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Additional Information

1 **Anchoring non-antimicrobial molecules into mesoporous silica particles improves their**  
2 **antimicrobial power**

3

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20 Pérez-Esteve).

21 **Abstract**

22 Developing new antimicrobials and food preservative agents with innovative modes of action is an  
23 urgent task to fight against the escalating growth of resistant bacterial strains. In this scenario,  
24 nanotechnology has an important role to play due to, among others, their high surface per volume  
25 ratio. In the present study the capability of silica nanoparticles to anchor and concentrate the content  
26 of a non-antimicrobial considered molecule is demonstrated. With this aim, polyamines have being  
27 covalently linked to the external surface of MCM-41 nanoparticles. The developed organic-  
28 inorganic hybrid system was tested according to its antimicrobial activity. Results showed that the  
29 surface concentration of amines on the surface of the nanoparticles is so effective that immobilized-  
30 amines were 100 times more effective in killing *Listeria monocytogenes* bacteria than the same  
31 amount of free polyamines. This novel approach for the creation of antimicrobial nanodevices  
32 opens the possibility to put in value the antimicrobial power of natural molecules that have been  
33 discarded because of its low antimicrobial power.

34

35 **Keywords:** amine corona; bactericidal activity; *Listeria monocytogenes*; mesoporous silica  
36 nanoparticles; surface functionalization

37

38

## 39 1. Introduction

40 Bacterial infection is one of the most serious risks in the development of foodborne illnesses. To  
41 fight against pathogen and alterative microflora, an indiscriminate use of pesticides, food  
42 preservatives and antibiotics have been used in recent years, yielding as a consequence the  
43 apparition of a growing number of resistant strains.<sup>1</sup> In this context, the development of novel  
44 antibacterial systems as an alternative to classical antibiotics is an urgent need. For that,  
45 nanotechnology, defined as the manipulation of matter at an atomic and molecular level, is viewed  
46 as an excellent opportunity to achieve it.

47 Ones of the most explored nanostructured systems in the search of new antimicrobial systems are  
48 mesoporous silica nanoparticles (MSNs). MSNs are characterized by exhibiting a high stability,  
49 large specific surface area and volume, controllable size, easy surface functionalization, high  
50 biocompatibility and poorer hemolytic activity than their non-porous counterparts.<sup>2-8</sup> Due to these  
51 properties, some authors have reported the use of loaded and/or functionalized MSNs as  
52 antibacterial agents. Molina-Manso et al. used SBA-15 to encapsulate three different antimicrobial  
53 agents: vancomycin, rifampicin and linezolid.<sup>9</sup> Park and coauthors (2012) encapsulated allyl  
54 isothiocyanate in a mesoporous silica particle reaching a bacteria growth management.<sup>10</sup> Bernardos  
55 et al. used MCM-41 to encapsulate essential oils, achieving an important growth reduction of  
56 *Aspergillus niger* in comparison with non-encapsulated essential oils.<sup>11</sup> More recently, Yu and  
57 coauthors described the use of poly(*N*-isopropylacrylamide)-gated Fe<sub>3</sub>O<sub>4</sub>-MSNs core shell  
58 nanoparticles for the temperature-triggered release of antibacterial enzyme lysozyme,<sup>12</sup> and we  
59 stated the antimicrobial effect of caprylic acid incorporated in MSNs.<sup>13</sup>

60 Following an alternative approach, Li and Wang reported the use of lysozyme-coated MSNs as  
61 antibacterial agents,<sup>14</sup> and Qi et al. used vancomycin-modified MSNs to kill pathogenic gram-  
62 positive bacteria.<sup>15</sup> The same year, some of us reported the use of MCM-41 nanoparticles capped  
63 with ε-poly-l-lysine with high antibacterial activity against Gram-negative bacteria demonstrating

64 the possibility of improving the antimicrobial effect of a molecule by functionalization on the  
65 surface of a suitable support.<sup>16</sup>

66 Delving into this line, the goal of this work was to evaluate the effect of the concentration of a non-  
67 considered antimicrobial molecule through the anchoring into the surface of a MSN on their  
68 antimicrobial activity against one of the most distributed food-borne pathogen; i.e. *Listeria*  
69 *monocytogenes*.

70

## 71 **2. Materials and methods**

### 72 *2.1. Chemicals*

73 Tetraethylorthosilicate (TEOS), *N*-cetyltrimethylammonium bromide (CTABr), NaOH, *N*-(3-  
74 trimethoxysilylpropyl)diethylenetriamine (N3) and diethylenetriamine were provided by Sigma  
75 (Sigma-Aldrich, Madrid, Spain). *N*-[3-(trimethoxysilyl)propyl]ethylenediamine triacetic acid  
76 trisodium salt (C3) was provided by Fluorochem (Hadfield, UK).

77

### 78 *2.2. Mesoporous silica nanoparticles synthesis*

79 MCM-41 nanoparticles were synthesized by the procedure described by Ruiz-Rico et al.<sup>13</sup> The  
80 molar ratio of the reagents was fixed at 1 TEOS:0.1 CTABr:0.27 NaOH:1000 H<sub>2</sub>O. NaOH was  
81 added to the CTABr solution, and the solution temperature was adjusted to 95 °C. TEOS was then  
82 added dropwise to the surfactant solution. The mixture was allowed to stir for 3 h and yield a white  
83 precipitate. After synthesis, the solid was recovered by centrifugation, washed with distilled water,  
84 and air-dried at room temperature. The as-synthesized solid was calcined at 550 °C in an oxidant  
85 atmosphere for 5 h to remove the template phase.

