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Additional Information

1 **IMPACT OF HORIZONTAL GEOMETRIC DESIGN OF TWO-LANE RURAL ROADS**  
2 **ON VEHICLE CO<sub>2</sub> EMISSIONS**

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**1 ABSTRACT**

2 In 2014, highway vehicles accounted for 72.8% of all Greenhouse Gases emissions from  
3 transportation in Europe. In the United States (US), emissions follow a similar trend. Although  
4 many initiatives try to mitigate emissions by focusing on traffic operations, little is known about  
5 the relationship between emissions and road design. It is feasible that some designs may increase  
6 average flow speed and reduce accelerations, consequently minimizing emissions.

7 This study aims to evaluate the impact of road horizontal alignment on CO<sub>2</sub> emissions  
8 produced by passenger cars using a new methodology based on naturalistic data collection.  
9 Individual continuous speed profiles were collected from actual drivers along eleven two-lane rural  
10 road sections that were divided into 29 homogeneous road segments. The CO<sub>2</sub> emission rate for  
11 each homogeneous road segment was estimated as the average of CO<sub>2</sub> emission rates of all vehicles  
12 driving, estimated by applying the VT-Micro model.

13 The analysis concluded that CO<sub>2</sub> emission rates increase with the Curvature Change Rate.  
14 Smooth road segments normally allowed drivers to reach higher speeds and maintain them with  
15 fewer accelerations. Additionally, smother segments required less time to cover the same distance,  
16 so emissions per length were lower. It was also observed that low mean speeds produce high CO<sub>2</sub>  
17 emission rates and they increase even more on roads with high speed dispersions.

18 Based on this data, several regression models were calibrated for different vehicle types to  
19 estimate CO<sub>2</sub> emissions on a specific road segment. These results could be used to incorporate  
20 sustainability principles to highway geometric design.

21  
22 *Keywords:* highway geometric design, CO<sub>2</sub> emission, two-lane rural road, traffic operation,  
23 environmentally-friendly transport, naturalistic data

## 1. INTRODUCTION

Carbon dioxide (CO<sub>2</sub>) concentrations in the atmosphere have increased significantly over the past century. The 2014 concentration of CO<sub>2</sub> (397 ppm) was approximately 40% higher than that estimated during the mid-1800s, with an average growth of 2 ppm/year in the last ten years. Levels of methane (CH<sub>4</sub>) and nitrous dioxide (NO<sub>2</sub>) have also significantly increased (International Energy Agency, 2016).

The European Commission (2016) stated that in 2014, Greenhouse Gases (GHG) emissions from transportation represented approximately 23% of the total emissions in the European Union (EU). That year, highway vehicles accounted for 72.8% of all GHG transportation emissions, with about 53% of the CO<sub>2</sub> emissions attributed to inter-urban transportation. Road transportation was also the largest source of nitrogen oxides (NO<sub>x</sub>) emissions, accounting for 39% of total EU emissions, and was an important emission source (13%) of fine particulate matter less than or equal to 2.5 microns in diameter (PM<sub>2.5</sub>). Road transportation can also contribute significantly to the total emissions of other pollutants, such as sulfur oxides (SO<sub>x</sub>) and carbon monoxide (CO).

In the United States, emissions from transportation increased by approximately 17% from 1990 to 2014 (US EPA, 2016). The combustion of fossil fuels to transport people and goods is the second largest source of CO<sub>2</sub> emissions, accounting for about 31% of total US CO<sub>2</sub> emissions in 2014. The largest sources were passenger cars (42.4%), medium- and heavy-duty trucks (23.1%), and light-duty trucks (17.8%). The transportation sector is also responsible for 20% of CH<sub>4</sub> emissions and 41% of N<sub>2</sub>O emissions from fossil fuel combustion.

In light of this situation, both Europe and the United States have increased their policy measures to address issues concerning air pollution from transport. These policies primarily focus on road pricing and internalization, intelligent transport systems, urban mobility/smart cities, eco-driving courses, and speed limiters. These policies, however, do not include strategies aimed at reducing emission through highway geometric design choice in spite of the fact that this variable can influence vehicle fuel consumption and emissions.

Several models based on vehicle factors have been calibrated to estimate fuel consumption and vehicle emissions. These models are classified into two categories: models for emissions inventory and instantaneous emissions models (Park et al. 2016).

Models for emissions inventory consist of various emission factors which depend on vehicle motor features. An emission factor is normally retrieved from the model database based on several variables such as vehicle age, vehicle class, fuel type, engine technology, model year, facility type, average speed, and pollutant type. The emission factor is multiplied by the traffic activity expressed in kilometers to calculate emissions. All current models use average trip speed as the key input variable, except for the Handbook of Emission Factors for Road Transport (HBEFA) model and the Motor Vehicle Emission Simulator (MOVES) model. The HBEFA model is based on traffic situation, whereas the MOVES model is based on speed and power demand derived from driving patterns and circumstances.

Alternatively, instantaneous emissions models are generally applied in project-level or individual vehicle-level analysis, since they provide more precise spatial and temporal analyses. One example is the VT-Micro model developed by Ahn et al. (2002), a nonlinear regression model which uses a multi-dimensional polynomial model structure to predict vehicle fuel consumption and emissions, using instantaneous speed (km/h) and acceleration (km/h/s) as explanatory variables for light duty vehicles and trucks. The VT-Micro model predicts fuel consumption and emissions with a small margin of error with respect to field data (Rakha et al. 2004). However, some limitations need to be considered when applying this model: 1) the model estimates vehicle emissions for hot stabilized conditions and does not consider the vehicle warm-up effect, and 2)

1 the model is confined to speed and acceleration levels within the data range (speed lower than 121  
2 km/h, and acceleration between -1.5 and 3.7 m/s<sup>2</sup>). Additionally, this model classifies vehicles into  
3 categories according to their emission characteristics (Rakha et al. 2004): five light duty vehicle  
4 levels (LDV) and LDV high emitters, and two light duty truck levels (LDT) and LDT high emitters.

5 El-Shawarby et al. (2005) validated the model and evaluated the impact of vehicle cruising  
6 speed and acceleration levels on vehicle fuel consumption and emission rates from field data. They  
7 demonstrated that vehicle fuel consumption rates per distance were the lowest in the range between  
8 60 and 90 km/h. Vehicle cruising speeds outside this range resulted in considerable increases in  
9 fuel consumption and emission rates.

10 The analysis of vehicle acceleration showed that if fuel consumption and emission rates  
11 are considered only for acceleration maneuvers, emissions decrease. This is caused by the  
12 reduction in the distance and time that are required to execute the acceleration maneuver. However,  
13 the results demonstrate that if the emissions data are collected over a sufficiently long distance,  
14 the conclusions are reversed (as the level of acceleration increases, the emissions increase).

15 Although most studies are focused on operational variables, there are some studies that  
16 have analyzed the influence of design geometric features on fuel consumption and emission rates.  
17 The most studied geometric variable is the longitudinal grade. Boriboonsomsin and Barth (2009)  
18 studied the fuel consumption from a vehicle driving along uphill, downhill, and flat routes. The  
19 speed was held constant at 60 mph (90 km/h) to control the speed and acceleration variables across  
20 all routes. Results showed a parabolic relationship between fuel consumption and longitudinal  
21 grade ( $R^2$  of 0.93), meaning that longitudinal grade had a significant effect on the fuel economy of  
22 light-duty vehicles. In fact, the vehicle fuel economy of the flat route was approximately 15-20%  
23 higher than for the uphill and downhill routes.

24 Other studies have used the estimation of speeds and accelerations as inputs for emission  
25 rate modelling because field data collection can be expensive and difficult to implement. Park and  
26 Rakha (2006) used the INTEGRATION microscopic traffic simulation software with three types  
27 of traffic control scenarios. This software estimates speeds and accelerations and uses the VT-  
28 micro framework to calculate fuel consumption and emission rates. They concluded that  
29 longitudinal grade is an important factor in fuel consumption and emissions. For a 1% increase in  
30 longitudinal grade, fuel consumption and emission rates increase approximately 9%.

