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Additional Information

# Mathematical modelling of filtration in submerged anaerobic MBRs (SAnMBRs): long-term validation

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#### **Abstract**

The aim of this study was the long-term validation of a model capable of reproducing the filtration process occurring in a submerged anaerobic membrane bioreactor (SAnMBR) system. The proposed model was validated using data obtained from a SAnMBR demonstration plant fitted with industrial-scale hollow-fibre membranes. The validation was carried out using both lightly and heavily fouled membranes operating at different bulk concentrations, gas sparging intensities and transmembrane fluxes. Across a broad spectrum of operating conditions, the model correctly forecast the respective experimental data in the long term. The simulation results revealed the importance of controlling irreversible fouling in order to ensure sustainable long-term membrane performance.

## Keywords

Industrial-scale hollow fibre modules; long-term validation; resistance in series; filtration model; submerged anaerobic membrane bioreactor

## Highlights

A filtration model for SAnMBRs has been validated using long-term data.

The model was validated for lightly and heavily fouled full-scale HF membranes.

Different MLTS levels, gas sparging intensities and permeate fluxes were applied.

The model reproduced the experimental data accurately.

## 1. Introduction

Understanding and optimising a complex system such as a membrane bioreactor (MBR) is a difficult and time-consuming process mainly because of the large number of sub-processes taking place simultaneously, which generally are highly dependent upon each other. In this regard, mathematical modelling is a powerful tool for studying such complex systems [1].

Certain models have been found to be useful for different objectives related to wastewater treatment plants (WWTPs) such as the research and development of wastewater treatment processes, the design and upgrading of WWTPs, and development of operating and control strategies designed to optimise process performance [2, 3, 4]. Computerised models make it possible to perform many virtual experiments in a short space of time. Therefore the mathematical modelling of filtration in submerged anaerobic MBRs (SAnMBRs) may help gain insights into the key factors in membrane fouling [5], and are also invaluable for the design, prediction and control of membrane technology used for treating wastewater [6]. In this regard, good modelling practices must guarantee adequate calibration/validation results not only in the short term but also in the long term.

One key issue as regards the long-term validation of a SAnMBR filtration model is the lack of data gathered from full-scale facilities, especially those treating urban wastewater. Specifically, there is still a lack of knowledge about irreversible membrane fouling mechanisms in the long term. Thus, there is a need for further research into the long-term performance of industrial-scale membranes in SAnMBRs dealing with urban wastewater in order to accurately validate a filtration model applied to this technology.

In a previous study, on the basis of the experimental results obtained whilst operating a SAnMBR plant fitted with industrial-scale hollow-fibre (HF) membranes, we developed a mathematical model capable of reproducing filtration in SAnMBRs [7]. This filtration model is based on the resistance-in-series modelling concept and can easily be linked to biological models. The proposed model takes into account the effect of the shear intensity in the membrane tank caused by the biogas recycling flow. Thus, the membrane scouring process occurring during the different membrane module operating stages (filtration, relaxation...) can be reproduced. The physical processes considered in this model are: cake layer build-up and compression during filtration; cake layer removal using biogas sparging to scour the membrane; cake layer removal during back-flushing; and the consolidation of irreversible fouling.

The aim of the present study was to validate the above-mentioned filtration model in the long-term under different operating conditions. Once validated, the possibilities of applying this model to the development of different operating and control strategies designed to optimise membrane performance and lifespan were assessed. In order to obtain robust results that could be extrapolated to full-scale applications, the model was validated using long-term data from a SAnMBR plant fitted with industrial-scale HF membranes.

# 2. Materials and methods

## 2.1. SAnMBR plant description

The model was validated in the long term using data from a demonstration-scale SAnMBR system. The plant consists of an anaerobic reactor with a total volume of 1.3  $\text{m}^3$  connected to two membrane tanks each with a total volume of 0.8  $\text{m}^3$ . Each membrane tank has one industrial HF ultrafiltration membrane unit (PURON®, Koch Membrane Systems (PUR-PSH31) with 0.05  $\mu$ m pores). Each module has a total membrane surface of 30  $\text{m}^2$ . For further details of this SAnMBR system, see Robles et al. [8].

## 2.2. On-line and analytical monitoring

To account for the dependence of permeate viscosity on temperature (T), the gross 20 °C-normalised transmembrane flux ( $J_{20}$ ) was calculated from the on-line monitored temperature and gross transmembrane flux ( $J_T$ ) using Eq. 1.

$$J_{20} = J_T \cdot \exp(-0.0239(T - 20))$$
 (Eq. 1)

In addition to being monitored on line (Hach Lange model TSS EX1 sc), a grab sample of anaerobic sludge was taken once a day to determine the mixed liquor total solids (MLTS) concentration according to Standard Methods [9]. The mixed liquor volatile solids (MLVS) of the anaerobic sludge was also analysed each day.