86

87 *2.3. Functionalization of mesoporous silica nanoparticles*

88 The surfaces of bare MSNs were functionalized with *N*-(3-trimethoxysilylpropyl)diethylenetriamine  
89 (N3) or with *N*-[3-(trimethoxysilyl)propyl]ethylenediamine triacetic acid trisodium salt (C3)  
90 following a similar procedure to that described by Pérez-Esteve et al.<sup>17</sup> To obtain amine-  
91 functionalized particles (**N3-N**), 1 g of MSNs was suspended in 40 mL of acetonitrile, and excess  
92 N3 (4.3 mL, 15.0 mmol/g) was added. To obtain carboxylate-functionalized particles (**C3-N**), 1 g of  
93 MSNs was suspended in 30 mL of water, and excess C3 (5.5 mL, 15.0 mmol/g) was added. Final  
94 mixtures were stirred for 5.5 h at room temperature. Finally, solids were filtered, washed with 30  
95 mL of distilled water and dried at room temperature.

96

97 *2.4. Materials characterization*

98 Synthesized materials were characterized by standard techniques: transmission electron microscopy  
99 (TEM), field emission scanning electron microscopy (FESEM), particle size distribution, zeta  
100 potential and thermogravimetric analysis. TEM images were taken by a Philips CM10 (Philips  
101 electronics, Eindhoven, The Netherlands), which operated at an acceleration voltage of 80 kV.  
102 FESEM images were acquired with a Zeiss Ultra 55 (Carl Zeiss NTS GmbH, Oberkochen,  
103 Germany) and observed in the secondary electron mode. The particle size distribution of the  
104 different MSNs was determined by Zetasizer Nano ZS instrument (Malvern Instruments, UK). For  
105 measurements, solids were dispersed in Ringer buffer (RB) (0.22% NaCl, 0.011% KCl, 0.012%  
106 CaCl<sub>2</sub> and 0.005% NaHCO<sub>3</sub> in distilled water). All the measurements were taken in triplicate on  
107 previously sonicated highly dilute dispersions. To determine the zeta potential of the bare and  
108 functionalized MSNs, a Zetasizer Nano ZS (Malvern Instruments, UK) was used. Samples were  
109 dispersed in RB at the 1 mg/mL concentration and were sonicated for 2 min to preclude  
110 aggregation. The zeta potential was calculated from the particle mobility values by applying the  
111 Smoluchowski model. The average of five recordings was reported as the zeta potential. The degree

112 of functionalization of the different particles was determined by thermogravimetric analyses.  
113 Determinations were made on a TGA/SDTA 851e Mettler Toledo balance (Mettler Toledo Inc.,  
114 Schwarzenbach, Switzerland), with a heating program that consisted in a heating ramp of 10° per  
115 minute from 273 to 373 K followed by an isothermal heating step at this temperature for 60 min in a  
116 nitrogen atmosphere (80 mL/min). Then, the program was allowed to continue with a dynamic  
117 heating segment from 373 to 1273 K in an oxidant atmosphere (air, 80 mL/min) and with an  
118 isothermal heating step at this temperature for 30 min.

119

## 120 2.5. Microbiological assays

121 Plate Count Agar (PCA) and Tryptic Soy Broth (TSB) were used to grow bacteria and to prepare  
122 inoculums. TSB and RB were used in the bacterial viability assays. Selective medium, Palcam Agar  
123 supplemented with polymyxin B, acriflavine was used to grow the treated *Listeria monocytogenes*.  
124 All the media were provided by Scharlau (Barcelona, Spain).

125 *L. monocytogenes* (CECT 936) was obtained from the Colección Española de Cultivos Tipo  
126 (CECT; Valencia, Spain). Bacterial stocks were stored at 4 °C in PCA before use. Bacterial cells  
127 were grown aerobically in TSB at 37 °C for 24 h to obtain a cell concentration of approximately 1 x  
128 10<sup>8</sup> cells/mL. For the assays in RB, the inoculum was centrifuged at 4,000 rpm for 5 min and the  
129 obtained bacteria pellet was resuspended in the buffer.

130

## 131 2.6. Viability assessment

132 The study of the influence of bare and functionalized MSNs on the viability of *L. monocytogenes*  
133 was tested within a range of concentrations between 0 and 0.15 mg of solid per mL of Ringer  
134 buffer. In parallel, the influence of free amines (diethylenetriamine) was tested with a range of  
135 concentrations, which arranged from 0 to 3 mg/mL. Ringer buffer was used to ensure that the

136 surface charge of particles was not influenced by the components of the solvent. All the treatments  
137 were set in triplicate. Positive and negative controls were included in all the assays.

138 Particle stock suspensions were prepared in RB and were sonicated in 3 cycles of 5 minutes to  
139 facilitate the suspension and preclude agglomerates. To achieve the final concentrations of particles,  
140 different volumes of particle suspension were added to 30 mL of RB in Erlenmeyer flasks. Finally,  
141 flasks were inoculated with 100  $\mu$ L (*L. monocytogenes*) of washed inoculum, to provide a cell  
142 density of approximately  $10^5$  CFU/mL, and were incubated under orbital stirring (150 rpm) at 37  
143  $^{\circ}$ C. Bacterial viability was quantified by preparing serial dilutions of the incubation mixtures and  
144 plating them on selective agar at 2 h of incubation. Plates were incubated at 37  $^{\circ}$ C for 24-48 h, and  
145 then the CFUs per milliliter were determined. These values were logarithmically transformed and  
146 expressed as log CFU/mL. The control positive values were used to quantify growth of  
147 microorganisms and to calculate the survival percentage of bacteria.

