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Additional Information

1 **Soil moisture increment as a controlling variable of the “Birch effect”.**

2 **Interactions with the pre-wetting soil moisture and litter addition**

3

4 Luis Lado-Monserrat<sup>a,\*</sup>, Cristina Lull<sup>a</sup>, Inmaculada Bautista<sup>a</sup>, Antonio Lidón<sup>a</sup>, Rafael Herrera<sup>b</sup>

5 <sup>a</sup> *Research Group in Forest Science and Technology (Re-ForeST), Departamento de Ingeniería*

6 *Hidráulica y Medio Ambiente, Universitat Politècnica de València, Camí de Vera s/n, 46022 Valencia,*

7 *Spain*

8 <sup>b</sup>*On leave from Centro de Ecología, Instituto Venezolano de Investigaciones Científicas, Caracas,*

9 *Venezuela.*

10

11 **Abstract**

12 *Aims*

13 The Birch effect is a pulse in soil C and N mineralization caused by the wetting of dry soils, but  
14 the role of the soil moisture increment ( $\Delta SWC$ ) is still poorly understood. We quantified the  
15 relationship between  $\Delta SWC$  and the Birch effect, and its interactions with pre-wetting soil  
16 moisture ( $preSWC$ ) and substrate supply.

17 *Methods*

18 Two soils (clay loam and sandy loam) under a *Pinus halepensis* forest were subjected to  
19 rewetting in laboratory treatments combining different  $\Delta SWC$  and  $preSWC$  values, with or  
20 without additional substrate (5 mg g<sup>-1</sup> *P. halepensis* needles). Respiration flush ( $\Delta R$ ), changes in  
21 microbial biomass C ( $MBC$ ) and net N mineralization ( $NMIN$ ) were measured.

22 *Results*

23 Overall, we found a relationship with the form:  $\Delta R = a \Delta SWC + b$ , where the slope ( $a$ ) was  
24 significant only when pre-wetting water potential was below a threshold value in the range of -

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\* Corresponding author. E-mail: [luilamon@mcm.upv.es](mailto:luilamon@mcm.upv.es); [luislado@hotmail.com](mailto:luislado@hotmail.com); Tel.: +34 963877346;  
fax: +34 963877139

1 100 to -1200 kPa. However, the threshold alone does not fully describe the role of *preSWC* in  
2 slope variability. Substrate addition modified the  $\Delta SWC$  sensitivity of Birch effect, enhancing it  
3 in the clay loam and suppressing it in the sandy loam.

#### 4 *Conclusions*

5 The intensity of the wetting is a dominant factor regulating Birch effect, and  $\Delta SWC$  is useful for  
6 its quantification.

7  
8 **Keywords:** Soil respiration, Nitrogen mineralization, wetting intensity, water pulses, *Pinus*  
9 *halepensis*, substrate limitation

#### 11 **Introduction**

12  
13 Rewetting of dry soils usually results in a pulse of C and N mineralization (Franzuebbers et al.  
14 2000; Fierer and Schimel 2002; Austin et al. 2004; Miller et al. 2005). This effect, also known  
15 as Birch effect (Birch 1958; Jarvis et al. 2007), is attributed to the mineralization of previously  
16 unavailable, easily decomposable organic substrates (Borken and Matzner 2009). The specific  
17 origin of these substrates made available by the wetting is controversial, and thought to be due  
18 to (i) the release of intracellular compounds (osmolytes) accumulated during the dry phase as a  
19 result of microbial stress (*metabolic hypothesis* following the nomenclature of Navarro-García  
20 et al. 2012) and/or (ii) the exposure of physically protected organic matter caused by the  
21 disruption of soil aggregates (*physical hypothesis*). In most studies about drying-rewetting it is  
22 common to change soils from “dry” to “wet” conditions when examining aspects like drying-  
23 wetting frequency (Fierer and Schimel 2002; Xiang et al. 2008; Chowdhury et al. 2011b),  
24 drought length (Navarro-García et al. 2012) or temperature (Chatterjee and Jenerette 2011).  
25 Less effort has been devoted to study the wetting intensity, even though it has been recognized  
26 as an important factor that influence the mineralization pulse after a rain event (Borken and

1 Matzner 2009; Wu and Lee 2011; Xu and Luo 2012).

2 The wetting intensity is the amount of precipitation or added water per day (Borken and  
3 Matzner 2009). Theoretically, the size of the mineralization pulse is expected to rise with the  
4 amount of applied water (Borken and Matzner 2009, and references therein), as both the  
5 aggregate slacking and the release of microbial solutes should be intensified. This is in good  
6 agreement with some works where proportional relationships between wetting intensity and the  
7 mineralization response have been reported (Xu et al. 2004; Daly et al. 2009; Xu and Luo  
8 2012). However, unclear relationships (Carbone et al. 2011), responses affected by plant cover  
9 (Sponseller 2007) or the absence of responses at low magnitude events (Cable et al. 2008), have  
10 also been reported. These contradictory results may be partly associated to the use of rainfall (or  
11 added water) under field conditions, which is the most common approach to address this  
12 question (Xu et al. 2004; Yuste et al. 2005; Sponseller 2007; Xu and Luo 2012). This approach  
13 involves uncertainty, due to some confounding factors like the interception by vegetation or  
14 runoff and leakage losses. Schmitt et al. (2010) reported little effect of rewetting size on  
15 microorganism activity due to the heterogeneous water availability caused by preferential flow  
16 paths and hydrophobicity. In this context, a more accurate approach for the quantification of the  
17 intensity of a wetting is using the increment of soil moisture caused by the event ( $\Delta SWC$ , in  $g\ g^{-1}$ )  
18 <sup>1)</sup> as a variable. The use of this variable can overcome the abovementioned problems, since it  
19 focuses directly on the changes in the soil water pool. Nowadays,  $\Delta SWC$  can be easily measured  
20 due to the development of technical devices that allow accurate, continuous measurement of  
21 changes in soil moisture. This enhances its potential as a predictive variable, particularly for the  
22 inclusion of Birch effect in biogeochemical models.

23

24 The increment of soil moisture is upper-bounded by saturation, and highly dependent on the  
25 pre-wetting soil moisture (*preSWC*). The higher the *preSWC*, the lower  $\Delta SWC$  could possibly  
26 be. As a consequence, the interaction between these two factors makes the picture quite  
27 complex, suggesting the study of the two variables together. Earlier works had shown that a

1 high soil water content before wetting potentially decreases the cumulative C and net N  
2 mineralization rates after the rewetting (Borken and Matzner 2009). As a soil dries and water  
3 potential becomes more negative before the wetting, both physical and metabolic processes  
4 would promote the Birch effect (Chowdhury et al. 2011a). On the one hand, the process of  
5 aggregate slacking is induced by air drying soil prior to fast rewetting (Denef et al. 2001). On  
6 the other hand, at reduced water potentials soil microorganisms acclimate by accumulating more  
7 osmolytes (Harris 1981), which are released following the wetting to avoid cell lysis (Halverson  
8 et al. 2000). All the same, a key question regarding this issue is how dry must be a soil to  
9 observe the Birch effect. Some authors suggest that an extra increase in mineralization rate after  
10 the rewetting appears only when the *preSWC* is under a threshold value (Fischer 2009; Kim et  
11 al. 2010 and references therein). Nevertheless, this issue remains poorly understood. Moreover,  
12 to our knowledge no previous studies have quantified the interactive effects of both  $\Delta SWC$  and  
13 *preSWC* on the mineralization response to rewetting.

14

15 The size of the Birch effect also depends on the level of substrate availability (Berryman et al.  
16 2013). The substrate input becomes a primary controlling factor in determining N and C process  
17 rates in areas with low organic matter inputs and nutrient-poor soils (McIntyre et al. 2009),  
18 where the Birch effect is particularly important (Austin et al. 2004; Jarvis et al. 2007). Previous  
19 works have shown that the amendment with leaf litter generally enhances the microbial  
20 responses to rewetting. McIntyre et al. (2009) reported that the respiration rate after a wetting in  
21 substrate-amended soils doubled that of non-amended treatments. Miller et al. (2005) observed  
22 in rewetted soils that a 10% of increase in soil C due to a previous litter addition increased the  
23 CO<sub>2</sub> efflux in 60%, and indicated that the amendment enhanced the initial breakdown of the  
24 indigenous soil C, suggesting a “priming effect”. Therefore, substrate quantity may also be an  
25 important factor in determining the microbial responses to  $\Delta SWC$ , as the mineralization  
26 responses to rewetting increase with soil C content (Harrison-Kirk et al. 2013).

27

1 The aim of this study is to offer new insights about the  $\Delta SWC$  sensitivity of the C and N  
2 mineralization pulse after a rewetting. Field-measured soil moisture data recorded for at least  
3 two years in two soils underneath Aleppo pine stands (*Pinus halepensis* Miller) were used to  
4 classify the rewetting events according to their soil moisture increment and pre-wetting soil  
5 moisture. The more frequent *preSWC* and  $\Delta SWC$  combinations observed in the field were  
6 reflected in laboratory incubations using soils from the same sites with and without additional  
7 substrate (*P. halepensis* needles). We hypothesize that: (i) the size of the fast mineralization  
8 pulse will have a positive and proportional relationship with  $\Delta SWC$  because this variable  
9 directly relates to the changes in the soil water availability, avoiding the hindering factors  
10 associated to rainfall; (ii) the relationship between Birch effect and  $\Delta SWC$  will be intensified  
11 along with *preSWC* decrease, because both microorganisms and aggregates are exposed to  
12 lower water potentials (iii) the litter addition will enhance the sensitivity to  $\Delta SWC$  due to the  
13 increase in C availability.

