

1 **MECHANICAL, MICROSTRUCTURE AND PERMEABILITY PROPERTIES**  
2 **OF A MODEL BREAD CRUST: EFFECT OF DIFFERENT FOOD ADDITIVES**

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12 **ABSTRACT**

13 The aim of this study was to understand the action of different additives on the crust  
14 properties using a layer crust as a model. Moisture content, water vapour barrier  
15 properties, water sorption isotherms and mechanical properties were evaluated. Crust  
16 model showed multilayer internal structure. Glycerol (10 and 20%) and HPMC-10%  
17 increased moisture content, whereas linolenic acid and beeswax, glycerol-1%, HPMC-  
18 0.5% and citric acid significantly decreased it. Water vapour permeability (WVP)  
19 decreased with lipids and citric acid, due to their hydrophobic nature and crosslinking  
20 action, respectively. Hydrophobic additives lowered the WVP of the crust and provided  
21 water barrier properties and brittle texture. Crust mechanical properties were greatly  
22 correlated with water present as well as with composition of crust layer. Barrier  
23 properties of the crust layer were greatly dependent on the hydrophilicity or  
24 hydrophobicity of the additives, which determined the internal interactions between  
25 starch and proteins and the microstructure and mechanical properties.

26

27 **Key words:** crust layer; additives; water vapour permeability; moisture sorption  
28 isotherms; mechanical properties; microstructure.

29

## 30 1. INTRODUCTION

31 Crusty breads are much appreciated due to their crispy texture. Crust is the upper part of  
32 the breads formed during baking. Crust is constituted by a network comprising  
33 denatured gluten proteins and partially gelatinized starch granules. Different concepts  
34 have been applied to define the crust, e.g. dry, hard, dark and dense (Hug-Iten, Escher,  
35 & Conde-Petit, 2003). In fresh state, bread crust is dry and crispy and exhibits a brittle  
36 noisy fracture, but those properties are transitory and change during staling (Gray &  
37 Bemiller, 2003), owing to the steady increase in water content and water activity (Cuq,  
38 Abecassis, & Guilbert, 2003). Water acts as a plasticizer and decrease the bread Tg of  
39 the material. As a consequence, the mechanical properties of the crust associated to  
40 crispness changes and the crust becomes very soft and leathery (Roudaut, Dacremont, &  
41 Le Meste, 1998), which cause consumer's rejection. Therefore, bread crust must have  
42 low moisture content (3 to 11.5% d.b.) and water activity (0.34 to 0.57) to keep its  
43 crispy texture (Cuq et al., 2003). Water uptake kinetic is strongly related to crispiness  
44 retention of composite products consisting of a dry crispy part and a more humid and  
45 soft part (Meinders & Van Vliet, 2011). Besides, water uptake is usually described by  
46 sorption isotherms and several mathematical models have been described for fitting  
47 sorption curves. Nevertheless, no approach has been presented considering the crust as a  
48 physical barrier and its diffusivity properties.

49 In addition, the composition of the product, morphology and crust thickness also play an  
50 important role in crispy texture perception. Some studies have been focused on  
51 strategies for prolonging the bread crust crispiness. With that purpose, enzymes  
52 (proteases, transglutaminase, *alpha*-amylase, amyloglucosidase and glucose oxidase)  
53 have been sprayed onto dough or bread crust surface (Primo-Martín, Van de Pijpekamp,  
54 Van Vliet, De Jongh, Plijter, & Hamer, 2006; Primo-Martin, Beukelaer, Hamer, & Van  
55 Vliet, 2008; Altamirano-Fortoul, Hernando & Rosell, 2014). Those enzymes modified

56 the starch-protein network, which had effect on the water holding capacity of the crust  
57 and in turn on the crispy texture behaviour and cellular structure of crust. The potential  
58 of other additives has not yet been considered.

59 According to previous studies, crust acts as a barrier for water migration. Primo-Martin,  
60 Sözer, Hamer, & Van Vliet, (2009) proposed a crust model consisting on a very thin  
61 bread to discriminate between the fracture properties of the crust material and the  
62 gradient of water in the crust. However, the crust of the bread is not at equilibrium,  
63 because it is a complex system in which different reactions as well as changes in water  
64 activity/ content occur during breadmaking. Considering that crust is a vitreous surface  
65 layer, in this study a model bread crust (crust layer) was developed using pre-gelatinized  
66 flour to simulate the bread crust. The aim of the present study was to investigate the  
67 effect of different bakery's additives (hydroxypropylmethylcellulose, vital gluten,  
68 diacetyl tartaric acid ester of mono-diglycerides, a protease from *Bacillus licheniformis*  
69 (Alcalase 2.4 LFG, 2.4 units/g), beeswax, linolenic acid, glycerol and citric acid), on  
70 water vapour permeability (WVP), water diffusion, mechanical properties and structure  
71 of the model crust layer.

## 72 **2. MATERIALS AND METHODS**

### 73 *2.1. Materials*

74 Pre-gelatinized wheat flour, provided by Harinera Villamayor (Huesca, Spain), was  
75 used for crust layer formulations. The wheat flour composition was (expressed as dried  
76 basis): 10.54% protein content, 10.91% moisture content, 1.03% fats and 0.58% ash  
77 content. Additives studied included hydroxypropylmethylcellulose (HPMC K4M) from  
78 Dow Chemical (USA), vital gluten provided by Roquette (Keokuk, IL), diacetyl tartaric  
79 acid ester of mono-diglycerides (DATEM, Panodan® AB 100 VEG-FS KOSHER) from  
80 Danisco (Spain), a protease from *Bacillus licheniformis* (Alcalase 2.4 LFG, 2.4 units/g)  
81 provided by Novozymes A/S (Bagsvaerd, Denmark), beeswax from Scharlau

82 (Barcelona, Spain), linolenic acid provided by Sigma (Barcelona, Spain), glycerol and  
83 citric acid from Panreac (Barcelona, Spain).

## 84 2.2. *Methods*

### 85 2.2.1. *Crust layer forming solution*

86 Crust layer forming solutions were prepared using pre-gelatinized wheat flour blended  
87 with additives at different concentrations (Table 1) and in the presence of calcium  
88 propionate (0.1%, w/w) as preservative. All raw materials were mixed mechanically  
89 with water during 60 seconds and then were degassed. For beeswax based crust layer,  
90 the additive was suspended in 10 ml distilled water and boiled to mix it completely.

