice parameter that we have measured in the smallest
483 nanocrystals. These two facts allow a large proportion of Eu^{3+} ions to reside in distorted
484 environments of the 'true' C_2 and S_6 sites of the cubic structure, a hypothesis coherent with the

485 large segregation of Eu^{3+} ions to the surface in the smallest nanophosphor. Note that in the
486 largest nanocrystals studied (37 nm size) there is a negligible strain and the lattice parameter
487 is similar to that of the bulk Y_2O_3 single crystal; thus, all Eu^{3+} ions reside in 'true' C_2 and S_6
488 sites.

489 The existence of a variety of local structures in our smallest nanophosphors becomes
490 evident after exciting selectively with laser light within the ${}^7\text{F}_0 \rightarrow {}^5\text{D}_0$ excitation profile. Fig. 7
491 presents the time-resolved site-selective spectroscopy (FLN) emission spectra of the ${}^5\text{D}_0 \rightarrow {}^7\text{F}_1$
492 transition exciting selectively the high-energy side of the ${}^7\text{F}_0 \rightarrow {}^5\text{D}_0$ band. Differences in the
493 local sites of the Eu^{3+} ions in the nanophosphor are clearly reflected in the number of peaks
494 and the emission wavelengths of the transitions to the three ${}^7\text{F}_1$ Stark levels, especially for the
495 emission to the ${}^7\text{F}_1$ lowest energy Stark component that shows a large sensitivity with the
496 laser excitation [33-35]. As shown in Fig. 7, three peaks are observed for the ${}^5\text{D}_0 \rightarrow {}^7\text{F}_1$
497 transition after the excitation of Eu^{3+} ions at 581.2 nm. They confirm the existence of a low
498 symmetry and weak CF acting on Eu^{3+} ions in the 'true' C_2 site. However, when exciting at
499 lower wavelengths in the range from 578 to 580.4 nm within the inhomogeneous broadband
500 contribution, some extra peaks are observed at around 587.4 and 596 nm. These extra peaks
501 could be ascribed to emissions of the Eu^{3+} ions both at the C_2 sites, for the peak at 587.4 nm,
502 and at the second site, for the peak at 596 nm, after a simultaneous laser excitation or due to
503 energy transfer processes between Eu^{3+} ions at different sites or environments [79].

504 In order to selectively excite the second site, the FLN technique has been applied
505 within the ${}^7\text{F}_0 \rightarrow {}^5\text{D}_1$ transition exciting at 527 nm at 13 K and its emission spectrum is shown
506 in Fig. 7. Apart from the emission peak at 582.4 nm, there is a structured emission in the
507 592–597 nm range that can be correlated with the extra peak at around 596 nm observed in
508 the emission under selective excitation at the short-wavelength side of the ${}^5\text{D}_0$ band. In this
509 respect, Tissue and Yuan [44] have identified different contributions in the excitation

510 spectrum of Eu^{3+} in 5-nm-size $\text{Y}_2\text{O}_3:\text{Eu}^{3+}$ nanophosphors due to the coexistence of phases: the
511 cubic Y_2O_3 structure, the monoclinic $\text{Eu}^{3+}:\text{Y}_2\text{O}_3$, and the monoclinic Eu_2O_3 . Since Eu^{3+} ions
512 in the monoclinic nanostructures may occupy three different sites (A,B,C) [44, 80], three
513 relatively broad emission peaks should be observed for the $^5\text{D}_0 \rightarrow ^7\text{F}_0$ transition at around
514 578.5–579, 582.2 and 582.4 nm in the smallest nanoparticles [81]. After annealing, those
515 peaks should become narrow at around 578.5, 582.2 and 582.4 nm for monoclinic Eu_2O_3 and
516 slightly shifted at 579.2, 582.6 and 582.89 nm for monoclinic $\text{Y}_2\text{O}_3:\text{Eu}^{3+}$ [44]. Similarly, Jang
517 et al. have shown by site-selective spectroscopy that new peaks appear in the excitation
518 spectra of the $^7\text{F}_0 \rightarrow ^5\text{D}_0$ transition in cubic $\text{Y}_{2-x}\text{Gd}_x\text{O}_3:\text{Eu}^{3+}$ when a monoclinic structure is
519 developed on increasing Gd content [82], being the most prominent peaks due to Eu^{3+}
520 emission those of the B and C sites which are located around 582.02 nm, while the peak
521 corresponding to the A site (located around 578.65 nm) is of smaller intensity. It is
522 noteworthy that only the broad band around 582.4 nm is observed in the steady state
523 luminescence of our nanophosphors (Fig. 5) what could be consistent with the residual
524 presence of the monoclinic phase in our samples (not observed by XRD and Raman
525 measurements) despite the lifetimes of this secondary phase (9 ms) are larger than those in
526 monoclinic Eu_2O_3 nanophosphors (a few hundreds of microseconds) and than those in
527 monoclinic $\text{Y}_2\text{O}_3:\text{Eu}^{3+}$ (1-2 ms) according to Ref. 83. In this respect, the larger lifetime
528 measured in our nanophosphors could be related to the presence of trap centers in the
529 monoclinic phase. However, the possibility that emissions different from those of the Eu^{3+}
530 ions at C_2 sites could belong to the $^5\text{D}_0 \rightarrow ^7\text{F}_1$ magnetic dipole-allowed emissions of Eu^{3+} ions
531 at S_6 sites cannot be disregarded [84].

