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Additional Information

1 **Lethal and sub-lethal effects of five pesticides used in rice farming**
2 **on the earthworm *Eisenia fetida***

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17

18 **Highlights**

- 19 • The toxicity of five pesticides was evaluated on the earthworm *Eisenia fetida*.
20 • Carbendazim was found to be highly toxic at predicted soil concentrations.
21 • Histopathological effects on body wall and intestinal tract were observed.
22 • ChE, LDH and ALP were found to be sensitive biomarkers to assess pesticide exposure.

23 **Abstract**

24 The toxicity of five pesticides typically used in rice farming (trichlorfon, dimethoate,
25 carbendazim, tebuconazole and prochloraz) was evaluated on different lethal and sub-lethal
26 endpoints of the earthworm *Eisenia fetida*. The evaluated endpoints included: avoidance
27 behaviour after an exposure period of 2 days; and mortality, weight loss, enzymatic activities
28 (cholinesterase, lactate dehydrogenase and alkaline phosphatase) and histopathological effects
29 after an exposure period of 14 days. Carbendazim was found to be highly toxic to *E. fetida*
30 (LC50 = 2 mg/kg d.w.), significantly reducing earthworm weight and showing an avoidance
31 response at soil concentrations that are close to those predicted in rice-fields and in
32 surrounding ecosystems. The insecticide dimethoate showed a moderate acute toxicity (LC50
33 = 28 mg/kg d.w.), whereas the rest of tested pesticides showed low toxicity potential (LC50
34 values above 100 mg/kg d.w.). For these pesticides, however, weight loss was identified as a
35 sensitive endpoint, with NOEC values approximately 2 times or lower than the calculated
36 LC10 values. The investigated effects on the enzymatic activities of *E. fetida* and the
37 observed histopathological alterations (longitudinal and circular muscle lesions, edematous
38 tissues, endothelial degeneration and necrosis) proved to be sensitive biomarkers to monitor
39 pesticide contamination and are proposed as alternative measures to evaluate pesticide risks
40 on agro-ecosystems.

41

42 **Keywords:** pesticides, histological examination, *Eisenia fetida*, biomarkers, terrestrial
43 ecotoxicology

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49 **1. Introduction**

50 Rice farming constitutes one of the most important agricultural production activities
51 worldwide. Intensive rice production involves the use of synthetic pesticides for the control of
52 fungal diseases (e.g. *Pyricularia oryzae*), aphid insects and unwanted weeds. Residues of
53 pesticides applied to rice crops may accumulate in the soil for several weeks after application
54 and can be transported by spray-drift or water runoff into surrounding ecosystems (Gregoire
55 et al., 2009; Guzzella et al., 2006; Schulz, 2004; Papastergiou and Papadopoulou-Mourkidou,
56 2001). Pesticide residues constitute a potential toxicological hazard for the non-target
57 organisms inhabiting the rice fields and surrounding ecosystems, possibly contributing to
58 biodiversity loss and to side-effects in higher trophic levels (Mesléard et al., 2005).

59
60 Soil invertebrates play a fundamental role for improving soil structure and fertility, and
61 constitute an important component of the diet of a variety of animals (e.g. birds, mammals).
62 Amongst invertebrates, earthworms are considered to be of particular interest because of their
63 notable contribution to organic matter decomposition, nutrient cycling and soil formation
64 (Römbke et al., 2005; Allen, 2002; Edwards, 1998). Their ecological relevance, high biomass
65 and frequently observed sensitivity to environmental pollution make them one of the most
66 suitable sentinel organisms for assessing the ecological risks of pesticide residues in terrestrial
67 ecosystems (Reinecke and Reinecke, 2007; Landrum et al., 2006; Dell’Omo et al., 1999).
68 Earthworm species such as *Eisenia fetida* or *Eisenia andrei* have been extensively used as
69 standard test organisms for the risk assessment of pesticides, and toxicity test protocols have
70 been derived and widely implemented to assess their sensitivity to chemical pollution (e.g.
71 OECD 1984; ISO 1993, 1998; Edwards and Bohlen, 1992). Such standardized tests have been
72 mainly used to assess the acute lethal effects and biomass changes for a wide range of
73 pesticides (Wang et al., 2012 a,b); however, pesticide effects on other sub-lethal endpoints

74 that are potentially more sensitive and precursors of long-term individual and population-level
75 effects have been less investigated.

76

77 The use of biomarkers constitutes a complementary approach to standard toxicity tests in the
78 evaluation of sub-lethal effects of contaminants in earthworms, providing more information
79 about the organism's stress response and the toxic mode of action of the evaluated substance
80 (Gastaldi et al., 2007; Hankard et al., 2004; Kammenga et al., 2000; Scott-Fordsmand and
81 Weeks, 2000). A variety of biomarkers have been measured in earthworms including DNA
82 alterations, induction of metal-binding proteins, inhibition of enzymatic responses, energy
83 reserve responses, responses in neural impulse conductivity, lysosomal membrane stability
84 and histopathological lesions (Scott-Fordsmand and Weeks, 2000; Sanchez-Hernandez, 2006;
85 Giovanetti et al., 2010; Kiliç, 2011). The test and use of such biomarkers, however, has
86 mainly focused on assessing metal pollution, while the number of studies evaluating
87 biomarker responses from organic contaminants such as agricultural pesticides is rather
88 limited (Sanchez Hernandez, 2006).