148

#### 149 *2.7. Detection of morphological changes in bacterial cells*

150 To study the morphological changes in *L. monocytogenes* cells caused by MSNs treatment, TEM  
151 observations were made. The cells treated with bare and functionalized MSNs, were collected at the  
152 end of the treatment by vacuum filtration (0.45  $\mu$ m) under sterile conditions. Pellets were collected  
153 and fixed with 25 g/L glutaraldehyde solution for 24 h at 4  $^{\circ}$ C and were post-fixed with 20 g/L  
154 osmium tetroxide solution for 1.5 h. Cells were centrifuged and the pellet was collected after each  
155 process step.

156 After this process, cells were stabilized by mixing them with a low gelling temperature agarose  
157 solution (3%, p/v) at 30  $^{\circ}$ C, which facilitates fixation and embedding prior to TEM observation.<sup>18</sup>  
158 Next the cells inserted in the solidified agar were cut into cubes (1 mm<sup>3</sup>). These cubes were fixed  
159 with 25 g/L glutaraldehyde solution, post-fixed with 20 g/L osmium tetroxide solution, dehydrated  
160 with 30 g/L, 50 g/L, 70 g/L ethanol and 100 g/L, contrasted with uranyl acetate solution (20 g/L)



161 and embedded in epoxy resin (Durcupan, Sigma–Aldrich, St. Louis, MO, USA). The obtained  
162 blocks were cut by a Reichter-Jung ULTRACUT ultramicrotome (Leica Microsystems, Wetzlar,  
163 Germany). The obtained ultrathin sections (0.1  $\mu\text{m}$ ) were collected in copper grids and stained with  
164 20 g/L acetate uranile and 40 g/L lead citrate to be observed in a JEOL JEM 2100F (JEOL Europe  
165 SAS, Croissy-sur-Seine, France) at 200 kV.

166

#### 167 *2.8. Determination of bacterial viability and agglomeration by fluorescence assay*

168 A two-color fluorescent assay, LIVE/DEAD® BacLight™ (Life Technologies, Gaithersburg, MD,  
169 USA), was used to visualize viable and dead *L. monocytogenes* cells.<sup>19</sup> The kit provides a two-color  
170 assay of bacterial viability. SYTO 9 (green-fluorescent nucleic acid stain) labels all bacteria, with  
171 either intact or damaged membranes. In contrast, propidium iodide (red-fluorescent nucleic acid  
172 stain) penetrates only the bacteria with damaged membranes, which causes a reduction in SYTO 9  
173 stain fluorescence when both dyes are present.

174 The two provided dye components were mixed at a 1:1 ratio. Next 0.8  $\mu\text{L}$  of SYTO 9/propidium  
175 iodide were added to 500  $\mu\text{L}$  of the treated suspension, and were mixed and incubated for 10 min to  
176 facilitate the penetration of dyes. Then, 5  $\mu\text{L}$  of stained bacteria were applied to poly-L-lysine-  
177 covered slides for immunofluorescence (Sigma-Aldrich, Madrid, Spain), and a coverslip was placed  
178 over the suspension and sealed. The preparation was incubated for 5-10 min at room temperature in  
179 the dark to allow bacteria to adhere to slides. Slides were then observed under an Olympus BX50  
180 fluorescence microscope equipped with an Olympus DP71 camera and a BA515IF barrier filter.

181

#### 182 *2.9. WST-1 Cell viability assays*

183 For the cell culture experiments, trypan blue solution (0.4%) cell culture grade and dimethyl  
184 sulfoxide (DMSO), phosphate buffered saline (PBS) and Dulbecco's Modified Eagle's medium

185 (DMEM) with glucose, L-glutamine and pyruvate for cell culture were provided by Sigma-Aldrich  
186 (Poole, Dorset, UK). Mc Coy's 5a Medium and Keratinocyte Serum Free Medium, Fetal Bovine  
187 Serum (FBS) and trypsin were purchased from Gibco (Life Technologies, Madrid, Spain). Cell  
188 proliferation reagent WST-1 was purchased from Roche Applied Science (Barcelona, Spain).

189 HeLa human cervix adenocarcinoma and HEPG2 human liver carcinoma were grown in DMEM  
190 supplemented with 10% FBS. HCT116 human colon carcinoma cells were grown in McCoy's 5a  
191 Medium Modified supplemented with 10% FBS, HK2 homo sapiens kidney papilloma cells were  
192 grown in Keratinocyte Serum Free Medium supplemented with bovine pituitary extract (BPE) and  
193 human recombinant epidermal growth factor (EGF). All these cells were purchased from the  
194 German Resource Centre for Biological Materials (DSMZ). Cells were maintained at 37 °C in an  
195 atmosphere of 5% carbon dioxide and 95% air and underwent passage twice a week.