14

## 15 **Material and methods**

16

### 17 *Soils description and soil moisture measurements*

18

19 The two soils used for this study are located 80 km inland from Valencia, eastern Spain (39°49'  
20 N; 1°05' W, 980 m a.s.l.). These sites are approximately 3 km far away from each other, and  
21 were selected because they have the same vegetation community, canopy characteristics and  
22 similar climate, but contrasting soil properties. These soils will be referred to as Chelva and  
23 Tuéjar. The Chelva soil is an Albic Luvisol developed on pisolitic microcrystalline limestone,  
24 with Leptosols and Calcisols outcrop. The Tuéjar soil is a Rendzic Leptosol, developed on  
25 calcareous rock, with outcrop of Albic Luvisols and Calcaric Regosols (GVA 1995). The main  
26 characteristics of two soils are summarized in Table 1. Soils were collected from underneath an

1 Aleppo pine stand, with a mean density of 830 trees ha<sup>-1</sup> and an average age of 60 years. The  
2 understory shrub community is dominated by *Quercus coccifera* L., and *Juniperus spp.*  
3 The climate is Mediterranean type with hot and dry summers. Mean annual air temperature is  
4 12.5°C and mean annual precipitation is 574 mm, which occurs mainly in autumn and spring.  
5 Soil temperature and humidity were recorded by two dataloggers (EM50, Decagon Devices,  
6 Pullman, WA, USA), one per soil type. Each datalogger is attached to a rainfall recorder used to  
7 measure throughfall (ECH2O rain, Decagon Devices, Pullman, WA, USA), a 5 cm depth soil  
8 temperature probe (RT-1, Decagon Devices, Pullman, WA, USA) and a soil moisture probe at  
9 the same depth (ECH2O 10-HS, Decagon Devices, Pullman, WA, USA). All data were  
10 measured at hourly intervals. Daily values of soil moisture were obtained as the value measured  
11 at 6:00 A.M. (Cobos and Campbell 2007). The time series include the 02/19/2009 to 02/18/2011  
12 period for Chelva (2 years) and the 03/21/2007 to 03/20/2010 period for Tuéjar (3 years).

13

#### 14 *Pre-wetting soil moisture and soil moisture increment combinations*

15

16 We used the daily time series of field measured soil moisture (data not shown) to identify the  
17 pattern of soil rewettings caused by natural rain events. Three variables were used to do so. Pre-  
18 wetting soil moisture (*preSWC*, in g g<sup>-1</sup>) is the soil water content the day before a wetting event,  
19 whereas final soil moisture (*postSWC*, in g g<sup>-1</sup>) is the gravimetric moisture reached after the  
20 wetting. Soil moisture increment ( $\Delta SWC$ ) was obtained as  $\Delta SWC = postSWC - preSWC$ . Soil  
21 water is a continuous variable, so we discretized it in 0.05 g g<sup>-1</sup> width intervals for the  
22 classification of rewetting events. The choice of the intervals is somewhat arbitrary, but our  
23 preliminary analysis suggested this discretization would be enough in terms of capturing  
24 rewetting variability. The frequency of every *preSWC* and  $\Delta SWC$  combination (hereinafter  
25 rewetting combinations) observed in the field and reproduced in the incubations, are shown in  
26 Table 2. All the rewetting combinations observed when soil temperature was below 10°C or  
27 with *postSWC* > 60% of soil porosity (0.25 g g<sup>-1</sup> for Chelva; 0.35 g g<sup>-1</sup> for Tuéjar), were

1 discarded. This was done to avoid low temperature limitations and anoxic conditions. As a  
2 consequence, there are more rewetting combinations for Tuéjar soil than for Chelva.

#### 3 4 *Experimental setup*

5  
6 We used a factorial design of litter addition by rewetting combination for each soil type. A  
7 composite sample of each soil was obtained by mixing six cores (0-10 cm) randomly taken from  
8 a 2x2 m<sup>2</sup> area after removing the O horizon in August 2011. Soils were homogenized and sieved  
9 through 4 mm. Ten grams of soil (dry weight basis) were set in 40 mL Erlenmeyer flasks. Fresh  
10 fallen brown *P. halepensis* needles were also collected in August 2011, after the period of  
11 maximum litter production (García-Plé et al. 1995). Litter samples were air dried, milled and  
12 sieved to 500 µm. The chemical characteristics of litter are shown in Table 1. The amendment  
13 treatments were added to half of the flasks, consisting of 0.05 g dry litter, being this amount  
14 equivalent to one year of the litterfall rate measured in these sites (Table 1). All these  
15 preprocessing tasks described were made the same day that the samples were taken in the field.  
16 Then, flasks were covered and soils were incubated for a three day equilibration period with the  
17 original field soil moisture (pre-incubation period).

18  
19 After the three day pre-incubation period, we applied a double wetting scheme to all the flasks.  
20 The objective of the first wetting was to achieve the *preSWC* levels shown in Table 2. The first  
21 wetting consisted in bringing soils to 60% of soil porosity, namely 0.35 and 0.25 g g<sup>-1</sup> for Tuéjar  
22 and Chelva, respectively. Then, flasks were uncovered to allow drying. When soils gradually  
23 reached the corresponding value of *preSWC*, the second wetting was applied. The objective of  
24 the second wetting is to reproduce the rewetting combinations defined in Table 2, so different  
25 amounts of water were added in accordance with these combinations. After that, another drying  
26 period started. The experiment finished when the last flask was completely dry, which  
27 corresponds to treatment A6. All wetting events were achieved by adding deionized water with



1 an automatic pipette, and gravimetric soil moisture was monitored periodically by weighting the  
2 flasks. An incubation chamber (MLR-350H, Sanyo Electric Co., Oizumi-Machi, Japan) set at 25  
3 °C and 70 % relative humidity was used throughout the experiment. The temperature and  
4 relative humidity were chosen with the objective of replicating as close as possible the rates of  
5 soil drying observed in the field for both soils.

6

7 In this experiment, rewetting combinations were defined as a function of gravimetric water  
8 content, not water potential. This was due to the difficulty to reproduce accurately changes in  
9 water potential, due to the hysteresis associated with frequent drying-rewetting events (e.g.  
10 Fierer and Schimel 2002). However, as accessibility of water to the organisms is determined by  
11 water potential, soil water retention curves were obtained by equilibration at 0, -10, -20, -30, -  
12 100, -300 and -1500 kPa in samples of the same soils (Richards 1965). In addition, the water  
13 potential of the air dry soils were measured by the dew point method (Scanlon et al. 2002),  
14 employing a water activity meter (Aqualab series 3, Decagon Devices, Pullman, WA, USA). All  
15 the data were adjusted to the Campbell model (Campbell 1974):

$$16 \quad \psi = a (\theta_g / \theta_{gsat})^{-b}$$

17 Where:  $\psi$ = matric suction (kPa);  $\theta_g$ = water content ( $\text{g g}^{-1}$ );  $\theta_{gsat}$ = saturation water content ( $\text{g g}^{-1}$ );  
18  $a, b$ = equation parameters. The parameters of the model were  $a = -2.406$ ,  $b = 4.5100$ ,  $\theta_{gsat} = 0.54$   
19 for Tuéjar and  $a' = -0.222$ ,  $b' = 4.1291$ ,  $\theta'_{gsat} = 0.40$  for Chelva.

20

## 21 *Measurements*

22

23 Respiration was measured by covering the flasks with rubber septa for 48 h. Respiration rate in  
24 that period was calculated from the increment in %  $\text{CO}_2$  in the headspace volume of the flask,  
25 which was measured with a  $\text{CO}_2$  sensor (Checkpoint, PBI Dansensor, Ringsted, Denmark). Soil  
26 respiration rate was measured in the pre-incubation period, and immediately after the first  
27 wetting. The respiration rate following the second wetting ( $R$ ) was also measured. Additionally,

1 the increment in respiration caused by the second rewetting ( $\Delta R$ ) was obtained as the difference  
2 between  $R$  and the previous value for respiration rate measured just before the rewetting. During  
3 drying periods, respiration rate was measured approximately on a weekly basis, interrupting the  
4 periods of drying (flasks uncovered,  $\approx 5$  days) with intervening periods of  $\text{CO}_2$  accumulation  
5 (flasks covered for 48 h).

6  
7 Microbial biomass C ( $MBC$ ) was measured in rewetting combinations A1, A3, A6, B1, B3 and  
8 B4 using the chloroform fumigation-extraction technique (Vance et al. 1987, modified by Wu et  
9 al. 1990). The extraction was made 48 h after the second wetting.

10

11 To obtain net N mineralization ( $NMIN$ ), inorganic N pools were extracted at the beginning of  
12 the experiment (the same day the soil was collected in the field) and at the end of the incubation  
13 period (128 days). Mineral N was extracted shaking each sample with 100 mL of 2M KCl. Soil  
14 extract was analyzed for  $\text{N-NO}_3^-$  and  $\text{N-NH}_4^+$  in a flow injection analyzer (FIASStar 5000, Foss  
15 Tecator, Höganäs, Sweden). Net N mineralization was measured in the A2, A4, A5, B2, C1, C2,  
16 C3, D1, D2, D3 and E1 rewetting combinations.