89

90 The objective of the present study was to investigate the toxicity of five pesticides typically
91 used in rice farming on the earthworm *E. fetida* and to identify effective enzymatic and
92 histopathological biomarkers to assess their contamination under field conditions. Pesticide
93 effects were assessed on mortality, weight-loss and on the avoidance behavior of *E. fetida* by
94 performing acute laboratory toxicity experiments. Furthermore, the effects of the selected
95 pesticides were assessed on different *E. fetida* enzymatic activities, and the pesticide damage
96 on tissues and organs were evaluated by performing histopathological examinations. The
97 results of this study are expected to contribute to expand our knowledge on the effects of rice
98 farming-induced pesticide pollution on earthworms as well as to identify sensitive measures

99 to monitor the toxicological effects of pesticides in rice production systems and in
100 surrounding terrestrial ecosystems.

101

102 **2. Material and methods**

103 **2.1 Test chemicals and solutions**

104 Five pesticides that have been reported to be used or monitored in environmental samples
105 taken in rice-producing areas of the Mediterranean region were selected (Andreu-Moliner et
106 al., 1986; Ccanccapa et al., 2016). These were the insecticides trichlorfon and dimethoate, and
107 the fungicides carbendazim, tebuconazole and prochloraz. The properties of the selected
108 pesticides and the characteristics of the commercial products used in this study are described
109 in Table 1. Stock solutions were prepared by diluting the commercial products in distilled
110 water. Polysorbate 80 (Tween) was added at a concentration of 50 µg/L to the stock solution
111 prepared with carbendazim and tebuconazole to increase their solubility. Stock solutions were
112 stored in darkness at 4 °C until further use in the toxicity experiments.

113 **2.2 Test organisms**

114 *E. fetida* (Savigny 1826) adults were purchased from a commercial earthworm breeding farm
115 (Eisehumus, Alcalá de Xivert, Castellón, Spain) and maintained in a laboratory culture at 20 ±
116 2 °C for at least three weeks prior to use in the toxicity experiments. Twenty-four hours prior
117 to the start of the experiments *E. fetida* organisms of homogeneous length and weight (200-
118 300 mg) which possessed clitellum were removed from the laboratory culture and placed on
119 moist filter paper to allow a depuration of the gut contents. Subsequently, they were washed
120 with distilled water, manually dried with moist paper and placed in the test units.

121

122

123

124 **2.3 Toxicity tests**

125 The toxicity tests were performed according to the OECD guideline 207 (OECD, 1984). This
126 guideline, and the exposure duration proposed by this, was selected because it is the one
127 recommended for regulatory pesticide risk assessment to non-target soil fauna in Europe (EC
128 2002). The artificial soil substrate was prepared by homogeneous mixing of 10% sphagnum
129 peat, 20% kaolin clay, 69% fine sand, and 1% calcium carbonate. Distilled water was added
130 and mixed with the dry soil to obtain a final moisture content of 40%. The pH of the obtained
131 soil substrate was 6.0 ± 0.5 (mean \pm SD). Two-hundred grams of artificial soil substrate were
132 introduced into 500 mL glass vessels (15 cm diameter and 7 cm height). The artificial soil
133 substrate was spiked with the pesticide solutions and was gently mixed to allow a
134 homogeneous distribution of the pesticide. The pesticide exposure concentrations used in the
135 toxicity experiments were determined based on range-finding tests performed with one
136 replicate per treatment level. The final tests were performed in triplicate with five or six
137 treatment levels in a geometric series ($n = 3$) and a control with five replicates ($n = 5$). A
138 solvent-control treatment was added in the carbendazim and tebuconazole experiments ($n =$
139 5). The exposure concentrations used in the toxicity experiments performed with the five
140 pesticides are shown in Table 1. Ten *E. fetida* individuals were randomly selected, weighed
141 and introduced into each test vessel. The test vessels were covered with plastic lids with small
142 holes and incubated at 20 ± 2 °C in a continuously illuminated (400-800 Lux) climatic
143 chamber (Sanyo Versatile Environmental Test Chamber MLR-350) for 14 days. Mortality and
144 body weight of the *E. fetida* organisms were monitored on day 7 and 14 after the start of the
145 experiment, and morphological changes were qualitatively evaluated. At the end of the
146 experiments, alive worms were introduced into Eppendorf tubes, frozen with liquid nitrogen,
147 and stored at -80 °C for posterior biomarker and histopathological analyses.

148

149 **2.4 Avoidance behaviour tests**

150 Avoidance behaviour experiments were conducted according to the standard Guideline for the
151 Earthworm Avoidance test (ISO, 2008) with the pesticide application dosages recommended
152 to be used in rice production. Briefly, glass vessels were divided into two compartments by
153 means of a removable plastic card. Next, each compartment was filled with 200 g of soil
154 substrate. One compartment was spiked with pesticide stock solutions to reach the
155 concentrations described in Table 1, whereas the other was only spiked with distilled water
156 (control). The soil substrate used in these experiments was collected from an uncontaminated
157 agricultural land located in the outskirts of the city of Valencia (Spain). Prior to its use in the
158 experiments, the soil was sieved (< 5 mm) and carefully inspected to eliminate any organisms
159 or particles that may interfere with the assay. The obtained soil substrate had a sandy-loam
160 texture, a pH of approximately 8, low organic matter content (1.5–2.0%), and high calcium
161 carbonate content (28%). After removing the plastic card, ten *E. fetida* organisms were placed
162 on the dividing line. Then, the test units were covered with a plastic lid and incubated for 48 h
163 at 23 ± 2 °C under continuous light exposure. After the incubation period, the plastic card was
164 carefully positioned within the exposed and non-exposed sections of the test unit and the
165 number of alive worms in each compartment was counted. Each pesticide assay and
166 additional controls (control-control) were run in triplicate ($n = 3$). The avoidance behavior
167 was expressed as the percentage of worms that avoided the treated soil, expressed as the mean
168 percentage of net responses (NR) calculated as follows:

$$NR = \left(\frac{C - T}{N} \right) \times 100$$

169
170 where C is the number of worms observed in the control soil; T, number of worms observed
171 in test soil; N, total number of worms per replicate. A positive NR indicated avoidance and a
172 negative NR indicated a non-response (or attraction) to the contaminated soil. An avoidance

173 response is usually judged as positive when more than 80% of the test organisms are found in
174 the control soil compartment at the end of the test (Sánchez-Hernández, 2006).

