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Additional Information

Improvement and application of a methodology to perform the Global Energy Balance in internal combustion engines. Part 1: Global Energy Balance tool development and calibration

Francisco Payri, José Javier López, Jaime Martín*, Ricardo Carreño

CMT-Motores Térmicos, Universitat Politècnica de València, Camino de Vera s/n, 46022, Valencia, Spain

Abstract

The increasingly stringent internal combustion engines emissions regulations, has led to the extended use of after-treatment systems, giving progressively more importance to the engine efficiency optimization. In this framework, the combined modelling and experimental methodologies to perform and analyse the energy balance are key to evaluate the potential of different engine strategies aimed at the consumption optimization and the identification of the improvement paths. This work has been divided into two parts, dealing separately with the development and application of a global energy balance tool. This article corresponds to the first part, which comprises the description of the models required to perform a detailed energy balance and the calibration methodologies followed to achieve accurate energy terms estimation. The models are calibrated based on experimental information, thus, a thermodynamic analysis aimed at defining comparable quantities between experimental and modelled terms is performed. The uncertainty analysis of the tool shows a deviation in the determination of the heat transfer to the coolant and the oil of about $\pm 2\%$, and in terms of fuel energy about $\pm 1\%$.

Keywords: Energy balance, Internal combustion engines, Heat transfer, Consumption reduction

*Corresponding author. Tel: +34963877650; fax: +34963877659
Email address: jaimardi@mot.upv.es (Jaime Martín)
URL: www.cmt.upv.es (Jaime Martín)

Nomenclature

c_v	Specific heat at constant volume	[J/kgK]
\dot{H}_{bb}	Blow-by sensible enthalpy flow	[W],[% $m_f H_v$]
\dot{H}_g	Net sensible enthalpy flow of exhaust gases	[W],[% $m_f H_v$]
\dot{H}_{ic}	Incomplete combustion energy term	[W],[% $m_f H_v$]
H_v	Heating value	[W]
\dot{m}	Mass flow rate	[kg/s]
N_a	Auxiliary power consumption	[W],[% $m_f H_v$]
$N_{fr,bear}$	Bearings friction losses	[W],[% $m_f H_v$]
$N_{fr,valv}$	Valves friction losses	[W],[% $m_f H_v$]
N_{cool}	Coolant pump power consumption	[W],[% $m_f H_v$]
N_e	Indicated efficiency	[W],[% $m_f H_v$]
N_{oil}	Fuel pump power consumption	[W],[% $m_f H_v$]
N_{fr}	Friction energy losses	[W],[% $m_f H_v$]
N_{oil}	Oil pump power consumption	[W],[% $m_f H_v$]
N_p	Pumping power	[W],[% $m_f H_v$]
$N_{fr,pis}$	Piston rings friction losses	[W],[% $m_f H_v$]
N_{turbo}	Turbocharger power	[W],[% $m_f H_v$]
η_b	Brake efficiency	[% $m_f H_v$]
η_i	Brake power	[% $m_f H_v$]
η_m	Mechanical efficiency	[% $m_f H_v$]
p	In-cylinder pressure	[bar]
$RoHR$	Rate of Heat Released	[J/°]
\dot{Q}_a	Heat transfer in the intercooler	[W],[% $m_f H_v$]
\dot{Q}_{cool}	Heat transfer to the coolant	[W],[% $m_f H_v$]
\dot{Q}_{EGR}	Heat transfer in the EGR cooler	[W],[% $m_f H_v$]
\dot{Q}_{ext}	Heat transfer to the ambient	[W],[% $m_f H_v$]
\dot{Q}_{misc}	Miscellaneous energy term	[W],[% $m_f H_v$]
\dot{Q}_{oil}	Heat transfer to the engine body oil in the engine block	[W],[% $m_f H_v$]
\dot{Q}_{tot}	Total Heat transfer	[W],[% $m_f H_v$]
\dot{Q}_{turbo}	Heat transfer to the turbocharger oil	[W],[% $m_f H_v$]
\dot{Q}_{unbal}	Unbalance energy term	[W],[% $m_f H_v$]
T	Temperature	[K], [°C]
V	Volume	[m ³]

Abbreviations

DI	Direct injection
ECU	Engine control unit
EGEB	External Global Energy Balance
EGR	Exhaust Gases Recirculation
GEB	Global Energy Balance
HT	Heat Transfer
ICE	Internal Combustion Engine
IGEB	Internal Global Energy Balance
IVC	Intake Valve Closing
PCV	Pressure control valve
RTD	Resistance Temperature Detectors
SOI	Start Of Injection
TDC	Top Dead Centre
VCV	Volume control valve

1. Introduction

In spite of the stringent emissions regulations imposed in the last years and the growing use of electric and hybrid powertrains, the Internal Combustion Engine (ICE) is still the most widespread technology in the automotive sector. To comply with the regulations aimed at the reduction of the NO_x , HC and soot emissions, in the last two decades the engine research has been mainly focused on the limitation of pollutants formation during the combustion process and the reduction of the engine tailpipe emissions [1]. The injection strategy and combustion process have an important influence to control and reduce the harmful emissions, thus several works regarding the influence of the injection strategy [2], the high injection pressure [3] and the use of multiple injections [4, 5] on performance and emissions have been carried out. With the same purpose, the effect on combustion of different air management strategies such as high boost pressure [6] and the generation of high swirl [7] and tumble ratios [8] have been also widely studied. There is a trade-off between performance and emissions that has lead the research interest to the development of alternative strategies such as the use of Exhaust Gases Recirculation (EGR) [9], variable valve timing [10], engine control systems [11] or cleaner fuels [12]. However, **despite these techniques aimed at improving the in-cylinder processes, the use of after treatment systems has become a general necessity in the automotive industry [13] in order to fulfil the current, as well as the upcoming, emissions regulations.** Since further reduction of emission formation during the combustion process is barely attainable, the attention has been moving to the possibilities of optimizing the thermo-fluid-dynamic processes in the engine to improve the fuel consumption and reduce CO_2 emissions [14].

The improvement efforts have been directed to different research areas such as thermal management [15], indicated cycle optimization [16], in-cylinder heat transfer reduction [17], friction reduction by means of low viscosity oil [18] or spray coating [19], turbocharger friction reduction [20] and engine downsizing [8] among others. In order to evaluate the benefits of a specific engine strategy, performing the Global Energy Balance (GEB) shows as a useful methodology to identify the paths followed by the chemical fuel energy. The identification of the energy repartition allows determining the effect of different processes inherent to ICE operation such as cooling, lubricating, fuel injection and air management. Therefore, the cost-benefit can be evaluated, and further development and improvement alternatives can be proposed.

The GEB is carried out by means of experimental, theoretical or combined techniques, depending on the specific research objective and the level of detail required. Different works dealing with experimental GEB can be found in the literature, and are performed to assess the effect of alternative fuels [21] and fuels blends [22] on the thermal efficiency, the Heat Transfer (HT) variation by insulate the combustion chamber walls [17] or the analysis of the engine operating conditions in the energy repartition [23]. In the most general approaches, the energy balance can be performed by considering at least the brake power, the cooling losses and the exhaust gas energy; however, more detail can also be achieved by considering the coolant and oil HT separately [23], or by defining combined terms that

35 accounts for energy losses with minor impact such as heat rejection to the ambient, blow-by enthalpy and unburned
36 fuel [17].

37

38 The theoretical modelling is a useful way to predict the main energy trends and allows simulating multiple engine
39 configurations at lower cost than an experimental installation, thus providing guidelines for further engine improve-
40 ment. There are few works dealing with the complete simulation of the energy balance terms; they can be performed
41 from different points of view that can range from performing the analysis on the combustion chamber [24], simulating
42 the HT at different engine sub-systems [25] or focusing in specific process such as engine cooling [26]. However,
43 the complex phenomena involved in real operation makes difficult the accurately simulation of the energy terms, and
44 hence the engine behaviour. For this reason, some models to assess different cooling strategies [27] or the engine
45 operation during warm-up [28] usually require validation based on experimental information. **As can be seen, there is**
46 **a gap of works dealing with a complete energy balance of ICE, which provides detailed energy terms and combines**
47 **experimental and modelling information sources to perform a thoroughly an integral analysis. To fill this gap, this**
48 **work achieves the following main objectives:**

- 49 • **A new methodology to perform and analyse the GEB through the combination of experimental and theoretical**
50 **models is introduced. This methodology consists on a comprehensive definition of the energy balance, which**
51 **includes the most relevant internal processes.**
- 52 • **Due to the complexity of the energy terms determination, both experimental and modelling results have to be**
53 **used. The models developed in previous works are properly cited; thus, this works includes only new models**
54 **and some relevant ones, which are adjusted by means of an adjustment methodology thoroughly described.**

55 The complete work has been dividing in two parts. This first deals with the GEB sub-models development and their
56 calibration based on experimental tests. The objective is to assure a good agreement between the experimental and
57 modelling results, thus providing reliability to the detailed energy repartition and allowing a comprehensive combined
58 analysis, from which an application will be presented in the second part [29].

59 **2. Methodology**

60 This work is focused, on the one hand, to the development of the GEB tool and all the sub-models required, and
61 **on the other hand**, to the comprehensive description of the adjustment methodology to ensure **accurate** heat flows
62 estimations. The first task consists on the definition of the energy terms to be considered, taking into account that the
63 number of variables and the obtaining process may differ among authors [30]; thus, a description of the GEB from
64 the authors point of view is firstly presented. The energy interactions occurring in the engine are considered from
65 two points of view: **the first one is called External Global Energy Balance (EGEB), and it corresponds to the energy**
66 **flows assuming that the engine is a black box that exchanges energy with the surrounding through the brake power,**

67 the heat flows and the incoming and outgoing enthalpy flows, which can be experimentally determined. On the other
68 hand, the Internal Global Energy Balance (IGEB) includes the processes which take place inside the engine, i.e. HT
69 from the gases to the chamber, mechanical losses, auxiliary energy consumption and some HT interactions between
70 the energy flows going through the engine, which are mostly determined by means of models. As the energy terms
71 of the IGEB originate the terms of the EGEB, these two approaches will allow the comparison of experimental and
72 modelling results.

73
74 To calibrate the sub-models and to evaluate the global performance of the tool, it is necessary to determine com-
75 parable HT terms between the external and internal points of view. Thus, the HT to the coolant (\dot{Q}_{cool}) and the oil
76 (\dot{Q}_{oil}) will be analysed in detail, and a total HT term (\dot{Q}_{tot}) will be defined. To achieve a better agreement between
77 the experimental and modelled terms, all the interactions taking place in the normal engine operation are taken into
78 account in those definitions.

79
80 As shown in Figure 1, the calibration and development of the models required is carried out at 4 steps:

- 81 • **Step 1:** one of the most important modelling results is the HT to the chamber walls; therefore, a complete
82 calibration of the HT model using motoring and combustion tests is firstly performed. The process starts with a
83 global adjustment procedure, henceforth called *Engine characterization*, aimed at reducing some uncertainties
84 regarding the engine geometry (compression ratio - CR - and TDC position - $\Delta\alpha_{TDC}$ -), and some sub-models fitting
85 constants (heat transfer model coefficient C_{w1} of the Woshni-based model detailed in section 6 and the constant
86 k_{def} of a simple deformation model -see the appendix-). These uncertain parameters are adjusted with the
87 criterion of reducing the residuals of an apparent Rate of Heat Released ($RoHR$) in a sweep of engine speed at
88 motoring conditions, considering that in motoring conditions there is not heat release. This methodology was
89 presented in a previous work [31]. This step allows ensuring accurate in-cylinder properties calculation, being
90 key parameters for the sub-models, specially the HT model. The process continues with the refinement of the
91 HT model in combustion conditions. In this case, the adjustment criterion consists on reaching an apparent
92 combustion efficiency (ACE) of 100%. Note that the results of the *Engine characterization* and the HT model
93 calibration have cross effects; thus, the optimal set of values is obtained through an iterative process.
- 94 • **Step 2:** despite most of the HT from the hot gases to the engine walls occurs in the chamber during the closed
95 cycle, the HT to the ports during open cycle cannot be neglected, specially at the exhaust ports, considering
96 the high temperature and velocity of the exhaust gases. Thus, a convective model to determine the HT to the
97 ports is developed, and it is adjusted to reduce the difference between the experimental and modelled \dot{Q}_{tot} . The
98 model to estimate the HT to the exhaust ports during open cycle is calibrated taking into account that it has
99 more weight in the GEB than the HT to the intake ports (about 90% of the HT to ports occurs during this phase,
100 which is about 30% of the total modelled HT).

- **Step 3:** to attain a good modelling of the HT to the coolant and the oil, it is necessary to know the detailed repartition of auxiliary (N_a) and friction (N_{fr}) losses, since most of them are dissipated in the cooling and lubricating systems. Therefore, the calibration process of the mechanical losses model is described.
- **Step 4:** once the HT and the mechanical losses are calibrated, the experimental and modelled heat rejection to coolant and oil are evaluated separately. At this point, the only interaction that has not been yet considered is the HT between the oil and the coolant in the engine block ($\dot{Q}_{oil,cool}$). Thus, a model accounting for $\dot{Q}_{oil,cool}$ is developed and adjusted based on the reduction of the difference between the experimental and modelled \dot{Q}_{oil} .

The model development and the adjustment methodology implementation results in a completely calibrated energy balance tool, suitable to obtain precise information of the engine energy terms considering different points of view, which are useful to understand and improve the energy management in ICE.