Extracellular polymeric substances (EPS) and soluble microbial products (SMP)

were extracted and measured once a week. Mixed liquor was collected from the system and a sample of 150 mL was centrifuged at 2000xG for 15 min at 4 °C (Eppendorf Centrifuge 5804R). The supernatant was filtered using a 1.2 µm filter and the SMP levels (SMP<sub>C</sub> and SMP<sub>P</sub>, related to carbohydrates and proteins respectively) were measured. The EPS was extracted according to the Cation Exchange Resin (CER) method proposed by Frølund et al. [10]. The sludge pellets were resuspended to their original volume using a buffer consisting of 2 mM Na<sub>3</sub>PO<sub>4</sub>, 4 mM NaH<sub>2</sub>PO<sub>4</sub>, 9 mM NaCl and 1 mM KCl at pH 7. EPS was extracted as follows: 100 mL of the suspension was transferred to an extraction container and 70 g/g MLVS of CER were added; the suspension was stirred at the selected intensity (900 rpm) and extraction time (20 hours) at 4 °C. The extracted EPS was harvested by centrifuging the CER/sludge suspension for 15 min at 12000xG and 4 °C to remove the CER and MLTS. The supernatant was then filtered with a 1.2 µm filter and the extracted EPS levels (eEPS<sub>C</sub> and eEPS<sub>P</sub>, related to carbohydrates and proteins respectively) were measured. The carbohydrates and proteins of both SMP and eEPS were determined by colorimetry according to the methodology proposed by Dubois et al. [11] and Lowry et al. [12] respectively. Bovine serum albumin (BSA) and glucose were used as protein and carbohydrate standards respectively.

## 2.3. Model description

The filtration model used in this study aims at reproducing the main processes that occur during filtration in SAnMBRs: cake layer build-up and compression; sub-critical fouling; membrane scouring by biogas sparging; removal of cake layer by backflushing; and irreversible fouling consolidation. To this aim, the model, which is based on the resistance-in-series modelling concept, was developed using the experimental

results obtained from a SAnMBR plant fitted with industrial-scale HF membranes. The cake layer compression process during filtration is reproduced by considering that the average specific cake resistance,  $\alpha_C$  (m kg<sup>-1</sup>), is time and TMP dependent. Moreover, the model tries to reproduce the specific fouling mechanisms related to sub-critical fouling (e.g. colloidal matter absorption) by increasing  $\alpha_C$  over time. On the other hand, a blackbox approach was proposed for describing the following physical interactions occurring in fouling (reversible and irreversible): attachment of solids to the membrane surface during filtration; removal of solids from the membrane surface due to membrane scouring and back-flushing; and irreversible fouling of the membrane.

#### 2.3.1. Resistance-in-series model

The proposed model represents the dynamic evolution of the transmembrane pressure (TMP) by applying Eq. 2 and Eq. 3.

$$TMP(t) = J \cdot \mu \cdot R_{T} \tag{Eq. 2}$$

Where:

- J is the transmembrane flux (m s<sup>-1</sup>)
- $\mu$  is the permeate dynamic viscosity (kg m<sup>-1</sup> s)
- TMP (t) is the TMP at time t (Pa)
- $R_T$  is the total filtration resistance (m<sup>-1</sup>)

$$R_T = R_M + R_C + R_I = R_M + \omega_C \cdot \alpha_C + \omega_I \cdot \alpha_I$$
 (Eq. 3)

Where:

-  $R_M$  is the intrinsic membrane resistance (m<sup>-1</sup>)

- $R_C$  is the cake layer resistance (m<sup>-1</sup>)
- $R_I$  is the irreversible fouling resistance (m<sup>-1</sup>)
- $\omega_C$  is the mass of cake deposited per membrane area (kg m<sup>-2</sup>)
- $\omega_I$  is the mass of irreversible fouling per membrane area (kg m<sup>-2</sup>)
- $\alpha_I$  is the average specific irreversible fouling resistance (m kg<sup>-1</sup>)

# 2.3.2. Cake layer compression and sub-critical fouling

To account for the cake layer compression process,  $\alpha_C$  was defined as both time and TMP dependent (see second term in the max function of Eq. 4), as per Bugge et al. [13] and Jørgensen et al. [14]. It allowed accounting for the cake compression due to both the deformation of soft sludge flocs and the structural rearrangement of particles [15]. In addition, to account for sub-critical fouling, an additional dependence of  $\alpha_C$  on time was considered in the model [7] (as expressed by the first term in the max function of Eq. 4). It allowed accounting for colloidal matter absorption increasing the specific resistance of cake-like deposits [16]. In our model, we propose that when the maximum  $\alpha_C$  related to the structural rearrangement of particles is reached at a given TMP (see second term in the max function of Eq. 4), it is possible to account for the increase in  $\alpha_C$  due to the absorption of colloids (first term in the max function of Eq. 4), as expressed by Eq. 4 below. For further details of the application of Eq. 4 see Robles et al. [7].

$$\alpha_{C}(t) = \alpha_{C}(t - \Delta t) + \max \left(k_{SF}, k_{t} \cdot \left(\alpha_{C,0} \cdot \left(1 + \frac{TMP}{TMP_{a}}\right) - \alpha_{C}(t - \Delta t)\right)\right) \cdot \Delta t$$
 (Eq. 4)

Where:

-  $\alpha_C(t)$  is the specific resistance of the cake at time t (kg m<sup>-2</sup>).

- $\alpha_C(t \Delta t)$  is the specific resistance of the cake at a previous moment in time (kg m<sup>-2</sup>).
- $k_{SF}$  is the parameter related to sub-critical fouling (kg m<sup>-2</sup> s<sup>-1</sup>).
- $\alpha_{C,0}$  is the specific resistance of the cake at zero pressure (kg m<sup>-2</sup>)
- $TMP_a$  is the pressure needed to double the specific resistance (Pa)
- $k_t$  is the time constant (s<sup>-1</sup>).
- $\Delta t$  is the time step (s).

# 2.3.3. Black-box approach

For describing the above-mentioned physical interactions affecting TMP (cake layer build-up; removal of solids from the membrane surface due to membrane scouring and back-flushing; and irreversible fouling of the membrane), the variations on  $\omega_C$  and  $\omega_I$  must be considered. A black-box approach for modelling the dynamics of  $\omega_C$  and  $\omega_I$  is proposed in the model. The black-box approach considers 3 suspended components: MLTS concentration,  $X_{TS}$  (kg m<sup>-3</sup>); dry mass of cake over the membrane surface,  $X_{m_C}$  (kg); and dry mass of irreversible fouling over the membrane surface,  $X_{m_C}$  (kg).