31 Ko et al. (2013) used the truck dynamic model and non-uniform acceleration/deceleration  
32 models for creating second-by-second vehicle speed profiles based on three key factors: grades,  
33 initial speeds and critical length of grades. Simulated trips covered longitudinal grades from 0%  
34 to 9% (increased by 1%) and initial speed from 10 km/h to 110 km/h (increased by 10 km/h). These  
35 estimated speed profiles were the input to estimate fuel consumption and emissions with the  
36 MOVES model. The analysis of the outputs showed that faster initial speeds in the longitudinal  
37 grade design would reduce fuel consumption and emissions. They also concluded that fuel  
38 consumption and emissions on a segment with an upgrade of 9% were four times higher than on a  
39 flat segment.

40 Likewise, the research team also analyzed the design of vertical crest curves (Ko et al.,  
41 2012). Second-by-second operating speed profiles were created based on a model with the rate of  
42 vertical curvature ( $K$ ) as an explanatory variable and a polynomial model for acceleration  
43 estimations. Like in the previous case, estimated speed profiles were the input for determining fuel  
44 consumption and emissions with the MOVES model. The results showed that as  $K$  increased the  
45 fuel consumption decreased along the vertical curves. The design vehicle consumed about 10%  
46 less fuel (and thus produced 10% less CO<sub>2</sub>) on a curve designed with a 50% higher  $K$  than the

1 minimum standard according to the Green Book (AASHTO, 2011). In addition, 10% more fuel  
2 was consumed (CO<sub>2</sub> was produced) for a 50% smaller  $K$ .

3 The impact of horizontal curve design on fuel consumption and emission rates was  
4 evaluated following a similar procedure (Ko, 2015). In this case, the input for fuel consumption  
5 and emissions was estimated with the MOVES model using second-by-second operating speed  
6 profiles, based on a model with travel path radius, 85<sup>th</sup> percentile tangent speed, deflection angle,  
7 and superelevation as explanatory variables and a polynomial model for acceleration estimations.  
8 The speed profiles were generated under similar conditions (70 km/h tangent speed, 90 degree  
9 deflection angle, and 8% superelevation rate) for radii 10-50% lower and higher than the minimum  
10 standard horizontal curve radius according to the Green Book (AASHTO, 2011). The design  
11 vehicle consumed 34% more fuel and produced up to 91% more emissions for curves with a 50%  
12 lower radius than the minimum standard. When the radius was larger than minimum standards,  
13 fuel consumption and emissions were slightly lower because of the shorter distance and time. It is  
14 important to take into account that in all three studies the authors have assessed fuel consumption  
15 and emission rates per trip instead of distance.

16 In conclusion, many studies have been developed to determine the influence of vertical  
17 alignment on GHG emissions, whereas little research has focused on the effects of horizontal  
18 alignment. In an attempt to gain insight into the impact of highway geometric design on vehicle  
19 fuel consumption and emission rates, this research aimed to study the impact of horizontal highway  
20 geometric design on vehicle CO<sub>2</sub> emission rates by applying the VT-Micro model to actual in-field  
21 driving data.

## 22 23 **2. OBJECTIVES AND HYPOTHESES**

24 The main objective of this study is to analyze how horizontal highway geometric design features  
25 influence GHG emissions, specifically CO<sub>2</sub> emissions. The procedure of the analysis is based on  
26 actual continuous speed profiles and a microscopic fuel consumption and emission estimation  
27 model. The study focused on CO<sub>2</sub> emissions because it is the major contributor to global warming,  
28 despite the low impact to human health. Additionally, CO<sub>2</sub> emissions is strongly related to vehicle  
29 fuel consumption.

30 The underlying hypothesis is that highway geometric design has an important impact on  
31 fuel consumption and emissions. Road design strongly influences driver operation like speed and  
32 accelerations, which can be key factors in emission production. To this end, the lower driver's  
33 speed and the higher the speed variations, the higher the emission rates. Therefore, emission rates  
34 will likely be lower on two-lane road segments whose horizontal geometric design induces drivers  
35 to drive smoothly.

## 36 37 **3. METHODOLOGY**

38 Previous studies have analyzed the impact of road geometric design on emission levels from  
39 estimated operating speed profiles for different theoretical scenarios. However, methodology  
40 applied in this study is based on the application of a microscopic emission estimation model to  
41 actual individual second-by-second speed profiles gathered during naturalistic data collection on  
42 two-lane rural roads (FIGURE 1).

43

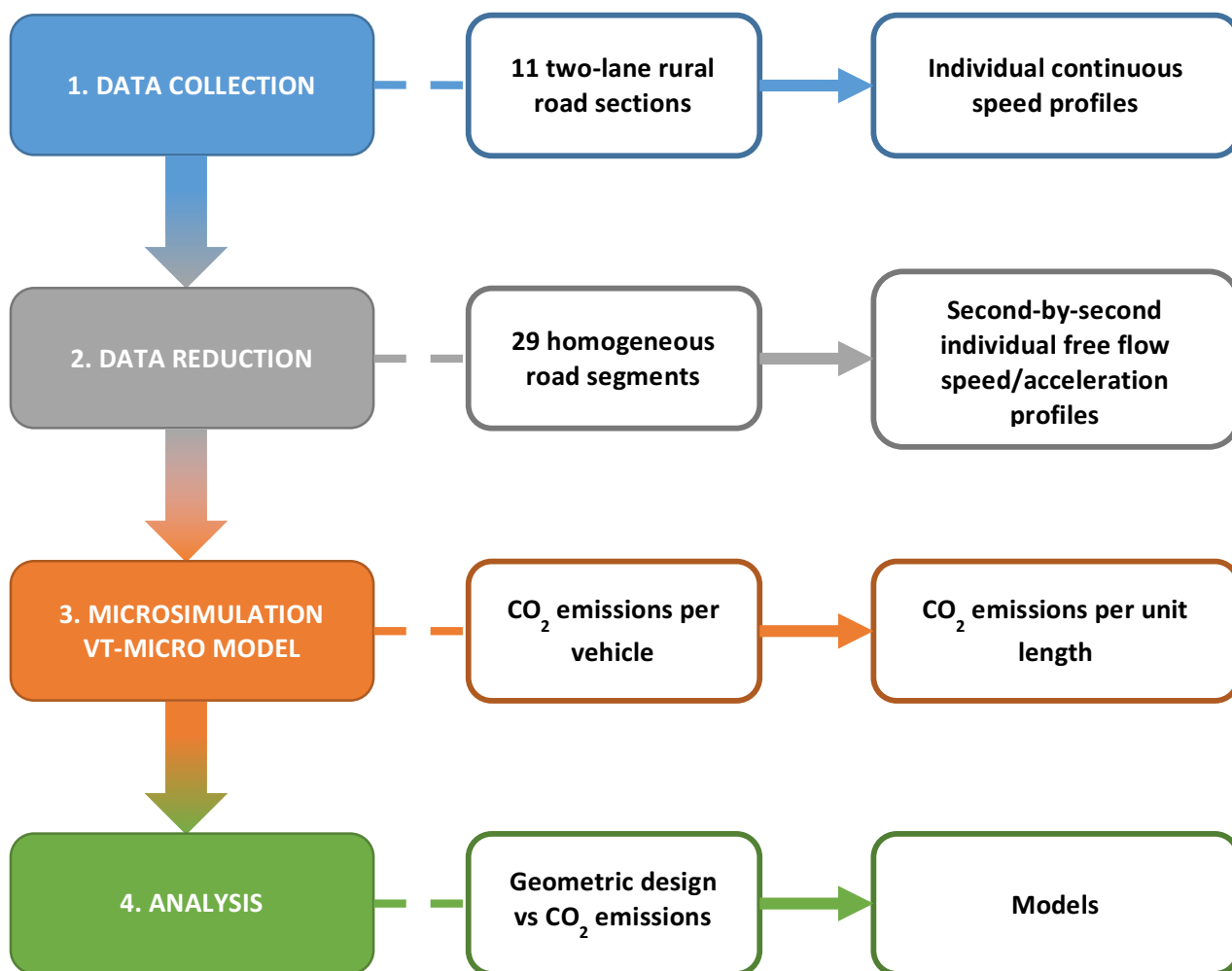


FIGURE 1 Methodological flowchart.

