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# THE CESÀRO OPERATOR IN GROWTH BANACH SPACES OF ANALYTIC FUNCTIONS

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ABSTRACT. The Cesàro operator  $\mathbf{C}$ , when acting in the classical growth Banach spaces  $A^{-\gamma}$  and  $A_0^{-\gamma}$ , for  $\gamma > 0$ , of analytic functions on  $\mathbb{D}$ , is investigated. Based on a detailed knowledge of their spectra (due to A. Aleman and A.-M. Persson) we are able to determine the norms of these operators precisely. It is then possible to characterize the mean ergodic and related properties of  $\mathbf{C}$  acting in these spaces. In addition, we determine the largest Banach space of analytic functions on  $\mathbb{D}$  which  $\mathbf{C}$  maps into  $A^{-\gamma}$  (resp. into  $A_0^{-\gamma}$ ); this *optimal domain* space always contains  $A^{-\gamma}$  (resp.  $A_0^{-\gamma}$ ) as a *proper* subspace.

## 1. INTRODUCTION

Let  $H(\mathbb{D})$  denote the Fréchet space of all holomorphic functions  $f: \mathbb{D} \rightarrow \mathbb{C}$  equipped with the topology of uniform convergence on the compact subsets of the open unit disc  $\mathbb{D}$ . The classical Cesàro operator  $\mathbf{C}$  is given by

$$f \mapsto \mathbf{C}(f): z \mapsto \frac{1}{z} \int_0^z \frac{f(\zeta)}{1-\zeta} d\zeta, \quad z \in \mathbb{D} \setminus \{0\}, \quad \text{and} \quad \mathbf{C}(f)(0) = f(0), \quad (1.1)$$

for  $f \in H(\mathbb{D})$ . It is a Fréchet space isomorphism of  $H(\mathbb{D})$  onto itself. In terms of the Taylor coefficients  $\widehat{f}(n) := \frac{f^{(n)}(0)}{n!}$ , for  $n \in \mathbb{N}_0$ , of functions  $f(z) = \sum_{n=0}^{\infty} \widehat{f}(n)z^n \in H(\mathbb{D})$  one has the description

$$\mathbf{C}(f)(z) = \sum_{n=0}^{\infty} \left( \frac{1}{n+1} \sum_{k=0}^n \widehat{f}(k) \right) z^n, \quad z \in \mathbb{D}.$$

A vector space  $X \subseteq H(\mathbb{D})$  is called a *Banach space of analytic functions on  $\mathbb{D}$*  if it is a Banach space relative to a norm for which the natural inclusion of  $X$  into  $H(\mathbb{D})$  is continuous. Since evaluation at points of  $\mathbb{D}$  are continuous linear functionals on  $H(\mathbb{D})$ , this is equivalent to each evaluation functional  $\delta_z: f \mapsto f(z)$  at a point  $z \in \mathbb{D}$  being an element of the dual Banach space  $X^*$  of  $X$ . In this case, the Cesàro operator  $\mathbf{C}$  is said to *act in  $X$*  if it maps  $X$  into itself, i.e.  $\mathbf{C}(X) \subseteq X$ ; by the closed graph theorem  $\mathbf{C}$  is necessarily continuous. It is known that  $\mathbf{C}$  acts in many classical Banach spaces of

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analytic functions on  $\mathbb{D}$ ; for instance, the Hardy spaces  $H^p(\mathbb{D})$ ,  $1 \leq p < \infty$ , the Bergman and the Dirichlet spaces, etc. (see [5, 6, 8, 9, 31] and the references therein). It also acts in certain weighted Banach spaces of analytic functions on  $\mathbb{D}$ , such as weighted Hardy spaces  $H^p(w)$ ,  $1 < p < \infty$ , and others, [4, 7, 15, 28]. On the other hand,  $\mathbf{C}$  *fails* to act in  $H^\infty(\mathbb{D})$  since  $\mathbf{C}(\mathbf{1})(z) = (1/z) \log(1/(1-z))$ , for  $z \in \mathbb{D}$ ; see [16] for an investigation of  $\mathbf{C}$  on  $H^\infty(\mathbb{D})$ . Once  $\mathbf{C}$  is known to act in  $X$ , it is desirable to identify its spectrum  $\sigma(\mathbf{C})$  and its point spectrum  $\sigma_{pt}(\mathbf{C})$  as these often influence various operator theoretic properties of  $\mathbf{C}$  (e.g. power boundedness, mean ergodicity, linear dynamics, decomposability, etc).

The aim of this note is to investigate various properties of the Cesàro operator  $\mathbf{C}$  related to its action in the classical growth Banach space  $A^{-\gamma}$ , for each  $\gamma > 0$ , consisting of those analytic functions on  $\mathbb{D}$  specified by

$$A^{-\gamma} := \{f \in H(\mathbb{D}) : \|f\|_{-\gamma} := \sup_{z \in \mathbb{D}} (1 - |z|)^\gamma |f(z)| < \infty\}$$

and its (proper) closed subspace

$$A_0^{-\gamma} := \{f \in H(\mathbb{D}) : \lim_{|z| \rightarrow 1^-} (1 - |z|)^\gamma |f(z)| = 0\},$$

equipped with the norm

$$\|f\|_{-\gamma} := \sup_{z \in \mathbb{D}} (1 - |z|)^\gamma |f(z)|, \quad f \in A^{-\gamma}.$$

Since  $(1 - |z|)^\gamma \leq (1 - |z|^2)^\gamma \leq 2^\gamma (1 - |z|)^\gamma$ , for  $z \in \mathbb{D}$ , these are the same spaces (with an equivalent norm) as those treated in [21, Ch. 4].

The space  $A_0^{-\gamma}$  coincides with the closure of the polynomials in  $A^{-\gamma}$ , [30, Lemma 3], and point evaluations on  $\mathbb{D}$  belong to both  $(A_0^{-\gamma})^*$  and  $(A^{-\gamma})^*$ , [30, Lemma 1]. Moreover, the bidual  $(A_0^{-\gamma})^{**} = A^{-\gamma}$  for all  $\gamma > 0$ , [29], [30, Theorem 2]. Shields and Williams, [30], proved that  $A^{-\gamma}$  is isomorphic to  $\ell^\infty$  and that  $A_0^{-\gamma}$  is isomorphic to  $c_0$ , for each  $\gamma > 0$ ; see also Theorem 1.1 in the paper by Lusky, [25]. The growth Banach spaces  $A^{-\gamma}$  and  $A_0^{-\gamma}$  play an important role in connection with the interpolation and sampling of holomorphic functions, [21, Ch. 4 & 5]. They are particular examples of weighted Banach spaces  $H_v^\infty$  and  $H_v^0$  of holomorphic functions on  $\mathbb{D}$ , which have been investigated by several authors since the work of Shields and Williams, [30]; see, for example, [11, 12, 13, 25] and the references therein. Observe, for each pair  $0 < \mu_1 < \mu_2$ , that  $A^{-\mu_1} \subseteq A_0^{-\mu_2}$ .

Recently, Aleman and Persson have made an extensive investigation of various properties (including the spectrum) of generalized Cesàro operators acting in a large class of Banach spaces of analytic functions on  $\mathbb{D}$ , [7], [28]. In particular, their results apply to the classical Cesàro operator  $\mathbf{C}$  given by (1.1) when acting in the growth spaces  $A^{-\gamma}, A_0^{-\gamma}$  for  $\gamma > 0$ . For this setting our additional results will complement and extend their work. For instance, they show that  $\mathbf{C}$  acts in both  $A^{-\gamma}$  (where we denote it by  $\mathbf{C}_\gamma$ ) and in  $A_0^{-\gamma}$  (where we denote it by  $\mathbf{C}_{\gamma,0}$ ). This follows from Theorem 4.1 of

[7] with  $g(z) = -\log(1-z)$ , for  $z \in \mathbb{D}$ , but no quantitative estimates of the operator norms  $\|\mathbf{C}_\gamma\|$  and  $\|\mathbf{C}_{\gamma,0}\|$  are given. We show in Theorem 2.3, that  $\|\mathbf{C}_\gamma^n\| = \|\mathbf{C}_{\gamma,0}^n\| = 1$ , for  $\gamma \geq 1$ , and  $\|\mathbf{C}_\gamma^n\| = \|\mathbf{C}_{\gamma,0}^n\| = 1/\gamma^n$ , for  $0 < \gamma < 1$ ,

$$(1.2)$$

for each  $n \in \mathbb{N}$ . Crucial for the proof of Theorem 2.3 is knowing the precise nature of the spectra  $\sigma(\mathbf{C}_\gamma)$  and  $\sigma(\mathbf{C}_{\gamma,0})$ , which have been completely determined by Aleman and Persson, [7, 28]. This knowledge of the spectra, combined with (1.2), makes it possible to determine the mean ergodic properties of  $\mathbf{C}_\gamma$  and  $\mathbf{C}_{\gamma,0}$ . Namely, it turns out that both  $\mathbf{C}_\gamma$  and  $\mathbf{C}_{\gamma,0}$  fail to be mean ergodic when  $0 < \gamma \leq 1$  but, both operators are (even uniformly) mean ergodic for all  $\gamma > 1$ ; see Theorem 2.5 below. Somewhat surprisingly, the ranges  $\text{Im}(\mathbf{I} - \mathbf{C}_\gamma) := \{(\mathbf{I} - \mathbf{C}_\gamma)(f) : f \in A^{-\gamma}\}$ , resp.  $\text{Im}(\mathbf{I} - \mathbf{C}_{\gamma,0})$ , are *closed* in  $A^{-\gamma}$ , resp.  $A_0^{-\gamma}$ , for all positive  $\gamma \neq 1$  but, not for  $\gamma = 1$ ; see Theorem 2.5. None of the Cesàro operators  $\mathbf{C}_\gamma$ ,  $\mathbf{C}_{\gamma,0}$ , for  $\gamma > 0$ , are supercyclic and hence, they also fail to be hypercyclic (cf. Proposition 2.7).

