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Additional Information

Graphene oxide as catalyst for the diastereoselective transfer hydrogenation in the synthesis of prostaglandin derivatives

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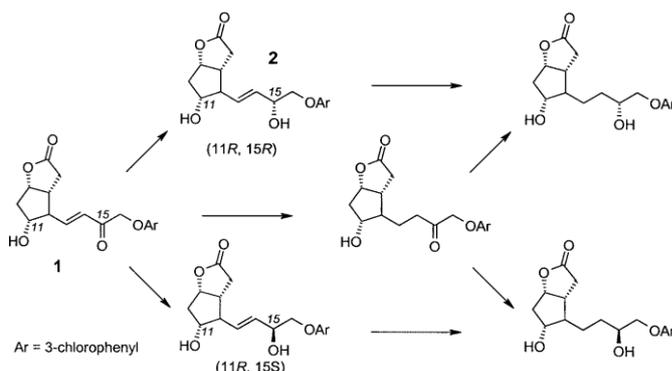
Modification of GO by organic molecules changes its catalytic activity in the hydrogen transfer from *i*-propanol to enones, affecting the selectivity to allyl alcohol and diastereoselectivity to the resulting stereoisomers. Noteworthy, the system does not contain metals and is recyclable.

The unprecedented development of pharmaceutical industries, currently based mostly on multi-step organic syntheses, came with the unwanted price of the huge amounts of harmful wastes produced with high environmental impact due to their biological activity. This situation entailed the need to apply green chemistry principles and, particularly, heterogeneous catalysis as alternative to stoichiometric reactions. Thus, many of the modern synthesis of the today pharmaceutical industry are based on catalytic reactions, but unfortunately many of them use precious metals as active components.¹ As a consequence, due to their limited abundance and low reserves, the use of noble or critical metals can become a bottleneck for the pharmaceutical industry, there being an interest in finding alternative sustainable catalysts.

Particularly, the high interest in the production of synthetic prostaglandins, with a wide range of medicinal applications, made us to develop not long ago noble metals (e.g., Ru, Ir, Pt) based catalysts for their synthesis. The hydrogenation of the lactonic enone intermediate used in the synthesis of the commercial drug Cloprostenol, a more stable structural analogue and with a more specific effect than natural PGF_{2α}'s can be promoted efficiently and in a highly stereoselective manner in the presence of these metallic catalysts.²

In the context of the need of the identification and development of sustainable alternatives to precious or critical metal catalysts, application of carbon materials as metal-free catalysts is becoming increasingly attractive for a large variety

of organic reactions^{3, 4} such as hydration⁵, Michael-type Friedel-Crafts⁶ aza-Michael addition⁷, polymerization⁸, oxidation⁵ and gas phase C-C multiple bond hydrogenations.⁹ With the aim to extend the applicability of such versatile materials also in the area of metal-free pharmaceutical syntheses, herein we report on the performance of graphene oxide (GO, 48.6 wt.% oxygen and 1.8 S content) in hydrogen transfer reduction of lactonic enone **1** ([3α,4α(E),5β,6αα]-(±)-4-[4-(3-chlorophenoxy)-3-oxo-1-butenyl]-hexahydro-5-hydroxy-2H-cyclopenta[b]furan-2-one) (Scheme 1) used in the synthesis of the commercial drug Cloprostenol. The use of metal-free catalysts others than GO for hydrogen transfer reduction of carbonyl compounds by isopropanol has been reported in the literature.¹⁰⁻¹²



Scheme 1. The possible products of the prostaglandin intermediate **1** reduction

Among the two possible diastereomers shown in Scheme 1, only the 15R form of the allylic alcohol (compound **2**) exhibits biological activity and has practical importance (note that the atom numbers 11 and 15 are in agreement with the structure of PGF_{2α}). Therefore, from practical considerations the synthesis should be chemoselective to the allylic alcohol and

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diastereoselective to its 15R form. However, as indicated in Scheme 1, other products besides the wanted 15R diastereomer can also be formed during the hydrogenation reaction.

Table 1 lists some results in the transfer hydrogenation of enone **1** using *i*-PrOH as transferring agent and GO as catalyst. Blank controls under the same conditions in the absence of catalyst did not allow to detect any enone **1** conversion.