### 3.1 Data collection

This research is based on a database of more than 16,000 veh-km collected in 2008 on 11 two-lane rural road sections. Speed data were collected on work days between 8:30 a.m. and 2 p.m. and under dry weather conditions. The data collection methodology was developed by Pérez-Zuriaga et al. (2010). The length of the selected road sections ranged from 5 to 20 km, with an estimated Annual Average Daily Traffic (*AADT*) volume between 850 and 7,000 vpd.

The main objective of this research was to analyze how the horizontal alignment affects emissions, since the influence of the vertical alignment is far better known. Thus, the road segments studied presented a nearly flat vertical alignment (Table 1).

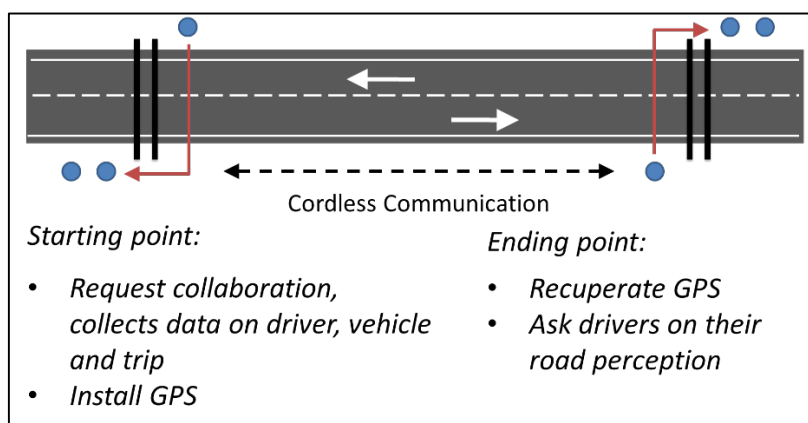
TABLE 1 Road Segments Characteristics

ID	<i>L</i>	<i>CCR</i>	<i>AR</i>	<i>R<sub>max</sub></i>	<i>R<sub>min</sub></i>	$\bar{V}_m$	$\bar{V}_{85}$	$\bar{V}_{50}$	$\sigma_{V_m}$	$\sigma_{V_{85}}$	$\sigma_{V_{50}}$	<i>g</i> (+)	<i>g</i> (-)	<i>d</i>
1.1	2691	19.68	1059	2018	449	88.09	103.34	86.12	6.49	7.18	5.43	3.89	-2.04	73/71
1.2	2689	135.60	192	490	71	79.15	91.17	78.14	5.48	6.82	5.22	4.25	0.00	66/85
1.3	1721	548.76	108	372	40	59.16	67.07	58.97	8.19	10.23	8.01	5.42	0.00	71/86
1.4	2867	151.01	177	480	85	75.87	88.07	75.00	6.46	8.07	6.46	5.23	-5.11	68/75
1.5	2396	0.00	---	---	---	94.88	114.70	91.43	6.44	8.81	5.23	2.04	-2.62	76/78

2.1	2321	317.22	151	610	66	66.57	75.60	65.50	6.39	8.43	6.03	4.08	-0.56	76/65
2.2	1625	138.89	368	749	129	75.08	85.39	74.19	4.17	4.84	4.14	5.32	-4.49	71/65
3.1	1082	220.22	219	502	66	74.01	85.71	73.97	10.79	13.66	10.09	3.79	-2.13	109/125
3.2	2968	59.54	365	808	86	86.01	98.53	84.50	7.60	8.67	6.67	3.04	-3.26	75/113
3.3	3627	172.53	222	447	97	77.60	87.76	76.25	7.57	8.96	7.16	2.10	-2.50	96/121
4.1	1604	0.00	---	---	---	89.91	101.89	87.65	3.16	3.44	2.90	1.38	0.00	85/72
4.2	2833	43.67	1352	2946	247	85.57	95.97	83.83	6.95	8.26	6.39	1.42	0.00	73/68
5.1	1739	80.88	804	2057	254	86.67	97.95	85.79	2.78	3.15	2.89	2.28	-2.24	75/55
5.2	1138	14.03	662	662	662	94.43	105.19	93.65	1.31	1.69	1.15	0.11	-1.53	81/60
5.3	2349	72.65	452	725	260	87.67	98.49	86.91	6.49	7.36	6.14	1.29	-2.43	42/36
6.1	1775	82.90	278	695	86	71.24	80.11	70.38	6.01	6.65	5.88	1.43	-1.23	66/89
6.2	2141	30.78	1042	3210	161	76.76	87.41	75.36	6.72	7.73	6.17	0.81	-2.12	64/89
7.1	2529	157.26	264	685	167	73.60	81.32	73.23	4.22	4.29	4.01	1.97	-0.70	77/61
7.2	1239	9.17	625	625	625	76.97	85.97	76.62	4.84	4.59	4.69	1.90	-2.67	77/61
8.1	4638	20.69	534	963	194	84.61	97.39	82.58	5.01	7.18	5.51	2.55	-1.71	73/66
8.2	2843	59.28	367	884	231	79.19	90.46	77.39	2.46	3.34	2.32	2.89	-1.79	72/70
9.1	1054	42.46	7421	14761	82	73.69	83.22	72.64	9.12	10.35	8.59	0.02	-0.09	85/75
9.2	1529	80.08	412	957	100	72.97	82.41	72.16	6.04	7.48	5.67	0.47	-0.24	72/72
9.3	1915	8.79	505	514	498	78.33	88.75	76.93	3.44	4.11	3.03	0.15	-0.48	71/68
9.4	1128	61.82	404	999	113	67.69	76.45	67.47	2.87	3.24	2.88	0.16	-0.09	77/76
10.1	1832	14.54	480	573	387	87.09	98.38	85.37	3.51	4.63	3.28	0.98	-0.43	51/38
10.2	1702	172.38	230	539	85	72.62	79.28	72.62	5.98	6.73	5.81	2.30	-1.56	41/40
10.3	2457	92.21	265	417	98	75.28	83.40	75.14	4.11	4.77	4.10	3.84	-3.17	34/34
11.1	2930	36.15	302	716	131	74.89	82.61	74.26	5.46	5.98	5.39	0.63	-1.58	26/26

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Data collection was carried out by placing two checkpoints at the beginning and at the end of each road section (FIGURE 2). Every incoming vehicle was halted and drivers were asked to participate in the study. If the driver agreed (nearly 90% did), a 1 Hz pocket-sized GPS was placed on the vehicle.



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**FIGURE 2 Field study.**

Furthermore, to check whether drivers were biased because of the presence of the GPS device, a naturalistic test was carried out (Pérez-Zuriaga et al., 2013). The test was based on comparing spot speeds of drivers who were carrying GPS devices and drivers who did not. The



1 speed of the second category was collected a day before the experiment using video cameras. The  
 2 results validated the methodology, since no statistical difference was found between both data sets.