17

### 18 *Statistical analyses*

19

20 The effect of  $\Delta SWC$  on  $\Delta R$  was fitted by a separate linear regression for each  $preSWC$ .  
21 Statistical differences between the regression lines (slopes and intercepts) were performed for  
22 each combination of soil type and amendment. In addition, the  $R$  data was analyzed using one-  
23 way ANOVAs with  $preSWC$  as the factor for each  $postSWC$  level. To examine the effects of  
24 amendment more closely, a two-way analysis of variance (ANOVA) was used in each soil to  
25 determine the effects of rewetting combination x amendment on the variables  $R$ ,  $MBC$  and  
26  $NMIN$ . Statistical differences ( $P < 0.05$ ) between means were tested using least significant

1 difference (LSD) analyses. All statistical analyses were performed with Statgraphics Plus  
2 version XVI.

3

## 4 **Results**

5

### 6 *Soil moisture evolution during incubation*

7

8 The water content of the soil samples collected in the field in Chelva soil was  $0.08 \text{ g g}^{-1}$  whereas  
9 at Tuéjar was  $0.20 \text{ g g}^{-1}$ . Overall, after the first wetting to  $0.25 \text{ g g}^{-1}$ , Chelva soil lost water  
10 gradually with an average rate of  $0.003 \text{ g g}^{-1}$  per day. In Tuéjar soil, after the first wetting ( $0.35$   
11  $\text{g g}^{-1}$ ), drying was slower at the beginning, taking 26 days to reach a soil moisture of  $0.25 \text{ g g}^{-1}$ .  
12 Thereafter, the drying process was accelerated, and 28 additional days were necessary to  
13 achieve soil moisture of  $0.10 \text{ g g}^{-1}$ . Soil moisture dynamics along the experiment is available  
14 online as supplementary data.

15

### 16 *Soil respiration*

17

18 The first wetting (common for all treatments) caused different responses of soil respiration in  
19 both unamended soils, with increments compared to the initial rates reaching a value of 20% in  
20 Chelva and 70% in Tuéjar. Moreover, in Chelva amended soil the first wetting caused a slight  
21 decrease in respiration (3%) compared to the rate measured before the wetting. In contrast, in  
22 Tuéjar soil the addition of litter enhanced the response to the first wetting, which caused an  
23 increase in respiration of 90%.

24

25 There were also differences in respiration dynamics during the drying periods. For Chelva soil,  
26 a steady decline in respiration rates was observed following the decrease in soil moisture, until  
27 it reached a value of  $0.05 \text{ g g}^{-1}$ . In contrast, respiration in Tuéjar soils declined more rapidly in

1 the first drying period, reaching undetectable values at day 22, when soil moisture was  $0.30 \text{ g g}^{-1}$ .  
2 <sup>1</sup>. Non-zero respiration rates were only measured again in the 48 h after the second wetting, both  
3 in amended and unamended Tuéjar soils. After that 48 h flush, respiration rates were  
4 undetectable until the end of incubation, whatever the rewetting combination. The soil  
5 respiration evolution during the incubation is also available online as supplementary data.

6  
7 Our results showed that both amendment and rewetting combination significantly affected the  
8 respiration after the second wetting in the two soils (Table 3). However, the main factor was  
9 different for each soil. For Chelva, the amendment explained more than 70% of variance,  
10 whereas for Tuéjar the rewetting combination explained 89%. To facilitate the identification of  
11 Birch effect, we analyzed differences in  $R$  grouping the data by *postSWC* for Chelva (Table 4)  
12 and Tuéjar (Table 5) soils. Since the soils with the same *postSWC* were incubated at the same  
13 temperature and moisture for the 48 h following the second rewetting, the significant differences  
14 in  $R$  could be attributed mainly to the extra mineralization pulse. In Chelva unamended soil, the  
15 main differences were found between the *preSWC*= $0.05 \text{ g g}^{-1}$  and the rest of pre-wetting levels  
16 (Table 4). Focusing in the *postSWC*= $0.25 \text{ g g}^{-1}$  case, only the soil exposed to the lowest *preSWC*  
17 ( $0.05 \text{ g g}^{-1}$ ) was significantly different from the others ( $P=0.0006$ ). Hence, we identified a  
18 *preSWC* threshold value between  $0.05 \text{ g g}^{-1}$  and  $0.10 \text{ g g}^{-1}$ , equivalent to  $-1189$  and  $-68 \text{ kPa}$  in  
19 terms of water potential (Table 4). In Chelva amended soil, however, *preSWC* showed no  
20 significant effect on respiration rate (Table 4), indicating the absence of Birch effect. In Tuéjar  
21 soil, significant differences in respiration rates were found among all the *preSWC*< $0.20 \text{ g g}^{-1}$   
22 treatments (Table 5). Thus, Birch effect was detected in unamended Tuéjar soil when  
23 *preSWC*< $0.20 \text{ g g}^{-1}$ , with the threshold in this case located between  $0.15$ - $0.20 \text{ g g}^{-1}$  ( $-776$  to  $-212$   
24  $\text{kPa}$ , Table 5). Moreover, the highest respiration values were observed in the most water-  
25 stressed rewetting combinations (*preSWC*= $0.05 \text{ g g}^{-1}$ , Table 5), that at least doubled the rates  
26 measured in most other cases.

27

1 Overall, a statistically significant linear relationship was found between the increment in  
2 respiration rate caused by the second rewetting ( $\Delta R$ ) and  $\Delta SWC$  (Fig. 1). Comparison of  
3 parameters between *preSWC* levels revealed significant differences between the slopes of each  
4 soil x amendment combination ( $P < 0.01$ ), except for Chelva amended soil ( $P = 0.4756$ ). In Chelva  
5 unamended soil the significance of the linear relationship is restricted to the driest pre-wetting  
6 situation ( $preSWC = 0.05 \text{ g g}^{-1}$ ). Furthermore, in Tuéjar soil all the slopes were significantly  
7 different from zero except for amended soil with  $preSWC = 0.20 \text{ g g}^{-1}$  ( $P = 0.4634$ ). It should be  
8 noted that in Tuéjar unamended soil with  $preSWC = 0.05 \text{ g g}^{-1}$  we found no significant  
9 differences in  $R$  between the  $\Delta SWC = 0.25$  and  $0.30 \text{ g g}^{-1}$  treatments. Thus, the relationship of  $\Delta R$   
10 with  $\Delta SWC$  was linear only up to  $\Delta SWC = 0.25 \text{ g g}^{-1}$  (Fig. 1c).

11

#### 12 *Microbial biomass C*

13

14 The size of the microbial C pool 48 h after the second rewetting was  $153.8 \pm 16.6 \mu\text{g C g}^{-1}$  in  
15 Chelva soil (Fig. 2a), and  $316.5 \pm 38.7 \mu\text{g C g}^{-1}$  in Tuéjar soil (Fig. 2b), corresponding  
16 approximately to 1% of their respective total organic C (Table 1). In Chelva soil, the  
17 amendment was a more important factor than the rewetting combination in explaining the  
18 variability of *MBC* (Table 3). For this soil, the amendment increased *MBC* 30% on average  
19 respect to unamended. In unamended Tuéjar soil, however, there was a different response to  
20 water addition in the two *preSWC* levels tested in this experiment. For a *preSWC* level of  $0.10 \text{ g}$   
21  $\text{g}^{-1}$ , there was a significant increase of *MBC* according to the increase in  $\Delta SWC$ . However, in the  
22 lowest pre-wetting moisture level the quantity of water added had a negligible effect on the  
23 microbial C.

24

#### 25 *N mineralization*

26

1 The amendment was the factor that explained the main part of variance of the net N  
2 mineralization measured after 128 days of incubation in both soils (Table 3). The addition of  
3 litter decreased N mineralization both in Tuéjar and Chelva soils, causing net immobilization  
4 mainly in the latter (Fig. 3). Overall, the  $\Delta SWC$  effects were more evident in C than in N  
5 mineralization, because the latter aggregates the effects of wetting and drying periods over the  
6 128 days of incubation. Nevertheless, the effects of  $\Delta SWC$  on *NMIN* were parallel to those on C  
7 mineralization. The influence of rewetting combination was higher in Tuéjar soil compared to  
8 Chelva (Table 3). In particular, in both Tuéjar amended and unamended soils *NMIN* responded  
9 significantly to  $\Delta SWC$  only when  $preSWC=0.05 \text{ g g}^{-1}$  (Fig. 3b).

10

## 11 **Discussion**

12

### 13 *Soil moisture increment as a controlling variable of Birch effect*

14

15 The results of this experiment show that the soil moisture increment strongly influences the  
16 magnitude of the mineralization pulse after the wetting. Particularly for C mineralization, the  
17 general pattern was a linear relationship between the increment in soil water content caused by  
18 the rewetting and the resulting respiration pulse (Fig. 1). This general result is consistent with  
19 the idea that preferential flow paths and hydrophobicity are the main interfering factors between  
20 wetting intensity and the mineralization response (Muhr et al. 2010; Schmitt et al. 2010), factors  
21 that are avoided by using  $\Delta SWC$  as variable. This is further supported by the results of Daly et  
22 al. (2009), in a work where both rainfall and  $\Delta SWC$  were measured. They reported linear  
23 relationships between precipitation size and respiration after the wetting, but also indicate that  
24 the precipitation and soil moisture increment were linearly related.