196 Cells were placed in 96-well plates at a density of 1,000 cells per well. After 24 h, plates were  
197 incubated with the amine-functionalized MSNs or an equivalent amount of free polyamine at  
198 different concentrations at 37 °C for 24 h. After removing the solution that contained the MSNs, the  
199 MTT solution (200  $\mu$ L, 1 mg/mL) was added and cells were incubated for another 3 h. When the  
200 MTT solution was removed, the purple formazan crystals were solubilized with DMSO (200  $\mu$ L)  
201 and measured at 560 nm on a microplate reader (SPECTRAMax plus, Molecular Devices,  
202 Sunnyvale, CA, USA). Cytotoxicity was expressed as the percentage of cell viability.

203

#### 204 2.10. Antimicrobial effect on a real food system

205 The developed **N3-N** nanoparticles were used to eliminate *L. monocytogenes* from apple nectar,  
206 which was purchased in a local supermarket. The particle stock suspension was prepared in sterile  
207 distilled water and was sonicated in 3 5-minute cycles to preclude agglomerates. Different particle  
208 suspension volumes were added to 30 mL of pasteurized apple nectar. Positive and negative  
209 controls were included in the assays. Samples were inoculated with 100  $\mu$ L of washed inoculum and

210 incubated under orbital stirring (150 rpm) at 37 °C. After 2 h of incubation, viable cell numbers  
211 were determined as log<sub>10</sub> CFU/ml by the spread plate technique using selective media and incubated  
212 at 37 °C for 48 h.

213

#### 214 2.11. Statistical analysis

215 Data were statistically processed using Statgraphics Centurion XVI (Statpoint Technologies, Inc.,  
216 Warrenton, VA, USA). The influence of different MSNs on bacterial viability was analyzed by an  
217 analysis of variance (one-way ANOVA). The LSD procedure (least significant difference) was used  
218 to test for any differences between averages at the 5% significance level.

219

### 220 3. Results and discussion

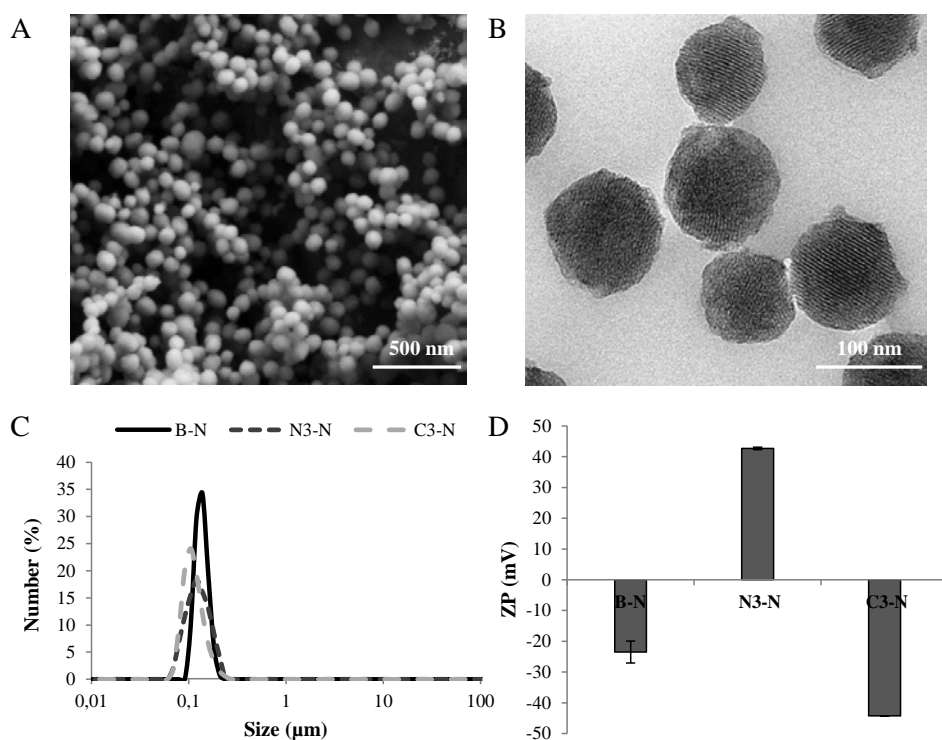
#### 221 3.1. Material characterization

222 Silica mesoporous nanoparticles were synthesized using *N*-cetyltrimethylammonium bromide  
223 (CTABr) as a template and tetraethylorthosilicate (TEOS) as a hydrolytic inorganic precursor.<sup>13</sup> The  
224 solid was then calcined at 550 °C to obtain bare MSNs (**B-N**), which were further functionalized  
225 with either *N*-(3-trimethoxysilylpropyl)diethylenetriamine (N3) or *N*-[3-  
226 (trimethoxysilyl)propyl]ethylenediamine triacetic acid trisodium salt (C3), to include nanoparticles'  
227 positive and negative charges on the surface, respectively. This resulted in amine-functionalized  
228 (**N3-N**) and carboxylate-functionalized (**C3-N**) nanoparticles.<sup>17</sup>

229 The morphology and structure of the silica mesoporous support were confirmed by field emission  
230 scanning electron microscopy (FESEM) and transmission electron microscopy (TEM). MSNs are  
231 porous nanospheres with a single-particle size of ca. 100 nm and channels of 2-3 nm which can be  
232 seen as alternate black and white stripes or as a pseudo hexagonal array of pore voids in TEM  
233 images (see Fig. 1A-B). The size distribution (Fig. 1C) and zeta potential (Fig. 1D) of bare and

234 functionalized nanoparticles were determined in Ringer buffer (RB). Particle size fell within the  
235 100-220 nm range for **B-N**, whereas the zeta potential was  $-23.5$  mV. Particle size slightly  
236 decreased after functionalization, which was most likely due to the increased colloidal stability of  
237 the nanoparticles as a result of functionalization with charged groups, which resulted in zeta  
238 potential values of  $-44$  mV and  $+43$  mV for **C3-N** and **N3-N**, respectively.

