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Additional Information

1 **Use of oil-in-water emulsions to control fungal deterioration of strawberry jams**

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19 **ABSTRACT**

20 This work aimed to control the fungal deterioration of strawberry jams. The antifungal
21 activity of the clove, cinnamon leaf, lemon and mandarin essential oils and their
22 effectiveness in oil-in-water emulsions were evaluated. According to the results
23 obtained, only clove and cinnamon leaf oils were selected to prepare emulsions. All the
24 tested emulsions were stable, independently the amount of polymer and essential oil
25 used. Essential oil loss was affected by the amount of polymer employed to prepare the
26 emulsions. The oil-in-water emulsions with 5.0 mg/g xanthan gum, and with 0.55 mg/g
27 clove or 0.65 mg/g cinnamon leaf essential oil, were used for the *in vivo* tests. The jams
28 prepared with the oil-in-water emulsions showed a lower fungal decay compared with
29 jams without emulsion. The present work demonstrated that emulsions can be employed
30 to prevent strawberry jam mould spoilage.

31

32 *Keywords:* Essential oils, oil-in-water emulsion, strawberry jam, *Aspergillus flavus*,
33 *Aspergillus niger*, *Penicillium expansum*

34

35 **1. Introduction**

36 Fungal contamination is a serious problem in the food industry because it has negative
37 impacts on final products. Fungi are the most important microorganisms to contaminate
38 fruit and berry concentrates, like jams with low water activity. Fungal spores present in
39 the raw materials of jams are inactivated while jams are cooked. However, the large jam
40 containers used in the food industry may be re-contaminated by spores of indoor fungi;
41 e.g. during partial container depletion (Nieminen, Neubauer, Sivelä, Vatamo,
42 Silfverberg, & Salkinoja-Salonen, 2008).

43 There are thousands of known species of moulds, and the commonest genera are
44 *Aspergillus* and *Penicillium*. Moulds are difficult to inhibit because of their complex
45 structure, and using chemical agents is one of the main techniques resorted to for
46 controlling their growth. However, consumer concern about human health has forced
47 the food industry to search for new strategies as alternatives to chemical additives in
48 order to control food spoilage caused by moulds.

49 Essential oils (EOs) extracted from many plants and fruits are used as antimicrobial
50 agents against bacteria, moulds and yeasts (Perdones, Sánchez-González, Chiralt, &
51 Vargas, 2012; Salvia-Trujillo, Rojas-Graü, Soliva-Fortuny, & Martín-Belloso, 2014).
52 Their natural character renders them desirable for use in food products. Many EOs have
53 been recognised as safe (GRAS) by the FDA in 21 Code of Federal Regulation part
54 182.20 (CRF, 2014), and they are widely accepted by consumers (Burt, 2004).

55 The antimicrobial activity of EOs is attributed mainly to their content in volatile
56 compounds. Eugenol (4-allyl-2-methoxyphenol) is the main compound in cinnamon
57 leaf EO (75-95% (w/w)) (Vangalapati, Satya, Prakash, & Avanigadda, 2012). This EO
58 has demonstrated potent antioxidant and antibacterial activity (Bakkali, Averbeck,
59 Averbeck, & Idaomar, 2008). Eugenol is a naturally-occurring phenol extracted from

60 cloves. Different studies have demonstrated the bactericidal and antifungal activity of
61 clove EO and eugenol (Liang et al., 2015, Hua et al., 2014, Jayashree &
62 Subramanyamm, 1999, and Velluti, Sanchis, Ramos, Egidio & Marín, 2003). The
63 antimicrobial activity of EO from citrus fruits has been widely demonstrated against
64 mould, yeast and bacteria (Belletti, Sado-Kamdem, Tabanelli, Lanciotti, & Gardini,
65 2010, Espina, Somolinos, Lorán, Conchello, García, & Pagán, 2011, and Viuda-Martos,
66 Ruiz-Navajas, Fernández-López, & Pérez-Álvarez, 2008).