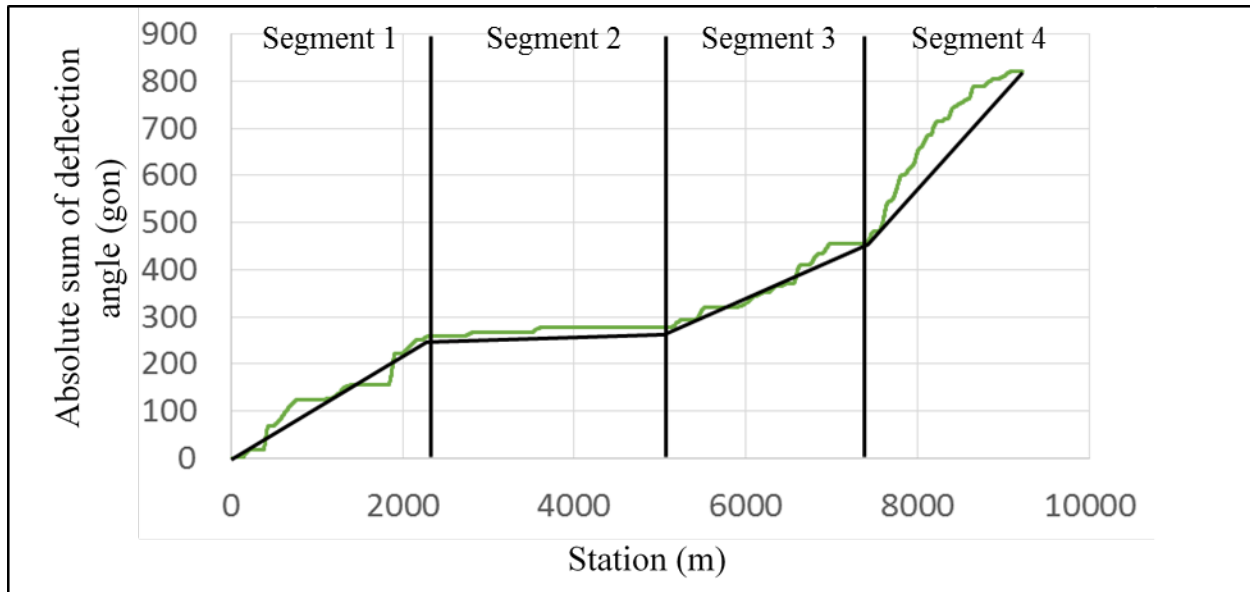
3 The results of the data collection were used to develop a database of vehicles location  
 4 presented in a latitude-longitude-altitude-heading direction-time-date format, at a 1-second pace.

### 6 3.2 Data reduction

7 Collected data were transformed to Universal Transverse Mercator (UTM) coordinates,  
 8 and were then filtered and processed to obtain individual continuous speed profiles (Pérez-Zuriaga  
 9 et al., 2010). Free-flow conditions were checked (Pérez-Zuriaga et al., 2013). This was done easily  
 10 by assuming that every driver tends to adopt the behavior of a specific speed percentile. Thus,  
 11 sudden drops from this pattern likely indicated non-free-flow sections. Using this methodology,  
 12 all non-free-flow sections were identified and removed for further analyses.

13 The geometry of the road sections used in the research was recreated using an algorithm  
 14 based on the heading direction (Camacho-Torregrosa et al., 2015). Additionally, road sections  
 15 were divided into 29 homogeneous road segments according to their Curvature Change Rate  
 16 (*CCR*). This division can be performed by depicting the cumulative absolute deflection angle  
 17 versus the road chainage. Hence, homogeneous road segments can be distinguished according to  
 18 similar *CCR* behavior (FIGURE 3). *CCR* is defined as the sum of the absolute deflection angles  
 19 ( $\gamma_i$ ) divided by the length ( $L$ ):

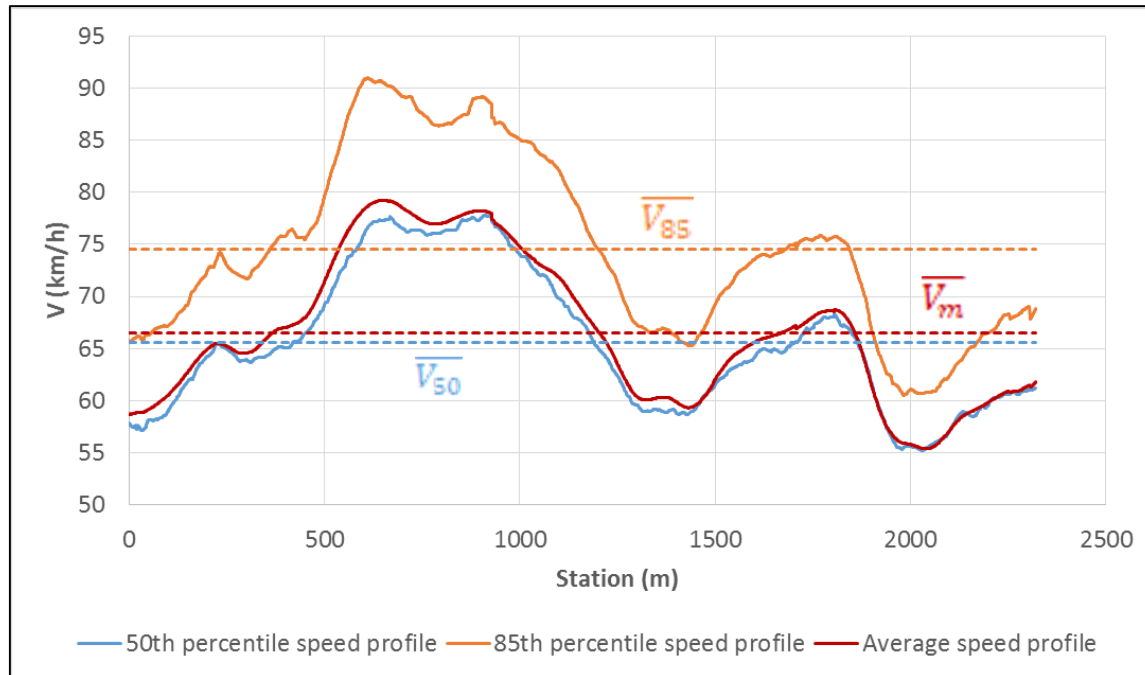
$$20 \quad CCR = \frac{\sum |\gamma_i|}{L} \text{ (gon/km)}$$



22  
 23 **FIGURE 3 Determination of the homogeneous road segments.**

24  
 25 Every road segment was characterized by geometric and operational features (FIGURE 4):  
 26  $L$  - length (m),  $CCR$  (gon/km),  $AR$  - Average radius (m),  $R_{max}$  - maximum radius (m),  $R_{min}$  -  
 27 minimum radius (m),  $\bar{V}_m$  - mean of the average speed profile (km/h),  $\bar{V}_{85}$  - mean of the 85<sup>th</sup>  
 28 percentile speed profile (km/h),  $\bar{V}_{50}$  - mean of the 50<sup>th</sup> percentile speed profile (km/h),  $\sigma_{V_m}$  -  
 29 deviation of the average speed profile (km/h),  $\sigma_{V_{85}}$  - deviation of the 85<sup>th</sup> percentile speed profile  
 30 (km/h),  $\sigma_{V_{50}}$  - deviation of the 50<sup>th</sup> percentile speed profile (km/h),  $g(+)$  - average positive grade  
 31 (%),  $g(-)$  - average negative grade (%), and  $d$  - drivers per direction.

