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Additional Information



21 **Abstract**

22 Essential oils and their main compounds have been studied in-depth for their  
23 antifungal properties against a wide variety of microorganisms. However, the strong  
24 odour emitted by them, even at low concentrations, makes their incorporation into food  
25 matrices difficult. Immobilisation of antimicrobial compounds on solid surfaces could  
26 be a strategy to reduce their odour impact. The antifungal effectiveness of eugenol and  
27 thymol bioactive agents, free and immobilised on mesoporous silica microparticles  
28 (MCM-41 family), and their impact on the final aroma and fungal decay of strawberry  
29 jam, were evaluated herein. Free eugenol and thymol exhibited good antifungal  
30 properties against the fungi strains tested, and thymol proved more effective. The  
31 antifungal activity of immobilised eugenol and thymol displayed greater antifungal  
32 activity for immobilised eugenol. The jams prepared with immobilised eugenol on  
33 MCM-41 microparticles exhibited no mould and yeast development during the studied  
34 storage time. The sensory evaluation confirmed that eugenol and thymol immobilisation  
35 reduced their typical strong impact on strawberry jam flavour. This work demonstrates  
36 the promising use of immobilised eugenol on mesoporous silica microparticles to  
37 control strawberry jam decay.

38

39

40 **Keywords:** Antifungal activity; eugenol; thymol; mesoporous silica support; strawberry  
41 jam.

42

43

## 44 1. Introduction

45 Yeasts and moulds can grow on raw and processed foods where the environmental  
46 conditions for most bacteria are unfavourable (Krisch, Tseremadmid, & Vágvölgyi,  
47 2011). These microorganisms are broadly distributed and able to decay different food  
48 commodities, such as wine, cheese, vinegar, juices, fruits, sugar and meat  
49 (Gammariello, Conte, Lucera, Mastromatteo, & Del Nobile, 2014). Chemical  
50 preservatives have been extensively used in recent years to control fungi development.  
51 Nevertheless, their negative consumer perception and changes in national regulations  
52 have forced food manufacturers to produce food commodities free of chemical additives  
53 (Ribes, Fuentes, Talens, & Barat, 2016).

54 Essential oils (EOs) are natural volatile compounds from aromatic plants with a  
55 strong odour (Burt, 2004). Many EOs have been generally recognised as safe (GRAS)  
56 by the Food and Drug Administration (FDA) in 21 Code of Federal Regulations 182.20  
57 (CFR, 2015). Their high content in phenolic derivatives, e.g., eugenol and thymol, etc.  
58 (Abbaszadeh, Sharifzadeh, Shokri, Khosravi, & Abbaszadeh, 2014; Zabka & Pavela,  
59 2013), make the antifungal properties of EOs a good alternative to synthetic chemical  
60 preservatives. Eugenol is a naturally-occurring phenol extracted from buds and leaves of  
61 clove (Ribes et al., 2016) that is effective against fungi due to cytoplasmic membrane  
62 disturbance (Mihai & Popa, 2015). Thymol is the main monoterpene phenol found in  
63 the EOs extracted from *Lamiaceae* family plants, with strong antifungal activity against  
64 a wide range of fungal microorganisms, including *Aspergillus* and *Penicillium* species,  
65 among others (Klarić, Kosalec, Mastelić, Piecková, & Pepeljnak, 2006). However, the  
66 concentration of both compounds required to control fungal decay in foods modifies the  
67 food product's sensory profile given their strong flavour. For this reason, research that

68 seeks for alternatives to minimise the sensorial impact of EOs on food products that do  
69 not diminish their antimicrobial effectiveness are very important. A potential approach  
70 is the immobilisation bioactive compounds from EOs on surfaces.

71 Among the potential supports to immobilise active molecules, siliceous materials  
72 like mesoporous silica particles are very promising thanks to their unique features, such  
73 as stability, biocompatibility and large load capacity (Bernardos & Kourimska, 2013).  
74 In this context, MCM-41 (Mobil Composition of Matter) is a member of the  
75 mesoporous materials' family according to the IUPAC (International Union of Pure and  
76 Applied Chemistry) classification (Dünder-Tekkaya & Yürüm, 2016), known to have a  
77 large specific surface and specific volume, and is easy to functionalise and highly  
78 stable. MCM-41-based materials have also been reported to resist harsh conditions of  
79 the stomach, acid matrices and microbial action (Pérez-Esteve, Ruiz-Rico, Martínez-  
80 Mániz, & Barat, 2015b). The chemical formula for MCM-41 is  $\text{SiO}_2$ , which is a  
81 common additive (E551) in the food industry (Barahona, Ojea-Jimenez, Geiss,  
82 Gilliland, & Barrero-Moreno, 2016). Given their easy preparation and properties,  
83 MCM-41 particles have been used in the present work as promising silica supports  
84 where bioactive agents can be immobilised.

85 The main purpose of this work was to investigate the feasibility of immobilised  
86 eugenol and thymol on mesoporous silica particles (MCM-41 family) as an antifungal  
87 system, and to study their antifungal effectiveness and sensory impact of the materials  
88 on strawberry jam.

89

90

## 91 2. Materials and methods

### 92 2.1 Microbial strains, culture media and chemicals

93 Strains *Aspergillus flavus* (CECT 20156), *Aspergillus niger* (CECT 20156),  
94 *Penicillium expansum* (CECT 20140), *Zygosaccharomyces rouxii* (CECT 1229) and  
95 *Zygosaccharomyces bailii* (CECT 12001) were used as test microorganisms, and were  
96 supplied by the Spanish Type Culture Collection (CECT, Burjassot, Spain). For mould  
97 species, potato dextrose agar (PDA) and potato dextrose broth (PDB) were used, while  
98 yeast peptone dextrose broth (YPDB) and agar were employed for the yeast species. All  
99 the culture media were purchased from Scharlab (Barcelona, Spain).

100 Eugenol (99% w/w) and thymol ( $\geq 99\%$  w/w) were provided by Sigma-Aldrich  
101 (Madrid, Spain). For the synthesis of MCM-41 microparticles and the derivatisation and  
102 immobilisation of the antifungal compounds, *N*-cetyltrimethylammonium bromide  
103 (CTABr), sodium hydroxide (NaOH), triethanolamine (TEAH<sub>3</sub>), tetraethylorthosilicate  
104 (TEOS), (3-Aminopropyl)triethoxysilane (APTES), trimethylamine, paraformaldehyde,  
105 diethyl ether, chloroform, n-butanone, dimethyl sulfoxide (provide all of them by  
106 Sigma-Aldrich, Madrid, Spain), acetonitrile, hydrochloric acid (HCl), magnesium  
107 sulphate (MgSO<sub>4</sub>), potassium hydroxide (KOH) and sulfuric acid (H<sub>2</sub>SO<sub>4</sub>) (Scharlab,  
108 Barcelona, Spain) were employed.