This model considers a total of four kinetic physical processes: (1) cake layer build-up during filtration; (2) cake layer removal using biogas sparging to scour the membrane; (3) cake layer removal during back-flushing; and (4) irreversible fouling consolidation. The model does not consider diffusive back transport as this process is thought to be less significant than the other processes considered [17]. Table 1 shows the stoichiometry and the kinetic expressions of the four processes considered in the model.

Process 1 (cake layer build-up) is the convective transport of foulants ( $X_{TS}$  in the model) to the membrane, which is a function of permeate flow,  $Q_{20P}$  (m<sup>3</sup> s<sup>-1</sup>), and  $X_{TS}$ . Process 2 (membrane scouring by biogas sparging) is the impact of the hydrodynamic conditions in the membrane tank caused by biogas sparging (measured as BRFv: biogas recycling flow per bulk volume in the membrane tank). In our study, a maximum membrane scouring velocity ( $q_{MS,Max}$ ) was defined for process 2. In process 3, the backflushing removal rate is defined as a function of the back-flushing flow rate,  $Q_{20BF}$  (m<sup>3</sup> s<sup>-1</sup>), and  $X_{m_c}$ . Like Sarioglu et al. [17], we defined a maximum back-flushing removal velocity for process 3,  $q_{BF,Max}$  (m<sup>-3</sup>).

One half-saturation switching function ( $M_{X_{mc}}$ , Eq. 5) for both membrane scouring (process 2) and back-flushing (process 3) was used to gradually decrease the removal rate of solids as the cake layer disappeared [17].

$$M_{X_{m_C}} = \frac{X_{m_C}}{K_{S,X_{m_C}} + X_{m_C}}$$
 (Eq. 5)

Where:

-  $K_{S,X_{m_C}}$  is the half-saturation coefficient of the mass of cake solids during membrane scouring and back-flushing (kg  $X_{m_C}$ ).

Process 2 includes one sigmoid inhibition function ( $I_{MS}$ , Eq. 6) to model the impact of filtering at conditions above or below critical levels. This sigmoid inhibition function

was first defined in Robles et al. [7], on the basis of the results obtained from different flux-step trials conducted in accordance with Robles et al. [18].

$$I_{MS} = \frac{1}{1 + K_F \cdot e(J_{20}(\beta_1 \cdot BRF_V + \beta_1 \cdot MLTS + \gamma))}$$
(Eq. 6)

# Where:

- $K_F$  is the adjustment parameter representing the fouling rate when ( $J_{20}$  tends to zero (Pa s<sup>-1</sup>).
- $\beta_1$  (s<sup>2</sup> m<sup>-1</sup>),  $\beta_2$  (s m<sup>2</sup> kg<sup>-1</sup>),  $\gamma$  (s m<sup>-1</sup>) are the model parameters.

On the basis of long-term experimental results, the value of  $\gamma$  was defined as a function of  $R_I$  to account for the gradual reduction in the filtering capacity of the membranes due to irreversible fouling. This dependence on irreversible fouling can be expressed as:

$$\gamma_t = \gamma_0 - \left(R_{I_t} - R_{I_0}\right) k_{RI} \tag{Eq. 7}$$

## Where:

- $\gamma_t$  is the value of  $\gamma$  at time t (s m<sup>-1</sup>).
- $\gamma_0$  is the value of  $\gamma$  at the initial time (s m<sup>-1</sup>).
- $R_{I_t}$  is the irreversible fouling resistance at time t (m<sup>-1</sup>).
- $R_{I_0}$  is the irreversible fouling resistance at the initial time (m<sup>-1</sup>).
- $k_{RI}$  is the proportional constant (s).

Finally, the irreversible fouling (process 4) is represented in the proposed model as a direct function of  $X_{m_c}$  and a maximum irreversible fouling kinetic constant,  $q_{IF,Max}$  (s<sup>-1</sup>).

The parameters used in this filtration model were calibrated in a previous study by off-line and dynamic calibration methods using short-term and long-term data (see Robles et al. [7]). Table 2 shows the previously calibrated values, which have been used in this study with no further adjustment. For further details of this filtration model see Robles et al. [7].

# 2.4. Long-term model validation

The filtration model used in this study was previously calibrated using both shortand long-term data obtained from the SAnMBR plant mentioned earlier [7]. The
calibrated values of the different parameters included in the model are shown in Table
2. In this study we have validated the model in the long-term using both heavily-fouled
and lightly-fouled membranes. The data needed to validate the model was also obtained
from said SAnMBR plant. The long-term validation using heavily-fouled membranes
comprised an operating period of 180 days, whilst the long-term validation using
lightly-fouled membranes comprised an operating period of 140 days. Both validations
aimed to demonstrate the capability of the proposed model to reproduce filtration in
SAnMBRs even when operating under quite different dynamic operating conditions (i.e.
using heavily-fouled and lightly-fouled membranes).