175

176 **2.5 Biomarker analysis**

177 The pesticide effects on the *E. fetida* organisms that survived the toxicity experiments were
178 evaluated on three different enzymatic biomarkers: cholinesterase activity (ChE), lactate
179 dehydrogenase activity (LDH) and alkaline phosphatase activity (ALP). The earthworm
180 samples were homogenised in a phosphate buffer, pH 7.2 (1:10 w/v). Then, the samples were
181 centrifuged at 3500 rpm during 10 min (temperature: 4 °C). The supernatant was poured off
182 and used for the analyses described below.

183

184 Prior to the biomarker analysis, the protein content (PC) was analysed according to the
185 method described by Herbert et al. (1995). Dilutions of the homogenates were prepared with
186 phosphate buffer (1:10, 1:100, 1:1000, 1:10000) in quadruplicate. Microplates of 400 µL
187 well-volume were filled with 10 µL of the diluted homogenates and 250 µL of Bradford
188 reagent dissolved in deionized water (1:4 v/v). After 15 min, the absorbance of the samples
189 was read in a spectrophotometer (TECAN Infinite M200) at a wave-length of 595 nm, and the
190 protein concentration was calculated based on a previously made calibration curve using
191 Bovine Serum Albumin (BSA) as standard.

192

193 The ChE activity in the earthworm samples was measured according to the method described
194 by Ellman et al. (1961). Similarly to the procedure followed for the PC analysis, the samples
195 were diluted with phosphate buffer, and 50 µL of the diluted samples were added to the
196 microplate wells. Next, 250 µL of a reagent composed by 1000 µL of dithiobis-2-
197 nitrobenzoic acid (DTNB) 200 µL of iodide acetylcholine and 30 mL of phosphate buffer (pH

198 7.2) were added. Finally, the enzymatic activity was read once per minute for 10 min in the
199 spectrophotometer at a wave-length of 414 nm, and the final results were expressed as nmols
200 of hydrolysed acetylcholine/min/mg of protein.

201

202 The LDH activity was measured according to Vassault (1983). Briefly, 50 μ L of the
203 homogenate, 2.5 mL of a TRIS/NaCl/NADH solution and 0.5 of a TRIS/NaCl/pyruvate
204 solution were added to a quartz cuvette. Subsequently, the absorbance was read at a wave-
205 length of 340 nm every 30 seconds for 3 minutes. The results of the spectrophotometer were
206 recalculated to nmols of reduced pyruvate/min/mg of protein.

207

208 The analyses of the ALP were performed with a commercial kinetic optimized test
209 (SPINREACT S.A.). Briefly, 20 μ L of the homogenate were introduced in a cuvette and
210 mixed with 1.2 mL of a reagent formed by a solution of diethanolamine buffer (1 mmol/L; pH
211 10.4) with magnesium chloride (0.5 mmol/L) and p-nitrophenil phosphate (10mmol/L) in a
212 proportion of 9:1 (v/v). Finally, the absorbance was measured once per minute for 3 minutes
213 at 405 nm, and the ALP activity was expressed in μ mols of hydrolysed p-nitrophenyl/min/mg
214 of protein.

215

216 **2.6 Histopathological examination**

217 After exposure to pesticides, the survived earthworms were rinsed with distilled water and
218 fixated with 10% formaldehyde. One worm belonging to the control group, one belonging to
219 the lowest exposure concentration, and one belonging to the highest exposure concentration
220 were selected from each toxicity test, and were embedded into paraffin. Subsequently, each
221 worm was sliced vertically 4 or 5 times. Each slice had a thickness of approximately 5-7 μ m.
222 Sections were mounted on glass microscope slides with one drop of albumin and stained with

223 haematoxylin-eosin. Finally, the samples were examined by an optical microscope (x4 and
224 x10) connected to a digital camera (NIKON ECLIPSE E400), which allowed to take pictures
225 of the earthworm sections. The differences between the pesticide exposed earthworm samples
226 and the control earthworm samples were qualitatively described.

227

228 **2.7 Statistical analyses**

229 The calculation of the concentrations causing 10% and 50% of mortality (LC10 and LC50,
230 respectively) in the toxicity experiments and their corresponding 95% confidence intervals
231 (CI) were calculated by Probit analysis using SPSS (version 16.0). The weight loss data and
232 the biomarker response data were analysed by using a one-way ANOVA followed by a post-
233 hoc analysis using the Fisher's least significant difference (LSD) test with STATGRAPHICS
234 PLUS (version 5.1). Prior to this analysis, the data were checked for normality by using the
235 Shapiro-Wilk test and for homogeneity of the variance by the Cochran test. The No Observed
236 Effect Concentration (NOEC) was derived as the highest tested pesticide concentration that
237 did not show significant effects as compared to the control. The data obtained from the
238 avoidance behaviour test was analysed using a Chi-squared test to compare the observed and
239 expected number of individuals in the two soils and to determine whether an avoidance
240 response was present. All statistical tests were performed using a significance level of 0.05.