### 109 2.2 Antifungal activity of free eugenol and thymol

110 Free bioactive compounds eugenol and thymol were individually examined against  
111 *A. flavus*, *A. niger* and *P. expansum*, as described by Manso, Cacho-Nerin, Becerril,  
112 and Nerín (2013) with minor modifications. Spore suspensions of 10<sup>6</sup> CFU/mL were  
113 prepared in NaCl (0.7% w/v) and Tween 80 (0.1% w/v), and confirmed using a

114 hemacytometer. MIC (Minimal Inhibitory Concentration) values were obtained by  
115 macrodilution in Erlenmeyer flasks that contained 15 mL of PDB and 1% (w/v) of  
116 Tween 80 to secure the total active compounds dispersions. A solution of 1,000 mg/kg  
117 of thymol was obtained by dissolving the appropriate amount in dimethyl sulfoxide.  
118 Different concentrations of bioactive compounds were tested: 0.1, 0.2, 0.3 and 0.4  
119 mg/mL. The control samples, with no antifungal agents, were prepared following the  
120 same procedure. Each Erlenmeyer flask that contained free eugenol and thymol were  
121 inoculated with 100  $\mu$ L of the spore suspension and incubated under orbital stirring (180  
122 rpm) at 25 °C for 72 h. The results were expressed as log CFU/mL.

123 After incubation, the lowest eugenol and thymol non-growth concentration was  
124 established as the MIC. To determine the minimal fungicidal concentration (MFC), 100  
125  $\mu$ L of the non-growth suspensions were seeded onto Petri plates prepared with 15 g of  
126 PDA. MFC was defined as the lowest concentration at which no colonies developed  
127 after 72 h of incubation at 25 °C.

128 The antifungal effectiveness of free eugenol and thymol against *Z. rouxii* and *Z.*  
129 *bailii* was also evaluated, for which the methodology followed was similar to that  
130 described in the mould assays. Cell suspensions of  $10^6$  CFU/mL were prepared in  
131 Tween 80 (0.1 % w/v), and confirmed using a hemacytometer. The MIC values were  
132 obtained by macrodilution in Erlenmeyer flasks that contained 15 mL of YPDB and 1%  
133 (w/v) of Tween 80 to secure the total bioactive compounds dispersions. The preparation  
134 of the thymol solution and the control samples, and the concentration of the tested  
135 bioactive agents, were the same as those previously described. Each Erlenmeyer flask  
136 that contained free eugenol and thymol was inoculated with 100  $\mu$ L of  $10^6$  CFU/mL,

137 and incubated under orbital stirring (180 rpm) for 48 h at 25 °C. The results were  
138 expressed as log CFU/mL.

139 After incubation, the MIC and the MFC values were determined as described above  
140 for moulds, but by employing YPD agar as the culture media. All the tests were  
141 conducted in triplicate.

## 142 2.3 Study of mesoporous silica particles

### 143 2.3.1 Synthesis of MCM-41 microparticles

144 Synthesis of the mesoporous MCM-41 microparticles was carried out using the so-  
145 called “atrane route” described by Pérez-Esteve et al. (2015a). The molar ratio of the  
146 reagents was fixed at 7 TEAH<sub>3</sub>: 2 TEOS:0.52 CTABr:0.5 NaOH:180 H<sub>2</sub>O. To this end,  
147 TEAH<sub>3</sub> and NaOH solution were stirred vigorously at 120 °C. After lowering the  
148 temperature to 70 °C, TEOS were slowly added to control silica condensation, and  
149 stirred to reach 118 °C. Afterwards, CTABr were added to the solution until completely  
150 dissolved, which allowed the incorporation of deionised water, which was vigorously  
151 stirred at 70 °C. This step led to the formation of a white suspension, which was aged at  
152 100 °C for 24 h. The obtained solid was washed with deionised water and ethanol until  
153 pH 7, and then dried at 70 °C. Finally, the as-synthesised solid was calcined at 550 °C  
154 for 5 h to remove the surfactant molecules.

### 155 2.3.2 Derivatisation of the bioactive compounds

156 Eugenol and thymol aldehydes were prepared by preserving the presence of their  
157 hydroxyl group given the important role that these hydroxyl moieties play in antifungal  
158 activity (Ahmad et al., 2011; Rao, Zhang, Muend, & Rao, 2010). The eugenol aldehyde  
159 was obtained by a Reimer-Tiemann reaction. For this purpose, 150 mL of water at 80



160 °C were used to dissolve 22 mmol of eugenol. Afterwards, the temperature was lowered  
161 to 60 °C, and 400 mmol of KOH and 88 mmol of chloroform were added. The last  
162 reagent was incorporated at a ratio of 1 mL/h for 7 h due to the exothermic character of  
163 this reaction. The reaction mixture was kept at 60 °C for 8 h. Finally, 50 mL of H<sub>2</sub>SO<sub>4</sub>  
164 (10% v/v) were added and the mixture was extracted using n-butanone. The organic  
165 phase was rotavapored at reduced pressure to obtain the eugenol aldehyde.

166 The thymol aldehyde was synthesised by mixing 40 mmol of thymol, 150 mL of  
167 acetonitrile, 150 mmol of trimethylamine and 40 mmol of MgSO<sub>4</sub>. This mixture was  
168 stirred for 15 min at room temperature in an argon atmosphere. Then 270 mmol of  
169 paraformaldehyde were added to the mixture and refluxed for 3.5 h at 83 °C. After  
170 cooling the solution, it was acidified using 320 mL of HCl (5% v/v) and stirred for 15  
171 min at room temperature in an argon atmosphere. The organic phase was extracted  
172 using diethyl ether, and then removing the volatiles at reduced pressure. The reaction  
173 yield was calculated by <sup>1</sup>H NMR in a Bruker AV400 spectrometer (Bruker Daltonik  
174 GmbH, Bremen, Germany) which operated at room temperature.

175

### 176 *2.3.3 Immobilisation of the bioactive compounds on the surface of MCM-41* 177 *microparticles*

178 The immobilisation of the eugenol and thymol aldehydes on the surface of MCM-  
179 41 microparticles was carried out through the synthesis of the corresponding  
180 alkoxy silane derivatives. The eugenol or thymol aldehyde (2 mL) was mixed with 20  
181 mL of dichloromethane, 2.3 mL (10 mmol) of APTES and MgSO<sub>4</sub>. The solution was  
182 stirred for 1 h at 38 °C in reflux. The mixture was filtered and the organic phase was  
183 removed at reduced pressure to obtain the corresponding eugenol or thymol

184 alkoxy silane derivative. Then 1 g of the MCM-41, 30 mL of acetonitrile and an excess  
185 of the corresponding alkoxy silane derivatives were stirred for 5.5 h at room  
186 temperature. Solids were filtered, washed with acetonitrile and dried for 24 h at low  
187 pressure.