91 Crust layers were cast onto plastic trays (25.5cm x 16cm x1.5cm). In each case 134.20 g  
92 mixture was poured into each tray to minimize crust layer thickness variations.  
93 Preliminary tests were carried out to define the appropriate mixture amount for  
94 obtaining model crust of similar thickness to bread crust (~ 0.5 mm). Mixtures were  
95 allowed to dry at 37 °C for 12 h, after this time, drying continued at 20 °C for 39 h.  
96 Dried crust layers were stored in a desiccator containing saturated magnesium nitrate  
97 with 54.4% (RH) at 20 °C for further analysis. Conditions were selected to avoid  
98 microbial growing. Control crust layers were prepared in the same way without the  
99 presence of additives. Each crust layer formulation was prepared in duplicate.

### 100 2.2.2. *Physicochemical analysis*

101 Moisture content was determined following ICC standard method (1994) (ICC 110/1).  
102 Thickness of crust layers was determined using a digital micrometer (Mitutoyo,  
103 Kanagawa, Japan) with a sensitivity of 2 µm. The mean thickness was calculated from  
104 measurements taken at 10 different locations on each crust layer sample.

### 105 2.2.3. *Water vapor permeability*

106 Water vapor permeability (WVP) of the crust layers was determined according to the  
107 method ASTM E96 (ASTM, 1980). A cup having an internal diameter of 3.6 cm was

108 filled with distilled water, sealed with the crust layer and then placed into different  
 109 desiccators at 20 °C, and 54.4% RH. Changes in the weight over time were monitored to  
 110 determine the steady state flux of water vapor through the crust layers. The cups were  
 111 weighed every day during seven days.

#### 112 2.2.4. Moisture sorption isotherms

113 Crust layer pieces of about 3 cm in diameter were transferred into a desiccator  
 114 containing P<sub>2</sub>O<sub>5</sub> to complete drying. Afterwards, crust layer specimens, in duplicate,  
 115 were placed at 20 °C in desiccators containing saturated salt solutions with different  
 116 relative humidity: LiCl·H<sub>2</sub>O (11.3%), KC<sub>2</sub>H<sub>3</sub>O<sub>2</sub> (23.1%), MgCl<sub>2</sub>·6H<sub>2</sub>O (33.1%),  
 117 K<sub>2</sub>CO<sub>3</sub>·2H<sub>2</sub>O (43.2%), Mg (NO<sub>3</sub>)<sub>2</sub>·6H<sub>2</sub>O (54.4%), NaCl (75.5%), KCl (85.1%),  
 118 BaCl<sub>2</sub>·2H<sub>2</sub>O (91.2%) and K<sub>2</sub>SO<sub>4</sub> (97.6%). Samples were weighed periodically till  
 119 constant weight value was reached, where the equilibrium was assumed to be achieved.  
 120 The experimental values were fitted by the GAB (Guggenheim-Anderson-deBöer)  
 121 model

$$122 \quad EMC = W_m C k a_w / [(1 - k a_w)(1 - k a_w + C k a_w)] \text{ Eq (1)}$$

123 where EMC is the equilibrium moisture content on a dry basis, W<sub>m</sub> represents the water  
 124 content corresponding to saturation of all primary adsorption sites by one water  
 125 molecule, and is called monolayer moisture content in BET (Brunauer, Emmett and  
 126 Teller) theory, C is the Guggenheim constant, k refers to the factor correcting properties  
 127 of the multilayer molecules corresponding to the bulk liquid, and a<sub>w</sub> = water activity.

128 The root mean square (RMS, %) of the fitting is also included for each crust layer.

$$129 \quad \%RMS = \left[ \frac{\sqrt{\sum \left[ \frac{M^{\text{exp}} - M^{\text{calc}}}{M^{\text{exp}}} \right]^2}}{N} \right] \times 100 \text{ Eq. (2)}$$

130 Where  $N$  is the number of experimental points,  $M_{exp}$  is the experimental equilibrium  
131 moisture content value;  $M_{calc}$  is the calculated equilibrium moisture content value.

#### 132 2.2.5. *Mechanical properties: Fracturability test*

133 Crust layers were fractured using a texture analyzer with a 5 kg load (TA XTplus,  
134 Stable Micro Systems, Surrey, UK). Experiments were carried out using a HDP/BS  
135 blade set at 5 mm/s. The maximum force (N), the area (N/s), and the displacement at  
136 fracture (mm) were measured. Twenty replicates of each crust layer were conducted.

#### 137 2.2.6. *Microstructure*

138 Structural analysis was performed by scanning electron microscopy (SEM) on samples.  
139 Crust layers were freeze-dried previously to the microscopy analysis. Crust layers were  
140 fixed with the aid of colloidal silver and then coated with gold (Baltec SCD005) at  $10^{-2}$   
141 Pa and an ionization current of 40 mA. The observation was carried out in a JEOL JSM-  
142 5410 (Jeol, Tokyo, Japan) scanning electron microscope at 10 kV.

#### 143 2.3. *Statistical analysis*

144 Data were presented as mean of sample sets. Statistical analysis of the results was  
145 performed using Statgraphics Plus V 7.1 (Statistical Graphics Corporation, UK). In  
146 order to assess significant differences among samples, a multiple sample comparison  
147 was performed.

### 148 **3. RESULTS AND DISCUSSION**

#### 149 3.1. *Moisture content*

150 The model crust or layer crust showed moisture content (7.5 g/100g d.b.). The moisture  
151 content of the crust layers were significantly modified due to the presence of additives  
152 ( $P<0.05$ ) (Table 2). The moisture contents ranged from 5.19 to 11.64 g/100g d.b. These  
153 values were in agreement with those reported by Cuq et al. (2003) for bread crust.  
154 Control sample and crust layer with gluten showed similar moisture content. The  
155 polymers (starch and gluten) present in its composition might form a molecular network

