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Additional Information

1 **INVESTIGATING THE INFLUENCE OF HABITAT STRUCTURE AND**
2 **HYDRAULICS ON TROPICAL MACROINVERTEBRATE COMMUNITIES**

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14

15 **Abstract**

16 The influences of habitat structure and hydraulics on tropical macroinvertebrate communities were
17 investigated in two foothill rivers of the Udzungwa Mountains (United Republic of Tanzania) to assist
18 future Environmental Flow Assessments (EFAs). Macroinvertebrate samples, hydraulic variables and
19 habitat structure were collected at the microhabitat scale ($n = 90$). Macroinvertebrate communities
20 were first delineated (i.e. clustered) through Poisson and negative binomial mixture models for count

21 data in a semi-supervised mode by taking into account the sampled river. Then, genetically optimised
22 Multi-Layer Perceptrons (MLPs) were used to identify the relationship of the most relevant variables
23 with the delineated communities. Between the three delineated communities exclusively one
24 community was shared between both rivers. The first and third communities presented similar values
25 of richness (i.e. number of families) and diversity but the first was characterised by high abundance
26 and was dominated by Baetidae (43.2%) while Hydropsychidae (36.3%) dominated the third
27 community. The second community was dominated by Baetidae (33.4%), but it involved low
28 abundance, richness and diversity samples and encompassed the microhabitats where no-
29 macroinvertebrates were found. The performance of the MLP acknowledged the quality of the
30 delineation and it indicated that the first community shows a clear affinity for microhabitats with
31 aquatic vegetation and woody debris and the third for unshaded, fast flowing and shallow microhabitats
32 on intermediate-sized substrate. Conversely, the second community occurred in deep and shaded
33 microhabitats with low flow velocity and coarse substrate. These results should enhance the
34 implementation of ongoing and future EFA studies.

35

36 **Keywords**

37 Africa; Artificial neural network; Community ecology; Count data; Environmental flow assessment;
38 Semi-supervised clustering

39

40 **1 Introduction**

41 The recognition of deleterious human activities on freshwater ecosystems is well recognised
42 (Zalewski, 2008). For instance, the construction of infrastructure to guarantee water supply for humans

43 has led to anthropogenic effects through flow alteration and regulation (Kundzewicz, 2007). These
44 negative impacts spreading rapidly in developing tropical and sub-tropical countries, where the urgent
45 need to use water for economic development overrides the implementation of initiatives promoting
46 environmental protection (Msuya and Lalika, 2017). Environmental protection can be accomplished
47 through specific actions on living organisms and habitat conservation. Concerning riverine habitats,
48 the core importance of habitat structure and hydraulics are well recognised (Clifford et al., 2006 and
49 references therein), and hydrology has been considered as a key variable affecting the dynamics and
50 distribution patterns of freshwater species populations (see e.g. Schiemer, 2016). In this context,
51 Environmental Flow Assessment (EFA) has emerged as a fundamental tool to determine the quantities,
52 quality, and patterns of water flows (i.e. environmental flows or e-flows) to balance the protection of
53 the natural environment with out-of-stream uses (McClain et al., 2013). Between the different
54 approaches to EFA, the scientific community currently advocates holistic approaches, which consider
55 the different components (e.g. riparian vegetation, macroinvertebrate communities and fish
56 assemblages) and processes (e.g. matter fluxes) of riverine and riparian ecosystems and account also
57 for human needs.

58 Among these components, benthic macroinvertebrates are considered as one of the most relevant taxa
59 to assess the ecological integrity of aquatic ecosystems (e.g. Park et al., 2003). Macroinvertebrates are
60 ubiquitous, largely dependent on the aquatic environment and are especially sensitive to flow and
61 stream temperature changes (White et al., 2017 and references therein). Therefore, understanding how
62 communities can change with respect to environmental variables (i.e. flow and eco-hydraulic
63 relationships) is a fundamental basis for ecosystem management and EFA (Belmar et al., 2013). In this
64 regard, clustering techniques can be useful to delineate communities to serve as targets to develop the
65 necessary eco-hydraulic relationships (Adriaenssens et al., 2007). In accordance, these relationships
66 have been typically addressed following two-step approaches: first communities are delineated (i.e.
67 clustered) and then, relationships are inferred (Park et al., 2003). Unfortunately, the former task is not

68 easy because over-dispersion and nonlinear-complex interactions occur in datasets consisting of many
69 species and sampling areas (Adriaenssens et al., 2007; Park et al., 2003).

70 The aforementioned interactions and nonlinearity triggered the popularity of several sophisticated
71 statistical and machine learning approaches. For instance, a common technique employed to delineate
72 macroinvertebrate communities is Self-Organizing Maps (SOMs) (Kohonen, 1982), which is a kind
73 of artificial neural network (Adriaenssens et al., 2007; Park et al., 2003; Song et al., 2006). However,
74 SOMs and many other technics require data standardisation – because they are sensitive to data over-
75 dispersion (e.g. Song et al., 2006; Adriaenssens et al., 2007) – which may ultimately determine the
76 taxa included within each delineated community (Thorne et al., 1999). In this regard, novel clustering
77 approaches particularly designed to handle count data and over-dispersion, such as Poisson or negative
78 binomial mixture models (Si et al., 2014), should be particularly well suited to delineate
79 macroinvertebrates communities.

80 Despite the aforementioned advances in the analysis of macroinvertebrate communities, studies in
81 tropical rivers, especially on African streams and rivers, have followed more traditional approaches,
82 such as non-metric multi-dimensional scaling (e.g. Baker et al., 2016; Dallas, 2004; Niba and
83 Mafereka, 2015) or several variants related to correspondence and redundancy analysis (e.g. Kasangaki
84 et al., 2006; Chakona et al., 2009). Additionally, the majority of these studies characterising several
85 macroinvertebrate-environment relationships have mainly focused on water quality (e.g. Chakona et
86 al., 2009; Shimba and Jonah, 2016) and land use changes (i.e. natural-forested *vs.* altered-agricultural)
87 (e.g. Kasangaki et al., 2008; Chakona et al., 2009), whereas hydrologic and hydraulic variables have
88 been used less often and exclusively in combination with other environmental predictors (e.g.
89 Kasangaki et al., 2006; Watson and Dallas, 2013). Small-scale differences in hydraulic conditions
90 characterised by water velocity, depth and substrate roughness are useful to predict the spatial
91 distribution of macroinvertebrate assemblages (Brooks et al., 2005). In accordance, eco-hydraulic

92 relationships based on macroinvertebrate communities collected at small spatial scales can be
93 fundamental for EFA (Song et al., 2006). Regrettably, the majority of studies that differentiated spatial
94 scales have focused on comparing reach-scale and basin-scale features (e.g. Minaya et al., 2013). Thus,
95 specific studies focuses on these small spatial scales have not been addressed in most territories,
96 although some have incidentally found relevant differences at sub-reach-scales (Mathooko, 2001; Niba
97 and Mafereka, 2015) highlighting the importance of the patch scale to detect macroinvertebrate
98 variation (Boyero and Bosch, 2004). That said, we still lack a comprehensive understanding of
99 methods to study EFAs and animal communities at small (i.e. microhabitat) scales.

