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Additional Information

Development of safety performance functions for Spanish two-lane rural highways on flat terrain

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11 **ABSTRACT**

12 Over decades safety performance functions (SPF) have been developed as a tool for traffic safety in order to
13 estimate the number of crashes in a specific road section. Despite the steady progression of methodological
14 innovations in the crash analysis field, many fundamental issues have not been completely addressed. For
15 instance: Is it better to use parsimonious or fully specified models? How should the goodness-of-fit of the
16 models be assessed? Is it better to use a general model for the entire sample or specific models based on
17 sample stratifications? This paper investigates the above issues by means of several SPFs developed using
18 negative binomial regression models for two-lane rural highways in Spain. The models were based on crash
19 data gathered over a 5-year period, using a broad number of explanatory variables related to exposure,
20 geometry, design consistency and roadside features. Results show that the principle of parsimony could be
21 too restrictive and that it provided simplistic models. Most previous studies apply conventional
22 measurements (i.e., R^2 , BIC, AIC, etc.) to assess the goodness-of-fit of models. Seldom do studies apply
23 cumulative residual (CURE) analysis as a tool for model evaluation. This paper shows that CURE plots are
24 essential tools for calibrating SPF, while also providing information for possible sample stratification.
25 Previous authors suggest that sample segmentation increases the model accuracy. The results presented here
26 confirm that finding, and show that the number of significant variables in the final models increases with
27 sample stratification. This paper point out that fully models based on sample segmentation and on CURE
28 may provide more useful insights about traffic crashes than general parsimonious models when developing
29 SPF.

30

31 **Keywords:** Cumulative residuals; Safety Performance Functions; two-lane rural highways; flat terrain;
32 parsimonious models; fully models

33

34 **1. INTRODUCTION**

35 According to the World Health Organization, approximately 1.24 million people are killed every year on the
36 world's roads, and another 20 to 50 million sustain nonfatal injuries as a result of road crashes ([WHO, 2013](#)).
37 All efforts to reduce traffic crashes are therefore well justified. In Europe, approximately 60% of road
38 accident fatalities occur on two-lane rural roads ([Cafiso et al., 2010](#)). Two major factors usually play an

39 important role in traffic accident occurrence: the first is related to the driver; and the second is related to the
40 roadway design ([Abdel-Aty and Radwan, 2000](#)).

41 Safety Performance Functions (SPF) make it possible to predict the number of crashes that may take place on
42 a given stretch of roadway with certain characteristics. For many years this type of model was developed
43 using simple or multiple linear regression techniques. However, [Miaou \(1994\)](#) showed that Poisson
44 regression models —or, in the case of overly dispersed data, Negative Binomial (NB) regression models—
45 are more appropriate. Later research showed that, in general, the number of crashes used when calibrating
46 the prediction models presents over-dispersion, with a greater dispersion than would be consistent under a
47 Poisson model ([Hauer et al., 2002](#)). Most studies nowadays therefore assume that the number of crashes
48 follows an NB distribution ([Persaud et al., 1999](#); [Cheng and Washington, 2008](#); [Montella et al., 2008](#); [Cafiso](#)
49 [et al., 2010](#); [FHWA, 2010](#); [Montella, 2010](#); [Camacho-Torregrosa et al., 2013](#)).

50 Although substantial research has been conducted on the development of crash models, there are issues still
51 on the forefront regarding: generalized models; unobserved heterogeneity; confounding variables; variables
52 to be considered in models and how to add them (parsimonious vs. fully specified models); overfitting of
53 models; measures used in assessing the goodness of fit; and the appropriateness of stratifying a sample to get
54 better models.

55 The generalized models are used by authorities to study the safety of other locations in a given region that
56 have characteristics similar to those of the location used to build the model. Thus, models containing
57 variables with highly significant parameters can predict accident frequencies at new locations not used in the
58 model development. In addition, because explanatory variables that have statistically significant model
59 parameters help explain the variability of the accident data, their inclusion in the model improves its fit with
60 the data ([Sawalha and Sayed, 2006](#)).

61 As for the unobserved heterogeneity, the fact that crashes involve complex interactions among human,
62 vehicle, roadway, traffic and environmental elements makes it impossible to take into account all factors
63 influencing the likelihood of highway crashes. Crash databases contain a lot of information about road,
64 vehicle and environment characteristics, yet many other elements remain unobserved, such as human
65 behaviour, friction measurements, etc. These factors constitute unobserved heterogeneity and can introduce
66 variation in the impact of the effect of observed variables on accident likelihood ([Mannering et al., 2016](#)).

67 Unobserved heterogeneity can be defined as variations in the effect of variables across the sample population
68 that are unknown to the researcher. If this issue is ignored and the effects of observable variables is held to
69 be the same across all observations, the model may be misspecified and the estimated parameters might be
70 biased, leading to erroneous predictions ([Mannering et al., 2016](#)). Although relatively recent research has
71 explored unobserved heterogeneity, allowing new insights to be extracted from crash databases, the model-
72 estimation process involved becomes considerably more complex; the result obtained from methods such as
73 random parameter models may not be easily transferable to other datasets or different locations since the
74 individual parameter vector associated with each observation is unique to that observation ([Lord and](#)
75 [Mannering, 2010; Mannering et al., 2016](#)).

76 A further issue that concerns researchers is that of confounding variables. In general, confounding variables
77 are those that are not controlled in the model but may have a latent effect. A confounding factor can be
78 defined as any variable —other than the cause of principal interest in a study— that can either (a) generate
79 effects that may be mixed up with the effects of the causal variable, (b) distort the effects attributed to the
80 causal variable, e.g. modifying their direction or strength, or (c) hide the effects of the causal variable ([Elvik,](#)
81 [2011](#)). Controlling for confounding factors is important in establishing causality, and poor control of
82 confounding factors can seriously distort the findings of road safety studies and make them completely
83 worthless ([Elvik, 2008](#)). However, the number of potentially confounding factors that are successfully
84 controlled for is always limited due to the fact that most are unknown. Moreover, it is a fallacy to believe
85 that if a model fits the data very neatly, this demonstrates that it includes all important factors and that
86 factors not included in the model cannot have major effects ([Elvik, 2011](#)). Hence, this matter may be a
87 limitation in most crash-frequency studies. In the models applied to all accidents, there is slight confounding
88 owing to the mixture of different levels of accident severity ([Elvik, 2011](#)).

89 SPF are used for a variety of purposes. Most frequently they serve to estimate the expected crash frequencies
90 from various roadway entities (highways, intersections, interstates, etc.) and to identify geometric,
91 environmental, and operational factors that are associated with crashes. With respect to the selection of
92 variables, the explanatory variables that are potentially relevant in SPF can be grouped in two main
93 categories: (a) Variables describing exposure to crash risk; (b) Risk factors that influence the number of
94 crashes expected to occur in a road.

95 In the first category, most studies include Annual Average Daily Traffic (AADT) and section length as
96 exposure variables ([Hadi et al., 1995](#); [Anderson et al., 1999](#); [Persaud et al., 1999](#); [Pardillo and Llamas, 2003](#);
97 [Ng and Sayed, 2004](#); [Pardillo et al., 2006](#); [Dell'Acqua and Russo, 2008](#); [Cafiso et al., 2010](#); [Park and Abdel-](#)
98 [Aty, 2015](#)). Among the exposure variables, some authors moreover take into account the percentage of heavy
99 vehicles ([Fitzpatrick et al., 2000](#); [Elvik, 2007](#); [Ramírez et al., 2009](#); [Montella, 2010](#); [Hosseinpour et al.,](#)
100 [2014](#)).