156 or matrix with high interaction among them. Likely, the polarity of starch and gluten  
157 induced high affinity for water, which was easily integrated in its structure by  
158 establishing hydrogen bonds with the polymer molecules. Moisture content increased in  
159 the presence of HPMC 10%, glycerol 10% and 20%, which promoted the hydrophilic  
160 character of the crust. Hydrophilic plasticizer provides more active sites in layer matrix  
161 by exposing its hydroxyl group in which the water molecules could be adsorbed, which  
162 agrees with previous observations (Rosell and Foegeding, 2007). Conversely, crust  
163 layer containing glycerol 1%, HPMC 0.5%, citric acid, linolenic acid or beeswax  
164 presented lower moisture content than the control crust layer. Glycerol and HPMC  
165 incorporated in low quantity can have an anti-plasticizing effect in the food matrix, due  
166 to interaction of the plasticizer molecules with the starch and gluten, thus decreasing  
167 chain mobility (Rosell & Foegeding, 2007; Rosell, Yokoyama & Shoemaker, 2011).  
168 Moreover, these additives could compete with water molecules for active sites on the  
169 starch-protein matrix, which decreased the moisture content. With regards to linoleic  
170 acid and beeswax, these additives due to their hydrophobic nature decreased the water  
171 holding capacity of the matrix and thus moisture content. Citric acid could act as a  
172 crosslinker in the starch-gluten matrix giving rise to a more compact food matrix  
173 limiting its ability to retain water molecules (Olson, Hedenqvist, Johansson &  
174 Järnström, 2013).

175 Crust layers with lipids had the lowest moisture content, which might anticipate crispy  
176 crust considering the relationship between moisture content of bread crust with crispy  
177 texture (Primo-Martin et al., 2008; Altamirano-Fortoul et al., 2013).

### 178 *3.2. Water vapor permeability*

179 Thickness in the crust layers showed significant differences among treatment types, it  
180 varied from 0.24 mm to 0.58 mm, which agrees with previous studies (Altamirano-  
181 Fortoul, Hernando & Rosell, 2013). Water vapor permeability (WVP) of the crust layers



182 showed significant differences, likely attributed to changes in the polymeric matrix due  
183 to additives (Table 3). The presence of additives could lead to a structure with or  
184 without pores and cracks modifying the permeability. Again, the highest WVP value  
185 was presented in the sample with greater glycerol concentration (20%), which acted as a  
186 plasticizer. This result agrees with Chillo et al. (2008) findings that indicated an  
187 increase in film WVP when increasing plasticizer concentration. At low concentration,  
188 glycerol has an anti-plasticizing effect due to the plasticizer-polymer interactions that  
189 decrease intermolecular spaces for the diffusion of water molecules through the crust.  
190 According to Mali, Karam, Pereira Ramos, and Grossmann (2004), glycerol  
191 concentration from 0 to 20% reduced the WVP in cassava starch films produced by  
192 casting, as glycerol addition led to a more compacted network without pores or cracks.  
193 Hirte et al. (2012) suggested that bread crust with many small cracks had optimal water  
194 vapor permeability; however, an excess of cracks could lead to crumb dryness.  
195 Crust layers with HPMC presented the same WVP tendency as glycerol. Crust layer  
196 with high concentration of HPMC (10%) had higher water affinity due to the large  
197 amount of hydrophilic groups present in HPMC structure, and also it can disrupt starch-  
198 protein interactions forming a loose matrix, which favors water vapor permeability.  
199 When HPMC was added at low concentration (0.5%), it probably acted as a crosslinker  
200 establishing hydrogen bridges between starch and protein polymers, and reduced the  
201 number of active sites for water sorption.  
202 Control crust layer and crust layer with gluten exhibited higher WVP, which could be  
203 ascribed to their hydrophilic nature. This result agrees with McHugh, Avena-Bustillos  
204 and Krochta (1993), who reported that films based on hydrophilic polymers like  
205 proteins or polysaccharides are very sensitive to moisture. Moisture sorption exerts a  
206 plasticizing effect on the biopolymer matrix increasing polymer free volume and chain  
207 mobility, thus facilitating the diffusion of water molecules across the crust.

208 Incorporation of protease in the crust formulation decreased WVP, which is likely due  
209 to the disruption of the crust layer as a consequence of the direct cleavage of the  
210 protein-starch structure. Probably, those structural modifications of the polymeric  
211 matrix led to a denser structure that hindered water molecules transference through the  
212 crust layer. In fact, Primo-Martin et al. (2006) when spraying protease on the surface of  
213 the dough, found changes in the crust characteristics that retarded the water content  
214 increase.

215 DATEM, an amphiphilic molecule, decreased the WVP, which could be attributed to its  
216 action decreasing interchain spacing between polymer chains promoting a structure with  
217 less pores/cracks. This result disagrees with the previous findings of Primo-Martín et al.  
218 (2006), who observed an increase of the porosity when adding DATEM. However, the  
219 function of DATEM as a crumb softening agent may also reduce water migration from  
220 gluten to starch by forming a complex with starch, and be absorbed into the starch  
221 surface (Pisesookbunternrg & D'Appolonia, 1983).

222 Citric acid resulted in a decrease in the WVP value, which could be attributed to its  
223 crosslinking action, reducing the polymers mobility and increasing their cohesion.  
224 According to Moller, Grelier, Pardon and Coma (2004) the addition of a crosslinking  
225 agent as citric acid improves the barrier against water vapor.

226 As expected, crust layer with lipids (linolenic acid and beeswax) showed lower WVP,  
227 due to their hydrophobic properties (García, Martino & Zaritzky, 2000). Therefore, non-  
228 polar groups yielded a dense structure that slow water migration through the crust layer.  
229 Previous studies stated that waxes are the most efficient substances to reduce moisture  
230 permeability because of their high hydrophobicity (high content in long chain fatty  
231 alcohols and alkanes) (Morillon et al., 2002).

232 In general, the presence of additives modified the starch-protein matrix structure and  
233 moisture sorption properties, which resulted in changes in water vapor permeability of

234 crusts layers. García et al. (2000) reported that WVP depends on many factors such as  
235 the ratio between crystalline and amorphous zone, polymeric chain mobility and  
236 specific interactions between the functional groups of the polymers in the amorphous  
237 zone. According to previous studies, restriction of water uptake by the crust and  
238 modification of the proteins in the crust are useful tools to maintain crispy texture in  
239 brittle and cellular foods as bread crust (Primo-Martin et al. 2006; Altamirano-Fortoul,  
240 et al. 2013).