3. Experimental setup

Despite the methodology proposed is general and can be applied in different types of engines, the experimental work presented was carried out in a DI Diesel engine, whose main characteristics are presented in Table 1. To attain a better control of the engine operation parameters and to perform the experimental measurements, some modifications were carried out in the original engine systems. Thus, the original coolant and oil circuits were adapted to measure the heat rejection to the coolant, to the oil in the engine block and to the oil in the turbocharger independently.

The test cell includes specific instrumentation along with a control system designed for the detailed and accurate heat flows measurement. The technical characteristics of the test cell instrumentation is presented in Table 2 while the schema of the test cell is shown in Figure 2. The installation was prepared to acquire, on the one hand, the standard data required for combustion diagnosis and to perform the heat transfer, friction and auxiliary modelling, and on the other hand, the experimental information necessary to estimate the experimental energy balance terms. Thus, the in-cylinder pressure, some mean variables (e.g. air and fuel mass flows, temperature and pressure at intake and exhaust lines, etc.) and some liquids (oil and coolant) mass flows and temperatures were measured.

To measure the in-cylinder pressure, which is the main input for the internal processes modelling, several AVL GH13P piezo-electric transducers were installed at the glow plug hole of each cylinder. The signal provided by the piezo-electric transducer was conditioning by means of a Kistler 5011B amplifier and the digital processing was performed following the methods described in [32, 33]. In order to ensure the accuracy of the pressure signal obtained, the pressure sensors were calibrated according to the traditional method proposed in [34].

As the presented methodology requires several experimental inputs, special attention was paid to the reduction of experimental uncertainties. On the one hand, a calibration of all the experimental equipment was performed,

134 following the standard procedures, to reduce systematic errors. On the other hand, the random uncertainties depend
 135 on the devices precision and other experimental uncertainties (that cannot be controlled). In order to obtain reliable
 136 results, the repeatability of the measurements was checked, to discard that random errors distort the results. Thus,
 137 three repetitions of each operating point were measured, evaluating the repetitiveness of the measurement by means
 138 of the standard deviation.

139 4. Global energy balance

140 Taking into account all the energy transformations that take place in an engine, the paths followed by the different
 141 energy terms throughout the engine operation are presented in Figures 3 and 4. Note that these schemes correspond
 142 to Diesel engines, but they can be used for different engine technologies with slight modifications. For the sake of
 143 comprehension, the energy flows and interactions are represented in two different approaches. The first point of view
 144 is called External Global Energy Balance (EGEB), and it corresponds to the energy flows assuming that the engine is
 145 a black box that exchanges energy with the surrounding through the brake power, the heat flows and the incoming and
 146 outgoing enthalpy flows, which can be experimentally determined. These terms are represented outside the dashed
 147 line in Figure 4. On the other hand, the Internal Global Energy Balance (IGEB) includes the processes which take
 148 place inside the engine, i.e. HT from the gases to the chamber, mechanical losses, auxiliary energy consumption and
 149 some HT interactions between the energy flows going through the engine, which are mostly determined by means
 150 of models. These terms are represented inside the dashed line in Figure 4. Note that the energy terms of the IGEB
 151 originate the terms of the EGEB; therefore, these two approaches will allow the comparison of experimental and
 152 modelling results.

153 4.1. External global energy balance

154 In this analysis, the engine is assumed to be a black-box that exchanges energy with the surrounding through the
 155 brake power, heat flows and incoming and outgoing enthalpy fluxes, (terms outside of the dashed line in Figure 4).
 156 The energy flows entering the engine are the sensible enthalpies of air $\dot{m}_a h_a^{sens}$ and fuel $\dot{m}_f h_f^{sens}$, and the chemical
 157 energy of the fuel $\dot{m}_f H_v$. The main outlet energy flows are the brake power N_b , the heat flow to the coolant \dot{Q}_{cool} , the
 158 sensible enthalpy of the exhaust gases $\dot{m}_{exh} h_{exh}^{sens,ext}$, which is calculated at the turbine outlet (point 4 of Figure 3), the
 159 heat flow removed in the oil exchanger \dot{Q}_{oil} , the HT to the turbo oil \dot{Q}_{turbo} , the heat flow in the intercooler \dot{Q}_a , the
 160 small term corresponding to the heat loss in the fuel returning line \dot{Q}_f and the enthalpy flow due to blow-by losses
 161 $\dot{m}_{bb} h_{bb}^{sens}$. From a thermodynamic point of view, the energy terms presented in Figure 4 are coherent, but for the sake
 162 of comprehension, it is interesting to rearrange some of them to perform the detailed analysis of the energy repartition.
 163 In this sense, the first law in the case of the EGEB can be expressed as:

$$\dot{m}_f H_v = N_b + \dot{Q}_{cool} + \dot{Q}_{oil} + \dot{H}_{g,ex} + \dot{Q}_a + \dot{Q}_{turbo} + \dot{Q}_f + \dot{H}_{ic} + \dot{H}_{bb} + \dot{Q}_{ext} + \dot{Q}_{unbal,ex} \quad (1)$$

164 where $\dot{H}_{g,ex}$ is net flow of sensible enthalpy of air and fuel, determined by means of a balance between the incoming
 165 ($\dot{m}_a h_a^{sens}$ and $\dot{m}_f h_f^{sens}$) and outgoing ($\dot{m}_{exh} h_{exh}^{sens,ext}$) enthalpy flows between compressor inlet and turbine outlet (between
 166 points 1 and 4 of Figure 3), \dot{H}_{bb} is the net flow of sensible enthalpy of blow-by and $\dot{Q}_{unbal,ex}$ is the unbalance term due
 167 to experimental and modelled uncertainties.

168
 169 The determination of the energy terms involved in the EGEB is based on the experimental measurements of air,
 170 fuel, coolant and oil flow rates and the temperatures at the inlet and outlet of their respective coolers as presented in
 171 Figure 2. The brake power is determined by means of the engine torque and speed measurement. The most relevant
 172 terms of the EGEB have been experimentally acquired; however, some small terms have to be estimated. The HT to
 173 the ambient (\dot{Q}_{ext}) includes the heat rejection from the engine block ($\dot{Q}_{ext,block}$), turbocharger ($\dot{Q}_{ext,turbo}$) and exhaust
 174 ports ($\dot{Q}_{ext,ports}$), which are estimated considering convection and radiation between them and the surroundings, as-
 175 suming that the walls are at the coolant temperature. Finally, the incomplete combustion term \dot{H}_{ic} is estimated with
 176 correlations based on *HC*, *CO* and soot measurements.

177
 178 For the sake of simplicity, some quantities difficult to be measured such as \dot{Q}_{ext} , some terms with small relative
 179 weight such as \dot{Q}_{turbo} , \dot{Q}_f , \dot{H}_{ic} and \dot{H}_{bb} , and the experimental unbalance $\dot{Q}_{unbal,ex}$ are grouped in a miscellaneous term
 180 \dot{Q}_{misc} as presented in Equation (2).

$$\dot{Q}_{misc} = \dot{Q}_{ext} + \dot{Q}_{turbo} + \dot{Q}_f + \dot{H}_{ic} + \dot{H}_{bb} + \dot{Q}_{unbal,ex} \quad (2)$$

181 4.2. Internal global energy balance

182 The internal analysis of the energy balance includes all the terms inside the box delimited by the dashed line of
 183 Figure 4. Contrary to the external analysis, the IGEB has to be performed through modelled terms and only a few
 184 experimental variables are required, being the most important the in-cylinder pressure. Apart from the brake power,
 185 the IGEB considers the HT from the hot gases to the combustion chamber \dot{Q}_{cham} , which is determined by means
 186 of an adapted HT model [35] based on the Woschni proposal [36, 37]. \dot{Q}_{cham} can be also split in heat transfer to
 187 piston (\dot{Q}_{pis}), liner (\dot{Q}_{lin}) and cylinder head (\dot{Q}_{ch}), which are finally dissipated to the coolant ($\dot{Q}_{cham,cool}$) and the oil
 188 ($\dot{Q}_{cham,oil}$). To perform this detailed HT repartition, a lumped conductance model [38, 39] which also accounts for the
 189 wall temperature determination is used.

190
 191 The internal analysis also considers the HT to the ports \dot{Q}_{ports} , the sensible enthalpy of the exhaust gases $\dot{m}_{exh} h_{exh}^{sens}$
 192 (calculated at the point 3' of Figure 3), the friction N_{fr} (i.e. piston $N_{fr,pis}$, bearings $N_{fr,bear}$ and valves $N_{fr,valv}$), the
 193 energy consumption of the auxiliary systems N_a (i.e. the coolant pump N_{cool} , oil pump N_{oil} and fuel pump N_f), and
 194 finally, the EGR heat losses \dot{Q}_{EGR} . Some additional consideration about the internal processes have to be mentioned:

- 195 – The turbocharger power N_{turbo} is partially dissipated as heat rejection to the ambient ($\dot{Q}_{ext,turbo}$) and as HT in
 196 the intercooler (calculated along with \dot{Q}_a).
- 197 – As the HT between oil and coolant estimation $\dot{Q}_{oil,cool}$ is important to compare the external and internal HT to
 198 coolant and oil, a model for its estimation is presented later in this article.

199 Taking into account these comments and the internal interactions observed in Figure 4, Equation (1) can be ex-
 200 pressed as:

$$\begin{aligned} \dot{m}_f H_v = N_b + (N_{fr} + N_a) + \dot{Q}_{cham} + \dot{Q}_{ports} + \dot{Q}_{EGR} + \dot{H}_{g,ex} + \dot{Q}_a + \\ + \dot{Q}_{turbo} + \dot{Q}_{ext,turbo} + \dot{Q}_{ext,man} + \dot{H}_{bb} + \dot{H}_{ic} + \dot{Q}_{unbal,in} \end{aligned} \quad (3)$$

201 Note that $\dot{Q}_{ext,turbo}$ and the HT from the exhaust manifold to the ambient ($\dot{Q}_{ext,man}$) are not explicitly shown in
 202 Figure 4 because they are part of \dot{Q}_{ext} .

203

204 Equation (3) is consistent with the scheme presented in Figure 4; however, it is convenient to rearrange it. Taking
 205 into account the Figure 3, an internal net flow of sensible enthalpy ($\dot{H}_{g,in}$) is calculated between intake manifold
 206 before air and EGR mixing (point 2') and exhaust manifold after EGR extraction (point 3), **which is relevant in the**
 207 **methodological description presented in this work, and that allows the analysis of the exhaust enthalpy losses in part**
 208 **2 of this work [29]. Taking this into consideration, $\dot{H}_{g,in}$ can be expressed as:**

$$\dot{H}_{g,in} = \dot{H}_{g,ex} + \dot{Q}_a + \dot{Q}_{turbo} + \dot{Q}_{ext,turbo} \quad (4)$$

209 then, by replacing Equation (4) in Equation (3) and considering that $\dot{Q}_{ext,man}$ has a negligible weight, the following
 210 definition of the IGEB is obtained:

$$\dot{m}_f H_v = N_b + (N_{fr} + N_a) + \dot{Q}_{cham} + \dot{Q}_{ports} + \dot{H}_{g,in} + \dot{Q}_{EGR} + \dot{H}_{bb} + \dot{H}_{ic} + \dot{Q}_{unbal,in} \quad (5)$$

211 5. Equivalence between heat rejection terms in the internal and external analysis

212 The transformation of the energy during the engine operation is a complex process, considering the relationship
 213 between the energy terms described. Moreover, the energy balance definitions in Equations (1) and (5) can also differ
 214 depending on the energy terms simplifications considered, taking into account the available experimental installation
 215 and the models used. As commented, the GEB has been defined by two different paths, one which considers the engine
 216 as a black box, whose terms are mainly experimentally determined, and the other which takes into consideration the
 217 internal energy transformations, whose terms are mainly obtained through modelling based on in-cylinder pressure.
 218 Due to the different nature of this two points of view, it is necessary to define comparable HT terms between them.
 219 Such terms helps to improve the HT modelling and analysis since they can be used for the sub-models development,
 220 fitting and validation.

221 *5.1. Total heat transfer*

222 As will be shown in Section 6, the comparison between internal and external heat flows is important for some
 223 sub-models calibration in order to get accurate results from each engine. The first parameter to consider is the total
 224 heat transfer (\dot{Q}_{tot}), which includes all the heat rejected from the gases circulating through the engine block, including
 225 chamber and ports. In order to adjust the sub-models, the experimental ($\dot{Q}_{tot,exp}$) and modelled ($\dot{Q}_{tot,mod}$) values of \dot{Q}_{tot}
 226 have to be defined.