532

533 *3.3.3. Crystal-field analysis*

534 Since the CF strength experienced by the Eu^{3+} ions is a measure of their electrostatic
 535 interaction with the surrounding O^{2-} , the higher CF strength present in the surface of the
 536 nanophosphors must be due to the larger charge density and/or to a smaller Eu-O distance
 537 near the surface. The higher coordination of the Eu^{3+} ions in the smallest nanophosphors
 538 found by XAS measurements [16] lead us to think that the cause of the larger CF near the
 539 surface of the smallest nanocrystals must be due to a larger charge density around Eu ions
 540 near the nanocrystal surface since a higher coordination of Eu^{3+} ions is usually related to
 541 larger Eu-O distances, what in fact is in agreement with the increase of the lattice parameter
 542 as the nanocrystal size decreases.

543 The average strength of the CF acting on the Eu^{3+} ions in $\text{Y}_2\text{O}_3:\text{Eu}^{3+}$ nanophosphors
 544 can be estimated from the splitting of the ${}^7\text{F}_1$ multiplets into three Stark levels. From the FLN
 545 measurements, the positions of the ${}^7\text{F}_1$ Stark levels with respect to ${}^7\text{F}_0$ ground level are
 546 collected and plotted as a function of excitation wavelength in the inset of Fig. 8. In a first
 547 approximation, if the J-mixing is neglected, only the second rank real CF parameters, B_{20} and
 548 B_{22} , of the even CF Hamiltonian will affect significantly to the breakdown of the degeneracy
 549 of the ${}^7\text{F}_1$ term into three Stark levels [66, 70, 79]. Due to the almost symmetrical splitting
 550 observed for the three ${}^7\text{F}_1$ Stark levels with the CF increase, the B_{22} parameter should exhibit
 551 a large variation in magnitude, while the B_{20} axial parameter should be rather low and will not
 552 affect significantly to the splitting [66, 85]. Auzel and Malta [86] have tried to simplify the
 553 CF description defining a scalar, rotational invariant parameter called the CF strength that, for
 554 the C_2 local symmetry, takes the form

$$555 \quad N_v(B_q^2) = \sqrt{\left(\frac{4\pi}{2k+1}\right) (|B_{20}|^2 + 2|B_{22}|^2)} \quad (1)$$

556 that can be also easily related to the maximum splitting of the ${}^7\text{F}_1$ level, [87]

$$N_V(B_{2q}) = \sqrt{\frac{2 + \left(\frac{E_b - E_c}{\Delta E_{MAX}/2}\right)^2}{0.3/\pi}} \Delta E_{MAX}(^7F_1) \quad (2)$$

where E_b is the barycentre of energy of the 7F_1 level, calculated as the mean energy of the corresponding three Stark levels, whereas E_c is the energy of the central Stark level.