25 Despite the foregoing, the data in Tuéjar unamended soil for the lowest value of  $preSWC$   
26 contradict our first hypothesis. For that particular case, we found that respiration increased as a

1 non-linear function of soil moisture increment, reaching an asymptote at  $\Delta SWC=0.25 \text{ g g}^{-1}$  (Fig.  
2 1c; table 5). There are some explanations to the limitation of the  $\text{CO}_2$  pulse size for high values  
3 of  $\Delta SWC$ . Firstly, the existence of oxygen limitation due to the high value of *postSWC* reached.  
4 Secondly, in case that the “physical hypothesis” mechanism occurs, all the aggregates could  
5 already be disrupted by swelling with an increment in soil moisture of  $0.25 \text{ g g}^{-1}$ , which implies  
6 that larger  $\Delta SWC$ 's do not result in increases in  $\text{CO}_2$  efflux. Thirdly, the intense and abrupt  
7 change in water potential in the  $\Delta SWC=0.30 \text{ g g}^{-1}$  treatment could have favored an increase in  
8 the death of microorganisms by cell lysis. This is expected to increase Birch effect, as more  
9 osmolytes would be released (Kieft et al. 1987). However, it is also possible that a reduction in  
10 the number of surviving microbes after the wetting could negatively affect to the community  
11 capacity to utilize the substrate immediately, limiting the mineralization pulse. As we are not  
12 able to definitely identify the source of the substrates, it is difficult to establish the true reason  
13 for the observed limitation in our experiment.

14

15 In summary, the results suggest that some interfering factors between wetting intensity and the  
16 mineralization pulse can be avoided by using  $\Delta SWC$  as variable, but not necessarily all of them.  
17 We observed evidence of limitations that could not be ascribed to heterogeneous soil water  
18 availability. We may conclude that monitoring both rainfall and the soil moisture changes is  
19 necessary to identify the relative importance of the different factors involved in the microbial  
20 flush caused by rewetting.

21

#### 22 *Interactions between preSWC and $\Delta SWC$ on the Birch effect*

23

24 As expected, changes in the pre-wetting soil moisture resulted in significant modifications in the  
25 sensitivity to  $\Delta SWC$  in both soils. Consistent with other works, the severity of drought increased  
26 the response of soil  $\text{CO}_2$  efflux (Cable et al. 2008; Kim et al. 2010; Unger et al. 2010, Carbone et

1 al. 2011; Chowdhury et al. 2011a). Interestingly, Birch effect was only found when the pre-  
2 wetting water potential was below a threshold in the approximate range of -100 to -1000 kPa for  
3 the two soils (tables 4 and 5). This range includes the value reported by Fischer (2009), who  
4 found remarkable rewetting effects on respiration when water potential was below -630 kPa.  
5 However, particularly in Tuéjar soil, the different responses observed in the range of water  
6 potentials tested in this experiment suggest a greater level of complexity in the role of *preSWC*.  
7 In this soil, the stimulation response was clearly stronger when *preSWC*=0.05 g g<sup>-1</sup> compared to  
8 the other *preSWC* values (Figs. 1 and 3). Similar responses were found by Rey et al. (2005),  
9 who added water to soils previously incubated at different water contents, and reported that the  
10 response to wetting in the previously driest soil was an order of magnitude higher than for the  
11 rest of pre-wetting treatments.

12 The microbial biomass responses to  $\Delta SWC$  in Tuéjar unamended soil were also different  
13 depending on *preSWC* (Fig 2b). It is possible that the drying intensity before the wetting event  
14 altered the relative contribution of the physical and the metabolic hypotheses to Birch effect, as  
15 depicted in Fig. 4. When the pre-wetting soil moisture was 0.10 g g<sup>-1</sup> the *MBC* significantly  
16 increased with the wetting intensity (Fig. 2b). Thus, it is not possible that the “extra” respiration  
17 observed with the increase in  $\Delta SWC$  at this level of pre-wetting soil moisture had come from  
18 microbial stress. This supports that Birch effect was mainly due to physical disruption  
19 processes. In light of our respiration results, it seems that the aggregates would start to brake  
20 and liberate SOM after a wetting when the soil previously dries up to 0.15 g g<sup>-1</sup>. This is  
21 consistent with the results reported in a soil with similar SOM by Haynes and Swift (1990)  
22 which found a rapid decrease in aggregate stability when soil dries from 18% to 10% soil water  
23 content. Conversely, when *preSWC*= 0.05 g g<sup>-1</sup>(<-40000 kPa), the *MBC* was not significantly  
24 affected by the  $\Delta SWC$  but the respiration and *NMIN* increased dramatically. As the microbial  
25 stress threshold for Mediterranean soils is approximately -10000 kPa (Manzoni et al. 2012), it is  
26 reasonable to assume that the metabolic mechanism appeared here, possibly operating



1 simultaneously with the physical (Fig. 4). Given these lines of evidence, we propose that in  
2 unamended Tuéjar soil the mechanisms that cause Birch effect appear at different stages  
3 throughout the drying process, although this remains to be confirmed. Furthermore, in Chelva  
4 soil the source of the C pulse at rewetting cannot be definitely identified, but the influence of  
5 aggregate disruption is probably restricted to clayey soils (Borken and Matzner 2009).

6  
7 Our findings indicate that care should be taken when assuming that the *preSWC* controls on  
8 Birch effect are limited to a threshold value that activates a *switch-like* mechanism, obviating  
9 the role of *preSWC* under that threshold. In-depth measurements of the impact of changes in  
10 *preSWC* under the threshold in the mineralization pulses should be made in a wider variety of  
11 soils to accumulate evidence to clarify further this aspect.

#### 12 13 *Effect of litter addition on Birch effect*

14  
15 Regarding litter addition, a question that arises from our work is why it prevented the Birch  
16 effect in Chelva soil (Fig. 1b; Table 4), whereas in Tuéjar soil increased the differences between  
17 slopes (Fig. 1d). We had hypothesized that litter addition would amplify the Birch effect due to  
18 the increase in C supply, but the results in Chelva soil show the opposite. In fact, in Chelva soil  
19 the litter addition caused a higher increment in organic C (with +23.6% respect to original soil  
20 organic C, Table 1) compared to Tuéjar (+9.8%). Paradoxically, perhaps the large increase in C  
21 availability itself could have been the cause that masked the respiration burst in Chelva soil.  
22 Presumably, in Chelva amended soil the excess of substrate caused by the amendment made that  
23 the extra substrates provided by drying and wetting became irrelevant to the microbial  
24 populations. As a consequence, the response to the second wetting was independent of the  
25 rewetting combination (Fig. 1b). Providing additional support to this conclusion, microbial  
26 respiration in litter is less sensitive to drying compared to mineral soil because in the former the

1 C supply remains active at lower water potentials (Manzoni et al. 2012).

2 Conversely, in Tuéjar soil the C supply by the amendment increased the sensitivity to  $\Delta SWC$ ,  
3 reinforcing the hypothesis that more C availability enhances Birch effect (Berryman et al.  
4 2013). Moreover, in Tuéjar soil the amendment changed the *MBC* responses to  $\Delta SWC$  (Fig. 2b),  
5 and therefore the mechanism discussed above for unamended soil depicted in Fig. 4 is not  
6 applicable to the amended samples. In particular, the absence of differences in *MBC* when  
7  $preSWC=0.10 \text{ g g}^{-1}$  indicate that in amended samples the “physical hypothesis” is not  
8 necessarily the main source of Birch effect. It is possible that the pre-wetting microbial stress  
9 appeared in the amended soil at higher *preSWC* values than in the unamended. The amendment  
10 could have promoted the growth of heterotrophic zymogenous soil microorganisms, and it is  
11 generally accepted that these are more susceptible to drying than the autochthonous ones  
12 (Bottner 1985; Van Gestel et al. 1993). Hence, because of a change in the microbial community  
13 composition, the amendment possibly altered the relative importance of the physical vs  
14 metabolic mechanisms in Birch effect.

15 Along with the stimulation of microbial activity, the amendment strongly inhibited N  
16 mineralization, as expected due to the high C:N ratio of the litter (Austin et al., 2004). The  
17 *Pinus halepensis* needles have also secondary compounds that can inhibit microbial  
18 decomposition (Fernandez et al. 2006). The N immobilization was more intense in Chelva  
19 compared to Tuéjar amended soil (Fig. 3). This is possibly related to changes in their respective  
20 microbial community compositions caused by the amendment, which can result in  
21 modifications in the microbial ability to utilize C (Butterly et al. 2009). In addition, the  
22 cumulative C fluxes integrated for the whole experiment in Chelva amended soil doubled that of  
23 Tuéjar amended (approximately 1000 vs 500  $\mu\text{g C-CO}_2 \text{ g}^{-1}$ ; data not shown). Therefore, as more  
24 quantity of substrate with high C/N ratio was decomposed, we can expect higher immobilization  
25 rates in the former. To conclude, this experiment demonstrated that increases in substrate  
26 quantity can result both in amplifying or minimizing the relative importance of Birch effect in C

1 and N cycling, depending on the size of the local resource pool and the seasonal availability of  
2 litterfall.