227
 228 In the case of $\dot{Q}_{tot,mod}$, it corresponds to the addition of the HT to the chamber and ports as presented in Equation
 229 (6):

$$\dot{Q}_{tot,mod} = \dot{Q}_{cham,cool} + \dot{Q}_{ports} + \dot{Q}_{cham,oil} \quad (6)$$

230 Some considerations have to be done to obtain $\dot{Q}_{tot,exp}$, in order to maintaining the equivalence with the modelled
 231 term:

- 232 • The EGR is cooled with the engine coolant; therefore, the experimental \dot{Q}_{EGR} is subtracted from \dot{Q}_{cool} to have
 233 the total heat rejected to the coolant due to HT in the block.
- 234 • The HT from gases to the chamber and ports is not completely rejected to \dot{Q}_{cool} and \dot{Q}_{oil} since part of the energy
 235 is lost to the ambient; thus, $\dot{Q}_{ext,block}$ has to be also included in $\dot{Q}_{tot,exp}$. Note that the terms $\dot{Q}_{ext,turbo}$ and $\dot{Q}_{ext,man}$
 236 have not been included in $\dot{Q}_{tot,exp}$ in order to keep the coherence with the terms included in $\dot{Q}_{tot,mod}$, which only
 237 includes HT in the engine block.
- 238 • The $N_a + N_{fr}$ term is mainly dissipated in \dot{Q}_{cool} and \dot{Q}_{oil} ; thus, this term should be subtracted from $\dot{Q}_{tot,exp}$. It
 239 is important to underline that N_f (included in N_a) is not dissipated in the coolant nor the oil and it must not be
 240 subtracted from $\dot{Q}_{tot,exp}$.

241 Taking these comments into consideration, Equation (7) is obtained:

$$\dot{Q}_{tot,exp} = (\dot{Q}_{cool} - \dot{Q}_{EGR}) + \dot{Q}_{oil} + \dot{Q}_{ext,block} - (N_a + N_{fr}) + N_f \quad (7)$$

All the energy terms presented in Equation (6) and (7) are known from models or experimental data except $\dot{Q}_{ext,block}$, which can be determined by means of subtraction between the total energy $\dot{m}_f H_v$ and the addition of all the GEB terms in Equation (1), as presented in Equation (8).

$$\begin{aligned} \dot{Q}_{ext} &= \dot{Q}_{ext,block} + \dot{Q}_{ext,turbo} + \dot{Q}_{ext,man} \\ &= \dot{m}_f H_v - \dot{Q}_a - \dot{Q}_{cool} - \dot{Q}_{oil} - \dot{Q}_{turbo} - N_b - \dot{Q}_f - \dot{H}_{g,ex} - \dot{H}_{bb} - \dot{H}_{ic} - \dot{Q}_{unbal,exp} \end{aligned} \quad (8)$$

242 The net flow of sensible enthalpy can be calculated at the ports conditions ($\dot{H}_{g,ports}$), that is between points 2'' and
 243 3' of Figure 3, thus obtaining the following expression:

$$\dot{H}_{g,ports} = \dot{H}_{g,ex} + \dot{Q}_a + \dot{Q}_{EGR} + \dot{Q}_{turbo} + \dot{Q}_{ext,turbo} + \dot{Q}_{ext,man} \quad (9)$$

244 and through the combination of Equations(8) and (9), $\dot{Q}_{ext,block}$ can be expressed as:

$$\dot{Q}_{ext,block} = \dot{m}_f H_v - \dot{Q}_{cool} - \dot{Q}_{oil} - \dot{Q}_{turbo} - N_b + \dot{Q}_{EGR} - \dot{Q}_f - \dot{H}_{g,ports} - \dot{H}_{bb} - \dot{H}_{ic} - \dot{Q}_{unbal,exp} \quad (10)$$

245 It is reasonable to assume that $\dot{Q}_f \approx N_f$ considering that, on the one hand, an important part (about 40%) of the
 246 pumped fuel is returned and cooled, and on the other hand, the small weight of this term (lower than $1\% \dot{m}_f H_v$ in all
 247 the cases) makes its uncertainty negligible. Taking into account this comment and replacing $\dot{Q}_{ext,block}$ of Equation (10)
 248 in Equation (7), the following expression for $\dot{Q}_{tot,exp}$ is obtained:

$$\dot{Q}_{tot,exp} = \dot{m}_f H_v - N_b - \dot{H}_{g,ports} - (N_a + N_{fr}) - \dot{H}_{bb} - \dot{H}_{ic} - \dot{Q}_{unbal,exp} \quad (11)$$

249 This last expression is more convenient than Equation (7), since all the terms involved are experimentally acquired,
 250 and the most important of them (i.e. $\dot{m}_f H_v$, N_b and $\dot{H}_{g,ports}$) have lower experimental uncertainty than \dot{Q}_{cool} and \dot{Q}_{oil}
 251 because of the small temperature increase of coolant and oil in the engine. Once both, $\dot{Q}_{tot,mod}$ and $\dot{Q}_{tot,exp}$ are defined,
 252 it is interesting to consider:

- 253 • All the terms conforming $\dot{Q}_{tot,mod}$ in Equation (6) are obtained through modelling.
- 254 • All the terms conforming $\dot{Q}_{tot,exp}$ in Equation (11) are experimentally obtained.
- 255 • $\dot{Q}_{tot,mod}$ and $\dot{Q}_{tot,exp}$ are equivalent. Hence, taking into account that the experimental equipment have been
 256 properly calibrated, and all the terms were carefully measured, the good agreement between these terms is an
 257 indicator of the gas HT model performance.

258 5.2. Heat transfer to coolant

259 The total HT presented in previous section is an interesting parameter to assess the global consistency of the HT
 260 models, based on some experimental measurements. However, to characterize the internal thermal behaviour of an
 261 engine, it is also interesting to compare the specific repartition of the HT to coolant and oil. As for the total HT, the
 262 coolant HT can be obtained from modelled or experimental sources, but some hypothesis have to be assumed:

- 263 • All the HT to the ports is assumed to be dissipated into the coolant (\dot{Q}_{ports}).
- 264 • It is assumed that the piston friction ($N_{fr,pis}$) is dissipated into the coolant. This can be justified considering
 265 that most of the piston assembly friction takes place in the rings, thus heating both, the liner and the rings.

266 Moreover, the liner temperature is much cooler than that of the piston nodes in contact with the rings (90-150°C
267 vs 110-250°C), thus having a higher temperature drop and hence higher conductive HT.

- 268 • The work of the coolant pump (N_{cool}) increases the coolant enthalpy and is dissipated as fluid friction, thus
269 slightly increasing its temperature. As a consequence, it is rejected in the coolant cooler.
- 270 • There is some HT between the oil and coolant ($\dot{Q}_{oil,cool}$) since they circulate through the engine block and
271 cylinder head, thus leading to some convective HT between them and the gallery walls. This heat is transferred
272 by conduction through the walls between coolant or oil circuits. Thus, it can be stated that the engine block
273 works as a heat exchanger between them. For convenience, it is assumed that this term is positive when it goes
274 from oil to coolant, since in most of the cases the oil temperature is higher than that of the coolant.
- 275 • Part of the HT from chamber to coolant goes through the engine body to the engine surface, being there finally
276 lost to the ambient by convection.

277 Considering these comments, the modelled HT to the coolant ($\dot{Q}_{cool,mod}$) is defined as presented in Equation (12):

$$\dot{Q}_{cool,mod} = \dot{Q}_{cham,cool} + \dot{Q}_{ports} + N_{fr,pis} + N_{cool} + \dot{Q}_{oil,cool} - \dot{Q}_{ext,block} \quad (12)$$

278 In the experimental case, the HT to the coolant is directly measured in the coolant cooler. As explained earlier, the
279 EGR is cooled with the engine coolant; thus, in order to maintain the equivalence between terms, \dot{Q}_{EGR} is subtracted
280 from \dot{Q}_{cool} as follows:

$$\dot{Q}_{cool,exp} = \dot{Q}_{cool} - \dot{Q}_{EGR} \quad (13)$$

281 If experimental uncertainties are controlled and the model works properly, $\dot{Q}_{cool,exp}$ and $\dot{Q}_{cool,mod}$ must show good
282 agreement.

283 5.3. Heat transfer to oil

284 Similarly as for the HT to the coolant, some hypotheses have to be made to determine the modelled HT to the oil:

- 285 • The friction of the bearings ($N_{fr,bear}$) and camshaft (N_{valv}) is finally dissipated into the oil.
- 286 • The work of the oil pump (N_{oil}) increases the oil enthalpy and is dissipated as friction into the fluid, thus slightly
287 increasing its temperature. As a consequence, it is rejected in the oil cooler.
- 288 • The energy lost as HT from oil to coolant ($\dot{Q}_{oil,cool}$) has to be considered with negative sign, as it produces a
289 reduction of the oil circuit energy.

290 Considering these hypotheses, the modelled HT to the oil ($\dot{Q}_{oil,mod}$) is defined as presented in Equation (14):

$$\dot{Q}_{oil,mod} = \dot{Q}_{cham,oil} + N_{fr,bear} + N_{fr,valv} + N_{oil} - \dot{Q}_{oil,cool} \quad (14)$$

291 In the experimental case, the HT to the oil ($\dot{Q}_{oil,exp}$) is directly measured in the oil heat exchanger and no further
292 assumptions are necessary:

$$\dot{Q}_{oil,exp} \equiv \dot{Q}_{oil} \quad (15)$$

293 As for the coolant, $\dot{Q}_{oil,exp}$ and $\dot{Q}_{oil,mod}$ must have similar values when the experimental uncertainties are controlled
294 and a proper modelling work is done.

295 6. IGEB model development and calibration

296 To perform the IGEB, the model to estimate the HT to chamber has been comprehensively calibrated, and some
297 specific sub-models have been developed: HT to the ports, mechanical losses and HT from oil to coolant. For all
298 cases, the calibration methodology is described in the following sections.

299 6.1. Chamber heat transfer model adjustment

300 As shown in Figure 1, the **step 1** consists on the calibration of the HT to the chamber in motoring and combustion
301 conditions. The model used to calculate the HT to the chamber is based on the well known Woschni model [36, 37]
302 with some modifications regarding the swirl instantaneous evolution [35]. In Equation (16), the model to estimate the
303 heat transfer coefficient h is presented:

$$h = C D^{b-1} p^b T^{0.75-1.62b} \left[C_{w1} c_m + C_{w2} c_u + C_2 \frac{V_d P_{IVC}}{V_{IVC} T_{IVC}} (p - p_0) \right]^b \quad (16)$$

304 where D is the cylinder bore, p is the in-cylinder pressure, T is the gas temperature, c_m is the mean piston speed,
305 c_u is the instantaneous swirl speed, V_d is the displaced volume, p_{IVC} , T_{IVC} and V_{IVC} are the pressure, temperature and
306 volume at *IVC* respectively, p_0 is the motoring pressure assuming a polytropic evolution, $C = 0.012$ and $b = 0.7$
307 are constant values and C_{w1} , $C_{w2} = C_{w1}/1.7$ and C_2 are model fitting constants [40]. In motoring conditions $p = p_0$,
308 therefore the last term of Equation (16), which accounts for the gas velocity variation due to combustion is equal to
309 zero, thus obtaining the following expression:

$$h = C D^{b-1} p^b T^{0.75-1.62b} [C_{w1} c_m + C_{w2} c_u]^b \quad (17)$$

310 In motoring conditions the $RoHR$ is zero; however, the expression to calculate it, Equation (18), can provide a
311 non-zero value (ε^{RoHR}) due to the engine-installation and experimental uncertainties.

$$\varepsilon^{RoHR} = m c_v dT + dQ + pdV + R T dm_{bb} \quad (18)$$

312 The calibration of the HT to chamber walls is performed in a two stages process as presented in Figure 5. The
 313 **1th stage** consist on the *Engine characterization* in the speed swept at motoring conditions shown in Table 3a. The
 314 methodology consists on the reduction of ε^{RoHR} through the determination of an optimal set of values for the main
 315 uncertainties affecting the HT (CR , $\Delta\alpha_{TDC}$ and k_{def}), which are obtained along with the HT calibration constant (C_{w1}).
 316 The complete description of the adjustment methodology is presented in detail in a previous work [31]. From this
 317 step, a set of values for the stated uncertainties are obtained, which are valid for motoring conditions; however, the
 318 HT shows an important variation between motoring and combustion conditions due to the higher temperature and the
 319 different fluid dynamics caused by the spray. To ensure the accuracy, different calibration constants are defined to be
 320 used either in motoring ($C_{w1,m}$) or combustion ($C_{w1,c}$) conditions respectively.

321

322 To get the $C_{w1,c}$ value, the **2nd stage** consists on the calibration procedure with combustion test, in which the
 323 Woschni constants ($C_{w1,c}$ and C_2 of Equation (16)) are determined with the criterion of the Apparent Combustion
 324 Efficiency optimization ($ACE = \frac{HR_{max}}{\dot{m}_f H_v}$, where HR_{max} is the maximum cumulative heat released [31]), which should
 325 equals the combustion efficiency, that is $\approx 100\%$ in conventional Diesel combustion.

326

327 The results of the *Engine characterization* and the HT calibration are presented in Table 4. In Figure 6, the ACE
 328 for the complete engine map before and after the adjustment is presented. It is possible to see that the ACE was at
 329 first overestimated for almost all the measured points, which implies a prior overestimation of the HT to the coolant
 330 and oil.