101 In the second category, among risk factors that influence the number of crashes expected to occur on a
102 highway, most authors consider explanatory variables included in one of the three following groups:
103 geometric variables, consistency variables or context variables. A number of studies have attempted to
104 quantify the effects of road geometric design variables and exposure variables on accident frequencies ([Hadi](#)
105 [et al., 1995](#); [Persaud et al., 1999](#); [Fitzpatrick et al., 2000](#); [Anastasopoulos et al., 2008](#); [Dell'Acqua and Russo,](#)
106 [2008](#); [Cafiso et al., 2013](#); [Park and Abdel-Aty, 2015](#)). Some authors have looked into the influence of
107 consistency variables —or a combination of geometric, environment and consistency variables— in SPF
108 development for two-lane rural highways ([Anderson et al., 1999](#); [Ng and Sayed, 2004](#); [Cafiso et al., 2010](#);
109 [De Oña et al., 2014](#)). Others have developed consistency indexes that may be used as independent variables
110 in SPF ([Polus and Mattar-Habib, 2004](#); [Camacho-Torregrosa, 2014](#); [Garach et al., 2014](#)). Some studies have
111 attempted to relate crash frequency with environmental variables such as driveway density ([Pardillo and](#)
112 [Llamas, 2003](#); [Pardillo et al., 2006](#); [Cafiso et al., 2010](#)).

113 Within this substantial body of research on SPF development, the vast majority of SPF studies include some
114 kind of measure of exposure, such as AADT or segment length. Still, there is a lack of consensus regarding
115 the number of variables that should be added in the model, and questions relating to parsimonious vs. fully
116 specified models.

117 According to [Sawalha and Sayed \(2006\)](#), model generality requires that a model be developed in accordance
118 with the principle of parsimony, which calls for explaining as much variability of the data as possible using
119 the least number of explanatory variables. The notion behind the principle of parsimony is to avoid
120 overfitting. If many variables are included in a model, a perfect fit could be obtained; but the developed
121 model would not produce reliable predictions when applied to a different set of locations. In addition, as the
122 data available to researchers is often limited, and many variables known to significantly affect the frequency

123 of crashes may not be available, there is also a need to develop relatively simplistic models using only
124 explanatory variables than can, in practice, be gathered and projected for use. Given these data limitations
125 and the need to specify models with a few simplistic explanatory variables, parsimonious models are often
126 estimated.

127 However, other authors disagree with the concept of parsimonious models. According to [Mannering and](#)
128 [Bhat \(2014\)](#), the real problem with them is that models having a few simplistic explanatory variables might
129 exclude significant explanatory variables; and the model-estimated parameter for the basic variables (like
130 traffic volume) might be estimated with bias (omitted variables bias). The application of the model would be
131 fundamentally flawed, because changes in the omitted variables cannot be captured and predicted crash
132 frequencies will be incorrect. [Mannering et al. \(2016\)](#) indicated that if factors affecting the likelihood of an
133 accident are not included (unobserved heterogeneity), these factors could introduce variation in the impact of
134 the effect of observed variables on accident likelihood. Omission of important variables introduces bias in
135 model parameters, and will lead to incorrect inference ([Washington et al., 2010](#); [Mitra and Washington,](#)
136 [2012](#)).

137 Regarding model evaluation, many studies use statistical measures such as Akaike Information Criterion
138 (AIC) or Pearson Chi-square statistics, among others. Few use cumulative residual analysis as a method to
139 evaluate the calibrated prediction models. [Hauer \(2015\)](#) recommends analysing residual plots as an essential
140 tool to calibrate crash models. [Lord and Persaud \(2000\)](#) applied cumulative residual analysis to evaluate
141 prediction models showing the variation in the accident rate in consecutive years; and they ruled out the use
142 of the conventional R^2 .

143 Another issue to consider is that when a study uses data from highways covering a broad region, there may
144 be very different characteristics in roadway sections of the same overall type. For instance, in the studies
145 cited above, the models calibrated included a wide range of AADTs, from as little as 166 veh/day ([Anderson](#)
146 [et al., 1999](#)) up to 25,000 veh/day ([Park and Abdel-Aty, 2015](#)). In such a situation, even if the models
147 obtained are valid, they may leave room for improvement. This point was brought out by [Vogt and Bared](#)
148 [\(1998\)](#): they concluded that their model could be improved if the sample had been divided on the basis of
149 ranges of some of the explanatory variables. [Vogt and Bared \(1998\)](#) came to this conclusion after analysing
150 models through a comparison of cumulative residuals plotted against leading variables, so as to check for

151 systematic trends that might contradict the assumed model form or suggest model refinements. [Pardillo et al.](#)
152 [\(2006\)](#) showed that the stratification of the model oriented by the results of the cumulative residuals analysis
153 is a valid method to refine crash prediction models. According to [Hauer \(2004\)](#), when a model is used for
154 prediction, it is important that it fit well throughout the range of each variable. He suggested the possibility
155 of stratifying the models to overcome the lack of flexibility of the most common exponential functional
156 forms.

157 The aim of this paper is to develop SPF analysing cumulative residuals for two-lane rural highways, using a
158 high number of explanatory variables related to exposure, geometric design, design consistency and roadside
159 features. In the process of adding variables to the model, two types of models are compared: parsimonious
160 models vs. fully specified models. The paper is organized in four main sections. The first section has
161 presented an introduction to the main concepts and previous crash models. In the second section, we describe
162 the database and the methodology. The third section presents the results and discussion. Finally, in the last
163 section the main conclusions of this study are given.

164 **2. DATA AND METHODOLOGY**

165 **2.1. Road and accident data**

166 This study was conducted on 972 km of two-lane rural highways over flat terrain in Andalusia (Spain). The
167 roadway data were obtained from the General Direction of Roads under the Andalusian Regional
168 Government and included roadway inventories with characteristics of the road and traffic volume. Urban
169 segments, intersections¹ and passing or climbing lanes were removed because of their characteristics, as SPF
170 used to predict crashes in these cases are very different from the SPF that would be obtained on conventional
171 two-lane rural highways. Moreover, only those sections in which AADT was higher than 500 veh/day were
172 included in the study, as it was assumed that when traffic volumes are lower, traffic conditions and safety
173 problems are not representative of regular two-lane rural roads. Segments undergoing significant changes
174 during the study period were excluded from the sample. As a result, 606 km of two-lane rural highways were
175 involved in the analysis.

¹ A portion of the road was considered intersection if it had a stop and left turn lane on the main road.

176 Accident data were obtained from Spain's "General Traffic-accident Directorate" (DGT) for a five-year
177 period (2006-2010). The total number of crashes on the studied roads was 1,443.

178 2.2. Methodology

179 Initially, each road was divided into horizontal curves and tangents. The next step was to subdivide the
180 sample into homogeneous road segments. The explanatory variables were then selected. Some of these could
181 be obtained directly from the database, while others, related with the design consistency, were obtained from
182 operating speed profiles in each homogeneous road segment. Once the variables had been selected, the
183 prediction models were calibrated and evaluated by means of several statistical measures.