The average daily operating conditions applied during the long-term model validation using heavily-fouled membranes were as follows: sludge retention time

(SRT) of 38.5 days, average operating temperature of 20 °C, MLTS levels from 15 to 25 g L<sup>-1</sup>, specific gas demand per square metre of membrane (SGD<sub>m</sub>) from 0.13 to 0.46 Nm<sup>3</sup> h<sup>-1</sup> m<sup>-2</sup> and net 20 °C-normalised transmembrane flux (*J*<sub>20net</sub>) from 2.5 to 12 LMH. The average daily operating conditions applied during the long-term model validation using lightly-fouled membranes were as follows: SRT of 71.5 days, average operating temperature of 33 °C, MLTS levels from 7 to 25 g L<sup>-1</sup>, SGD<sub>m</sub> controlled at 0.23 Nm<sup>3</sup> h<sup>-1</sup> m<sup>-2</sup> and *J*<sub>20net</sub> of approx. 8 and 11 LMH.

It must be emphasised that both long-term validations were conducted at different SRT and T, therefore different propensities to irreversible fouling were expected. However, the value of  $q_{IF,Max}$  remained the same in both instances, resulting in acceptable results. This was mainly attributed to the presence of similar amounts of SMP and EPS in the mixed liquor (around  $150 - 180 \text{ mg g}^{-1}$  MLVS and  $60 - 80 \text{ mg g}^{-1}$  MLVS, respectively). In this regard, SMP and EPS seem to be the main factors affecting irreversible fouling in MBRs [19], which are directly dependent on T [20] and SRT [21]. Usually, SMP and EPS decrease as SRT increases, whilst SMP and EPS increase as the temperature increases due to greater microbial activity. Therefore,  $q_{IF,Max}$  may be assumed to be a function of both T and SRT. In our study, since the operating period spanning the highest SRT (71.5 days) was conducted at the highest temperature (33 °C) and the period spanning the lowest SRT (38.5 days) was conducted at the lowest temperature (20 °C), it was expected that the impact of both SRT and T upon irreversible fouling would offset each other. For this reason, the same  $q_{IF,Max}$  value was applied in both operating periods.

## 3. Results and discussion

## 3.1. Model validation using heavily-fouled membranes

As commented before, heavily-fouled membranes were used for validating the model when operating at SRT of 38.5 days and 20 °C. Figure 1a shows the daily average values for  $J_{20net}$  (ranging from 2.5 to 12 LMH) and SGD<sub>m</sub> (ranging from 0.13 to 0.46 Nm<sup>3</sup> h<sup>-1</sup> m<sup>-2</sup>). Since, as we have already said, model validation was conducted using heavily-fouled membranes, the operating  $J_{20net}$  was very low. Figure 1b shows the average daily values of the simulated and experimental TMP (TMP<sub>SIM</sub> and TMP<sub>EXP</sub>, respectively). As this figure shows, even when operating with variable  $J_{20net}$  and SGD<sub>m</sub> (see Figure 1a), the model was able to reproduce membrane performance correctly in the long term (see Figure 1b), resulting in an adequate Pearson Product-Moment correlation coefficient (r) between TMP<sub>EXP</sub> and TMP<sub>SIM</sub> of 0.893. Nevertheless, a slight deviation of  $TMP_{SIM}$  from  $TMP_{EXP}$  was observed on operating days 67 – 73. This deviation was attributed to the fact that no data was gathered on those days from the online biogas composition analyser needed to normalise the volumetric biogas recycling flow (the on-line biogas composition analyser was not available due to maintenance). To account for the dependence of volumetric biogas flow on biogas composition, SGD<sub>m</sub> was normalised using Eq. 4. On operating days 67 - 73, the on-line biogas composition analyser was not available due to maintenance requirements. Therefore, the correction was not applied and lower SGD<sub>m</sub> values than the ones resulting from applying Eq. 4 were recorded (a reduction in the  $SGD_m$  values on operating days 67 - 73 can be seen in Figure 1a). In this regard, the model would probably have predicted lower TMP<sub>SIM</sub> values than the ones shown on operating days 67 - 73 (see Figure 1b) if the correctly corrected SGD<sub>m</sub> had been recorded (decreasing SGD<sub>m</sub> means increasing the amount of solids deposited on the membrane surface, which increases TMP).

$$SGD_{m_{CORRECTED}} = SGD_{m_{MEASURED}} \cdot frho$$
 (Eq. 4)

Where:

$$- frho = \sqrt{\frac{rho_{AIR}}{\left(rho_{CH4} \cdot \%CH_4 + rho_{CO2} \cdot \%CO_2 + rho_{H2} \cdot \%H_2 + rho_{H2S} \cdot \%H_2S + rho_{N2} \cdot \%N_2\right)}/100}$$

- *rho<sub>AIR</sub>*: volumetric weight of air (1.2930 kg m<sup>-3</sup>)
- *rho<sub>CH4</sub>*: volumetric weight of CH<sub>4</sub> (0.7168 kg m<sup>-3</sup>)
- *rho<sub>CO2</sub>*: volumetric weight of CO<sub>2</sub> (1.9768 kg m<sup>-3</sup>)
- $rho_{H2}$ : volumetric weight of H<sub>2</sub> (0.0449 kg m<sup>-3</sup>)
- $rho_{H2S}$ : volumetric weight of H<sub>2</sub>S (1.5195 kg m<sup>-3</sup>)
- $rho_{N2}$ : volumetric weight of N<sub>2</sub> (1.2505 kg m<sup>-3</sup>)