331 6.2. Heat transfer to the ports

332 Once the HT to chamber model has been thoroughly calibrated, the **step 2** shown in Figure 1 consists on devel-
 333 oping and calibrating a HT model to the ports. The HT from gases to the ports is mainly due to convection, where
 334 the heat transfer coefficient is calculated by means of a non-dimensional analysis as a function of the Nusselt N_u ,
 335 Reynolds R_e and Prandtl Pr numbers as follows:

$$N_u = a R_e^{m1} Pr^{m2} \quad (19)$$

336 where a , $m1$ and $m2$ are constant values. Since the thermodynamic conditions at intake and exhaust ports are
 337 very different, the definitions and simplifications of the Equation (19) to calculate h are stated considering the specific
 338 thermo-fluid-dynamic characteristics of each of them. The most simple case is the intake port, where the temperature
 339 difference between the air and the coolant is almost constant during the entire cycle and the energy exchange is small
 340 in comparison with the rest of the energy terms; therefore, it is averaged calculated based on the proposal of Depcik
 341 [41], as shown in Equation (20):

$$\bar{N}_u = 0.0694 \bar{R}_e^{0.75} \quad (20)$$

where \bar{N}_u and \bar{R}_e are the cycle-averaged Nusselt and Reynolds numbers, which can be defined as $\bar{N}_u = \frac{\bar{h}_{int} d_{int,v}}{\bar{k}}$ and $\bar{R}_e = \frac{d_{int,v} \bar{v} \bar{\rho}}{\bar{\mu}}$, being $d_{int,v}$ the inlet valve diameter, and \bar{h}_{int} , \bar{k} , \bar{v} , $\bar{\rho}$ and $\bar{\mu}$ the cycle-averaged heat transfer coefficient, conductivity, velocity, density and viscosity of the gas respectively. Solving for \bar{h} , the Equation (21) is obtained:

$$\bar{h}_{int} = 0.0694 \bar{k} d_{int,v}^{-1.75} \left[\frac{4 (\dot{m}_a + \dot{m}_{EGR})}{z \bar{\mu} N_{int,v} \pi} \right]^{0.75} \quad (21)$$

where \dot{m}_a and \dot{m}_{EGR} are the intake air and EGR flow rates, $N_{int,v}$ is the number of intake valves per cylinder and z is the number of cylinders.

In the case of the exhaust ports, the heat transfer coefficient expression is different during the closed cycle and the open cycle. This is justified since in the open cycle the thermo-fluid-dynamics significantly differs from those of the closed cycle, mainly due to the high gas velocity and temperature when the valve is open, which lies in higher heat transfer coefficient and transient thermal conditions. Similarly as for the intake ports, the heat transfer coefficient in the closed cycle ($\bar{h}_{exh,cc}$) is estimated from a non-dimensional analysis, resulting in the following expression [42]:

$$\bar{h}_{exh,cc} = 0.022 \bar{k} d_{exh,v}^{-1.8} \left[\frac{4 (\dot{m}_a + \dot{m}_{EGR} + \dot{m}_f)}{z \bar{\mu} N_{exh,v} \pi} \right]^{0.8} \quad (22)$$

The instantaneous HT in the exhaust port at open cycle is estimated based on a non-dimensional analysis and the Sieder and Tate proposal [43] as follows:

$$h_{exh,ca} = a (R_e)^{0.6} P_r \left(\frac{\mu}{\mu_p} \right)^{0.14} \frac{k}{d_{exh,v}} \quad (23)$$

where $h_{exh,ca}$ is the instantaneous heat transfer coefficient in the exhaust during the open cycle, a is a constant value, μ_p and μ are the viscosity of the gas at the ports wall and gas mean temperatures, R_e and P_r are the instantaneous Reynolds and Prandtl numbers, and the relation $(\mu/\mu_p)^{0.14}$ accounts for the viscosity gradient of the gases at each crank angle, due to the gas and wall temperature difference.

As presented in Table 5, the HT to the exhaust ports during the open cycle accounts for more than 90% of the total HT to the ports. Thus, taking into account that the HT to the chamber walls was **previously** adjusted, the HT to the exhaust ports during the open cycle is the only term affecting $\dot{Q}_{tot,mod}$. Therefore, the calibration of the a constant in Equation (23) is performed with the criterion of the difference minimization between $\dot{Q}_{tot,exp}$ and $\dot{Q}_{tot,mod}$. In Figure 11 it is possible to see the comparison of the experimental and modelled total HT for an adjusted value of $a = 1.63$.

365 **6.3. Mechanical losses**

366 To attain a proper repartition of the HT to coolant and oil, the detailed friction and auxiliary contributions are
 367 required; therefore, a friction and auxiliary losses model has to be developed and calibrated as shown in the **step 3** of
 368 Figure 1.

369
 370 **Friction between piston pack and liner:** the friction between piston pack and liner depends on the lubrication
 371 regime, which is determined through the duty parameter (S_{ri}), determined as presented in Equation (24)[44]:

$$S_{ri}(\alpha) = \frac{\pi D \mu v_{pis}(\alpha)}{F_{N,ri}(\alpha)} \quad (24)$$

372 where v_{pis} is the instantaneous piston speed, $F_{N,ri}$ is the load in the ring, D is the engine bore and μ is the oil viscosity.

373
 374 The friction coefficient of each ring (f_{ri}) in hydrodynamic conditions was calculated as:

$$Ln(f_{ri}(\alpha)) = 0.625 Ln(S_{ri}(\alpha)) + 1.962 \quad (25)$$

375 and in the mixed region as:

$$f_{ri}(\alpha) = f_0 \left(1 - \frac{|S_{ri}(\alpha)|}{S_{cr}} \right) + f_{cr} \left(\frac{|S_{ri}(\alpha)|}{S_{cr}} \right) \quad (26)$$

376 where $S_{cr} = 1 \times 10^{-4}$ is the critical duty parameter, $f_{cr} = 0.0225$ is the friction coefficient when $S_{ri} = S_{cr}$, and
 377 $f_0 = 0.14$ is the dry friction coefficient.

378
 379 The friction between skirt and liner is calculated as a function of the duty parameter of the skirt (S_s) as:

$$f_s(\alpha) = 2.5 \sqrt{S_s(\alpha)} \quad (27)$$

380 The total power lost by friction in the piston pack during one cycle ($N_{fr,pis}$) is determined as:

$$N_{fr,pis} = k_{pis} \left[\oint F_{fr,s}(\alpha) v_{pis}(\alpha) d\alpha + \sum_{ri=1}^3 \oint F_{fr,ri}(\alpha) v_{pis}(\alpha) d\alpha \right] \quad (28)$$

381 where the $F_{fr,ri} = f_{ri} F_{N,ri}$ and $F_{fr,s} = f_s F_{N,s}$ are the friction force in the rings and skirt respectively and k_{pis} is a
 382 calibration constant.

383
 384 **Bearings friction:** according to [45], the friction force in the bearings ($F_{fr,bear}$) can be determined as:

$$F_{fr,bear} = \frac{2 \pi \mu D_{bear}^2 \omega L_{bear}}{c \sqrt{1 - \epsilon^2}} + \frac{c \epsilon F_{bear}}{D_{bear}} \sin \varphi \quad (29)$$

385 where ω is the bearings angular speed, D_{bear} is the bearing diameter, L_{bear} is the bearing length, μ is the oil viscosity,
 386 c is the clearance between journal and bearing, $\epsilon = e/c$ is the ration between bearing eccentricity (e) and clearance
 387 (c), v_0 is the speed of the bearing centre displacement and φ is the angle between the force vector and the centres line.
 388 Details of the determination of those parameters can be found in reference [44].

389
 390 Once the friction components presented in Equation (29) are determined, the power lost by friction in the bearings
 391 during one cycle can be calculated as:

$$N_{fr,bear} = k_{bear} \sum_{i=1}^{NB} \left[\oint \frac{\omega D_{bear,i}}{2} F_{fr,bear,i}(\alpha) d\alpha \right] \quad (30)$$

392 where i is the analysed bearing, NB is the total number of bearings and k_{bear} is a calibration constant.

393

394 **Friction in the cam/follower contact:** as the cam/tappet contact accounts for more than 85% of the total friction
 395 in the valvetrain system [46], in this work is assumed that all the friction is occurring there. The friction in the
 396 cam/follower contact ($F_{fr,valv}$) has two components: the boundary friction ($F_{b,valv}$) due to the asperity contact, and the
 397 viscous friction component ($F_{v,valv}$) due to the shear of lubricant [47, 48]:

$$F_{fr,valv} = F_{b,valv} + F_{v,valv} \quad (31)$$

398 To determine the oil film thickens, key for the friction determination, the elastohydrodynamic theory can be
 399 used. For the sake of brevity, the calculation process is not included in this work. A complete description of the
 400 determination of those forces can be found in references [44, 48, 49]. In Equation (32), the final expression used to
 401 determine the total friction in the valve train ($N_{fr,valv}$) is presented:

$$N_{fr,valv} = k_{valv} \left[N_{IV} \oint F_{fr,valv}^{int}(\alpha) v_c^{int}(\alpha) d\alpha + N_{EV} \oint F_{fr,valv}^{exh}(\alpha) v_c^{exh}(\alpha) d\alpha \right] \quad (32)$$

402 where the index *int* and *exh* refers to intake and exhaust, v_c is the contact speed (determined as shown in reference
 403 [48]), N_{IV} and N_{EV} are the total number of intake and exhaust valves and k_{valv} is the calibration constant.

404

405 **Coolant pump:** the coolant is pumped by means of a centrifugal pump whose rate flow depends on the rotating
 406 speed, the efficiency and the pressure drop in the cooling line. Then, the power consumption of the coolant pump can
 407 be estimated as:

$$N_{cool} = \frac{\Delta p_{cool} \dot{V}_{cool}}{\eta_{cool}} \quad (33)$$

408 being $\Delta p_{cool} = k1_{cool} \dot{V}_{cool}^2$ the pressure drop in the cooling line, $\dot{V}_{cool} = k2_{cool} n$ the volumetric coolant flow and
 409 η_{cool} the pump efficiency. $k1_{cool}$ and $k2_{cool}$ are fitting constants obtained from manufacturers data, whose values are

410 summarized in Table 6.

411

412 **Oil pump:** the volumetric oil pump power consumption depends on the oil pressure drop in the line Δp_{oil} , the
413 volumetric oil flow \dot{V}_{oil} and the pump efficiency η_{oil} :

$$N_{oil} = \frac{\Delta p_{oil} \dot{V}_{oil}}{\eta_{oil}} \quad (34)$$

414 where Δp_{oil} is experimentally measured and the volumetric flow is assumed to be proportional to the engine speed
415 $\dot{V}_{oil} = k_{oil} n$, being k_{oil} the proportionality constant between \dot{V}_{oil} and n . As for the coolant pump, the constants were
416 also calculated from manufacturers data and the values are presented in Table 6.

417

418 **Fuel pump:** the studied engine has a common rail system in which the fuel pump includes both, a pressure con-
419 trol valve (PCV) and a volume control valve (VCV), hence its behaviour differs from conventional piston pumps, in
420 which the total amount of fuel compressed in the piston (part of which is injected and part is returned through the low
421 pressure fuel line) mainly depends on the pump angular speed and the pump size. However, a previous analysis of the
422 injection system showed that the total fuel mass flow variation at different engine speeds is limited, and there is also a
423 load effect due to the ECU strategy, which controls the VCV and hence the fuel pump power consumption.

424

425 The experimental calibration performed consisted on a swept of speed and rail pressure in the motoring test
426 conditions presented in Table 3a, in which the injectors were replaced by dummy's and the fuel flow was by-passed.
427 To provide generality to the model, an empirical correlation (Equation (35)) as function of the injected fuel mass m_f ,
428 the rail pressure p_{rail} and the fuel pump efficiency η_f is presented. Some calibration constants ($k1_f$ and $k2_f$), whose
429 values are summarized in Table 6 are used to fit the model.

$$N_f = \frac{k1_f m_f^{k2_f} p_{rail}}{\eta_f} \quad (35)$$

430 **Friction models calibration:** a linear correlation for the piston friction constant $k_{pis} = k1_{pis} + k2_{pis} n$ was included
431 to improve the model performance. Similar approaches have also been reported by other authors [50]. The remaining
432 parameters, k_{bear} and k_{valv} were kept constant. The model is adjusted in the engine map, taking as reference the
433 experimental auxiliary and friction term $(N_a + N_{fr})_{exp}$, obtained from the indicated N_i , the pumping N_p and the brake
434 power N_b , as presented in Equation (36).

$$(N_a + N_{fr})_{exp} = N_i + N_p - N_b \quad (36)$$

435 The calibration is performed with the criterion of the difference reduction between the $(N_a + N_{fr})_{exp}$ and $(N_a +$
436 $N_{fr})_{mod}$, being $(N_a + N_{fr})_{mod} = N_{cool} + N_{oil} + N_f + N_{fr,pis} + N_{fr,bear} + N_{fr,valv}$. The resulting fitting constants are

437 summarized in Table 6.

438

439 Figure 8 shows the mechanical losses repartition in the engine map. In the upper Figure 8, it is possible to see
 440 the good adjustment between the experimental and modelled mechanical losses, having a good behaviour for all the
 441 operating points. In the bottom Figure 8, it is possible to see the well agreement of the mechanical losses relative
 442 distribution when compared with that found in the literature [30, 44, 51], being $N_{fr,pis}$ between 60-40% , $N_{fr,bear}$
 443 between 15-25%, $N_{fr,valu}$ between 5 and 15%, N_{cool} around 15%, N_{oil} around 5% and N_f around 20% of the total
 444 $N_a + N_{fr}$.