#### 188 *2.3.4 Characterisation of MCM-41 microparticles*

189 The characterisation of the microparticulated MCM-41 (bare and functionalised with  
190 eugenol and thymol) was performed by particle size distribution,  $\zeta$ -potential, field  
191 emission scanning electron microscopy (FESEM), thermogravimetric analyses (TGA)  
192 and an elemental analysis.

193 Particle size distribution was determined in deionised water using a laser  
194 diffractometer (Mastersizer 2000, Malvern Instruments, Worcestershire, UK), and  
195 applying the Mie theory (refractive index of 1.45, absorption index of 0.1). The  $\zeta$ -  
196 potential analysis was run in a Zetasizer Nano ZS (Malvern Instruments,  
197 Worcestershire, UK). Samples were diluted with deionised water (1 mg/mL) and  
198 sonicated before being measured. The Smoluchowsky mathematical model was used to  
199 convert the electrophoretic mobility measurements into  $\zeta$ -potential values. Particle size  
200 distribution and the  $\zeta$ -potential analysis were performed in triplicate. FESEM images  
201 were obtained by a Zeiss Ultra 55 (Carl Zeiss NTS GmbH, Oberkochen, Germany) and  
202 observed in the secondary electron mode. Thermogravimetric analyses were carried out  
203 on a TGA/SDTA 851e balance (Mettler Toledo, Columbus, USA), from 25 to 1,000 °C  
204 with a heating rate of 10 °C/min in an oxidant atmosphere (air, 80 mL/min). An  
205 elemental analysis for C, H, and N was performed by a combustion analysis in a  
206 CHNOS model Vario EL III (Elemental Analyses System GMHB, Langenselbold,  
207 Germany).

208 2.3.5 Antifungal activity of eugenol and thymol immobilised on the surface of MCM-41  
209 microparticles

210 The evaluation of the antifungal activity of the immobilised bioactive agents  
211 against *A. flavus*, *A. niger*, *P. expansum*, *Z. rouxii* and *Z. bailii* was made by the  
212 methodology described in Section 2.2. The concentration of the MCM-41  
213 microparticles functionalised with eugenol or thymol was established based on the  
214 thermogravimetric and elemental analyses to add equal amounts of eugenol and thymol  
215 (0, 0.1, 0.2, 0.3 and 0.4 mg/mL) to the media than to the free samples. By these means,  
216 the comparison of the antifungal activity between the pure and immobilised bioactive  
217 agents was made. The elemental analysis data revealed that the content of the eugenol  
218 and thymol immobilised on the surface of MCM-41 microparticles was 65 mg/g solid  
219 and 76 mg/g solid, respectively. Positive controls were prepared with bare MCM-41 and  
220 1% (w/v) of Tween 80. The results were expressed as log CFU/mL. Each assay was  
221 performed in triplicate.

222

223 2.4 Study of the influence of MCM-41 microparticles functionalised with eugenol and  
224 thymol in strawberry jam

225 To evaluate the *in vivo* antifungal effect of the bioactive agents immobilised on the  
226 surface of MCM-41 microparticles, strawberry jams were prepared and inoculated with  
227 two representative microorganisms. *A. niger* was selected as a mould for its frequent  
228 isolation in strawberries, while *Z. bailii* was used as a target yeast for its ability to grow  
229 in high sugar products (60% w/w) and at a low pH (Stratford, Steels, Nebe-von-Caron,  
230 Novodvorska, Hayer, & Archer 2013). The concentrations of the free and immobilised

231 eugenol and thymol on MCM-41 were selected according to the MFCs determined in  
232 the *in vitro* assays.

#### 233 2.4.1 Jam preparation

234 Jam preparation was obtained according to the procedure reported by Ribes et al.  
235 (2016). Strawberry jam was obtained by mixing fruit and sugar in a ratio of 65:35 and  
236 cooked at 100 °C for 30 min to reach a 60 °Brix in the product as described in the  
237 Spanish quality regulation for fruit jam (BOE, 2003). This process was carried out in an  
238 electrical food processor (Thermomix TM 31, Vorwerk M.S.L, Spain). The free and  
239 immobilised eugenol and thymol, as well as the bare MCM-41 microparticles, were  
240 added to 15 g of strawberry jams, once suspended in 1% (w/v) of Tween 80 in order to  
241 ensure the complete distribution of the agents, at ambient temperature and then  
242 homogenising adequately by using a sterilised spatula for 1 min.

#### 243 2.4.2 Antifungal effectiveness in strawberry jam

244 The *in vivo* antifungal effectiveness of the free and immobilised bioactive agents  
245 against *A. niger* and *Z. bailii* was examined by the methodology described by Ribes et  
246 al. (2016). Fifteen grams of strawberry jam (control, control with bare MCM-41  
247 microparticles, free bioactive agents and bioactive agents immobilised on MCM-41)  
248 were inoculated with 100 µL of the fungi solution ( $10^6$  CFU/mL) and incubated at 25  
249 °C for 28 days.

250 At each analysis day, 10 grams of every sample were placed in sterile plastic bags  
251 containing 90 mL of tryptone phosphate water and homogenised for 1 min in a  
252 Stomacher blender (Masticator IUL, S.A. Instruments, Germany). Serial dilutions were  
253 prepared and 0.1 mL were spread on the surface of the agar plates. Three Petri dishes

254 were prepared per formulation, microorganism and analysis day, plus the control  
255 samples (n=120). *A. niger* and *Z. bailii* counts were done on PDA and YPD agar plates,  
256 respectively, after a 72-hour incubation at 25 °C (Pascual & Calderón, 2000). All the  
257 assays were performed in triplicate.

#### 258 2.4.3 Sensory evaluation

259 A sensory analysis was carried out to evaluate the feasibility of immobilisation to  
260 reduce the impact provoked by eugenol and thymol on strawberry jam (ISO 4121:  
261 2003). For this purpose, a panel of 12 trained judges participated in this study. Panellists  
262 were trained during preliminary sessions to identify the typical aromas of eugenol and  
263 thymol, calibrated using aqueous solutions of these compounds at different  
264 concentrations (0, 0.1, 0.2, 0.3 and 0.5 mg of the bioactive compound per g of solution)  
265 (ISO 8586: 2012). During the assessment, a 5-point aroma intensity scale was used:  
266 from 0, no descriptor, to 5, extremely intense. Each panellist evaluated the intensity  
267 aroma of eugenol or thymol on strawberry jam samples, which contained these  
268 compounds that were free and immobilised on the MCM-41 surface. The concentrations  
269 of the free and immobilised eugenol and thymol were selected according to the MFCs  
270 determined in the *in vitro* assays.