Figure 2a shows the average daily MLTS level (ranging from 15 to 25 g L<sup>-1</sup>), and the simulated average daily values for  $\omega_C$  and  $\omega_I$ . The  $R_I$  (determined as the difference between  $R_T$  and  $R_M$  during back-flushing) obtained from experimental data, gave an initial  $\omega_I$  of 0.03 kg  $X_{m_I}$  m<sup>-2</sup> (see Figure 2a). As it was expected (Figure 2a), the highest  $\omega_C$  values occurred when operating at high  $J_{20neI}$  (see operating days 60 – 75 in Figure 1a), which corresponds to the lowest SGD<sub>m</sub> (0.13 – 0.2 Nm<sup>3</sup> h<sup>-1</sup> m<sup>-2</sup>, see Figure 1a). This is the result of an increasing convective transport of foulants ( $X_{TS}$  in the model) from the bulk to the membrane surface, combined with weak shear conditions in the membrane tank due to a reduction in the biogas sparging intensity. On the contrary, a considerable decrease in  $\omega_C$  (due to increasing the membrane scouring rate) was observed when  $J_{20neI}$  fell from about 7 to 3 LMH (see operating days 115 – 120 in Figure 1a). It must be emphasised that the membrane scouring velocity ( $q_{MS}$ ) is a function of an exponential-type inhibition function that depends on both MLTS and  $J_{20}$  (Eq. 6), which is used to model the effect of filtering below or above critical conditions [7]. It can, therefore, be assumed that the membranes were operated supra-critically on operating days 60 – 75

and sub-critically on operating days 115 - 120.

Irreversible fouling is represented in the model as a direct function of  $X_{m_C}$ . Therefore,  $\omega_C$ , which directly depends on  $J_{20net}$ , was assumed to be one key factor that finally determines the irreversible fouling of the membranes. In this respect, high  $\omega_C$  values resulting from operating at high  $J_{20}$  values will result in a greater propensity to irreversible fouling than when operating at low  $J_{20}$  values.

As Figure 2a shows, the highest irreversible fouling rate modelled (0.0051 kg  $X_{m_l}$  m<sup>-2</sup> d<sup>-1</sup>) was reached on operating days 63 – 73 (which coincides with the highest  $\omega_C$  levels). In addition, a decrease in the irreversible fouling rate from 0.0015 to 0.0005 kg  $X_{m_l}$  m<sup>-2</sup> d<sup>-1</sup> was observed (calculated on operating days 104 – 115 and 120 – 131, respectively) when  $J_{20net}$  decreased from about 7 to 3 LMH (see operating days 115 – 120 in Figure 1a):  $\omega_C$  decreased from approx. 0.5 to 0.25 kg m<sup>-2</sup>. Apart from  $J_{20net}$ ,  $\omega_C$  is also dependent on MLTS. Nevertheless, even when MLTS decreased from approx. 18.5 to 15 g L<sup>-1</sup> on operating days 148 to 181, the model predicted an increase in the irreversible fouling rate from 0.0006 to 0.0019 kg  $X_{m_l}$  m<sup>-2</sup> d<sup>-1</sup> (calculated on operating days 121 – 144 and 148 – 179, respectively) due to  $J_{20net}$  increasing from about 3 to 8 LMH (see operating days 145 – 150 in Figure 1a). The average irreversible fouling rate for the whole operating period (181 days of continuous operation) resulted in 0.00015 kg  $X_{m_l}$  m<sup>-2</sup> d<sup>-1</sup>.

It must be emphasised that modelling  $\omega_C$  may make it possible to optimise overall membrane performance in SAnMBR technology because it enables operating and control strategies designed to minimise cake layer formation to be tested and developed.

Figure 2b shows the simulated average daily values of  $\alpha_C$ . As this figure shows,  $\alpha_C$  increased from approx.  $2 \cdot 10^{13}$  to  $25 \cdot 10^{13}$  m kg<sup>-1</sup> when TMP increased from approx. 0.1 to 0.3 bar (see operating days 70 - 75 in Figure 1b). Similar behaviour was observed on operating days 143 - 181 (see Figure 1a), when TMP was 0.1 - 0.2 bars. The considerable increase in  $\alpha_C$  due to the increase in TMP highlights the need to model not only cake build-up but also cake compression in filtration processes of this type [13, 14].

Figure 3a shows the average daily results (in absolute terms) of the simulation obtained at the different filtration resistances ( $R_T$ ,  $R_M$ ,  $R_C$  and  $R_I$ ) considered in the model. As regards reversible fouling, Figure 3a shows a sharp increase in  $R_C$  (and consequently in  $R_T$ ) on operating days 60 - 75, caused by the high values of  $\omega_C$  and  $\alpha_C$  observed (see Figure 2). Similar behaviour can be seen on operating days 141 - 181. In this period it was assumed that the membranes were operated around or above the critical flux level, resulting in the accumulation of more solids on the membrane surface than when operating sub-critically (see, for example, operating days 1 - 41 and 81 - 141). As regards irreversible fouling, Figure 3a shows a continuous increase in  $R_I$  over time as a result of the continuous increase in  $\omega_I$  (see Figure 2a).

Since it is possible to simulate  $R_I$  and  $R_C$  from the predicted  $\omega_C$  and  $\omega_I$  values, the weighted average daily distribution for  $R_T$  can be modelled in the long-term (see Figure 3b). As Figure 3b shows, a constant slightly decrease in the weighted average contribution of  $R_M$  to  $R_T$  is observed throughout the operating period mainly as a result of the increasing contribution of  $R_I$  to  $R_T$ . On the other hand, the weighted average contribution of  $R_C$  to  $R_T$  remained around 0% on operating days 1-41 and 81-141 due

to the fact that filtration conditions were sub-critical. This weighted average contribution increased sharply when operating at fluxes around or above the critical flux level (see operating days 61 - 81 and 141 - 181).