184 2.2.1. Homogeneous road sections

185 Several authors have pointed out the need to study segments with homogeneous characteristics to ensure
186 coherent road safety studies (Resende and Benekohal, 1997; Fitzpatrick et al., 2000; Pardillo and Llamas,
187 2003; Cafiso et al., 2010, Garach et al., 2014). Following previous studies (Cafiso et al., 2010, Garach et al.,
188 2014), in order to work with such homogeneous road segments, the following parameters were used: AADT,
189 average paved width (P_w) and curvature change rate (CCR).

190

191 **[Insert Table 1 here]**

192

193 For AADT, a new segment was identified when there was a change of the intervals specified in Table 1
194 (AASHTO, 2010; Garach et al., 2014). For roadway width, the distribution of road widths was analysed and
195 the ranges defined in Table 1 were used. The sections with constant CCR were identified on the basis of the
196 section curvature change rate (CCR_{sect}), defined as follows:

197

$$198 \quad CCR_{\text{sect}} = \frac{\sum_i |\gamma_i|}{L_{HS}} \quad (1)$$

199 where CCR_{sect} = section curvature change rate (gon=km); γ_i = deflection angle for a continuous element i
200 (curve or tangent) (gon: centesimal degree); LHS = road segment length (km).

201 For each road segment, a diagram was drawn. The sum of the γ_i was represented in the y-axis and the
202 distance in the x-axis. Road sections with homogeneous horizontal alignment were identified in this diagram

203 by sections where the slope of the cumulative angle deviation curve (CCR_{sect}) was relatively constant. Based
204 on the German procedure (RAS-L, 1995), a minimum section length of 2 km was adopted. A section was
205 considered homogeneous when the three parameters discussed (AADT, road width, and CCR) were constant.
206 Applying these criteria to all roads under study, 456 sections with homogeneous characteristics were
207 identified.

208 2.2.2. Explanatory variables

209 Once the homogeneous sections had been defined, the variables considered for the model development were
210 selected. Explanatory variables related to traffic volume, geometric characteristics, design consistency and
211 roadside context were considered. A single value for each variable was assigned to every homogeneous road
212 section.

213 Table 2 shows the variables initially considered, grouped by categories (exposure, geometry, consistency and
214 context), along with the main statistics regarding the variables (mean, minimum, maximum and standard
215 deviation).

216 *Exposure and geometric variables*

217 AADT and percentage of heavy vehicles were obtained directly from the road database. The length of the
218 section is equivalent to the length of the homogeneous road segment as established above.

219 The variables lane width, shoulder width, platform width, longitudinal grade and radius were also taken
220 directly from the road database. Thus, a value for each one of these variables was obtained for each
221 homogeneous road section.

222 Other geometric and operational variables, such as the Curvature Ratio (CR) and Tangent Ratio (TR), were
223 computed using the following equations:

$$224 \quad CR = \frac{\sum_{j=1}^k L_{Cj}}{L_{HS}} \quad (2)$$

$$225 \quad TR = \frac{\sum_{j=1}^k L_{Tj}}{L_{HS}} \quad (3)$$

226 where L_{HS} is the total length of the homogeneous section (km); L_{Cj} is the length of j th curve in the
227 homogeneous section composed by k curves (km); and L_{Tj} is the length of j th tangent in the homogeneous
228 section composed by k tangents (km).

229

230

[Insert Table 2 here]

231

232 *Consistency variables*

233 To obtain the consistency variables, it is necessary to know the operating speed (V_{85}) for each road element.

234 To this end, the respective operating speed profiles were built using the criteria established by [De Oña et al.](#)

235 (2014). To construct the speed profile, one must first define an operating speed on curves, an operating speed

236 on tangents and an acceleration or deceleration between the two elements. Given the importance of using

237 speed prediction models calibrated according to local conditions ([Misaghi and Hassan 2005](#)), the model of

238 [Camacho-Torregrosa et al. \(2013\)](#) was applied in this study, adjusted for horizontal curves on two-way rural

239 highways in Spain (Eq. 4-5).

240
$$V_{85} = 97.4254 - 3,310.94/R \text{ for } 400 \text{ m} < R \leq 950 \text{ m} \quad (4)$$

241
$$V_{85} = 102.048 - 3,990.26/R \text{ for } 70 \text{ m} < R \leq 400 \text{ m} \quad (5)$$

242 where R = radius of curvature (m).

243 To build the speed profile, a constant curve speed was considered. The tangent speed value considered was

244 110 km/h (desired speed according to [Camacho-Torregrosa et al., 2013](#)). Otherwise, the acceleration and

245 deceleration rates proposed by [Fitzpatrick and Collins \(2000\)](#) for horizontal curves were taken into account.

246

247 The average operating speed from the speed profile ($V_{85\text{avg}}$) was computed on the basis of the operating

248 speed profile as follows:

249
$$V_{85\text{avg}} = \frac{\sum_{i=1}^n V_{85i} L_i}{L_{\text{HS}}} \text{ (km/h)} \quad (6)$$

250 where V_{85i} is the operating speed of the i_{th} geometric element (km/h) computed using the operating speed

251 profile; L_i the i_{th} element length of the homogeneous section (km); and n is the number of geometric

252 elements along a section.

253 The relative area bounded by the speed profile (R_a) and the average operating speed from speed profile

254 ($V_{85\text{avg}}$) were also considered as design consistency variables, as well as the standard deviation (σ) of the

255 operating speed profile. They were calculated by means of the following equations (Polus and Mattar-Habib,
256 2004):

$$257 \quad R_a = \frac{\sum_{i=1}^n a_i}{L_{HS}} \text{ (m/s)} \quad (7)$$

$$258 \quad \sigma = \sqrt{\frac{\sum_{i=1}^n (V_{85i} - V_{85avg})^2}{n}} \text{ (km/h)} \quad (8)$$

259 where a_i is the area bounded by the operating speed profile and the average operating speed line (m²/s); V_{85i}
260 is the operating speed of the i_{th} geometric element (km/h); V_{85avg} is the average operating speed along the
261 entire homogeneous section of length L_{HS} (km/h); and n is the number of geometric elements in the
262 homogeneous section.

263 Considering the operating speed profiles, two more indicators were derived:

- 264 • Ea_{10} (m/s) is a measurement of speed dispersion. Similar to R_a , it is the area bounded by the
265 operating speed profile and the average operating speed profile plus and minus 10 km/h. The length
266 of the road segment finally divides that area.
- 267 • Ea_{20} (m/s) is similar to the previous indicator, but considering 20 km/h.

268 Two other consistency indicators were also selected in light of the speed differentials between contiguous
269 elements in the homogeneous section, using the following equations:

$$270 \quad \Delta V_{10} = \frac{N(\Delta V > 10)}{L_{HS}} \text{ (km/h)} \quad (9)$$

$$271 \quad \Delta V_{20} = \frac{N(\Delta V > 20)}{L_{HS}} \text{ (km/h)} \quad (10)$$

272 where $N(\Delta V > 10)$ is the number of speed differentials (ΔV_s) higher than 10 km/h in the homogeneous
273 section; and $N(\Delta V > 20)$ is the number of speed differentials (ΔV_s) higher than 20 km/h in the homogeneous
274 section.