The final section is devoted to determining the *optimal domain* (a concept introduced in [15]) for both of the operators  $\mathbf{C}_\gamma$  and  $\mathbf{C}_{\gamma,0}$  for all  $\gamma > 0$ . That is, we are able to identify the *largest* Banach space of analytic functions on  $\mathbb{D}$ , denoted by  $[\mathbf{C}, A^{-\gamma}]$ , (resp. by  $[\mathbf{C}, A_0^{-\gamma}]$ ), which  $\mathbf{C}$  maps continuously into  $A^{-\gamma}$  (resp. into  $A_0^{-\gamma}$ ). More specifically, it is shown in Theorem 3.1 that

$$[\mathbf{C}, A^{-\gamma}] = \{f \in H(\mathbb{D}) : f(z)/(1-z) \in A^{-(\gamma+1)}\}, \quad \gamma > 0,$$

equipped with the norm  $\|f\| = \|f(z)/(1-z)\|_{-(\gamma+1)}$ . A similar description is available for  $[\mathbf{C}, A_0^{-\gamma}]$ ; see Theorem 3.2. An important feature is that the containment  $A^{-\gamma} \subseteq [\mathbf{C}, A^{-\gamma}]$  is *proper*, that is,  $\mathbf{C}_\gamma : A^{-\gamma} \rightarrow A^{-\gamma}$  has a *genuine* extension  $\mathbf{C} : [\mathbf{C}, A^{-\gamma}] \rightarrow A^{-\gamma}$  to its optimal domain space, as is the case for  $\mathbf{C}_{\gamma,0}$  acting in  $A_0^{-\gamma}$ . As a consequence, it is shown that the nested family of spaces  $A^{-\gamma} \subsetneq A^{-\beta}$  (resp.  $A_0^{-\gamma} \subsetneq A_0^{-\beta}$ ), for  $0 < \gamma < \beta$ , has the property that  $\mathbf{C}$  fails to map *every* larger space  $A^{-\beta}$  (resp.  $A_0^{-\beta}$ ) into  $A^{-\gamma}$  (resp. into  $A_0^{-\gamma}$ ). In particular,  $A^{-\beta} \not\subseteq [\mathbf{C}, A^{-\gamma}]$  and  $A_0^{-\beta} \not\subseteq [\mathbf{C}, A_0^{-\gamma}]$  for every  $\beta > \gamma$ . On the other hand, the Banach space of analytic functions  $[\mathbf{C}, A_0^{-\gamma}]$  is *non-comparable* with  $A^{-\gamma}$  (i.e.,  $A^{-\gamma} \not\subseteq [\mathbf{C}, A_0^{-\gamma}]$  and  $[\mathbf{C}, A_0^{-\gamma}] \not\subseteq A^{-\gamma}$ ) and, akin to  $A^{-\gamma}$ , is also a *proper* subspace of the optimal domain space  $[\mathbf{C}, A^{-\gamma}]$ ; see Proposition 3.6.

Our notation for concepts from functional analysis and operator theory is standard and we refer to [18, 26, 27], for example. For Banach spaces of analytic functions see [17, 21], for mean ergodic operators [18, 23], and for linear dynamics [10, 19].

## 2. MEAN ERGODIC PROPERTIES OF $\mathbf{C}_\gamma$ AND $\mathbf{C}_{\gamma,0}$

As noted above,  $A^{-\gamma}$  is canonically isomorphic to the bidual  $(A_0^{-\gamma})^{**}$  of the Banach space  $A_0^{-\gamma}$ . In terms of this biduality the operator  $\mathbf{C}_\gamma : A^{-\gamma} \rightarrow A^{-\gamma}$  is the bidual operator  $(\mathbf{C}_{\gamma,0})^{**}$  of  $\mathbf{C}_{\gamma,0} : A_0^{-\gamma} \rightarrow A_0^{-\gamma}$ .

We recall the following result of Aleman and Persson (see [28, Theorem 4.1] and [7, Theorems 4.1, 5.1 and Corollaries 2.1, 5.1], that will be used on several occasions.

**Theorem 2.1.** *Let  $\gamma > 0$ . The Cesàro operator  $\mathbf{C}_{\gamma,0}: A_0^{-\gamma} \rightarrow A_0^{-\gamma}$  has the following properties.*

- (i)  $\sigma_{pt}(\mathbf{C}_{\gamma,0}) = \{\frac{1}{m} : m \in \mathbb{N}, m < \gamma\}$ .
- (ii)  $\sigma(\mathbf{C}_{\gamma,0}) = \sigma_{pt}(\mathbf{C}_{\gamma,0}) \cup \left\{ \lambda \in \mathbb{C} : \left| \lambda - \frac{1}{2\gamma} \right| \leq \frac{1}{2\gamma} \right\}$ .
- (iii) *If  $\left| \lambda - \frac{1}{2\gamma} \right| < \frac{1}{2\gamma}$  (equivalently  $\operatorname{Re}\left(\frac{1}{\lambda}\right) > \gamma$ ), then  $\operatorname{Im}(\lambda I - \mathbf{C}_{\gamma,0})$  is a closed subspace of  $A_0^{-\gamma}$  and has codimension 1.*

Moreover, the Cesàro operator  $\mathbf{C}_\gamma : A^{-\gamma} \rightarrow A^{-\gamma}$  satisfies

- (iv)  $\sigma_{pt}(\mathbf{C}_\gamma) = \{\frac{1}{m} : m \in \mathbb{N}, m \leq \gamma\}$ , and
- (v)  $\sigma(\mathbf{C}_\gamma) = \sigma(\mathbf{C}_{\gamma,0})$ .

As an immediate consequence we have the following result.

**Corollary 2.2.** *For  $\gamma > 0$ , neither of the operators  $\mathbf{C}_\gamma, \mathbf{C}_{\gamma,0}$  is weakly compact.*

*Proof.* Fix  $\gamma > 0$ . As noted in Section 1 there exists a Banach space isomorphism  $\Phi$  from  $c_0$  onto  $A_0^{-\gamma}$ . Suppose that  $\mathbf{C}_{\gamma,0}$  is weakly compact. Then  $\tilde{\mathbf{C}} := \Phi^{-1}\mathbf{C}_{\gamma,0}\Phi$  is weakly compact from  $c_0$  into  $c_0$  and hence,  $\tilde{\mathbf{C}}$  is also compact, [26, Ex. 3.54(b), p.347]. Accordingly,  $\mathbf{C}_{\gamma,0} = \Phi\tilde{\mathbf{C}}\Phi^{-1}$  is compact. But, this is impossible as  $\sigma(\mathbf{C}_{\gamma,0})$  is an uncountable set; see Theorem 2.1(ii). Hence,  $\mathbf{C}_{\gamma,0}$  cannot be weakly compact.

By Gantmacher's Theorem, [18, Ch. VI, Sect. 4, Theorem 8], also  $\mathbf{C}_\gamma = (\mathbf{C}_{\gamma,0})^{**}$  cannot be weakly compact.  $\square$

The continuity of  $\mathbf{C}_\gamma$  and  $\mathbf{C}_{\gamma,0}$  as established in [7, Theorem 4.1] gives no quantitative estimate for their operator norm. So, the following result is of some interest.

**Theorem 2.3.** (i) *Let  $\gamma \geq 1$ . Then  $\|\mathbf{C}_\gamma^n\| = \|\mathbf{C}_{\gamma,0}^n\| = 1$  for all  $n \in \mathbb{N}$ .*

(ii) *Let  $0 < \gamma < 1$ . Then  $\|\mathbf{C}_\gamma^n\| = \|\mathbf{C}_{\gamma,0}^n\| = 1/\gamma^n$  for all  $n \in \mathbb{N}$ .*

*Proof.* Let  $\gamma > 0$ . Fix  $f \in A^{-\gamma}$  with  $\|f\|_\gamma \leq 1$ . Then  $|f(\xi)| \leq (1 - |\xi|)^{-\gamma}$  for  $\xi \in \mathbb{D}$ . For  $z \in \mathbb{D} \setminus \{0\}$ , it follows from (1.1) and the previous inequality for  $|f|$  that

$$\begin{aligned} |\mathbf{C}_\gamma(f)(z)| &= \frac{1}{|z|} \left| \int_0^1 \frac{f(tz)}{1-tz} z dt \right| \leq \int_0^1 \frac{|f(tz)|}{|1-tz|} dt \leq \int_0^1 \frac{|f(tz)|}{1-t|z|} dt \\ &\leq \int_0^1 \frac{dt}{(1-t|z|)^{\gamma+1}} = \left[ \frac{1}{\gamma|z|} (1-t|z|)^{-\gamma} \right]_{t=0}^{t=1} \\ &= \frac{1}{\gamma|z|} \left( \frac{1}{(1-|z|)^\gamma} - 1 \right) = \frac{1}{(1-|z|)^\gamma} \frac{1 - (1-|z|)^\gamma}{\gamma|z|}. \end{aligned}$$