100 In order to improve our knowledge and provide guidelines for adequate EFAs, this study investigated
101 the role of habitat structure and hydraulics, at the microhabitat scale, on tropical macroinvertebrate
102 communities in two tributaries of the Kilombero River located in the foothills of the Udzungwa
103 Mountains (United Republic of Tanzania). To achieve this aim, (i) the communities were delineated
104 (i.e. clustered) by means of Poisson and negative binomial mixture models in a semi-supervised mode
105 by taking into account the sampled river and (ii) the most relevant variables, and the relationship of
106 these variables with the delineated communities, were sought with genetically optimised artificial
107 neural networks. Finally, the community preferences and the implications for EFA were discussed for
108 application in further studies.

109

110 **2 Materials and Methods**

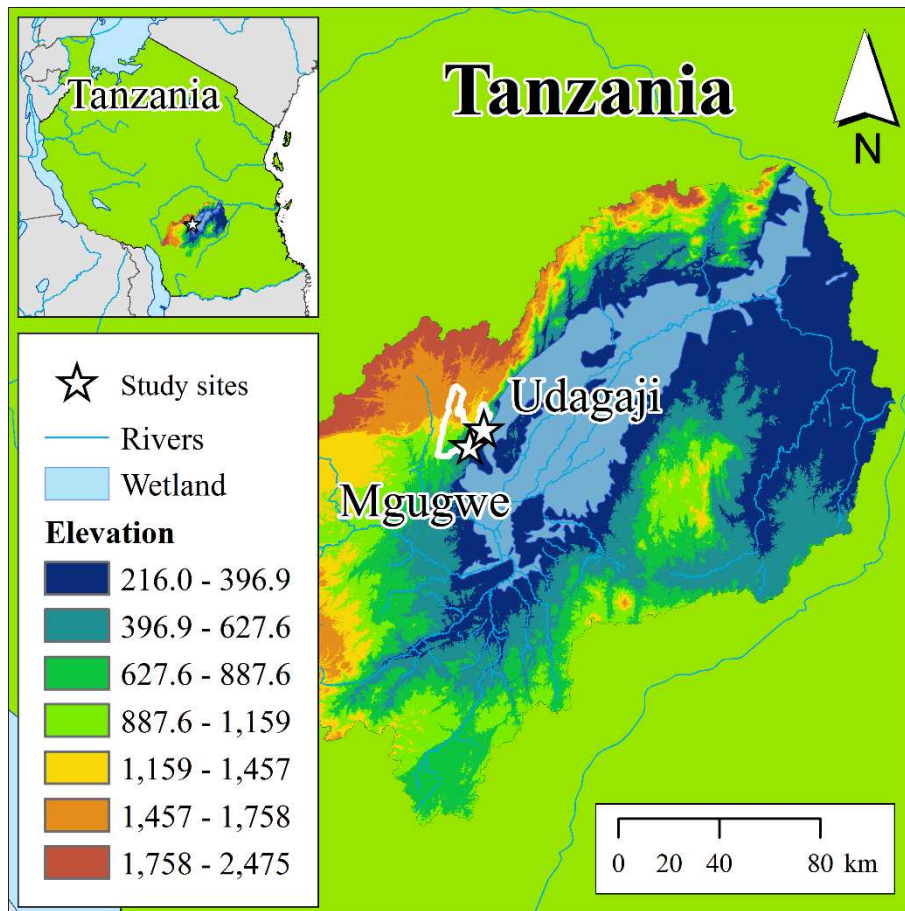
111 **2.1 Study area**

112 The Kilombero River Basin is characterised by a sub-humid tropical climate with relative humidity
113 ranging from 70 to 80% with an annual rainfall of about 1200 to 1400 mm and two rainy seasons: a
114 long rainy season in March to May and a shorter one around October to December (Mombo et al.,

115 2011). Temperatures normally vary from 20 to 30 °C (Mombo et al., 2011). Human-related activities
116 such as overgrazing by livestock, agriculture and human settlement are threatening the Kilombero
117 basin (Elisa et al., 2010). The data were collected to evaluate lower flows (i.e. after water abstraction).
118 In accordance, the survey was undertaken during one week in the end of January 2015 (i.e. short dry
119 season preceding the long rainy season). During that and the preceding weeks no higher flows
120 occurred.

121 The sampled rivers were the Udagaji and Mgugwe, which are two small unregulated rivers that flow
122 southwards from the Udzungwa Mountains National Park (Fig. 1). The Udagaji catchment is densely
123 forested whereas the Mgugwe catchment is covered by forest and shrubs in similar proportions.
124 Although the Udagaji River has been identified as possible water source for a large irrigation scheme
125 in the Kilombero Valley (see O’Keeffe et al., 2017), the basin area of the Mgugwe River is larger (213
126 vs. 25 km²). In accordance, the mean annual flow of the Mgugwe River corresponds to 2.83 m³/s
127 (1957-1991) whereas that of the Udagaji River corresponds to 0.81 m³/s (1957-1991). The maximum
128 and minimum elevation of both sampled rivers did not differ significantly (300/325 and 1637/1802 m
129 a.s.l., respectively) but the mean slope of the Udagaji River is more pronounced (20.2° vs. 16.3° in
130 Mgugwe River), causing a flashier flow regime.

131



132

133 Fig. 1. Location of the Udagaji and Mgugwe rivers and the Kilombero River Basin within the United
 134 Republic of Tanzania.

135

136 **2.2 Data collection**

137 Macroinvertebrate samples were collected at the microhabitat scale – a subset of a mesohabitat (e.g.
 138 pool or riffle) defining the homogeneous spatial attributes (e.g. depth, mean column velocity, cover
 139 type, and substrate) of physical locations occupied or used by a life stage of a target species or
 140 community sometime during its life cycle (*sensu* Bovee et al., 1998). Using the kicking method with
 141 a Wildco 500- μ m kick net (Yulee, FL, USA), the surveyors quietly moved zigzagging from
 142 downstream to upstream sampling systematically the different microhabitats from shore to shore; the
 143 distance between microhabitats ranged between 10-15 m. In accordance with the developing plans, the

144 microhabitat preference models were originally intended to evaluate different management scenarios
145 for the Udagaji River. Therefore, the total number of microhabitat replicates sampled ($n = 90$) in the
146 Udagaji River outnumbered those in the Mgugwe River ($n_{\text{Udagaji}} = 69$ and $n_{\text{Mgugwe}} = 21$). In each
147 replicate, three sub-replicates were sampled kicking the substrate for periods of 60 seconds for each
148 replicate (Madikizela and Dye, 2003). After collection, samples were preserved using 70% ethanol
149 and, later in the laboratory, benthic invertebrates were sorted and identified to the family level. No
150 macroinvertebrates were found in 20 microhabitat replicates (13 in the Udagaji River and 7 in the
151 Mgugwe River).