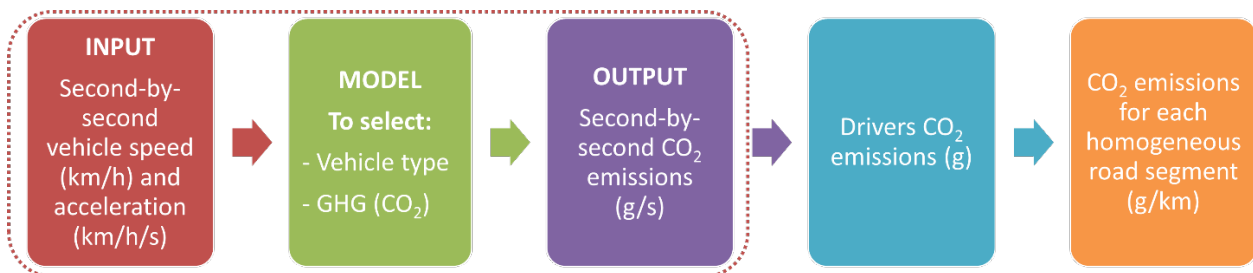
1 Table 1 summarizes the main characteristics of the homogeneous road segments considered  
 2 in this research.



4 **FIGURE 4 Operational variables.**

### 5 **3.3 Microscopic emission model**

6  
 7 The microscopic model used to estimate CO<sub>2</sub> emissions was VT-Micro (Ahn et al., 2002), which  
 8 predicts emission rates for individual vehicles using second-by-second vehicle speed (km/h) and  
 9 acceleration (km/h/s) as input variables (FIGURE 5). Therefore, every individual meter-by-meter  
 10 speed profile was converted into second-by-second speed and acceleration profiles. A smoothing  
 11 algorithm was previously applied to ensure a feasible acceleration profile.



14 **FIGURE 5 VT-Micro model procedure.**

15  
 16  
 17 As mentioned previously, the model classifies the vehicles into different categories  
 18 according to their emission characteristics (Rakha et al., 2004): six categories for light duty  
 19 vehicles (LDV1, LDV2, LDV3, LDV4, LDV5, and LDV high emitters) and three categories for  
 20 light duty trucks (LDT1, LDT2, and LDT high emitters). The observed vehicles were largely  
 21 sedan-type vehicles and van-type vehicles. According to the classification required by the  
 22 microsimulation model, there was a preponderance of light duty vehicles LDV3, LDV4, and  
 23 LDV5. The simulation was only implemented for these vehicle types.

As a result of the microsimulation, the second-by-second CO<sub>2</sub> emission was obtained for every vehicle of each homogeneous road segment. Driver CO<sub>2</sub> emissions were determined as the sum of the CO<sub>2</sub> emissions along each homogeneous road segment. Finally, CO<sub>2</sub> emissions per length were determined for each homogeneous road segment as the average of all drivers CO<sub>2</sub> emissions divided by the length of the road segment, considering both directions (TABLE 2).

**TABLE 2 Emission Rates at each Homogeneous Road Segment**

ID	Forwards			Backwards			Road segment		
	CO <sub>2</sub> (g/km)			CO <sub>2</sub> (g/km)			CO <sub>2</sub> (g/km)		
	LDV3	LDV4	LDV5	LDV3	LDV4	LDV5	LDV3	LDV4	LDV5
1.1	143.06	134.63	168.64	132.92	123.47	155.09	137.99	129.05	161.86
1.2	146.58	135.61	176.20	149.13	138.19	177.94	147.85	136.90	177.07
1.3	175.17	158.72	217.76	173.49	156.51	214.31	174.33	157.62	216.03
1.4	159.28	146.52	191.86	148.51	137.31	178.08	153.90	141.91	184.97
1.5	131.73	122.56	152.35	143.73	135.08	164.70	137.73	128.82	158.53
2.1	152.64	140.30	186.63	147.68	135.64	180.49	150.16	137.97	183.56
2.2	153.22	142.20	184.06	143.63	133.47	172.58	148.42	137.84	178.32
3.1	142.95	132.10	173.03	165.34	151.45	200.08	154.14	141.77	186.56
3.2	145.50	135.47	171.88	140.73	130.58	166.77	143.11	133.02	169.33
3.3	146.46	135.19	175.68	160.15	147.58	193.05	153.30	141.39	184.36
4.1	151.42	142.32	178.08	127.85	120.44	147.80	139.64	131.38	162.94
4.2	129.79	121.59	153.03	157.88	147.29	185.84	143.83	134.44	169.43
5.1	148.15	138.74	174.79	133.98	126.35	156.90	141.07	132.54	165.84
5.2	141.68	134.64	163.15	135.73	129.03	156.59	138.70	131.84	159.87
5.3	128.92	121.07	150.60	156.08	146.36	184.63	142.50	133.72	167.61
6.1	169.78	155.92	205.82	141.96	130.74	172.36	155.87	143.33	189.09
6.2	129.82	120.64	154.84	162.72	150.43	195.19	146.27	135.53	175.02
7.1	144.96	134.59	174.51	144.02	133.62	173.52	144.49	134.11	174.02
7.2	146.38	136.50	174.88	143.21	133.07	172.69	144.79	134.78	173.79
8.1	144.54	134.58	171.44	133.00	123.72	156.91	138.77	129.15	164.17
8.2	137.79	128.26	164.26	145.38	135.72	173.31	141.58	131.99	168.79
9.1	171.94	158.54	206.97	132.74	123.31	160.50	152.34	140.92	183.74
9.2	135.68	125.78	163.73	160.95	148.57	194.70	148.32	137.17	179.21
9.3	143.34	133.26	171.10	144.95	135.28	172.87	144.14	134.27	171.99
9.4	148.22	136.50	180.70	146.84	135.39	179.17	147.53	135.95	179.93
10.1	148.70	139.47	175.26	140.06	131.81	164.26	144.38	135.64	169.76
10.2	147.65	136.04	179.21	163.74	150.70	198.37	155.70	143.37	188.79
10.3	147.97	137.20	178.09	147.49	136.91	177.35	147.73	137.05	177.72
11.1	133.64	124.65	159.98	147.88	136.99	178.18	140.76	130.82	169.08

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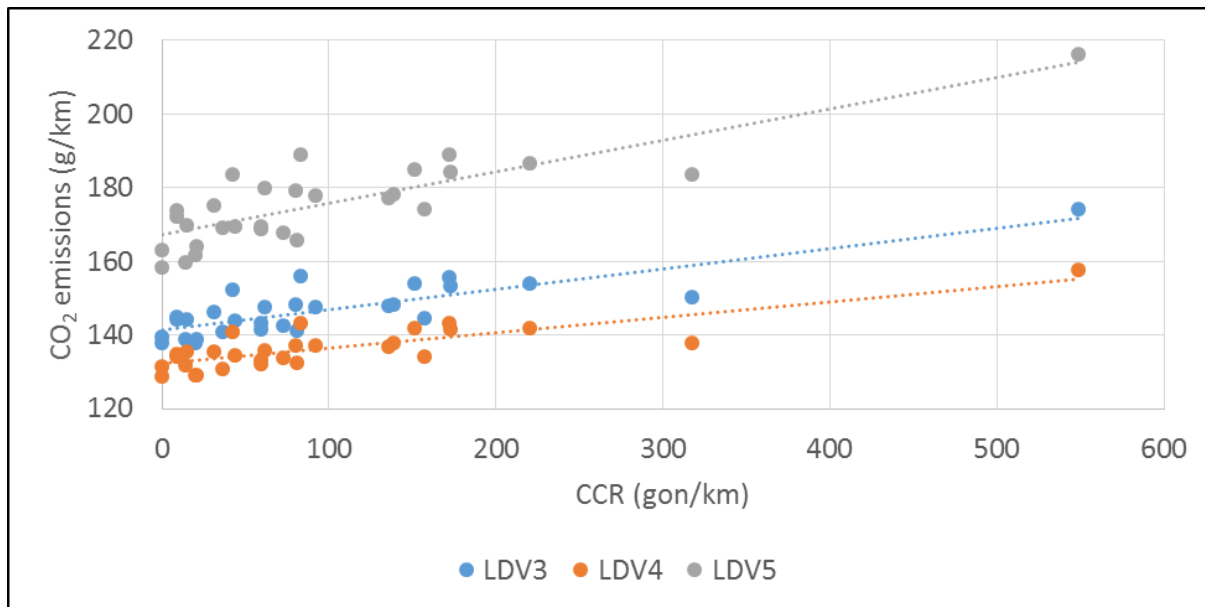
## 4. ANALYSIS

This section describes the relationship between emissions previously obtained and geometric and operational variables.