241

242 **3. Results and discussion**

243 **3.1 Individual-level responses**

244 The results of the toxicity experiments performed with the five tested pesticides are shown in
245 Table 2. Mortality in the control test units was not recorded during the 14-day experimental
246 period. Recorded mortality on day 7 was in most cases not sufficient to fit a dose-response
247 curve and, therefore, the LC10 and LC50 values for this time point were, for the majority of

248 the studied pesticides, not calculated. The exception was the fungicide prochloraz, which
249 induced the fastest toxic response with a very steep dose-response curve, resulting in an
250 LC10-7d value of 280 mg/kg d.w. and an LC50-7d value of 285 mg/kg d.w. Carbendazim was
251 found to be highly toxic to *E. fetida*, with an LC50-14d of 2.0 mg/kg d.w and an LC10-14d of
252 1.1 mg/kg d.w. The insecticide dimethoate showed a moderate toxicity to *E. fetida*, with and
253 LC50-14d of 28 mg/kg d.w. The rest of the studied pesticides were found to exert relatively
254 low toxicity to *E. fetida* on day 14 after the start of the exposure period, with LC50 values
255 higher than 100 mg/kg d.w. The results of this study are in agreement with previous studies,
256 which already identified a high toxicity of carbendazim to *E. fetida* (Garcia et al., 2008; Ellis
257 et al., 2007; Van Gestel, 1992; Van Gestel et al., 1992; Vonk et al., 1986).

258

259 Morphological changes were assessed at day 7 and 14. No morphological changes were
260 clearly observed at day 7 for the majority of the pesticides, except at the highest tested
261 concentration for carbendazim (6 mg/kg) and tebuconazole (142 mg/kg), at which worms
262 exhibited body constrictions, slimming, coiling and curling. On day 14, an excessive mucus
263 secretion was observed at the 1.2 and 1.8 mg/kg treatment levels for carbendazim, and at the
264 5.0 and 11 mg/kg treatment levels for dimethoate.

265

266 All pesticides resulted in a significant weight loss in the exposed worms as compared to the
267 controls (Table 2). Weight loss in the control worms ranged between 3% and 9% on day 7,
268 and increased up to 20% on day 14 of exposure. Weight loss in the exposed worms showed a
269 clear dose-response relationship in all experiments. Average weight-loss percentages for the
270 exposed organisms reached 36% and 61% on day 7 and 14 after the start of the experiment,
271 respectively. At the end of the experiment, significant effects on weight loss were found to be
272 below the lowest exposure concentration for trichlorfon, tebuconazole and prochloraz. A

273 NOEC of 1.2 and 5 mg/kg d.w. was calculated for carbendazim and dimethoate, respectively
274 (Table 2). Our results indicate that the weight loss endpoint was for some pesticides (e.g.
275 trichlorfon, dimethoate, tebuconazole) two times more sensitive than mortality, confirming
276 this endpoint as a valuable indicator for field monitoring, as also indicated by Frampton et al.
277 (2006) and Xiao et al. (2006).

278

279 The results of the avoidance behaviour test performed with the control soil (control-control)
280 showed that *E. fetida* were randomly distributed among both soil compartments. A significant
281 avoidance response was measured for the fungicide carbendazim (Fig. 1). On average, 87% of
282 the tested worms avoided the soil compartment contaminated with carbendazim at a
283 concentration of 2.3 mg/kg d.w. These results are in close agreement with the calculated
284 avoidance NOECs reported by Garcia et al. (2008) for artificial tropical soils and European
285 natural soils (<1 mg/kg d.w.). As for the rest of studied pesticides, a significant avoidance
286 behaviour could not be identified. For tebuconazole a slight attraction effect was observed,
287 however, this effect was not significant when compared to the controls (Fig. 1).

288

289 Our results indicate a clear correspondence between the observed mortality effects and the
290 avoidance behaviour. Carbendazim showed an elevated avoidance response (87%) at a
291 concentration near its LC50, whereas the other pesticides were tested at concentrations
292 between 10 and 200 times below their respective LC50, thus showing no avoidance response.
293 The avoidance test has a number of advantages such as its short duration and lower
294 laboriousness in comparison to the standard mortality or reproduction tests. Moreover this test
295 is based on the fact that organisms possess chemoreceptors highly sensitive to chemicals in
296 their environment. This test is proposed as a short-term screening tool in ecological risk
297 assessment schemes for contaminated land, for triggering other tests in case of pollution

298 concerns, and for the identification of concentration ranges to be investigated in longer-term
299 experiments (Da Luz et al., 2004; Amorim et al., 2005).