67 The volatile compounds present in EOs are highly insoluble in water because of their
68 lipophilic nature, whose contact with microorganisms in high moisture content foods
69 may be limited (Kalemba & Kunicka, 2003). One way to avoid this problem and to
70 enhance their aqueous solubility and stability is to incorporate essential oils into oil-in
71 water (O/W) emulsions. In this case, their sensory impact on food products could reduce
72 and their water solubility could increase for the contact with microorganisms to suffice
73 and to improve antimicrobial effectiveness (Hill, Gomes, & Taylor, 2013).

74 The objective of this study was to evaluate the mould decay of strawberry jams by using
75 natural preservatives. For this purpose, the *in vitro* antifungal activity of different EOs
76 and O/W emulsions, and the effectiveness of these emulsions when incorporated into
77 strawberry jams were evaluated.

78

79 **1. Materials and methods**

80 *2. 1 Screening the antifungal activity of EOs*

81 Clove, cinnamon leaf, lemon and mandarin EOs (Sigma-Aldrich, St. Louis, USA) were
82 individually tested against *Aspergillus flavus* (CECT 2685), *Aspergillus niger* (CECT
83 20156) and *Penicillium expansum* (CECT 20140). The method described by Viuda-
84 Martos et al. (2008) was employed with minor modifications. The stock cultures of

85 fungi were supplied by the Spanish Type Culture Collection (CECT, Burjassot, Spain).
86 The fungus were inoculated on Potato Dextrose Agar (PDA, Scharlab, Barcelona,
87 Spain) and incubated at 25 °C for 7 days. Afterwards, spores were counted in a
88 haemocytometer to achieve an inoculum density of 10^6 CFU/mL. Different EO
89 concentrations were tested after taking into account previous studies (Omidbeygi,
90 Barzegar, Hamidi, & Naghdibadi, 2007, Perdones et al., 2012, and Viuda-Martos et al.,
91 2008). The concentrations of tested EOs were: 0.40, 0.45, 0.50 and 0.55 mg/g for clove
92 oil; 0.50, 0.55, 0.60 and 0.65 mg/g for cinnamon leaf oil; 10, 12.50, 15 and 17.50 mg/g
93 for lemon oil; 27.50, 30, 32.50 and 35 mg/g for mandarin oil. Aliquots of 15 g of PDA
94 with the EOs and 0.1% (w/w) Tween 80 (Scharlab, Barcelona, Spain) were poured into
95 Petri dishes. EOs were added to the culture medium at 50°C and Tween 80 was added to
96 the medium to ensure good EO distribution. The petri dishes without EO were used as
97 control samples. The centre of each plate was inoculated with a PDA disc (7 mm
98 diameter) taken from the edge of 0-day-old fungi culture, previously spread with 100
99 μ L of the spore solution (10^6 CFU/mL). Each plate was sealed with Parafilm® and
100 incubated for 7 days at 25°C. Radial mycelial growth was evaluated daily for 7 days by
101 measuring the diameter of each fungus. Values were expressed in mm diameter/day. All
102 tests were run in duplicate.

103

104 *2.2 Study of O/W emulsions*

105 *2.2.1 Emulsion preparation*

106 Xanthan gum (XG, Satiaxane™ CX 911, Cargill, Barcelona, Spain) was dispersed in
107 distilled water at 2.5, 5.0 and 7.5 mg/g, and stirred overnight at room temperature. After
108 biopolymer dissolution, the clove and cinnamon leaf EOs were added to reach the final
109 concentrations of 0.55, 0.65, 0.75 mg/g and 0.65, 0.75, 0.85 mg/g, respectively. The

110 mixture was emulsified in a rotor-stator homogenizer (Ultraturrax, IKA[®], Germany) at
111 10,000 rpm for 1 min and 20,000 rpm for 3 min. These emulsions were degasified at
112 room temperature with a vacuum pump.

113

114 2.2.2 Physico-chemical characterisation of O/W emulsions

115 The particle size was determined with a laser diffractometer (Mastersizer 2000, Malvern
116 Instruments, Worcestershire, UK). Emulsions were diluted in deionised water at 2,000
117 rpm until an obscuration rate of 10% was obtained. The Mie theory was applied by
118 considering a refractive index of 1.50 and absorption of 0.01.