The non-negative function  $\phi(s) := \frac{1-(1-s)^\gamma}{s}$  for  $s \in (0, 1]$  and  $\phi(0) := \gamma$  is continuous as  $\lim_{s \rightarrow 0^+} \frac{1-(1-s)^\gamma}{s} = \gamma$ . Set  $M_\gamma := \sup_{s \in [0,1]} \phi(s)$ . Since  $|\mathbf{C}_\gamma(f)(0)| = |f(0)| \leq 1$ , we have  $1 \leq \sup_{z \in \mathbb{D}} (1 - |z|)^\gamma |\mathbf{C}_\gamma(f)(z)| \leq \max\{1, \frac{M_\gamma}{\gamma}\}$ . Hence,

$$1 \leq \|\mathbf{C}_\gamma\| \leq \max\left\{1, \frac{M_\gamma}{\gamma}\right\}, \quad \gamma > 0. \quad (2.1)$$

(i) Let now  $\gamma \geq 1$ . The claim is that  $\frac{M_\gamma}{\gamma} \leq 1$ , which then implies that  $\|\mathbf{C}_\gamma\| = 1$ . To verify the claim it suffices to show that the function  $\psi(s) := (1-s)^\gamma - 1 + \gamma s$ , for  $s \in [0, 1]$ , is non-negative. Observe that  $\psi(0) = 0$  and  $\psi(1) = (\gamma-1) \geq 0$ . If  $\gamma = 1$ , then  $\psi(s) = 0$  for all  $s \in [0, 1]$  and we are done. In case  $\gamma > 1$  we have  $\psi'(s) = -\gamma(1-s)^{\gamma-1} + \gamma = \gamma[1 - (1-s)^{\gamma-1}] \geq 0$  and so  $\psi$  is increasing. In particular,  $\psi(s) \geq \psi(0) = 0$  for  $s \in [0, 1]$ , as required.

Clearly,  $\|\mathbf{C}_\gamma\| \leq 1$  implies that  $\|\mathbf{C}_\gamma^n\| \leq \|\mathbf{C}_\gamma\|^n \leq 1$  for  $n \in \mathbb{N}$ . On the other hand, Theorem 2.1 shows that  $1 \in \sigma(C_\gamma)$  and so, by the spectral mapping theorem,  $1 \in \sigma(C_\gamma^n)$ . In particular, the spectral radius  $r(C_\gamma^n) \geq 1$ , for  $n \in \mathbb{N}$ , and so  $1 \leq r(\mathbf{C}_\gamma^n) \leq \|\mathbf{C}_\gamma^n\|$  for  $n \in \mathbb{N}$ . This completes the proof of (i) for  $\mathbf{C}_\gamma$ . The statement for  $\mathbf{C}_{\gamma,0}$  now follows from  $\|\mathbf{C}_{\gamma,0}^n\| = \|(C_{\gamma,0}^n)^{**}\| = \|\mathbf{C}_\gamma^n\|$  for  $n \in \mathbb{N}$ .

(ii) Let  $0 < \gamma < 1$ . It follows from Theorem 2.1 (as  $\sigma_{pt}(C_{\gamma,0}) = \emptyset$  in this case), that  $\sigma(C_{\gamma,0}) = \left\{\lambda \in \mathbb{C} : \left|\lambda - \frac{1}{2\gamma}\right| \leq \frac{1}{2\gamma}\right\}$ . In particular,  $[0, \frac{1}{\gamma}] \subseteq \sigma(C_{\gamma,0})$ . By the spectral mapping theorem  $[0, \frac{1}{\gamma^n}] \subseteq \sigma(C_{\gamma,0}^n)$  for each  $n \in \mathbb{N}$ , and so  $1/\gamma^n \leq r(C_{\gamma,0}^n) \leq \|C_{\gamma,0}^n\| = \|\mathbf{C}_\gamma^n\|$  for each  $n \in \mathbb{N}$ .

To establish the reverse inequality we show, because of  $0 < \gamma < 1$ , that  $M_\gamma \leq 1$ . Indeed, for  $0 < s < 1$  we have  $(1-s) < (1-s)^\gamma$ , i.e.,  $1 - (1-s)^\gamma < s$ , and hence,  $0 < \phi(s) < 1$ . Since  $\phi(0) = \gamma < 1$  and  $\phi(1) = 1$ , it is clear that  $M_\gamma \leq 1$  and so  $\frac{M_\gamma}{\gamma} \leq \frac{1}{\gamma}$ . It follows from (2.1) that  $\|\mathbf{C}_\gamma\| \leq \frac{1}{\gamma}$ . Hence,  $\|\mathbf{C}_\gamma^n\| = \|\mathbf{C}_{\gamma,0}^n\| \leq \frac{1}{\gamma^n}$  for all  $n \in \mathbb{N}$ , and the proof is complete.  $\square$

For a Banach space  $X$ , denote by  $\mathcal{L}(X)$  the space of all bounded linear operators from  $X$  into itself. Recall that an operator  $T \in \mathcal{L}(X)$  is *mean ergodic* if its sequence of Cesàro averages

$$T_{[n]} := \frac{1}{n} \sum_{m=1}^n T^m, \quad n \in \mathbb{N}, \quad (2.2)$$

converges to some operator  $P \in \mathcal{L}(X)$  in the strong operator topology  $\tau_s$ , i.e.,  $\lim_{n \rightarrow \infty} T_{[n]}x = Px$  for each  $x \in X$ , [18, Ch.VIII]. It follows from (2.2) that  $\frac{T^n}{n} = T_{[n]} - \frac{n-1}{n}T_{[n-1]}$ , for  $n \geq 2$ . Hence,  $\tau_s\text{-}\lim_{n \rightarrow \infty} \frac{T^n}{n} = 0$  whenever  $T$  is mean ergodic and, in particular,  $\sup_n \frac{\|T^n\|}{n} < \infty$ . According to [18, VIII Corollary 5.2, p.662], when  $T$  is mean ergodic one has the direct decomposition

$$X = \text{Ker}(I - T) \oplus \overline{\text{Im}(I - T)}. \quad (2.3)$$

A Banach space operator  $T \in \mathcal{L}(X)$  is called *uniformly mean ergodic* if there exists  $P \in \mathcal{L}(X)$  such that  $\lim_{n \rightarrow \infty} \|T_{[n]} - P\| = 0$ . It is then immediate that necessarily  $\lim_{n \rightarrow \infty} \frac{\|T^n\|}{n} = 0$ . A result of M.Lin, [24], states that a Banach space operator  $T \in \mathcal{L}(X)$  satisfying  $\lim_{n \rightarrow \infty} \frac{\|T^n\|}{n} = 0$  is uniformly mean ergodic if and only if  $\text{Im}(I - T)$  is a *closed* subspace of  $X$ .

An operator  $T \in \mathcal{L}(X)$  is called *power bounded* if  $\sup_{n \in \mathbb{N}} \|T^n\| < \infty$ . Since  $T_{[n]}(I - T) = \frac{1}{n}(T - T^{n+1})$  for  $n \in \mathbb{N}$ , it follows that

$$\lim_{n \rightarrow \infty} T_{[n]}x = 0, \quad x \in \text{Im}(I - T), \quad (2.4)$$

whenever  $T$  is power bounded.

**Lemma 2.4.** (i) Define  $\varphi \in H(\mathbb{D})$  via  $\varphi(z) := 1/(1 - z)$  for  $z \in \mathbb{D}$ . The Cesàro operator  $\mathbf{C} : H(\mathbb{D}) \rightarrow H(\mathbb{D})$  satisfies  $\text{Ker}(I - \mathbf{C}) = \text{span}\{\varphi\}$  and

$$\text{Im}(I - \mathbf{C}) = \{h \in H(\mathbb{D}) : h(0) = 0\} = \text{Ker}(\delta_0),$$

with  $\delta_0 \in H(\mathbb{D})^*$ . In particular,  $\text{Im}(I - \mathbf{C})$  is closed in  $H(\mathbb{D})$ .

(ii) Let  $X$  be any Banach space of analytic functions on  $\mathbb{D}$  which contains the constant functions, is continuously included in  $H(\mathbb{D})$  and such that  $\mathbf{C} : X \rightarrow X$  is continuous. If  $\mathbf{C} : X \rightarrow X$  is mean ergodic, then  $\varphi \in X$  and  $\text{Ker}(I - \mathbf{C}) = \text{span}\{\varphi\}$ .

*Proof.* (i) This is proved in [28, Section 2]; see (2.4) and (2.5) on page 1184 with  $\lambda = 1$ .

(ii) Assume that  $\mathbf{C} : X \rightarrow X$  is continuous and mean ergodic. Let  $\mathbf{C}_X$  denote the restriction of  $\mathbf{C}$  to  $X$ . Then

$$X = \text{Ker}(I - \mathbf{C}_X) \oplus \overline{\text{Im}(I - \mathbf{C}_X)}. \quad (2.5)$$

If  $\varphi \notin X$ , then  $\text{Ker}(I - \mathbf{C}_X) = \{0\}$  by part (i). Since point evaluations on  $\mathbb{D}$  belong to  $X^*$  (see Section 1), it follows from (2.5) that  $X \subseteq \{h \in H(\mathbb{D}) : h(0) = 0\}$ . This is a contradiction, since the constant function  $\mathbf{1}$  belongs to  $X$ . Hence,  $\varphi \in X$ . Since  $\text{Ker}(I - \mathbf{C}_X) \subseteq \text{Ker}(I - \mathbf{C})$ , it follows from part (i) that  $\text{Ker}(I - \mathbf{C}_X) = \text{span}\{\varphi\}$ .  $\square$

We can now establish the main result of this section.