# 3.2. Model validation using lightly-fouled membranes

Lightly-fouled membranes were used for the validation of the model when operating at SRT of 71.5 days and 33 °C. Figure 4a shows the average daily values of  $J_{20net}$  (approx. 8 and 11 LMH) and SGD<sub>m</sub> (0.23 Nm<sup>3</sup> h<sup>-1</sup> m<sup>-2</sup>) in this operating period. Figure 4b shows the average daily values of TMP<sub>SIM</sub> and TMP<sub>EXP</sub>. Similar to the results obtained when operating using heavily-fouled membranes, Figure 4b illustrates how the model was able to correctly reproduce long-term membrane performance operating under completely different conditions (lightly-fouled membranes) than in Figure 1b (see Figure 4b), resulting in a Pearson's r coefficient between TMP<sub>EXP</sub> and TMP<sub>SIM</sub> of 0.987

Figure 5a shows the average daily MLTS level (ranging from 7 to 25 g L<sup>-1</sup>), and the simulated average daily values of  $\omega_C$  and  $\omega_I$ . Using the R<sub>I</sub> determined experimentally as mentioned before, gave an initial  $\omega_I$  of about 0.006 kg  $X_{m_I}$  m<sup>-2</sup> (see Figure 5a). In this case, Figure 5a shows how  $\omega_C$  increases as MLTS increases (a constant operating  $J_{20net}$  was maintained during the operating period, as, for instance, on operating days 57 – 141 in Figure 4a). Similar to the results shown in Figure 2, this is due to the increasing convective transport of foulants ( $X_{TS}$  in the model) to the membrane surface caused by an increase in the bulk concentration. On the other hand, a significant increase in  $\omega_C$  can be seen after operating day 130. As mentioned before,  $q_{MS}$  is function of an exponential-

type inhibition function that depends on both MLTS and  $J_{20}$ , which is used to model the effect of filtering at levels above or below critical conditions. Therefore, it can be assumed that the membranes were operated at near critical flux on operating days 131 – 141 (operating at 23 g L<sup>-1</sup> of MLTS). Indeed, the critical flux was determined experimentally at these operating conditions (23 g L<sup>-1</sup> of MLTS and SGD<sub>m</sub> of 0.23 Nm<sup>3</sup> h<sup>-1</sup> m<sup>-2</sup>) giving a value of about 13.5 LMH, which coincides with the gross operating  $J_{20}$  in this operating period.

As mentioned above, since the irreversible fouling rate is represented in the model as a direct function of  $\omega_C$ , a higher propensity to irreversible fouling was observed when operating at high  $\omega_C$  values due to operating at high MLTS levels (see operating days 131-141 in Figure 4a). In this respect, Figure 5a shows the highest irreversible fouling rate modelled in this operating period (0.0004 kg  $X_{m_l}$  m<sup>-2</sup> d<sup>-1</sup>) that was reached on operating days 131-141 (corresponding with the highest  $\omega_C$  levels). On the other hand, an irreversible fouling rate of 0.0001 kg  $X_{m_l}$  m<sup>-2</sup> d<sup>-1</sup>) was modelled on operating days 1-131. The average irreversible fouling rate for the whole operating period (141 days of continuous operation) resulted in 0.00012 kg  $X_{m_l}$  m<sup>-2</sup> d<sup>-1</sup>.

It must be said that similar overall irreversible fouling rates were observed in both operating periods: when operating at SRT of 71.5 days and 33 °C (0.00015 kg  $X_{m_l}$  m<sup>-2</sup> d<sup>-1</sup>) and when operating at SRT of 38.5 days and 20 °C (0.00012 kg  $X_{m_l}$  m<sup>-2</sup> d<sup>-1</sup>). As mentioned before, this was mainly attributed to the presence of similar amounts of SMP and EPS in the mixed liquor (around 150 – 180 mg g<sup>-1</sup> MLVS and 60 – 80 mg g<sup>-1</sup> MLVS respectively), which resulted in similar propensities to irreversible fouling. Nevertheless, further research is required in the long term to establish the exact

dependence of  $q_{IF.Max}$  upon T and SRT.

The simulated average daily values for  $\alpha_C$  were maintained from approx.  $1.1 \cdot 10^{13}$  to  $1.8 \cdot 10^{13}$  m kg<sup>-1</sup> due to operating at low average TMP values (approx. 0.007 - 0.07 bar) throughout the whole operating period ( $\alpha_C$  followed a similar behaviour to TMP).

Figure 5b shows the simulated average daily results (in absolute terms) recorded in this operating period at the different filtration resistances considered in the model ( $R_T$ ,  $R_M$ ,  $R_C$  and  $R_I$ ). Regarding reversible fouling, Figure 5b shows low  $R_C$  values during the operating period resulting from the low values observed in  $\omega_C$  and  $\alpha_C$ . Concerning irreversible fouling, Figure 5b shows an increasing  $R_T$  value due to an increasing  $R_I$  value. The increase in  $R_I$  is the result of the continuous modelled increase in  $\omega_I$  (see Figure 5a). This behaviour highlights the necessity of modelling the irreversible fouling phenomenon in the long-term to account for the actual variation of  $R_T$  over time. In this regard, a constant slightly increase in the weighted average contribution of  $R_I$  to  $R_T$  was observed throughout this operating period due to slightly increasing  $\omega_I$  over time (see Figure 5a). On the other hand, the weighted average contribution of  $R_C$  to  $R_T$  remained around 0% until operating day 131 when sub-critical filtration conditions were applied.