In contrast to the blank experiment, Table 1 shows that for an enone/catalyst ratio of ca 1/1 wt. after 36 h (entry 4) more than 90% of the enone intermediate is converted. Even more important, for this high conversion, the selectivity to allylic alcohol (**2**) reached 50.4%. Increasing the enone/catalyst ratio to 2/1 wt. the conversion decreased from 39.6 to 21.7% (Table 1, entries 1 and 5), while the selectivity to allylic alcohol **2** increased from 17.5 to 27.5%. Lower temperature (i.e., room temperature) improved the selectivity to 31.5% in detriment to the enone conversion (9.1%, entry 6). Lower reaction temperature had also a beneficial effect increasing d.e. selectivity value.

Table 1. Catalytic performance of GO as hydrogen transfer catalyst in the transfer hydrogenation of enone **1**^a

Entry	Reaction time, h	Conv. %	Sallylic alcohol 2 , %	D.e., % / conf.
1	6	39.6	17.5	13.4/(11R, 15R)
2	12	51.0	29.3	Racemic
3	24	62.1	48.0	Racemic
4	36	94.2	50.4	Racemic
5 ^b	6	21.7	27.5	Racemic
6 ^c	24	9.1	31.5	77.8/(11R, 15S)

^a Reaction conditions: 30 mg GO, 28 mg enone, 5 mL *i*-PrOH, 80 °C; ^b 7 mg GO, 14 mg enone, 2.5 mL *i*-propanol 80 °C; ^c 7 mg GO, 14 mg enone, 2.5 mL *i*-PrOH, r. t..

The chemoselectivity to product **2** increases in time (Table 1, entries 1-4), a fact that might be related to alternation of the proportion of active sites in GO during the course of the reaction due to the reductive conditions during the hydrogenation reaction. This phenomenon, also known as “*reaction-induced selectivity improvement*”, has previously been described in literature¹³ and also observed and reported by some of us¹⁴ in the Meerwein-Ponndorf-Verley reaction using PtSn_x alloys as catalysts. A lower reaction temperature has also as effect an increased diastereoselectivity from racemic to 77.8% (epi-like diastereomer).

There exist on the surface of GO[†] different functional groups, including epoxide, hydroxyl and ether groups on the basal plane and carboxylic acids, quinones, at the edges or defect sites, all these groups summing 42.1 wt% of oxygen.⁹ Besides these, aromatic C-H at the edges or defect sites and small aromatic domains (2-3 nm) isolated within the sp³ C-O matrix (Figure 1)¹⁵ are also present. While carboxylic and hydroxylic groups display acidic properties, ethers and carbonyls are neutral or may form basic structures the nature of which is still open to debate, such as quinone groups.¹⁶ In addition, the π-electron system of the basal planes contributes to the carbon

basicity.¹⁷ The GO surface picture is completed by taking also into consideration the possible existence of metal impurities in trace amounts levels, particularly of Mn (150±23 ppm) and Fe (8±2 ppm), as determined by quantitative chemical analysis.⁹

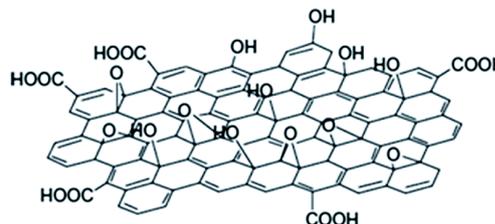
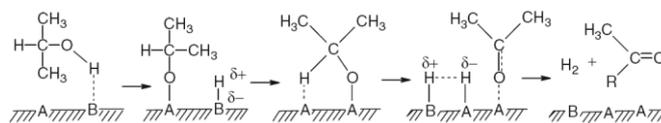


Figure 1. Functional groups on the surface of GO¹²

The conversion of alcohols on carbon catalysts has been studied most extensively.¹⁸ Clearly, *i*-propanol suffers simultaneous dehydration and dehydrogenation processes, due to the presence of carboxylic groups and Lewis acid-base pairs on the GO surface, the catalytic activity being controlled by the number and strength of the functional groups. On the basis of this known activity of Lewis acid–base pairs acting as metal-free hydrogenation/dehydrogenation catalysts, a reasonable proposal to rationalize the catalytic activity of the GO as hydrogen transfer catalysts would be the existence on the GO layer of similar type of frustrated Lewis acid–base pairs located on the sheet at an adequate distance to promote the *i*-propanol dehydrogenation with the simultaneous formation of H⁺-like and H⁻-like sites (Scheme 2). NH₃-TPD measurements of GO catalyst confirmed the presence of the strong acid sites (Figure S11). Based on prior studies showing the catalytic activity of hydrogensulfate and sulfonic groups introduced in the GO sheet due to the use of strong sulfuric acid in the formation of graphite oxide from graphite,¹⁹⁻²⁰ it is proposed also that these S containing groups are the ones contributing to the observed catalytic activity.