**Theorem 2.5.** (i) Let  $0 < \gamma < 1$ . Both of the operators  $\mathbf{C}_\gamma$  and  $\mathbf{C}_{\gamma,0}$  fail to be power bounded and are not mean ergodic. Moreover,

$$\text{Ker}(I - \mathbf{C}_\gamma) = \text{Ker}(I - \mathbf{C}_{\gamma,0}) = \{0\},$$

and  $\text{Im}(I - \mathbf{C}_\gamma)$  (resp.  $\text{Im}(I - \mathbf{C}_{\gamma,0})$ ) is a proper closed subspace of  $A^{-\gamma}$  (resp. of  $A_0^{-\gamma}$ ).

(ii) Both of the operators  $\mathbf{C}_1$  and  $\mathbf{C}_{1,0}$  are power bounded but not mean ergodic. Moreover,  $\text{Im}(I - \mathbf{C}_1)$  (resp.  $\text{Im}(I - \mathbf{C}_{1,0})$ ) is not a closed subspace of  $A^{-\gamma}$  (resp. of  $A_0^{-\gamma}$ ).

(iii) Let  $\gamma > 1$ . Both of the operators  $\mathbf{C}_\gamma$  and  $\mathbf{C}_{\gamma,0}$  are power bounded and uniformly mean ergodic. Moreover,  $\text{Im}(I - \mathbf{C}_\gamma)$  (resp.  $\text{Im}(I - \mathbf{C}_{\gamma,0})$ ) is a

proper closed subspace of  $A^{-\gamma}$  (resp. of  $A_0^{-\gamma}$ ). In addition,

$$\operatorname{Im}(I - C_\gamma) = \{h \in A^{-\gamma} : h(0) = 0\}. \quad (2.6)$$

Moreover, with  $\varphi(z) := 1/(1-z)$ , for  $z \in \mathbb{D}$ , the linear projection operator  $P_\gamma : A^{-\gamma} \rightarrow A^{-\gamma}$  given by

$$P_\gamma(f) := f(0)\varphi, \quad f \in A^{-\gamma},$$

is continuous and satisfies  $\lim_{n \rightarrow \infty} (C_\gamma)_{[n]} = P_\gamma$  in the operator norm.

*Proof.* Clearly the function  $\varphi \in A_0^{-\gamma} \subseteq A^{-\gamma}$  if  $\gamma > 1$ . Moreover,  $\varphi \in A^{-1} \setminus A_0^{-1}$  and  $\varphi \notin A^{-\gamma}$  in case  $0 < \gamma < 1$ .

(i) For  $0 < \gamma < 1$  it is clear from Theorem 2.3(ii) that both  $C_\gamma$  and  $C_{\gamma,0}$  are not power bounded. Neither are they mean ergodic because  $\sup_n \|C_\gamma^n\|/n = \sup_n \|C_{\gamma,0}^n\|/n = \infty$ ; see the discussion after (2.2). Since  $\operatorname{Ker}(I - C) = \operatorname{span}\{\varphi\}$  in  $H(\mathbb{D})$ , by Lemma 2.4(i) and because  $\varphi \notin A^{-\gamma}$ , we can conclude that  $\operatorname{Ker}(I - C_\gamma) = \operatorname{Ker}(I - C_{\gamma,0}) = \{0\}$ .

Since  $|1 - \frac{1}{2^\gamma}| < \frac{1}{2^\gamma}$ , we can apply Theorem 2.1(iii) to deduce that  $\operatorname{Im}(I - C_{\gamma,0})$  is a proper closed subspace of  $A_0^{-\gamma}$ . Moreover,  $(I - C_\gamma) \in \mathcal{L}(A^{-\gamma})$  is the bidual operator of  $I - C_{\gamma,0}$  and so it follows from [2, Proposition 2.1] that  $\operatorname{Im}(I - C_\gamma)$  is also closed in  $A^{-\gamma}$ . It is a proper subspace of  $A^{-\gamma}$  because every  $h \in \operatorname{Im}(I - C_\gamma)$  satisfies  $C_\gamma(h)(0) = 0$ ; see (1.1).

(ii) Both  $C_1$  and  $C_{1,0}$  are power bounded by Theorem 2.3(i). Since  $\varphi \notin A_0^{-1}$ , it follows from Lemma 2.4(ii), with  $X = A_0^{-1}$ , that  $C_{1,0}$  is not mean ergodic in  $A_0^{-1}$ . By [2, Proposition 2.2],  $C_1$  also fails to be mean ergodic.

Assume that  $\operatorname{Im}(I - C_1)$  is closed in  $A^{-1}$ . Since  $\lim_{n \rightarrow \infty} \|C_1^n\|/n = 0$ , it follows from Lin's theorem, [24], that  $C_1$  is uniformly mean ergodic. But, we just argued that  $C_1$  is not even mean ergodic; contradiction! The argument for  $C_{1,0}$  is the same.

(iii) Let  $\gamma > 1$ . By Theorem 2.3(i) both  $C_\gamma$  and  $C_{\gamma,0}$  are power bounded. In order to verify (2.6), it is clear that  $\operatorname{Im}(I - C_\gamma) \subseteq \{h \in A^{-\gamma} : h(0) = 0\}$ .

For the reverse inclusion, fix  $h \in A^{-\gamma}$  satisfying  $h(0) = 0$ . Theorem 2.1 reveals that  $1 \in \rho(C_\gamma)$ . By the calculations on p.1184 of [28], with  $\lambda = 1$ , there is a unique  $f \in H(\mathbb{D})$  satisfying  $f(0) = 0$  and  $(I - C)f = h$ . In fact,  $f$  is given by [28, formula (2.7)]:

$$f(z) = h(z) + \frac{1}{1-z} \int_0^z \frac{h(\zeta)}{\zeta}, \quad z \in \mathbb{D}.$$

In order to conclude that  $f \in A^{-\gamma}$ , we first show that the function  $H(z) := h(z)/z$ , for  $z \in \mathbb{D} \setminus \{0\}$  and  $H(0) := h'(0)$ , belongs to  $A^{-\gamma}$ . Recalling that  $h(0) = 0$ , it is clear that  $H \in H(\mathbb{D})$ . If  $\frac{1}{2} \leq |z| < 1$ , then  $|H(z)| \leq 2|h(z)|$ . Hence,  $(1 - |z|)^\gamma |H(z)| \leq 2\|h\|_{-\gamma}$ . On the other hand, for  $|z| \leq \frac{1}{2}$  we can apply the maximum modulus principle to  $H \in H(\mathbb{D})$  to conclude that

$$|H(z)| \leq \max_{|\zeta|=1/2} |H(\zeta)| = 2 \max_{|\zeta|=1/2} |h(\zeta)| = 2^{\gamma+1} \max_{|\zeta|=1/2} (1-|\zeta|)^\gamma |h(\zeta)| \leq 2^{\gamma+1} \|h\|_{-\gamma}.$$

Summarizing,  $\|H\|_{-\gamma} = \sup_{z \in \mathbb{D}} (1 - |z|)^\gamma |H(z)| \leq 2^{\gamma+1} \|h\|_{-\gamma}$ , i.e.,  $H \in A^{-\gamma}$ .



Consider  $G(z) := \int_0^z H(\zeta) d\zeta$ , for  $z \in \mathbb{D}$ . Clearly  $G'(z) = H(z)$  for  $z \in \mathbb{D}$ , with  $H \in A^{-\gamma}$ . By a classical result of Hardy and Littlewood (consider  $p = \infty$  and  $\beta := \gamma$  in [17, Theorem 5.5]), the function  $G \in A^{-(\gamma-1)} = A^{-\gamma+1}$  (recall  $(\gamma - 1) > 0$ ). Therefore, for each  $z \in \mathbb{D}$ , we have for  $\varphi G$  that

$$\begin{aligned} (1 - |z|)^\gamma |\varphi(z)G(z)| &= (1 - |z|)^\gamma \left| \frac{1}{1 - z} \int_0^z H(\zeta) d\zeta \right| \\ &\leq (1 - |z|)^{\gamma-1} \left| \int_0^z H(\zeta) d\zeta \right| \leq \|G\|_{-\gamma+1}, \end{aligned}$$

that is,  $\varphi G \in A^{-\gamma}$ . Hence, also  $f = h + \varphi G \in A^{-\gamma}$ . This establishes that  $\text{Im}(I - \mathbf{C}_\gamma)$  is closed in  $A^{-\gamma}$ . Since  $\mathbf{C}_\gamma$  is power bounded, a theorem of Lin [24] implies that  $\mathbf{C}_\gamma$  is uniformly mean ergodic.

By [2, Proposition 2.1] it follows that also  $\text{Im}(I - \mathbf{C}_{\gamma,0})$  is closed in  $A_0^{-\gamma}$ . So,  $\mathbf{C}_{\gamma,0}$  is uniformly mean ergodic, again as a consequence of Lin's theorem.