445 6.4. Heat transfer from oil to coolant model

446 The final step consist on the development and adjustment of a model to determine the heat transfer between oil
 447 and coolant, as shown in **step 4** of Figure 1. A simple model which considers the thermal resistance between the oil
 448 and the coolant through the internal engine walls is presented in Equation (37):

$$\dot{Q}_{oil,cool} = \frac{T_{oil} - T_{cool}}{R_{oil} + R_{cond} + R_{cool}} \quad (37)$$

449 being $R_{oil} = 1/\bar{h}_{oil}A$, $R_{cond} = e/KA$ and $R_{cool} = 1/\bar{h}_{cool}A$ the thermal resistance of the oil, the engine material and
 450 the coolant, A the heat transfer area, e the wall thickness, \bar{h}_{oil} the oil mean heat transfer coefficient, K the thermal
 451 conductivity of the engine (block and cylinder head) and \bar{h}_{cool} the heat transfer conductivity of the coolant. Due to
 452 the complex design of the engine cooling and lubricating systems, most of the information necessary to solve the
 453 Equation (37) is usually not available, therefore some hypothesis are assumed in the model:

- 454 – As the actual values of \bar{h}_{oil} and \bar{h}_{cool} are difficult to determine, an equivalent heat transfer coefficient proportional
 455 to the engine speed is defined as $\bar{h} = k'_1 n$.
- 456 – The thickness is proportional to the cylinder bore as $e = k'_2 D$.
- 457 – The HT area is proportional to the cylinder bore as $A = k'_3 D^2$.

458 Rearranging Equation (37) with the hypothesis assumed, the following expression is obtained:

$$\dot{Q}_{oil,cool} = \frac{T_{oil} - T_{cool}}{\frac{2}{k'_1 n k'_3 D^2} + \frac{k'_2 D}{k'_3 D^2 K}} = \frac{D^2(T_{oil} - T_{cool})}{\frac{2}{k_1 n} + \frac{D}{k_2 K}} \quad (38)$$

459 where $2/k_1 n$ is an equivalent convection resistance and $D/k_2 K$ is an equivalent conduction resistance, and the con-
 460 stants $k_1 = 6,42(\frac{W}{m^2 K rpm})$ and $k_2 = 8,8 \times 10^8$ are the calibration constants, whose values were adjusted with the
 461 criterion of $\dot{Q}_{oil,exp}$ and $\dot{Q}_{oil,mod}$ difference minimization in the complete engine map, taking into account that $\dot{Q}_{oil,cool}$
 462 has higher relative effect on \dot{Q}_{oil} than in \dot{Q}_{cool} (\dot{Q}_{oil} is about 4 times lower than \dot{Q}_{cool}). It is possible to notice that

463 $D/k_2K \approx 0$, which means that the conduction is negligible in comparison with the convection.

464

465 In Figure 9, the comparison between $\dot{Q}_{oil,exp}$ and $\dot{Q}_{oil,mod}$ is presented. It is possible to see that, if not HT from oil
466 to coolant is considered, the HT to the oil is overestimated, specially at high power. Lower power operating points
467 has not been presented in the graph because the oil cooling system was deactivated due to the low oil temperature.

468

469 Note that the accurate determination of $\dot{Q}_{oil,cool}$ would require 3D modelling. $\dot{Q}_{oil,cool}$ is a minor term of the GEB,
470 and its main effect is observed in $\dot{Q}_{oil,mod}$ (which is also a small quantity). Therefore, the results obtained with this
471 model are considered enough for the presented application, improving the results and the analysis of minor terms.
472 Despite the simplicity of the model, it can be adjusted for each engine by means of the experimental HT to oil, thus
473 more accurate results can be obtained.

474 6.5. GEB tool evaluation

475 As presented in Figure 7 the results of the modelled and experimental total HT after calibration are in good
476 agreement, which ensure the global good behaviour of the tool. In Figure 9 and Figure 10, it is possible to see that
477 the modelled HT to the oil and the coolant are also in good agreement with the experimental results. These results
478 demonstrate the method reliability regarding the total HT estimation as well as the internal repartition.

479

480 From Figure 11 to Figure 13 the relative difference between the total HT, the HT to the coolant and the HT to
481 the oil estimation in terms of the fuel energy ($\% \dot{m}_f H_v$) are presented. The maximum difference between $\dot{Q}_{tot,mod}$ and
482 $\dot{Q}_{tot,exp}$ lies between -2 and -10% $\dot{m}_f H_v$ at low engine speed, this is explained by the high experimental uncertainty
483 at those operating conditions. However, in the rest of the map the difference is clearly lower, depicting an average
484 difference lower than $\pm 1\% \dot{m}_f H_v$. The HT to the coolant has a maximum uncertainty about $\pm 5\% \dot{m}_f H_v$ at 1000 rpm
485 and 25% load; however, in the engine map the average differences are about $\pm 2\% \dot{m}_f H_v$. Finally, the HT to the oil has
486 the lower relative difference in the complete engine map, showing its maximum deviation of -1.5% $\dot{m}_f H_v$ at low speed
487 and load.

488

489 As can be seen, thanks to the improvements made in the sub-models and the calibration methodologies described,
490 the potential and good performance of the GEB tool has been demonstrated, being now ready to be used for the
491 comprehensive analysis of the energy balance. In the second part of this work, the application of the GEB in the
492 calibrated engine is presented.

493 7. Summary and Conclusions

494 In this work, a new and comprehensive methodology to perform and analyse the GEB through the combination
495 of experimental and theoretical tools is introduced, along with the adjustment methodologies required to ensure reli-

496 **able modelling results.** The energy flows and interactions of the engine are divided in two points of view, the EGEB
497 (mainly obtained from experimental information) which considers the flows through the engine assumed as a black
498 box, and the IGEB (consist mainly of modelled terms), which includes the internal processes of the engine, con-
499 sidering detailed HT and mechanical losses processes to determine the energy degradation. To compare both points
500 of view, comparable terms between EGEB and IGEB were defined, they are the total heat transfer (\dot{Q}_{tot}), the heat
501 transfer to coolant (\dot{Q}_{cool}) and oil (\dot{Q}_{oil}). These terms are useful, one the one hand, to perform the calibration of the
502 sub-models, and on the other hand, to assess the GEB tool performance.

503

504 As mentioned, the IGEB requires the development of some sub-models to determine the energy interactions which
505 can not be measured. Moreover, the accurate determination of the HT requires a calibration of the correlations used to
506 its determination. Thus, an integral calibration procedure, which includes the description of a HT model to the ports,
507 a detailed mechanical losses model and a HT model between the oil and the coolant was presented.

508

509 The calibration started with the *Engine characterization* and the HT model calibration, obtaining accurate CR ,
510 k_{def} , $\Delta\alpha_{TDC}$, $C_{w1,m}$, b and $C_{w1,c}$ parameters, ensuring a proper HT estimation in the chamber, being this a key point for
511 the GEB.

512

513 Once the GEB tool is completely calibrated, a brief uncertainty study was carried out. The most relevant findings
514 are following commented:

- 515 – The maximum uncertainty observed in \dot{Q}_{tot} ranges between -2 and -10% $\dot{m}_f H_v$ at low engine speed at load;
516 however, the main error is lower than $\pm 1\% \dot{m}_f H_v$ in the complete engine map.
- 517 – The maximum uncertainty observed in \dot{Q}_{cool} is about 5% $\dot{m}_f H_v$ at 1000 rpm and 25%, but a lower mean uncer-
518 tainty about $\pm 2\% \dot{m}_f H_v$ is observed in the complete engine map.
- 519 – The maximum deviation of \dot{Q}_{oil} is about -1.5% $\dot{m}_f H_v$ at low engine speed at load. However, an uncertainty lower
520 than 1% $\dot{m}_f H_v$ is observed for the engine map.

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Table 1: Tested Engine technical data

Cylinders	4 in-line
Strokes	4
Bore	75 mm
Stroke	88 mm
Unitary displacement	390 cm ³
Total displacement	1560 cm ³
Compression ratio	16:1
Air management	Turbocharged
Maximum power	82 kW at 3600 rpm
Maximum torque	270 Nm at 1750 rpm
Cycle	Diesel
Injection	Common rail

Table 2: Test cell instrumentation

Variable	Equipment
Cylinder pressure	AVL GH13P
Amplifier	Kistler 5011B
Speed and Torque control	SIEMENS Dynamometer
Air mass flow	Sensiflow
Fuel mass flow	AVL 733S Fuel meter
Blow-by mass flow	AVL blow-by Meter
Temperature	K-type Thermocouples and RTD
Mean pressure	Kistler Piezo-resistive Pressure Transmitters
Gases analysis	Horiba mexa
Coolant flow	Krohne 4010 Optiflux
Oil exchanger cooling	Isoil MS500
Fuel exchanger cooling	Yoko AdmagAE208MG
Turbo oil mass flow	Krohne Optimass 3050C

Table 3: Measurement set

a) motoring

Speed (RPM)	Load (%)	T_{cool} (°C)	T_{oil} (°C)	p_{adm} (bar)	p_{exh} (bar)
1000	0	55	60	1	1,2
1500	0	55	65	1	1,5
2000	0	55	65	1	1,5
2500	0	55	65	1	1,7
3000	0	55	68	1	1,5
3500	0	55	68	1	1,7
4000	0	55	73	1	2,1

b) combustion

Speed (RPM)	Load (%)	T_{cool} (°C)	T_{oil} (°C)	p_{adm} (bar)	p_{exh} (bar)
1000	25-100	85	90	1-1,3	1-1,6
1500	25-100	85	90-110	1-2	1,2-2,2
2000	25-100	85	90-113	1,2-2,5	1,3-2,6
2500	25-100	85	90-120	1,4-2,6	1,7-2,7
3000	25-100	85	95-120	1,5-2,6	1,8-2,9
3500	25-100	85	100-125	1,6-2,5	1,9-3
4000	25-100	85	100-125	1,6-2,3	2-3

Table 4: Results of Engine characterization and calibration of HT to chamber walls

Motoring		Combustion	
$C_{W1,m}$	2,3	$C_{W1,c}$	3,99
CR	15,9:1	b	0,7
k_{def}	1,29	C_2	0,0012
$\Delta\alpha_{TDC}$	369,9		

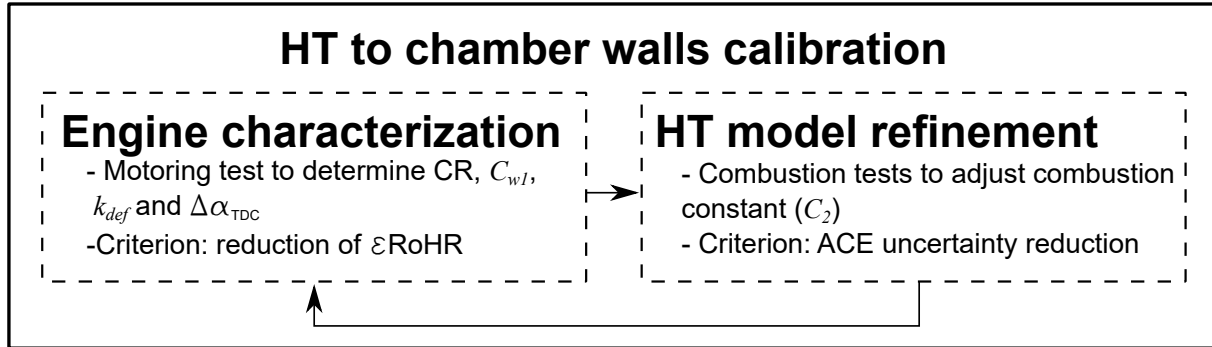
Table 5: HT to the ports comparison between the intake and exhaust ports

Operating point	Intake port	Exhaust port (closed cycle)	Exhaust port (open cycle)
Speed/load	[kW]	[kW]	[kW]
1000/25	-0,008	0,017	0,252
1000/100	-0,027	0,074	1,009
2000/50	-0,037	0,175	1,746
4000/25	-0,088	0,124	1,098
4000/100	-0,160	0,374	2,969

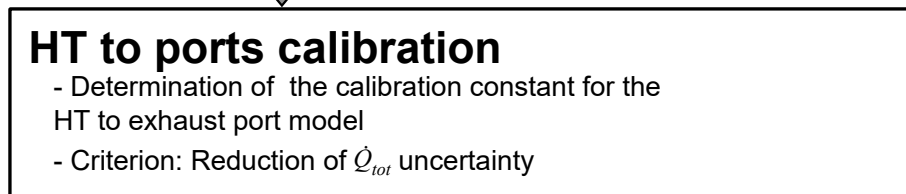
Table 6: Auxiliary and friction adjusted calibration constants

Coolant pump		Oil pump		Fuel pump		Friction	
$k1_{cool}$	$5,14 \times 10^{-5} \frac{bar}{(l/min)^2}$	k_{oil}	$7,9 \times 10^{-3} \frac{l/min}{rpm}$	$k1_f$	$3,43 \times 10^{-9} \frac{m^3/s}{(g/s)^{0.6}}$	$k1_{pis}$	0,498
$k2_{cool}$	$5,51 \times 10^{-2} \frac{l/min}{rpm}$	η_{oil}	90 %	$k2_f$	0,6	$k2_{pis}$	$2,28 \times 10^{-3} rpm^{-1}$
η_{cool}	85 %			η_f	85 – 90 %	k_{bear}	3,9
						k_{valv}	2,5