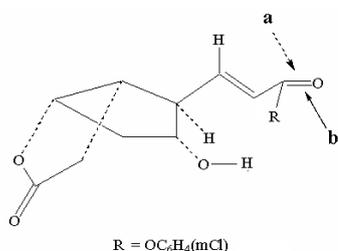
Scheme 2. Proposed dehydrogenation mechanism of secondary alcohols due to the presence of frustrated Lewis acid-base pairs.

Accordingly, it seems that the reaction mechanism should involve the uptake of H₂ from *i*-propanol on the GO sheet, probably as hydride and proton that subsequently would transfer to the enone **1**. Evidences for the existence of such sites are detailed in ref.⁹

The stereospecificity of the reduction of the carbonyl group would require a preferential direction of attack of the nucleophilic hydrogen (axis a or b) present on the catalyst surface and the existence of a single conformation in the

enone (Scheme 3)² Corey et al.²¹ demonstrated that in order to observe a preferential direction of the attack of hydride to the carbonyl group, leading to the formation of (11R, 15R)–natural type configuration a large steric hindrance to avoid the hydrogen attack on “b” direction is not sufficient and that a preferential *cis* enone conformation is also necessary.

To gain some information about the possible role of acid and bases, a series of control experiments were carried out. By adding acetic acid or pivalic acid (trimethylacetic acid) into the liquid phase the enone conversion was highly improved while the selectivity to **2** highly decreased. However, while acetic acid lead to a racemic mixture of the two stereoisomers, the steric hindrance generated by the pivalic acid-enone interaction controls the stereoselectivity in the favor of the natural-like configuration (d.e. = 100 %, Table 2, entries 3 and 4). However, the increase of the reaction time from 6 to 24 h led to a depletion of the selectivity to allylic alcohol from 29% to only 3.5%, due to the subsequent hydrogenation of the C=C double bond.



Scheme 3. Possible directions “a” or “b” of the hydrogen attack to prostaglandin precursor.

Contrarily, the butylamine-enone interactions do not influence significantly the interaction of substrate **1** with the GO surface, the catalytic efficiency being more or less similar with that in the absence of butylamine (Table 2, entries 1 and 5). However, replacing butylamine by pyridine produced remarkable results both in terms of activity and selectivity to allylic alcohols and also in terms of diastereoselectivity (Table 2, entry 9). Accordingly, the chemoselectivity to allylic alcohol **2** increased to 79.2% for an enone conversion of 89 %, while the d.e. to natural-like configuration (*ie*, (11R, 15R)) was 91.1%. Then, replacing GO catalyst by *p*-toluenesulfonic acid (TsOH), a typical Brønsted acid, afforded an increase of both enone conversion and selectivity to allylic alcohol from 9.1 % (Table 2, entry 1) to 93.6% (7 mg *p*-toluenesulfonic acid, 14 mg enone, 2.5 mL *i*-propanol, room temperature, 24 h). However the d.e. with *p*-toluenesulfonic acid was of only 73.6%.

Since TsOH is insoluble in *i*-propanol, the enhancement of the catalytic performance is exclusively due to the presence of Brønsted acid sites onto the catalytic system. The addition of pyridine to *p*-toluenesulfonic acid led to a decrease of the selectivity to allylic alcohol **2** to 58.8% for a conversion of

enone of 96.1% (Table 2, entry 10) while the d.e. increased to 90.0% in the favors of *epi*-like configuration (*ie*, (11R, 15S)). Noteworthy, the presence of pyridine in the TsOH based system provokes its solubilization, and the catalytic system becomes in this case homogeneous.