Lemma 2.4(ii), with  $X = A^{-\gamma}$ , and the mean ergodicity of  $\mathbf{C}_\gamma$  yield that  $\varphi \in A^{-\gamma}$ . Since  $\delta_0 \in (A^{-\gamma})^*$ , the formula

$$P_\gamma(f) := f(0)\varphi = \langle f, \delta_0 \rangle \varphi, \quad f \in A^{-\gamma},$$

implies that  $P_\gamma$  is a continuous projection in  $A^{-\gamma}$ .

For each  $f \in A^{-\gamma}$  we have

$$(\mathbf{C}_\gamma)_{[n]}(f) = (\mathbf{C}_\gamma)_{[n]}(f - f(0)) + f(0)(\mathbf{C}_\gamma)_{[n]}(\mathbf{1}), \quad n \in \mathbb{N}. \quad (2.7)$$

On the other hand,  $\varphi \in A^{-\gamma}$  satisfies  $(\mathbf{C}_\gamma)_{[n]}(\varphi) = \varphi$  for all  $n \in \mathbb{N}$ ; see Lemma 2.4(ii) with  $X = A^{-\gamma}$ . According to (2.6) the function  $h(z) := z\varphi(z)$  for  $z \in \mathbb{D}$  belongs to  $\text{Im}(I - \mathbf{C}_\gamma)$  and hence, the sequence

$$(\mathbf{C}_\gamma)_{[n]}(\mathbf{1}) = (\mathbf{C}_\gamma)_{[n]}(\varphi - h) = \varphi - (\mathbf{C}_\gamma)_{[n]}(h), \quad n \in \mathbb{N},$$

converges to  $\varphi$  in  $A^{-\gamma}$  for  $n \rightarrow \infty$ ; see (2.4). It then follows from (2.7) and the fact that  $\lim_{n \rightarrow \infty} (\mathbf{C}_\gamma)_{[n]}(f - f(0)) = 0$  in  $A^{-\gamma}$  via (2.4) (since  $(f - f(0)) \in \text{Im}(I - \mathbf{C}_\gamma)$  by (2.6)) that

$$\lim_{n \rightarrow \infty} (\mathbf{C}_\gamma)_{[n]}(f) = f(0)\varphi = P_\gamma(f).$$

This establishes that  $\tau_s\text{-}\lim_{n \rightarrow \infty} (\mathbf{C}_\gamma)_{[n]} = P_\gamma$ . But, the uniform mean ergodicity of  $\mathbf{C}_\gamma$  means that  $\{(\mathbf{C}_\gamma)_{[n]}\}_{n \in \mathbb{N}}$  is a convergent sequence for the operator norm and hence, its operator norm limit must also be  $P_\gamma$ .  $\square$

**Remark 2.6.** (i) Let  $\gamma \geq 1$ . It follows from Theorem 2.1 that the boundary  $\partial\mathbb{D}$  of  $\mathbb{D}$  satisfies  $\sigma(\mathbf{C}_\gamma) \cap \partial\mathbb{D} = \{1\} = \sigma(\mathbf{C}_{\gamma,0}) \cap \partial\mathbb{D}$ . Since both  $\mathbf{C}_\gamma$  and  $\mathbf{C}_{\gamma,0}$  are power bounded, it follows from Theorem 1 and the Remark on p.317 of [22] that

$$\lim_{n \rightarrow \infty} \|\mathbf{C}_\gamma^{n+1} - \mathbf{C}_\gamma^n\| = 0 = \lim_{n \rightarrow \infty} \|\mathbf{C}_{\gamma,0}^{n+1} - \mathbf{C}_{\gamma,0}^n\|.$$

(ii) Let  $\gamma > 1$ . Theorem 2.1 shows that  $\lambda = 1$  is an isolated singularity of the resolvent map of both  $\mathbf{C}_\gamma$  and  $\mathbf{C}_{\gamma,0}$ . Since both  $\mathbf{C}_\gamma$  and  $\mathbf{C}_{\gamma,0}$  are uniformly mean ergodic, it follows that 1 is actually a simple pole of the resolvent map, [23, Theorem 2.7, p.90].

(iii) For  $\gamma \geq 1$  the point  $1 \in \sigma(\mathbf{C}_\gamma) = \sigma(\mathbf{C}_{\gamma,0})$  and so the spectral mapping theorem applied to the polynomial  $p_n(z) := \frac{1}{n} \sum_{m=1}^n z^m$  (i.e.,  $(\mathbf{C}_\gamma)_{[n]} = p_n(\mathbf{C}_\gamma)$ ) yields that  $1 \in \sigma((\mathbf{C}_\gamma)_{[n]})$ , for  $n \in \mathbb{N}$ . Hence,

$$1 \leq r((\mathbf{C}_\gamma)_{[n]}) \leq \|(\mathbf{C}_\gamma)_{[n]}\|, \quad n \in \mathbb{N}.$$

Then Theorem 2.3(i) and the formula  $(\mathbf{C}_\gamma)_{[n]} = \frac{1}{n} \sum_{m=1}^n \mathbf{C}_\gamma^m$  imply that

$$\|(\mathbf{C}_\gamma)_{[n]}\| = \|(\mathbf{C}_{\gamma,0})_{[n]}\| = 1, \quad n \in \mathbb{N}.$$

For  $0 < \gamma < 1$  the point  $\frac{1}{\gamma} \in \sigma(\mathbf{C}_\gamma)$  and so again the spectral mapping theorem yields that  $p_n(\frac{1}{\gamma}) = \frac{1}{n} \sum_{m=1}^n \frac{1}{\gamma^m} \in \sigma((\mathbf{C}_\gamma)_{[n]})$  for  $n \in \mathbb{N}$ . In particular,

$$\frac{1}{n} \sum_{m=1}^n \frac{1}{\gamma^m} \leq r((\mathbf{C}_\gamma)_{[n]}) = r((\mathbf{C}_{\gamma,0})_{[n]}), \quad n \in \mathbb{N}.$$

It then follows from Theorem 2.3(ii) that

$$\|(\mathbf{C}_{\gamma,0})_{[n]}\| = \|(\mathbf{C}_\gamma)_{[n]}\| = \frac{1}{n} \sum_{m=1}^n \frac{1}{\gamma^m} = \frac{(\frac{1}{\gamma^n}) - 1}{(n+1)(1-\gamma)}, \quad n \in \mathbb{N}.$$

In particular, for  $0 < \gamma < 1$ , not only do  $\mathbf{C}_\gamma$  and  $\mathbf{C}_{\gamma,0}$  fail to be power bounded but, their Cesàro averages  $\{(\mathbf{C}_\gamma)_{[n]}\}_{n=1}^\infty$  and  $\{(\mathbf{C}_{\gamma,0})_{[n]}\}_{n=1}^\infty$  are also unbounded sequences in  $\mathcal{L}(A^{-\gamma})$  and  $\mathcal{L}(A_0^{-\gamma})$ , respectively.  $\square$

Concerning the dynamics of  $\mathbf{C}$  recall that an operator  $T \in \mathcal{L}(X)$ , with  $X$  a separable Fréchet space, is called *hypercyclic* if there exists  $x \in X$  such that the orbit  $\{T^n x : n \in \mathbb{N}_0\}$  is dense in  $X$ . If, for some  $z \in X$ , the projective orbit  $\{\lambda T^n z : \lambda \in \mathbb{C}, n \in \mathbb{N}_0\}$  is dense in  $X$ , then  $T$  is called *supercyclic*. Clearly, hypercyclicity implies supercyclicity. It is proved in [3] that  $\mathbf{C}$  is not supercyclic on  $H(\mathbb{D})$ . Since the image of a dense subset of  $A_0^{-\gamma}$  under the natural inclusion map into  $H(\mathbb{D})$  is dense in  $H(\mathbb{D})$ , we have the following consequence.

**Proposition 2.7.** *The Cesàro operator  $\mathbf{C}_{\gamma,0}$  is not supercyclic and hence, also not hypercyclic, in each space  $A_0^{-\gamma}$ , for  $\gamma > 0$ .*

### 3. OPTIMAL EXTENSION OF $\mathbf{C}_\gamma$ AND OF $\mathbf{C}_{\gamma,0}$

The *optimal domain*  $[\mathbf{C}, H^p(\mathbb{D})]$  of the Cesàro operator  $\mathbf{C} : H^p(\mathbb{D}) \rightarrow H^p(\mathbb{D})$ ,  $1 \leq p < \infty$ , was introduced and thoroughly investigated in [15, Section 3]. The definition given there is rather general and can be applied to  $\mathbf{C}$  when it acts in any Banach space of analytic functions  $X$  on  $\mathbb{D}$ . Namely,

$$[\mathbf{C}, X] := \{f \in H(\mathbb{D}) : \mathbf{C}(f) \in X\},$$

which is a Banach space for the norm

$$\|f\|_{[\mathbf{C}, X]} := \|\mathbf{C}(f)\|_X, \quad f \in [\mathbf{C}, X], \quad (3.1)$$

as of a consequence of  $\mathbf{C} : H(\mathbb{D}) \rightarrow H(\mathbb{D})$  being a topological Fréchet space isomorphism. When point evaluations on  $\mathbb{D}$  belong to  $[\mathbf{C}, X]^*$ , then  $[\mathbf{C}, X]$  is actually a Banach space of analytic functions on  $\mathbb{D}$  and  $\mathbf{C}$  maps  $[\mathbf{C}, X]$  isometrically onto  $X$ . If, in addition,  $\mathbf{C}$  acts in  $X$ , then  $X \subseteq [\mathbf{C}, X]$  and the natural inclusion map is continuous. Most important is that  $[\mathbf{C}, X]$  is the *largest* of all Banach spaces of analytic functions  $Y$  on  $\mathbb{D}$  that  $\mathbf{C}$  maps continuously into  $X$ ; the argument is analogous to [15, Remark 3.1]. To describe more concretely which functions from  $H(\mathbb{D})$  are members of  $[\mathbf{C}, X]$  may not be easy in general: for  $X = H^p(\mathbb{D})$  this is based on properties of the Littlewood-Paley  $g$ -function [15, Proposition 3.2 & Corollary 3.3]. To express the norm (3.1) in a more explicit way, if possible, would also be an advantage.