### 241 *3.3. Moisture sorption isotherms*

242 Moisture sorption isotherms of bread crust incorporating different additives are shown  
243 in Figure 1. They exhibited sigmoid shape and three regions could be clearly  
244 differentiated. The first region of the curves represents strongly bound water including  
245 structural and monolayer water, which is unfreezable and not available as a plasticizer.  
246 In this region, the crust layers presented a moderately slight slope at low water activity,  
247 similar to the behavior observed for some gluten, starch and cellulose films (Hernández-  
248 Muñoz, Kanavouras, Perry, & Gavara, 2003; Al-Hassan & Norziah, 2012). In this stage  
249 the physical adhesion of water to active sites of the polymer occurs only in the surface,  
250 bound to the polar and hydrophilic groups of polysaccharides, proteins and other  
251 component of the film crust (Bertuzzi, Castro Vidaurre, Armada, & Gottifredi, 2007).

252 The second region comprised a linear region of the sorption isotherm, where water  
253 molecules bind less firmly than in the first region and they are adsorbed as a multilayer.  
254 In this region there is a transition between bound water to free water. The equilibrium  
255 moisture content of crust layers increased slightly when increasing water activity up to  
256 0.54, depending on the additive type. Crust layers containing beeswax, linolenic acid  
257 and glycerol 1% showed a reduction in the equilibrium moisture content, which  
258 confirmed that polarity of lipids affected the capacity to absorb water, and suggest that  
259 glycerol incorporated at low concentration (1%) competed with water molecules for

260 hydrophilic sites on crust layer surface avoiding water binding in subsequent layers.  
261 Conversely, control and crust containing gluten showed pronounced rise in the  
262 equilibrium moisture content. These results could be related to the hydrophilic character  
263 of materials presents on polymeric matrix, which led to water mobility within the matrix  
264 structure. The third region corresponded to the upper part of the curve, where water  
265 molecules are associated to other water molecules forming clusters and loosely binds to  
266 food materials; in this region water properties of water molecules are similar to those of  
267 free water. For water activities higher than 0.6, all samples presented a rapid increase in  
268 moisture content that was attributed to the presence of non-bound or free state water that  
269 favored solubilization, which was reflected as swelling of the polymeric matrix. Greater  
270 water activities imply a substantial water uptake in the films due to the development of  
271 solvent-solvent interactions (Hernández-Muñoz et al., 2003).

272 Empirical, semi-empirical and theoretical mathematical models of moisture sorption  
273 isotherms have been proposed to describe the behavior of food products and other  
274 biological materials. The GAB model describes sigmoidal shape isotherms, and it is a  
275 refinement of Langmuir and BET theories of physical adsorption. The GAB, model  
276 similar to the BET model, describes the monolayer expression in Langmuir's adsorption  
277 isotherms and considers the multilayer sorption step. Related to the BET model, this  
278 model contains a third constant  $k$ , but conversely to the BET model it can be used in a  
279 wide range of water activities ( $0.1 < a_w < 0.9$ ). GAB model provides an accurate  
280 description of moisture sorption of most food materials, thus, experimental data were  
281 fitted using this model. Estimated GAB parameters ( $W_m$ ,  $C$ , and  $k$ ) and root mean square  
282 (RMS %) for crust layer are shown in Table 4. Monolayer ( $W_m$ ) values of control and  
283 crust layer with gluten and protease were similar and significantly higher than the ones  
284 obtained with other additives. It seemed that they had more active sites due to its  
285 hydrophilic group exposition, in which the water molecules can be adsorbed. The

286 monolayer value represents the amount of moisture that is strongly adsorbed to specific  
287 sites at sample surface, and at this value the food product is more stable (Andrade,  
288 Lemus, & Pérez, 2011). Low values of  $W_m$  reflected a reduction in the number of  
289 primary active sites and it could be related to chemical, physical and structural  
290 modification of the polymeric matrix produced by the additives and the character of  
291 these. In this regard, incorporation of gluten, protease did not modifiers values of the  
292 monolayer; citric acid reduced the value of the monolayer, which could be related to the  
293 formation of physical and/or chemical cross-links with functional groups of starch and  
294 proteins, reducing the number of polar and hydrophilic sides for water sorption. It could  
295 happen a similar process when added HPMC, DATEM and glycerol. Incorporation of  
296 linolenic acid and beeswax reduced notably the monolayer value. The interaction  
297 between the polymeric matrix and the linolenic acid or beeswax probably led to an  
298 increase in the number of the hydrophobic particles that did not interact with water.  
299 Therefore, few active sites were accessible for water adsorption in the polymeric matrix  
300 due to the arrangement of the lipid chains.

301 The parameter  $C$ , the Guggenheim constant, represents the energy difference between  
302 the water molecules attached to primary sorption sites and those absorbed to successive  
303 sorption layers (Timmerman, Chirife, & Iglesias, 2001). According to previous studies  
304 in biomaterial water sorption isotherms, applying the GAB model, the values for this  
305 parameter were comprised between  $5.67 \leq C \leq \infty$  (Lewicki, 1997). In the current study,  
306  $C$  values ranged between 2.98 and 59.17.

307

308 The parameter  $k$  is related to difference in the sorbate's pure liquid state and in the  
309 upper layers (Timmerman et al., 2001). Theoretically, the values of  $k$  should be less than  
310 unity (Chirife, Timmermann, Iglesias, & Boquet, 1992). However, in the literature, a  
311 huge number of papers presented  $k$  values higher than unity. Values of parameter  $k$

312 obtained for the crust layer with or without additives were between 0.97 and 1.03.  
313 Lower value of  $k$  indicates less structured state of the sorbate in the layers above the  
314 monolayer than in the sorbate in the GAB layer. However, proteins and protein-foods  
315 present higher values of  $k$  than starchy foods (Timmermann et al., 2001; Hernández-  
316 Muñoz, Kanavouras, Lagaron, & Gavara, 2005).  
317 Results showed that additives can modify the water affinity of the polymeric matrix and  
318 therefore the water sorption.

319

#### 320 *3.4. Mechanical properties*

321 Any food's texture is mainly connected to its mechanical properties, which in principle  
322 reflect the internal food microstructure. It is well known that moisture content and water  
323 distribution have a strong effect on mechanical properties of brittle and cellular foods  
324 such as bread crust. The crispy texture is related to properties of the product such as  
325 hardness, brittleness and fracturability. Therefore, a crispy product must be stiff or  
326 brittle with a fast fracture (Van Vliet & Luyten, 1995). Results of mechanical properties  
327 of the crust layers are shown in Table 5. The flat structure of the crust model facilitated  
328 the assessment of the mechanical properties.