271 In order to quantify the effect of immobilisation to reduce the effect of bioactive  
272 compounds on strawberry jam aroma, the rates given to the samples with the  
273 immobilised compound were compared to those given to strawberry jam with free  
274 eugenol and thymol at the same concentration.

275

276

## 277 2.5 Statistical analysis

278 The results obtained in the *in vitro* and *in vivo* tests to evaluate the antifungal  
279 activity of the free and immobilised eugenol and thymol were analysed by a multifactor  
280 analysis of variance (multifactor ANOVA). The characterisation of the mesoporous  
281 silica particles and the sensory analysis were evaluated by a one-way ANOVA. The  
282 least significance procedure (LSD) was employed to test for differences between  
283 averages at the 5% significance level. Data were statistically processed by Statgraphics  
284 Centurion XVI.

## 285 **3. Results and discussion**

### 286 3.1 Antifungal activity of free eugenol and thymol

287 The counts of *A. flavus*, *A. niger* and *P. expansum*, *Z. bailii* and *Z. rouxii* after free  
288 eugenol and thymol treatment are shown in Figure 1. Both the bioactive compounds  
289 showed significant ( $p < 0.05$ ) antifungal activity, which affected fungi growth in a dose-  
290 dependent manner. With eugenol, the use of 0.3 mg/mL led to a reduction of between 3  
291 and 5 log-cycles for the *Aspergillus* and *Zygosaccharomyces* genera after 72 h and 48 h,  
292 respectively, of its inoculation. The growth inhibition of *A. flavus*, *A. niger*, *Z. bailii* and  
293 *Z. rouxii* was achieved by employing 0.4 mg/mL of eugenol (MFC). With *P. expansum*,  
294 inhibition was attained by using 0.2 mg/mL of eugenol, and this concentration was the  
295 MFC.

296 When 0.2 mg/mL of thymol were added to the media, a reduction of between 3 and  
297 4 log-cycles took place for the *Aspergillus* and *Zygosaccharomyces* genera after 72 h  
298 and 48 h, respectively, of its inoculation. Thymol inhibited the growth of all the target  
299 microorganisms tested at 0.4 mg/mL, which corresponded to the MFC value.

300 It is worth mentioning that the discontinuous horizontal line indicates the initial  
301 counts (CFU/mL) (Figure 1). Above this line, no antifungal effect was achieved, while  
302 this line indicated the fungistatic activity of free eugenol or thymol. In addition, below  
303 the discontinuous line a fungicidal effect is observed. Taking it into account, a  
304 significant ( $p < 0.05$ ) fungicidal effect was exhibited when using  $\geq 0.3$  mg/mL of  
305 eugenol and thymol for *A. niger*, *Z. bailii* and *Z. rouxii*, which was stronger for thymol.  
306 Indeed, the fungicidal effect of thymol against *A. flavus* was evidenced when 0.2  
307 mg/mL were employed. For *P. expansum*, the treatment with 0.1 and 0.2 mg/mL of  
308 eugenol and thymol, respectively, showed clear fungistatic activity.

309 The differences in the molecular structure of both the antifungal agents most likely  
310 determine their antifungal effectiveness. The hydroxyl group present in thymol is  
311 responsible for the strong ability to dissolve and accumulate in the cell membrane, and  
312 lead to its destabilisation due to marked proton transfer disruption (Ahmad et al., 2011;  
313 Rao et al., 2010). Furthermore, the generally weaker antifungal activity of eugenol at  
314 low concentrations could be related to its lower hydrophobicity, and also to the presence  
315 of a methoxyl group in orthoposition, which diminished its ability to release a proton  
316 from the hydroxyl group (Ben Arfa, Combes, Preziosi-Belloy, Gontard, & Chalier,  
317 2006). Similar results have been obtained by Abbaszadeh, Sharifzadeh, Shokri,  
318 Khosravi, and Abbaszadeh (2014) when they applied eugenol as an alternative agent to  
319 control fungi development. However, the MFC values of thymol against the  
320 *Aspergillus*, *Penicillium* and *Zygosaccharomyces* species were lower than the data  
321 obtained in this study. Abbaszadeh et al. (2014) showed the influence of thymol with  
322 the MFC values of 150 and 250  $\mu\text{g/mL}$  against *A. flavus* and *A. niger*, respectively. In  
323 another study, Monu, Techathuvanan, Wallis, Critzer, and Davidson (2016) found that

324 eugenol and thymol inhibited *Z. bailii* growth at 200 mg/L. The differences between  
325 these findings and the results reported herein could be due to the strains selected, the  
326 type of assay employed and incubation times used.

327

### 328 3.2 Characterisation of the bare and functionalised MCM-41 microparticles

329 Antifungal microparticles were prepared by the immobilisation of eugenol and  
330 thymol on the surface of the MCM-41 support. In a first step, both bioactive compounds  
331 were reacted with APTES to obtain the corresponding trialkoxysilane derivative. The  
332 efficiency of the alkoxy silane derivatisation process was evaluated by the  $^1\text{H}$  NMR  
333 analysis. For the two bioactive agents, the product yield estimated from the  $^1\text{H}$  NMR  
334 spectra was 20-40%. The alkoxy silanes derivatives reacted in a second step with the  
335 silanol groups of the MCM-41 microparticles yielded the final functionalised solids.

336 Bare and functionalised MCM-41 microparticles were characterised by standard  
337 techniques. Table 1 summarises the  $d_{0.5}$  and the  $\zeta$ -potential values for the MCM-41  
338 microparticles (bare and immobilised with eugenol and thymol). The bare MCM-41  
339 microparticles showed a  $d_{0.5}$  of  $3.13 \pm 0.14$   $\mu\text{m}$ , whereas an increased particle mean  
340 diameter was obtained when the particles were functionalised with eugenol and thymol  
341 ( $4.37 \pm 0.12$  and  $4.1 \pm 0.2$   $\mu\text{m}$ , respectively).

342 The  $\zeta$ -potential values of the samples are provided in Table 1. The bare MCM-41  
343 microparticles had negative  $\zeta$ -potential values ( $-35.9 \pm 1.4$ ). After the immobilisation of  
344 eugenol and thymol on the mesoporous material surface, the  $\zeta$ -potential changed to  
345 weak negative or positive values in agreement with the functionalisation of the MCM-  
346 41 surface with eugenol and thymol. The change we noted in the  $\zeta$ -potential values upon  
347 functionalisation has also been observed by Pérez-Esteve et al. (2016) in mesoporous



348 silica supports loaded with folic acid and functionalised with amines, and also by  
349 Mathew et al. (2016) in succinamic acid functionalised MCM-41 particles.