275 One more consistency indicator was obtained with regard to speed differentials between contiguous elements
276 in a homogeneous segment. The variable average speed reduction $\Delta(V_{85i} - V_{85i+1})_{avg}$ was calculated as
277 follows:

$$278 \quad \Delta(V_{85i} - V_{85i+1})_{avg} = \frac{\sum_{s=1}^n |V_{85i} - V_{85i+1}|}{n_{\Delta V}} \text{ (km/h)} \quad (11)$$

279 where $n_{\Delta V}$ is the number of speed differentials in the homogeneous section and V_{85i} is the operating speed of
 280 the i_{th} geometric element (km/h). Road segments are expected to be more inconsistent as this variable
 281 increases, because of the higher speed reductions.

282 The consistency variable $\Delta(V_{85i} - V_d)_{avg}$ was calculated as the difference between the operating speed from
 283 the speed profile and the design speed of the road.

$$284 \quad \Delta(V_{85i} - V_d)_{avg} = \frac{\sum_{s=1}^n |V_{85i} - V_d|}{n_{\Delta V}} \text{ (km/h)} \quad (12)$$

285 Using R_a and σ , Polus and Mattar-Habib (2004) developed a consistency index (C_p) based on a negative
 286 exponential function.

$$287 \quad C_p = 2,808 * e^{-0,278[R_a * (\frac{\sigma}{3,6})]} \text{ (m/s)} \quad (13)$$

288 Garach et al. (2014) developed an enhanced version of the Polus consistency model, indicating that the
 289 original consistency model equation was not ideal for consistency analysis. Thus, they developed the
 290 consistency index C_g , likewise dependent on R_a and σ :

$$291 \quad C_g = \frac{195.073}{(\frac{\sigma}{3,6} - 5.7933)(4.1712 - R_a) - 26.6047} + 6.7823 \text{ (m/s)} \quad (14)$$

292 Polus and Mattar-Habib (2004) established some thresholds for C_p , R_a and σ . Accordingly, consistency
 293 could be considered as good, acceptable or poor (Table 3). The same limits as for the model of Polus and
 294 Mattar-Habib (2004) were proposed for the C_g index (Garach et al. 2014).

295

296 **[Insert Table 3 here]**

297

298 Camacho-Torregrosa (2014) developed another consistency index (C_c) that was defined as follows:

$$299 \quad C_c = \sqrt[3]{\frac{V_{85avg}}{d_{85avg}}} \text{ (s}^{1/3}\text{)} \quad (15)$$

300 where V_{85avg} is the average operating speed from speed profile (m/s), and d_{85avg} is the average deceleration
 301 rate (m/s^2) defined as:

$$302 \quad d_{85} = \frac{(v_{max}^2 - v_{min}^2)}{2 \times l} \times \frac{1}{3.6^2} \text{ (m/s}^2\text{)} \quad (16)$$

303 where, in turn, v_{\max} is the operating speed before the deceleration (km/h), v_{\min} is the operating speed after the
304 deceleration (km/h) and l is the length of the speed transition (m).

305 *Context variables*

306 As it has been demonstrated that direct accesses to roads can significantly increase crashes (Miaou et al.,
307 1996), driveway density (DD) was considered relevant and gathered from the roadway database.

308 The percentages of existing shoulder (%SH) and of existing paved shoulder (%SH_p) in the homogeneous
309 section were obtained in view of the shoulder width variable available in the roadway database. For each
310 homogeneous segment the proportion of existence of shoulder was obtained. Speed limit (V_{limit}) was also
311 taken from the roadway database.

312 **2.2.3. Modelling traffic crashes**

313 SPF are developed using the general linear regression (GLM) approach. The GLM approach has the
314 advantage of overcoming the limitations associated with the use of conventional linear regression in
315 modelling traffic collisions (Hauer and Lovell, 1988; Sawalha and Sayed, 2001, 2006). The model form used
316 is shown below.

317 **SPF form**

318 The relationship between crash frequencies and selected variables related was modelled using loglinear
319 regression models and Negative Binomial (NB) distribution. The NB and Poisson distributions are an
320 appropriate choice since accident frequencies are integers, relatively small numbers, and necessarily non-
321 negative. The Poisson distribution was not used because it is appropriate in those cases where mean and
322 variance are equal. When this basic assumption is substantially violated, the NB distribution may stand to be
323 an improvement over the Poisson distribution (Lord and Mannering, 2010).

324 According to Sawalha and Sayed (2006), the mathematical form used for any SPF should satisfy the
325 following conditions: yield logical results (it must not lead to the prediction of a negative number of
326 accidents and it must ensure a prediction of zero accident frequency for zero values of the exposure
327 variables) and there must exist a known link function than can linearize the model for the purpose of
328 coefficient estimation. The mathematical form generally accepted in the literature (Pardillo and Llamas,
329 2003; Sawalha and Sayed, 2006; Cafiso et al., 2010; Montella, 2010; De Oña et al., 2014) is:

330
$$\hat{E}(Y) = e^{\beta_0} * AADT^{\beta_1} * L^{\beta_2} * e^{\sum(\beta_i * x_i)}$$
 (17)

331 where $\hat{E}(Y)$ is the estimated number of crashes; L is the length of the segment (km); AADT is the Average
332 Annual Daily Traffic (AADT) (veh/day); x_i are the explanatory variables; and β_i are the model parameters.
333 [Hauer \(2015\)](#) holds that the number of crashes depends on the amount of traffic and the segment length,
334 which he considers to be intuitively obvious and empirically substantiated. It is therefore clear that a traffic
335 variable and a segment length variable should be in the model equation. Intuition is, however, insufficient
336 regarding other variables. The research perspective offers no consensual statistical procedure for adding or
337 deleting variables from a model equation; the question of which procedures to use obeys “a great deal of
338 personal judgment” ([Draper and Smith, 1981](#)). In some cases the parameter that accompanies the variables in
339 the models proves incorrect and it is therefore deleted from the model equation. According to [Hauer \(2015\)](#),
340 the purpose of adding a variable to the model equation is to increase the accuracy with which the number of
341 crashes is estimated while reducing the magnitude of the standard deviation. According to [Sawalha and](#)
342 [Sayed \(2006\)](#), inclusion of a large number of explanatory variables may cause model overfitting.

343 **Model Evaluation**

344 Four measurements were used here to assess the goodness-of-fit of the model. They are: the ordinary
345 multiple correlation coefficient (R^2), Akaike’s Information Criterion (AIC), the generalized Pearson χ^2
346 statistic and the Scaled Deviance (SD). The AIC compares different models based on the balance between
347 the bias and variance explained by them. The Pearson χ^2 statistic can be used for null hypothesis significance
348 testing regarding the equivalence of the variance assumed in the modelling effort and the sample variance.
349 The SD is useful for comparing the proposed model and the saturated model. However, again according to
350 [Hauer \(2015\)](#), the goodness-of-fit measures describe only how the model fits overall; hence a single number
351 is insufficient. The model estimation must be nearly unbiased for all variable values. For this reason, it is
352 commonly recommended to plot Cumulative Residuals (CURE) to examine model fit in detail ([Hauer,](#)
353 [2015](#)). The residuals are equal to the difference between the observed and estimated values of the dependent
354 variable.