239 The degree of functionalization of solids **N3-N** and **C3-N** was determined by thermogravimetric  
240 analyses. The amount of N3 anchored to MSNs was ca.  $0.36$  g/g solid, while the amount of  
241 anchored C3 was ca.  $0.26$  g/g solid. The larger quantity of polyamines than carboxylates might be  
242 related with the fact that C3 is a bulkier molecule than N3.<sup>17</sup>



243

244 **Figure 1.** Material characterization of bare and functionalized MCM-41 nanoparticles. FESEM (A)  
245 and TEM (B) images of bare nanoparticles. The size distribution (C) and zeta potential (D) of bare  
246 and functionalized MSNs dispersed in RB.

### 247 3.2. Inhibitory activity of amine-functionalized nanoparticles

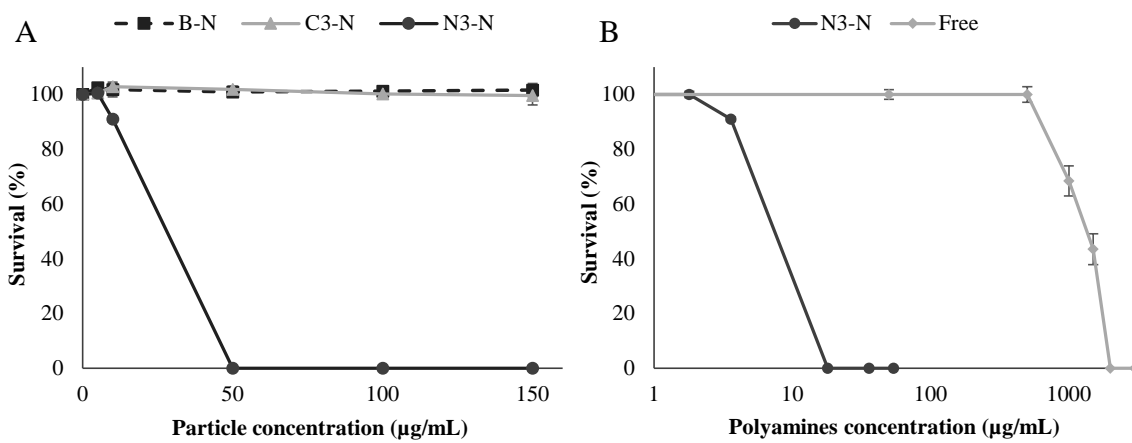
248 The use of polyamines as antimicrobial agents has been widely reported. The most important  
249 antimicrobials are the quaternary ammonium compounds with N-alkyl chain. The antimicrobial  
250 activity of these compounds involves an association between the positively charged quaternary  
251 nitrogen and the negatively charged head groups of acidic phospholipids in bacterial membranes  
252 which produces disruption of membrane integrity and leakage of cellular content.<sup>20</sup> They are  
253 commonly used as sanitizers in the food industry, but some toxicological issues and microbial  
254 resistances have been reported.<sup>21-22</sup>

255 Otherwise, primary and secondary amines are not considered effective antimicrobial agents. In this  
256 study, we assessed the antimicrobial activity of a primary amine immobilized onto the surface of  
257 mesoporous silica nanoparticles. The antibacterial effect of amine-functionalized nanoparticles (**N3-**  
258 **N**) was tested by *in vitro* viability assays of bacterial suspensions of *L. monocytogenes*. In parallel,  
259 the antimicrobial activity of **B-N**, **C3-N** and free polyamine diethylenetriamine was also evaluated.  
260 Figure 2A displays the survival of *L. monocytogenes* after 2 h of treatment when these nanoparticles  
261 were used within the 0-150 µg/mL concentration range. The results showed that **B-N** and **C3-N** had  
262 no effect on bacteria. In contrast, **N3-N** completely inhibited microbial growth at a minimum  
263 bactericidal concentration (MBC) within the 10-50 µg/mL range. The effect of free  
264 diethylenetriamine was also studied. Microbial growth was totally inhibited at a concentration as  
265 high as 2 mg/mL. In order to compare the antibacterial effect of amines alone and when attached to  
266 MSNs, Figure 2B shows the survival of *L. monocytogenes* according to the polyamine  
267 concentration for free diethylenetriamine and solid **N3-N**. As it can be observed, **N3-N** was  
268 approximately 100 times more effective as an antibacterial agent than the free polyamine against *L.*  
269 *monocytogenes*.

270

271 This remarkable antibacterial efficacy was most likely due to an “enhanced concentration effect”  
272 operative in N3-N, which boosted attractive electrostatic forces between the negatively charged  
273 bacteria and positively charged particles functionalized with amines.<sup>23-24</sup> Given the high local  
274 concentration of amines in nanoparticles, the interaction of the functionalized nanoparticles with the  
275 bacterial membrane of the cells was probably most effective, and resulted in improved cell structure  
276 damage (*vide infra*).