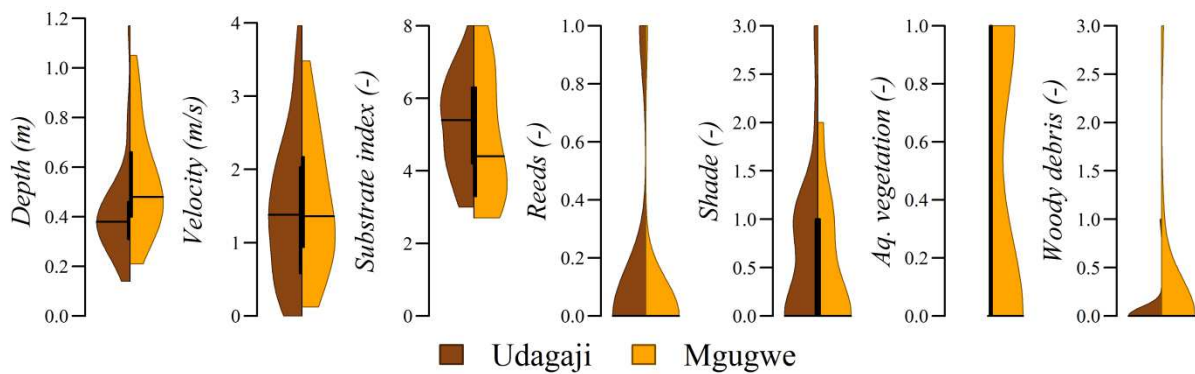
152 The macroinvertebrate community of each microhabitat replicate (thereafter ‘microhabitat’) was
153 characterised based on abundance, richness and diversity. Macroinvertebrate abundance was
154 calculated as the total number of individuals per microhabitat (i.e. summing the number of individuals
155 collected in the three replicates). In addition, rarefaction was used to estimate sample richness (i.e.
156 number of families present per microhabitat) and the Shannon–Weiner and Simpson diversity indices,
157 which were calculated using *R* (R Core Team, 2017) package *iNEXT* (Hsieh et al., 2016). These
158 parameters were used to characterise the delineated (i.e. clustered) communities.

159 Concomitantly to the macroinvertebrate sampling, three hydraulic variables (depth, mean flow velocity
160 and substrate composition) and four factors characterising the structure of the microhabitat (i.e.
161 presence and abundance of reeds, aquatic vegetation, log jams and small woody debris and shade)
162 were measured and scored at three points where each replicate was collected. Later, these values were
163 averaged to define the environmental conditions of each microhabitat.

164 Depth (m) was measured with a wading rod (to the nearest cm) and the mean flow velocity of the water
165 column – hereafter velocity (m/s) – was measured with a propeller current meter (OTT®) at 40% of
166 the measured depth. The percentage of each substrate class was visually estimated around the sampling
167 point following a simplification of the American Geophysical Union size scale, namely silt ($\emptyset \leq 62$

168 μm), sand ($62 \mu\text{m} > \emptyset \leq 2 \text{ mm}$), fine gravel ($2 > \emptyset \leq 8 \text{ mm}$), gravel ($8 > \emptyset \leq 64 \text{ mm}$), cobbles ($64 >$
 169 $\emptyset \leq 256 \text{ mm}$), boulders ($\emptyset > 256 \text{ mm}$) and bedrock (Muñoz-Mas et al., 2012). Later, these percentages
 170 were aggregated into a single value through the dimensionless substrate index (Mouton et al., 2011).
 171 This index is calculated by summing the weighted percentages of each substrate class as follows:
 172 $substrate\ index = 0.03 \times Sand\ \% + 0.04 \times Fine\ Gravel\ \% + 0.05 \times Gravel\ \% +$
 173 $0.06 \times Cobble\ \% + 0.07 \times Boulder\ \% + 0.08 \times Bedrock\ \%$. Finally, the four factors
 174 characterising the structure of the microhabitat were scored as absent, scarce, normal or abundant (i.e.
 175 from 0 to 3) (Muñoz-Mas et al., 2016b). The microhabitats sampled in the Mgugwe River were deeper
 176 and coarser (Fig. 2). In addition, aquatic vegetation was only present in the Mgugwe River.

177



178

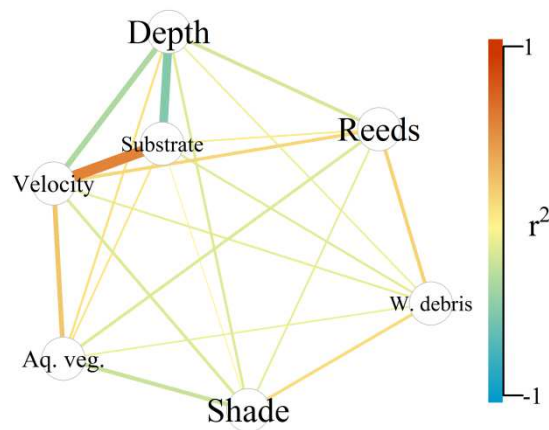
179 Fig. 2. Violin plots summarising the microhabitat data collected in the Udagaji and Mgugwe rivers
 180 (Kilombero River Basin – United Republic of Tanzania). Substrate index, reeds, shade, aquatic
 181 vegetation and woody debris are dimensionless.

182

183 The force-directed graph (Fruchterman and Reingold, 1991) based on the correlation obtained with the
 184 *R* package *polycor* (Fox, 2010), which is specially designed to handle continuous and categorical data,
 185 indicated that the hydraulic variables (i.e. depth, velocity and substrate index) were significantly
 186 related (Fig. 3). Velocity was positively correlated with substrate, which was negatively correlated
 187 with depth. The factors characterising the structure of the microhabitats were not related and neither

188 were with the hydraulic variables, although aquatic vegetation was slightly and positively correlated
189 to velocity.

190



191

192 Fig. 3. Force-directed graph based on the correlation (Pearson r^2) between the hydraulic variables and
193 factors collected at each microhabitat obtained with the R package *qgraph* (Epskamp et al., 2012).

194

195 2.3 Macroinvertebrate community delineation - data clustering

196 The macroinvertebrate communities present in the foothill rivers of the Udzungwa Mountains were
197 delineated based on the abundance of each family (i.e. number of individuals per family) following
198 the process described in the R package *optCluster* (Sekula et al., 2017). This package allows finding
199 the optimal clustering algorithm along with the optimal number of clusters (i.e. communities). In
200 accordance, a number of different approaches with the potential number of communities (i.e. number
201 of clusters) are tested and, for each combination, up to nine validity indices are calculated. There is
202 not a single validity index that outperforms in every situation (Arbelaitz et al., 2013). Therefore, the

203 different combinations are subsequently ranked on the basis of the selected validity indices to obtain
204 the optimal clustering approach and number of clusters (Sekula et al., 2017).