119 The ζ -potential was carried out according to Sánchez-González, Cháfer, Chiralt, and
120 González-Martínez (2010), using a Zetasizer nano-Z (Malvern Instruments,
121 Worcestershire, UK).

122 The rheological behaviour of emulsions was analysed by a rotational rheometer (Haake
123 Rheostress 1, Thermo Electric Corporation, Karlsruhe, Germany) with a type Z34DIN
124 Ti sensor system of coaxial cylinders, assessed as described by Sánchez-González et al.
125 (2010). Shear stress (τ) was measured as according to shear rate ($\dot{\gamma}$) from 0 to 512 s⁻¹.
126 Apparent viscosity values were calculated at 100 s⁻¹.

127

128 2.3.3 GC-MS analysis

129 The clove and cinnamon leaf EOs and O/W emulsions composition were analysed by
130 GC/MS. Two grams of the EO or O/W emulsions were suspended in a tube that
131 contained 15 mL of n-hexane. The mixture was shaken gently and filtered through filter
132 paper. n-hexane was evaporated at 40°C in a rota-vapour, and the obtained extracts were
133 added to 2 mL of n-hexane and analysed by GC-MS.

134 The GC/MS analysis of the EOs was performed in a 6890/5975 inert GC-MS (Agilent
135 Technologies, Santa Clara, CA, US), equipped with a HP-5 fused silica capillary
136 column (30 m x 0.25 mm x 0.25 μ m). The oven temperature was held at 60°C for 3 min,
137 and then raised to 100°C at 10°C/min, to 140°C at 5°C/min, and finally to 240°C at
138 20°C/min. Helium gas was used as the carrier gas at a constant flow rate of 1 mL/min.
139 The injector and MS transfer line temperatures were set at 250°C and 230°C,
140 respectively. The parameters for the MS analysis were EI Ion source, electron energy 70
141 eV, solvent delay 3 min and m/z 40-550 *amu*. EO components were identified by
142 matching mass spectra with the standard mass spectra from the NIST MS Search 2.0
143 library.

144

145 *2.2.4 Antifungal activity of O/W emulsions*

146 The antifungal activity of the clove and cinnamon leaf emulsions against *A. flavus*, *A.*
147 *niger* and *P. expansum* was determined by the methodology described in Section 2.1. In
148 this case, 0.5 g of each O/W emulsion was added to 49.5 g of PDA at 50°C. Next
149 aliquots of 15 g of PDA with the emulsions were poured into Petri dishes. The PDA
150 with a dispersion prepared with distilled water and XG was used as a control. A disc of
151 mycelial material was placed in the centre of each plate and then incubated. Radial
152 mycelial growth was evaluated daily for 7 days. The results were expressed in mm
153 diameter/day. All tests were run in duplicate.

154

155 *2.3 Study of O/W emulsions in strawberry jams*

156 *2.3.1 Jam preparation*

157 Jam preparation was adapted from Igual, Contreras and Martínez-Navarrete (2010).
158 Strawberry jam was obtained by mixing fruit and sugar in a ratio of 65:35 and cooked at

159 100°C for 30 min to reach a 60°Brix in the product as described in the Spanish quality
160 regulation for fruit jam (BOE, 2003). This process was carried out in an electrical food
161 processor (Thermomix TM 31, Vorwerk M.S.L, Spain). The amount of emulsions
162 added to strawberry jam was established to achieve a concentration of 1 g of the O/W
163 emulsion in 100 g of jam in the final product.

164

165 *2.3.2 Evaluation the antifungal activity of O/W emulsions in strawberry jams*

166 Fifteen grams of strawberry jam that contained the O/W emulsions were inoculated with
167 100 µL of the spore solution (10⁶ CFU/ mL). Jams were poured into Petri dishes and
168 incubated for 63 days at two different temperatures: 4°C to simulate product cold
169 storage after opening the jam container, and at 25°C, the optimum growth temperature
170 of fungi. Three Petri dishes were prepared per temperature condition, microorganism
171 and day of analysis (n=54). Mould counts were done in PDA plates after a 72-hour
172 incubation at 25°C (Pascual & Calderón, 2000). All assays were performed in duplicate.