277



278

279 **Figure 2.** *L. monocytogenes* survival after incubation with bare and functionalized nanoparticles  
280 according to nanoparticle concentration (A) and *L. monocytogenes* survival after incubation with  
281 free polyamine diethylenetriamine and N3-N according to polyamine concentration (B) (means and  
282 standard deviations, n = 3).

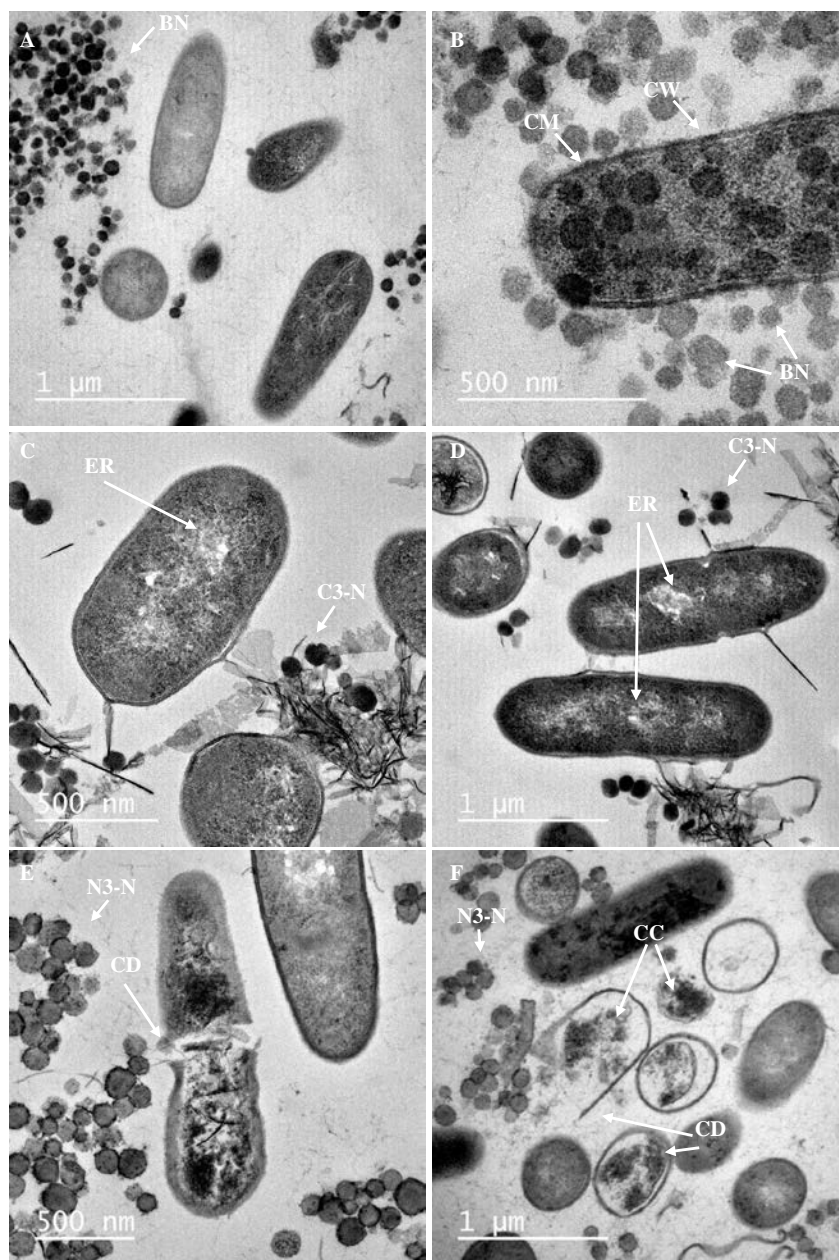
283

284

285 3.3. Morphological changes in *L. monocytogenes* treated with bare and functionalized  
286 nanoparticles

287 In order to assess the hypothesis of the concentration effect of **N3-N** on *L. monocytogenes*, the  
288 morphological changes of this bacterium in the presence of bare and functionalized MSNs were  
289 studied by TEM. The bacteria treated with **B-N** showed the typical rod-shaped morphology of a  
290 bacterium with a complete cytoplasm and inner material surrounded by an intact cell membrane and  
291 cell wall (Fig. 3A-B). These results agree with the bacterial viability results (see Fig. 2) and with  
292 previous studies.<sup>12-13,15,19</sup> The cells treated with nanoparticles functionalized with carboxylates (**C3-**  
293 **N**) are shown in Fig. 3C-D, where both the bacterial cell wall and cell membrane appeared  
294 complete, but with roughness on some surface areas. Moreover, empty regions were observed in the  
295 cytoplasm, which could be produced by the aggregation or precipitation of internal cell  
296 components. Despite these morphological changes, bacterial viability was not affected by presence  
297 of **C3-N** (see Fig. 2), which indicates that bacteria might be able to repair this sub-lethal cell  
298 damage and maintain their viability. Finally, the TEM images of the cells treated with nanoparticles  
299 functionalized with amines (**N3-N**) are seen in Figure 3E-F. Here, bacteria cells showed severe  
300 damage, disruption of cell envelope integrity and leakage of cellular contents. These observations  
301 clearly agree with the above-described bacterial inhibition (see Fig. 2) and with the fluorescence  
302 microscopy results (*vide infra*).