355 Each variable in the model will have its own CURE plot to be used in examining the goodness-of-fit for each
356 variable and to examine ways in which the fit for that variable could be improved. These residuals,

357 calculated based on each one of the variables, should be within certain limits for the model to be considered
358 well adjusted. The upper and lower limits, accordingly, would be given by $2 * \hat{\sigma}'_s(i)$, where $\hat{\sigma}'_s(i)$ has the
359 following expression:

$$360 \quad \hat{\sigma}'_s(i) = \pm \hat{\sigma}_s(i) * \sqrt{1 - \frac{\hat{\sigma}^2_{s(i)}}{\hat{\sigma}^2_{s(n)}}} \quad (18)$$

361 where $\hat{\sigma}'_s(i)$ is the limit of the residuals accumulated for the variable of analysis; $\hat{\sigma}_s(i)$ is the square root of
362 the variance $\hat{\sigma}^2_{s(i)}$; $\hat{\sigma}^2_{s(i)}$ is the variance of the accumulated residuals up to the homogeneous section (i);
363 and $\hat{\sigma}^2_{s(n)}$ is the variance of the accumulated residuals in the total homogeneous sections (n).

364 **Selection of model variables**

365 As previously mentioned, the variable selection problem has attracted attention in previous traffic crash
366 research. If many variables are included in a model, a perfect fit to the data can be achieved. Yet the same
367 model could be over-fitted and perform poorly when applied to a new sample. [Sawalha and Sayed \(2006\)](#),
368 applying the principle of parsimony, found that using less but statistically significant explanatory variables
369 can avoid overfitting and improve the reliability of a model. Still, as noted by [Mannering and Bhat \(2014\)](#),
370 parsimonious models are not only biased, but are fundamentally flawed, and offer little practical value. To
371 control the overfitting when fully specified models are developed, [Hauer \(2015\)](#) found that models whose
372 CURE plot does not go beyond the $0.5\sigma'$ limits are close to being unbiased, and that attempts to further
373 “improve” such models court the danger of overfitting. With this guideline one can decide whether a model
374 requires improvement or is good enough to be left alone. In this paper, parsimony models and fully specified
375 models are developed and compared. The latter are referred to here as best-fit accident prediction models.

376 The steps followed in the selection of model variables were as follows:

- 377 • Step 1: Building a model with the variables AADT and length. The goodness-of-fit criteria shown above
378 as well as the cumulative residuals of the model are analyzed. This provides the Basic Model.
- 379 • Step 2: Developing best-fit accident prediction models. Other predictive variables are subsequently
380 introduced to the basic model, until all variables (and their combinations) are tested. Models with all
381 possible combinations of the available variables are developed and analyzed. The decision to keep a
382 variable in the model is based on four criteria. First, the t-statistic for each parameter had to be
383 significant at the 95% confidence level. Second, engineering judgment deemed the variables' sign to be

384 logical. Third, the variable exhibited a low correlation (i.e. <0.7) with other independent variables
385 already in the model (Wei and Lovegrove, 2013). Fourth, it was verified that the cumulative residuals
386 were within the established limits. In addition, according to Hauer (2015), to avoid model overfitting, it
387 was verified that the model's CURE plot did not surpass the $0.5\sigma'$ limits. The order in which variables
388 are added was based on their t-stat, from highest to lowest.

389 • Step 3: Verifying which of the models developed in step 2 actually meet the parsimonious criterion.
390 Thus, in this step parsimonious accident prediction models are developed. A new variable introduced in
391 the model in step 2 is kept if the addition of this new variable generated a significant drop in the SD for a
392 95% level (>3.84). Otherwise, the parsimonious criterion dictates that the variable should not be
393 considered (Sawalha and Sayed, 2006).

394 Based on Sawalha and Sayed (2006), an outlier analysis was performed for all the models. First, potential
395 outliers are detected and they are removed one by one. The drop in SD is observed after the removal of each
396 point. Then, points causing a significant drop in SD are considered influential outliers, and thus they are
397 eliminated.

398 Regarding to the correlation between the variables indicated in steps 2 and 3, according to Turner et al.
399 (2012), identification of variable correlations is required to avoid having two or more significantly correlated
400 variables in the same prediction model. In such cases the variability within one variable does, to a certain
401 extent, predict the variability in the correlated variable. The authors further indicate that adding a variable
402 correlated to those already in an existing model does not improve the fit of the model compared with the
403 addition of important non-correlated variables. In the case at hand, the correlation matrix was previously
404 calculated. Some variables, such as paved width and shoulder width were highly correlated (coefficient over
405 0.70). However, it was decided to keep both variables in the analysis, but imposing that two correlated
406 variables were never in the same model.

407 **3. RESULTS**

408 Having identified the 456 homogeneous sections by means of the variables AADT, paved width and CCR,
409 the values of the variables in each one of these sections were calculated (see Table 2). Below the models are
410 developed.

411 *3.1. Step 1 Results: base model*

412 Following the process described in the methodology, the base model considers only two variables: AADT
413 and length (Eq. 19).

$$414 \hat{E}(Y) = e^{-12.3248 * AADT^{0.7512} * L^{1.0083}} \quad (19)$$

415 **Figure 1** shows the residual analysis for the variables AADT (**Fig.1a**), length (**Fig.1b**) and fitted crashes
416 (**Fig.1c**). **Fig.1b** and **Fig.1c** show satisfactory results.

417 However, the AADT cumulative residuals plot showed that the fit was not good (Fig 1a). On the one hand, in
418 a range of AADT between 9,200 and 19,000 veh/day the values of the residuals surpass the limits of $\pm 2\sigma$;
419 and on the other hand, after an AADT of approximately 4,000 veh/day, the curve begins to rise considerably
420 and continuously. From an AADT of 5,000 veh/day onward the number of crashes observed is greater than
421 the crashes estimated with the model (the accumulated sum of the differences between the crashes that
422 occurred and those expected is positive, and therefore the curve is above the x axis).

423 This shows, as highlighted [Hauer \(2004\)](#), that usually it is not easy to find a relatively simple function that
424 suits the data along its entire domain. For this reason, and according to other authors ([Vogt and Bared, 1998](#);
425 [Hauer, 2004](#); [Pardillo et al., 2006](#)), the sample was stratified. A stratification of the sample based on splitting
426 the sample by AADT ranges was explored.

427 The "Observed/Fitted" ratio was chosen for examining if fitted values are into line with observed values
428 (**Table 4**).

429 **[Insert Table 4 here]**

430
431 **As Table 4** shows, the AADT ranges in which there are greater differences between fitted and observed
432 values are the 4,000-5,000 range (ratio 1.20) and the 5,000-6,000 range (ratio 1.27). Different stratifications
433 of the sample considering the different thresholds for each range were explored:

- 434 1. $AADT \leq 4,000$ and $AADT > 4,000$
- 435 2. $AADT \leq 5,000$ and $AADT > 5,000$
- 436 3. $AADT \leq 6,000$ and $AADT > 6,000$

437 The first strata ($AADT \leq 4,000$ and $AADT > 4,000$) was selected because the models provided better overall
438 results than the ones developed in the other stratifications. Thus, the sample was divided in two sub-samples
439 (one in which all the AADT values were less than 4,000 veh/day and another in which all the AADT values

440 were greater than 4,000 veh/day), and different models could be derived according to these different ranges
441 of AADT.

442

443

[Insert Figure 1 here]

444

445 **Table 5** (model 1) and **Table 6** (model 1) show the basic models obtained for the two different AADT values.

446 In both models AADT and length are significant. Moreover, their coefficients present the expected signs

447 (positive): greater volume of traffic and greater section length are associated with more crashes. As for the

448 overall goodness of fit, the R^2 values obtained were similar to those reported by previous authors ([Abdel-Aty](#)

449 [and Radwan, 2000](#); [Camacho-Torregrosa et al., 2013](#)).