The second rank CF strength parameter $N_V(B_{2q})$ is shown in Fig. 8 as a function of the experimental maximum splitting of the 7F_1 manifold, $\Delta E_{MAX}(^7F_1)$, obtained from the 7F_1 splitting shown in the inset. Its value is larger than those usually found in glasses [33, 35, 70, 79, 84]. Furthermore, the almost symmetrical splitting of the 7F_1 Stark levels observed in $Y_2O_3:Eu^{3+}$ gives rise to an almost constant factor between the CF strength and the maximum splitting of the 7F_1 level of 0.218 for all the environments, giving rise to the linear dependence shown in Fig. 8, as predicted by Malta *et al.* [87].

A different perspective is obtained if the J-mixing is taken into account. Görller-Walrand and Binnemans [66] have re-defined the ‘weak CF’ (J-mixing negligible) and ‘strong CF’ (J-mixing unnegligible) for the RE^{3+} -doped systems. According to this definition a distribution of environments under weak-medium ($300 < \Delta E_{MAX}(^7F_1) < 450 \text{ cm}^{-1}$) and strong ($\Delta E_{MAX}(^7F_1) > 450 \text{ cm}^{-1}$) CFs can be obtained for our nanophosphors. If a structural model dares to explain the inhomogeneous distribution of Eu^{3+} environments it has to take into account that: i) the weakest CF environment for the Eu^{3+} ion is that at the ‘true’ C_2 cubic site, and ii) there are no sharp changes in both the emission intensities and the 7F_1 splitting when moving the excitation to stronger CF environments to the high-energy side of the 5D_0 band profile. Under this viewpoint, it is possible to understand the continuous distribution of environments for the Eu^{3+} ions in the smallest nanophosphors as a continuous distortion of the ‘true’ C_2 site of the cubic Y_2O_3 structure as Eu^{3+} ions get closer to the nanocrystal surface. In this sense, stronger CF environments are obtained by successive distortion of the C_2 site

580 parallel to the increase of the CF strength, which give rise to larger splitting of the multiplets
581 as the nanocrystal size decreases. We can speculate that in the smallest nanophosphors, as
582 those with 6 nm size, the presence of dangling bonds at the surface can contribute
583 significantly to the local distortion of the large proportion of Eu^{3+} ions near the surface.

584 To finish we would like to stress that our hypothesis of the continuous distortion of the
585 C_2 sites suggested by site-selective excitation is supported by the absence of extra peaks
586 associated to secondary phases in the XRD pattern, in the Raman spectrum, and in the steady-
587 state Eu^{3+} luminescence of our smallest nanophosphors. Furthermore, it is consistent with
588 XAS measurements that evidence a larger structural disorder, leading to drastic changes in the
589 local structure, as Y_2O_3 nanoparticles become smaller [16]. In this way, Eu^{3+} ions closer to the
590 surface of the cubic Y_2O_3 nanoparticles would have a coordination closer to 9 (in the distorted
591 C_2 sites) than to 6 (in the 'true' C_2 sites), and the increase in coordination would explain the
592 stronger CF felt by Eu^{3+} ions near the surface than in the core. Note that the above
593 explanation is different to that Qi *et al.* [16] who related the disorder to the existence of an
594 amorphous phase coexisting with the 'true' C_2 site, instead of consider this site as the 'parent
595 structure' for the Eu^{3+} environments in the small nanophosphors.

596

597 **4. Conclusions**

598 Undoped and Eu^{3+} -doped cubic yttria nanoparticles with considerable good
599 crystallinity have been synthesized by means of a complex-based precursor solution method.
600 Depending upon the temperature of the annealing treatment, nanophosphors with sizes
601 between 6 and 37 nm, all showing narrow size distributions, have been obtained. No
602 amorphous or secondary phases, like monoclinic Y_2O_3 or Eu_2O_3 , have been detected in the
603 nanocrystals. While nanoparticles of 37 nm have similar lattice parameters than bulk cubic
604 yttria, the lattice parameter in the nanoparticles increases up to 0.26% (in undoped Y_2O_3) and

605 0.33% (in Eu^{3+} -doped Y_2O_3) as the particle size decreases from 37 to 6 nm. Raman scattering
606 spectra of Eu^{3+} -doped nanoparticles have shown four more bands than undoped nanoparticles.
607 The origin of three of them is unknown, but one clearly corresponds to electronic Raman
608 scattering originated from Eu^{3+} ions in S_6 sites, which confirms that Eu^{3+} ions substitute Y^{3+}
609 ions in both C_2 and S_6 sites of the cubic structure.