3

#### 4 **Conclusions**

5

6 In this laboratory experiment we have shown that: (i) the SOM mineralization flush after a  
7 wetting increased proportionally with  $\Delta SWC$ , but this relationship could be limited at high  
8 values of  $\Delta SWC$  due to factors that cannot be ascribed to heterogeneous soil water availability;  
9 (ii) the  $\Delta SWC$  sensitivity of the Birch effect decreased non-linearly with the pre-wetting soil  
10 moisture, and therefore it should not be simplified with a single threshold value ; and (iii) the  
11 Birch effect sensitivity to  $\Delta SWC$  was also modified by the litter addition, that enhanced or  
12 minimized the importance of the mineralization pulse depending on how much C is added in  
13 comparison to the native C pool. Our results highlights that the soil microbial sensitivity to  
14 wetting intensity has a strong spatiotemporal variability, as it is soil dependent and is linked to  
15 the substrate availability. We have demonstrated that both wetting intensity and pre-wetting soil  
16 moisture can be critical factors for the C and N mineralization flush, and thus the convenience  
17 of including them in biogeochemical models. For this purpose, the  $\Delta SWC$  should be used as a  
18 complementary variable, together with rainfall, for an accurate incorporation of Birch effect in  
19 C and N ecosystem balances.

20

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22

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3

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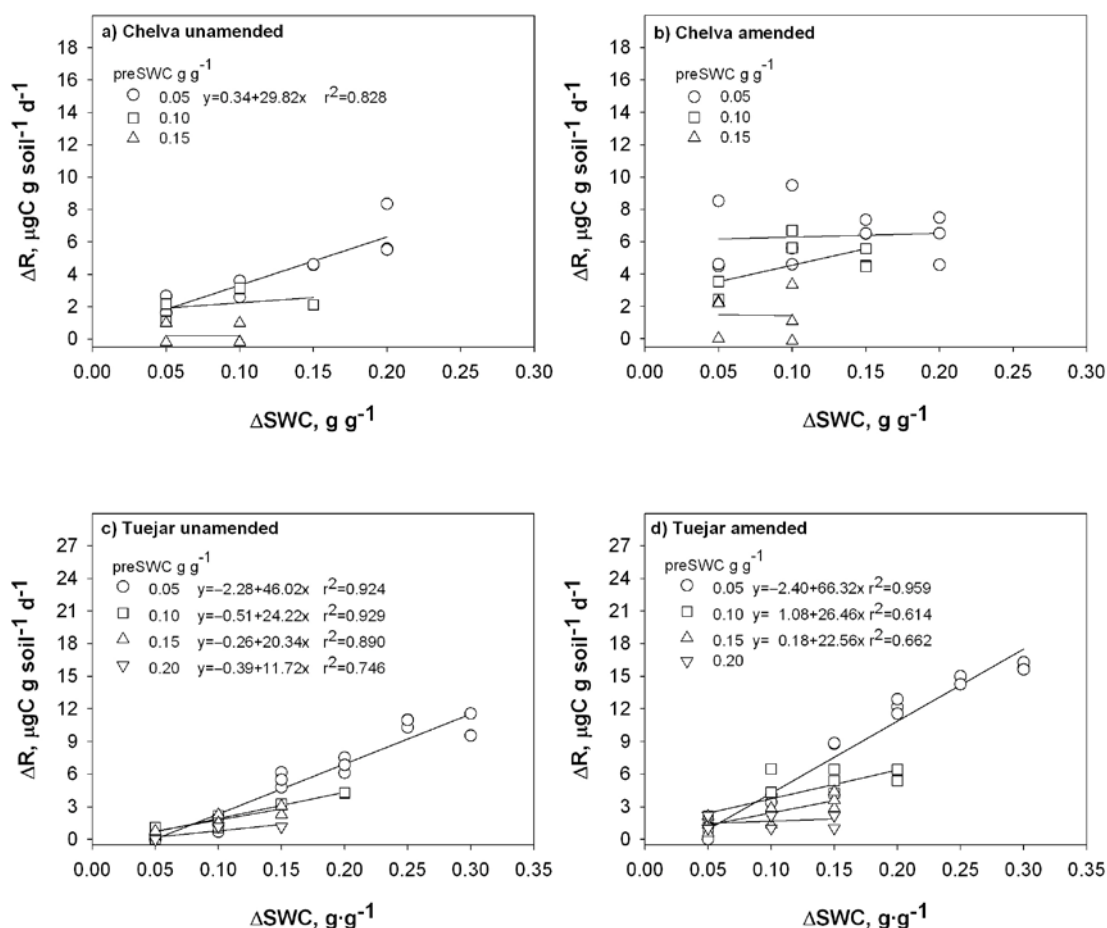
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1 **Figures**

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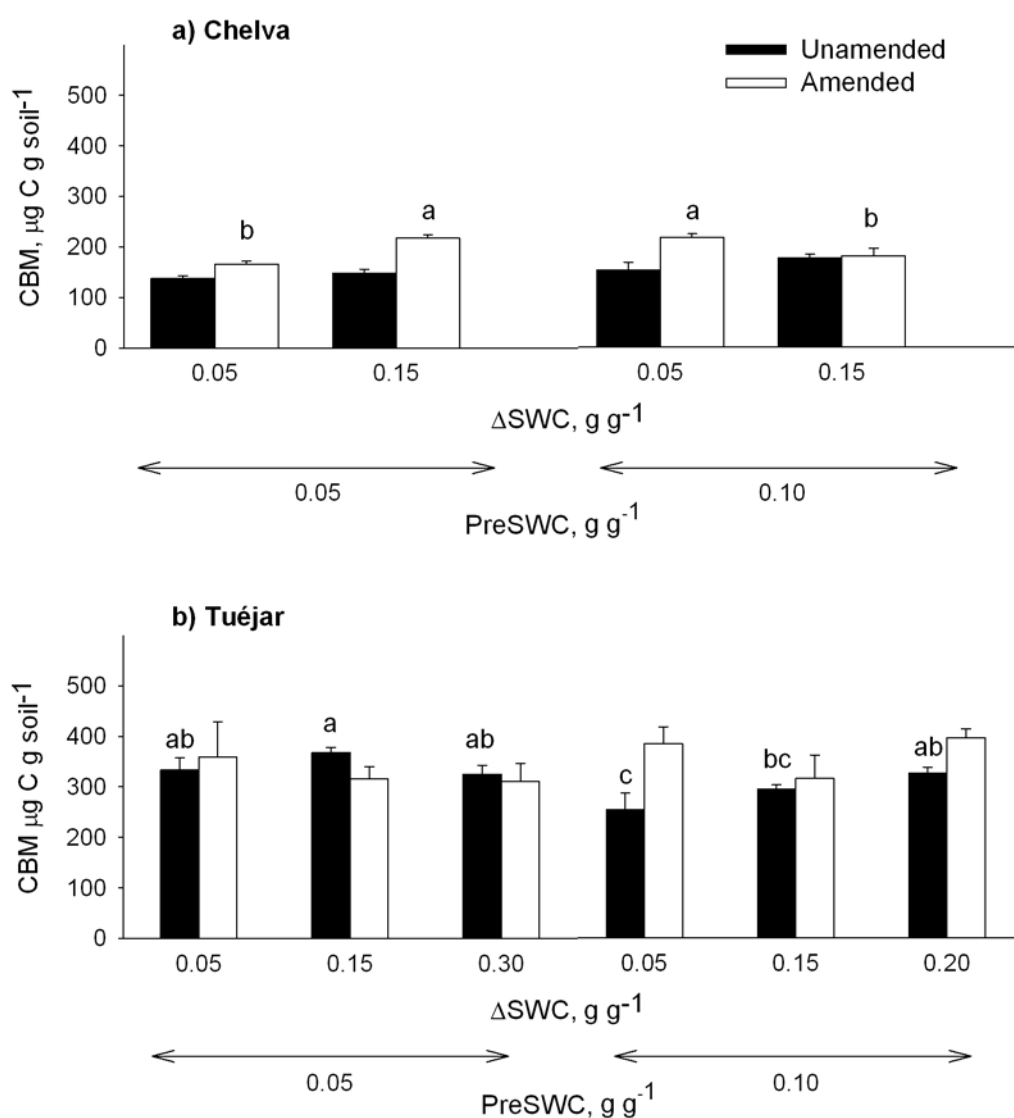


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5 Fig 1. Relationship between the respiration increment caused by the second rewetting ( $\Delta R$ ) and  
 6 soil moisture increment ( $\Delta SWC$ ) for each  $preSWC$  in Chelva unamended (a), Chelva amended  
 7 (b), Tuéjar unamended (c) and Tuéjar amended (d) soils. Regression parameters and  $r^2$  are  
 8 shown when slope is significantly different from zero. In Tuéjar unamended (c) when  
 9  $preSWC=0.05\ g\ g^{-1}$  there were no significant differences between the respiration response in  
 10  $\Delta SWC=0.25$  and  $0.30\ g\ g^{-1}$ . Therefore,  $\Delta R$  increased non-linearly reaching an asymptote when  
 11  $\Delta SWC$  is between 0.20 and 0.25. The linear regression analysis is showed here for comparison  
 12 purposes.

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4 Fig. 2. Chloroform-labile C measured 48 h after the second rewetting (*MBC*) in Chelva (a) and

5 Tuéjar (b) soils. Lower case letters denote one-way ANOVA significant differences ( $P<0.05$ )

6 with rewetting combination as factor. Error bars represent SD.