350 Figure 2 shows the FESEM images of the bare and functionalised MCM-41. As seen,  
351 no changes on the surface of the mesoporous supports were detected when comparing  
352 the bare MCM-41 and the functionalised samples, which confirms that the  
353 immobilisation of eugenol and thymol on the surface did not affect the integrity of the  
354 mesoporous silica particles. As previously mentioned, the content of the eugenol and  
355 thymol immobilised on the surface of the MCM-41 microparticles, obtained from the  
356 thermogravimetric and elemental analyses, was 65 mg and 76 mg per gram of solid,  
357 respectively. These data were used to calculate the amount of MCM-41 functionalised  
358 with eugenol and thymol needed to provide an equivalent dose of bioactive compounds  
359 compared to the free molecule (Section 2.3.5).

360 3.3 Antifungal activity of eugenol and thymol immobilised on the surface of MCM-41  
361 microparticles

362 The antifungal activity of eugenol and thymol immobilised on the surface of MCM-  
363 41 against *A. flavus*, *A. niger*, *P. expansum*, *Z. bailii* and *Z. rouxii* is summarised in  
364 Figure 3.

365 No growth inhibition was observed in any mould and yeast strains in the presence  
366 of the bare MCM-41. These results agree with those obtained by Wehling et al. (2013),  
367 who also evaluated the antimicrobial activity of bare silica particles. In contrast, mould  
368 and yeast growth significantly reduced ( $p < 0.05$ ) in the presence of increasing amounts  
369 of MCM-41 functionalised with eugenol and thymol. The MCM-41 that contained  
370 eugenol as an antifungal agent exhibited greater effectiveness than the thymol

371 immobilised on the MCM-41 microparticles against all the evaluated fungi species.  
372 Growth of *P. expansum*, *Z. bailii* and *Z. rouxii* was inhibited by using 0.2 mg/mL of  
373 immobilised eugenol (MFC), whereas the genus *Aspergillus* presented less sensitivity at  
374 this concentration. The total inhibition of *A. flavus* and *A. niger* was attained at 0.3 and  
375 0.4 mg/mL of immobilised eugenol, respectively, which were the MFC concentrations.

376 Conversely, when MCM-41 functionalised with thymol was tested against all the  
377 target microorganisms, weak antifungal efficacy was observed at low thymol  
378 concentrations. The use of 0.2 mg/mL of immobilised thymol led to a reduction of  
379 between 2 and 4 log-cycles, whereas, the immobilised eugenol at the same  
380 concentration attained a 5 log reduction for *A. niger* and inhibited fungi development as  
381 in the case of *P. expansum*, *Z. bailii* and *Z. rouxii*. As previously mentioned, this  
382 tendency was not observed for *A. flavus* (Figure 3). Inhibition of *A. flavus* was observed  
383 when using 0.3 mg/mL of thymol immobilised on MCM-41, which corresponds to its  
384 MFC value. The MFC values for *A. niger*, *P. expansum*, *Z. bailii* and *Z. rouxii* were  
385 observed by using 0.4 mg/g of immobilised thymol.

386 In addition, a significant ( $p < 0.05$ ) fungicidal effect was observed when using  $\geq 0.2$   
387 mg/mL of eugenol and thymol immobilised on MCM-41 microparticles for *A. niger*, *P.*  
388 *expansum*, *Z. bailii* and *Z. rouxii*, and was stronger for eugenol. Moreover, the  
389 fungicidal effect of immobilised eugenol and thymol against *A. flavus* was exhibited  
390 when 0.3 mg/mL were utilised (Figure 3).

391 As far as we know, this is the first study that evaluate the antifungal efficacy of  
392 eugenol and thymol derivatives immobilised on the surface of microparticulated MCM-  
393 41 against the genera *Aspergillus*, *Penicillium* and *Zygosaccharomyces* have been  
394 reported.

395           When the results of the free and immobilised eugenol and thymol were compared, it  
396 was generally established that greater antifungal effectiveness was observed when these  
397 compounds were immobilised on the MCM-41 support. This could be due to: i) the  
398 intense antifungal activity of the MCM-41 microparticles after eugenol and thymol  
399 immobilisation due to the high density of the antifungal compound on the mesoporous  
400 material surface; and/or ii) the volatility reduction of bioactive agents when  
401 immobilised on the surface of MCM-41 microparticles.

402   3.4 Study of the influence of MCM-41 microparticles functionalised with eugenol and  
403 thymol in strawberry jam

404   3.4.1 *Antifungal effectiveness in strawberry jam*

405           The development of *A. niger* and *Z. bailii* in non-inoculated and inoculated  
406 strawberry jams stored at 25 °C for 28 days is shown in Figure 4. As noted, the jams  
407 prepared with free and immobilised eugenol exhibited no mould and yeast development  
408 throughout the evaluation period. On the contrary, the samples prepared with the free  
409 thymol and thymol-MCM-41 did not inhibit the fungal growth of the samples.  
410 However, it is noteworthy that the strawberry jams prepared with the free thymol, and  
411 inoculated with *A. niger* and *Z. bailii*, exhibited a more fungi development compared to  
412 the samples that contained the thymol-MCM-41 microparticles. These results agree with  
413 those reported in the *in vitro* antifungal assays (Section 3.3), where the antifungal  
414 effectiveness of the MCM-41 that contained the immobilised bioactive agents was  
415 enhanced.

416           Despite the same amount of the free and immobilised thymol being used in the jam  
417 samples and culture media against *A. niger* and *Z. bailii*, different antifungal activity

418 was observed. This could be related to the presence of antagonistic interactions with  
419 other ingredients, such as carbohydrates (Pitt & Hocking, 2009). Firouzi,  
420 Shekarforoush, Nazer, Borumand, and Jooyandeh (2007) reported that despite *in vitro*  
421 assays with EOs and their main components suggesting that compounds, such as  
422 oregano and nutmeg, displayed substantial antimicrobial activity, the amounts required  
423 when used in food systems increased (approx. 1–3% higher).