300

301 **3.2 Biomarker and histopathological responses**

302 All tested pesticides significantly inhibited the ChE activity of *E. fetida* at the lowest exposure
303 concentration (Table 3). As expected, trichlorfon and dimethoate (acetylcholinesterase
304 inhibitors) resulted in the highest toxic effects on the acetylcholine metabolism, with a
305 percentage of ChE activity inhibition of approximately 50% at the lowest tested concentration
306 (Fig. 2a,b). Such levels of ChE inhibition have also been observed for other organophosphate
307 insecticides, such as chlorpyrifos or malathion, in *E. fetida* and other earthworm species e.g.
308 *Drawida willsi* (Rao et al., 2003; Panda and Sahu, 2004). LDH activity was significantly
309 inhibited by the exposure to trichlorfon, dimethoate and prochloraz (e.g. Fig. 2c), with
310 NOECs below the lowest tested pesticide concentration (Table 3) and percentages of
311 inhibition at the lowest exposure concentration of about 70% for trichlorfon, and 20-25% for
312 dimethoate and prochloraz. Carbendazim also resulted in a decrease of the LDH activity,
313 however, significant effects only occurred at soil concentrations higher than 0.8 mg/kg d.w.
314 Exposure to tebuconazole significantly increased LDH activity in soil concentrations up to
315 142 mg/kg d.w., but a significant decrease was observed in the highest exposure concentration
316 (Fig. 2d), indicating a possible hormesis effect. Pesticide exposure to trichlorfon, dimethoate,
317 carbendazim and prochloraz resulted in a significant decrease of the ALP activity (e.g. Fig
318 2e), with NOECs below the lowest tested concentration (Table 3). Tebuconazole, however,
319 did not alter the ALP activity at the tested soil concentration range (63-213 mg/kg d.w.; Fig.
320 2f). The majority of the biomarker investigations on earthworm organisms have focused on
321 assessing ChE effects (e.g. Ribera et al., 2001; Rao et al., 2003; Panda and Sahu, 2004),
322 whereas the inhibition of other enzymatic activities has hardly been evaluated (Sanchez-

323 Fernandez, 2006). Our results indicate that LDH and ALP, are also sensitive biomarkers of
324 pesticide exposure and can be used to complement ChE evaluations for several pesticides with
325 different toxic mode of action.

326

327 The results of the histopathological examination showed that the tested organophosphate
328 insecticides affected the epidermis and resulted in serious damage of the circular and
329 longitudinal muscular layers (e.g. Fig. 3c and d). Exposure to high trichlorfon and dimethoate
330 concentrations also resulted in internal damage, with a degradation of the tiflosol, a
331 deformation of the dorsal blood vessel (Fig. 3c), and a degradation of the muscular layer
332 protecting the digestive system (Fig. 3d). These damages potentially resulted in a disorder of
333 the nervous and digestive systems. Exposure to the fungicides carbendazim and tebuconazole
334 resulted in similar effects, with hemolymphatic edemas and occasional necrosis in the circular
335 and longitudinal muscular layers. In the case of carbendazim, a clear flattening of the dorsal
336 blood vessel and the ventral nerve cord was also observed (Fig. 3e). Exposure to prochloraz
337 also resulted in effects on the muscular layers, but effects on internal tissues and organs were
338 less noticeable at the tested exposure concentration (286 mg/kg d.w.; Fig. 3f).
339 Histopathological examination of transverse sections of the control earthworms showed
340 normal architecture of body wall, showing continuous cuticular membrane, intact circular and
341 longitudinal muscles, and intact blood vessels (Fig. 3a,b).

342

343 A number of studies with different earthworm species have shown comparable
344 histopathological responses when exposed to organic pollutants (Scott-Fordsmand and
345 Weeks, 2000; Kiliç, 2011; Saxena et al., 2014). The most common responses were
346 disintegration of the cuticular membrane and the ectoderm layers, damages in the circular and
347 longitudinal muscles due to necrosis, deformation in chloragogenous cells and tissue erosion,

348 the latter usually leading to body fragmentation (Morowati, 2000; Amaral and Rodrigues,
349 2005; Muthukaruppan et al., 2005; Reddy and Rao, 2008; Gao et al., 2013; Saxena et al.,
350 2014). In our study, earthworms exposed to high pesticide concentrations, particularly
351 carbendazim and tebuconazole, showed comparable histopathological damages. A study
352 conducted with the earthworm *Metaphire posthuma* exposed to 0.5 mg/kg of carbofuran
353 revealed loss of normal architecture and disintegration of cuticular membrane, epidermal
354 cells, circular and longitudinal muscles at 14-day of exposure in soil medium, which can
355 result in bleeding and fragmentation of the body (Saxena et al., 2014). Similar symptoms were
356 also observed by the same authors when using the *E. fetida* contact test with 1.20 µg/cm² of
357 carbofuran, and by earlier studies using carbaryl and metal treated earthworms (Gupta and
358 Sundararaman, 1988, 1990; Lourenço et al., 2011). Dittbrenner et al. (2011) observed
359 significant impairment of the midgut tissue, cuticula, mucocytes and epidermal cells at
360 imidacloprid soil concentrations ranging between 0.2 and 4.0 mg/kg in *Aporrectodea*
361 *caliginosa*, *E. fetida* and *L. terrestris* in laboratory toxicity tests. Previous studies also
362 revealed damages in the intestines of *E. fetida* exposed to organophosphate pesticides (Rao et
363 al., 2003; Reddy and Rao, 2008).

364

365 Earthworms are continuously exposed to soil chemicals through their digestive mucoses and
366 skin, and are dependent on efficient detoxification systems for their survival (Kiliç, 2011).
367 Any cell death or necrosis that is not rapidly repaired usually produces failures in the osmotic
368 regulation (Morowati, 2000). As a mechanism to prevent osmotic failures, earthworms
369 present a large regeneration capacity. In case of tissue damage, the chloragogen cells are able
370 to migrate to the wound or lost tissue and regenerate it (Vogel and Seifert, 1992; Cancio et al.,
371 1995; Morgan et al., 2002; Reddy and Rao, 2008). Alterations in the chloragogen cell activity
372 produced by exposure to high pesticide concentrations are likely to be responsible of the

373 observed impairment in enzymatic activities (i.e., ChE, LDH and ALP) and can be considered
374 precursors of lethal and sub-lethal effects.