303



304

305 **Figure 3.** TEM micrographs by the ultrathin sectioning of *L. monocytogenes*. Images A and B  
 306 represent cells in the presence of bare nanoparticles; images C and D show cells treated with C3-  
 307 functionalized nanoparticles; and images E and F show cells in the presence of N3-functionalized  
 308 nanoparticles. BN: bare MCM-41nanoparticules; CW: cell wall; CM: cell membrane; ER: empty  
 309 regions; C3-N: carboxylate-functionalized nanoparticles; N3-N: amine-functionalized  
 310 nanoparticles; CC: cytoplasmic content; CD: cell wall and membrane damage.

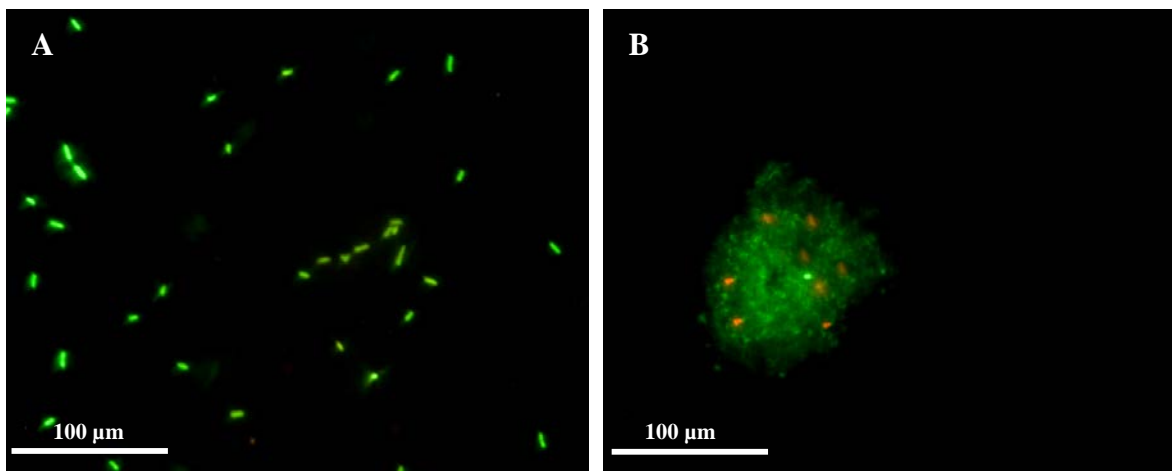


311 3.4. Bacterial viability and agglomeration evaluation

312 Besides TEM assays, studies conducted with a two-color fluorescent LIVE/DEAD® BacLight™  
313 assay visualized viable and dead *L. monocytogenes* cells in the presence and absence of N3-N  
314 nanoparticles. Figure 4A shows bacteria in the absence of N3-N. All the *L. monocytogenes* bacteria  
315 are green-colored, which implies that cells were viable and membranes remained intact. Figure 4B  
316 shows bacteria in the presence of N3-N. When N3-N nanoparticles were in suspension, cell  
317 aggregation was evident, and was most likely favored by the presence of positively charged  
318 nanoparticles. Red-colored cells were scattered among green cells, which indicates cell damage, and  
319 eventually bacterial death.<sup>19</sup> Mechanism of action could be attributed to the polyamines corona. The  
320 Gram-positive *L. monocytogenes* cell surface possesses a net negative electrostatic charge by virtue  
321 of the ionized phosphoryl and carboxylate substituent on outer cell envelope macromolecules,  
322 which are exposed to the extracellular environment.<sup>25</sup> In contrast, amine-functionalized MSNs  
323 possess a positive zeta potential (see Fig. 1D). Therefore, the bacterium-particle interaction driven  
324 by attractive electrostatic interactions is expected to occur.<sup>23-24,26</sup> This binding between the bacterial  
325 cell wall and the amine-functionalized MSNs allowed the local concentration of amines on the  
326 bacterial surface to increase, and consequently the disruption of the cell membrane, and eventually  
327 bacterial cell death.<sup>14-15</sup>

328

329



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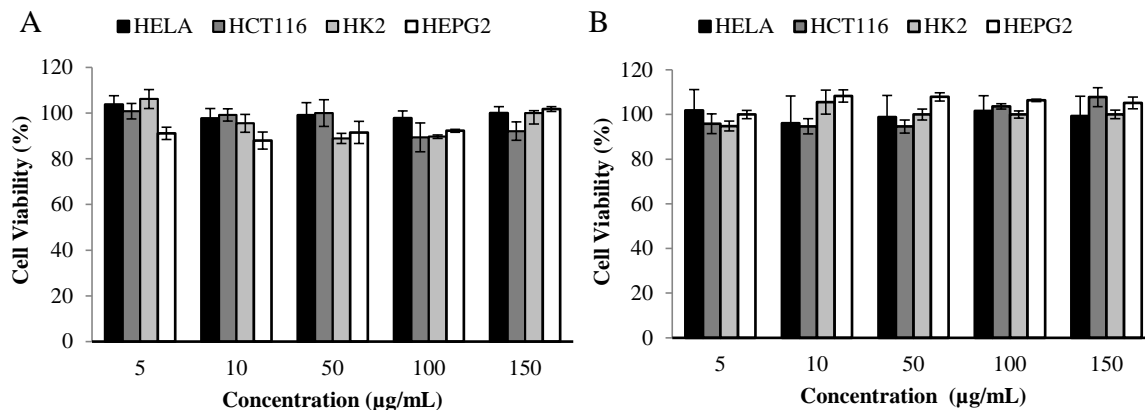
331 **Figure 4.** Fluorescence images of the untreated *L. monocytogenes* (A) and the cells treated after 2 h  
332 of incubation with **N3-N** (B). The study was performed by the two-color fluorescent LIVE/DEAD®  
333 BacLight™ assay, used to visualize viable (green) and dead (red) bacteria.