424

#### 425 3.4.2 Sensory evaluation

426 A sensory evaluation was carried out to test the feasibility of immobilisation to  
427 avoid the drawbacks of aromas when incorporating eugenol and thymol into food  
428 samples. Average scores of aroma intensity of free and immobilised bioactive agents are  
429 shown in Figure 5. Free bioactive agents (eugenol and thymol) incorporated to water  
430 samples exhibited the highest aroma intensity score, whereas the aroma of the same  
431 compounds in jam samples was perceived in a lower intensity. The immobilisation  
432 reduced the aroma intensity of both bioactive compounds, being this reduction higher  
433 for eugenol in water samples. In the case of immobilised agents added to jam samples,  
434 the scores were the lowest. No significant differences were observed between  
435 immobilised eugenol and thymol in jam samples. The comparison of the jam samples  
436 with the free and immobilised bioactive compounds established that immobilisation  
437 reduced the intensity of eugenol and thymol aromas evaluated by assessors more than  
438 92% and 96%, respectively. The assessment results indicated that, even though  
439 immobilisation could not completely eliminate the typical thymol and eugenol aroma in  
440 the jam samples, this technique was able to significantly reduce the aroma intensity of  
441 these compounds in strawberry jam. Thus, the results confirm the feasibility of

442 immobilisation as a technique to avoid the impact of eugenol and thymol on the sensory  
443 profile of food samples. This promising technique could be used with other substances  
444 that are not currently viable given their adverse impact on the sensory perception of  
445 applied foods.

#### 446 **4. Conclusion**

447 Free eugenol and thymol exhibit excellent properties as antifungal agents against  
448 several mould and yeast strains. When incorporated in their free form, eugenol induces  
449 better preservation of strawberry jam in terms of fungal spoilage compared to thymol.  
450 However, after immobilisation on MCM-41 microparticles, both bioactive agents have  
451 improved the antifungal properties and their impact on jam odour compared to the free  
452 compounds are weaker. These results suggest that the use of bioactive agents from  
453 plants immobilised on the surface of mesoporous silica materials acts as promising  
454 antifungal agents for controlling mould and yeast spoilage, and by diminishing the  
455 current industrial limitation due to their strong flavour at the same time.

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556 **Figure captions**

557 **Figure 1.** Microbial counts of moulds and yeasts against free eugenol and thymol at 25  
558 °C for 72 h and at 25 °C for 48 h, respectively. Mean value (n=3) ± standard deviation.  
559 The discontinuous horizontal line indicates the initial count (CFU/mL).

560 **Figure 2.** FESEM images of a) bare MCM-41, b) eugenol-MCM-41, c) thymol-MCM-  
561 41 microparticles.

562 **Figure 3.** Microbial counts of moulds and yeasts against immobilised eugenol and  
563 thymol at 25 °C for 72 h and at 25 °C for 48 h, respectively. Mean value (n=3) ±  
564 standard deviation. The discontinuous horizontal line indicates the initial CFU/mL.

565 **Figure 4.** Influence of the free and immobilised eugenol and thymol on the growth of  
566 (a) *Aspergillus niger* and (b) *Zygosaccharomyces bailii* in inoculated strawberry jams  
567 for 28 days at 25 °C. Mean values (n=3) ± standard deviation.

568 **Figure 5.** Average score of the sensory impact of free and immobilised eugenol and  
569 thymol in aqueous media or strawberry jam being 0: not perceived and 5: very high  
570 intense. Different letters indicates significant differences between samples ( $p < 0.05$ )  
571 (n=12).

572

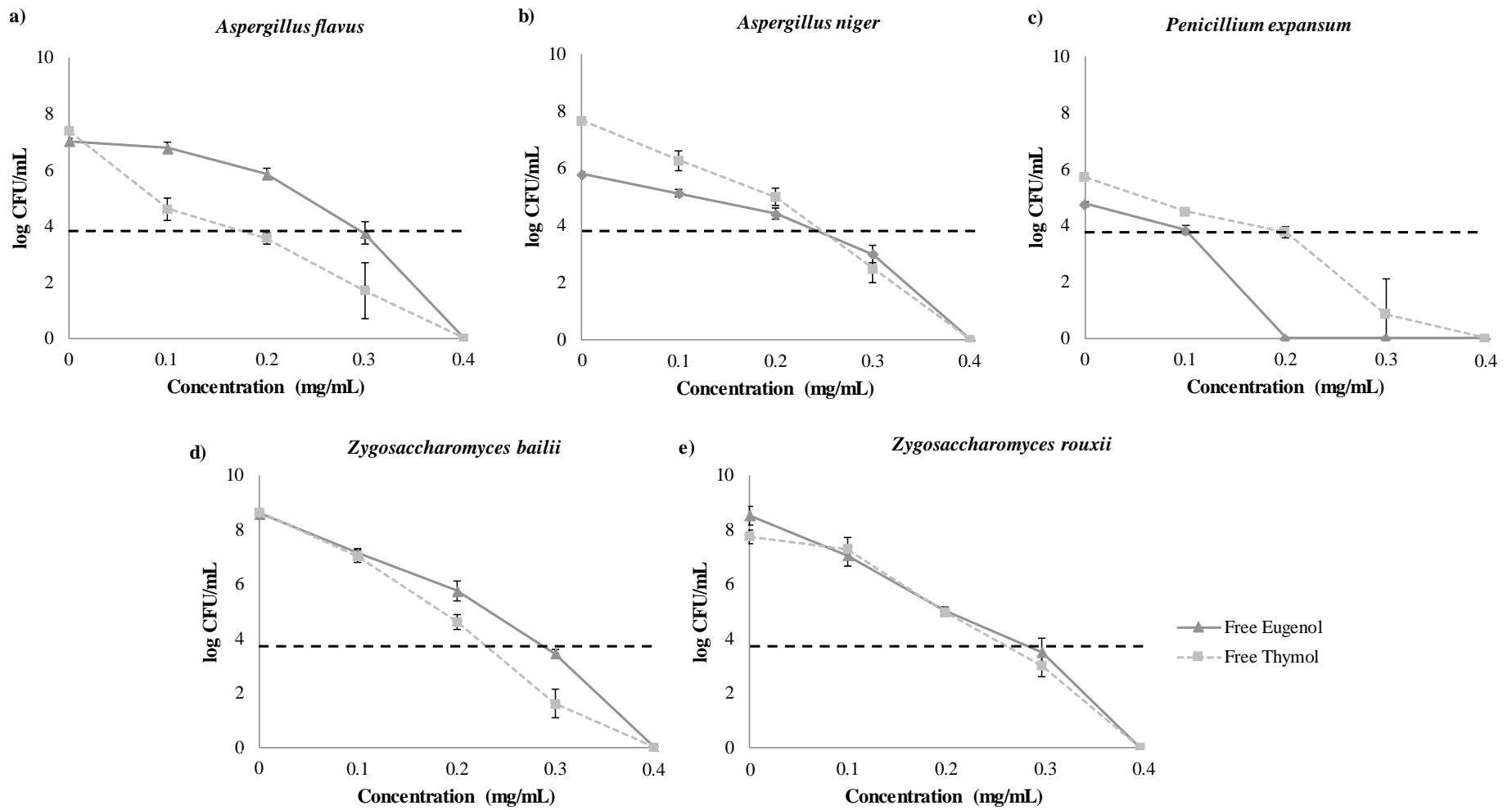
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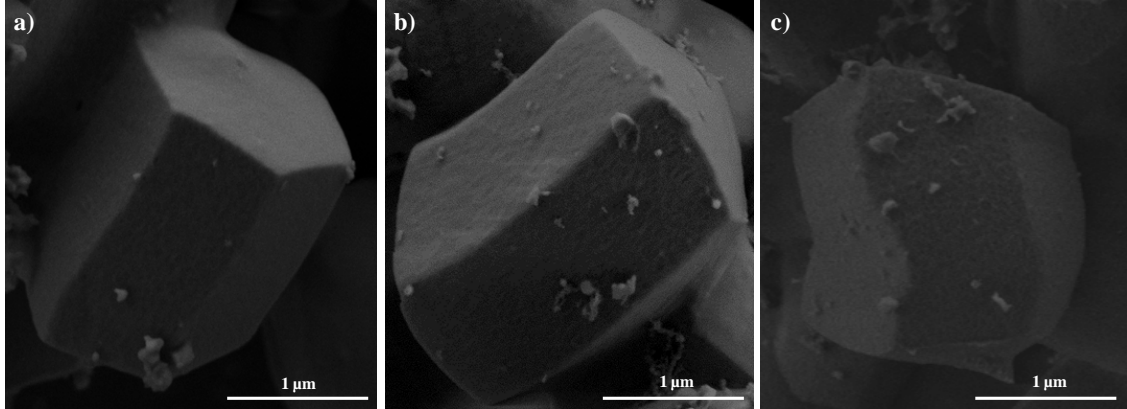
575 **Table captions**