450

451

[Insert Figure 2 here]

452

453 **Figure 2** shows the residual analysis for the models calibrated for $AADT \leq 4,000$ veh/day and for

454 $AADT > 4,000$ veh/day with regard to the variables AADT, length and fitted crashes. As can be seen, the

455 residuals are substantially improved. Hence models will be created for different AADT values, as they will

456 significantly enhance the base model.

457 Regarding the outliers, the difference between adjusted and observed values was calculated in the entire

458 database and the data that had a large difference between the two were considered as possible outliers.

459 Seventeen points (3.74% of the sample) were detected as potential outliers. None of them caused a

460 significant drop in scaled deviance and therefore they were kept in the analysis ([Sawalha and Sayed, 2006](#)).

461 The same outlier process was carried out in each of the databases ($AADT < 4,000$ and $AADT > 4,000$) and the

462 same results were obtained; so all the possible outliers were kept in the analysis.

463 In addition, according to the outlier ignoring approach ([El-Basyouny and Sayed, 2010](#)), if few outliers are

464 identified, representing a small percentage of the sample size (e.g., less than 5%), it is still acceptable to

465 include them —especially if the analysts are not certain about whether or not they are outliers.

466 *3.2. Step 2: Results of best-fit models*

467 At this point the variables of **Table 2** are added to the exponent part of the model of Eq. 17. These models are
468 developed with all possible combinations of the available variables complying with all the criteria listed in
469 step 2, related to t-statistic, logical sign, no correlation and cumulative residuals. Models are calibrated
470 considering, separately, the $AADT \leq 4,000$ veh/day database (**Table 5**) and the $AADT > 4,000$ veh/day
471 database (**Table 6**). **Table 5** presents parameter estimates, p-values, and the goodness-of-fit measures for the
472 models with $AADT \leq 4,000$ veh/day.

473 **Table 5** only shows models with four variables. Models with more (five and six variables) are included in the
474 Appendix to simplify reading. These models give increasingly complex models without providing significant
475 improvements. No model with more than six variables meets the conditions of step 2.

476 **[Insert Table 5 here]**

477

478 **Table 5** shows that the variables AADT and length are significant and present the expected signs. The
479 variables participating in the models built with a single variable in the exponent part are:

- 480 - The consistency index C_c
- 481 - The driveway density (DD).

482 The variables that participate in the models with two variables in the exponent part are:

- 483 - The DD combined with variables: percentage of shoulder; percentage of paved shoulder; consistency
484 index C_c
- 485 - The longitudinal grade (LGr) combined with variables: average operating speed and consistency
486 index C_g .

487 All the variables in **Table 5** are significant ($p < 0.05$). The two exposure variables AADT and length have
488 positive signs, indicating that traffic volume and length increase crash occurrence. In the next section the
489 coefficients obtained for the rest of the variables will be interpreted.

490 Model 5 in **Table 5** presents the best goodness-of-fit values according to three of the four measurements of
491 fit calculated ($R^2=0.571$; $AIC=797.446$; $\chi^2=263.985$) and it includes the variables: AADT, length, percentage
492 of paved shoulder in the section and driveway density.

493 **Table 6** presents the parameter estimates, p-value, and goodness-of-fit measures for the models with AADT
494 $> 4,000$ veh/day.

495 **Table 6** only shows models with four variables. (Models with five variables are shown in the Appendix.) No
496 model with more than five variables meets the conditions of step 2.

497

498 **[Insert here Table 6]**

499

500 All the variables of **Table 6** are significant at the 95% confidence level. The variables AADT and length
501 have, as in **Table 6**, positive signs. The significance of the rest of the variables is explained below.

502 In the case of a single variable in the exponent part, the variables that intervene are:

- 503 - Percentage of heavy vehicles
- 504 - Average operating speed
- 505 - Consistency index C_p
- 506 - Driveway density.

507 In the case of two variables in the exponent part, the variables intervening are:

- 508 - Percentage of heavy vehicles combined with the variables: CCR; average operating speed;
509 consistency index C_p ; consistency index ΔV_{10}
- 510 - The mean longitudinal grade combined with variables: CCR; average operating speed; consistency
511 index C_p ; consistency index C_g ; consistency index ΔV_{10} ; and consistency index C_c

512 The models with variables in the exponent part present very similar values for R^2 , AIC, SD and χ^2 .

513 In the models developed in both databases ($AADT \leq 4,000$ and $AADT > 4,000$ veh/day), explanatory variables
514 that have statistically significant model parameters contribute to the explanation of the variability of crash
515 data and allow predicting crash frequencies at new locations not used in the model development. In addition
516 it is seen that no model is over-fitted, and therefore the results would be transferable to different locations.
517 Still, the extrapolation of these results to the same type of roadway in other countries is a matter to be
518 approached with caution.

519

520 *3.3. Step 3: Parsimonious models*

521 At this point it is necessary to confirm the variables that were added in Step 2 (meeting the criteria related to
522 t-statistic, logical sign, no correlation and cumulative residuals), moreover generated a significant drop in the

523 SD at a 95% level. If a given variable does not generate a significant drop, it is not kept in the model. Models
524 are calibrated considering, separately, the AADT<4,000 veh/day database and the AADT>4,000 veh/day
525 database.

526 If the parsimony criterion is applied in the AADT \leq 4,000 veh/day database, only two models are obtained:
527 model 1 (basic model) and model 2 in [Table 5](#). The driveway density (DD) variable is the only one that
528 should be retained in the model. None of the other variables should be added according to the parsimony
529 criterion because none of them meets the above criteria (t-ratio of its estimated parameter is not significant at
530 the 95% confidence level, the addition of the variable to the model does not cause a significant drop in the
531 scaled deviance at the 95% confidence level, or it does not have a logical sign).

532 If the parsimony criterion is applied in the AADT>4,000 veh/day database, the only resulting model is model
533 1 (basic model) of [Table 6](#). None of the other variables should be added according to this criterion.

534 In both databases, the parsimonious models have proved to be quite simplistic. This is a good solution if the
535 data available to researchers is limited. Moreover, as underlined by [Mannering and Bhat \(2014\)](#), if a model is
536 developed using only the volume of traffic and length as explanatory variables, it will exclude significant
537 explanatory variables bias because there are clearly many other factors affect the frequency of crashes.

538 *3.4. Analysis of variables in the models*

539 In order to facilitate interpretation of the models obtained for AADT under and over 4,000 veh/day,
540 following several authors ([Osgood, 2000](#); [Olmstead, 2001](#); [Chin and Quddus, 2003](#)), the coefficients are
541 transformed to incidence rate ratios (IRR) —i.e., e^{β} rather than β . IRR can take on different values. If the IRR
542 of a given variable is much less than 1.0, then an increase in the value of the variable is associated with a
543 significant improvement in safety. Conversely, if the IRR is much greater than 1.0, an increase in the value
544 of the variable is associated with a significant decline in safety. Otherwise, the variable has no effect on
545 safety ([Chin and Quddus, 2003](#)).

546

547 **[Insert Table 7 here]**

548

549 [Table 7](#) shows the final set of all the variables included in the models, their maximum and minimum
550 coefficients, the models where they appear and the corresponding IRR. To facilitate interpretation, the

551 IRR^{0.10} is given, indicating the effect that a 10% increase in the independent variable would have on the total
552 number of crashes.

