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Additional Information

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12 **Abstract:** This paper analyzes the self-healing properties of early-age concretes, engineered using a crystalline admixture
13 (4% by the weight of cement), by measuring the permeability of cracked specimens and their crack width. Two concrete
14 classes (C30/37 and C45/55) and three healing exposure conditions have been investigated: water immersion at 15°C, at
15 30°C and wet/dry cycles. Specimens were pre-cracked at 2 days, to values of crack width in the range of 0.10-0.40 mm.
16 The results show almost perfect healing capability for specimens healed under water at 30°C, better than for specimens
17 healed under water at 15°C, while insufficient for the wet/dry exposure.

18 **Keywords:** concrete, self-healing, autogenous, crystalline admixtures, permeability, durability

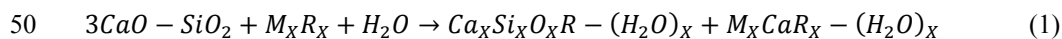
19 **1. Introduction**

20 Self-healing is the process through which a material is able to recover its properties, degraded after having suffered some
21 damage, with little or no external help [1]. Some authors also differentiate between self-healing and self-sealing, depending
22 on the recovered property [1, 2]. The self-healing process is well-known in bones and trees, which are able to repair damage
23 and recover their strength [3]. Structures built with self-healing materials will likely feature extended service life and lower
24 maintenance costs, furthermore benefiting from the avoidance of complicated repairs all along their service life [4]. In the
25 case of concrete, self-healing research has focused on the closing of cracks and the related recovery of properties, either
26 mechanical or durability-based. The property that is sought after will depend on the specific type of structure. Sometimes
27 the structure will require both mechanical and durability-based recovery, for example, in cases where watertightness is
28 needed for the structural stability to prevent the ingress of harmful substances that may activate or accelerate corrosion of
29 reinforcement, thus leading to loss of load bearing capacity.

30 Though the popularity of self-healing concrete has strongly increased in most recent years, the mechanism has been known
31 for years. Neville [5] already talked about the autogenous healing of concrete, and Fernández Cánovas [6] called it
32 “cicatrización”. Moreover, it was observed in [7, 8] that concrete water reservoirs and historical lime and lime-pozzolana
33 mortars featured self-healing capabilities due to their composition. This phenomenon benefits from tighter cracks [9], as
34 the volume that needs to be healed is smaller and thus the process is fastened.

35 Self-healing in concrete is caused by the following two main mechanisms [1, 10, 11]: autogenous healing and
36 autonomous/engineered healing. Autogenous healing of small cracks in concrete is a natural process, intrinsic to the
37 properties and the composition of the material itself. It is mainly caused by further hydration of cement and calcium
38 carbonate precipitation, though other processes could also enhance it [12]. Autonomous healing is an engineered healing
39 process designed to improve the self-healing properties of a concrete element. Furthermore, autonomous/engineered
40 healing can be further divided into 'passive' and 'active' modes [1, 11, 13]. The 'active' mode requires some human help to
41 activate the mechanism, while the 'passive' mode requires no human intervention. One of the methods for autonomous
42 healing is the use of self-healing admixtures, such as crystalline admixtures.

43 The ACI TC 212 report [14] regards crystalline admixtures (CA) as a type of permeability reducing admixtures.
44 Specifically, crystalline admixtures are hydrophilic, i.e., they react easily with water, in contrast to water-repellent or
45 hydrophobic products. The behavior of these products is still partially unknown: in fact, the ACI TC 212 report [14] states
46 that the concrete compounds reacting with CA are tricalcium silicates, while other authors [15] indicate calcium hydroxide
47 as the reactive. The general process, according to [14], follows Equation (1), where a crystalline promoter, $M_X R_X$, reacts
48 with tricalcium silicates and water to produce modified calcium silicate hydrates and a pore-blocking precipitate,
49 $M_X Ca R_X - (H_2O)_X$.



51 There are relatively few recent publications concerning the effect of crystalline admixtures as promoters of self-healing.
52 Jaroenratanapirom and Sahamitmongkol [16] focused on the visual observation of crack closing in mortar specimens
53 healing under water. Their results show that CA provided the best behavior for small and early age cracks (under 0.05 mm
54 and pre-cracked at 3 days and at 28 days), but were ineffective for larger cracks (around 0.3 mm) when compared to
55 Ordinary Portland Cement (OPC) mortars. Similar results were obtained by Sisomphon, et al. [15], who also made reference
56 to visual closing of cracks in mortar specimens with CA pre-cracked at the age of 28 days: only crack widths up to 150
57 microns were able to close completely when the samples were healed for 28 days under water. On the other hand, their
58 water permeability tests showed rapid healing for mortars with CA during the first 5 days, but only a limited reaction for
59 OPC mortars not containing the admixture. Afterwards, Sisomphon et al. [17] tested the recovery of mechanical properties

60 of strain-hardening cementitious composites containing CA and reported hardly any benefit when compared with control
61 specimens. However, the reaction for both kinds of specimens was enhanced when subjected to wet/dry cycles (immersion
62 in tap water for 12 hours and drying in air for 12 hours) as compared to continuous water immersion. Later on, Ferrara, et
63 al. [18] studied the effect of CA on strength recovery in normal strength concrete specimens, in their case made with
64 concrete containing CA at a dosage of 1% by the weight of cement, under continuous water immersion and an exposure to
65 open air and up to one year; this resulted in an improvement of the mechanical properties along the healing period.

66 Other studies [19, 20] focused on the development of self-healing admixtures, by using expansive agents, geo-materials
67 and chemical agents, in order to improve the chemical stability of re-hydration products and the velocity of the reaction,
68 which is fundamental for an effective healing.

69 All the aforementioned studies have anyway highlighted, once more, that presence of water is needed, even in a
70 discontinuous way (as in the case of wet/dry cycles), to activate the healing reactions for both autogenous healing and CA-
71 based healing. However, some discrepancies have been noticed when analyzing the autogenous healing capability of
72 concrete: while some studies [21] showed improvement of the healing capability with increasing ambient humidity for
73 early-age cracked specimens, others [22] concluded that exposures of high humidity levels do not activate self-healing
74 reactions. To the knowledge of the authors, the majority of works so far have used continued water immersion as their
75 healing exposure of choice. However, a few studies [23, 17] have shown better behavior for both autogenous healing and
76 CA-based healing under the exposure to wet/dry cycles than for continued immersion, which motivates specific analysis
77 on this subject.

78 This work compares the effect of a crystalline admixture on self-healing behavior in early-age concrete, considering two
79 classes of concrete under three different exposure conditions, all of them featuring the presence of water. The methodology
80 used in this research is based on permeability tests and crack width evaluations, comparing their performance to evaluate
81 self-healing, since some studies have registered correlations between permeability and crack width measurements [24, 25,
82 26]. The former method is based on the standard permeability test for uncracked concrete specimens and the methods for
83 cracked specimens used by Edvardsen [24] and Sisomphon et al. [15].

84 **2. Research significance**

85 The results from this study will allow assessing the effect of a crystalline admixture on the self-healing properties of
86 concrete at early ages through the analysis of water permeability and crack closing as healing parameters. This work studies
87 the self-healing behavior in two commonly used concrete classes, one typical for precast concrete elements and/or civil
88 engineering infrastructures (C45/55) and one standard class widely used for building constructions (C30/37). The influence
89 of the environmental exposure on self-healing is also investigated by comparing three different exposure conditions and

90 comparing their results with those of exposures from previous research [27], in order to widen the analysis data base and
 91 strengthen the conclusions. In all cases, the examined crack widths range between 0.10 and 0.40 mm, for the purpose of
 92 verifying the limits of healing effectiveness for each combination of experimental variables. This work aims to provide
 93 new perspectives on the use of crystalline admixtures as self-healing agents in engineering applications where
 94 watertightness is a key factor.

95 **3. Experimental program and methodology**

96 **3.1. Experimental program**

97 In this work, all specimens have been evaluated by means of a permeability-based method and the crack closing. Specimens
 98 were divided into eight testing groups to analyze the effect of concrete strength class, influence of exposure condition
 99 during healing and the presence of a crystalline admixture (CA) as a self-healing “promoter.” Table 1 shows the
 100 experimental variables combination and the number of tested specimens for each of them, adding up to a total of 144
 101 specimens tested in this study. Higher amount of specimens were tested for the “water immersion at 15°C” groups, since
 102 they are the reference used for comparison.