610 Size-dependent photoluminescence emission spectra have been measured in
611 $\text{Y}_2\text{O}_3:\text{Eu}^{3+}$ nanoparticles. In 37 nm-sized samples, emissions are similar to bulk yttria in
612 which Eu^{3+} ions are located preferentially in the cubic C_2 sites. However, in 6 nm-size
613 samples, the ${}^5\text{D}_0 \rightarrow {}^7\text{F}_0$ transition consists of a high-energy broadband contribution overlapped
614 with two sharp peaks. The sharp peaks of the ${}^5\text{D}_0 \rightarrow {}^7\text{F}_0$ transition should correspond to
615 crystalline phases within the core of the nanophosphors. One of them is due to Eu^{3+} in the C_2
616 cubic site of Y_2O_3 nanophosphor and the other could be tentatively assigned to a residual
617 monoclinic structure of Y_2O_3 present in the nanocrystals. Therefore, it is possible that they
618 belong to ${}^5\text{D}_0 \rightarrow {}^7\text{F}_1$ magnetic-dipole allowed emission of Eu^{3+} ions at S_6 sites. Finally, site-
619 selective excitation in the ${}^7\text{F}_0 \rightarrow {}^5\text{D}_0$ peaks has allowed us to associate the broadband
620 contribution to a continuous distortion of the C_2 site caused by a large disorder, especially
621 near the surface, found in the smallest nanophosphors.

622

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633

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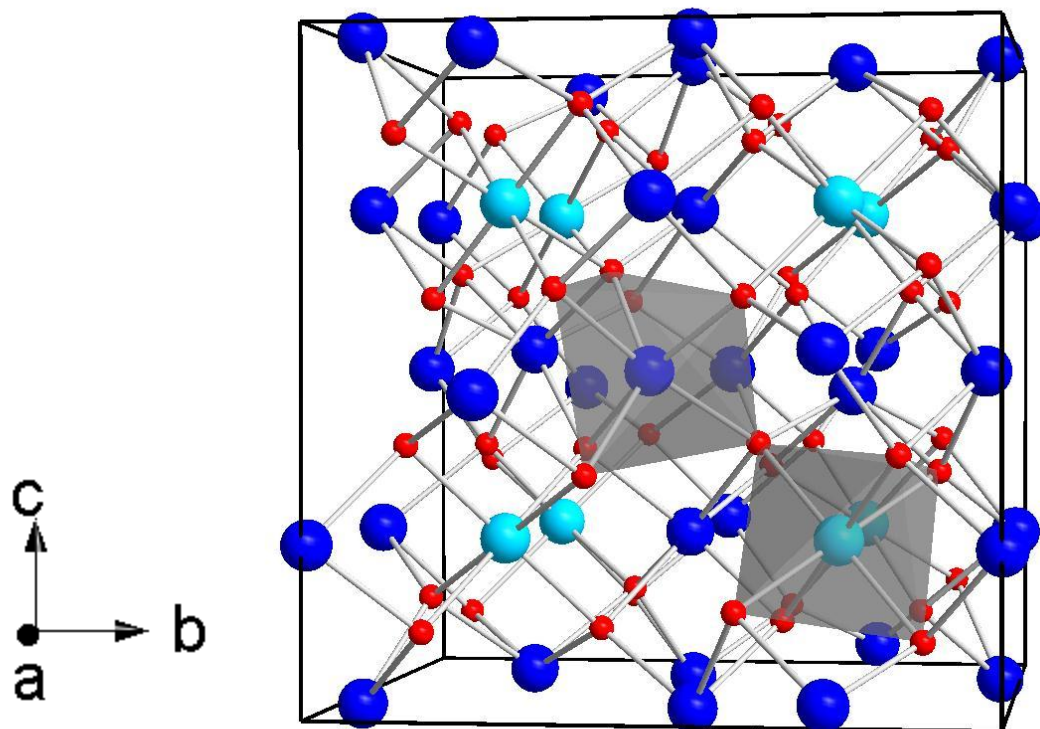