576 **Table 1.** Particle size ( $d_{0.5}$ ) and  $\zeta$ -potential values of MCM-41 microparticles (bare) and  
577 with eugenol and thymol derivatives immobilised on its surface (Eugenol-MCM-41 and  
578 Thymol-MCM-41). Values are expressed as mean (n=3)  $\pm$  standard deviation.

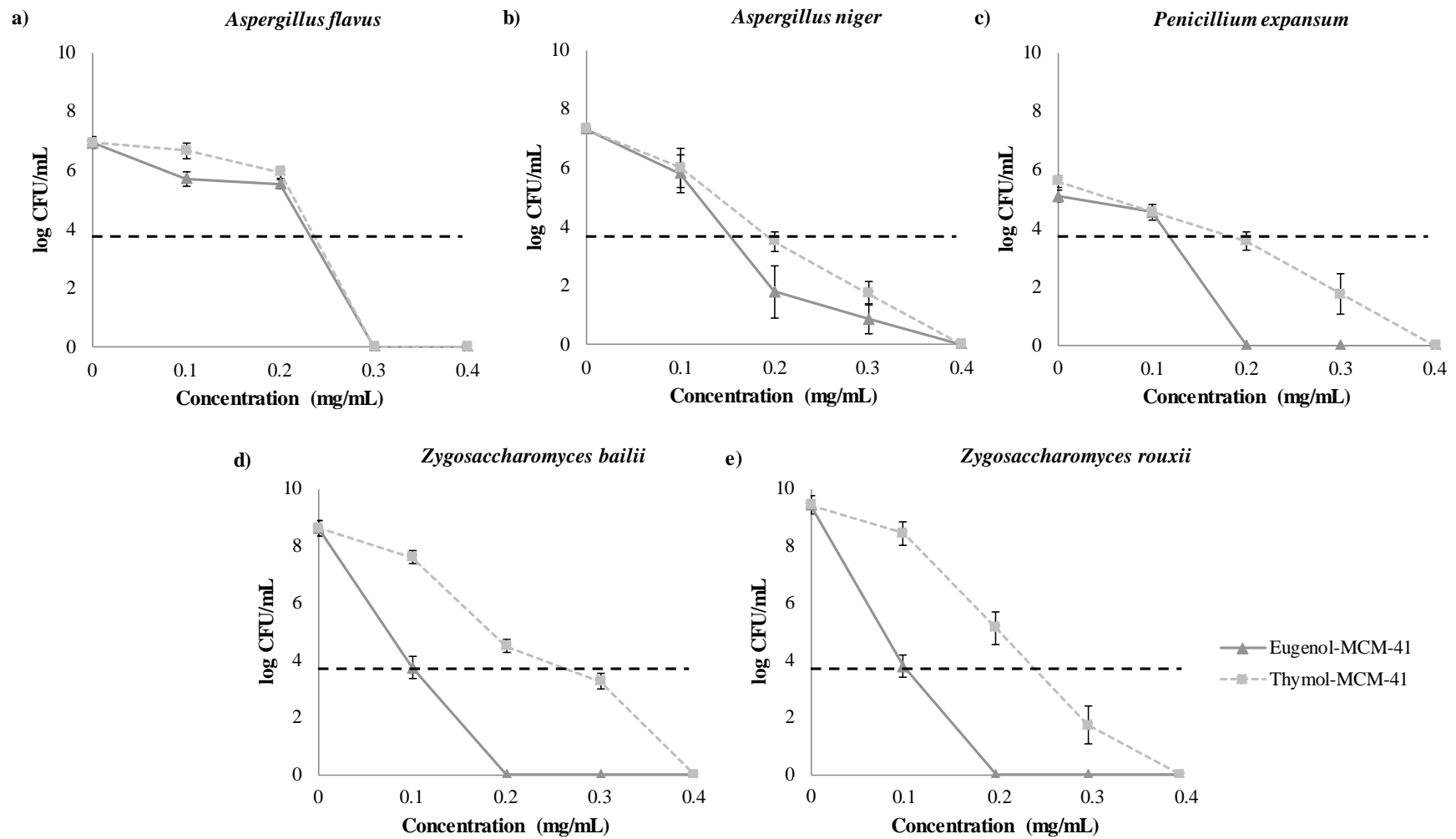
- Innovative antifungal systems with eugenol and thymol were studied
- Immobilisation of bioactive agents on MCM-41 enhances its antifungal action
- Eugenol and thymol immobilised on MCM-41 improves the drawbacks due to its flavour
- Eugenol and thymol immobilised on MCM-41 are promising antifungal agents