### 4.1 Geometric Analysis

Different geometric variables were considered in the analysis ( $AR$ ,  $R_{max}/R_{min}$ ,  $CCR$ , and longitudinal grade). As expected, the effect of the longitudinal grade lacked statistical significance, since the road segments under study were flat to exclusively study the effects of horizontal alignment. Likewise,  $AR$  and  $R_{max}/R_{min}$  presented a high dispersion and the models associated with them showed low correlations ( $<0.30$ ). Therefore, the study was focused on  $CCR$ , since this geometric variable did present a clear and close relationship with  $CO_2$  emissions. According to the  $CCR$  definition, a low  $CCR$  value indicates that the road segment is mainly constituted by tangents and flat curves, whereas a high  $CCR$  value means that the road segment is mainly composed of sharp curves and short tangents.

FIGURE 6 shows that higher  $CCR$  values tend to produce higher  $CO_2$  emissions. This result could be counterintuitive at first. High  $CCR$  road segments induce lower speeds, but the high number of speed variations (i.e., accelerations and decelerations) induce higher emissions. In addition, emissions are determined on a time basis, so the longer time needed to cover these road segments plays a major role as well.



**FIGURE 6**  $CO_2$  emission rate vs.  $CCR$ .

To estimate the  $CO_2$  emission rate from horizontal alignment design, several regression models with different functional forms were developed for the different types of vehicles. The models with the best correlations are shown in TABLE 3.

1 **TABLE 3 Geometric models**

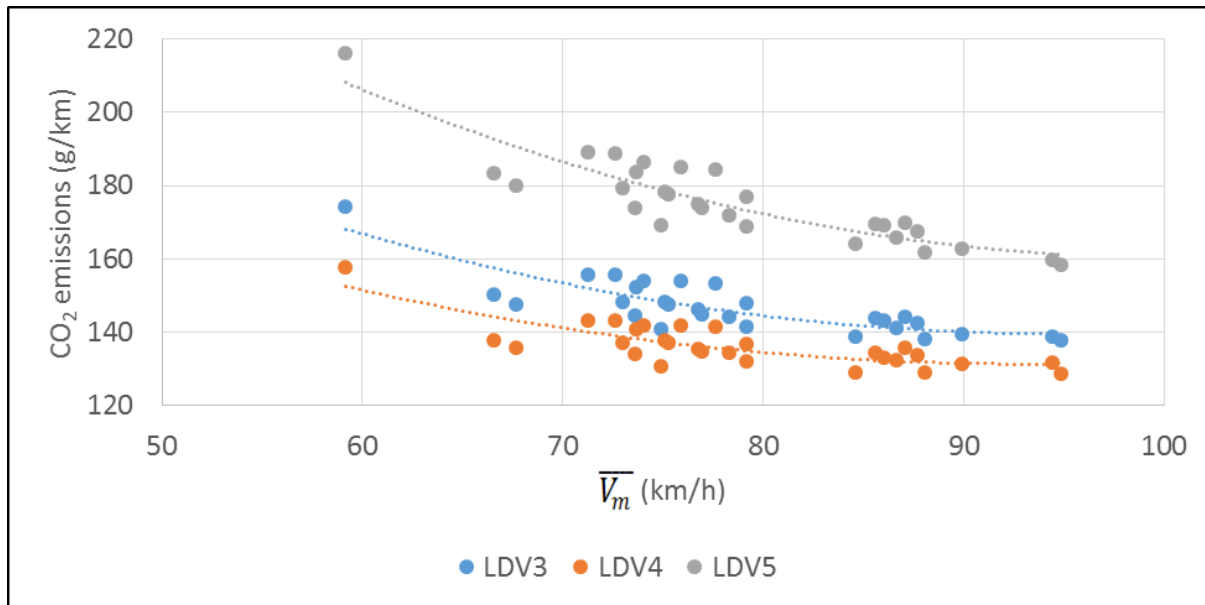
Vehicle type	Model	R <sup>2</sup>
LDV3	$E_{CO_2} = 141.45 + 0.0553 \cdot CCR$	0.6962
LDV4	$E_{CO_2} = 132.25 + 0.0419 \cdot CCR$	0.6673
LDV5	$E_{CO_2} = 167.19 + 0.0854 \cdot CCR$	0.6980

Where  $E_{CO_2}$  is CO<sub>2</sub> emission rate (g/km); and CCR is the Curvature Change Rate (gon/km).

2

3 **4.2 Operational Analysis**

4 The same process was carried out to analyze the previously described operational variables. The  
5 relationship between CO<sub>2</sub> emissions and the different mean speeds ( $\overline{V}_m$ ,  $\overline{V}_{85}$ , and  $\overline{V}_{50}$ ) were similar.  
6 However, the mean of the average speed profile ( $\overline{V}_m$ ) showed the best fit. In addition, the different  
7 types of vehicle described the same trend. CO<sub>2</sub> emission rate is higher for low speeds and decreases  
8 when speeds increase (FIGURE 7).  
9



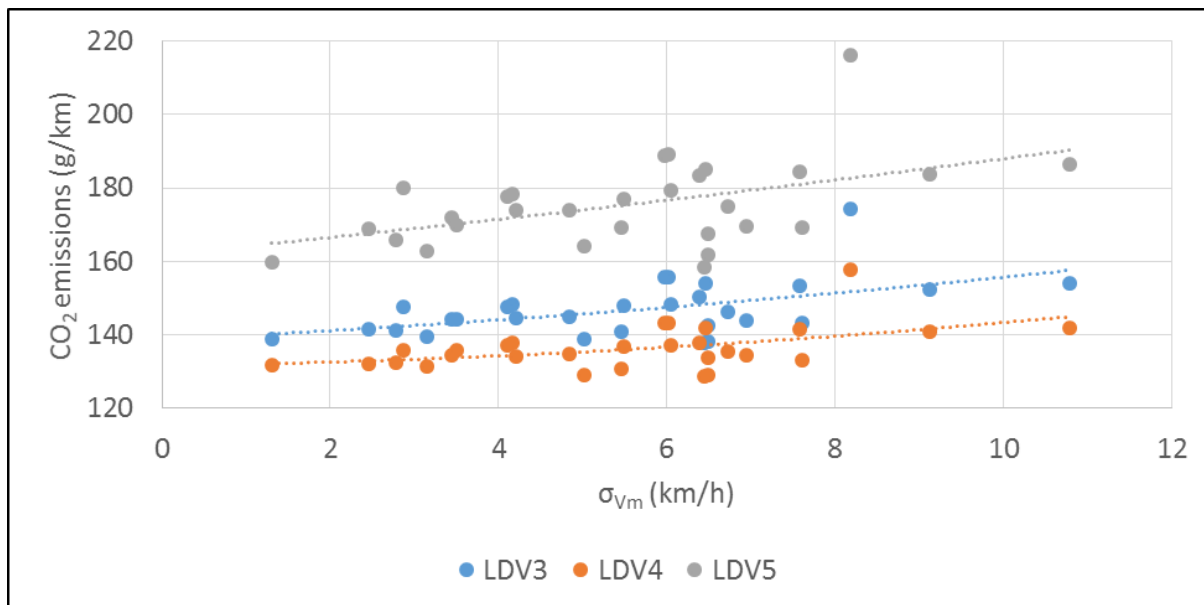
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11 **FIGURE 7 CO<sub>2</sub> emission rate vs.  $\overline{V}_m$ .**