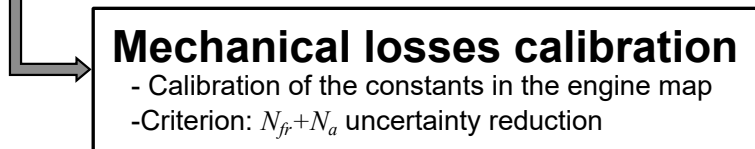
Step 1



Step 2



Step 3



Step 4



Figure 1: Calibration of engine heat transfer

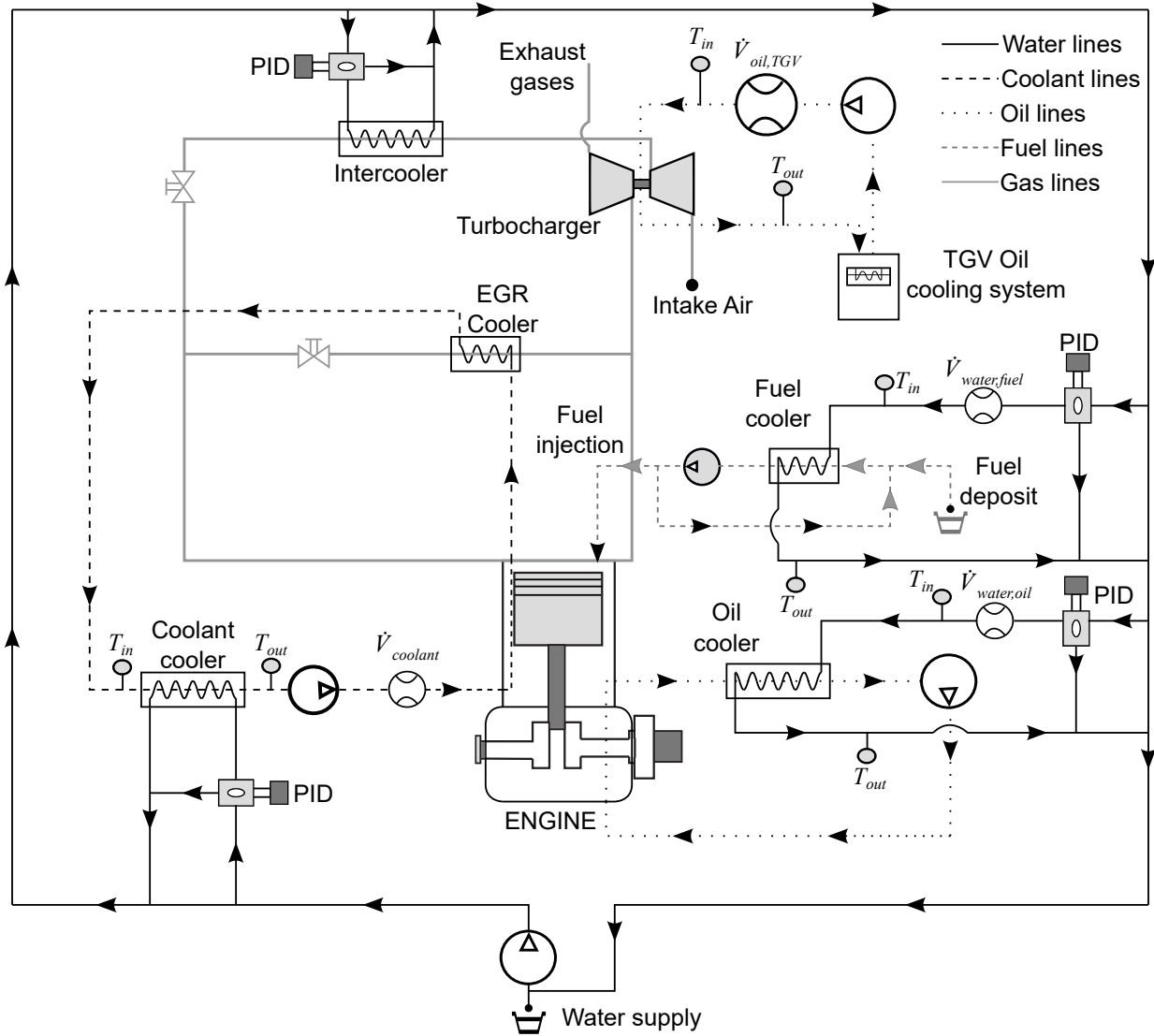


Figure 2: Test cell layout

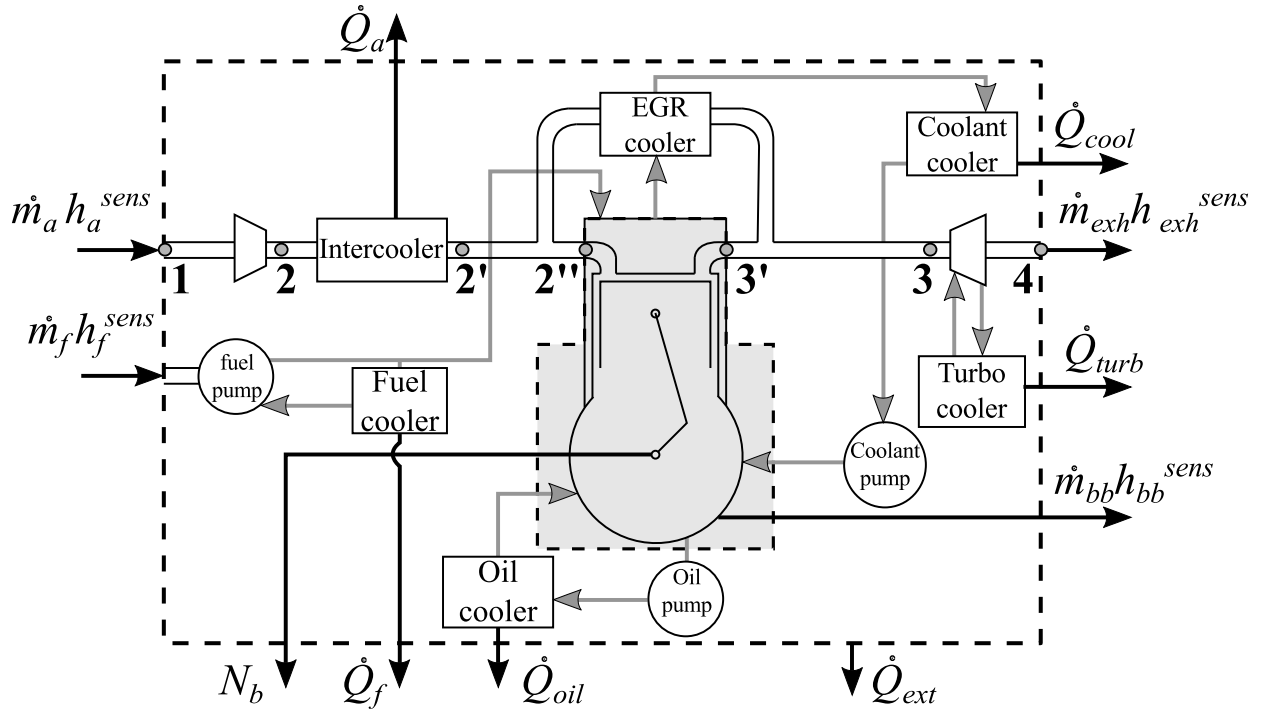


Figure 3: Engine energy scheme

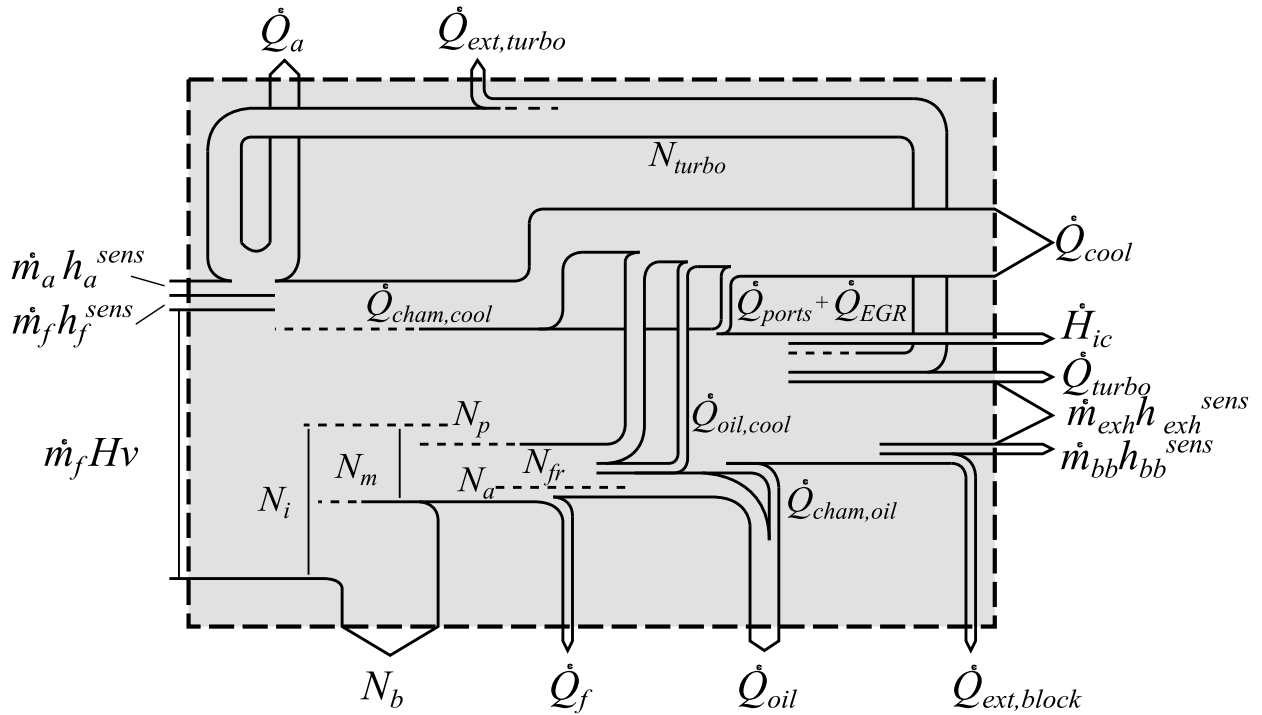


Figure 4: Global energy balance scheme