375

376 **3.3 Relevance for risk assessment**

377 Acute Toxicity Exposure Ratios (TERs) for the tested pesticides in the rice fields were
378 calculated by dividing the calculated LC50-14d by the recommended pesticide application
379 dosages shown in Table 1. For the pesticides that have a logKow larger than 2 (i.e.,
380 tebuconazole and prochloraz; Table 1), the LC50 values were divided by 2 as proposed in EC
381 (2002). The calculated TERs were equal or larger than 10 for all pesticides, indicating no
382 short-term risks for the rice-field earthworm populations, except for carbendazim which had a
383 TER of 0.9 (Table 2). Mortalities of about 50% of the in-field population are expected at the
384 recommended dosages of carbendazim. Burrows and Edwards (2004) calculated a Predicted
385 Environmental Concentration (PEC) for carbendazim of 0.76 mg a.i./kg d.w. in terrestrial
386 ecosystems surrounding agricultural fields and found an EC50-28d for earthworm biomass of
387 1.9 mg/kg d.w. using terrestrial microcosms. Based on the chemical fate calculations of their
388 study and the acute weight loss NOEC calculated here, it is expected that carbendazim results
389 in sub-lethal effects (e.g. growth impairment) in earthworm populations after application.
390 Therefore, its ecotoxicological impacts should be further evaluated under field conditions.
391 Daam et al. (2011) demonstrated that the sensitivity of other earthworm species can be up to
392 two orders of magnitude higher than that of *E. fetida*, and De Silva et al. (2009) indicated that
393 lethal and sub-lethal responses of earthworms are largely dependent on temperature and soil
394 properties. These findings suggest that the preliminary risk calculations performed here could
395 be somewhat underprotective. Therefore, further research should be dedicated to identify
396 sensitive earthworm species that can be used for the risk assessment of pesticides in rice

397 paddies, preferably using soils with the same characteristics as those found under natural
398 conditions.

399

400 Biomarkers are an important element in the ecological risk assessment of organic pesticide
401 pollution. This study has demonstrated that ChE, LDH and ALP can effectively be used as
402 biomarkers of carbendazim exposure at environmentally relevant concentrations (i.e., PEC
403 calculated by Burrows and Edwards, 2004), and shows that, with few exceptions (e.g. LDH
404 and ALP for tebuconazole), the evaluated enzymatic responses have a sensitivity that is at
405 least two times higher than the measured acute lethal endpoints. Furthermore, this study
406 shows that morphological changes in the body wall and gastrointestinal tract could be used as
407 early warning signals of pesticide contamination and could be added to earthworm's
408 standardized tests for the evaluation of contaminated ecosystems, and used in a multi-
409 biomarker approach to assess individual-level effects of pesticide pollution. The next
410 challenge, however, remains on establishing a mechanistic link between the biochemical and
411 morphological responses observed here and behavioural responses (e.g. feeding, mating), to
412 quantify effects on earthworm populations and their mediated ecological functions (e.g.
413 organic matter decomposition, soil formation).

414

415 **Acknowledgements**

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417

418 **Conflicts of interest**

419 The authors declare no conflicts of interest.

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592 **Tables**

593 **Table 1.** Characteristics of the pesticide active ingredients and formulations used in this
 594 study, and exposure concentrations used in the laboratory experiments.

	Trichlorfon	Dimethoate	Carbendazim	Tebuconazole	Prochloraz
Pesticide type	Organophosphate insecticide	Organophosphate insecticide	Benzimidazole fungicide	Triazole fungicide	Imidazole fungicide
Mode of action	Acetylcholinesterase inhibitor	Acetylcholinesterase inhibitor	Inhibition of mitosis and cell division	Disrupts membrane function	Disrupts membrane function
Pesticide properties ^a					
Molecular mass (g/mol)	257.4	229.3	191.2	307.8	376.7
Solubility in water (mg/L)	120000	39800	8	36	26.5
K _{ow} (-)	2.69	5.06	30.2	5010	3160
K _{oc} (L/kg)	10	28.3	225	769	500
Laboratory soil DT50 (d)	18	2.6	40	73	120
Pesticide formulations					
Commercial name	Dipterex 80 PS	Citan 40	KAR-50	Folicur 25 EW	Octagon
Active ingredient (%)	80	40	50	25	45
Formulation form	Powder	Liquid	Powder	Liquid	Liquid
Purchased from	Bayer	Inagra	Kenogard	Bayer	Aventis
Exposure concentrations					
Toxicity tests (mg/kg d.w.)	33, 50, 75, 113, 169, 253	5.0, 11, 25, 57, 128	0.8, 1.2, 1.8, 2.6, 4.0, 6.0	63, 95, 142, 213, 320	188, 216, 249, 286, 329
Avoidance tests (mg/kg d.w.) ^b	4.6	2.7	2.3	1.4	2.3

^a Pesticide properties obtained from the PPDB database: <http://sitem.herts.ac.uk/aeru/ppdb/en/>. Last accessed on 15th June 2014.

^b Recommended pesticide application dosages.

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612 **Table 2.** Results of the toxicity experiments performed with *E. fetida* and calculated acute
613 Toxicity Exposure Ratios (TERs) based on the recommended application dosages.
614 Concentrations are provided in mg/kg d.w.