329 In this study the hardness term was used to describe a product which displays  
330 substantial resistance to breaking. As expected, the control sample presented high value  
331 of hardness due to its components, since the hydroxyl group of the polar  
332 macromolecules (proteins and starch) bound water via hydrogen bonds resulting a  
333 plasticized polymeric matrix.

334 Crust layer with protease presented higher hardness (maximum force) than the rest of  
335 the additives. The protease breaks the network and water interacted with the protein and  
336 released chains creating a more cohesive structure, very flexible and more difficult to  
337 fracture.

338 Presumably, other additives weakened the polymeric matrix, requiring less force for its  
339 fracture. Nevertheless, this effect depended on the additive, ie. glycerol (10 and 20%) or  
340 HPMC 10% and DATEM could have increased the mobility of polymer chains, due to  
341 water absorbed into the polymer, which made the crust layers somewhat flexible.  
342 Conversely, the presence of lipids, gluten, citric acid, glycerol 1% and HPMC 0.5%  
343 resulted in crust layers with lower hardness values. Incorporating lipids in the crust  
344 layer could interfere with interaction of polymers chains leading to a discontinuity  
345 within the protein-starch matrix. Furthermore, the lipids as beeswax exhibited low  
346 cohesiveness and structural integrity, which makes them very brittle. Gluten probably  
347 increased intermolecular forces along the polymer chain and this led to a decrease of the  
348 flexibility within the polymeric matrix structure. Inclusion of citric acid into the  
349 polymeric matrix led to a decrease of hardness. This fact might be attributed to a  
350 reduction in the molecules mobility and a decrease in the absorbed water promoted by  
351 the crosslinking effect of the citric acid, resulting in a rigid crust layer (Olson et al.,  
352 2013). When HPMC 0.5% was incorporated, probably intermolecular associations  
353 among the polymer chains were inhibited by the HPMC and the crust layer had a stiff  
354 structure, which required low force to fracture.

355 Fracturability is an important characteristic of brittle products. In general, the  
356 fracturability of crust layers decreased with addition of the additives (Table 5), with the  
357 exception of protease and glycerol 20%. Therefore, additives might modify the  
358 polymeric matrix affecting fracturability. According to Primo-Martín et al. (2006) the  
359 distribution of protein and partially gelatinized starch in the bread crust as well as the  
360 water content alters the way the crust fractures. With respect to area value, control crust  
361 and that with protease had much higher area, indicating their increased toughness, thus  
362 these needed high work to fracture the crust layers.

363 The presence of additives in the model crust modified the water uptake and also the  
364 mechanical properties related to crispy texture, and the most prominent effect was  
365 observed with protease and lipids.

### 366 *3.5. Microstructure*

367 Microstructure of the cross section of crust models was analyzed to explain the effect of  
368 additives on the mechanical properties and water vapor permeability behavior. SEM  
369 micrographs confirmed microstructure differences promoting by additives (Figure 2).  
370 Control crust layer showed a continuous veil-like film that revealed the underlying  
371 structures, lenticular shape and circular starch granules of various sizes, likely  
372 surrounded by protein matrix (Figure 2a), like it has been described for bread structure  
373 (Rojas et al. 2001). The effect of additives in the crust layers was evident. The crust  
374 layer with gluten revealed large starch granules and some small slightly deformed starch  
375 granules embedded completely in the protein network (Figure 2b). Likely, this structure  
376 might result from the covalent bonds as well as non-covalent interactions between  
377 gluten proteins and starch. Thus, this allows a significant change in molecular motion of  
378 proteins; and thus crust layer presented less resistance to break in spite of its capacity  
379 for water diffusion.

380 Crust layer with protease was characterized by compact structure, with higher  
381 deformation of starch granules and a more distorted gluten network (Figure 2c), which  
382 agrees with the protease action splitting the protein strands of the gluten molecule that  
383 leads first to a softening and then to a complete collapse of the structure. The crust layer  
384 with HPMC 0.5% led to a smooth, compact and cracked structure (Figure 2d).  
385 Conversely, crust layer with HPMC 10% presented irregular starch granules within a  
386 disrupted and discontinuous protein network (Figure 2e). Therefore, HPMC could be  
387 integrated in the molecular structure of the layer or formed a biphasic system leading  
388 stiff structure depending on the addition level. These results agree with those observed



389 in hardness and fracturability parameters, and WVP. The structures containing glycerol  
390 were significantly different and the extent of the changes was dependent on the glycerol  
391 concentration. Crust layer with glycerol 1% revealed a structure masked by a  
392 continuous gel and relatively smooth with obvious cracks as well as holes formation  
393 (Figure 2f), suggesting a brittle fracture. Altamirano-Fortoul et al. (2013) suggested that  
394 a cracking structure gives brittle bread crust behavior. The opposite effect was observed  
395 in crust layer with glycerol 10%, where a compact and heterogeneous microstructure  
396 was observed (Figure 2g). While the addition of glycerol 20% led to crust layer with  
397 greater force to fracture as result of an apparent swelling of starch granules with  
398 distorted structure and embedded in a protein network (Figure 2h). The addition of  
399 plasticizers as glycerol produced a more flexible film with soft structure due to  
400 hydrophilicity of plasticizers molecules, which favors the sorption of water.

401 Crust layer with DATEM exhibited a structure where starch granules lost their identity  
402 and were covered with alternate continuous veil-like film and some cracks (Figure 2i).  
403 Crust layer with citric acid was similar to sample containing DATEM, with alternate  
404 continuous zones, besides a polymeric matrix with areas of protein aggregates (Figure  
405 2j). This pattern might be attributed to the crosslinking action that led to less flexible  
406 and brittle layer supporting hardness and fracturability results. The citric acid promotes  
407 fragmentation of starch granules and also causes disruption of the bridges of inter and  
408 intramolecular hydrogen, leading to a matrix with homogeneous appearance (Olson et  
409 al., 2013).

410 In the case of samples with lipids, crust layers presented a smooth and nonporous  
411 structure, and no phase separation was observed (Figure 2k and 2l). In fact, an almost  
412 continuous structure with aligned constituents could be appreciated, readily evident in  
413 the crust layer with linolenic acid. The crust layer containing beeswax showed no  
414 individual crystals.

415 In general, the composition of the crust layer could influence strongly the molecular  
416 level of the microstructure and, therefore, its mechanical and in some extent moisture  
417 barrier behavior.