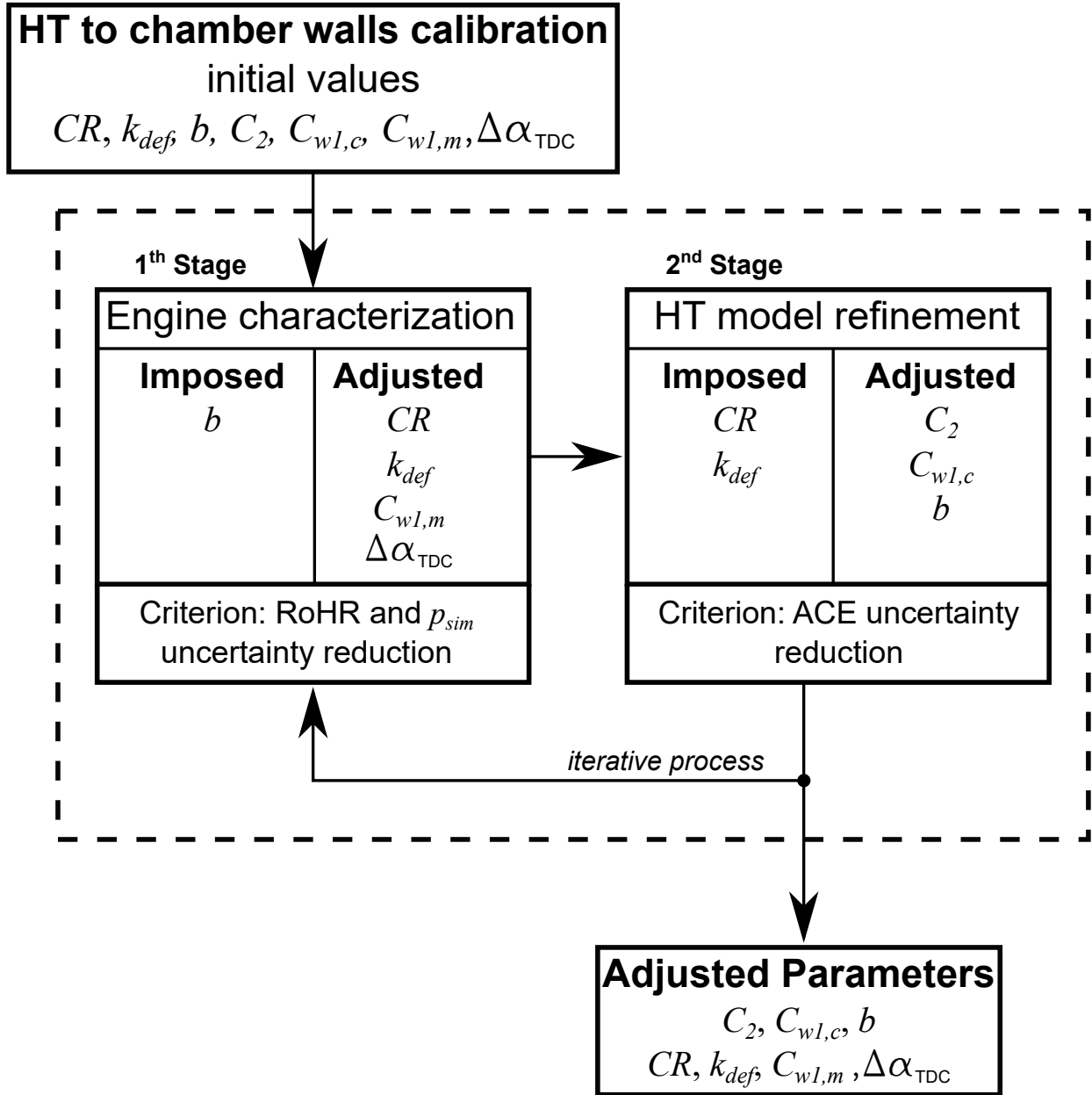


Figure 5: HT adjustment procedure

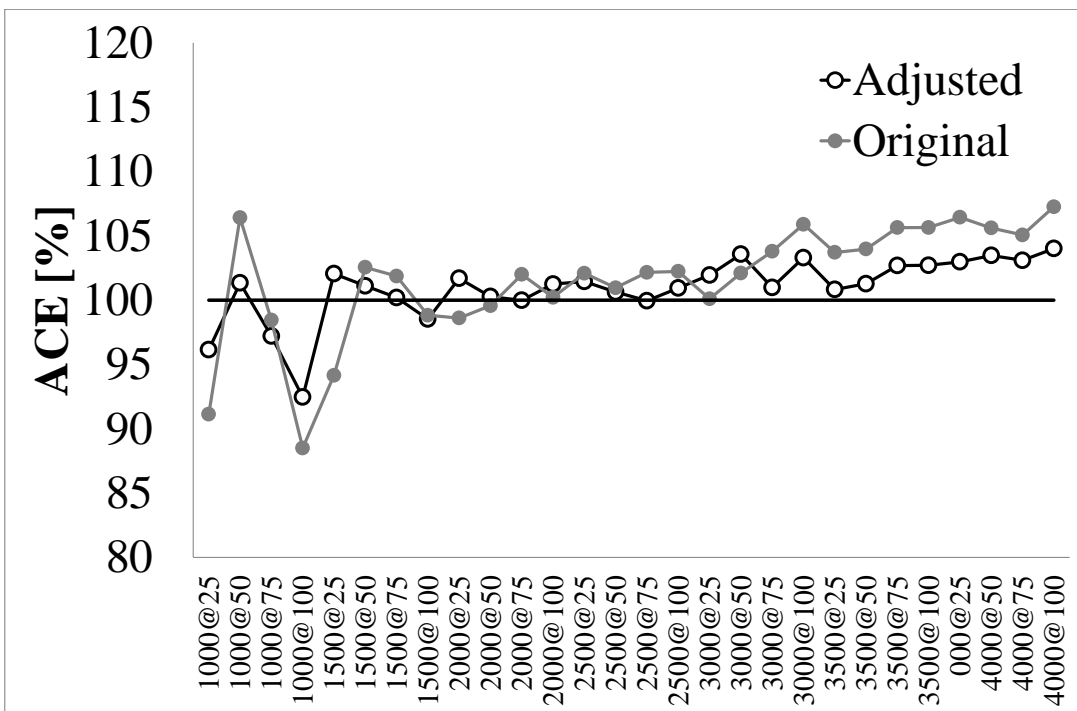


Figure 6: Apparent combustion efficiency

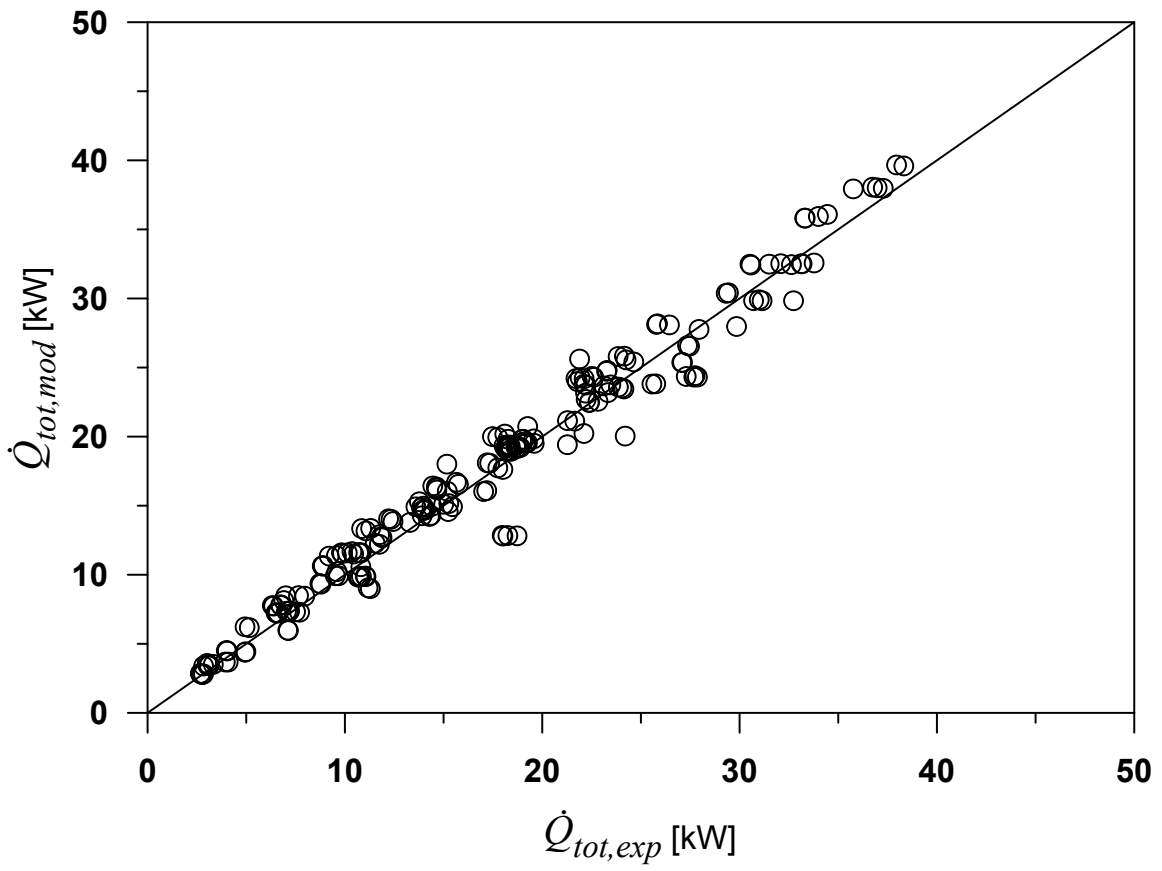


Figure 7: Total heat transfer

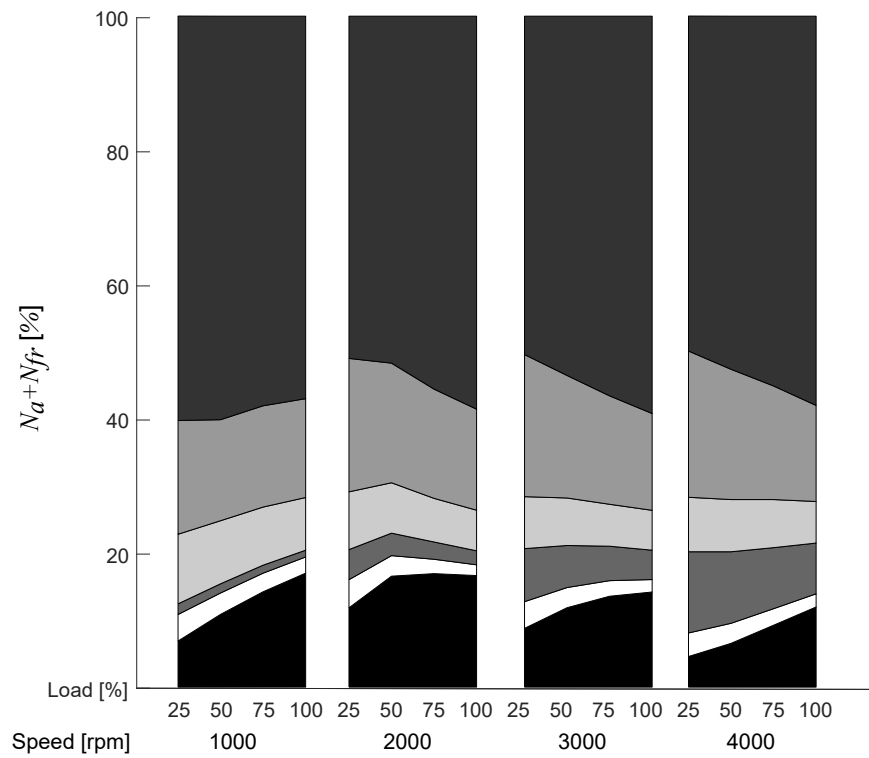
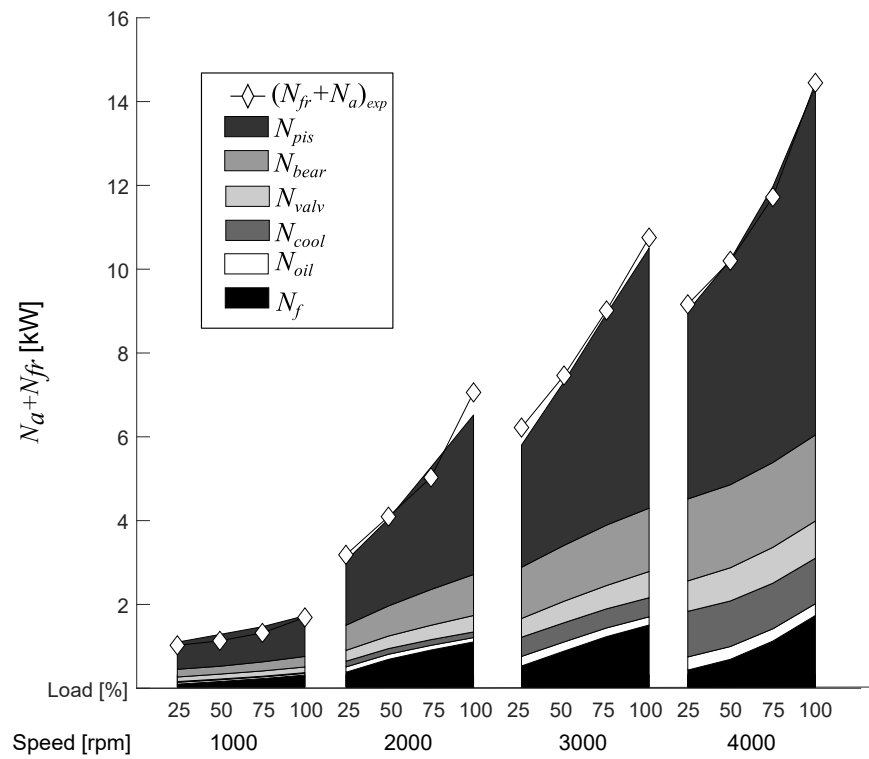