205 The model-based family of algorithms designed to count data and over-dispersion (i.e. Poisson and
206 negative binomial mixture models) were tested to delineate between 2 and 9 macroinvertebrate
207 communities. Standard model-based clustering algorithms assume that data are generated by a mixture
208 of normal (i.e. Gaussian) probability distributions where each component corresponds to one cluster
209 (Si et al., 2014). However, the macroinvertebrate counts typically involve large numerical differences
210 (i.e. over-dispersion), which compelled scientists to recommend data transformation before clustering
211 (e.g. Adriaenssens et al. 2007). To avoid this step, the tested clustering algorithms – originally included
212 within the *R* package *MBCluster.Seq* (Si, 2012) – employ mixtures of Poisson or negative binomial
213 distributions (Si et al., 2014).

214 The package *MBCluster.Seq* includes six different variants (three Poisson and three negative binomial
215 alternatives) differing exclusively in the training algorithm used to determine the internal parameters.
216 The first pair is trained with the Expectation Maximization (EM) algorithm (Dempster et al., 1977),
217 which is the most popular method for approximating maximum likelihood estimate (Si, 2012).
218 However, a well-known problem associated with EM is that it can be trapped at local maxima and
219 consequently fails to reach global maxima (Si, 2012). To overcome this limitation, the package
220 *MBCluster.Seq* includes two alternative algorithms, the Simulated Annealing (SA) (Celeux and
221 Govaert, 1992) and Deterministic Annealing (DA) (Rose, 1998).

222 Although previous studies indicated that differences among environmental conditions (e.g. different
223 depth, substrate composition or water quality) are the real drivers of macroinvertebrate communities
224 (Baker et al., 2016; Costa and Melo, 2008), macroinvertebrate surveys usually collect a limited number
225 of variables, which may limit the predictive capacity of the incomplete variable set. In such a situation,
226 a variable describing the origins of the sample (e.g. sampled river) may be a better predictor because

227 it implicitly encompasses the variables that have not been accounted for, especially when the sampled
228 habitats present evident differences (i.e. depth, substrate and particularly the absence of aquatic
229 vegetation in the Udagaji River). Therefore, although the environmental conditions were not involved
230 in the community delineation, we ranked the different combinations of clustering techniques and
231 number of clusters based on two biological validation indices: the biological homogeneity index (BHI)
232 and the biological stability index (BSI) (Datta and Datta, 2006), which take into account the origins of
233 each sample (i.e. the river where the sample was collected). This semi-supervised approach measures
234 whether, on average, genes (i.e. macroinvertebrate communities sampled in each microhabitat)
235 belonging to the same cluster also belong to the same functional class (i.e. river) (Visconti et al., 2014);
236 but, unlike other semi-supervised methods, it does not enforce or prevent any particular aggregation
237 (Jain, 2010). The BHI evaluates how similar defined clusters are by calculating the average proportion
238 of paired genes (i.e. pair of sampled communities) that are clustered together and have the same
239 functional class (i.e. were collected in the same river). Conversely, the BSI examines the consistency
240 of clustering similar biologically functioning genes together (i.e. belonging to the same river).
241 Observations (i.e. macroinvertebrate families) are removed from the dataset one at a time and the
242 cluster assignments of genes (i.e. sampled communities) with the same functional class (i.e. belonging
243 to the same river) are compared to the cluster assignments based on the full dataset.

244 The function *repRankAggreg* – originally included within the *R* package *RankAggreg* (Pihur et al.,
245 2009) – was used to infer the optimal clustering algorithm along with the optimal number of clusters.
246 This function performed a weighted rank aggregation of the 6×8 tested combinations following a
247 Monte Carlo cross-entropy approach to render the optimal number of clusters accounting
248 simultaneously and equally for the two validity indices (Pihur et al., 2007).

249 Finally, the abundance, richness and Shannon–Weiner and Simpson diversity indices of the
250 communities delineated by the optimal clustering approach and number of clusters determined with

251 *repRankAggreg* were compared with the Bayesian test implemented within the *R* package *BEST*
252 (Kruschke, 2013), which provides credible values of the mean, median and standard deviation to infer
253 their differences. The member and counts of each delineated community were inspected and the
254 resulting clusters were used in subsequent analyses.

255

256 **2.4 Eco-hydraulic relationships inference - neural networks-based classification**

257 The most relevant variables, and the relationship of these variables with the delineated communities
258 (i.e. clusters), were sought with genetically optimised Multi-Layer Perceptrons (MLPs) (McCulloch
259 and Pitts, 1943; Rumelhart et al., 1986). MLPs are a kind of feedforward artificial neural network
260 inspired by the structure of the nervous system with three or more layers of fully-connected neuron-
261 nodes (Olden et al., 2004). Three layered (input-layer, hidden-layer, output-layer) MLPs were
262 developed with the *R* package *nnet* (Venables and Ripley, 2002). The same number of output neurons
263 as the number of delineated communities (i.e. clusters) was used (Walczak and Cerpa, 1999) and the
264 outputs of the linear functions were standardised employing the *softmax* function. This permitted to
265 infer the suitability, between zero and one, of a given microhabitat to each delineated community in a
266 comprehensible manner.

267 To prevent overfitting, we simultaneously sought the optimal weights for each community, number of
268 neuron nodes and microhabitat variable subset (Goethals et al., 2007). We used a *wrapper* approach
269 involving cross-validation and the Genetic Algorithm (GA) (Holland, 1992) implemented within the
270 *R* package *rgenoud* (Mebane Jr and Sekhon, 2011), which is an approach that proved markedly
271 proficient (see Muñoz-Mas et al., 2016a and therein references) to search them. The optimisation was
272 performed following a repeated k-fold scheme ($10 \times 10_{cross-validation}$), with every fold presenting
273 a similar proportion of samples per community (i.e. samples per cluster) to the original dataset and the

274 performance criterium was the balanced accuracy (i.e. the number of correctly predicted cases
275 weighted by the rarity of the community), which ranges between 0–1 (Muñoz-Mas et al., 2016c). The
276 nine different operators that govern the optimisation performed by the GA (Mebane Jr and Sekhon,
277 2011) were selected to avoid premature convergence, as previously suggested (Muñoz-Mas et al.,
278 2017). In this study, the population size was set after $N_{population} = 10 \times (N_{clusters} + 1 +$
279 $N_{predictors})$ and the optimisation halted after a similar number of generations without improvement
280 whereas the maximum number of generations was set to $10 \times N_{population}$.