## 418 **CONCLUSIONS**

419 The crust model (crust layer) was a good approach to understand bread mechanical  
420 properties and microstructure. Crust layers were significantly affected by the additives.  
421 Sorption isotherms indicated that additives modified the water uptake. In general an  
422 increase in monolayer value ( $W_m$ ) was observed when gluten and protease were added.  
423 However, lipids (linolenic acid and beeswax) promoted few active sites, decreasing the  
424 monolayer values in comparison with control sample and the rest of the samples.  
425 Therefore, crust layer with lipids provides a barrier. In relation to mechanical properties,  
426 control sample and crust layers with greater glycerol concentration showed resistance to  
427 fracture; these mainly due to the amount of water present into the polymeric matrix.  
428 Opposite effect was observed with the crust layers with lipids, which indicated brittle or  
429 stiff products. Thus, crispy texture was correlated closely with water present as well as  
430 with composition of crust layer. Therefore, considering all results, crust layer with  
431 HPMC 0.5% as well as with citric acid would be the best alternative additives to be  
432 used for changing the crispiness behavior of bread crust. SEM analysis also confirmed  
433 the effect of the additives.

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535 **FIGURE CAPTIONS:**

544 **Figure 1.** Effect of different additives of equilibrium moisture content of crust layer at  
545 20°C fitted with the GAB model. Control crust layer:▲, control crust layer-GAB  
546 ———, crust layer with gluten x, crust layer with gluten-GAB ······, crust layer with  
547 protease ●, crust layer with protease-GAB - - - - - , crust layer with HPMC 0.5% ◇,  
548 crust layer with HPMC 0.5%-GAB - · - · , crust layer with DATEM □, crust layer  
549 with DATEM-GAB — — — , crust layer with glycerol 1% ●, crust layer with glycerol  
550 1%-GAB - - - - - , crust layer with citric acid +, crust layer with citric acid-GAB  
551 - - - - - , crust layer with linolenic acid ◆, crust layer with linolenic acid-GAB - - - - - ,  
552 crust layer with beeswax ■, crust layer with beeswax-GAB - - - - - .

551 **Figure 2.** Scanning electron micrographs of crust layer cross section at high (3500x)  
552 magnification. Images correspond to the following crust layer with additives: control  
553 crust layer (a), crust layer with gluten (b), crust layer with protease (c), crust layer with  
554 HPMC 0.5% (d), crust layer with HMPc 10% (e), crust layer with glycerol 1% (f),  
555 crust layer with glycerol 10% (g), crust layer with glycerol 20% (h), crust layer with  
556 DATEM (i), crust layer with citric acid (j), crust layer with linolenic acid (k), crust layer  
557 with beeswax (l),

552

552 **Table1.** Additives concentrations applied in crust layer formulation

Sample	Dosage % (w/w) flour basis
Control	-----
Gluten	1
Protease	0.8
Hydroxypropylmethylcellulose (HPMC)	0.5 10
Diacetyl tartaric acid ester of mono- diglycerides (DATEM)	0.3
	1
Glycerol	10 20
Citric acid	1
Linolenic acid	0.3
Beeswax	0.3

553



554 **Table 2.** Effect of additives on the moisture content of crust layers.

Sample	Moisture content (g/100g <del>55</del> )	
Control	7.51 ± 0.08	d
Gluten (1%)	7.63 ± 0.05	d
Protease (0.8%)	7.22 ± 0.04	cd
HPMC (0.5%)	5.19 ± 0.13	a
HMPc (10%)	9.70 ± 0.01	e
DATEM (0.3%)	7.26 ± 0.12	cd
Glycerol (1%)	5.51 ± 0.12	ab
Glycerol (10%)	9.81 ± 0.22	e
Glycerol (20%)	11.64 ± 0.04	f
Citric acid (1%)	6.88 ± 0.23	c
Linolenic acid (0.3%)	5.57 ± 0.84	ab
Beeswax (0.3%)	5.68 ± 0.20	b

556

557 Means and standard deviations sharing the same letter within a column were not significantly

558 different ( $P < 0.05$ ).

559

560 **Table 3.** Effect of additives on the water vapour permeability (WVP) of crust layers.

Sample	WVP (g•mm/m <sup>2</sup> •s•Pa)	
Control	8.26E-07 ± 3.94E-08	h
Gluten (1%)	8.00E-07 ± 5.37E-08	gh
Protease (0.8%)	6.34E-07 ± 4.39E-08	d
HPMC (0.5%)	5.00E-07 ± 2.76E-08	bc
HMPC (10%)	7.26E-07 ± 4.83E-08	f
DATTEM (0.3%)	6.77E-07 ± 3.34E-08	e
Glycerol (1%)	4.82E-07 ± 1.22E-08	b
Glycerol (10%)	7.74E-07 ± 2.57E-08	g
Glycerol (20%)	9.61E-07 ± 2.49E-08	i
Citric acid (1%)	5.26E-07 ± 2.50E-08	c
Linolenic acid (0.3%)	3.70E-07 ± 2.59E-08	a
Beeswax (0.3%)	4.91E-07 ± 1.89E-08	bc

561

562 Means and standard deviations sharing the same letter within a column were not significantly

563 different ( $P < 0.05$ ).

564

565 **Table 4.** Estimated parameters from the GAB model.

566

Sample	$W_m$ (g H <sub>2</sub> O/100 g dry weight)	$C$	$k$	RMS (%)
Control	3.53	17.53	0.98	0.203
Gluten (1%)	3.50	49.63	0.98	0.111
Protease (0.8%)	3.61	2.98	1.00	0.855
HPMC 0.5%	2.61	22.22	0.97	0.347
DATEM (0.3%)	2.86	35.26	1.00	0.061
Glycerol 1%	2.63	6.14	1.00	0.285
Citric acid (1%)	3.07	27.62	0.98	0.217
Linolenic acid (0.3%)	2.36	8.61	0.99	0.592
Beeswax (0.3%)	2.00	59.17	1.03	0.244

567

568 Means and standard deviations sharing the same letter within a column were not significantly

569 different ( $P < 0.05$ ).

570

571 **Table 5.** Mechanical properties of the crust layer.