Figure 8: Auxiliary and friction

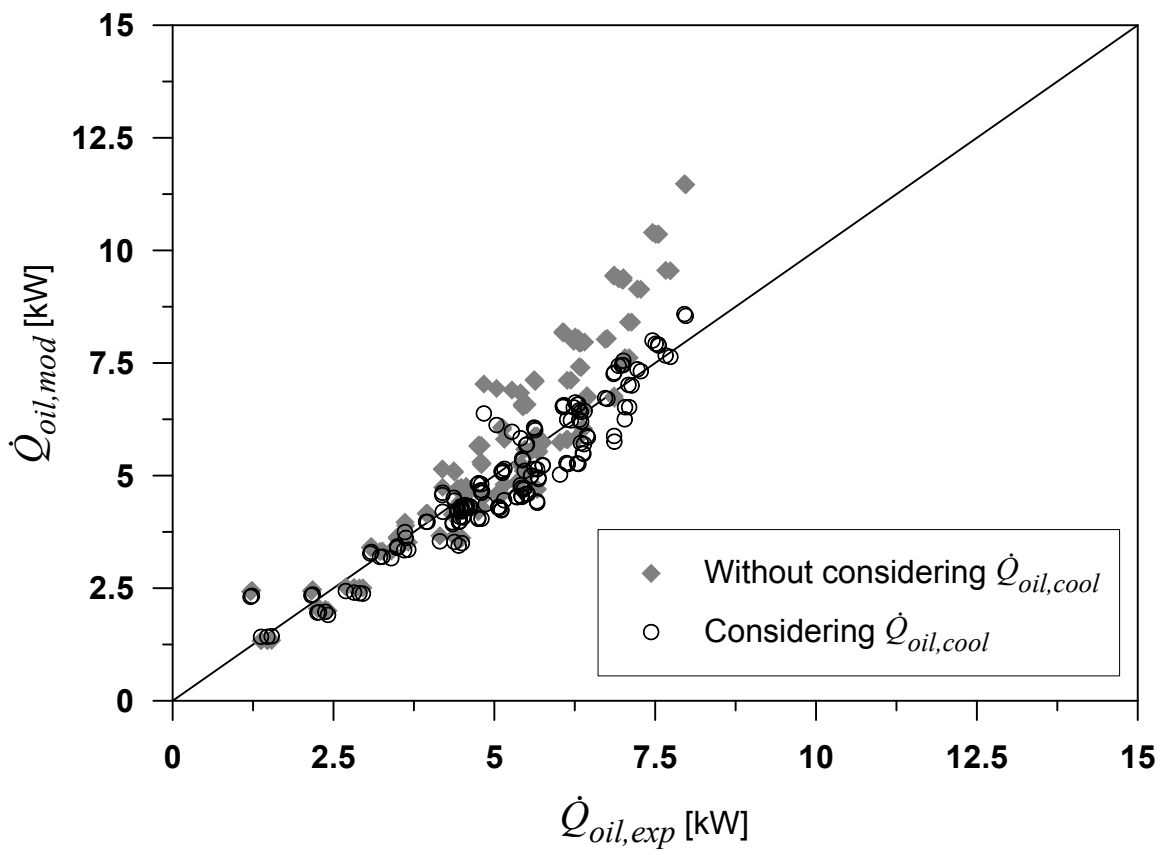


Figure 9: Heat transfer to the oil

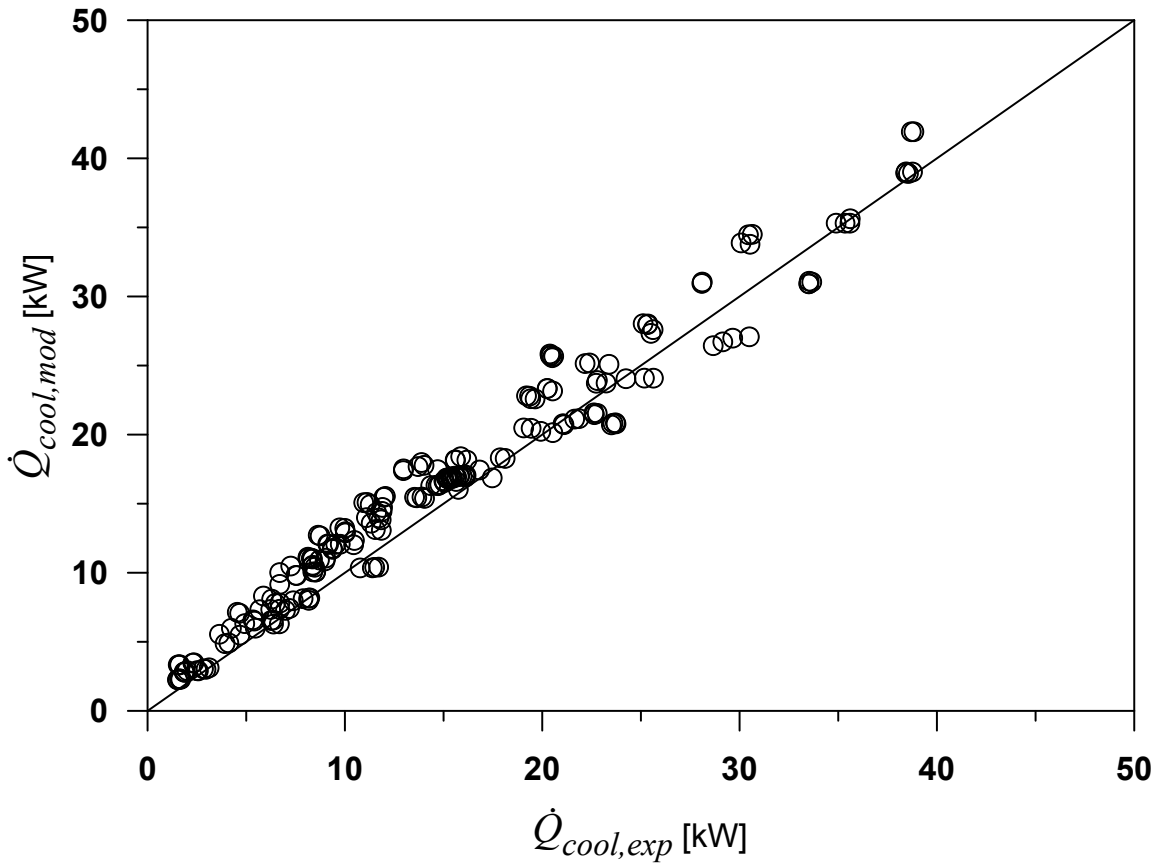


Figure 10: Heat transfer to the coolant

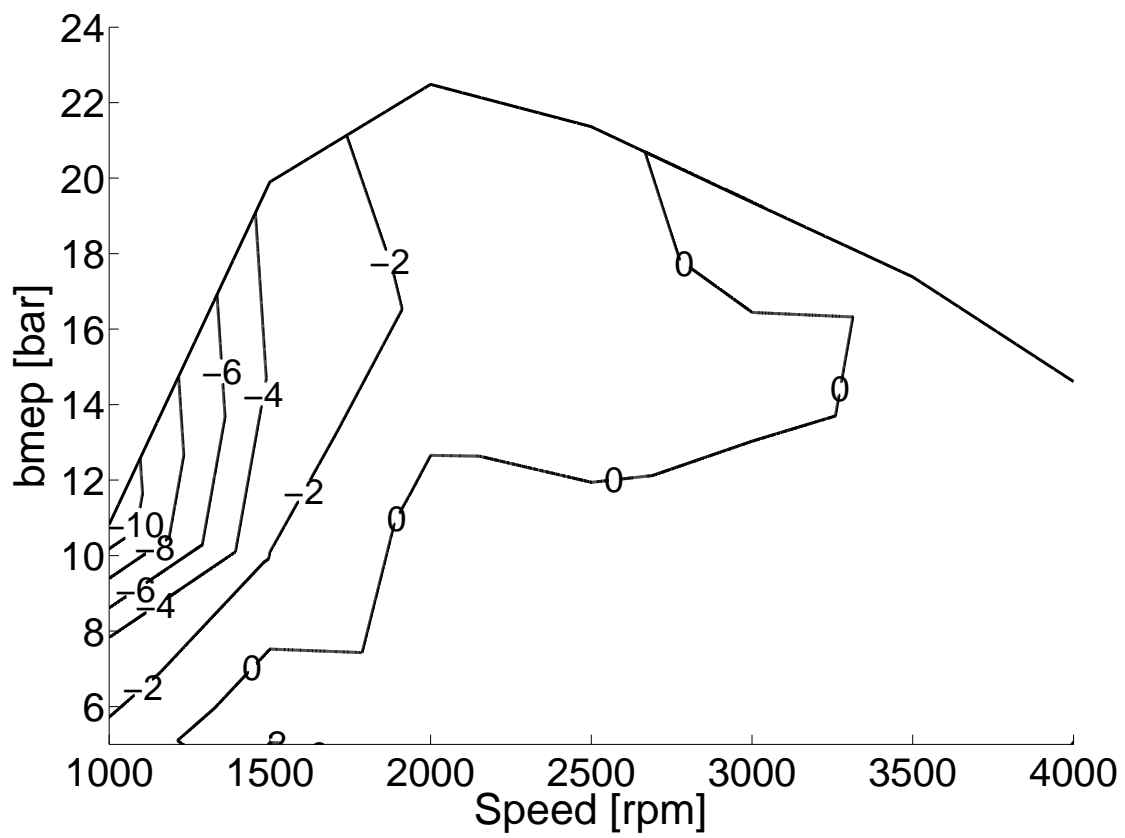


Figure 11: Difference between $\dot{Q}_{tot,mod}$ and $\dot{Q}_{tot,exp}$ HT in $\dot{m}_f H_V$

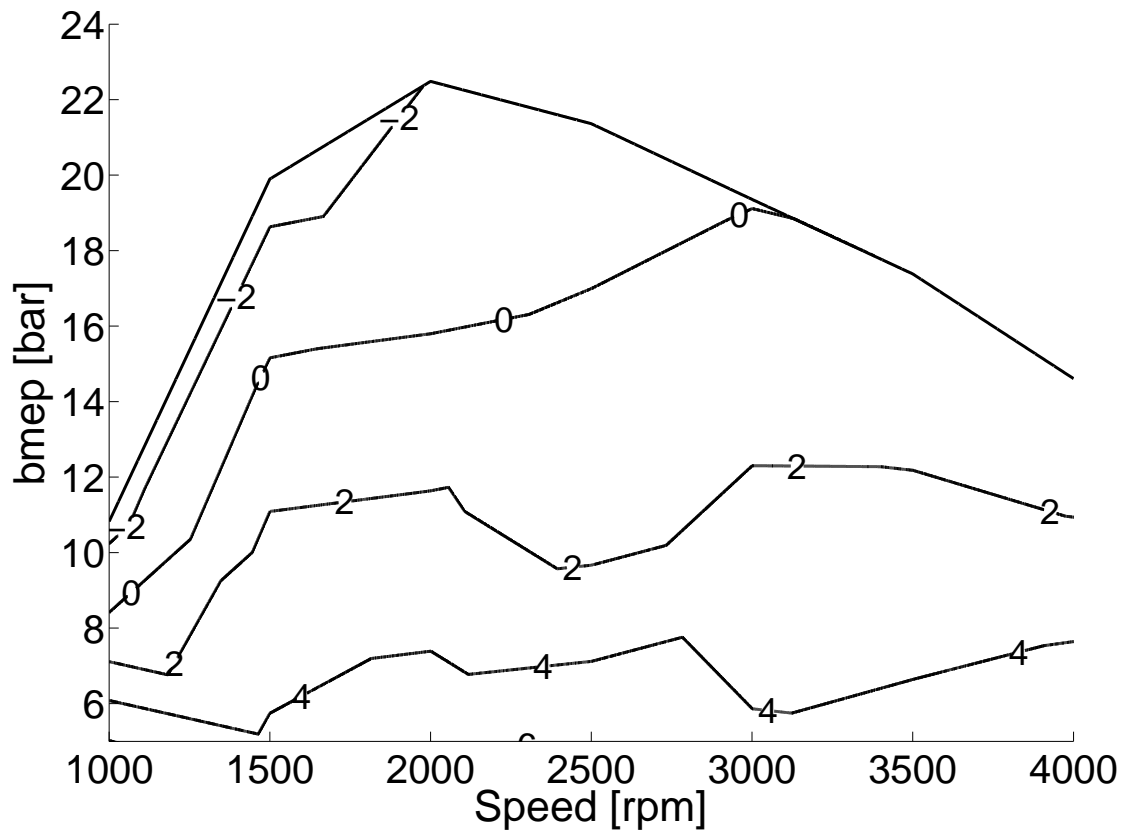


Figure 12: Difference between $\dot{Q}_{cool,mod}$ and $\dot{Q}_{cool,exp}$ HT in $\dot{m}_f H_v$

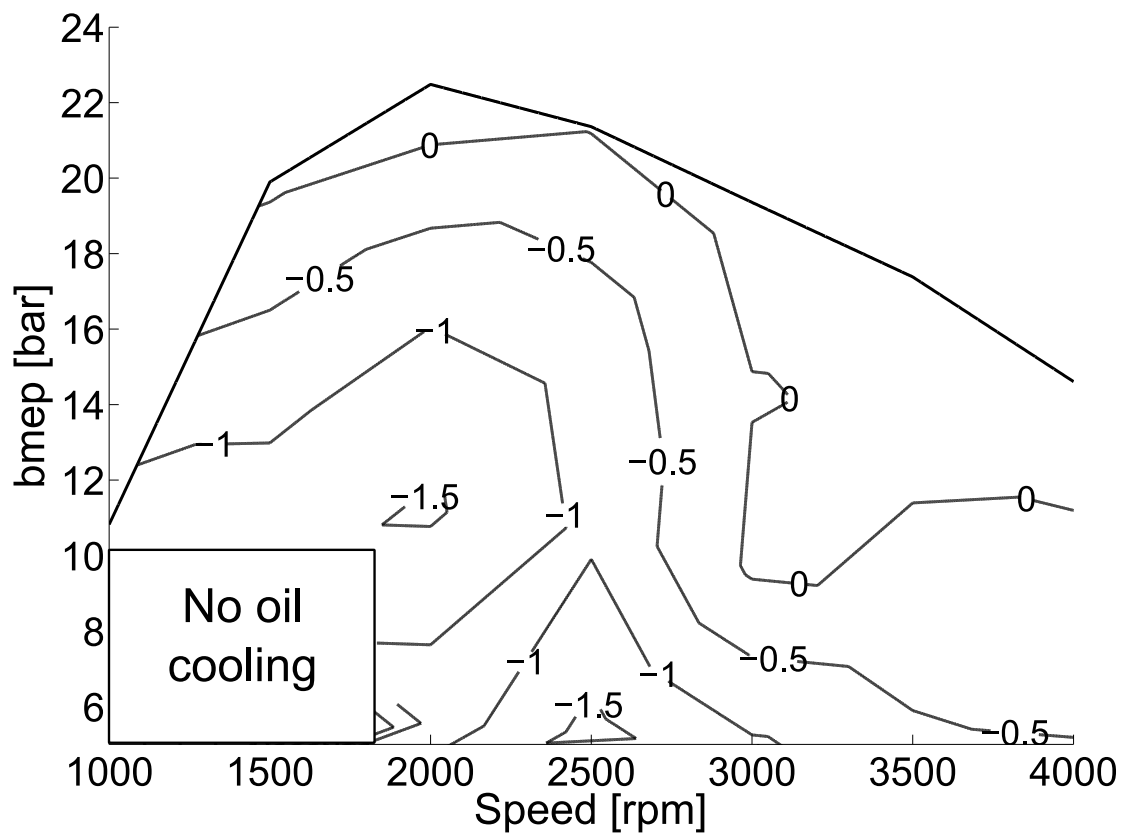


Figure 13: Difference between $\dot{Q}_{oil,mod}$ and $\dot{Q}_{oil,exp}$ HT in $\dot{m}_f H_V$