281 The variable importance was examined following the Olden approach (Olden et al., 2004), which
282 calculates the importance as the product of the raw input-hidden and hidden-output connection weights
283 between each input and output neuron and sums the product across all hidden neurons (Beck, 2016).
284 The method was implemented using the *R* package *NeuralNetTools* (Beck, 2016) and it was calculated
285 for the 100 MLPs that presented the best generalisation to calculate confidence intervals. Finally, the
286 modelled relationship between the selected variable subset and the probability of presence of each
287 delineated community was graphically characterised with partial dependence plots (Friedman, 2001).
288 Partial dependence plots depict the average of the response variable *vs.* the inspected variable and
289 account for the effects of the remaining variables within the model by averaging their effects. The
290 partial dependence plots were calculated adapting the code appearing in the *R* package *randomForests*
291 (Liaw and Wiener, 2002) and they were likewise calculated for the 100 MLPs that presented the better
292 generalisation to calculate confidence intervals.

293

294 **3 Results**

295 **3.1 Macroinvertebrate communities**

296 A total of 1443 macroinvertebrates were identified. The most abundant order was Ephemeroptera
297 (49.40%), followed by Trichoptera (21.57%) and Lepidoptera (6.39%), whereas the least abundant
298 order was Hemiptera (1.48%). The most abundant families were: Baetidae (28.69%), Hydropsychidae
299 (20.51%) and Leptophlebiidae (14.21%), whereas the least abundant were Tricorythidae (0.07%),
300 Helodidae (0.07%) and Atyidae (0.07%).

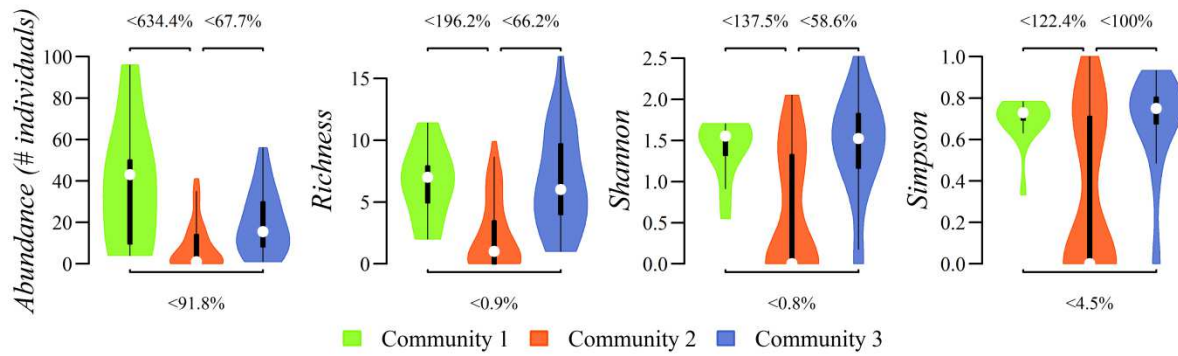
301 Three macroinvertebrate communities were identified (i.e. the optimal number of clusters was three)
302 using the Poisson mixture model trained with DA. Community 1 encompassed 12 samples collected
303 exclusively in the Mgugwe River and the Community 3 included 30 samples collected in the Udagaji
304 River. Community 2 was the only cluster encompassing samples collected in both rivers, although
305 most of them were collected in the Udagaji River (39/9) (Table 1). Community 1 presented higher
306 abundance, although richness and the diversity indices were similar to those of Community 3 (Fig. 4).
307 Conversely, Community 2 presented the lowest values of abundance, richness and the diversity
308 indices.

309

310 Table 1. Number of samples per river encompassed within each delineated community.

River/Community	Community 1	Community 2	Community 3
Mgugwe	12	9	0
Udagaji	0	39	30

311



312

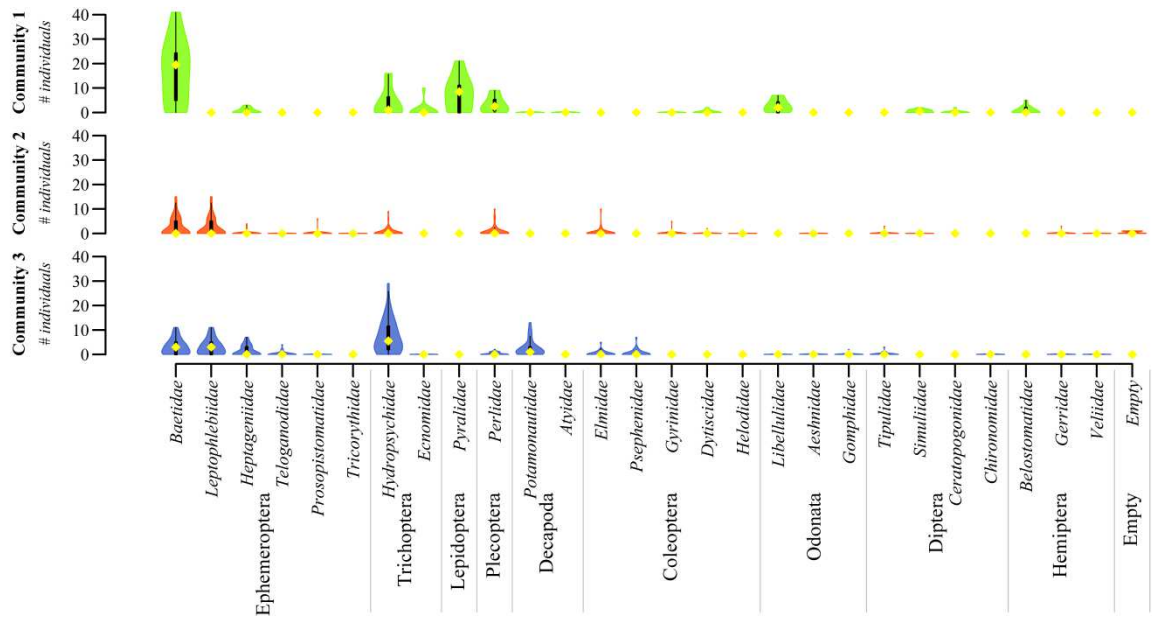
313 Fig. 4. Violin plots depicting the distribution of the community indices for the three delineated
 314 communities; the tagged percentages depict the differences on median values between communities.

315

316 The analysis per order and family corroborated the aforementioned general pattern in abundance,
 317 although the total number of individuals delineated within Community 3 was higher (Fig. 5).
 318 Therefore, the abundance of the samples included within Community 1 (454 ind./12 samples) was
 319 higher than in Community 3 (595 ind./30 samples) whereas Community 2 encompassed the least
 320 abundant samples (374 ind./48 samples).

321 Between communities, the most abundant families in Community 1 were Baetidae (43.17%), Pyralidae
 322 (20.04%) and Hydropsychidae (10.79%), whereas Hydropsychidae (36.30%), Leptophlebiidae
 323 (15.63%), Baetidae (15.63%) and Potamonautidae (12.27%) were the most abundant in Community
 324 3. Conversely, Community 2 was dominated by Baetidae (33.42%), Leptophlebiidae (29.95%) and
 325 Perlidae (10.43%); the empty microhabitats (i.e. the 20 microhabitats without macroinvertebrates)
 326 were aggregated to Community 2.