Fig. 1

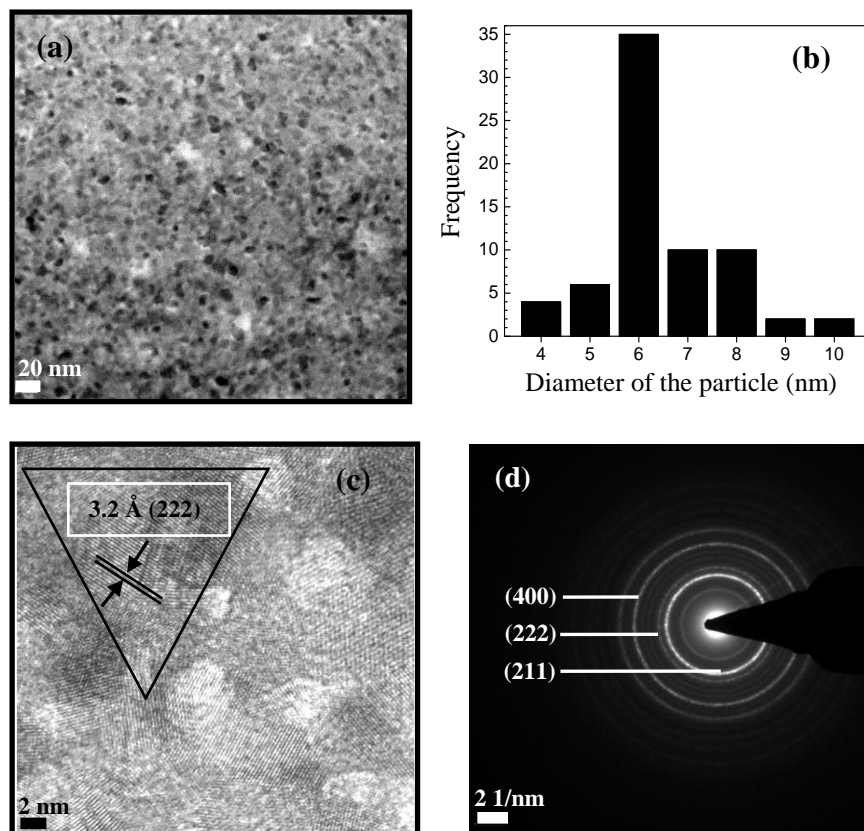


Fig. 2

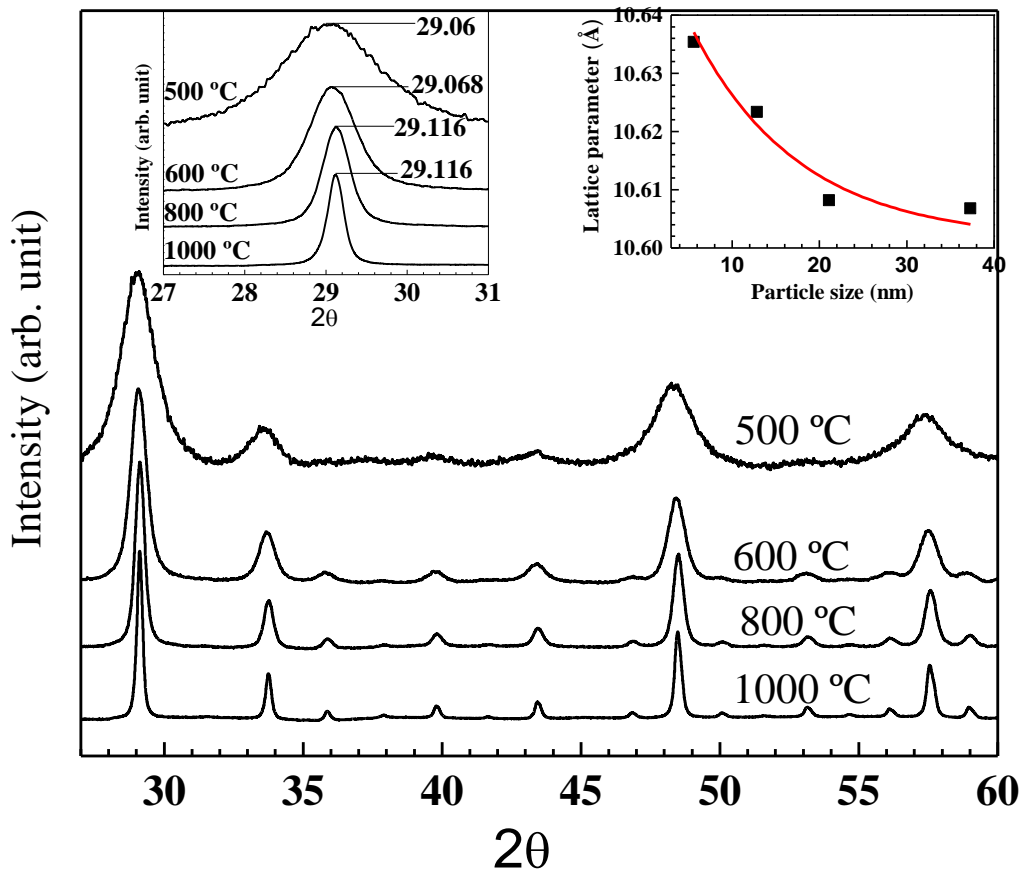