The aim of this section is to investigate the optimal domain spaces  $[\mathbf{C}, A^{-\gamma}]$  and  $[\mathbf{C}, A_0^{-\gamma}]$  for  $\gamma > 0$ . Clearly  $[\mathbf{C}, A_0^{-\gamma}] \subseteq [\mathbf{C}, A^{-\gamma}]$ . Moreover, with continuous inclusions, we clearly have

$$A^{-\gamma} \subseteq [\mathbf{C}, A^{-\gamma}] \subsetneq H(\mathbb{D}) \quad \text{and} \quad A_0^{-\gamma} \subseteq [\mathbf{C}, A_0^{-\gamma}] \subsetneq H(\mathbb{D}),$$

due to the closed graph theorem and the fact that point evaluations on  $\mathbb{D}$  belong to  $[\mathbf{C}, A^{-\gamma}]^*$  hence, also to  $[\mathbf{C}, A_0^{-\gamma}]^*$ . To verify this latter claim, fix  $z_0 \in \mathbb{D}$  and choose  $0 < r < 1$  with  $|z_0| < r$ . For  $f \in H(\mathbb{D})$  observe that  $f(z) = (1-z)(z\mathbf{C}(f)(z))'$ , for  $z \in \mathbb{D}$ , which is routine to verify. Given  $f \in [\mathbf{C}, A^{-\gamma}]$ , the previous identity and the Cauchy integral formula yield

$$\begin{aligned} |\langle f, \delta_{z_0} \rangle| &= |f(z_0)| = |1-z_0| \cdot \left| \frac{1}{2\pi i} \int_{|\zeta|=r} \frac{\zeta \mathbf{C}(f)(\zeta)}{(\zeta-z_0)^2} d\zeta \right| \leq \\ &\frac{|1-z_0|r^2}{(r-|z_0|)^2(1-r)^\gamma} \sup_{|\zeta|=r} (1-|\zeta|)^\gamma |\mathbf{C}(f)(\zeta)| \leq \frac{|1-z_0|r^2}{(r-|z_0|)^2(1-r)^\gamma} \|\mathbf{C}(f)\|_{-\gamma}. \end{aligned}$$

Accordingly,  $|f(z_0)| \leq \frac{|1-z_0|r^2}{(r-|z_0|)^2(1-r)^\gamma} \|f\|_{[\mathbf{C}, A^{-\gamma}]}$  and so each evaluation functional  $\delta_z : f \rightarrow f(z)$  is continuous on both  $[\mathbf{C}, A^{-\gamma}]$  and  $[\mathbf{C}, A_0^{-\gamma}]$ , for each  $z \in \mathbb{D}$ .

**Theorem 3.1.** *Let  $\gamma > 0$  and  $\varphi(z) := 1/(1-z)$  for  $z \in \mathbb{D}$ . The optimal domain  $[\mathbf{C}, A^{-\gamma}]$  of  $\mathbf{C}_\gamma : A^{-\gamma} \rightarrow A^{-\gamma}$  is isometrically isomorphic to  $A^{-\gamma}$  and is given by*

$$[\mathbf{C}, A^{-\gamma}] = \{f \in H(\mathbb{D}) : f\varphi \in A^{-(\gamma+1)}\}. \quad (3.2)$$

Moreover, the norm  $\|\cdot\|_{[\mathbf{C}, A^{-\gamma}]}$  is equivalent to the norm  $f \rightarrow \|f\varphi\|_{-(\gamma+1)}$  and the containment  $A^{-\gamma} \subseteq [\mathbf{C}, A^{-\gamma}]$  is proper.

*Proof.* That  $[\mathbf{C}, A^{-\gamma}]$  is isometrically isomorphic to  $A^{-\gamma}$  follows from the facts that  $\mathbf{C} : [\mathbf{C}, A^{-\gamma}] \rightarrow A^{-\gamma}$  is both injective (as  $\mathbf{C}$  is bijective on  $H(\mathbb{D})$ ) and surjective (by the definition of  $[\mathbf{C}, A^{-\gamma}]$ ), and that it is an isometry (via (3.1)).

To verify (3.2) observe, for  $f \in H(\mathbb{D})$ , that  $\mathbf{C}(f) \in A^{-\gamma}$  if and only if the function  $z \mapsto \int_0^z f(\zeta)/(1-\zeta)d\zeta \in A^{-\gamma}$ . This follows from the argument in the proof of Theorem 2.5(iii) above, where it is shown that if  $h \in A^{-\gamma}$

satisfies  $h(0) = 0$ , then also  $H(z) := \frac{h(z)}{z} \in A^{-\gamma}$  (the converse is clear from  $h(z) = zH(z)$ ). Note that this part of the argument in the proof of Theorem 2.5(iii) did not require  $\gamma > 1$  (which was being assumed there). By a result of Hardy and Littlewood, [17, Theorem 5.5], this in turn is equivalent to  $f\varphi \in A^{-(\gamma+1)}$ .

To verify the equivalence of the norms  $\|\cdot\|_{[\mathbf{C}, A^{-\gamma}]}$  and  $f \mapsto \|f\varphi\|_{-(\gamma+1)}$  we proceed as follows. First, the space  $E := \{f \in H(\mathbb{D}) : f\varphi \in A^{-(\gamma+1)}\}$  endowed with the norm  $\|f\varphi\|_{-(\gamma+1)}$ , for  $f \in E$ , is a Banach space which is isomorphic to  $A^{-(\gamma+1)}$ . On the other hand, since  $\mathbf{C} : [\mathbf{C}, A^{-\gamma}] \rightarrow A^{-\gamma}$  is continuous we have, for some constant  $K > 0$ , that

$$\|f\varphi\|_{-(\gamma+1)} \leq \|f\|_{-\gamma} \leq K\|f\|_{[\mathbf{C}, A^{-\gamma}]}, \quad f \in [\mathbf{C}, A^{-\gamma}].$$

Therefore the identity map  $I : [\mathbf{C}, A^{-\gamma}] \rightarrow E$  is a continuous bijection between Banach spaces. By the open mapping theorem its inverse is also continuous. This implies that the two norms are equivalent in  $[\mathbf{C}, A^{-\gamma}]$ .

Finally, the function  $g(z) := (1-z)/(1+z)^{\gamma+1}$  for  $z \in \mathbb{D}$  satisfies  $\|g\varphi\|_{-(\gamma+1)} \leq 1$ . i.e.,  $g \in [\mathbf{C}, A^{-\gamma}]$ . However,  $g \notin A^{-\gamma}$  since

$$\sup_{z \in \mathbb{D}} \frac{(1-|z|)^\gamma |1-z|}{|1+z|^{\gamma+1}} \geq \sup_{s \in [0,1]} \frac{1+s}{1-s} = \infty.$$

This shows that the containment  $A^{-\gamma} \subseteq [\mathbf{C}, A^{-\gamma}]$  is proper.  $\square$

**Theorem 3.2.** *Let  $\gamma > 0$  and  $\varphi(z) := 1/(1-z)$  for  $z \in \mathbb{D}$ . The optimal domain  $[\mathbf{C}, A_0^{-\gamma}]$  of  $\mathbf{C}_{\gamma,0} : A_0^{-\gamma} \rightarrow A_0^{-\gamma}$  is isometrically isomorphic to  $A_0^{-\gamma}$  and is given by*

$$[\mathbf{C}, A_0^{-\gamma}] = \{f \in H(\mathbb{D}) : f\varphi \in A_0^{-(\gamma+1)}\}. \quad (3.3)$$

Moreover, the norm  $\|\cdot\|_{[\mathbf{C}, A_0^{-\gamma}]}$  is equivalent to the norm  $f \mapsto \|f\varphi\|_{-(\gamma+1)}$  and the containment  $A_0^{-\gamma} \subseteq [\mathbf{C}, A_0^{-\gamma}]$  is proper.

*Proof.* The Banach space  $[\mathbf{C}, A_0^{-\gamma}]$  is isometrically isomorphic to  $A_0^{-\gamma}$ ; adapt the argument from the beginning of the proof of Theorem 3.1.

To see that  $A_0^{-\gamma} \subseteq [\mathbf{C}, A_0^{-\gamma}]$  is proper assume, on the contrary, that  $A_0^{-\gamma} = [\mathbf{C}, A_0^{-\gamma}]$ . Then  $\mathbf{C}_{\gamma,0} : [\mathbf{C}, A_0^{-\gamma}] = A_0^{-\gamma} \rightarrow A_0^{-\gamma}$  is a Banach space isomorphism and so  $0 \notin \sigma(\mathbf{C}_{\gamma,0})$ ; contradiction to Theorem 2.1(ii).

To establish (3.3) is similar to the proof of (3.2) in Theorem 3.1. It is enough to keep in mind the following two facts.