Finally, it is important to highlight that the model was able to reproduce the filtration process in a SAnMBR system across a wide range of operating conditions when working with both lightly- and heavily-fouled membranes. These results illustrate the potential of the proposed model for predicting membrane performance in the long term. Therefore, because it was possible to simulate the long-term average daily weighted distribution of  $R_T$  (including  $R_I$ ), the proposed filtration model can indeed be used for the following objectives: to design and upgrade SAnMBR systems and to

develop operating and control strategies designed to optimise the long-term performance of the process.

#### **5. Conclusions**

A filtration model has been validated in the long-term in an SAnMBR demonstration plant fitted with industrial-scale hollow-fibre membranes. The model was validated in a wide range of operating conditions using both heavily-fouled and lightly-fouled membranes. Model validation resulted in an adequate Pearson Product-Moment correlation coefficient (*r*) between experimental and simulated data. The simulation results revealed that irreversible fouling is the main component in the weighted average distribution of total filtration resistance in the long term.

## Acknowledgements

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# Figure and table captions

**Figure 1.** Long-term model validation using heavily-fouled membranes. Daily average values of: (a)  $J_{20}$  and SGD<sub>m</sub>; and (b) TMP<sub>EXP</sub> and TMP<sub>SIM</sub>. \* r represents the Pearson Product-Moment correlation coefficient between  $TMP_{EXP}$  and  $TMP_{SIM}$ .

**Figure 2.** Long-term model validation using heavily-fouled membranes. Daily average values of: (a) MLTS,  $\omega_C$  and  $\omega_I$ ; and (b)  $\alpha_C$ .

**Figure 3.** Long-term model validation using heavily-fouled membranes. Daily average values of  $R_M$ ,  $R_I$ ,  $R_C$  and  $R_T$  in: (a) absolute terms (m<sup>-1</sup>); and (b) weighted average distribution (%).

**Figure 4.** Long-term model validation using lightly-fouled membranes. Daily average values of: (a)  $J_{20}$  and SGD<sub>m</sub>; and (b) TMP<sub>EXP</sub> and TMP<sub>SIM</sub>. \* r represents the Pearson Product-Moment correlation coefficient between  $TMP_{EXP}$  and  $TMP_{SIM}$ .

**Figure 5.** Long-term model validation using lightly-fouled membranes. Daily average values of: (a) MLTS,  $\omega_C$  and  $\omega_I$ ; and (b)  $R_M$ ,  $R_I$ ,  $R_C$  and  $R_T$ .

**Table 1.** Stoichiometry and kinetic expressions of the processes considered in the model.

**Table 2.** Values for the different parameters included in the proposed filtration model.

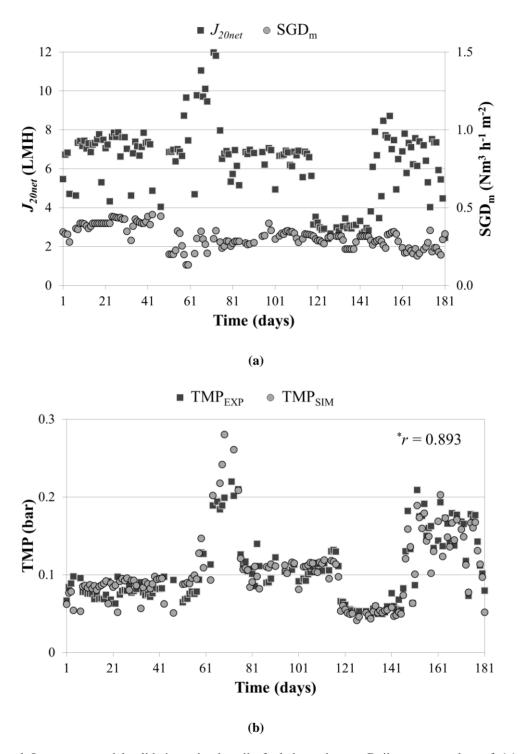
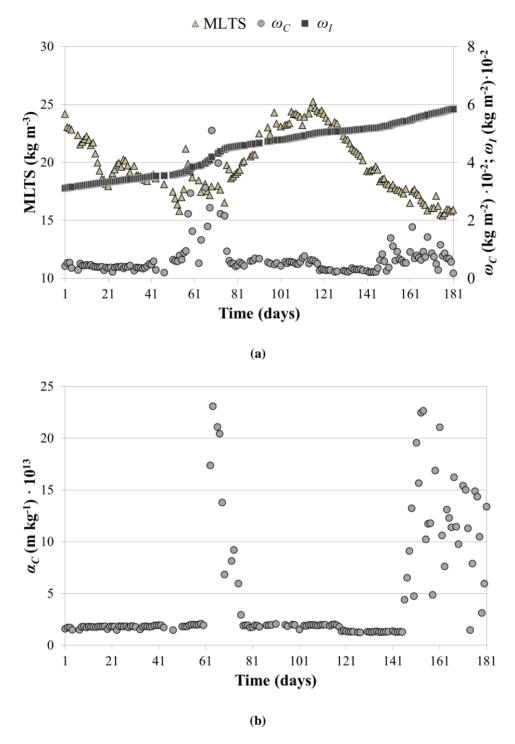
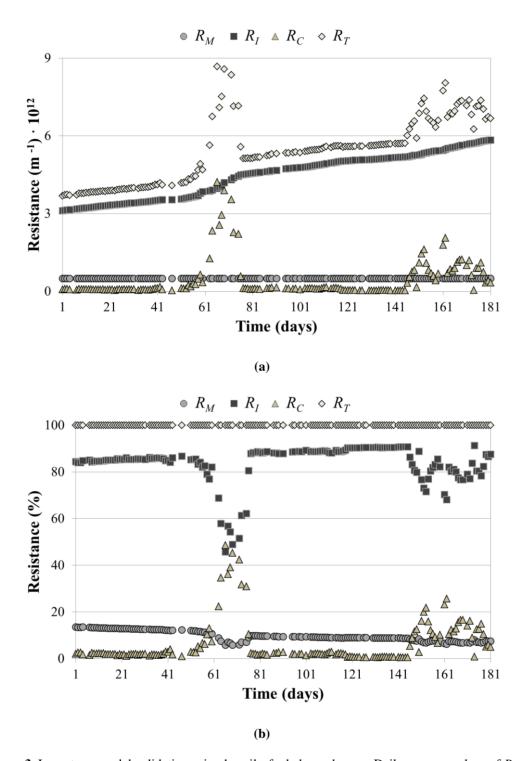