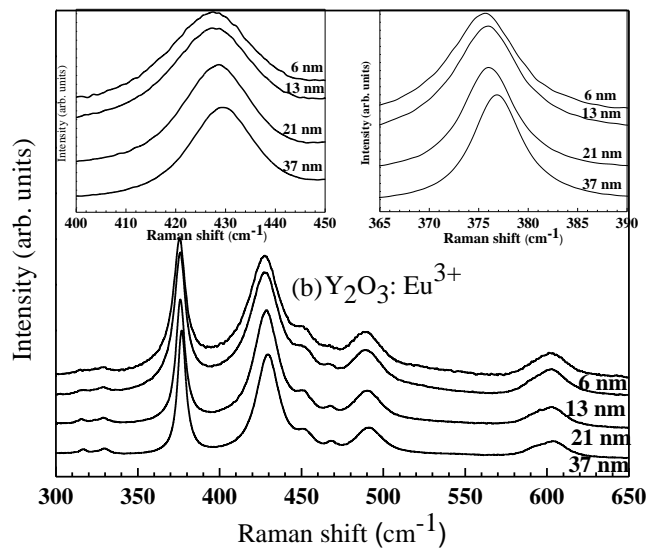
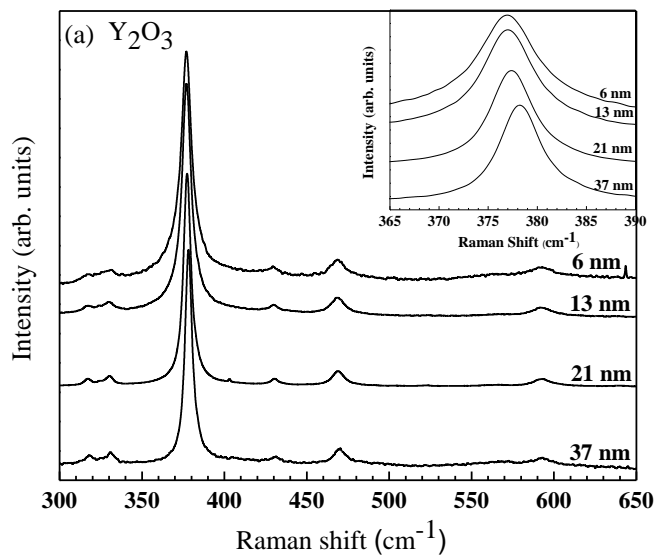