12

13 The same behavior was observed for the deviation of the average speed profile ( $\sigma_{V_m}$ ) and  
14 the deviations of the different speed percentiles ( $\sigma_{V_{50}}$  and  $\sigma_{V_{85}}$ ). However, the deviation of the  
15 average speed profile also presented the best correlation with CO<sub>2</sub> emissions. The lower the speed  
16 dispersion, the lower the CO<sub>2</sub> emission rate (FIGURE 8).  
17

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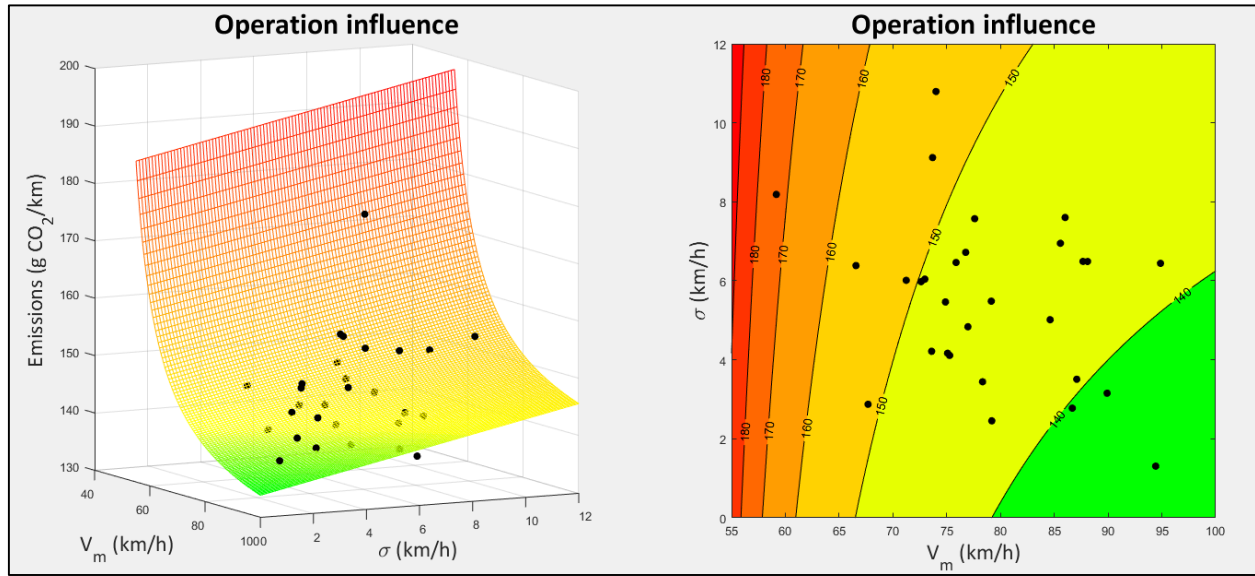


1  
2 **FIGURE 8 CO<sub>2</sub> emission rate vs.  $\sigma_{vm}$ .**

3  
4 A single variable model was unable to accurately estimate emissions. Thus, models that  
5 combine both mean speed and speed dispersion were proposed (TABLE 4). These models were  
6 able to reflect how road segments with the same mean speed can have different CO<sub>2</sub> emissions due  
7 to different speed dispersions. This phenomenon can be observed in FIGURE 9 for the vehicle  
8 type LDV3, which presents a similar trend to the other vehicle types considered. Therefore, the  
9 higher the mean speed and the lower the speed dispersion, the lower the CO<sub>2</sub> emission rate.

10  
11 **TABLE 4 Operational models**

Vehicle type	Model	R <sup>2</sup>
LDV3	$E_{CO_2} = 124.95 + \frac{1}{e^{0.00183036 \cdot \bar{v}_m} - 1.08952} + 0.97094 \cdot \sigma_{vm}$	0.7982
LDV4	$E_{CO_2} = 122.21 + \frac{1}{e^{0.00302829 \cdot \bar{v}_m} - 1.16165} + 0.69302 \cdot \sigma_{vm}$	0.7318
LDV5	$E_{CO_2} = 128.11 + \frac{1}{e^{0.00061732 \cdot \bar{v}_m} - 1.02388} + 1.28842 \cdot \sigma_{vm}$	0.8636



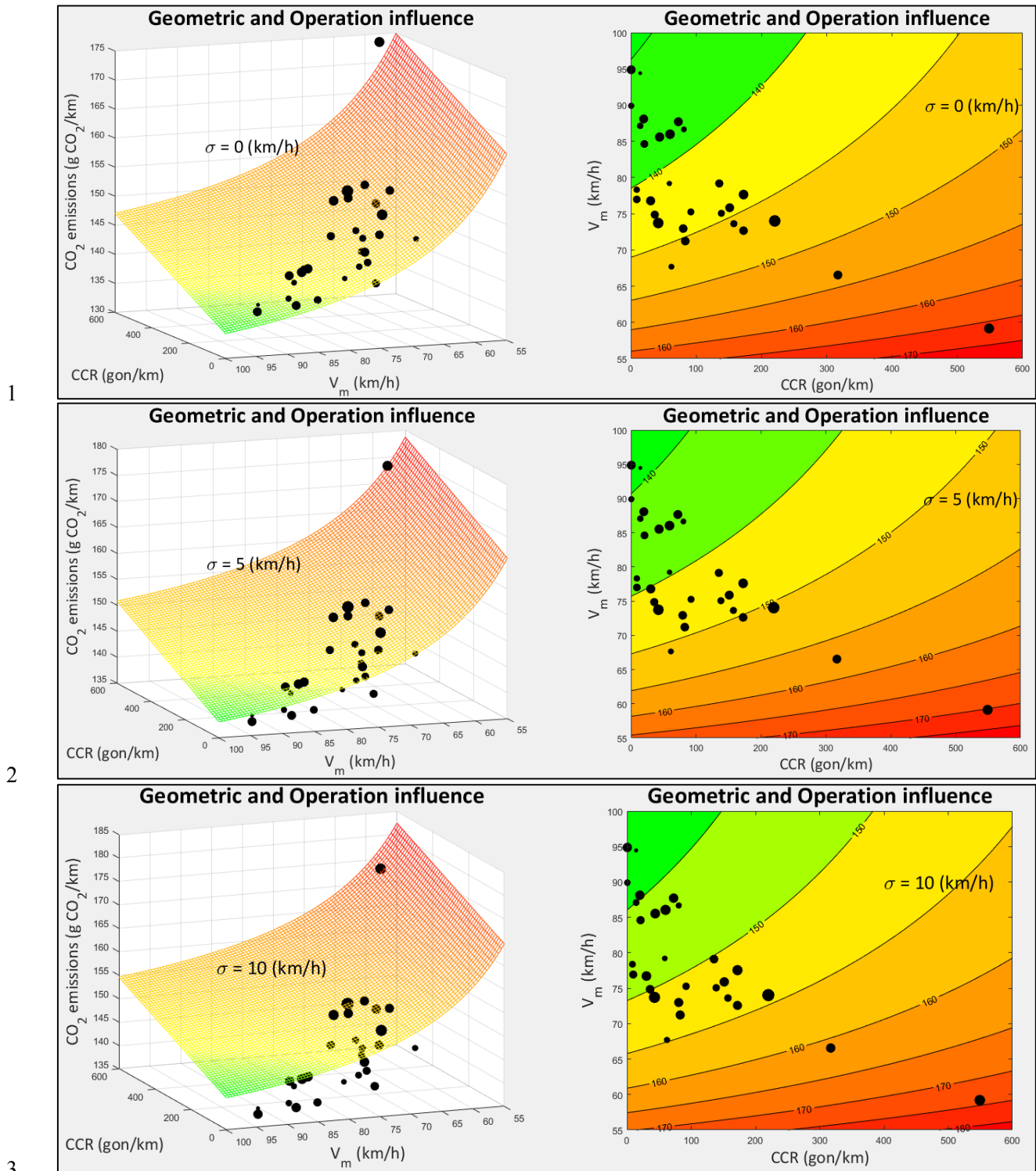
1  
2 **FIGURE 9 Operational model.**

3  
4 **4.3 Combined Analysis**

5 Geometric and operational variables have been shown to be highly related to CO<sub>2</sub> emissions and  
6 therefore to fuel consumption. Consequently, it is valuable to develop a set of expressions that use  
7 both kind of variables to better estimate the outcomes. Thus, different regression models were  
8 developed for each vehicle type considering CCR, the mean speed and speed dispersion as  
9 explanatory variables (TABLE 5). FIGURE 10 shows the model considering different speed  
10 dispersion values for the vehicle type LDV3. In this figure, the size of each point represents the  
11 speed dispersion and it can be observed how CO<sub>2</sub> emissions increase as speed dispersion and CCR  
12 increase and the mean speed decreases.