Concrete quality	Self-healing admixture	Exposure conditions	Number of specimens
Precast concrete C45/55	-	Water immersion at 15°C	22
		Water immersion at 30°C	14
		Wet/dry cycles at 15°C 100RH / 17°C and 40% RH	14
	CA	Water immersion at 15°C	22
		Water immersion at 30°C	14
		Wet/dry cycles at 15°C 100RH / 17°C and 40% RH	14
Standard concrete C30/37	-	Water immersion at 15°C	22
	CA	Water immersion at 15°C	22

103 **Table 1 - Number of specimens cast for each group.**

104 The goal of the main set of these experiments is to compare the self-healing behavior of concrete with and without the
 105 crystalline admixture under three different exposure conditions: water immersion at 15°C (WI_15), water immersion at
 106 30°C (WI_30), and wet/dry cycles (W/D). More detailed information on these conditions will be given in Section 3.3.

107 A second set of experiments studied the effect of concrete class and whether the crystalline admixture affects this effect.
 108 Two classes of concrete have been considered, comparing their healing only under water immersion at 15°C. The first class
 109 of concrete can be considered as a usual high-quality/performance mix for precast concrete elements, with water/cement
 110 ratio of 0.45 and a cement content of 350 kg/m³, for a target strength class C45/55. The second class features a standard

111 composition for building constructions, with water/cement ratio of 0.60 and a cement content of 275 kg/m³ for a target
 112 strength class C30/37.

113 3.2. Materials

114 Two different concrete classes were investigated in this study, featuring different water/cement ratios and cement contents,
 115 meant as representative of a standard normal strength concrete and of a high performance one used in precast construction.
 116 These compositions were further modified by adding the crystalline admixture, in powder form, at a dosage equal to 4%
 117 by the weight of cement. Table 2 shows the composition of the four considered concrete mixes. It is worth remarking that
 118 powder content was kept constant in mixes with CA by reducing the quantity of limestone filler accordingly.
 119 40 kg/m³ of steel fibers (0.51% by volume) were used for the purpose of controlling crack width during the pre-cracking
 120 and healing stages. Steel fibers were chosen to study just autogenous and CA-based healings and avoid the additional
 121 effects by some plastic fibers, such as those reported by Nishiwaki et al. [28]. The dosage of the superplasticizer, Sika
 122 ViscoCrete 5720, was adjusted in each different group in order to get a similar slump (around 150 mm). Standard concrete
 123 with crystalline admixture needed a dosage of superplasticizer between 0.70-1.00% by the weight of cement, and all other
 124 groups needed between 1.00-1.30%.

Material (kg/m ³)	Precast concrete C45/55		Standard concrete C30/37	
	Control	CA	Control	CA
Cement II/A-L 42.5 R	350	350	275	275
Water	157.5	157.5	165	165
<i>Water / cement</i>	<i>0.45</i>	<i>0.45</i>	<i>0.60</i>	<i>0.60</i>
Gravel (4-12 mm)	950	959	908	915
Natural sand	899	875	987	967
Fibers, Dramix RC 65/35 BN	40	40	40	40
Limestone powder	50	36	50	39
Crystalline Admixture	-	14	-	11
<i>Number of batches</i>	<i>4</i>	<i>4</i>	<i>2</i>	<i>4</i>
Average Slump ± std. dev. (cm)	13 ± 3.5	16 ± 1.5	15 ± 1	14 ± 2
Average Compressive Strength ± std. dev. (MPa)	55 ± 3	63 ± 3	38 ± 3	41 ± 2

125 **Table 2 - Mix design of control and CA concretes using different water/cement ratio.**

126 All batches were characterized by their workability with slump tests as per EN 12350-2:2009 and compressive strength at
 127 28 days as per EN 12390-3 for cylindrical specimens. These control tests were performed with the objective of verifying
 128 the homogeneity of specimens from different batches of the same mix group (control or CA, precast or standard) and in

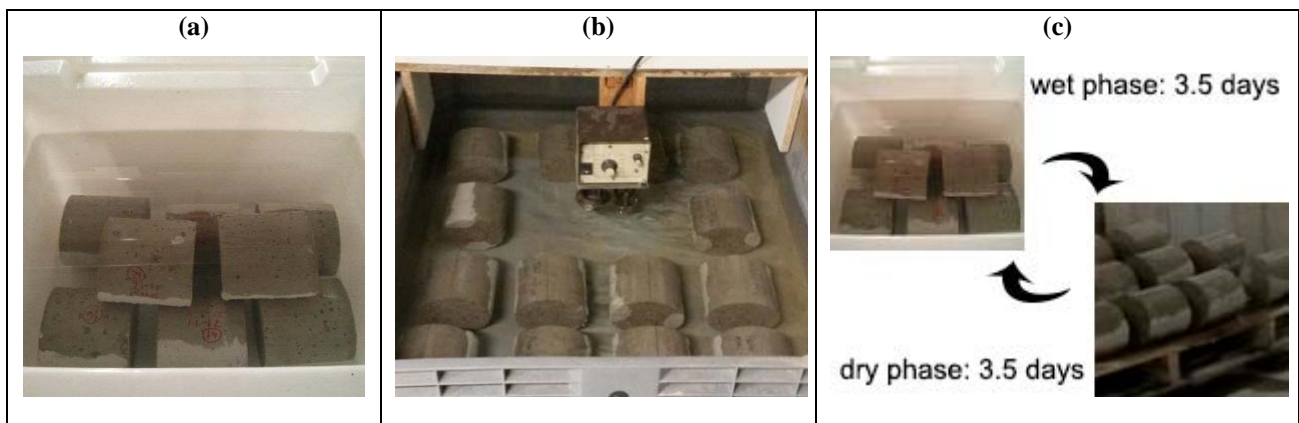
129 order to compare the results between the four different types of concrete. Four batches were cast for each test matrix
130 combination except for control standard concrete.

131 After averaging the results of all batches for each group, it was observed that the addition of CA resulted in a higher
132 compressive strength at 28 days for both classes of concrete: 15% higher than control concrete for precast concrete and 8%
133 higher for standard concrete. The slump tests showed differences within acceptable tolerance limits according to the current
134 standards.

135 3.3. Exposure simulation

136 Three environmental exposure conditions were considered in order to determine the influence of water availability and its
137 temperature on the self-healing capability of the tested specimens, comparing reference concrete with crystalline admixture
138 concrete (Figure 1). All specimens were left to heal for 42 days.

- 139 ▪ WI_15 (water immersion at 15°C): continuous immersion in tap water at a temperature of 15°C, only adding water
140 to compensate the evaporation and to maintain a constant water level;
- 141 ▪ WI_30 (water immersion at 30°C): continuous immersion in tap water at a temperature of 30°C, including a motor
142 that ensured a uniform temperature in the whole container, only adding water to compensate the evaporation and
143 to maintain a constant water level;
- 144 ▪ W/D (wet/dry cycles): immersion in tap water at a temperature of 15°C for 3.5 days and air exposure for another
145 3.5 days (air conditions: $17 \pm 1^\circ\text{C}$ and $40 \pm 5\%$ RH); this process is repeated six times.



146 **Figure 1 - Three exposure conditions: water immersion at 15°C (a), water immersion at 30°C (b) and wet/dry**
147 **cycles (c).**

148 Specimens were divided in two different water containers in order to avoid interferences between control and CA concrete,
149 and water temperature was measured regularly. The volume of water per specimen was constant. Immersed specimens
150 were placed ensuring a distance between the specimens of at least 5 cm between cracked surfaces and 1 cm between lateral
151 surfaces, in order to let the water infiltrate inside the crack and act over the whole specimen. Each healing exposure has

152 been designed with the objective of simulating real conditions (see Section 5.1). The analysis of the healing behavior under
153 warm water serves a twofold purpose: first, to compare the effect at a different but feasible temperature of water and,
154 second, to verify if a higher temperature accelerates the healing reactions.