334

### 335 *3.5. In vitro biocompatibility tests*

336 Once the antimicrobial activity of the amine-functionalized MSNs was established, the  
337 biocompatibility of the **N3-N** solid and free polyamine to human cells was tested by WST-1 tests.  
338 As seen in Figure 5, no significant cytotoxicity of the **N3-N** nanoparticles, or an equivalent amount  
339 of free polyamine to human colon carcinoma cells (HCT116), human liver carcinoma cells  
340 (HEPG2), human kidney epithelial cells (HK2) and human cervix carcinoma cells (HeLa) cells,  
341 even at concentration as high as 150 µg/mL, was observed.

342



343

344 **Figure 5.** WST-1 cell viability assay. HeLa (black), HCT116 (dark grey), HK2 (light grey), and  
 345 HEPG2 (white) cells treated with amine-functionalized nanoparticles **N3-N** (A) and the equivalent  
 346 amount of free polyamine (B).

347

### 348 3.6. Antimicrobial activity of amine-functionalized nanoparticles in a real food system

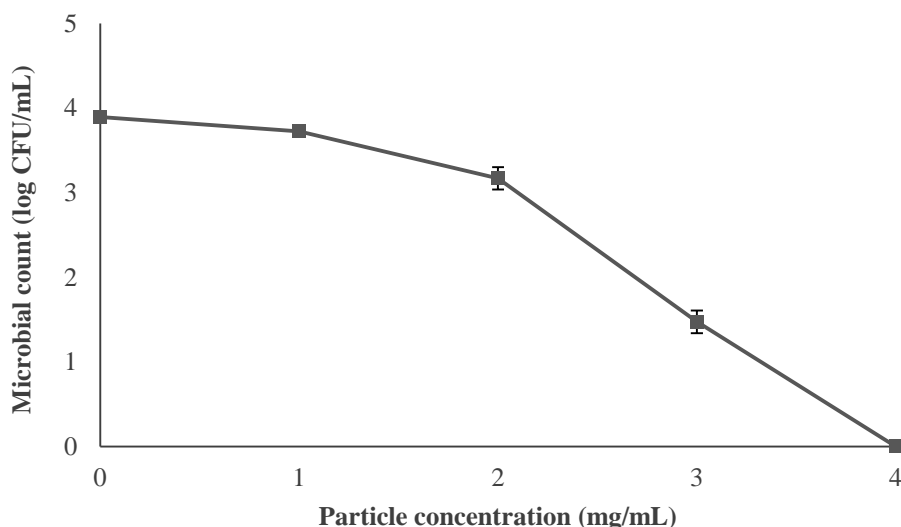
349 *Listeria monocytogenes* is one of the most important food-borne pathogens, being responsible of a  
 350 serious infection called listeriosis.<sup>27</sup> This bacterium is widely distributed in the environment and is  
 351 generally associated with dairy products and juices, meat products, smoked fish and raw fruits and  
 352 vegetables.<sup>28</sup> It can survive and grow over a wide range of environmental conditions such as  
 353 refrigeration temperatures, low pH and high salt concentration.<sup>29</sup>

354 Microbial populations in apple juice can be inactivated by heat treatment such as pasteurization,  
 355 however, potential concerns with alteration in composition and flavor properties of thermally  
 356 processed fruit juices exist.<sup>30-31</sup> Moreover, commonly used preservatives such as potassium sorbate  
 357 and sodium benzoate could be genotoxic and produce allergy problems.<sup>32</sup> Therefore, new  
 358 technologies to prevent the spoilage and guarantee the safety of food products are needed.

359 The bactericidal ability of the amine-functionalized nanoparticles towards *L. monocytogenes* in a  
 360 real food system (apple nectar) was investigated. The microbial growth results after 2 h of

361 incubation in the presence of N3-N are shown in Figure 6. The N3-N concentrations within the 1-4  
362 mg/mL range had a clearly antibacterial effect for *L. monocytogenes*, and resulted in a remarkable  
363 complete bacteria inhibition at the N3-N concentration of 4 mg/mL.

364



365

366 **Figure 6.** *L. monocytogenes* count in apple nectar after incubation with N3-N according to particle  
367 concentration (means and standard deviations, n = 3).

368

#### 369 4. Conclusions

370 Functionalization of MSNs with amines enabled us to obtain nanodevices with 100 fold greater  
371 antimicrobial activity against *L. monocytogenes* than the equivalent quantity of free polyamine. The  
372 nanovoice not only demonstrated its antibacterial activity in saline solution, but also in a food matrix.  
373 Moreover, functionalized nanoparticles were not toxic to human cells. Through microscopy images,  
374 it was demonstrated that the mechanism of action is likely due to the combination of attractive  
375 binding forces between the positive amine corona on the surface of nanoparticles and the negatively  
376 charged bacteria membrane, which provokes a disruption of cell membrane. These findings suggest

377 that amine-immobilized nanoparticles can be used as new antimicrobial nanodevices for diverse  
378 applications. Beyond, our study also suggests that MSN's surface functionalization opens the door  
379 to the development of new antimicrobial agents based on organic-inorganic hybrid nanosystems.

380

381

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388

389

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