An explanation of the activity data could be that an excess of carboxylic acid groups and acid groups favors the dehydration against the dehydrogenation of *i*-propanol providing in this way a too low concentration of H^{δ-} nucleophiles for the transfer hydrogenation. Contrarily, the interaction of butylamine and even more of pyridine with the acid groups creates a balance of acid and basic sites necessary for the generation on the catalyst surface H^{+δ} and H^{-δ} pairs. The IR spectra shown in Figure 2 evidenced the differences between the functional groups in GO and the modification introduced by the addition of organic acid and bases.

Table 2. Influence of different organic acids and bases molecules added in liquid phase on the catalytic performance of GO in the transfer hydrogenation of enone **1**^a

	Organic molecule	Conv., %	Sallylic alcohol 2 , %	D.e., % / conf.
1	-	9.1	31.5	77.8/(11R, 15S)- <i>epi</i>
2	Acetic acid	64.0	1.0	racemic
3 ^b	Pivalic acid	83.2	28.9	100/(11R, 15R)- <i>nat.</i>
4	Pivalic acid	88.0	3.5	100/(11R, 15R)- <i>nat.</i>
5	Butylamine	2.2	46.0	64.4/(11R, 15S)- <i>epi</i>
6 ^c	Acetic acid	2.1	95.6	49.5/(11R, 15S)- <i>epi</i>
7 ^c	Butylamine	78.8	2.0	racemic
8 ^c	Pivalic acid	2.0	81.3	86.7/(11R, 15R)- <i>nat.</i>
9	Pyridine	89.1	79.2	91.1/(11R, 15R)- <i>nat.</i>
10 ^d	Pyridine and <i>p</i> -toluenesulfonic acid	96.1	58.8	90.0/(11R, 15S)- <i>epi</i>
11 ^e	<i>p</i> -toluenesulfonic acid	1.7	23.4	100/(11R, 15S)- <i>epi</i>

^a Reaction conditions: 7 mg GO, 14 mg enone, 0.5 mL organic compound, 2.5 mL *i*-propanol, room temperature, 24 h; ^b 6 h; ^c pre-modified catalyst with 0.5 mL of organic molecule, room temperature; ^d 7 mg *p*-toluenesulfonic acid, 14 mg enone, 0.5 mL pyridine, 2.5 mL *i*-propanol, room temperature, 24 h; ^e pre-modified GO with *p*-toluenesulfonic acid, room temperature.

The present study has shown that GO exhibits catalytic activity for the hydrogen transfer from *i*-propanol to enones with high conversions and selectivities to the corresponding allylic alcohols with prostaglandin structure. For low conversions, GO behaves as a distereoselective hydrogen transfer catalyst with an acceptable d.e. value of 77.8 % towards the *epi* isomer. The modification of GO by organic molecules changes the electronic properties of the graphene tuning the catalytic activity, affecting the selectivity to allyl alcohol and diastereoselectivity to the resulted product. Among the various acid and base additives, pyridine produced remarkable positive effects in the hydrogen transfer reaction of the investigated enone providing high activity, selectivity to allylic alcohols and diastereoselectivity in the synthesis of an important prostaglandin product. Also, pivalic acid swap the diastereoselectivity the natural diastereoisomer with a total d.e. Also, GO is able to hydrogenate α,β -unsaturated ketones such as β -ionone or 4-(6-methoxy-2-naphtyl)-2-butanone to the corresponding allylic or saturated alcohols with excellent yields. This behavior has been associated to the chemisorption modes of the substrates. Noteworthy, the system does not contain metals and is recyclable.

Acknowledgements

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Notes and references

‡ GO has been obtained starting from graphite by conventional Hummers oxidation to graphite oxide, followed by exfoliation by ultrasounds.³³ In brief, graphite flakes (3 g) were suspended in a mixture of concentrated H₂SO₄/H₃PO₄ (360:40 ml). To this mixture, KMnO₄ (18 g) was added by producing an exothermic reaction raising the temperature to 35–40 °C. This reaction mixture was then heated to 50 °C under stirring for 12 h. The reaction was cooled to room temperature and poured into 400 g of ice containing 30 % H₂O₂ (3 ml). After allowing the suspension to cool at the air, the mixture was filtered, washed with 1:10 HCl (37%) solution and then further washed with additional water. The remaining solid was sonicated in 400 ml of water for 30 min and centrifuged at 4,000 r.p.m. for 4 h. The supernatant was again centrifuged at 15,000 r.p.m. for 1 h. The solid obtained, after centrifugation at 15,000 r.p.m., was dried at 60 °C.

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