Pesticide	Dose-response slope \pm s.e.	Mortality		Weight loss		Acute TERs
		14 days		7 days	14 days	
		LC10 (95% CI)	LC50 (95% CI)	NOEC	NOEC	
Trichlorfon	6.5 \pm 0.9	77 (64-88)	122 (110-136)	33	<33	27
Dimethoate	3.1 \pm 0.4	11 (7.1-14)	28 (23-35)	<5.0	5.0	10
Carbendazim	5.1 \pm 0.6	1.1 (0.9-1.2)	2.0 (1.7-2.2)	0.8	1.2	0.9
Tebuconazole	5.4 \pm 0.7	104 (83-121)	180 (161 -204)	63	<63	64
Prochloraz	23 \pm 3.4	229 (216-239)	261 (252-270)	<188	<188	57

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636 **Table 3.** Results of the biomarker analysis with *E. fetida*^a.

		Trichlorfon	Dimethoate	Carbendazim	Tebuconazole	Prochloraz
	<i>p</i> -value	<0.001	<0.001	<0.001	<0.001	<0.001
ChE	Effect	↓	↓	↓	↓	↓
	NOEC (mg/kg d.w.)	< 33	< 5	<0.8	<63	<188
	<i>p</i> -value	<0.001	<0.001	0.08	<0.001	<0.001
LDH	Effect	↓	↓	↓	↑/↓	↓
	NOEC (mg/kg d.w.)	< 33	< 5	0.8	<63	< 188
	<i>p</i> -value	0.001	0.007	<0.001	0.23	<0.001
ALP	Effect	↓	↓	↓	NS	↓
	NOEC (mg/kg d.w.)	<33	< 5	<0.8	> 213	<188

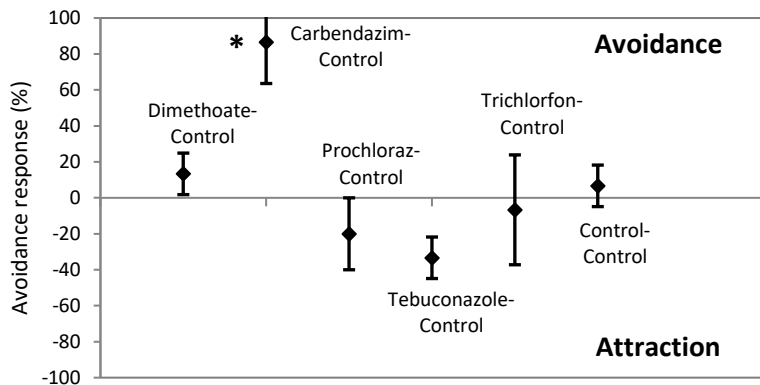
637 ^a A *p*-value lower than 0.05 indicates that the pesticide had a significant effect on the evaluated biomarker. The
638 arrows ↑ and ↓ indicate an increase or decrease of the measured enzymatic activity, respectively, and NS
639 indicates a non-significant increase or decrease in the tested concentration range. The NOEC values correspond
640 to the highest pesticide concentration that did not result in significant effect as compared to the controls.

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658 **Figures**

659 **Figure 1.** Results of the avoidance behaviour test (average \pm relative standard deviation).

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662 * Significant avoidance response caused by the tested pesticide concentration ($p < 0.05$).

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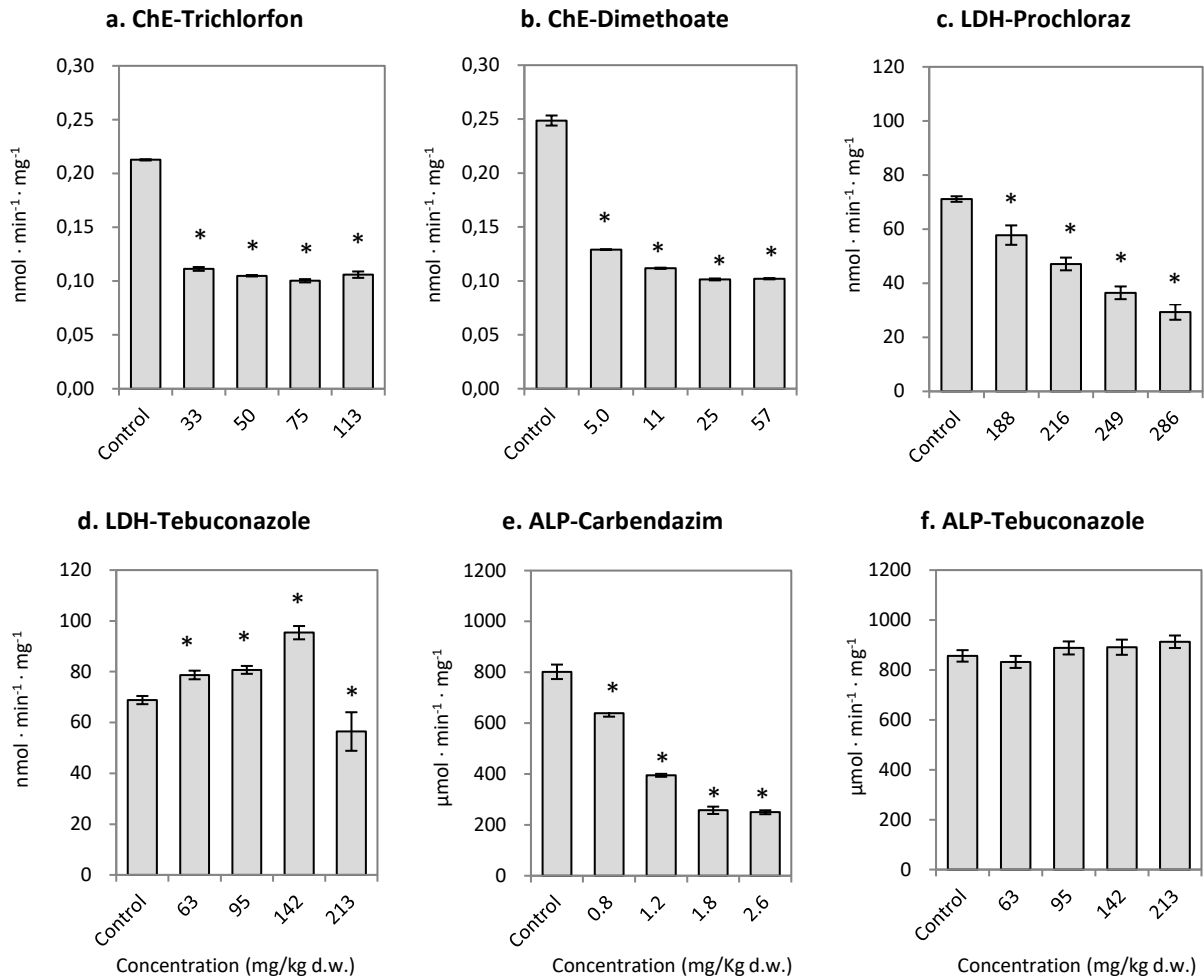
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676 **Figure 2.** Biomarker activity of *E. fetida* organisms after a 14 d pesticide exposure period
 677 (mean \pm SD). The asterisk indicates significant differences with the control ($p < 0.05$).