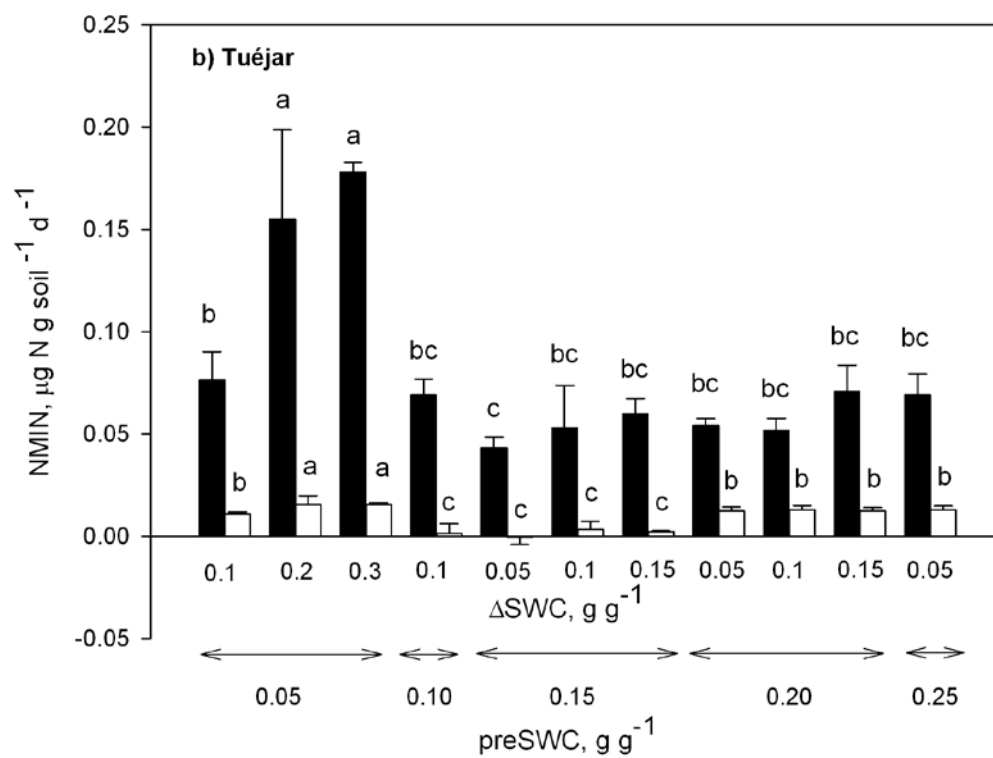
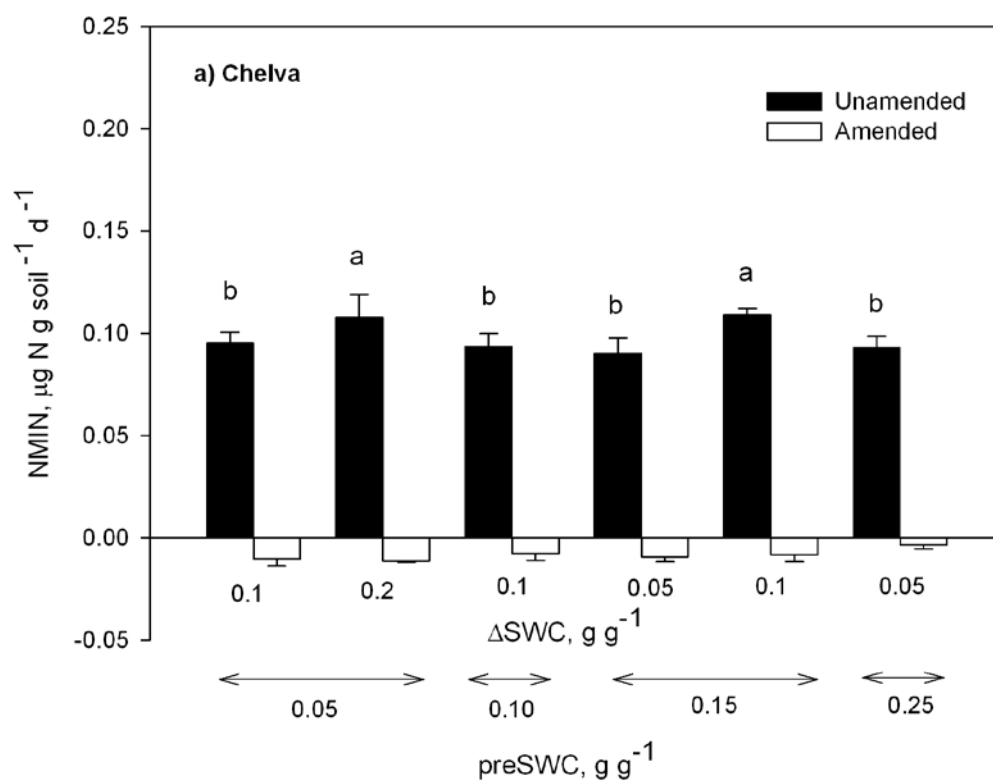
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2 Fig. 3. Net N mineralization (*NMIN*) measured in Chelva (a) and Tuéjar (b) soils in the 128 day  
3 incubation. Lower case letters denote one-way ANOVA significant differences ( $P < 0.05$ ) with  
4 rewetting combination as factor. Error bars represent SD.

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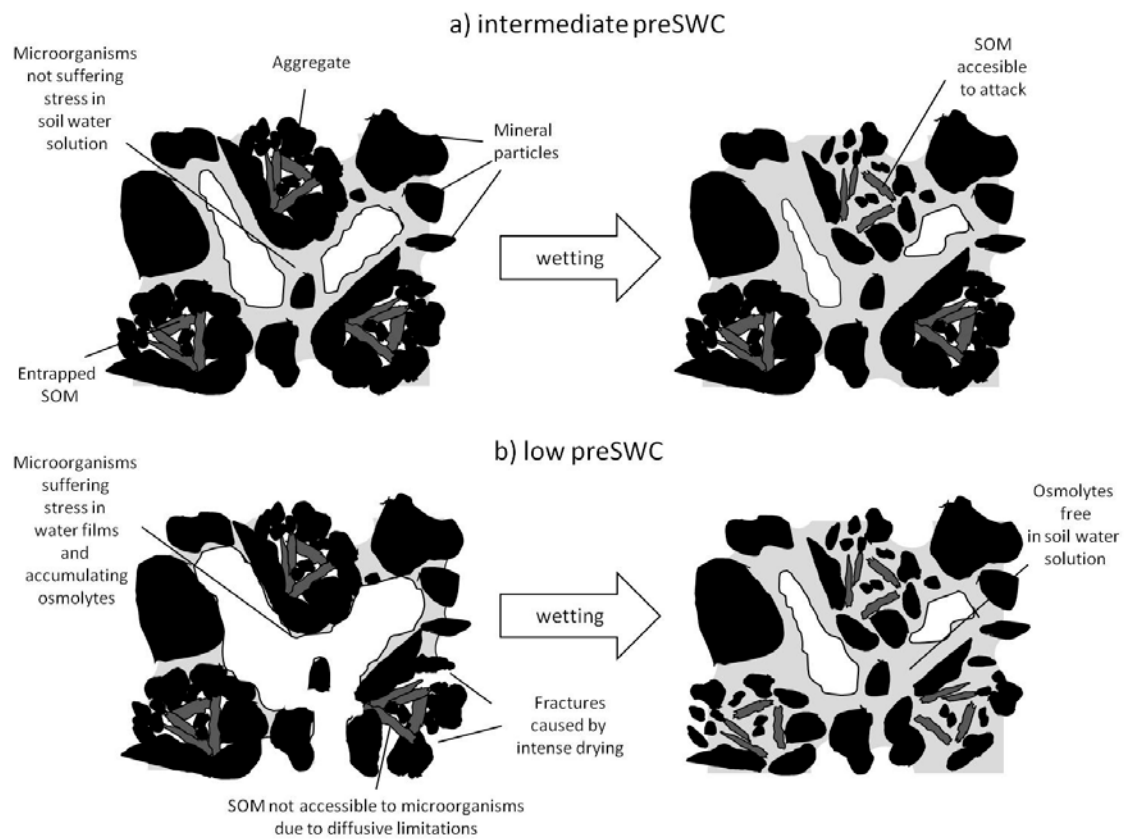
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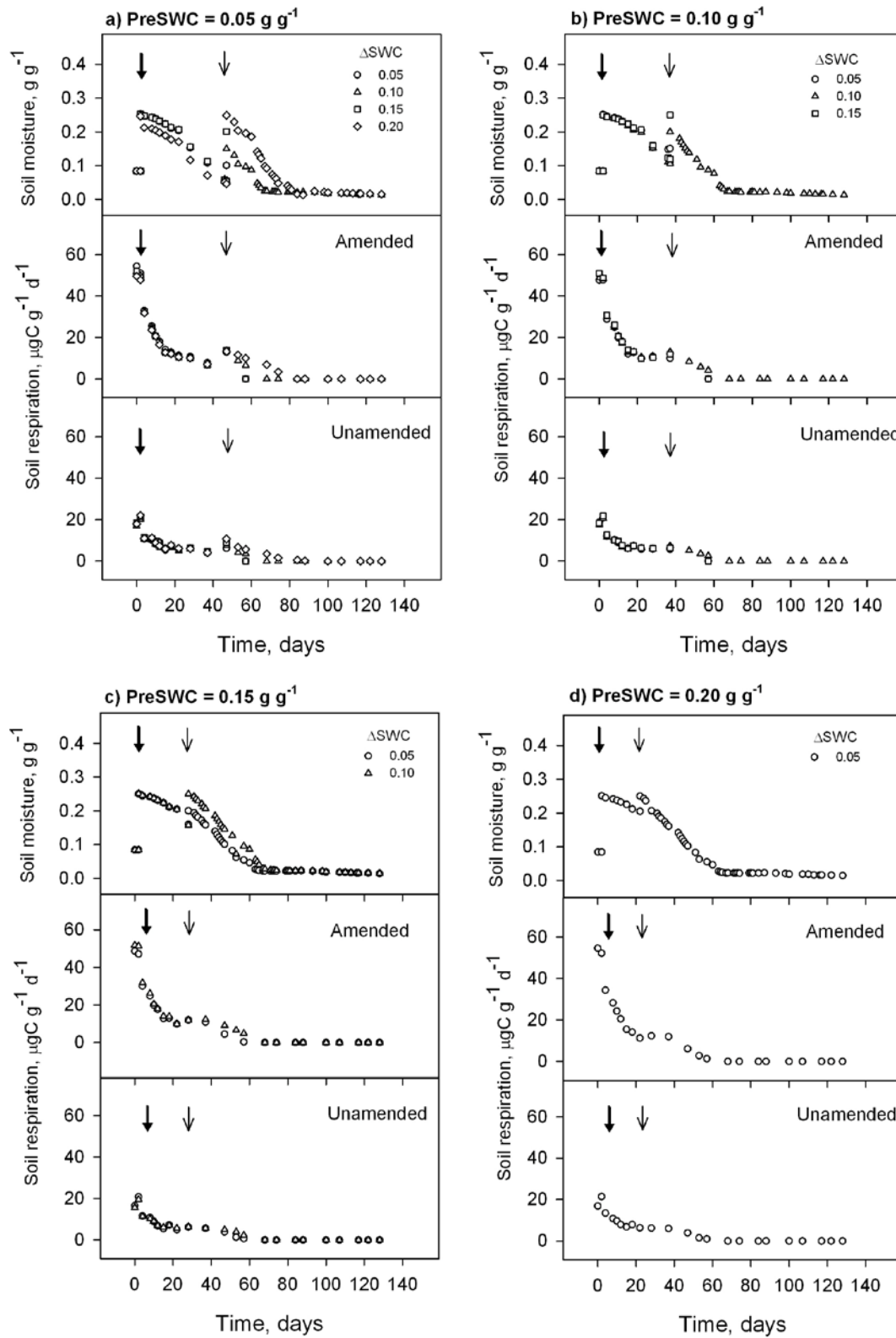
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Fig. 4. Schematic conceptual model of the controlling role of *preSWC* on Birch effect suggested for incubated unamended Tuéjar soil (clay loam) in this experiment. a) At intermediate values of *preSWC* (0.10-0.15 g g<sup>-1</sup>) a wetting event exposes previously unavailable SOM by physical processes, but the change in water potential does not cause a significant osmotic shock to microorganisms. b) Starting from a drier condition (*preSWC* =0.05 g g<sup>-1</sup>, near air-drying) microorganisms are suffering from water stress. If a wetting is applied, “extra” cytoplasmic osmolytes are made available. Furthermore, increasing the severity of drought could enhance the accessibility to SOM after the wetting, due to (i) the aggregate destabilization during the drying process and/or the swelling and slacking of more aggregates. The wetting event is assumed with the same  $\Delta SWC$  for (a) and (b).