173

174

175 *2.3.3 Sensory analysis*

176 A sensory analysis was carried out by 30 non-expert untrained assessors. The group of
177 assessors was composed of 12 men and 18 women, and panellists' ages ranged from 21
178 to 50 years. Tests were done on a structured 9-point hedonic scale (9=very much like
179 and 1=very much dislike) (UNE-ISO 4123), by which the colour, aroma, taste,
180 consistency and overall acceptance attributes were evaluated. All the samples were
181 presented to the panellists at room temperature in a transparent plastic glass coded with
182 three random numbers.

183

184 2.4 Statistical analysis

185 The results obtained in the physico-chemical characterisation of the O/W emulsions,
186 and the antifungal evaluation of the EO and O/W emulsions, were analysed by a
187 multifactor analysis of variance (multifactor ANOVA). The effect of incorporating the
188 O/W emulsion into the sensory attributes of strawberry jam was evaluated by a one-way
189 ANOVA. The least significance procedure (LSD) was used to test for any differences
190 between averages at the 5% level of significance. Data were statistically processed by
191 Statgraphics Centurion XVI.

192

193 2. Results and discussion

194 3.1 Screening the antifungal activity of EOs

195 The clove, cinnamon leaf, lemon and mandarin EOs all at the tested concentrations had
196 the capacity to reduce or inhibit the growth of *A. flavus*, *A. niger* and *P. expansum* (Fig.
197 1) since fungi showed slightly retarded growth compared with the control plates, even
198 for the lowest EO concentrations. This behaviour suggests that the active compounds of
199 the EOs could affect initial mould development, and could cause a delay in mould
200 growth, which would confirm their fungistatic effect (Manso, Cacho-Nerín, Becerril &
201 Nerín, 2013).

202 The clove, cinnamon leaf and lemon EOs at all the tested concentrations increased the
203 *Lag phase* of *A. flavus*, *A. niger* and *P. expansum*, with a diminution of the germination
204 rate. The clove EO provoked a higher delay in mould growth compared to the other EOs
205 tested. The clove EO at the lowest concentration managed to reduce mycelial growth
206 more than 78% for all the studied moulds.

207 The clove, cinnamon leaf and lemon EOs inhibited growth of moulds at the assayed
208 highest concentrations (0.55 and 17.5 mg/g, respectively) during the whole study

209 period, except for the cinnamon leaf EO tested against *P. expansum*, for which mycelial
210 growth reduced, but was not inhibited. The high lemon EO concentration needed to
211 inhibit fungi development could be due to D-limonene's susceptibility to oxidative
212 degradation, which could cause loss of activity (Sun, 2007).

213 The mandarin EO caused the lowest percentage of mycelial reduction in all the studied
214 moulds (Fig.1). The highest mandarin EO concentration tested achieved only percentage
215 reductions of 62%, 61% and 73% for *A. flavus*, *A. niger* and *P. expansum*, respectively.

216

Fig. 1

217

218 3.2 Study of O/W emulsions

219 3.2.1 Physico-chemical characterisation of O/W emulsions

220 Table 1 shows the $d_{3,2}$ and $d_{4,3}$ values for the particle size analysis, the ζ -potential and
221 the rheological parameters for the various emulsions.

222 Oil content and XG concentration had a significant impact on $d_{3,2}$ and $d_{4,3}$. Regarding oil
223 content, the clove emulsions at the lowest assayed EO concentration (0.55 mg/g)
224 exhibited a $d_{3,2}$ of $5.19 \pm 0.05 \mu\text{m}$ when the XG concentration was 2.5 mg/g, whereas an
225 increased droplet mean diameter was observed ($7.32 \pm 0.21 \mu\text{m}$) at the highest EO
226 concentration (0.75 mg/g) (Table 1). The same behaviour was observed for $d_{4,3}$ when
227 2.5 mg/g of XG was used. The mean size values increased from 10.4 ± 2.6 to 17.5 ± 0.7
228 μm when larger amounts of the clove EO were employed. Generally, the cinnamon leaf
229 O/W emulsions exhibited the same tendency for the $d_{3,2}$ and $d_{4,3}$ values observed in the
230 emulsions formulated with the clove EO.