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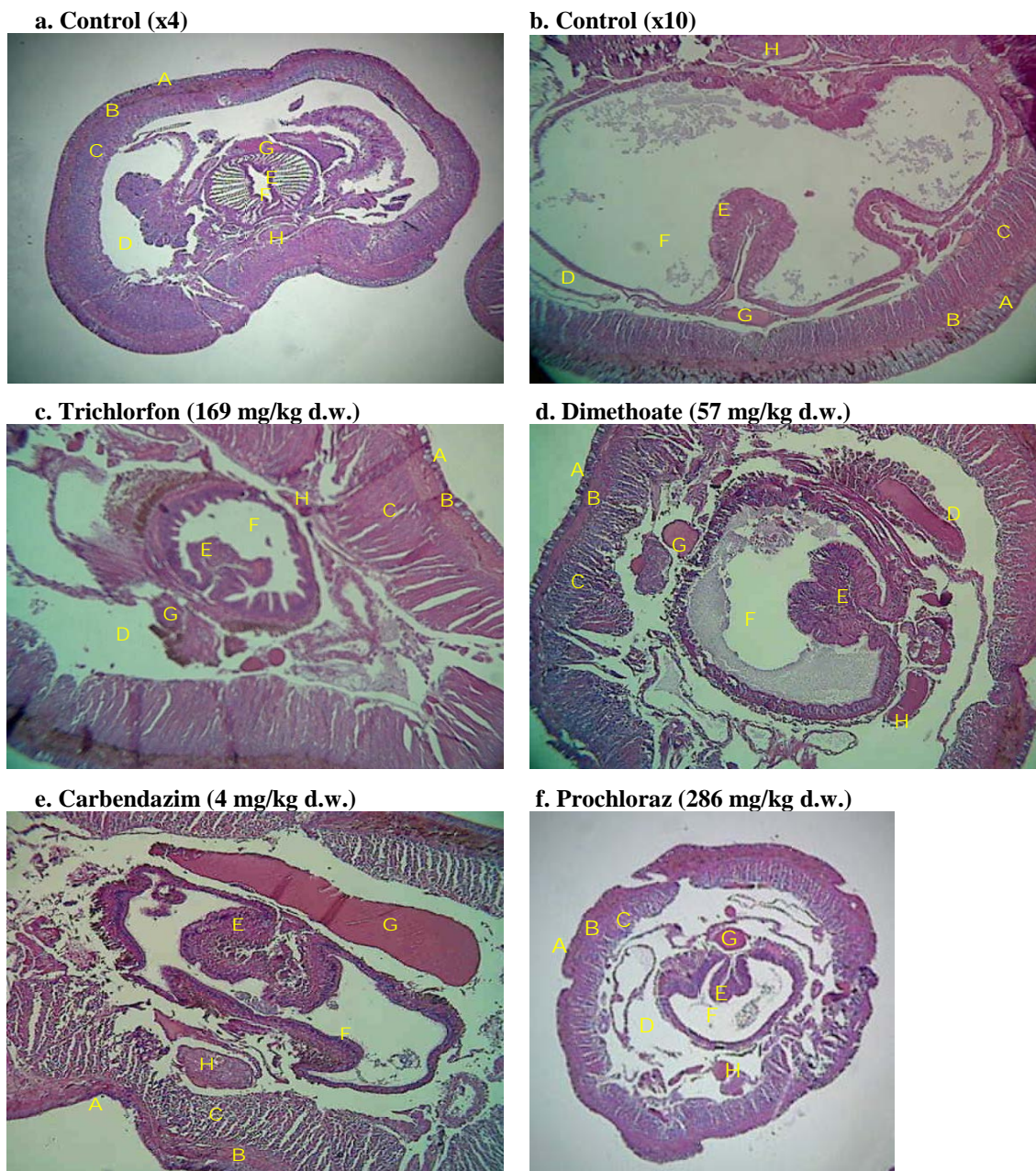
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686 **Figure 3.** Histological sections of earthworms. A: Epidermis; B: Circular muscular layer; C:
 687 Longitudinal muscular layer; D: Celoma; E: Tiflosol; F: Intestinal space; G: Dorsal blood
 688 vessel; H: Ventral nerve cord.



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