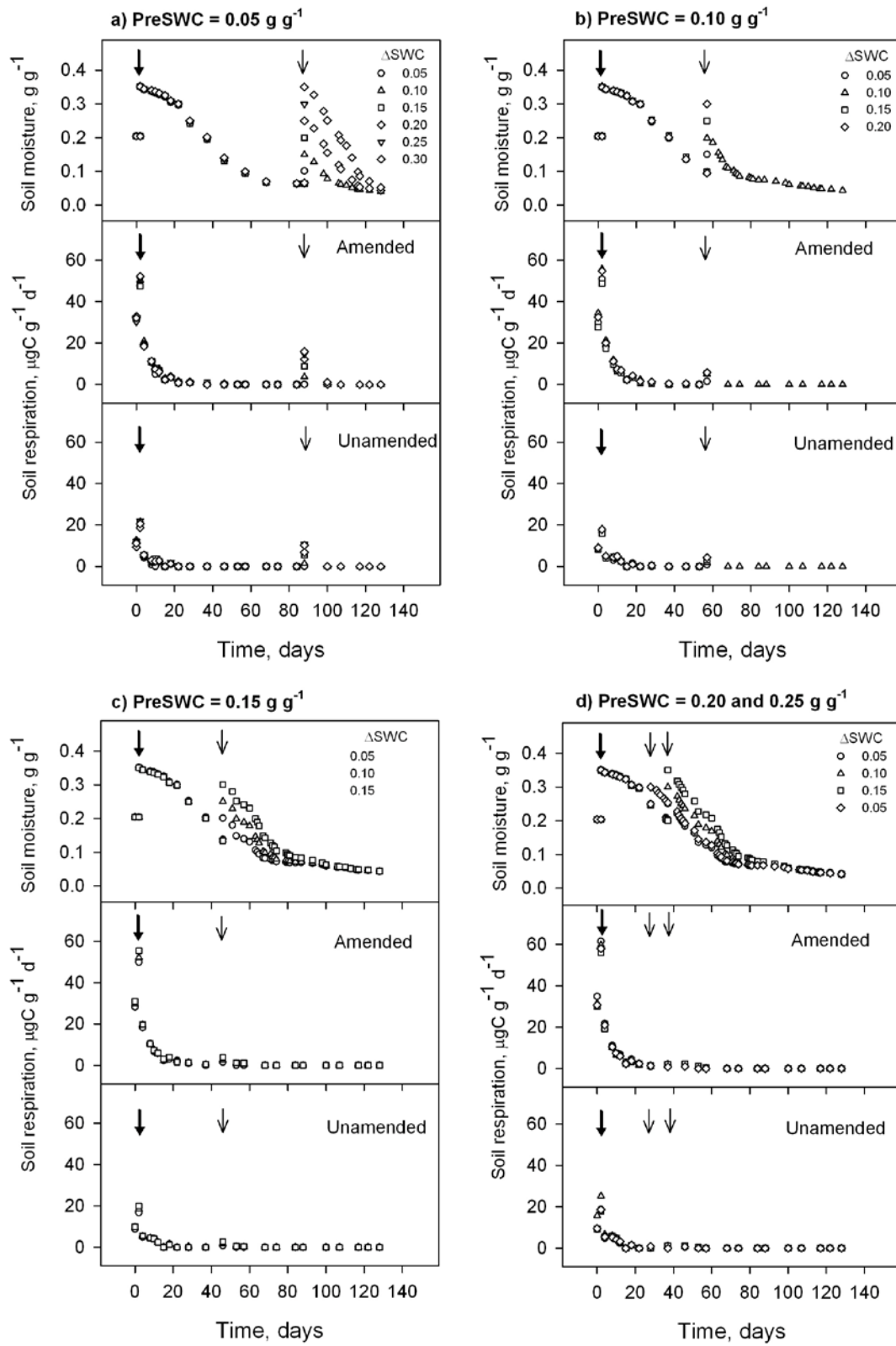


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3 Supplementary data Fig. 1. Respiration and moisture dynamics in Chelva soil for rewetting

- 1 combinations with (a)  $preSWC=0.05 \text{ g g}^{-1}$ , (b)  $preSWC=0.10 \text{ g g}^{-1}$ , (c)  $preSWC=0.15 \text{ g g}^{-1}$  and
- 2 (d)  $preSWC=0.20 \text{ g g}^{-1}$ . Closed arrows indicate the first wetting and open arrows the second
- 3 wetting.
- 4
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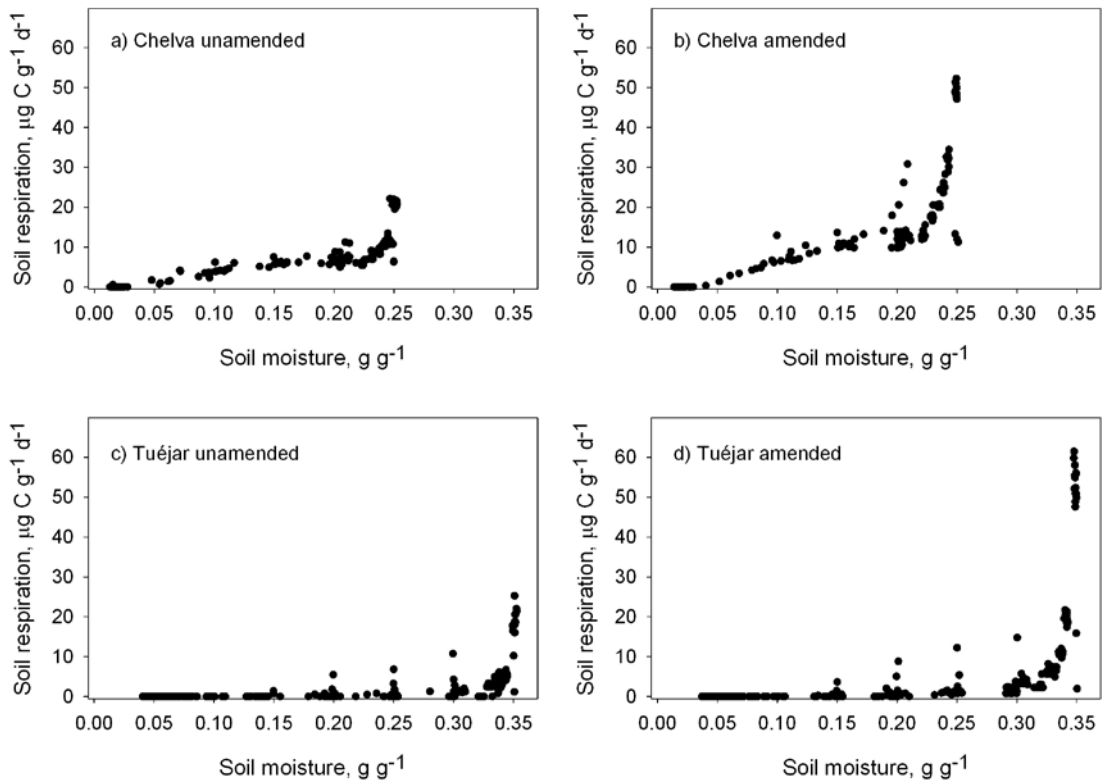