155 **3.4. Methodology for the evaluation of self-healing**

156 The methodology followed in this work has been thoroughly explained in Roig-Flores et al. [27] and will be briefly
157 summarized hereafter. For each class of concrete, cylindrical specimens ($\Phi 150 \times 150$ mm) were pre-cracked at the age of 2
158 days, inducing controlled damage by means of a splitting test. The range of studied crack widths was 0.1-0.4 mm. Water
159 permeability was analyzed using a test method based on the standard test to measure water depth penetration on concrete
160 specimens (EN 12390-8), but measuring water flow instead. Water pressure was always applied in the “top surface” of
161 specimens (see Figure 2). Permeability tests were performed one day after pre-cracking, i.e. before exposure to the different
162 conditioning environments, and at the end of a 42 days conditioning period.

163 The parameters of the permeability test were water head pressure equal to 2.00 ± 0.05 bar, and testing time of 5 min. In
164 addition, crack width was also quantified by means of an optical microscope (PCE-MM200) to support the results from
165 permeability tests. This parameter was evaluated by estimating the average crack width (w_{avg}) by measuring its value at
166 multiple fixed locations along the length of the crack, which is a feasible method with a short post-processing stage [27].

167 In detail, the average crack width (in millimeters) was calculated by averaging seven crack width measurements taken at
168 fixed positions, three on the top surface and four on the bottom surface of the specimens, as it can be seen in Figure 2. The
169 distance between crack width measuring positions was 25 mm on the top surface and 30 mm on the bottom surface. The
170 optical microscope focuses the center of the image, therefore, in order to widen the focused area, two overlapping pictures
171 were taken at each fixed position. Then, the two pictures were combined in order to create a joint picture with a wider
172 focused area. This process was made by using the photo editing software Adobe Photoshop CS6. Each individual picture
173 had a size of 1600×1200 pixels and covered an area of 8×6 mm, therefore 1 pixel was equivalent to $5 \mu\text{m}$. The resolution
174 of the images was maintained in the larger composed pictures, thus $5 \mu\text{m}$ was the limit of resolution of the measurements.

175 After the healing process and after a short conditioning period in lab environment (a couple of hours), all specimens were
176 subjected to the final permeability test. Afterwards, they were left to dry at air exposure at laboratory conditions in order
177 to obtain an unwetted crack surface prior to the visual observation of the same cracks. Intermediate measurements were
178 not performed, in order to avoid uncontrolled effects due to the high pressure of the permeability test during the healing
179 process and to avoid an interruption due to the drying stage needed to take high quality photos.

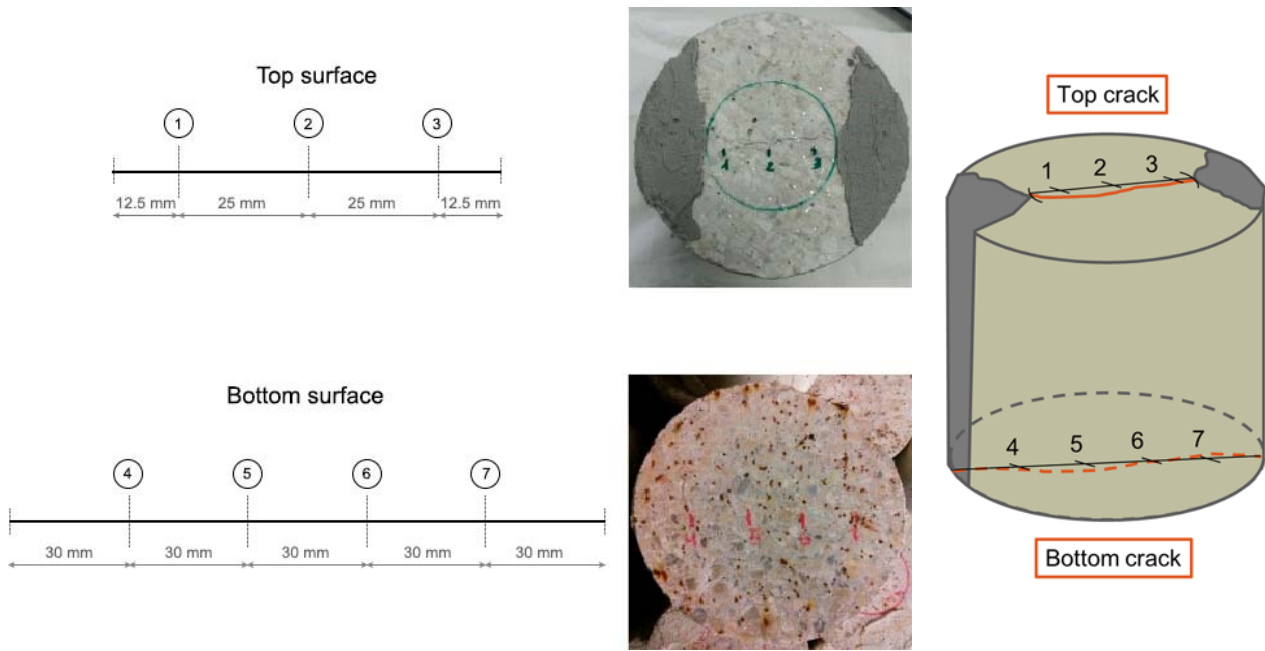


Figure 2 - Fixed positions on the top and bottom surface where crack width is measured

180

181 **a) Parameters for permeability and crack width evaluation**

182 The effect of healing on permeability was evaluated by calculating a Healing Ratio parameter as follows, equation (2):

183
$$\text{Healing Ratio} = 1 - \frac{\text{Final Flow}}{\text{Initial Flow}} = 1 - \frac{Q_{42}}{Q_0} \leq 0 \quad (2)$$

184 With:

185 Q_0 the initial water flow [ml/5min], measured after pre-cracking

186 Q_{42} the final water flow [ml/5min], measured after a healing period of 42 days

187 Analogously to the Healing Ratio, a Crack-Closing Ratio parameter has been defined as follows, equation (3):

188
$$\text{Closing Ratio} = 1 - \frac{\text{Final Crack Width}}{\text{Initial Crack Width}} = 1 - \frac{\omega_{42}}{\omega_0} \leq 0 \quad (3)$$

189 With:

190 ω_0 the initial crack width [mm], measured after pre-cracking

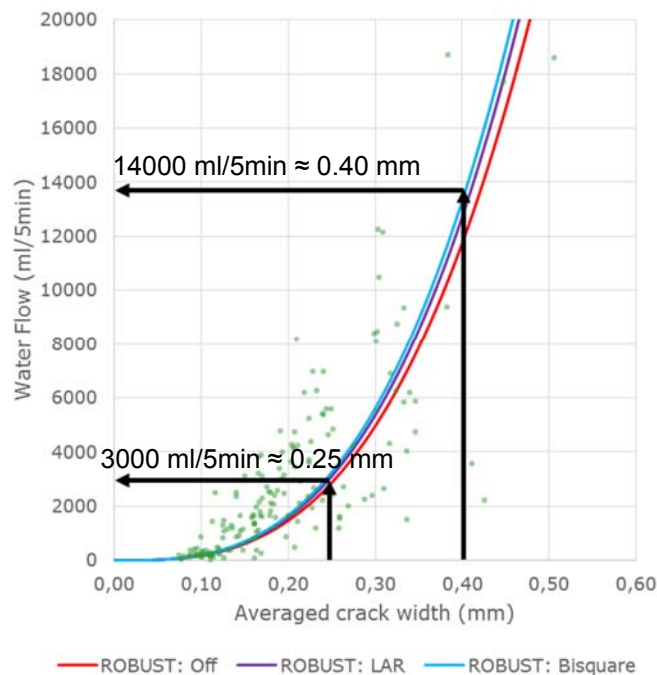
191 ω_{42} the final crack width [mm], measured after a healing period of 42 days

192 This research analyzes the use of both parameters, since the measure of crack width is fast, cheap and non-destructive,
 193 while permeability-based tests are expected to be of greater importance regarding the recovery of durability properties in
 194 concrete. This is due to the possibility that a visual closing of cracks, which can occur on the surface, might not effectively
 195 block water flow at the testing pressure. If the crack is visually closed but water can still pass through the specimen, the
 196 durability will not be improved by the closing.