231 As observed, the higher the oil content in the emulsions, the bigger particle size became.
232 This could be due to the increase in the dispersed phase concentration, which could
233 facilitate the droplet flocculation rate and therefore the reduction in the ratio between
234 the interfacial stabilising material and the dispersed phase (McClements, 2005). Similar
235 results have been reported by Sánchez-González et al. (2010) in emulsions of bergamot
236 EO and chitosan aqueous systems.

237 Regarding polymer concentration, the increase in the XG concentration led to a
238 reduction in the droplet mean diameter of emulsions with significant differences
239 ($p < 0.05$) (Krstonošić, Dokić, Dokić, & Dapčević, 2009). The cinnamon leaf emulsions
240 prepared with the lowest EO concentration gave $d_{3,2}$ values of around $8.36 \pm 0.04 \mu\text{m}$
241 when the polymer concentration was 2.5 mg/g. However, a smaller droplet mean
242 diameter was observed when 7.5 mg/g of XG was used at the same EO concentration
243 ($6.33 \pm 0.29 \mu\text{m}$) (Table 1). This could be due to the ability of particles to cover the
244 surface of droplets and to produce a thick interfacial layer around them (Frelichoswska,
245 Bolzinger, & Chevalier, 2009). In contrast, the clove emulsions presented similar $d_{3,2}$
246 values despite the increase in the XG concentration (Table 1). This suggested that the
247 clove EO amphiphilic components could have greater surfactant activity, and could thus
248 contribute to reduce the droplet particle size under equal homogenisation conditions.
249 This trend has also been observed by Bonilla, Atarés, Vargas, and Chiralt (2012) when
250 they used thyme oil in chitosan-based films.

251 The effect produced by incorporating larger amounts of polymer was less marked for
252 the $d_{4,3}$ values, for both types of O/W emulsions.

253 The surface charge of oil droplets in the emulsions prepared with the EOs is shown in
254 Table 1. According to McClements (2005), if the electrical charge of droplets is high
255 enough, the emulsion may be stable against aggregation due to repulsive forces between

256 droplets. Generally, particles with a ζ -potential that is more positive than + 30 mV, or
257 more negative than - 30 mV, are considered stable. The electrical charge of the lipid
258 droplets of the emulsions were between -67.0 ± 0.6 and -72.0 ± 1.9 for the clove EO
259 emulsions, and between -64.5 ± 1.1 and 70.7 ± 0.9 for the cinnamon leaf EO emulsions.
260 Therefore, it can be stated that both types of O/W emulsions are stable. In the present
261 work, the strong negative ζ -potential observed in the emulsions was due to the presence
262 of XG, which is an anionic hydrocolloid. The polymer was used as an emulsion
263 stabiliser as these stabilisers can absorb into the interfacial layer (Dickinson, 2009). In
264 addition, the stabilisation action of hydrocolloids was due to the viscosity modification
265 in the continuous phase by lowering the rate of creaming and coalescence (Dickinson,
266 2009 and Garti & Leser, 2001).

267 Regarding rheological characteristics, all the emulsions showed a shear thickening
268 behaviour with flow behavior index (n) values at around 0.47. No thixotropic effects
269 were observed from the comparison made of the up and down curves. The curves were
270 predicted by the Ostwald de Waele model. Table 1 shows the consistency index (k), the
271 flow behaviour index (n) and the apparent viscosity values calculated at 100 s^{-1} , which
272 is the typical shear rate of different unit operations, such as mastication (McClements,
273 2005).