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2 Supplementary data Fig. 2. Respiration and moisture dynamics in Tuéjar soil for rewetting  
3 combinations with (a)  $preSWC=0.05 \text{ g g}^{-1}$ , (b)  $preSWC=0.10 \text{ g g}^{-1}$ , (c)  $preSWC=0.15 \text{ g g}^{-1}$  and  
4 (d)  $preSWC=0.20$  and  $0.25 \text{ g g}^{-1}$ . Closed arrows indicate the first wetting and open arrows the  
5 second wetting.

6

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10 Supplementary data Fig.3. Relationship between respiration rate and soil moisture throughout  
11 the incubation experiment in Chelva unamended (a), Chelva amended (b), Tuéjar unamended  
12 (c) and Tuéjar amended (d) soils.

13

1 **Table 1**

2 Soil and litter characteristics for Chelva and Tuéjar sites.

	Chelva	Tuéjar
<i>Soil chemical characteristics</i>		
pH	8.0	8.3
Conductivity in water extract 1:5 (dS m <sup>-1</sup> )	0.08	0.12
Carbonates (g kg <sup>-1</sup> )	16	106
Organic C (g kg <sup>-1</sup> )	10.9	26.6
Total N (g kg <sup>-1</sup> )	0.77	1.26
C-to-N ratio	14.2	21.2
<i>Soil physical characteristics</i>		
Bulk density (g cm <sup>-3</sup> )	1.44	1.19
Coarse fragments (g kg <sup>-1</sup> )	70	181
Sand (g kg <sup>-1</sup> )	663	203
Silt (g kg <sup>-1</sup> )	157	437
Clay (g kg <sup>-1</sup> )	180	360
Aggregate mean weight diameter (mm)	0.46	0.99
<i>Litter characteristics</i>		
Litterfall rate (g m <sup>-2</sup> year <sup>-1</sup> )	305	319
Total C (g kg <sup>-1</sup> )	538	523
Total N (g kg <sup>-1</sup> )	5.54	5.70
C-to-N ratio	97.1	91.8

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1 **Table 2**

2 Definition of rewetting combinations used in laboratory incubations and corresponding frequency  
 3 observed in the field. More details in the text.

Pre-wetting soil moisture ( <i>pre</i> SWC±0.025 g g <sup>-1</sup> )	Soil moisture increment (Δ <i>SWC</i> ±0.025 g g <sup>-1</sup> )	Final soil moisture ( <i>post</i> - SWC±0.025 g g <sup>-1</sup> )	Rewetting combination <sup>a</sup>	Average number of field observations per year when T>10°C <sup>b</sup>	
				Tuéjar	Chelva
0.05	0.05	0.10	A1	1.0	1.5
	0.10	0.15	A2	0.3	0.5
	0.15	0.20	A3	0.3	1.5
	0.20	0.25	A4	0.3	1.0
	0.25	0.30	A5*	0.3	1.0
	0.30	0.35	A6*	0.0	0.0
0.10	0.05	0.15	B1	1.3	1.0
	0.10	0.20	B2	1.3	0.0
	0.15	0.25	B3	0.0	0.0
	0.20	0.30	B4*	0.3	1.0
0.15	0.05	0.20	C1	0.7	1.5
	0.10	0.25	C2	0.3	2.0
	0.15	0.30	C3*	1.0	0.0
0.20	0.05	0.25	D1	3.0	1.5
	0.10	0.30	D2*	0.3	0.0
	0.15	0.35	D3*	0.3	0.0
0.25	0.05	0.30	E1*	3.0	4.5

4 <sup>a</sup>Combinations marked with an asterisk were not applied to Chelva soil in laboratory incubations.

5 <sup>b</sup>Period of observation for Tuéjar soil: 03/21/2007-03/20/2010 (3 years). Period of observation for Chelva  
 6 soil: 02/19/2009-02/18/2011 (2 years)

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1 **Table 3**

2 Significant ( $P < 0.05$ ) percentages of variance explained by the factors rewetting combination, litter  
 3 addition and the interaction between them, for the respiration rate after the second rewetting ( $R$ ), the  
 4 chloroform-labile C measured 48 h after the second rewetting ( $MBC$ ) and the net N mineralization (N-  
 5  $\text{NO}_3^- + \text{N-NH}_4^+$ ) measured in the 128 day incubation ( $NMIN$ ).

Factors	Explained variance by the factors (%)					
	$R$		$MBC$		$NMIN$	
	Chelva	Tuéjar	Chelva	Tuéjar	Chelva	Tuéjar
<b>Rewetting combination</b>	15.07	89.04	21.54		0.45	23.18
<b>Amendment</b>	72.19	5.62	51.58	11.40	98.07	55.52
<b>Rewetting combination x amendment</b>		3.96	21.53	43.06	0.77	15.24

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**Table 4**

Respiration rates after the second rewetting ( $\mu\text{g C g}^{-1} \text{d}^{-1}$ ) sorted by *postSWC* under different *preSWC* in Chelva soil. Soil matric potentials ( $\psi$ ) were estimated using the Campbell model (Campbell, 1974). Lower case letters denote one-way ANOVA significant differences ( $P < 0.05$ ) with *preSWC* as factor.

		Respiration rate ( $\mu\text{g C g}^{-1} \text{d}^{-1}$ )							
		Unamended soil				Amended soil			
<i>preSWC</i>	$\psi$	<i>postSWC</i>				<i>postSWC</i>			
$\text{g g}^{-1}$	kPa	<b>0.25</b>	<b>0.20</b>	<b>0.15</b>	<b>0.10</b>	<b>0.25</b>	<b>0.20</b>	<b>0.15</b>	<b>0.10</b>
0.05	-1189.3	10.70a	8.82a	7.48a	6.16	13.24	13.83	13.60	12.91
0.10	-68.0	6.34b	7.36b	5.69b		11.90	13.03	9.86	
0.15	-12.7	6.23b	6.23c			11.94	12.00		
0.20	-3.9	6.38b				11.26			
<i>P</i> -value		<b>0.0006</b>	<b>0.0006</b>	<b>0.0221</b>		0.3971	0.1052	0.0740	

$P < 0.05$  are indicated in bold.

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**Table 5**

Respiration rates after the second rewetting ( $\mu\text{g C g}^{-1} \text{d}^{-1}$ ) sorted by *postSWC* under different *preSWC* in Tuéjar soil. Soil matric potentials ( $\psi$ ) were estimated using the Campbell model (Campbell, 1974). Lower case letters denote one-way ANOVA significant differences ( $P < 0.05$ ) with *preSWC* as factor.

		<b>Respiration rate (<math>\mu\text{g C g}^{-1} \text{d}^{-1}</math>)</b>											
<i>preSWC</i>	$\Psi$	<b>Unamended soil</b>						<b>Amended soil</b>					
		<i>postSWC</i>						<i>postSWC</i>					
$\text{g g}^{-1}$	<b>kPa</b>	<b>0.35</b>	<b>0.30</b>	<b>0.25</b>	<b>0.20</b>	<b>0.15</b>	<b>0.10</b>	<b>0.35</b>	<b>0.30</b>	<b>0.25</b>	<b>0.20</b>	<b>0.15</b>	<b>0.10</b>
0.05	< -40000	10.22a	10.74a	6.83a	5.47a	1.38	0.00	15.87a	14.74a	12.20a	8.82a	3.63a	0.00
0.10	-4834.9		4.28b	3.25b	1.81b	0.72			5.73b	5.37b	5.03b	1.43b	
0.15	-776.6		2.80c	1.77c	0.76b				3.78c	2.52c	1.53c		
0.20	-212.2	1.17b	1.18d	0.00d				1.96b	1.94d	1.56c			
0.25	-77.6		0.87d						1.29d				
<i>P</i> -value		<b>0.0002</b>	<b>&lt;0.0001</b>	<b>&lt;0.0001</b>	<b>0.0001</b>	0.2889		<b>&lt;0.0001</b>	<b>&lt;0.0001</b>	<b>&lt;0.0001</b>	<b>0.0001</b>	<b>0.0076</b>	

6  $P < 0.05$  are indicated in bold.

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