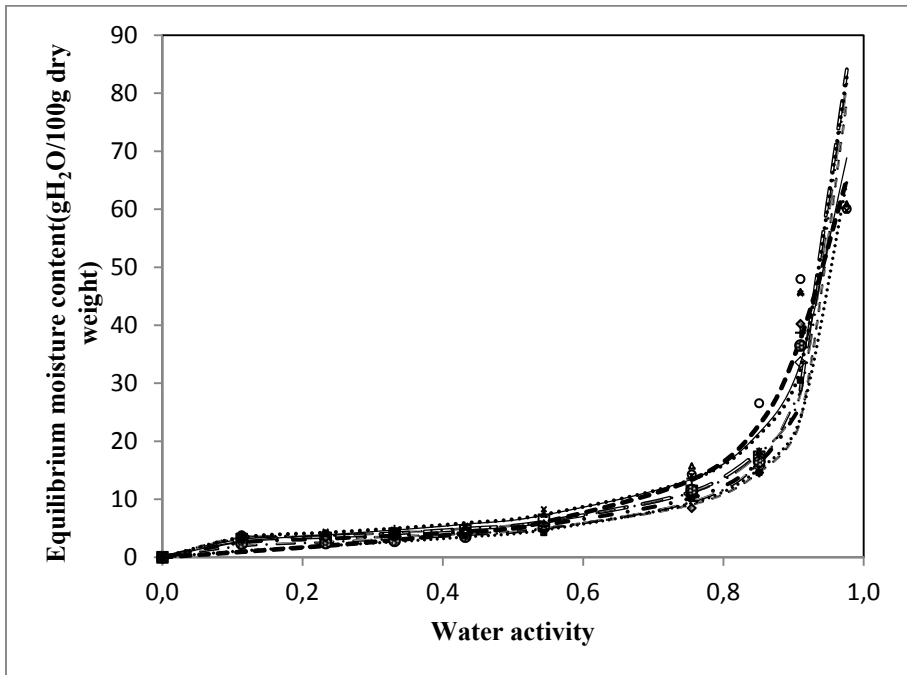
Sample	Hardness (N)	Fracturability (mm)	Area (N.s)
Control	2.45 ± 0.76 ef	1.32 ± 0.36 ef	3.43 ± 0.51 e
Gluten (1%)	1.51 ± 0.13 b	1.03 ± 0.34 ab	0.88 ± 0.32 a
Protease (0.8%)	2.54 ± 0.04 f	1.48 ± 0.48 e	3.52 ± 0.03 e
HPMC (0.5%)	1.83 ± 0.35 a	1.09 ± 0.22 b	0.84 ± 0.02 a
HPMC (10%)	2.27 ± 0.07 c	1.23 ± 0.34 c	2.07 ± 0.15 c
DATEM (0.3%)	2.26 ± 0.21 d	1.19 ± 0.42 bc	3.02 ± 0.48 d
Glycerol (1%)	1.03 ± 0.46 a	1.04 ± 0.12 ab	0.84 ± 0.05 a
Glycerol (10%)	2.22 ± 0.25 d	1.22 ± 0.13 c	1.33 ± 0.61 b
Glycerol (20%)	2.51 ± 0.37 d	1.34 ± 0.06 f	3.05 ± 0.6 d
Citric acid (1%)	1.95 ± 0.6 c	1.10 ± 0.33 b	0.83 ± 0.03 a
Linolenic acid (0.3%)	1.09 ± 0.53 a	0.98 ± 0.00 a	0.83 ± 0.13 a
Beeswax (0.3%)	1.18 ± 0.11 a	1.08 ± 0.08 ab	0.84 ± 0.03 a

572

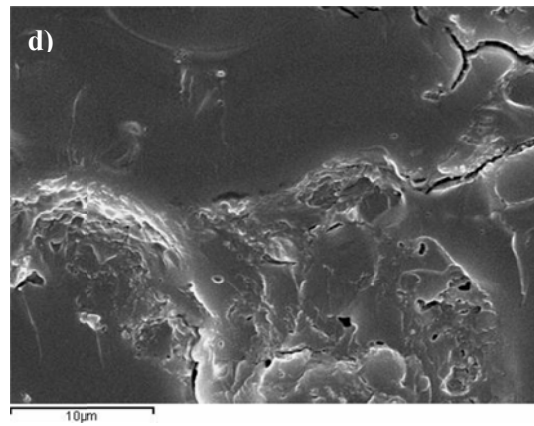
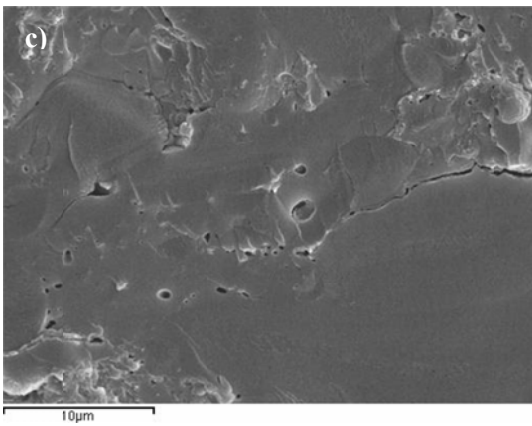
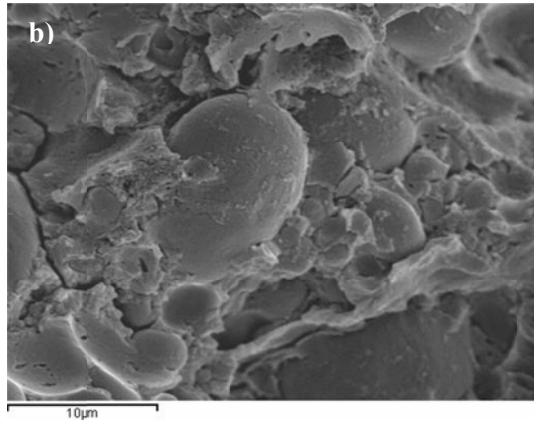
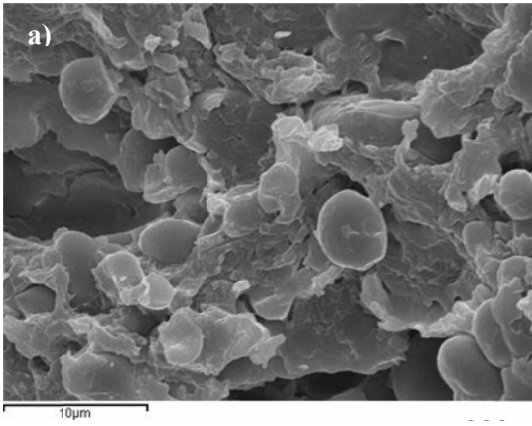
573 Means and standard deviations sharing the same letter within a column were not significantly

574 different ( $P < 0.05$ ).