327



328

329 Fig. 5. Violin plots depicting the distribution of the abundance (# of individuals) of each family within
 330 the three delineated communities. The families are sorted first by order abundance and then by family
 331 abundance.

332

333 3.2 Eco-hydraulic relationships

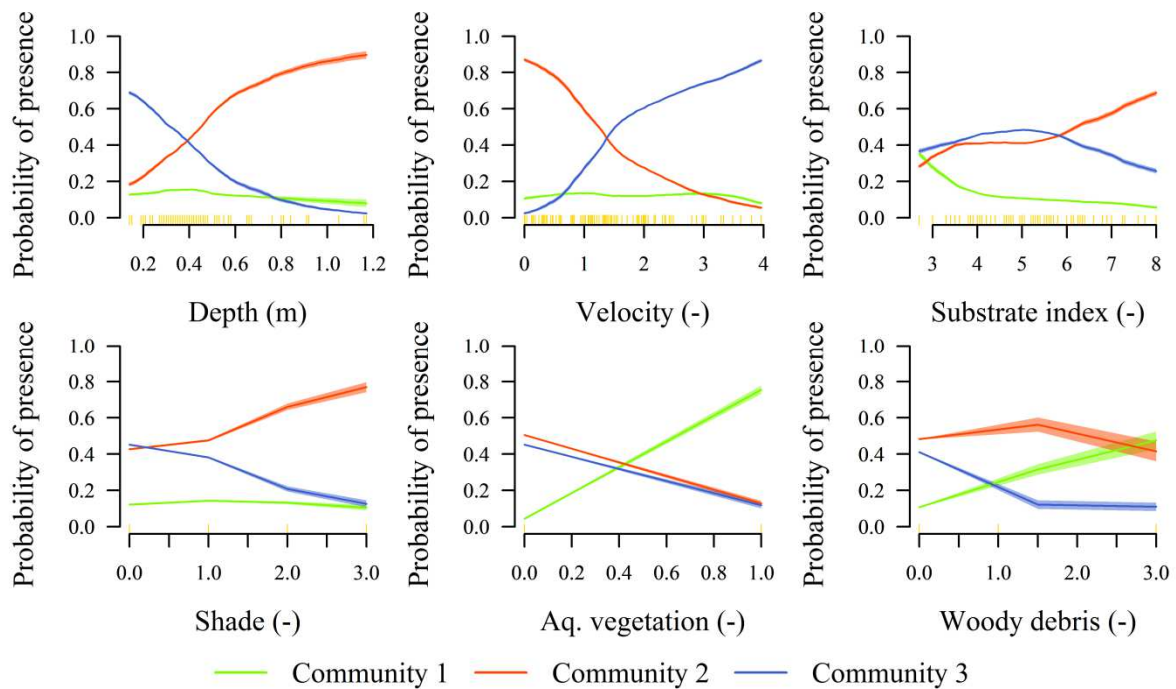
334 The MLP structure that generalised most over the validation datasets was obtained with three neuron-
 335 nodes in the hidden-layer and overweighing Community 2 (57.07%) compared to the other two
 336 communities (Community 1 = 21.33% and Community 3 = 21.60%). The better performance was
 337 obtained with six variables, namely depth, velocity, substrate index, shade, aquatic vegetation and
 338 woody debris and the mean balanced accuracy per community achieved very high values (i.e.
 339 Community 1 = 0.84 ± 0.21 , Community 2 = 0.77 ± 0.12 and Community 3 = 0.84 ± 0.11).

340 The partial dependence plots indicated that Community 1 had a clear affinity for microhabitats with
 341 aquatic vegetation and woody debris and, to a lesser extent, for finer substrates (i.e. sands) (Fig. 6).

342 Community 2 occurred in deep and shaded microhabitats with low flow velocity and the coarsest

343 substrates (including bedrock). Conversely, Community 3 occurred in unshaded, shallow fast flowing
344 microhabitats with intermediate substrate (i.e. gravel and fine gravel).

345



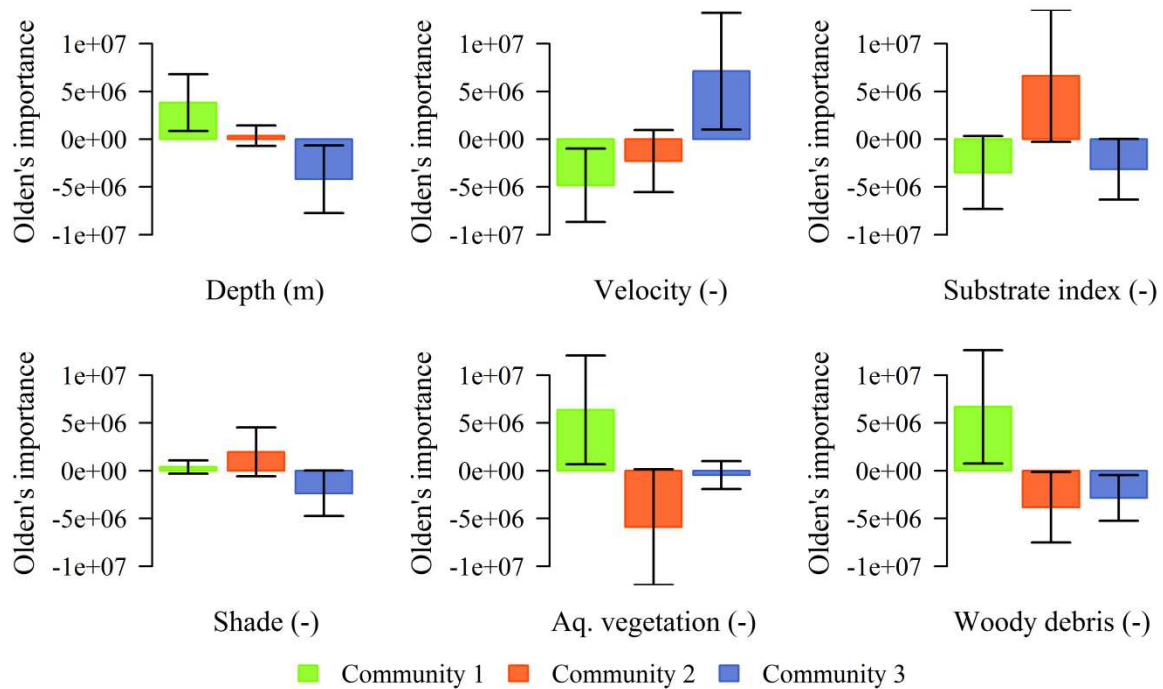
347

348 Fig. 6. Mean partial dependence plots, and confidence interval, of the six selected variables. These
349 plots depict the relationship between each variable and the probability of presence of the three
350 delineated communities.