**Figure 1**



**Figure 2**



**Figure 3**

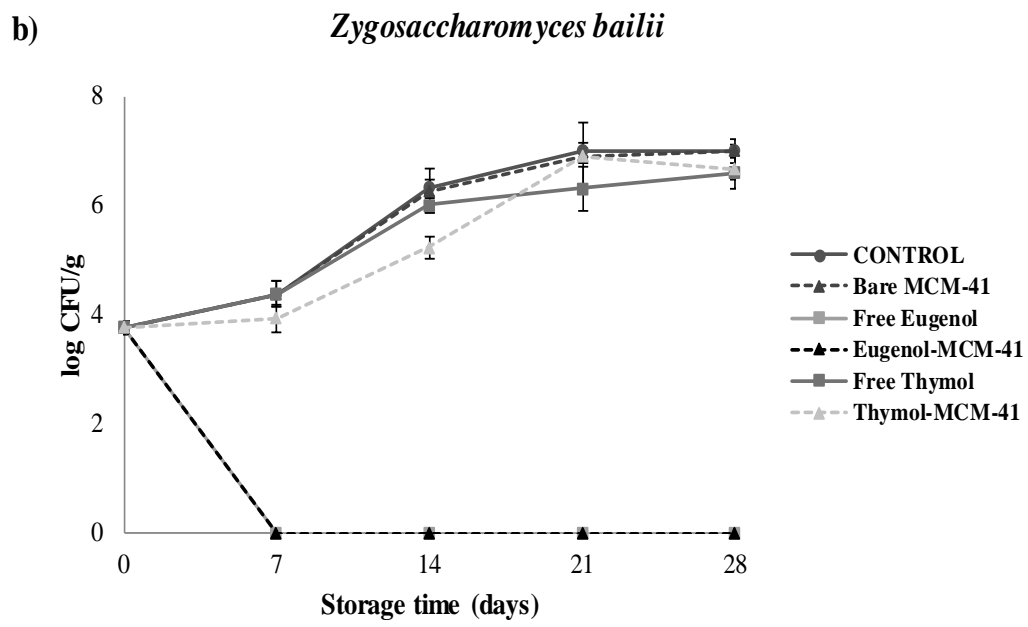
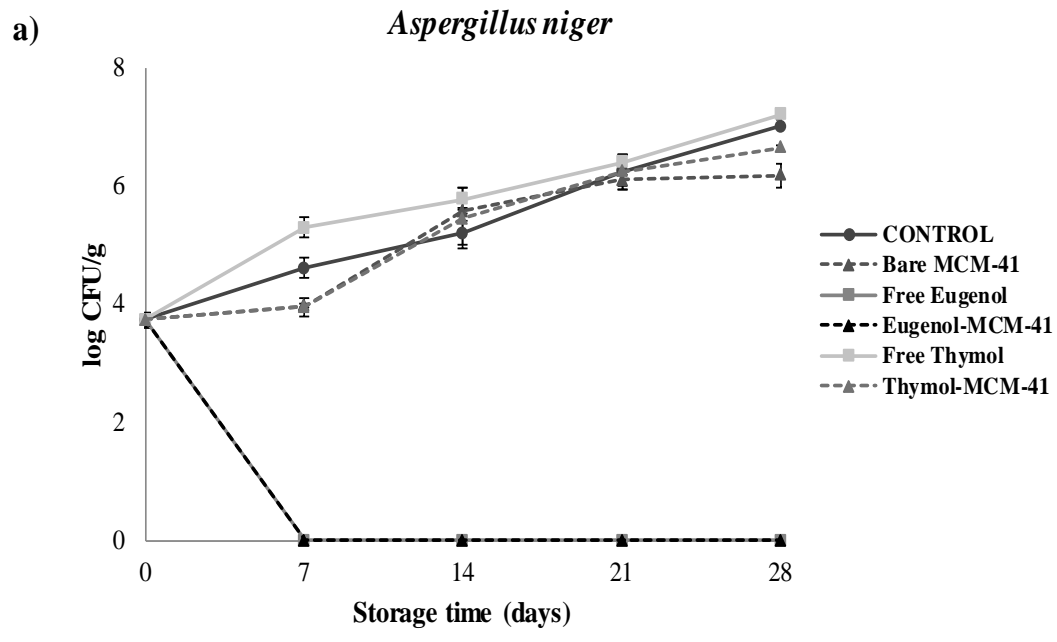
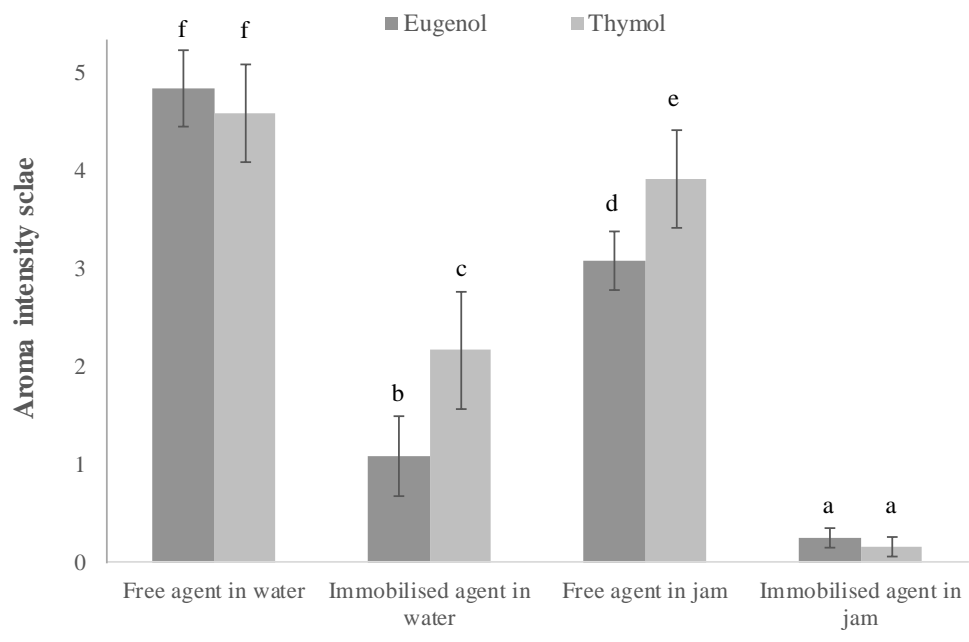


Figure 4





**Figure 5**

**Table 1**

<b>Sample</b>	<b><math>d_{0.5}</math> (<math>\mu\text{m}</math>)</b>	<b><math>\zeta</math>-potential (mV)</b>
Bare MCM-41	$3.13 \pm 0.14^a$	$-35.9 \pm 1.4^a$
Eugenol-MCM-41	$4.37 \pm 0.12^c$	$-0.4 \pm 0.4^b$
Thymol-MCM-41	$4.1 \pm 0.2^b$	$10.8 \pm 2.1^c$

<sup>a, b, c</sup> Different superscripts indicate differences among mesoporous silica materials.