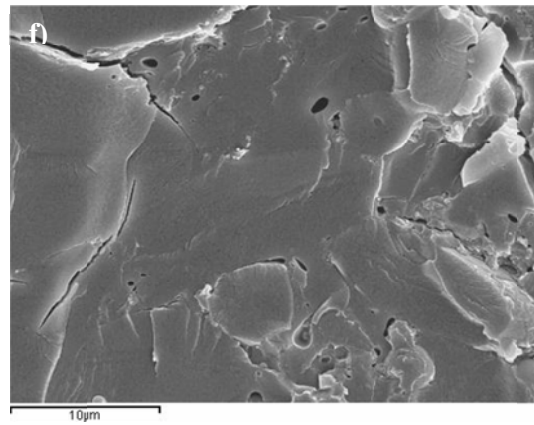
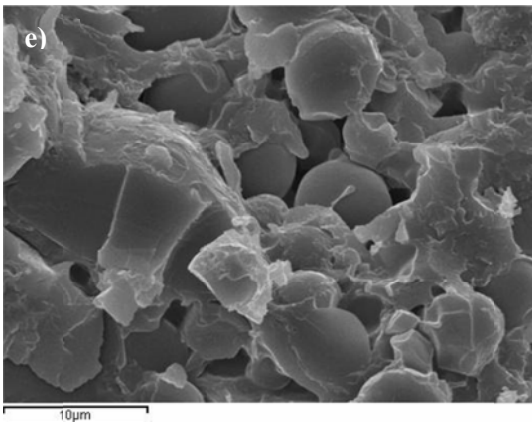
575 **Figure 1.**



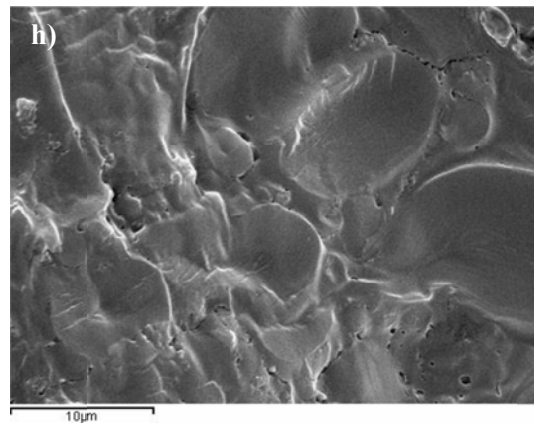
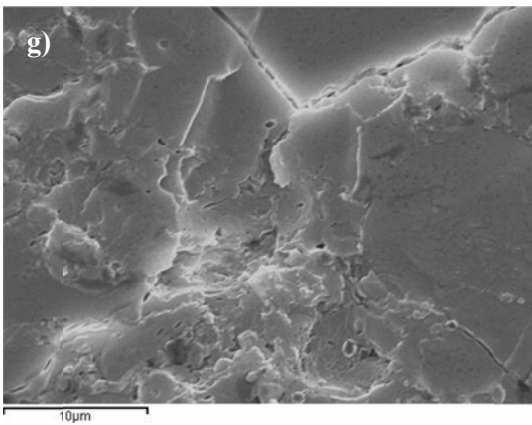
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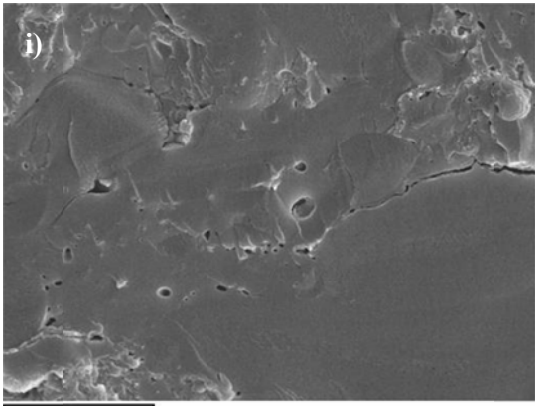
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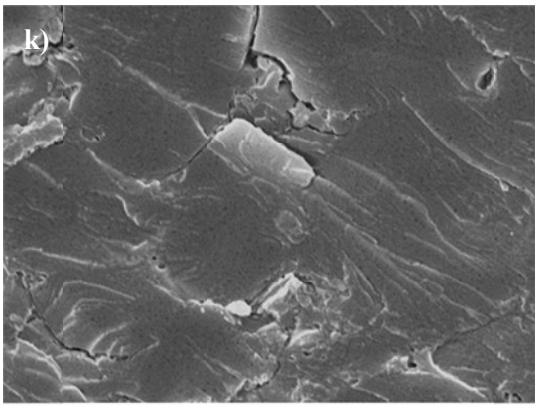
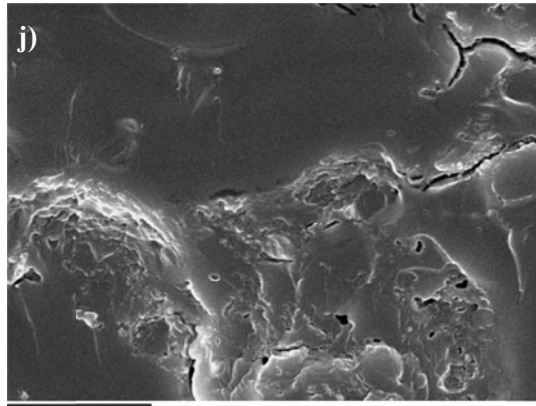
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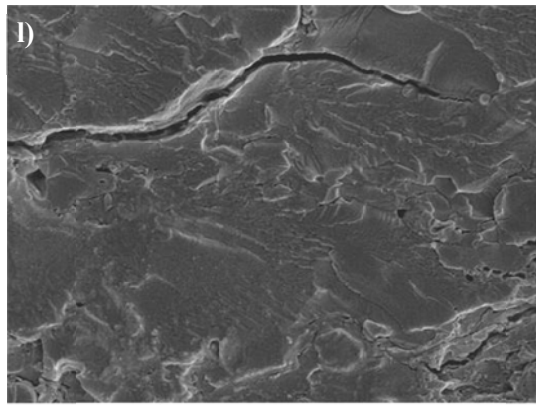
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