197 **b) Relation between permeability and crack width parameters**

198 From the literature, it has been shown [24] that the relation between the water flow passing through a crack and the width
199 of that crack is a third-order polynomial with only the cubic term. This relation could be modified by the presence of fibers,
200 as reported by Lawler, et al. [25], in which the type and amount of fibers affected the relation between crack width and
201 water flow due to the multicracking effect.

202 Figure 3 plots the values of initial water flow from the permeability test versus the corresponding initial average crack
203 width of specimens from the present study, as well as the computed regression curves. Two robustness methods were used
204 to minimize the influence of outliers in the regression curve, Least Absolute Residuals (LAR) and Bisquare, and compared
205 with the regression obtained when no outlier influence-minimization method was employed. The three curves were quite
206 similar, but with different values of the coefficient of determination (R^2): 0.95 for the LAR curve, 0.77 for the Bisquare
207 curve and 0.47 when using no robustness model. The dispersion in this correlation could be caused by different geometries
208 of the in-depth volume of the crack. These curves were plotted considering only the initial values of water flow and crack
209 width, since self-healing could be happening inside the specimen yet have no visible effect on the surface crack. This
210 correlation will only be used to compare the values of healing for permeability and crack closing, rather than to find an
211 exact relationship between both parameters.



212

213 **Figure 3 - Initial crack width versus initial water flow with trendline with different adjustments.**


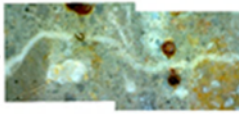





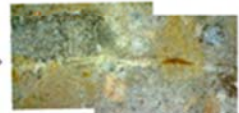




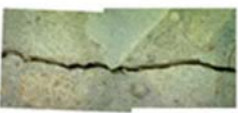
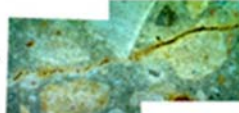

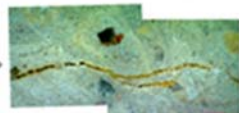
214 Despite the fact that the healing capacity of specimens was inverse related with damage suffered, in the literature there is
215 no clear agreement between the limit values of initial damage in order to achieve complete healing in terms of permeability

216 and crack closing. In this research, average crack widths up to 0.40 mm have been analyzed, which corresponded to
 217 measured water flow values around 14000 ml/5min. The effect of initial damage is explained in Section 4.2.a.

218 **4. Results**

219 **4.1. Morphology of healed cracks**

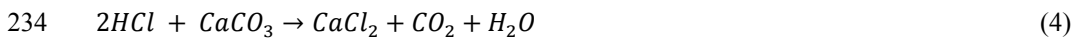
220 The first observed aspect of the crack healing phenomenon were white crack-sealing formations in control and CA
 221 specimens under water immersion for 42 days, which can be clearly seen in Figure 4 for initial cracks between 0.20 and
 222 0.30 mm. It can be furthermore observed that specimens subjected to the WI_15 and WI_30 exposures have a higher
 223 healing ability, closing cracks almost completely, especially for specimens in warm water. Crack closing was also observed
 224 in specimens subjected to wet and dry cycles, though to a lower extent. These results confirm the important role of the
 225 presence of water and its temperature in the healing process, as well as the time under water.

		Concrete class	Control concrete		Concrete with Crystalline Admixtures	
			Before healing	After 42 days healing	Before healing	After 42 days healing
Exposure	Water Immersion at 15°C	Precast		 Flow reduction ~ 92% Crack closure ~ 99%		 Flow reduction ~ 92% Crack closure ~ 97%
		Standard		 Flow reduction ~ 100% Crack closure ~ 100%		 Flow reduction ~ 100% Crack closure ~ 98%
	Water Immersion at 30°C	Precast		 Flow reduction ~ 100% Crack closure ~ 100%		 Flow reduction ~ 100% Crack closure ~ 100%
		Precast		 Flow reduction ~ 30% Crack closure ~ 68%		 Flow reduction ~ 43% Crack closure ~ 67%

226
 227 **Figure 4 - Crack before and after healing, for control and CA specimens, for the two qualities of concrete and**
 228 **exposed to the three exposure conditions: water immersion at 15°C, water immersion at 30°C and wet/dry cycles.**

229 A qualitative evaluation of the composition of crystals leaching out of the crack was performed for both control specimens
 230 and for specimens with the crystalline admixture. The purpose of this evaluation was to discern whether those products

231 mainly consisted of carbonate ions (CO_3^{2-}). Chlorhydric acid (HCl) was used for this purpose, due to its reactivity with
232 carbonates, which produces clear effervescence due to the release of carbon dioxide (CO_2). The reaction for the specific
233 case of calcium carbonate (CaCO_3), which is the most feasible carbonate in this context, is:



235 The effervescences that were obtained with this test indicated that the crystal products formed in the surface crack were
236 mostly carbonates. The presence of calcium silicate hydrates (C-S-H) has not been investigated.

237 **4.2. Self-healing results: permeability and crack width**

238 **a) Effect of initial damage on healing for specimens under water immersion at 15°C**

239 The main analysis on the effect of initial damage was performed on specimens stored under water at 15°C, for both mix
240 designs, as they are the groups with the highest amount of specimens in this study and this exposure condition is the most
241 studied in the literature.

242 Figure 5 shows the results of Healing Ratio versus initial damage (i.e., initial water flow) for specimens under water
243 immersion at 15°C for the two considered classes. As explained in the literature [9], larger cracks are more difficult to seal;
244 as a matter of fact, in this work a decrease in healing ability was observed in all exposure conditions when increasing initial
245 water flow. Specimens with damage corresponding to an initial water flow higher than 5000 ml/5min were unlikely to heal
246 completely within 42 days of healing time under water immersion at 15°C.

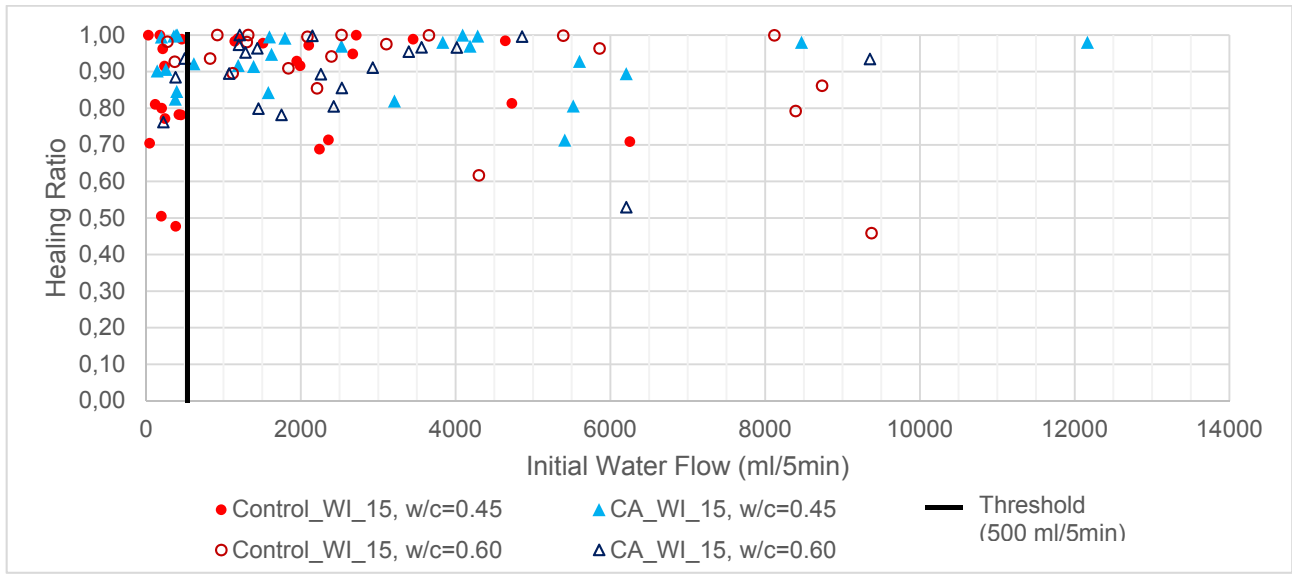
247 Figure 6 shows the Closing Ratio results versus average initial crack width. The closing capability for cracks between 0.15
248 and 0.40 mm decreased for larger crack widths. According to the Closing Ratio results, initial crack widths larger than 0.30
249 mm were unlikely to be healed completely within 42 days under the WI_15 exposure. The limits considering the two
250 parameters are consistent with the regression curves shown in Figure 3.