351

352 The variable importance analysis corroborated the trends observed in the partial dependence plots,
353 with aquatic vegetation and woody debris, followed by velocity, as the most discriminant variables for
354 Community 1 (Fig. 7). These three variables were likewise the most important for Community 2,
355 although they presented the opposite effect (i.e. sign). Finally, the most important variables for
356 Community 3 were velocity, depth and substrate.

357



357

358 Fig. 7. Variable importance computed with the Olden approach (Olden et al., 2004) for the three
 359 delineated communities.

360

361 4 Discussion

362 A central challenge in community ecology is to understand the mechanisms that shape animal
 363 assemblages. Our study corroborated that habitat structure and hydraulics also play a fundamental role
 364 in shaping the macroinvertebrate communities in the foothill rivers of the Udzungwa Mountains (Baker
 365 et al., 2016; Costa and Melo, 2008). We demonstrated that habitat structure and hydraulics are able to
 366 properly discriminate the macroinvertebrate communities, which, in turn, underlines their importance
 367 as drivers of community composition and abundance. Aquatic vegetation, woody debris, velocity and
 368 substrate index, followed by depth and shade, emerged as the most discriminant variables to
 369 understand macroinvertebrate communities in these tropical running waters.

370

371 **4.1 Macroinvertebrate communities**

372 We demonstrated that the optimal number of communities and clustering algorithm can be found with
373 the functionalities implemented within the *optCluster* (Sekula et al., 2017), which allowed us to
374 determine three types of macroinvertebrate communities in a semi-supervised mode by taking into
375 account the sampled river. We indicated that exclusively one community was shared between both
376 rivers. The quality of the aggregation is acknowledged by the results obtained with the MLP, which
377 achieved very high performance (mean balanced accuracy ≈ 0.80). Compared to previous studies (e.g.
378 Park et al., 2003; Edia et al., 2010), the MLP presented in this study performed well with three neuron-
379 nodes and six variables, although former studies did not apply exactly the same approach followed
380 here. Furthermore, the number of delineated communities (i.e. three) was in line with other studies that
381 used SOM in a similar manner (e.g. Park et al., 2003; Edia et al., 2010). In accordance, the use of
382 model-based clustering algorithms assuming that data were generated by a mixture of Poisson or
383 negative binomial probability distributions following semi-supervised mode approaches should be
384 taken into account as a general framework in further studies pooling data from different river segments
385 (Si et al., 2014).

386 Concerning to the macroinvertebrate composition, the most abundant family was Baetidae, which is
387 globally distributed (Dallas, 2004; Mathooko and Mavuti, 1992), and thus it cannot be considered
388 particularly indicative, although its low abundance has been stated to be indicative of impoverished
389 ecological status (Elias et al., 2014; Shimba and Jonah, 2016; Zhang et al., 2018). Another widely
390 distributed taxa, Diptera, was not abundant compared to the reference sites sampled in other studies
391 focused on African systems (Dallas and Mosepele, 2007; Kasangaki et al., 2006; Mathooko and
392 Mavuti, 1992). Therefore, the largest differences between the macroinvertebrate communities of the
393 Udzungwa Mountains and those sampled in other studies were found for river stretches sampled in the
394 vicinity of large populations; where the water quality led to markedly different communities dominated

395 by individuals of the order Diptera (Elias et al., 2014; Shimba and Jonah, 2016). Although the
396 composition of the macroinvertebrate communities may remain markedly constant (Dallas, 2004;
397 McClain et al., 2014), care must be taken in interpreting these results in terms of abundance because
398 changes in composition may be governed by small and temporary changes (McClain et al., 2014).

399

400 **4.2 Eco-hydraulic relationships**

401 We identified aquatic vegetation, woody debris, velocity, substrate index and, to a lesser extent, depth
402 and shade as the most discriminant variables to understand macroinvertebrate communities in the
403 studied tropical rivers. In the past, the use of depth and velocity and not the combined effect in the
404 form of shear stress or Froude number has been criticised (Mérigoux et al., 2009). However, the best
405 MLP was obtained employing simultaneously velocity, substrate index and depth and considering fully
406 interacting variables, which has been suggested to increase predictive capacity (Mérigoux et al., 2009).
407 With this variable set, the MLP achieved very high performance and led us to consider the use of these
408 derived variables potentially redundant. Former studies faced difficulties to distinguish
409 macroinvertebrate communities (Adriaenssens et al., 2007) while our results found a clear separation
410 for the three delineated communities according to key environmental variables (here aquatic vegetation
411 and substrate index). Nevertheless, the relative narrow spectrum of sampled conditions may have
412 favoured a better discrimination than other studies that encompassed a larger variability and worked
413 at a lower taxonomic level (i.e. species level) (e.g. Adriaenssens et al., 2007; Mérigoux et al., 2009),
414 especially taking into account that in our case several families appeared spread over different
415 communities.

416 Interestingly the most relevant variables, and their impact on macroinvertebrate abundance and
417 composition, fit well with *a priori* classifications performed in other studies where the available

418 habitats were classified as stones, vegetation or sand accounting for the type (bedrock rapid *vs.* cobble
419 riffle) and quality (deposition of silt on stones) of the underlying substrate (Dallas, 2007). These
420 differences between vegetated *vs.* non-vegetated and sandy *vs.* coarse substrate have been reported in
421 other African streams, most likely because some of them compared to others are complex habitats that
422 provide (i) refuge from current and fish predation, (ii) food supply for herbivores and detritivores, (iii)
423 attachment for filter-feeding taxa and (iv) exit points for emerging aquatic insects (Chakona et al.,
424 2008). In particular, macrophytes enhance the physical and chemical heterogeneity in aquatic
425 ecosystems (Phiri et al., 2011), and density increases of vegetation have been related with changes in
426 invertebrate body size distribution, with large-bodied individuals and taxa generally being more
427 abundant in dense vegetation owing to the reduction in predation efficiency and foraging success of
428 fish (Phiri et al., 2011). Thus, our outcomes are in agreement with these considerations highlighting
429 the key importance of aquatic vegetation in the structure of macroinvertebrate communities.

430 Similar reasoning can be applied to woody debris because Ephemeroptera and Trichoptera often feed
431 on leaf litter and/or hide in woody debris (Cummins and Klug, 1979). Usually, the presence of woody
432 debris is particularly relevant at least for some Trichoptera because it provides the necessary material
433 to build their characteristic cases (de Moor and Ivanov, 2008). However, this might not be the case in
434 this study as the identified Trichoptera (Hydropsychidae and Ecnomidae) are caseless (de Moor, 2005).
435 Still, small woody debris can be of importance to aquatic invertebrates as, for instance, a food source
436 for many species (e.g. Cummins and Klug, 1979). Although it may be not exempt from controversy
437 (Aguiar et al., 2017; Lau et al., 2008), it has been stated that in African rivers deforestation and bank-
438 cultivation, and the consequent reduction in the income material, are a main cause of their absence
439 (Chakona et al., 2009).