*Fact 1.* Let  $f \in H(\mathbb{D})$  satisfy  $f(0) = 0$ . Then  $f \in A_0^{-\gamma}$  if and only if  $f(z)/z \in A_0^{-\gamma}$ .

*Fact 2.* A function  $f \in H(\mathbb{D})$  belongs to  $A_0^{-\gamma}$  if and only if  $f' \in A_0^{-(\gamma+1)}$ .

Indeed, by [17, Theorem 5.5] the differentiation operator  $D : A^{-\gamma} \rightarrow A^{-(\gamma+1)}$ , given by  $D(f) := f'$ , and the integration operator  $J : A^{-(\gamma+1)} \rightarrow A^{-\gamma}$ , given by  $J(f)(z) := \int_0^z f(\zeta)d\zeta$ , for  $z \in \mathbb{D}$ , are continuous (see also [20, Theorem 2.1(a) & Proposition 2.2(a)]). Since the space of polynomials  $\mathcal{P}$  is dense in  $A_0^{-\beta}$  for each  $\beta > 0$  and  $\mathcal{P}$  is invariant for both  $D$  and  $J$ , it

follows that their restrictions  $D : A_0^{-\gamma} \rightarrow A_0^{-(\gamma+1)}$  and  $J : A_0^{-(\gamma+1)} \rightarrow A_0^{-\gamma}$  are continuous. This implies Fact 2.

Now that (3.3) is established, one can argue as in the proof of Theorem 3.1 to show that the stated norms are equivalent.  $\square$

**Remark 3.3.** (i) As noted in Section 1, for every  $\gamma > 0$  the Banach space  $A^{-\gamma}$  (resp.  $A_0^{-\gamma}$ ) is isomorphic to  $\ell^\infty$  (resp.  $c_0$ ). In particular,  $A^{-\gamma}$  is non-separable whereas  $A_0^{-\gamma}$  is separable. Also,  $A_0^{-\gamma}$  is not weakly sequentially complete and hence, neither is  $A^{-\gamma}$  (as it contains  $A_0^{-\gamma}$  as a closed subspace). Since both  $c_0$  and  $\ell^\infty$  fail to have the Radon-Nikodym property, the same is true for  $A^{-\gamma}$  and  $A_0^{-\gamma}$ . And so on. Since  $A^{-\gamma}$  (resp.  $A_0^{-\gamma}$ ) is isomorphic to  $[\mathbb{C}, A^{-\gamma}]$  (resp. to  $[\mathbb{C}, A_0^{-\gamma}]$ ), we can conclude that the optimal domain spaces  $[\mathbb{C}, A^{-\gamma}]$  and  $[\mathbb{C}, A_0^{-\gamma}]$  inherit such properties as those mentioned above (and others) from  $A^{-\gamma}$  and  $A_0^{-\gamma}$ , respectively.

(ii) Let  $f, g \in H(\mathbb{D})$  satisfy  $|g(z)| \leq |f(z)|$  for  $z \in \mathbb{D}$ . If  $f \in A^{-\gamma}$  (resp.  $f \in A_0^{-\gamma}$ ), for  $\gamma > 0$ , then it is routine to check that also  $g \in A^{-\gamma}$  (resp.  $g \in A_0^{-\gamma}$ ). It follows from (3.2) (resp. (3.3)) that this useful property carries over to the optimal domain space  $[\mathbb{C}, A^{-\gamma}]$  (resp.  $[\mathbb{C}, A_0^{-\gamma}]$ ).

(iii) According to [17, p.92] there exists  $g \in H^\infty(\mathbb{D})$  such that  $g'$  fails to have boundary values at a.e. point in  $\partial\mathbb{D}$ . Since  $H^\infty(\mathbb{D}) \subseteq A_0^{-\gamma}$ , for every  $\gamma > 0$ , and the differentiation operator  $D : A_0^{-\gamma} \rightarrow A_0^{-(\gamma+1)}$  is continuous, it follows that  $g' \in A_0^{-(\gamma+1)}$ . Accordingly, for every  $\beta > 1$  there exists a function in  $A_0^{-\beta}$  which fails to have a.e. boundary values. Since  $A_0^{-\beta} \subseteq A^{-\beta} \subseteq [\mathbb{C}, A^{-\beta}]$  and  $A_0^{-\beta} \subseteq [\mathbb{C}, A_0^{-\beta}]$  we can conclude, for every  $\beta > 1$ , that there exist functions in the spaces  $A_0^{-\beta}, A^{-\beta}, [\mathbb{C}, A_0^{-\beta}]$  and  $[\mathbb{C}, A^{-\beta}]$  which fail to have a.e. boundary values.  $\square$

For  $\gamma > 0$ , the following result shows that the largest growth space  $A^{-\beta}$  that is contained in  $[\mathbb{C}, A^{-\gamma}]$  is  $A^{-\gamma}$ . The same is true for  $A_0^{-\gamma}$  and  $[\mathbb{C}, A_0^{-\gamma}]$ .

**Proposition 3.4.** *Let  $\gamma > 0$ . For each  $\beta > \gamma$ , the space  $A^{-\beta} \not\subseteq [\mathbb{C}, A^{-\gamma}]$  and the space  $A_0^{-\beta} \not\subseteq [\mathbb{C}, A_0^{-\gamma}]$ .*

*Proof.* That  $A^{-\beta} \not\subseteq [\mathbb{C}, A^{-\gamma}]$  is a direct consequence of Theorem 3.1 and the fact that the function  $f(z) := 1/(1-z)^\beta \in A^{-\beta} \setminus [\mathbb{C}, A^{-\gamma}]$  because  $f(z)/(1-z) \notin A^{-(\gamma+1)}$ .

Suppose that  $A_0^{-\beta} \subseteq [\mathbb{C}, A_0^{-\gamma}]$ . Then  $\mathbb{C}(A_0^{-\beta}) \subseteq A_0^{-\gamma}$ . Using that  $A_0^{-\gamma} \subseteq A_0^{-\beta}$  continuously and a closed graph argument it follows that the natural inclusion map  $\Phi$  for  $A_0^{-\beta} \subseteq [\mathbb{C}, A_0^{-\gamma}]$  is continuous. Hence, also  $\mathbb{C} : A_0^{-\beta} \rightarrow A_0^{-\gamma}$  is continuous (being the composition of  $\Phi$  and  $\mathbb{C} : [\mathbb{C}, A_0^{-\gamma}] \rightarrow A_0^{-\gamma}$ ). Passing to the bidual yields that  $\mathbb{C} : A^{-\beta} \rightarrow A^{-\gamma}$  is continuous, i.e.,  $A^{-\beta} \subseteq [\mathbb{C}, A^{-\gamma}]$ , which is a contradiction to the previous paragraph. So,  $A_0^{-\beta} \not\subseteq [\mathbb{C}, A_0^{-\gamma}]$ .  $\square$

Given  $\gamma > 0$ , Proposition 3.4 raises the question of whether there are any weighted Banach spaces of analytic functions  $X$  on  $\mathbb{D}$  which satisfy  $A^{-\gamma} \subseteq X \subseteq [\mathbf{C}, A^{-\gamma}]$ .

A weight  $v : \mathbb{D} \rightarrow [0, \infty)$  is called *essential* if it is radial (i.e.,  $v(z) = v(|z|)$  for  $z \in \mathbb{D}$ ), continuous, satisfies that  $v(r)$  decreases to 0 as  $r$  approaches 1, and there exists a constant  $d > 0$  such that for each  $z_0 \in \mathbb{D}$  there is  $f_0 \in H(\mathbb{D})$  such that  $|f_0(z_0)| \geq d/v(z_0)$  and  $v(z)|f_0(z)| \leq 1$  for each  $z \in \mathbb{D}$  (i.e.,  $\|f_0\|_v \leq 1$  in the notation of (3.4) below). It is established in [14] that a radial continuous weight  $v : \mathbb{D} \rightarrow (0, \infty)$  which is decreasing to 0 in  $[0, 1)$  as  $r$  approaches 1 is essential if and only if there exists a weight  $w : \mathbb{D} \rightarrow (0, \infty)$  satisfying the same assumptions as for  $v$  such that the function  $r \mapsto -\log(w(e^r))$  is convex and, for some constant  $c > 1$ , the weight  $w$  satisfies

$$\frac{1}{c} w(r) \leq v(r) \leq cw(r), \quad r \in [0, 1).$$

In other words, radial continuous weights in  $\mathbb{D}$  that are essential are those which are equivalent to “logarithmic convex weights”. All the weights  $v_\gamma(z) = (1 - |z|)^\gamma$ , for  $\gamma > 0$ , considered in this paper are essential. For further examples and properties of essential weights we refer to [12].

Given an essential weight  $v$ , the *weighted space associated with  $v$*  is defined by

$$H_v^\infty := \{f \in H(\mathbb{D}) \mid \|f\|_v := \sup_{z \in \mathbb{D}} v(z)|f(z)| < \infty\}; \quad (3.4)$$

it is a Banach space of analytic functions on  $\mathbb{D}$  for the norm  $\|\cdot\|_v$ . According to [30, Lemma 1], point evaluations on  $\mathbb{D}$  belong to  $(H_v^\infty)^*$ .