251 In both cases, specimens with very little damage showed high dispersion: this could be due to a damage threshold that
252 should be overcome in order to get significant measurements from the employed method and/or to the precision limit of
253 the method itself, which could be less efficacious for cases with similar small initial and final values of the parameter. This
254 damage threshold is marked as a black vertical line at the value of initial water flow of 500 ml/5min in Figure 5 and initial
255 crack width of 0.11 in Figure 6.

256 **b) Effect of concrete quality and crystalline admixture on healing for specimens under water immersion at 15°C**

257 The self-healing behavior obtained from permeability measurements of control specimens (Figure 5) was similar for the
258 two concrete compositions (with different w/c ratio). Control concrete specimens achieved Healing Ratios between 0.70
259 and 1.00 for most specimens, but showing high dispersion. CA concrete had less scattering for both concrete mixes, with

260 Healing Ratios between 0.80 and 1. Even though control specimens were also capable of achieving high values, specimens
 261 containing the crystalline admixture featured higher minimum healing values.



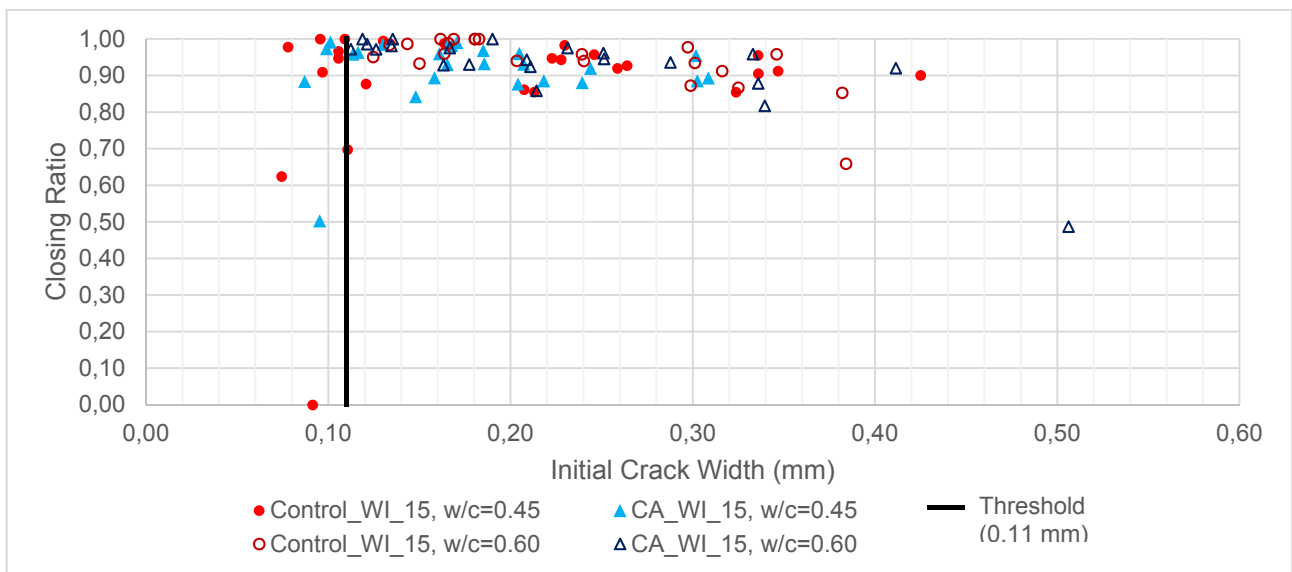
262

263

Figure 5 - Healing Ratio by permeability of specimens stored WI_15 with w/c of 0.45 and 0.60.

264

Comparing the visual closing of the crack (Figure 6), the two concrete classes and the presence of CA showed no discernible
 265 difference.



266

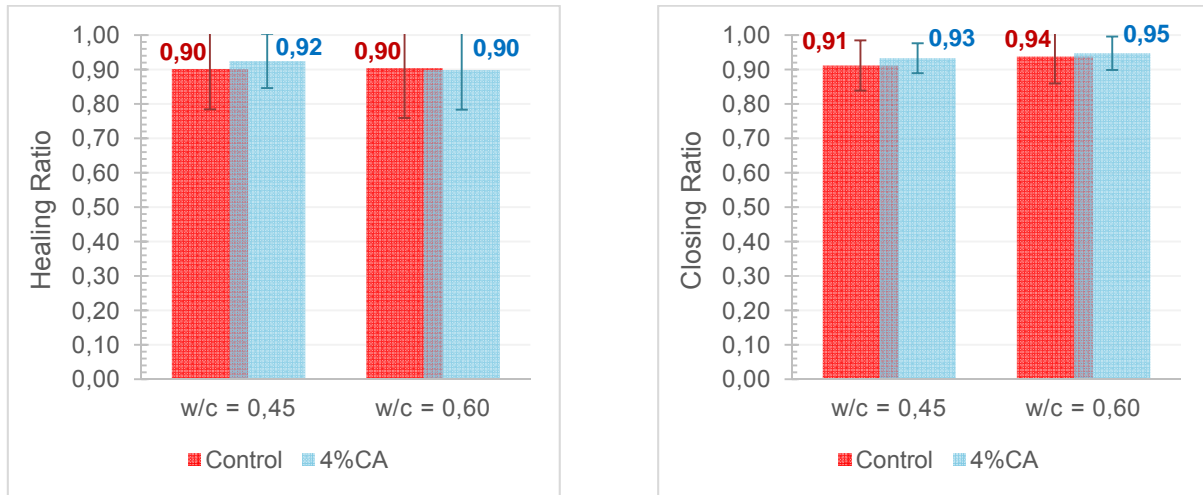
267

Figure 6 - Closing Ratio by crack closing of specimens stored WI_15 with w/c of 0.45 and 0.60.

268

Figure 7 shows the average Healing and Closing Ratios for these four types of concrete, excluding those values of the
 269 samples with initial damage under the aforementioned thresholds. The results show little improvement when using the
 270 crystalline admixture: around 2% for precast quality concrete, while the differences are hardly noticeable for standard
 271 concrete. In contrast, the standard deviation was notably reduced when adding the crystalline admixture, with a reduction

272 of 32% and 40% in the case of precast quality concrete for the Healing and Closing Ratios respectively, and a reduction of
273 20% and 37% in the case of standard concrete for the Healing and Closing Ratios.



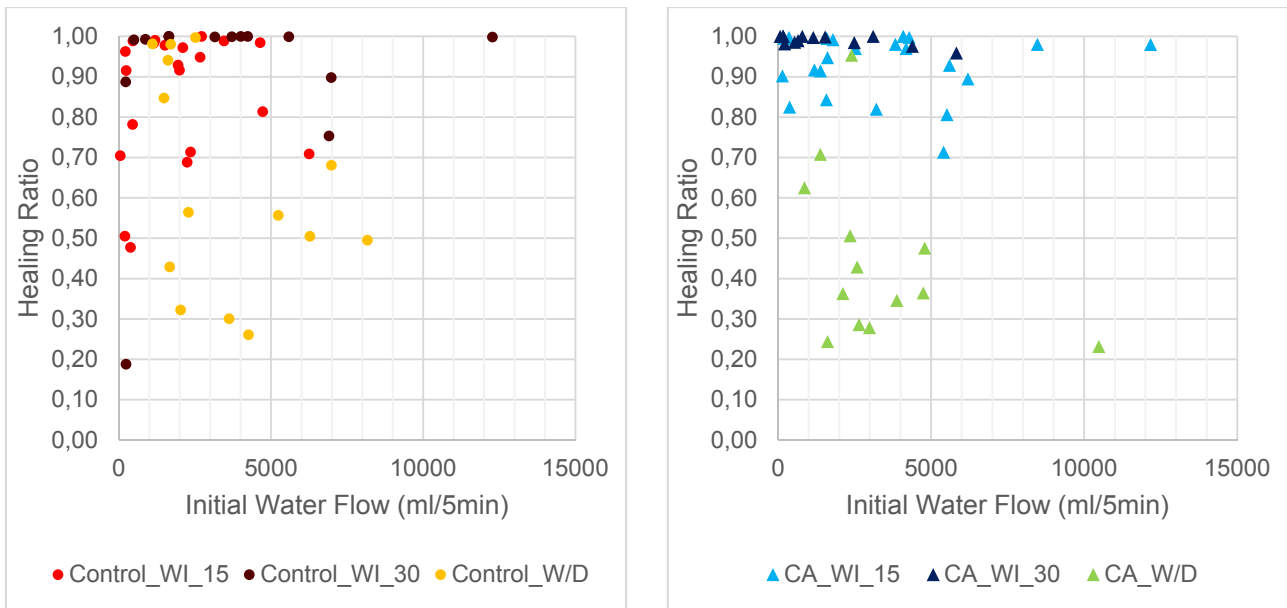
274 **Figure 7 – Average and standard deviation values for Healing (left) and Closing Ratios (right) for specimens of the**
275 **types of concrete healed under water immersion at 15°C.**