274 The incorporation of EOs into the XG dispersions did not produce significant changes
275 in the rheological characteristics of the emulsions. Notwithstanding, an increase in the
276 XG concentration in the emulsions resulted in significant increases ($p < 0.05$) in the
277 consistency index (k), which led to more consistent fluids and is related to the apparent
278 viscosity (η_{ap}) of the emulsions. The clove emulsions formulated with 2.5 mg/g of XG
279 obtained k values of $0.127\pm 0.007\text{ Pa}\cdot\text{s}$. The same emulsions prepared with 7.5 mg/g of

280 polymer gave k values of 1.327 ± 0.080 Pa·s (Table 1). The same tendency was observed
281 for the emulsions formulated with cinnamon leaf EOs.

282 As shown in Table 1, the apparent viscosity values increased significantly ($p < 0.05$)
283 when larger amounts of XG were incorporated. These values oscillated between 0.02
284 and 0.08 Pa·s for the clove emulsions, and from 0.05 to 0.10 Pa·s for the cinnamon leaf
285 emulsions. It is well-known that even at low polymer concentrations, XG dispersions
286 exhibit high viscosities (Laneuville, Turgeon, & Paquin, 2013). Nevertheless, in the
287 samples with the same amount of XG, the n_{ap} values remained constant in spite of using
288 higher EO concentrations. This could be explained by the promotion of the EO-polymer
289 interactions and the complex structure of the network formed by XG, which could
290 cushion the impact of the EOs concentration on η_{ap} . This agrees with previously
291 reported results (Martínez-Padilla, García-Rivera, Romero-Arreola & Casas-Alecáster,
292 2015) on foams with whey protein concentrate and XG.

| |
|----------------|
| Table 1 |
|----------------|

293

294 3.2.2 GC-MS analysis

295 Screening the antifungal activity of EOs indicated that the clove and cinnamon leaf oils
296 were more interesting for controlling mould growth in food products. Components of
297 these EOs were identified by the GC-MS analysis. In both EOs, eugenol (ca. 86%) was
298 the main compound (Table 2), and similar results have been reported by different
299 authors (Espina et al., 2011 and Singh, Maurya, deLampasona, & Catalan, 2007). The
300 main compound of cinnamon EO from leaves is usually eugenol and, in some cases,
301 there is a small amount of cinnamaldehyde (Tzortzakis et al., 2009 and Vangalapati et
302 al., 2012). The clove and cinnamon leaf extracts and their main compound, eugenol,

303 have been reported as one of the most effective natural antimicrobial agents (Amiri,
304 Dugas, Pichot, & Bompeix, 2008, Hill et al., 2013, Omidbeygi et al., 2007, and Singh
305 et al., 2007).

306 Despite the similar amount of eugenol in both EOs, significant differences in terms of
307 their antifungal activity have been previously observed. The clove EO has marked
308 antifungal activity at the highest tested concentration (0.55 mg/g), whereas the
309 cinnamon leaf EO inhibited the growth of *A. flavus* and *A. niger*, and reduced the
310 growth of *P. expansum* at 0.65 mg/g. These results could suggest synergistic
311 interactions between eugenol and sesquiterpene hydrocarbons (caryophyllene, β -
312 caryophyllene and δ -cadinene) against the evaluated fungi strains. Some studies have
313 reported the antifungal activity of sesquiterpens constituents against several damping-
314 off, root pathogens, etc. (Chang, Cheng, Wu, Chang, Chang, & Su, 2008 and Kumar,
315 Mathela, Tewari, & Bisht, 2014). The sum of the relative areas of eugenol and the
316 sesquiterpene hydrocarbons were 94.67% and 87.76% for the clove and cinnamon leaf
317 EOs, respectively.

318

| |
|----------------|
| Table 2 |
|----------------|

319

320 Emulsions were also analysed and the EOs losses during emulsion preparation were
321 determined. Estimated EOs loss referred to eugenol, the main component of both EOs.
322 Eugenol loss after emulsions preparation came close to 40% in all cases. EOs loss could
323 be attributed to stress applied to samples and to the heating achieved during the
324 homogenisation process. Depending on the type of EO employed for emulsion
325 preparation, non-significant differences were observed. However, EO loss was affected

326 by the amount of XG employed to prepare the O/W emulsions; for instance, 2.5 mg/g of
327 XG led to the greatest eugenol loss compared to 5.0 and 7.5 mg/g XG, while no
328 significant differences were observed between these samples. The lower viscosity of the
329 samples formulated with 2.5 mg/g of XG, compared with the emulsions that contained
330 5.0 and 7.5 mg/g of XG, could cause the diffusion of EOs to the surface of the
331 emulsions to facilitate evaporation and its subsequent loss (Perdones, Escriche, Chiralt,
332 & Vargas, 2016). The greater the viscosity of the samples, the greater the
333 immobilisation of oil droplets.