553 *Models for AADT_{≤4,000} veh/day database*

554 Of all the geometric variables considered in the models calibrated in the AADT_{≤4,000} veh/day database, the
555 only ones kept in the models are the average longitudinal grade (LGr) and the average operating speed
556 (V_{85avg}). LGr presents a negative sign, thus indicating that when the average longitudinal grade increases, the
557 occurrence of crashes decreases. Several studies (Pardillo and Llamas, 2003; Pardillo et al., 2006; Montella
558 et al., 2008; Montella, 2010; Cafiso et al., 2013) report similar results. The coefficients for LGr vary between
559 -0.0171 and -0.0128 (Table 5 and Table 7), indicating that all other things being equal, an increase of 10% in
560 longitudinal grade is associated with a 0.1%-0.2% reduction in total annual crashes (IRR^{0.1} between 0.999
561 and 0.998). This value for IRR indicates that longitudinal grade has little effect on safety.

562 V_{85avg} shows a negative sign, indicating that if V_{85avg} increases, the occurrence of crashes decreases. This is
563 logical if one considers (disregarding other factors) that higher speed on flat terrain could be indicative of
564 good road design, hence fewer crashes. Hauer et al. (2004) found that the higher the speed limit, the fewer
565 the expected crashes. It is likewise possible that roads where a low speed is posted may be considered to be
566 of high risk. IRR^{0.1} for V_{85avg} is 0.998, indicating that all other things being equal, a 10% increase in V_{85avg} is
567 associated with a 0.2% reduction in total annual crashes.

568 C_g and C_c present a positive sign, indicating that the worse the section, the greater the number of crashes
569 expected (Ng and Sayed, 2004; Cafiso et al., 2010; Camacho-Torregrosa et al., 2013; Garach et al., 2014).
570 IRR for C_c is 1.000, meaning this variable has no effect on safety. The IRR^{0.1} for C_g is 0.977, so that other
571 things being equal, an increase of 10% in C_g is associated with a 2.3% reduction in total annual crashes.

572 Among the context variables, the percentage of shoulder and the driveway density variables are found to
573 contribute to accident occurrence significantly. The estimated coefficients of the variable percentage of
574 shoulder (paved or not paved) are highly significant.

575 The coefficient for the percentage of shoulder is -0.5116, indicating that, all other things being equal, an
576 increase of 10% in the percentage of shoulder is associated with a 5% (IRR^{0.1} is 0.950) reduction in total
577 annual crashes. The variable percentage of paved shoulder has a similar effect, reducing the number of
578 crashes by 6.3% when there is an increase of 10% for paved shoulder in the segment. The negative sign

579 accompanying these variables has also been reported by other authors. [Head and Kaestner \(1956\)](#) concluded
580 that total crashes increase with increasing shoulder width, except for roadways having AADT between 3,600
581 and 5,500 veh/day. [Perkins \(1956\)](#) found that all accident types decreased with increased shoulder width for
582 AADT's between 2,600 and 4,500 veh/day. [Stohner \(1956\)](#) observed reductions in crashes as shoulder width
583 increased, especially in the 2,000-6,000 AADT range. [Hadi et al. \(1995\)](#) found that increasing lane and
584 shoulder widths decreased the accident rate. [Fitzpatrick et al. \(2000\)](#) reported that the number of crashes
585 decreased when shoulder and lane width increased. [Dell'Acqua and Russo \(2008\)](#) concluded that accident
586 frequency increases with lower roadway paved width. [Anastasopoulos et al. \(2008\)](#) also concluded that the
587 number of crashes decreases when the shoulder width is greater.

588 Driveway density has a positive sign, indicating that higher driveway density increases the likelihood of
589 accident occurrence. Other authors have arrived at similar results ([Fitzpatrick et al., 2000, 2010](#); [Pardillo and](#)
590 [Llamas, 2003](#), [Pardillo et al., 2006](#); [Cafiso et al., 2010](#)). This variable intervenes in the four models. In all of
591 them the coefficient ranges from 0.1121 to 0.1145, thus indicating that a 10% increase in driveway density is
592 associated with increase of 1.1%-1.2% in the number of crashes ($IRR^{0.1}$ is between 1.011-1.012).

593 *Models for AADT>4,000 veh/day database*

594 In the models obtained for AADT>4,000 veh/day, among the exposure variables, the percentage of heavy
595 vehicles has a high influence on crashes (models 2, 6-9 in [Table 6](#)). The highest value for β is 2.0429 ([Tables](#)
596 [6 and 7](#)), which means that a 10% increase in the percentage of heavy vehicles would result in a 22.7%
597 greater crash occurrence (IRR^{10} is 1.227). This variable has a positive sign: a higher number of crashes is
598 associated with the higher percentage of heavy vehicles. [Ramírez et al. \(2009\)](#) demonstrated, with different
599 roadway types, that a reduction in the total number of crashes would occur as a result of a drop in the number
600 of heavy vehicles. [Hosseinpour et al. \(2014\)](#) presented similar findings.

601 CCR, average longitudinal grade, and average operating speed also contribute to accident occurrence. CCR
602 has a high influence on crashes. The parameters maximum and minimum estimate for CCR are 2.1633 and
603 1.9699 ([Table 7](#)). These values show that a 10% increase in the percentage of CCR increases the number of
604 crashes by an average of 24.2% (IRR^{10} is 1.242) or 21.8% (IRR^{10} is 1.218). The positive sign by this variable
605 indicates that the greater the change in curvature, the more the expected crashes. [Cafiso et al. \(2013\)](#)
606 obtained the same sign for this variable. The average longitudinal grade and the average operating speed

607 variables have the same signs as in the models obtained for $AADT \leq 4,000$ veh/day. The values of IRR are
608 also similar, although they have a lesser influence on crashes (they are associated with a 0.1%-0.2%
609 reduction in total annual crashes).

610 The consistency variables that intervene in all the models are: indexes C_g and C_p , and $\Delta V10$. Index C_g has a
611 negative sign, as in the $AADT \leq 4,000$ veh/day database, indicating that the worse the road design, the greater
612 the number of crashes expected. However, the coefficient that accompanies this variable in the $AADT > 4,000$
613 database is lower, meaning that the variable is less influential with regard to crashes (IRR¹⁰ is 0.986, hence a
614 1.4% reduction in total annual crashes). Index C_p has a negative sign that leads to the same interpretation as
615 for C_g . The IRR¹⁰ for C_p varies between 0.998 and 0.987, indicating that all other things being equal, an
616 increase by 10% in C_p is associated with a reduction between 0.2%-1.3% in total annual crashes. $\Delta V10$
617 presents a positive sign, indicating that more the differences in speed (over 10 km/h) among successive
618 elements entail a greater probability of crash occurrence. This variable has little effect on safety, given that
619 the IRR¹⁰ varies only from a minimum of 1.007 to a maximum of 1.008; a 10% increase in the variable
620 $\Delta V10$ is associated with an increase of 0.7%-0.8% in total crashes.

621 The only context variable that intervenes in the models is driveway density, with the same positive sign as
622 seen for the models obtained in the $AADT \leq 4,000$ veh/day database. This variable affects crashes less in the
623 $AADT > 4,000$ day database than in the $AADT \leq 4,000$ database. In the latter, as commented earlier, the
624 coefficients of the order of 0.11 would indicate that a 10% increase in the driveway density variable is
625 associated with approximately 1% more crashes. In the database with $AADT > 4,000$ the coefficient of 0.0524
626 implies an increase in crashes of 0.05%.