276 **c) Effect of water temperature and wet/dry cycles on healing for precast concrete**

277 The effect of warm water and discontinuity in the presence of water were considered on the healing exposures of water
278 immersion at 30°C (WI_30) and wet/dry cycles, respectively. Both cases were analyzed for precast concrete only.

279 Figure 8 shows that specimens healed in warm water featured Healing Ratios between 0.90 and 1 for the control group,
280 while specimens with the crystalline admixture always obtained results higher than 0.96, even for larger initial crack widths.
281 However, as only a few specimens were tested with the highest values of initial crack width, the limit crack width for self-
282 healing could not be clearly discerned for this group. One anomalous response was obtained in this group for control
283 concrete, with a Healing Ratio of 0.20, which was under the aforementioned threshold of 500 ml/5min. The healing
284 exposure of water immersion at 30°C resulted the best healing condition among the ones herein investigated, especially
285 when using the crystalline admixture.

286 The results obtained for specimens subjected to wet/dry cycles (Figure 8) showed a high dispersion in the results for control
287 concrete and concrete with the crystalline admixture, which hindered the search for clear patterns. Most of the Healing
288 Ratios obtained for this exposure were located around 0.30-0.50.



289 **Figure 8 – Healing Ratio for control concrete (left) and CA concrete (right) for three different healing exposures.**

290 **d) Effect of crystalline admixtures on the dispersion of the results**

291 As stated before, CA specimens yielded Healing and Closing Ratios that were more consistent (i.e., presented lower
 292 standard deviation) than those for control specimens. The statistical significance of these differences was analyzed using
 293 Levene's test. This test compares the standard deviation of two sets of data against the null hypothesis that both tests come
 294 from distributions with equal variance. Thus, a p-value under the significance level (0.05 in this work) means that the
 295 variances can be considered significantly different. This analysis was performed by comparing the Healing and Closing
 296 Ratios of CA and control specimens under all exposures, in order to evaluate the effectiveness of CA.

297 The results obtained for the Healing Ratio of precast concrete show that CA specimens achieved smaller variance than
 298 control specimens in all groups. Specifically, specimens healed under water immersion at 15°C yielded a p-value of 0.057,
 299 whereas those healed under water immersion at 30°C yielded 0.042. This means that CA reduced the variance in both
 300 groups, being statistically significant for latter and almost significant for the former. In contrast, specimens healed under
 301 the wet/dry cycles exposure showed no significant difference between the variances of CA and control specimens (p-value
 302 of 0.140). The same analysis for standard concrete (healed under water immersion at 15°C) show that there was no
 303 significant difference between the variances (p-value of 0.55).

304 The results obtained for the Closing Ratio values showed no significant differences between CA and control specimens in
 305 any group.

306 **5. Comparison with previous research and discussion**

307 **5.1. Effect of healing exposure for cracks up to 0.40 mm**

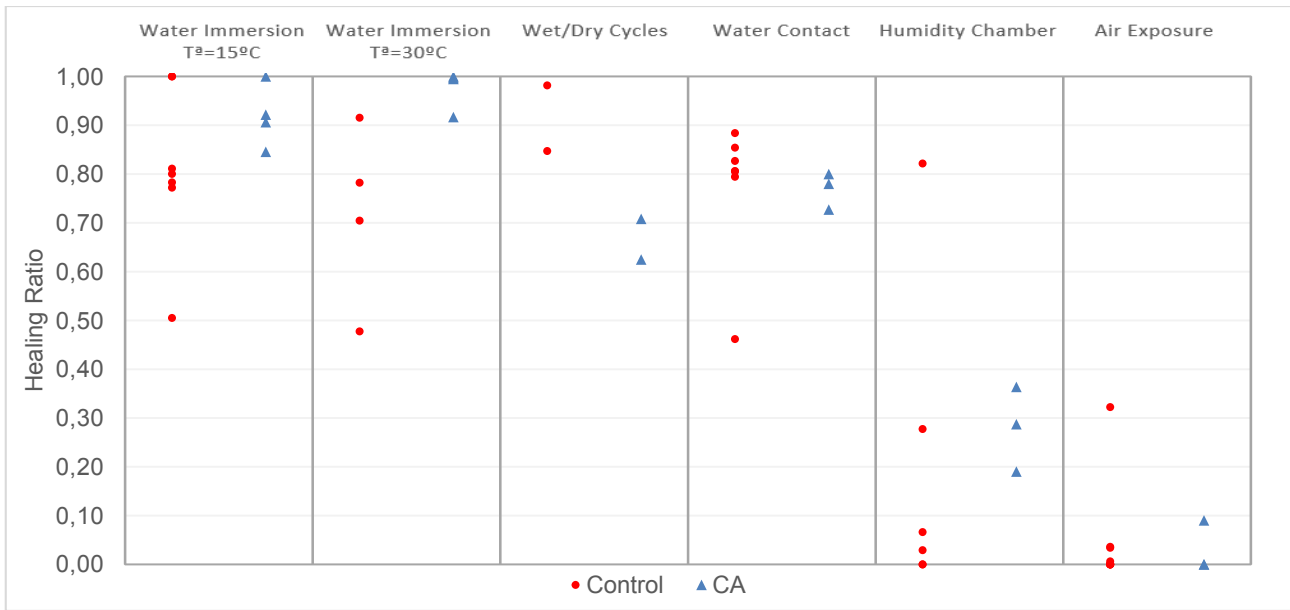
308 In this study, the healing properties of specimens with w/c ratio of 0.45 have been analyzed under three exposure conditions.
 309 Previous tests [27] were performed for the same composition of precast concrete, for smaller ranges of crack widths (up to
 310 0.20 mm), under four exposure conditions (one of which was also investigated in this study), and for the same duration of
 311 the healing period. So, six different exposure conditions have been analyzed in total. Each type of exposure condition was
 312 designed with the objective of simulating a different set of real conditions, as indicated in Table 3.

Campaign	Code	Exposure	Conditions	Simulates	Examples
Present campaign	WI_30	Water immersion at 30°C	Continuous immersion in tap water at laboratory conditions only adding water to compensate for evaporation (temp. of water, 30°C).	Under water concrete elements with warm water or an accelerated version of WI_15.	Similar to WI_15 but for warm climates and specific zones.
	W/D	Wet/Dry Cycles	Water immersion in tap water at the temperature of 15°C for 3.5 days and air exposure for others 3.5 days (air conditions: $17 \pm 1^\circ\text{C}$ and $40 \pm 5\% \text{ RH}$).	Concrete elements with wet/dried periods.	Partially immersed piles of bridges, dams, water-reservoirs.
Common in both campaigns	WI_15	Water immersion at 15°C	Continuous immersion in tap water at laboratory conditions only adding water to compensate for evaporation (temp. of water, 15°C).	Under water concrete elements.	Completely immersed water-reservoirs, irrigation canals.
Previous campaign	WC	Water contact	A layer of water of 2 cm on one surface. Stored in humidity chamber at 20°C, 95±5% RH. Additional water was supplied to maintain the water layer.	Situations with a face directly exposed to water with a very low pressure and the other not exposed to it.	Buried walls under the water table.
	HC	Humidity chamber	Storage inside a standard humidity chamber at 20°C, 95±5% RH.	Concrete elements in a high humidity environment.	Bridges, buildings, etc. in humid locations.
	AE	Air Exposure	Storage of the specimens in normal laboratory conditions inside a room without exterior influences on air conditions, at $17 \pm 1^\circ\text{C}$, $40 \pm 5\% \text{ RH}$.	Concrete elements in an average humidity environment.	Bridges, buildings, etc. in dry locations.