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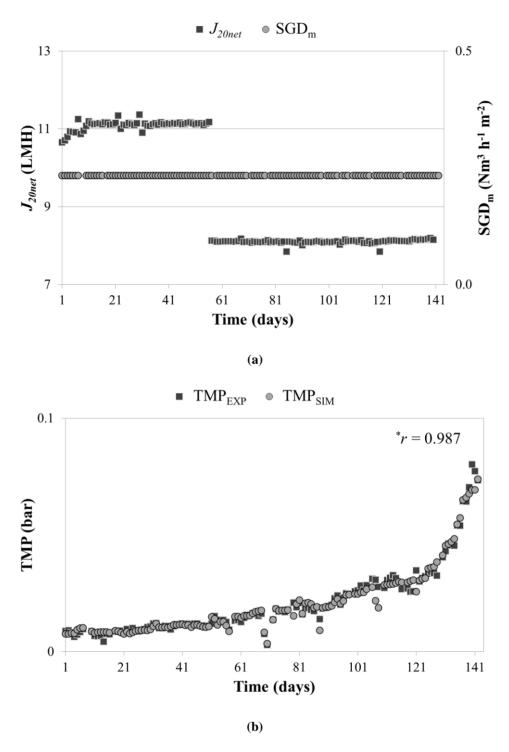
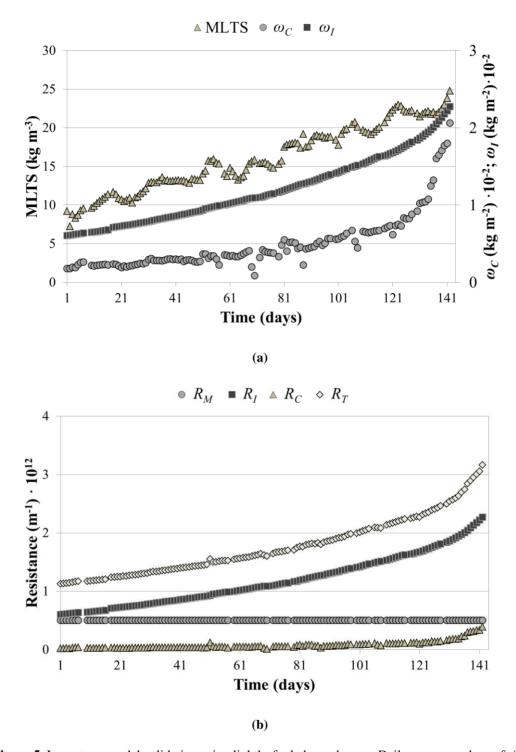


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**Table 1.** Stoichiometry and kinetic expressions for the processes considered in the model.

Component i j Process	$X_{TS}$	$X_{m_C}$	$X_{m_I}$	Kinetic expression
1. Cake layer formation	-1	1		$Q_{20P}{:}X_{TS}$
2. Membrane scouring by biogas	1	-1		$q_{\scriptscriptstyle MS,Max}$ : $M_{\scriptscriptstyle X_{\scriptscriptstyle m_{\scriptscriptstyle C}}}$ : $I_{\scriptscriptstyle MS}$ : $BRF_{\scriptscriptstyle V}$ : $X_{\scriptscriptstyle m_{\scriptscriptstyle C}}$
3. Cake layer detachment during back-flushing	1	-1		$q_{BF,Max}Q_{20BF}\cdot M_{X_{m_C}}\cdot X_{m_C}$
4. Irreversible fouling consolidation		-1	1	$q_{{}_{I\!F},Max}{\cdot}X_{{}_{m_C}}$

**Table 2.** Values for the different parameters included in the proposed filtration model.

Parameter	Units	Value
<i>qмs,мах</i>		6.31
$q_{BF,Max}$	m <sup>-3</sup>	1
<i>q</i> <sub>IF,Max</sub>	$s^{-1}$	$3 \cdot 10^{-07}$
$K_{S,X_{m_C}}$	$~{\rm kg}~X_{_{m_C}}$	0.2
$\alpha_{C,0}$	m kg <sup>-1</sup>	$1.02 \cdot 10^{13}$
$TMP_a$	kPa	18.9
$k_t$	$s^{-1}$	1
$k_{SF}$	m kg <sup>-1</sup> s <sup>-1</sup>	$4.09 \cdot 10^{10}$
$K_F$	Pa s <sup>-1</sup>	$5.6 \cdot 10^{-4}$
$\beta_1$	$s^2 m^{-1}$	$-2.48 \cdot 10^8$
$\beta_2$	${ m s}~{ m m}^2~{ m kg}^{-1}$	$5.1 \cdot 10^4$
γο	s m <sup>-1</sup>	$2.81 \cdot 10^6$
$k_{RI}$	S	$1.6 \cdot 10^{-07}$
$\alpha_I$	m kg <sup>-1</sup>	$1 \cdot 10^{14}$