**Proposition 3.5.** *Let  $\gamma > 0$  and  $v$  be an essential weight on  $\mathbb{D}$ . If it is the case that  $A^{-\gamma} \subseteq H_v^\infty \subseteq [\mathbf{C}, A^{-\gamma}]$ , then there exists  $b > 0$  such that*

$$(1/b)(1 - |z|)^\gamma \leq v(z) \leq b(1 - |z|)^\gamma, \quad z \in \mathbb{D}. \quad (3.5)$$

*In particular,  $A^{-\gamma} = H_v^\infty$ , both algebraically and topologically.*

*Proof.* The assumption that  $A^{-\gamma} \subseteq H_v^\infty \subseteq [\mathbf{C}, A^{-\gamma}]$  and the closed graph theorem imply that both inclusions are continuous. In particular, there is  $M > 0$  such that  $\|f\|_v \leq M\|f\|_{-\gamma}$  for each  $f \in A^{-\gamma}$  and, by Theorem 3.1,  $\|g/(1 - z)\|_{-(\gamma+1)} \leq K\|g\|_v$  for each  $g \in H_v^\infty$  (and some  $K > 0$ ).

Given an arbitrary point  $u \in \mathbb{D} \setminus \{0\}$ , we set  $f_0(z) := 1/(1 - z|u|u^{-1})^\gamma$ , for  $z \in \mathbb{D}$ . For  $u = 0$ , define  $f_0(z) = 1$ , for  $z \in \mathbb{D}$ . Then  $\|f_0\|_{-\gamma} = 1$  and  $f_0(u) = 1/(1 - |u|)^\gamma$ . Moreover,  $v(u)|f_0(u)| \leq \|f_0\|_v \leq M$ . This implies that  $v(u) \leq M(1 - |u|)^\gamma$  for each  $u \in \mathbb{D}$ .

Now fix  $w \in \mathbb{D}$  arbitrary. Since the weight  $v$  is essential, there is  $d > 0$  (independent of  $w$ ) and  $g_0 \in H(\mathbb{D})$  with  $\|g_0\|_v \leq 1$  (i.e.,  $g_0 \in H_v^\infty$ ) such that  $|g_0(w)| \geq d/v(w)$ . Observe that

$$\|g_0/(1 - z)\|_{-(\gamma+1)} = \sup_{z \in \mathbb{D}} (1 - |z|)^{\gamma+1} \frac{|g_0(z)|}{|1 - z|} \leq K\|g_0\|_v \leq K.$$

Accordingly,

$$\frac{d}{v(w)} \frac{(1 - |w|)^{\gamma+1}}{|1 - w|} \leq (1 - |w|)^{\gamma+1} \frac{|g_0(w)|}{|1 - w|} \leq \sup_{z \in \mathbb{D}} (1 - |z|)^{\gamma+1} \frac{|g_0(z)|}{|1 - z|} \leq K$$

from which it follows that

$$\frac{(1 - |w|)^{\gamma+1}}{|1 - w|} \leq \frac{K}{d} v(w), \quad w \in \mathbb{D}.$$

In particular,  $(1-r)^\gamma \leq (K/d)v(r)$  for  $r \in [0, 1)$ . Setting  $b := \max\{M, K/d\}$ , yields (3.5).  $\square$

**Proposition 3.6.** *Let  $\gamma > 0$ .*

- (i) *The containment  $A^{-\gamma} \subseteq [\mathbf{C}, A^{-\gamma}]$  is proper.*
- (ii)  *$[\mathbf{C}, A_0^{-\gamma}]$  is a proper, closed subspace of  $[\mathbf{C}, A^{-\gamma}]$ .*
- (iii) *The spaces  $A^{-\gamma}$  and  $[\mathbf{C}, A_0^{-\gamma}]$  are non-comparable. That is,*

$$A^{-\gamma} \not\subseteq [\mathbf{C}, A_0^{-\gamma}] \quad \text{and} \quad [\mathbf{C}, A_0^{-\gamma}] \not\subseteq A^{-\gamma}.$$

*Proof.* (i) Theorem 3.1 shows that  $A^{-\gamma}$  is *properly* contained in  $[\mathbf{C}, A^{-\gamma}]$ .

(ii) Since  $A_0^{-\gamma} \subseteq A^{-\gamma}$ , it is clear that  $[\mathbf{C}, A_0^{-\gamma}] \subseteq [\mathbf{C}, A^{-\gamma}]$ . Moreover,  $[\mathbf{C}, A_0^{-\gamma}]$  has the same norm as that in  $[\mathbf{C}, A^{-\gamma}]$ ; see (3.1). Since  $A_0^{-\gamma}$  is a closed subspace of  $A^{-\gamma}$ , it is routine to verify that  $[\mathbf{C}, A_0^{-\gamma}]$  is closed in  $[\mathbf{C}, A^{-\gamma}]$ . It was noted in the proof of Theorem 3.1 that the function  $g(z) := (1 - z)/(1 + z)^{\gamma+1}$  for  $z \in \mathbb{D}$  belongs to  $[\mathbf{C}, A^{-\gamma}]$ . However,

$$(1 - |z|)^{\gamma+1} \left| \frac{g(z)}{1 - z} \right| = \left( \frac{1 - |z|}{|1 + z|} \right)^{\gamma+1}, \quad z \in \mathbb{D},$$

has the value 1 for every real  $z \in (-1, 0]$  and so, via (3.3),  $g \notin [\mathbf{C}, A_0^{-\gamma}]$ . Hence, the containment  $[\mathbf{C}, A_0^{-\gamma}] \subseteq [\mathbf{C}, A^{-\gamma}]$  is *proper*.

(iii) We first show that  $A^{-\gamma} \not\subseteq [\mathbf{C}, A_0^{-\gamma}]$ . On the contrary, if  $A^{-\gamma} \subseteq [\mathbf{C}, A_0^{-\gamma}]$ , then it follows that  $\mathbf{C}(A^{-\gamma}) \subseteq A_0^{-\gamma}$ . Since  $(A_0^{-\gamma})^{**} = A^{-\gamma}$  and  $(\mathbf{C}_{\gamma,0})^{**} = \mathbf{C}_\gamma$ , we can conclude that  $(\mathbf{C}_{\gamma,0})^{**}((A_0^{-\gamma})^{**}) \subseteq A_0^{-\gamma}$ . But, this contradicts the fact that  $\mathbf{C}_{\gamma,0}$  is not weakly compact; see Corollary 2.2 above and [18, Ch. VI, Sect. 4, Theorem 2]. Accordingly,  $A^{-\gamma} \not\subseteq [\mathbf{C}, A_0^{-\gamma}]$ .

To show that  $[\mathbf{C}, A_0^{-\gamma}] \not\subseteq A^{-\gamma}$  assume, on the contrary, that  $[\mathbf{C}, A_0^{-\gamma}] \subseteq A^{-\gamma}$ . Via part (i), to achieve a contradiction it suffices to deduce that  $[\mathbf{C}, A^{-\gamma}] \subseteq A^{-\gamma}$ .

By the closed graph theorem the inclusion  $[\mathbf{C}, A_0^{-\gamma}] \subseteq A^{-\gamma}$  is continuous. Hence, there exists  $M > 0$  such that

$$\|h\|_{-\gamma} \leq M \|h\|_{[\mathbf{C}, A^{-\gamma}]}, \quad h \in [\mathbf{C}, A_0^{-\gamma}],$$

after noting that the spaces  $[\mathbf{C}, A_0^{-\gamma}]$  and  $[\mathbf{C}, A^{-\gamma}]$  have the same norm. Fix  $f \in [\mathbf{C}, A^{-\gamma}]$ , in which case  $\mathbf{C}(f) \in A^{-\gamma}$ . According to Proposition 1.2 of [11] there exists a sequence of polynomials  $\{p_j\}_{j \in \mathbb{N}} \subseteq A_0^{-\gamma} \subseteq [\mathbf{C}, A_0^{-\gamma}]$  which converges to  $\mathbf{C}(f)$  in  $H(\mathbb{D})$  and satisfies  $\|p_j\|_{-\gamma} \leq \|\mathbf{C}(f)\|_{-\gamma}$  for  $j \in \mathbb{N}$ . Then  $\{\mathbf{C}^{-1}(p_j)\}_{j \in \mathbb{N}}$  converges to  $f$  in  $H(\mathbb{D})$  with  $\{\mathbf{C}^{-1}(p_j)\}_{j \in \mathbb{N}} \subseteq [\mathbf{C}, A_0^{-\gamma}] \subseteq A^{-\gamma}$

(where the last containment is being assumed). Moreover,  $\{C^{-1}(p_j)\}_{j \in \mathbb{N}}$  is a bounded set in  $A^{-\gamma}$  because

$$\|C^{-1}(p_j)\|_{-\gamma} \leq M \|C^{-1}(p_j)\|_{[C, A^{-\gamma}]} = M \|p_j\|_{-\gamma} \leq M \|C(f)\|_{-\gamma}, \quad j \in \mathbb{N}.$$

Since closed balls of  $A^{-\gamma}$  are compact sets in the Montel space  $H(\mathbb{D})$ , it follows that  $f \in A^{-\gamma}$ . This shows that  $[C, A^{-\gamma}] \subseteq A^{-\gamma}$  which yields the required contradiction.  $\square$

Proposition 3.6 shows that the Banach spaces of analytic functions  $A^{-\gamma}$  and  $[C, A_0^{-\gamma}]$  are two *non-comparable, proper* vector subspaces of the optimal domain space  $[C, A^{-\gamma}]$ , that is,  $C$  maps both of these spaces into  $A^{-\gamma}$ .

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