313 **Table 3 - Healing exposure conditions.**

314 Figure 9 shows the individual values of Healing Ratio for specimens with initial water flows up to 1500 ml/min (approx.
 315 crack widths of 0.20 mm) from the current study and from [27], since the previous study focused only on small crack

316 widths. The graph shows high scattering of results, as could be expected due to the presence of specimens with values of
 317 initial damage under the threshold. The results can be gathered in two groups: those in direct contact with water during the
 318 healing period (WI_15, WI_30, W/D and WC), and those exposed to different humidity values (HC and AE). Negative
 319 values of the Healing Ratio and Crack-Closing Ratio were occasionally seen when samples were exposed to low humidity
 320 conditions and have been plotted as zeros in the graphs. Figure 9 shows that the crystalline admixture improved self-healing
 321 only for specimens healed under the two water immersion exposures.



322

323 **Figure 9 – Individual values of Healing Ratio for specimens with initial water flow up to 1500 ml/5min.**

324

324 Since the present study focuses on specimens with initial crack widths between 0.10 and 0.40 mm and the results suggested
 325 the presence of a threshold, it has been considered of interest to evaluate separately the response (Healing and Closing
 326 Ratios) obtained for specimens with initial damage values above the threshold and strictly under 0.40 mm (or 14000
 327 ml/5min).

327

328 Figure 10 shows Healing Ratios of specimens with initial water flow between the threshold of 500 ml/5min and 14000
 329 ml/5min, altogether with values in the same range from [27]. In the case of the specimens of the present study (WI_15,
 330 WI_30 and W/D), the average value and standard deviation are represented; for the rest of exposure conditions, only the
 331 individual values are plotted, since the amount of tested specimens was smaller. Figure 11 is the analogous graph but
 332 corresponding to the Closing Ratio.

332

333 Analyzing both the Healing Ratio and the Crack Closing Ratio, the exposures with the better healing behavior are the two
 334 corresponding to water immersion, with better response for specimens healed under warm water. In both cases, the presence
 335 of the crystalline admixture not only improved the average value of the Healing Ratio, but also decreased significantly the
 336 scattering of the response, which indicates a more reliable and predictable self-healing behavior of CA concrete. In fact,

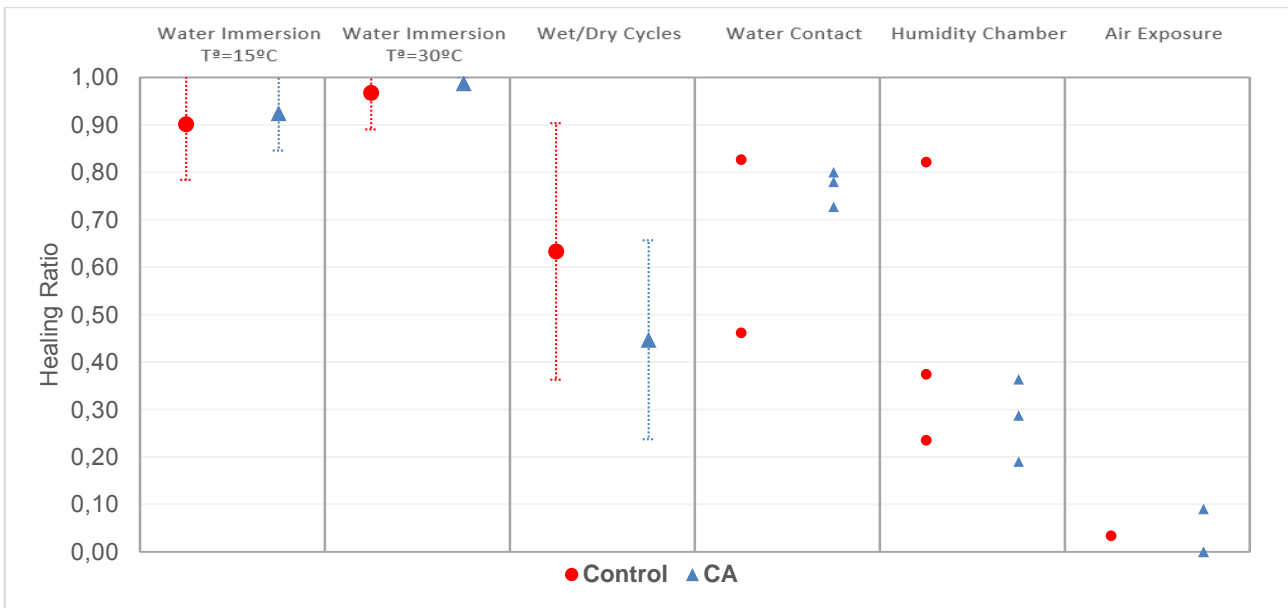
337 specimens with CA healed under water at 30°C achieved an average Healing Ratio equal to 0.99 with a standard deviation
338 of 0.01 and an average Closing Ratio of 0.98 with a standard deviation of 0.04. In contrast, control specimens healed under
339 that exposure achieved an average Healing Ratio equal to 0.97 with a standard deviation of 0.08 and an average Closing
340 Ratio of 0.93 with a standard deviation of 0.04.

341 In the case of specimens healed under water at 15°C, this trend is maintained but with slightly lower values: specimens
342 with CA achieved an average Healing Ratio equal to 0.92 with a standard deviation of 0.08 and an average Closing Ratio
343 of 0.93 with a standard deviation of 0.08, while control specimens achieved an average Healing Ratio equal to 0.90 with a
344 standard deviation of 0.12 and an average Closing Ratio of 0.91 with a standard deviation of 0.07.

345 For the wet/dry cycles exposure, with intermittent contact with water, the response showed high dispersion, and thus the
346 average values should be handled with care as far as their reliability and representativeness are concerned. The values are
347 around 0.50, which suggests that structures under cycling regimes (i.e., periods under water immersion followed by drying
348 periods) will not be healed effectively within 42 days.

349 Figure 10 shows that specimens under the humidity exposures (AE and HC) had significantly low Healing Ratios. Some
350 of the specimens stored under air exposure (AE) had negative Healing Ratios, set as equal to zero in the graph as already
351 specified above (see 3.4.a). Such negative values of the Healing Ratios were more frequent in control specimens, and were
352 also present under the humidity chamber exposure. This could possibly be caused by shrinkage compensation due to the
353 presence of CA. In any case, as these two exposures were only tested for a small range of crack widths, it would be
354 interesting to test them focusing on the range of 0.20-0.40 mm for future tests.