Fig. 3



Figs. 4

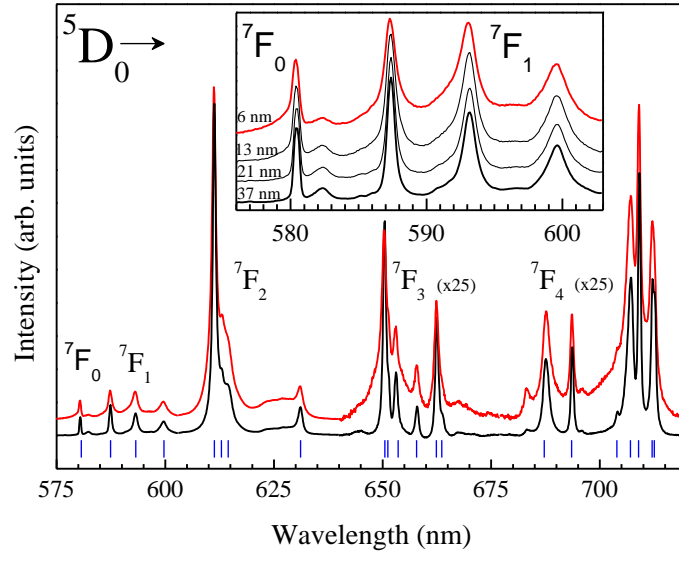


Fig. 5

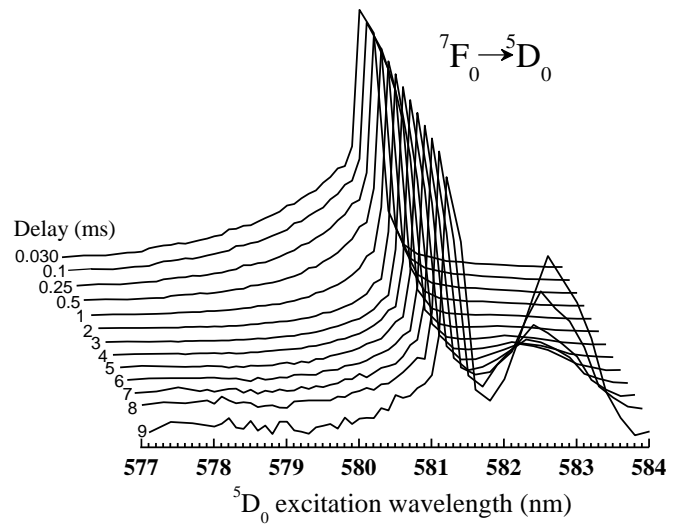


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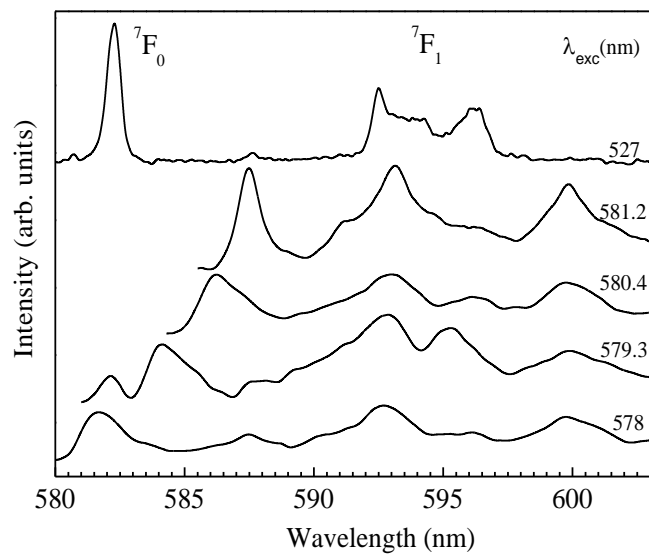