440 The importance of velocity, substrate and depth, which presented the most significant correlations
441 (Fig. 3), has been highlighted in a number of studies performed in tropical rivers either on the African

442 continent (Chakona et al., 2009; Dallas, 2007) or in other tropical regions (Baker et al., 2016; Boyero
443 and Bosch, 2004). Nonetheless, habitats with the same substrate composition but different flow
444 velocity or depth often harbour different macroinvertebrate communities (Bauernfeind and Moog,
445 2000). Setting aside the results obtained for microhabitats with aquatic vegetation, which may mask
446 the effect of the hydraulic variables, the correlation between velocity and substrate observed in this
447 study support the view of former studies suggesting that Ephemeroptera and Trichoptera prefer to
448 inhabit riffle type habitats with coarse substrate (Bauernfeind and Moog, 2000; Chakona et al., 2009;
449 Mathooko, 2001) because these two orders were abundant in Community 3. However, they were also
450 significantly abundant – especially Baetidae (Ephemeroptera) – in Community 1, which was related
451 to sandy substrate. Sandy substrates are usually unstable and disfavour macroinvertebrate settlement
452 (Duan et al., 2009). Therefore, we hypothesise that microhabitats dominated by sandy substrate, which
453 presented communities that usually occur in riffles (Duan et al., 2009), were in general near the banks
454 and subject to lower stresses. Therefore, this spatial distribution may have favoured the establishment
455 of aquatic vegetation where they feed and find protection from predators, which permits their
456 proliferation (Masese et al., 2014) and thus, substrate was in this case of minor relevance. In contrast,
457 the result obtained for the coarsest substrate (i.e. bedrock) does not pose any doubt because this
458 substrate usually renders little space for the macroinvertebrate refuge (e.g. holes or crevices), which
459 justifies the impoverished communities found over there (Baker et al., 2016).

460 Perhaps the most contradicting pattern was that related to water depth because previous studies
461 performed in other African streams found a positive effect on macroinvertebrate abundance,
462 particularly on the Ephemeroptera and Trichoptera orders (e.g. Chakona et al., 2009; Masese et al.,
463 2014). Nevertheless, our results accept the view that pools host impoverished macroinvertebrate
464 communities compared to shallower mesohabitats (e.g. riffles) as observed in other tropical streams
465 (Baker et al., 2016). We posit that this discrepancy may be caused by the different scales employed in
466 these studies compared to our study, which was performed at the microhabitat scale and encompassed

467 relatively short river segments, whereas the discrepant studies were performed at the mesohabitat scale
468 encompassing long river segments that lead to a gradient of depth of different nature.

469 Unlike temperate rivers, in tropical rivers there is certain controversy about the origin of the primary
470 resources with several authors claiming autochthonous (e.g. periphytic algae and/or cyanobacteria)
471 prevailing over allochthonous origins (e.g. leaf litter) (e.g. Lau et al., 2008) and others claiming the
472 opposite (e.g. Aguiar et al., 2017). The results obtained for shade may indicate that the Udagaji and
473 Mgugwe rivers rely on autochthonous production, although this cannot be considered a general pattern
474 unequivocally transferable to other African rivers (see e.g. Masese et al., 2014). Nonetheless, in other
475 tropical streams density and richness were higher when canopy cover was more variable (Boyero and
476 Bosch, 2004). In accordance, specific research should be performed to elucidate the real causes of such
477 macroinvertebrate distribution patterns in relation to shade.

478

479 **4.3 Potential implications of altered hydraulics and flow regimes**

480 A common practise worldwide is the construction of infrastructure to guarantee irrigation schemes and
481 water supply for humans with concomitant significant reductions and alterations in river flows. The
482 studied rivers represent systems with natural flow conditions in which no regulatory facilities are
483 planned, but the alteration of hydraulics through irrigation schemes would drive deleterious changes
484 in macroinvertebrate communities and linked components of river food webs. Invertebrate abundance
485 may vary in response to decreased flow, whereas invertebrate richness commonly decreases along with
486 habitat diversity (Boyero and Bosch, 2004; Masese et al., 2013). In this regard, and based exclusively
487 in our results, reductions in river flows and depth that favour the proliferation of macrophytes
488 (Schoelynck et al., 2018) are likely to increase the areas suitable for the community delineated in
489 Community 1, although it may not occur in the Udagaji River. However, the consequent reduction in

490 flow velocity in the downstream reach may negatively impact Community 3, which also presented
491 high richness and diversity. Consequently, although the ultimate impact of water abstraction is rather
492 uncertain, we consider that reductions of river flows caused by water diversion are likely to reduce the
493 overall abundance of macroinvertebrates as has been demonstrated in other streams of south-eastern
494 Africa that suffered significant reductions in flows (Chakona et al., 2008; Mathooko and Mavuti,
495 1992). That said, large irrigation schemes would modify the geomorphology of the streams and the
496 input of woody material into the river system, which is likely to impact directly shredder species and
497 indirectly other macroinvertebrates or trophic levels through cascading effects (Chakona et al., 2009;
498 Kasangaki et al., 2006). However, the mechanism triggering cascading effects might change among
499 rivers as our results also indicated that shade may be linked to autochthonous primary production
500 through grazing (i.e. scrapers). Small impoundments can withhold sediments, organic debris, and
501 nutrients (Mbaka and Wanjiru Mwaniki, 2015), which will expose downstream river segments to a
502 sediment deficit – fine sediment is likely to flow preferentially through the irrigation canal with coarser
503 sediment trapped at the point of water diversion (Taniwaki et al., 2017). The upstream river segments
504 will be, on the contrary, negatively impacted by the increased depth caused by the impoundment, which
505 is likely to lead to the impoverished macroinvertebrate communities delineated in Community 2.
506 Although, it is difficult to predict how most species will respond to new environmental conditions, we
507 conclude that water abstraction is unlikely to have neutral effect over the macroinvertebrate
508 communities of the Udagaji and Mbugwe rivers and therefore these practices are not recommended
509 from an ecological conservation perspective.

510 This study has not been exhaustive and has neglected some physical and chemical variables. In
511 accordance, the ultimate type and magnitude of impacts corresponds to complex interactions that
512 would be observed in the long term (Mbaka and Wanjiru Mwaniki, 2015). Despite increasing concern
513 about how climate and land-use change and river regulation will affect freshwater ecosystems,
514 comparatively a few studies have focused on small tropical streams (Taniwaki et al., 2017). Therefore,

515 the herein presented results provide valuable information on macroinvertebrate communities and eco-
516 hydrological relationships in tropical streams of East Africa, which should adequately guide further
517 ecological studies and assist EFAs.

518

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