334

335 3.2.3 Antifungal activity of O/W emulsions

336 As previously mentioned, only the clove and cinnamon leaf EOs were selected and their
337 concentrations in emulsions were established by considering the results of the *in vitro*
338 evaluation. As a result, the assayed EO concentrations were 0.55, 0.65 and 0.75 mg/g
339 for clove and 0.65, 0.75 and 0.85 mg/g for cinnamon leaf. No mycelial growth was
340 observed for any tested condition (data not shown), which indicated that the O/W
341 emulsions with 0.55 mg/g of clove and 0.65 mg/g of cinnamon leaf sufficed to inhibit *A.*
342 *flavus*, *A. niger* and *P. expansum* growth over 7 days.

343 According to the EO loss which occurred during emulsion preparation, the final clove
344 and cinnamon leaf EO content in their O/W emulsions was 0.34 mg/g and 0.39 mg/g,
345 respectively. When comparing the results with those obtained in the *in vitro* tests,
346 increased antifungal activity was noted. This could be attributed to improved water
347 solubility of the encapsulated compounds by enhancing the EOs diffusion rate and,
348 therefore, antifungal activity at the tested concentrations against *A. flavus*, *A. niger* and
349 *P. expansum*.

350 According to these results, and to those obtained in the physico-chemical
351 characterisation of the O/W emulsions, the suitable amount of polymer and EO to be
352 employed in strawberry jams was established. The emulsions prepared with 0.55 and
353 0.65 mg/g of the clove and cinnamon leaf EO, respectively, and with 5.0 mg/g of XG,
354 were added to strawberry jam. Considering this formulation, the final concentration of
355 clove and cinnamon leaf EO in the strawberry jams was 0.34 mg/g and 0.39 mg/g,
356 respectively.

357

358 3.3 Study O/W emulsions in strawberry jam

359 3.3.1 Evaluation of the antifungal activity of O/W emulsions in strawberry jam

360 In order to evaluate the influence of temperature and the effectiveness of the O/W
361 emulsion on mould growth, samples were stored at 4 and 25°C for 63 days (Fig. 2). In
362 the control samples, the mycelial growth rate was affected by storage temperature for all
363 the tested moulds.

364 The jams prepared with the O/W emulsions inoculated with *A. flavus* and stored at 4°C
365 showed no fungal growth during the evaluation period. EO type significantly affected
366 ($p < 0.05$) the antifungal activity of the O/W emulsions. At refrigeration temperature, the
367 clove and cinnamon O/W emulsions took longer to inhibit *A. niger* and *P. expansum*
368 growth compared with *A. flavus*. The inhibitory effect was stronger when cinnamon leaf
369 O/W emulsion was added to the strawberry jams, compared to clove emulsions. In this
370 regard, no fungal growth was inhibited at days 21 and 28 for *A. niger* and *P. expansum*,
371 respectively when cinnamon leaf was used; however, the clove emulsions inhibited
372 fungal growth at day 49.

373 Inhibition of *A. flavus* on the samples that contained clove emulsions took place during
374 the first 7 storage days at 25°C, whereas inhibited fungi growth was observed on day 14

375 when the cinnamon leaf EO was used. Unexpectedly, the opposite behaviour was
376 observed for *P. expansum*, whose inhibition at 25°C was faster than at 4°C
377 independently of the EO type employed.