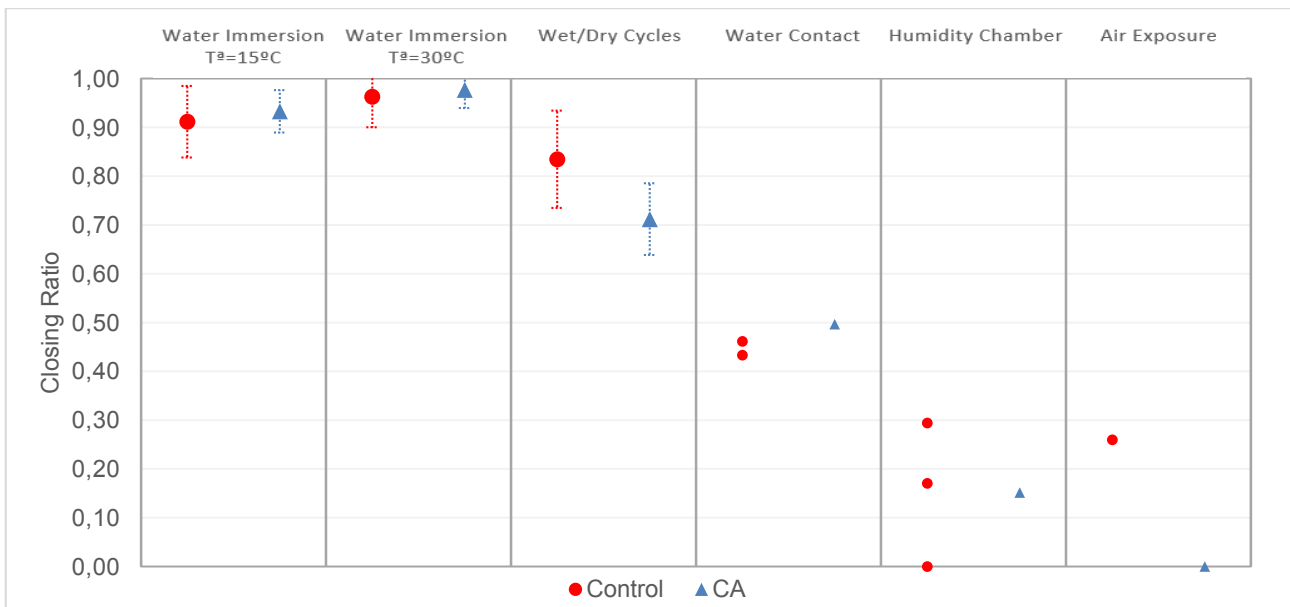
355 Figure 11 shows that Closing Ratio differs from the Healing Ratio results for specimens under the water contact and wet/dry
356 cycles exposures (WC and W/D). For the water contact exposure, the average Closing Ratio had to be smaller than the
357 Healing Ratio, as only one crack was in direct contact with water and, therefore, only one crack was able to heal, explaining
358 the relatively high values of Healing Ratio that accompany the low values of Closing Ratio. In the case of wet/dry cycles,
359 an evaluation of the visual closing may overestimate the healing capability in comparison to the recovery in permeability
360 terms.



361

362

Figure 10 - Healing Ratio for initial water flows between 500 and 14000 ml/5min.



363

364

Figure 11 - Closing Ratio for initial crack widths between 0.11 and 0.40 mm.

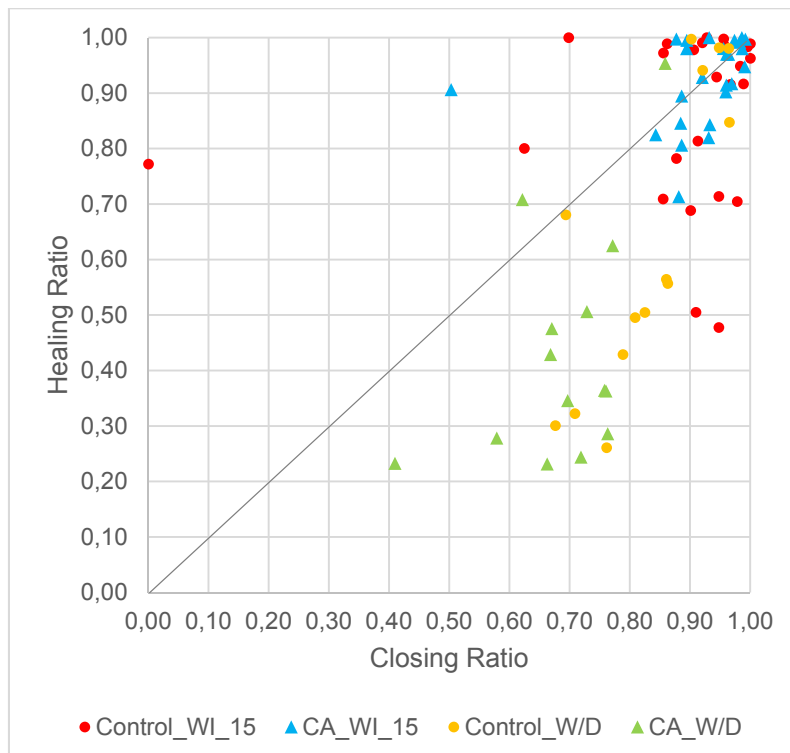
365 These results show that an evaluation of the visual closing may not be as reliable as permeability tests to evaluate the
 366 healing capability when specimens are subjected to discontinuous immersion. The results of specimens healed under the
 367 wet/dry exposure contrast with the conclusions from other authors [17, 23] that experienced better behavior for wet/dry
 368 cycles than for continued immersion. The study of Yang et al. [23] compared autogenous healing for Engineered
 369 Cementitious Composites (precracked at an early age) under different environments, but they focused on tight crack widths
 370 (around 0.050 mm). Their results show that specimens were more likely to recover stiffness under two different wet/dry
 371 regimes than when healed under water. Similar results were achieved by Sisomphon et al. [17], also for CA-based healing.
 372 The contradiction with the conclusions from this work could be caused by the differences on the materials and methods,

373 such as the use of different basis material (including different CA), the differences on crack width ranges, the use of high
374 contents of PVA fibers (which enhance healing [28]) and high contents of cement and fly ash (thus having high potential
375 for delayed reactions), but also by the focus on the evaluation of different properties.

376 5.2. Comparison between Healing Ratio and Closing Ratio

377 The differences between Healing and Closing Ratios are of major importance, as many studies only compare the visual
378 closing of cracks, while the study of permeability properties could provide more information on the durability properties
379 of cracked concrete structures and their self-healing possibilities.

380 Figure 12 shows the Healing Ratio and Closing Ratio parameters for the water immersion at 15°C and wet/dry cycles
381 exposures. Values corresponding to water immersion at 30°C are omitted for clarity, as they were all close to 1, with little
382 to no differences between both parameters. The results show that specimens under water immersion at 15°C achieved
383 higher Healing and Closing Ratios, but control specimens were more likely to feature higher Closing Ratios that did not
384 correspond to higher Healing Ratios. This effect was especially noticeable for the wet/dry cycles exposure, for which
385 specimens achieved notably worse Healing Ratios than Closing Ratios. This can be due to physical closing of cracks that
386 had not influence on actual permeability. Consequently, visual evaluation of crack closing may overestimate healing,
387 leading to misconceptions of the recovery of durability properties.



388
389 **Figure 12 - Healing Ratio vs Closing Ratio for control and CA specimens with w/c ratio of 0.45 exposed to water**
390 **immersion at 15°C and exposed to wet/dry cycles.**

391 This is an important issue for engineers involved in evaluating self-healing cement-based materials for the construction of
392 new or retrofitting of existing structures, since, as indicated by the results of this work, the healing effectiveness of a
393 technique or product should always be evaluated by at least two parameters, one concerning the visual aspect of the crack
394 (crack width) and another referring to a physical or mechanical parameter.

395 **6. Conclusions**

396 This paper has presented the results of a study on the self-healing capacity of early-age fiber-reinforced concrete and the
397 effectiveness of a crystalline admixture as self-healing agent. Two different concrete compositions, corresponding to two
398 different strength classes and potential uses, were evaluated in three different environmental exposures: water immersion
399 at 15°C and 30°C and wet/dry cycles. These results were also compared with those in the literature. The following
400 conclusions can be drawn:

- 401 a. Specimens with crystalline admixtures yielded Healing Ratios with lower standard deviation than those for control
402 specimens, reducing the scattering and thus increasing the reliability of healing, when specimens were healed
403 under water at 15°C, and specially when healed at 30°C.
- 404 b. Specimens under the wet/dry cycles exposure have lower healing and closing capabilities, even for specimens
405 with CA; anyway, the high-scattered results have not allowed to identify a clear trend.
- 406 c. The best healing exposure condition among the ones herein investigated is water immersion at 30°C with the
407 crystalline admixture. Under this exposure, specimens achieved an average Healing Ratio equal to 0.99 with the
408 smallest standard deviation, and average Closing Ratio of 0.98, for cracks up to 0.40 mm after 42 days of healing.
- 409 d. The two investigated concrete classes showed similar self-healing behavior, even when using the crystalline
410 admixture. The results were slightly better when using CA in the high performance concrete, mainly due to the
411 lower scattering of the results.
- 412 e. Crack Closing Ratio featured similar trends compared with the Healing Ratio, but it may overestimate the
413 phenomenon when the elements are exposed to wet/dry cycles, thus it is recommended that the Closing Ratio is
414 always assisted by a second parameter or technique for a proper evaluation of self-healing.

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417 **References**

418

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