627 *Comparison of the models obtained for $AADT \leq 4,000$ veh/day and for $AADT > 4,000$ veh/day*

628 A general comparative analysis of the models obtained in both databases shows that there are variables that
629 have a great effect in one database but not in the other. For example, the variables percentage of heavy
630 vehicles and curvature change rate (CCR) are included in the $AADT > 4,000$ veh/day database and not in the
631 other; whereas the variables percentage of shoulder (paved or not paved) and driveway density are in the
632 $AADT \leq 4,000$ veh/day database but not in the other.

633 A detailed comparison of the models obtained in the two databases points to these noteworthy findings:

- 634 ▪ In five models for $AA\text{DT} > 4,000$ veh/day there appears the variable percentage of heavy vehicles
635 (not appearing for $AA\text{DT} \leq 4,000$ veh/day). In the $AA\text{DT} > 4,000$ veh/day database, the percentage of
636 heavy vehicles has, together with the variable CCR, the greatest relative effect on the crash
637 frequency among all the independent variables. Thus, a 10% increase in %hv is thought to cause an
638 increase of up to 22.7% (model 7) in the fatal crashes. It is logical that heavy vehicles influence
639 crash statistics on roadways with high traffic volume more than they do on roadways with low traffic
640 volume. A high volume of traffic usually translates as high light vehicle traffic, which could produce
641 scenarios of even greater traffic conflicts caused by speed differences, resulting in overtaking
642 maneuvers using the oncoming lane, thereby increasing the risk of crashes.
- 643 ▪ CCR is included in roadways with $AA\text{DT} > 4,000$ veh/day but does not take part in any model when
644 the database is $AA\text{DT} \leq 4,000$ veh/day. This variable has a high effect on the crashes in roadways
645 having $AA\text{DT} > 4,000$ veh/day, as a 10% increase in CCR is thought to cause an increase of up to
646 24.2% (model 10) in the crashes. Therefore, roadways with a volume of traffic over 4,000 veh/day
647 should take special care regarding curvature changes. The high volume of traffic could produce a
648 greater number of dangerous maneuvers in which a change in curvature would favor the occurrence
649 of crashes.
- 650 ▪ The percentage of shoulder (paved or not paved) participates in the models based on $AA\text{DT} \leq 4,000$
651 veh/day, but in no model with the database $AA\text{DT} > 4,000$ veh/day. Roadways with a greater volume
652 of traffic usually have a shoulder, and it is usually paved; whereas along roadways with less traffic
653 this is generally not the case. Moreover, the effect of both these variables in the models with
654 database $AA\text{DT} \leq 4,000$ veh/day is considerable. Coefficients between -0.5111 and -0.6464 indicate
655 that a 10% increase in this variable is associated with a reduction in total crashes between 5% and
656 6.3%.
- 657 ▪ When $AA\text{DT} > 4,000$ veh/day, the driveway density appears in just one of the models, while this
658 variable intervenes in four of the models when $AA\text{DT} \leq 4,000$ veh/day. The coefficients show that
659 this variable has more impact on crashes in the $AA\text{DT} \leq 4,000$ veh/day database than in the
660 $AA\text{DT} > 4,000$ veh/day database. In the former, the regression coefficient of the order of 0.11

661 indicates that an increase by 10% in the variable driveway density means an increase in crashes of
662 1.1%; in turn, in the database of roadway with AADT>4,000 veh/day the regression coefficients
663 around 0.05 point to an increase of 0.5%. This could be due to the fact that roads with more traffic
664 volume have more controlled access than roadways with less traffic. In addition, Spanish legislation
665 allows left turns on roadways with AADT≤5,000 veh/day if they have a middle lane for waiting, but
666 left turns are not permitted on roadways with AADT>5,000 veh/day.

667 ▪ C_g intervenes in models of both databases and it presents the same effect as CCR: inconsistencies in
668 the road's design with high traffic volumes can give rise to a great number of dangerous maneuvers,
669 with an ensuing greater risk of crash occurrence.

670 4. CONCLUSIONS

671 This paper investigates the relationship between crash frequency and several variables related with exposure,
672 geometry, consistency and context for Spanish two-lane rural highways on flat terrain. Cumulative residual
673 analysis of the model built with only the variables AADT and length made it possible to identify regions
674 where the model either under- or over-estimates crashes. The original sample was divided on the basis of
675 ranges of the explanatory variable AADT. Stratification for AADT under and over 4,000 veh/day led to a
676 significant improvement of the models generated.

677 The parsimonious models have proved to be quite simplistic in both databases. This is a good solution if the
678 data available to researchers is limited. The problem is that the model will be excluding significant
679 explanatory variables bias because there are clearly many other factors affecting the frequency of crashes.

680 The fully specified models show appreciable differences for the SPF obtained in each one of the databases.
681 In the AADT>4,000 veh/day database, the percentage of heavy vehicles has a large effect on the crash
682 frequency. A 10% increase in the percentage of heavy vehicles is determined to cause a 22% increase in the
683 occurrence of crashes. The variable CCR is also highly significant for crashes on this roadway type, as a
684 10% increase in CCR means 24% more crashes. Neither of these variables is included in the models for
685 AADT≤4,000 veh/day.

686 In the AADT≤4,000 veh/day database, the percentage of shoulder (paved or not paved) bears a high
687 influence on crashes. According to the models generated, an increase of 10% in these variables is associated
688 with around a 5% reduction in total crashes. Notwithstanding, this variable does not participate in any model

689 generated for $AADT > 4,000$ veh/day, as highways with a greater volume of traffic normally have a shoulder,
690 most often a paved shoulder, whereas roadways with less traffic do not. The driveway density takes part in
691 four models of the $AADT \leq 4,000$ veh/day database and in just one model based otherwise. In the first
692 database an increase of 10% in the variable driveway density would give an increase of 1.1% in the
693 occurrence of crashes, while in the $AADT > 4,000$ veh/day database, there would be an increase of 0.5%. On
694 roadways with greater volumes of traffic, the number of driveways is usually regulated and channeled
695 through service roads. Furthermore, Spain's regulations allow for left turns on roadways with AADT under
696 5,000 veh/day as long as there is a middle lane for waiting, whereas this is not allowed for roadways with
697 $AADT > 5,000$ veh/day.

698 In view of the results expounded here, Spain's Highway Administration should pay special attention to the
699 curvature changes and the percentage of heavy vehicles on two-lane rural highways with a volume of traffic
700 exceeding 4,000 veh/day, as well as the percentage of shoulder and the driveway density on two-lane rural
701 highways with a volume of traffic under 4,000 veh/day. Extrapolation of these results to this same type of
702 roadway in other countries is a matter to be approached with caution.

703 As future work, different stratifications of the sample according to the different AADT values could be
704 analysed.

705 An additional analysis could also be carried out using advanced techniques to deal with variation of the
706 effectiveness of predictor. Some of these techniques might be: Generalized Additive Models (GAM) which
707 offer more flexible functional forms than traditional generalized models and allow for more adaptable
708 variable interactions (Li et al., 2010); or Multivariate Adaptive Regression Splines (MARS) which avoid the
709 over-estimation problem through consideration of interaction impacts between variables (Park, 2015).

710 Furthermore, the developed crash prediction models predict crashes for all types of accidents and they do not
711 distinguish crash severity levels. If enough data were available, it would be interesting to conduct analyses
712 for different crash types and severity levels in future research efforts.

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