Fig. 7

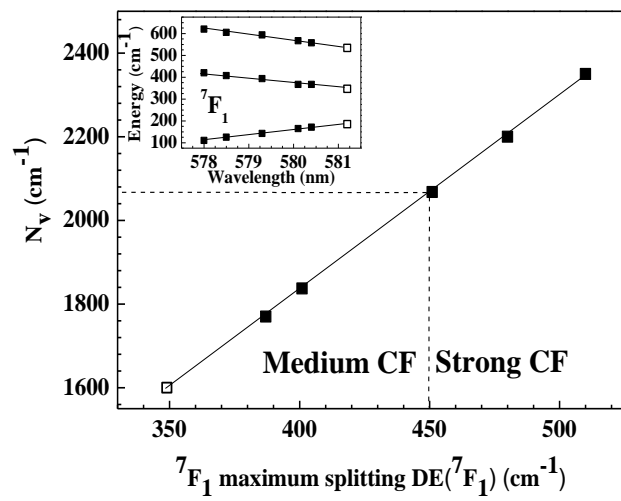


Fig. 8

Figure captions

Fig. 1. (color online) Cubic bixbyite structure of Y_2O_3 . O correspond to small (red) atoms while Y correspond to large (blue) atoms. Dark blue atoms are those located at C_2 sites while light blue atoms are those located at S_6 sites.

Fig. 2. (a) TEM micrograph, (b) particle size histogram, (c) HRTEM micrographs, and (d) SAED pattern of the Y_2O_3 nanoparticles grown at 550 °C.

Fig. 3. (color online) XRD patterns of Eu^{3+} -doped Y_2O_3 nanoparticles at different synthesis temperatures. Inset shows the variation of the lattice parameter for the different synthesis temperatures.

Fig. 4. Raman spectra of undoped Y_2O_3 and Eu^{3+} -doped Y_2O_3 nanophosphors obtained at different synthesis temperatures. Inset shows a zoom of the most intense Raman peak in undoped nano-crystals.

Fig. 5. (color online) ${}^5D_0 \rightarrow {}^7F_J$ ($J=0-4$) emission spectra of Y_2O_3 nano-phosphors doped with 1 at. wt% of Eu^{3+} ions annealed at 500 °C (red) and 1000 °C (black) for 2 h under broadband excitation of the ${}^5D_0 \rightarrow {}^5L_6$ transition at 395 nm at RT. Inset shows the ${}^5D_0 \rightarrow {}^7F_{0,1}$ emission spectra of the nano-phosphors annealed at 500, 600, 800 and 1000 °C for 2 h corresponding to sizes of 6, 13, 21, and 37 nm, respectively.

Fig. 6. Inhomogeneous excitation profile of the ${}^7F_0 \rightarrow {}^5D_0$ transition in an Y_2O_3 nano-phosphor doped with 1 at. wt% of Eu^{3+} at 13 K.

Fig. 7. FLN emission spectra to the 7F_J ($J=1, 2$) levels exciting selectively the 5D_0 level in an Y_2O_3 nano-phosphor doped with 1 at. wt% of Eu^{3+} at 13 K. Spectra are normalised to the maximum of the high-energy peak of the ${}^5D_0 \rightarrow {}^7F_1$ transition. Excitation wavelength is indicated for each spectrum in nm. The upper spectrum has been obtained exciting selectively the 5D_1 level at 527 nm in an Y_2O_3 nano-phosphor doped with 1 at. wt% of Eu^{3+} at 13 K.

Fig. 8. Scalar crystal-field strength parameter N_V as a function of the 7F_1 maximum splitting $\Delta E({}^7F_1)$. The solid line indicates the fit to the theoretical expression of Malta *et al.* (see text). Inset shows the 7F_1 splitting as a function of the 5D_0 excitation wavelength. Open squares indicate those values associated to the Eu^{3+} ions at the 'true' C_2 site.

Table I. *Ab initio* theoretical (theo.) and experimental (exp.) frequencies of Raman modes in Y_2O_3 . Experimental Raman modes frequencies for bulk Y_2O_3 of Ref. 19 have been added for comparison.

Peak /mode	$\omega(\text{theo.})$ cm^{-1}	$\omega(\text{exp.})$ cm^{-1}	$\omega(\text{exp.})^a$ cm^{-1}
F _g	125.7		116
F _g	133.4		129
A _g	156.0		161
F _g	178.5		179
E _g	191.2		193
F _g	230.5		
F _g	238.2		
F _g	313.8	318	318
F _g	320.0		
E _g	326.8	329	329
F _g	348.8		
A _g	356.3		
F _g	378.7	376	376
E _g	382.3		
F _g	392.6		399
A _g	419.6		
F _g	430.0	429	429
F _g	460.2	469	469
F _g	521.3		526
A _g	554.5	564	564
E _g	559.9	564	564
F _g	583.3	591	591