13  
14 **TABLE 5 Geometric and Operational Models**

Vehicle type	Model	R <sup>2</sup>
LDV3	$E_{CO_2} = 123.88 + 0.02122 \cdot CCR + \frac{1}{e^{0.00139126 \cdot \bar{V}_m} - 1.05337} + 0.75674 \cdot \sigma_{V_m}$	0.8198
LDV4	$E_{CO_2} = 122.67 + 0.01772 \cdot CCR + \frac{1}{e^{0.00280347 \cdot \bar{V}_m} - 1.12973} + 0.51696 \cdot \sigma_{V_m}$	0.7574
LDV5	$E_{CO_2} = 109.44 + 0.03030 \cdot CCR + \frac{1}{e^{0.00024591 \cdot \bar{V}_m} - 1.00204} + 0.95779 \cdot \sigma_{V_m}$	0.8827



1

2

3

4 **FIGURE 10 Geometric and operational model.**

5

6 Finally, it must be noted that FIGURE 10 verifies the main hypothesis of the study, i.e.,  
 7 drivers tend to have lower speeds and higher speed dispersions when they drive along a road  
 8 segment with a high CCR, and therefore produce high CO<sub>2</sub> emission volumes.

9

10



## 5. DISCUSSION

Year after year, people grow more concerned about environment, which is also being considered in the road geometric design process. However, there are few standards to guide the engineer to design environmentally friendly highways. Several studies have focused on this topic and several microsimulation models have been developed to estimate vehicle fuel consumption and emission rates during planning, design, and operation road stages (Rakha et al., 2004).

However, most of these studies use the average speed as explanatory variable. El-Shawarby et al. (2005) demonstrated that vehicle fuel consumption rates per distance are optimum between 60 and 90 km/h. Nevertheless, the results of this study have concluded that CO<sub>2</sub> emission rates decrease when the mean of the average speed profile increases, even for speeds higher than 90 km/h. This is due to vehicles spending less time covering the same distance, and the speed changes are lower, and so are the emissions. This relationship is not linear since the emission rate tends to be constant for higher speeds depending on the speed dispersion.

The main drawback of previous studies is the data on which they are based. Second-by-second speed and acceleration data are needed to estimate fuel consumption and emission rates. Some studies used data from a traffic microsimulation model with different scenarios and other studies from operating speed models and vehicle dynamic models.

The study outlined in this paper is based on actual driver continuous speed profiles collected in a naturalistic fashion, which better reflect the impact of the road geometric design on fuel consumption and emissions. In addition, some studies analyzed this impact considering emissions per trip (Ko, 2015; Ko et al., 2013 and 2012), instead of emissions per unit length. Despite this, these results showed the same trend as the present study results: sharper horizontal alignment produces higher level of emissions, whereas a smooth road designs allow drivers to reach higher speeds and reduce accelerations and decelerations, generating lower emissions.

Finally, different regression models have been developed considering geometric and/or operational variables for several vehicle types: LDV3, LDV4, and LDV5. The main aim of these models is to estimate the CO<sub>2</sub> emissions from the Curvature Change Rate of the homogeneous road segment ( $CCR$ ), the mean of the average speed profile ( $\overline{V_m}$ ), and the deviation of the average speed profile ( $\sigma_{V_m}$ ). In addition, different models were developed according to the vehicle type to extend their application. The calibration of models for different vehicle types allows the determination of the overall contribution, if the traffic composition is known.

The model based on  $CCR$  is recommended for estimating CO<sub>2</sub> emissions at the design stage, when the speed profile is unknown. A better estimation can be performed for existing roads with the model based on  $CCR$ ,  $\overline{V_m}$ , and  $\sigma_{V_m}$ . These models verify the hypotheses of the study: 1) the CO<sub>2</sub> emissions are highly influenced by the mean speed and the speed dispersion, and 2) the lower the mean speed and the higher the speed variation, the higher the emission rates. In fact, road designs with a high  $CCR$  value normally favors this situation, what causes higher CO<sub>2</sub> emissions.

Further research is needed to analyze the impact of the different geometric features of a road on vehicle fuel consumption and several emission rates. This research will be focused on the same actual individual continuous speed data as the present study.

## 6. CONCLUSIONS

CO<sub>2</sub> emissions are one of the main negative externalities caused by transport along with air pollution, congestion, noise, and crashes. In addition, vehicles are by far the main emitter of Greenhouse Gases (GHG) from transport. Most policy measures to address this problem focus on vehicles and fuel instead of road design. However, the key factors on fuel consumption and

1 emissions are speeds and accelerations, which are highly related to the highway geometric design.  
2 This is why the main objective of this study was to analyze the impact of its characteristics on  
3 GHG, specifically CO<sub>2</sub> emissions.

4 To obtain CO<sub>2</sub> emissions, second-by-second speed and acceleration profiles were  
5 determined from a naturalistic data collection on 29 homogeneous two-lane rural road segments.  
6 These data were the input for the application of the microscopic emission estimation model VT-  
7 Micro. This model estimates vehicle emissions for hot stabilized conditions and does not consider  
8 the vehicle start effect. Every road segment was characterized by several geometric and operational  
9 features whose relationship with CO<sub>2</sub> emission rates were analyzed considering different vehicle  
10 types: LDV3, LDV4, and LDV5.

11 The results show that CO<sub>2</sub> emission rates increase when Curvature Change Rate (*CCR*)  
12 increases. Low *CCR* values indicate that the road segment is mainly constituted by tangents and  
13 flat curves, thus allowing drivers to perform at higher speeds without heavy accelerations. On the  
14 other hand, a road design with high *CCR* value produces a geometric control over the driver and,  
15 consequently, lower speeds with greater accelerations. A relationship between the mean of the  
16 average speed profile and the deviation of the average speed profile with CO<sub>2</sub> emissions was also  
17 found. CO<sub>2</sub> emission rate is higher for lower speeds and for higher speed dispersion.

18 Several regression models were developed to predict CO<sub>2</sub> emission rates on a specific  
19 homogeneous road segment for each vehicle type. These models present the Curvature Change  
20 Rate of the homogeneous road segment (*CCR*), the mean of the average speed profile ( $\overline{V}_m$ ), and  
21 the deviation of the average speed profile ( $\sigma_{vm}$ ) as explanatory variables, because all of these  
22 variables showed an important influence on CO<sub>2</sub> emissions. These models can be used during the  
23 road design and operational stage.

24 As vehicle fuel consumption is strongly related to tailpipe CO<sub>2</sub> emissions, the findings  
25 presented are also applicable to vehicle fuel consumption. These conclusions may be the first step  
26 towards developing improved guidelines for designing environmentally sustainable highways,  
27 reducing fuel consumption, and emissions production.

28 Furthermore, these conclusions may be implemented for advanced navigation systems, as  
29 some allow route choice based on minimizing fuel consumption as well as GHG and pollutant  
30 emissions. Navigation systems could estimate emissions as a function of road geometric design  
31 instead of or complementing other variables such as traffic volume, density, and average speed.  
32

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44

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