378 Despite the oil content in jam samples being the same as that tested in agar media, the
379 time needed to observe the effectiveness of EOs against moulds in jams was longer.
380 This could be related to the different diffusions of the active compounds, which could
381 be easier in agar media than in jam, or could be due to the fact that the antifungals lost
382 through evaporation throughout the storage period were limited since the matrix
383 structure differed and the mass transfer process occurred differently (Perdones et al.,
384 2012). This behaviour could also be explained by the low water content in food
385 compared to agar media, which could hinder the transfer of EO to the active site in the
386 microbial cell (Omidbeygi et al., 2007). Other crucial factors must be taken into
387 account, such as antagonistic interactions with other ingredients (e.g. proteins or
388 carbohydrates) (Pitt & Hocking, 2009).

389

Fig. 2

390

391

392 3.3.2 Sensory analysis

393 A sensory analysis was run to check the acceptability of the strawberry jams that
394 contained the O/W emulsions. The samples tested by panellists consisted in jams with
395 the clove and cinnamon leaf O/W emulsions at the established concentrations, and a jam
396 sample with no EO added, which was used as a control. The average scores marked by
397 the assessors for all the evaluated attributes are shown in Fig. 3.

398 The strawberry jams with the added O/W emulsions obtained lower scores for the
399 aroma, taste and overall acceptance attributes compared with the control samples. The
400 consistency and colour attributes did not significantly differ ($p>0.05$) from the control
401 samples. The lowest scores were found in the jams with the cinnamon leaf O/W
402 emulsions because of the higher EO content used and the strong impact of this EO on
403 the typical strawberry jam flavour. However, the incorporation of the O/W emulsions
404 into jams did not affect their texture and colour evaluations.
405 Further studies should be carried out to obtain a good relation between the antimicrobial
406 effectiveness of the active compounds and their sensory impact on the final product.
407

Fig. 3

408

409 **4. Conclusion**

410 The clove and cinnamon leaf EOs showed the highest antifungal properties. The
411 physicochemical characterisation of the different O/W emulsions prepared with these
412 EOs revealed that the EO concentration in the emulsion brings about changes in particle
413 size. XG contributes to the stability of emulsions by adsorption on the oil droplet
414 surface. Indeed the higher the polymer content, the shorter the droplet mean diameter.
415 The main compound of the clove and cinnamon leaf EOs was eugenol, which is
416 responsible, together with sesquiterpene hydrocarbons, for their antifungal activity. The
417 O/W emulsions preparation led to EO loss of about 40%, and such loss was affected by
418 the amount of XG employed, and was not due to the EO type. The antifungal activity of
419 the clove and cinnamon leaf O/W emulsions against several strains, such as *Aspergillus*

420 *flavus*, *Aspergillus niger* and *Penicillium expansum*, was evidenced in the *in vitro* and *in*
421 *vivo* tests.

422 The incorporation of the O/W emulsions into strawberry jam did **not** modify the texture
423 or colour of the product, but negatively affected aroma, taste and the overall acceptance
424 of jam.

425 The combined results demonstrate the promising advantages of using emulsions as
426 natural additives to preserve and/or extend the shelf life of strawberry jams.
427 Nevertheless, further studies are needed to reduce the sensory impact on final products,
428 such as high pressure homogenisers to reduce the oil droplet's particle size, increasing
429 the interfacial area exposed to microbial cells, or combining different natural agents in
430 order to improve synergistic effects in foodstuff.

431

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435

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561

562 **Figure captions**

563 **Fig. 1.** Antifungal activity of the clove, cinnamon leaf, lemon and mandarin EOs against

564 A) *Aspergillus flavus*, B) *Aspergillus niger* and C) *Penicillium expansum* for 7 days.

565 Diameter of mycelial growth (mean value and standard deviation (n=2)).

566 **Fig. 2.** Effect of the oil-in-water emulsions on the growth of A) *Aspergillus flavus*, B)

567 *Aspergillus niger*, C) *Penicillium expansum* on strawberry jams stored at 4°C and 25°C

568 for 63 days. Mean value and standard deviation (n=2).

569 **Fig. 3.** Sensory profile of strawberry jams. *Indicates 95% significant differences

570 according to the ANOVA test (n=30).

571