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Additional Information

1 **IMPROVING THE SEISMIC BEHAVIOUR OF REINFORCED CONCRETE MOMENT**  
2 **RESISTING FRAMES BY MEANS OF SMA BARS AND ULTRA-HIGH**  
3 **PERFORMANCE CONCRETE**

4  
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13 **Abstract.**

14 In the design of concrete buildings in seismic zones, ductility is normally used to reduce  
15 design efforts and as a form of energy dissipation. This implies structural damage to  
16 buildings in the form of interstorey drifts that need to be subsequently repaired or  
17 demolished.

18 Twelve moment resisting frames (MRF) were designed with different geometrical  
19 configurations and new materials, and shape memory alloy bars and ultra-high  
20 performance concrete were used in the critical zones of the structure to improve their  
21 seismic behaviour. MRFs were subjected to a non-linear static pushover analysis and  
22 an incremental dynamic analysis to assess their behaviour. To do so, six real  
23 accelerograms were selected to study the response of the 12 MRFs, scaled at  
24 increasing peak ground acceleration (PGA). The base shear, the building's overall  
25 plastic mechanism and the seismic response factors for the different geometrical and  
26 material combinations were analysed. The obtained values were compared with ASCE  
27 7-05 and EC-8 proposals.

28 It was concluded that the combination of shape memory alloys and ultra-high  
29 performance concrete allowed the behaviour factor to increase and residual drifts to  
30 decrease, which are advantages for both design and seismic behaviour.

1 **Keywords.**

2 Shape memory alloys, ultra-high performance concrete, earthquake engineering,  
3 pushover analysis, incremental dynamic analysis, ductility.

4 **Highlights.**

- 5 • Design MRF with new materials: SMA and UHPC.
- 6 • New constitutive models in OpenSees.
- 7 • Incremental dynamic analysis for a varied set of ground motions.
- 8 • SMA and UHPC reduce residual drifts.
- 9 • Calculation of values for the behaviour factor.

10 **1. Introduction.**

11 Damage caused by earthquakes in concrete constructions tends to concentrate in the  
12 zones of the joints between several elements, and is due to the crushing of the  
13 concrete cover and the large plastic deformations of steel longitudinal reinforcement [1].  
14 This produces large residual deformations in the structure after an earthquake, which  
15 means economic loss as reconstruction needs repairing. The use of new materials,  
16 such as shape memory alloy reinforcing bars with superelasticity (SMA-SE) and high-  
17 or ultra high-performance concrete, in critical zones of structures can help mitigate their  
18 damage and, consequently, the economic costs of repair [2].

19 Shape memory alloys (SMA) are materials capable of recovering deformations of up to  
20 10% after heating or removing the applied load [3]. The ability of SMA to deform under  
21 load and to recover their original shape after heating is known as the shape memory  
22 effect. If they are able to recover their original shape after loading and load release, this  
23 phenomenon at room temperature is known as superelasticity. Currently, there is a  
24 tendency to combine these functional SMA properties with the structural properties of  
25 other materials [4]. The good hysteretic and oxidation properties of these materials  
26 make them ideal for their application with composite materials in anti-seismic  
27 construction [5]. Numerous experimental research works are found in the literature that

1 have assessed the potential of using SMA-SE bars to reinforce structures subject to  
2 earthquakes. It has been concluded that their use as reinforcement in column-  
3 foundation connections in combination with ECC in the plastic hinge area of an isolated  
4 element subjected to lateral loads reduces plastic rotations and the damage undergone  
5 by the prototypes reinforced with this material for similar load levels [6]. Other studies  
6 have recommended its use in beam-column joints with good results in plastic  
7 deformations terms compared to elements built with conventional materials [7].  
8 Considerable reductions in residual deformations have also been obtained when  
9 constructing concrete beams reinforced with this type of alloys and subjected to four-  
10 point bending tests [8]. Recently, Pereiro et al. [2] presented an experimental numerical  
11 study of columns manufactured with high-performance concrete (HPC) and ultra high-  
12 performance (UHPC) with NiTi SMA-SE bars located in critical zones, subjected to  
13 constant axial force and cyclic lateral load. In these specimens, a residual drift ratio  
14 below 0.70% was generally observed for a drop in the maximum lateral load of less  
15 than 20%. Minor damage was observed in the critical zone of the column as a result of  
16 the high fibre content in concrete. The specimens manufactured with UHPC displayed  
17 better overall performance.

18 The literature contains some recent examples of modelling the behaviour of structures  
19 reinforced with SMA bars. Shrestha and Hao [9] analysed the performance of bridge  
20 piers reinforced with SMA in simulations with a wide set of earthquakes, and showed  
21 minor damage measured in residual deformation terms. Zafar and Andrawes [10]  
22 carried out simulations of substituting steel reinforcements in the beam-column and the  
23 column-foundation area of 2D frames built with concrete reinforced with GFRP bars  
24 and bars made of a composite material consisting of SMA and FRP fibres. The time  
25 domain simulations of a set of real accelerograms showed better behaviour in terms of  
26 residual drifts for the latter, but with greater deformability. In another study [11], the  
27 authors explored the effect of the duration factor, and concluded that SMA-FRP  
28 reinforced frames exhibited less vulnerability to aftershocks in terms of accumulating

1 residual permanent drifts compared to steel-reinforced frames. This shows the  
2 importance of the SMA re-centering capability to mitigate the effects of sequential  
3 ground motions. Alam et al [12] performed a numerical calibration of an isolated beam-  
4 column element reinforced with SMA-SE NiTi, to determine plastic hinge length, and to  
5 develop a bond-slip model to take into account the slippage in the mechanical  
6 connectors used in the experimental programme. Alam et al. [13] carried out  
7 simulations on mid-rise concrete frames reinforced with superelastic SMA bars in the  
8 plastic hinge regions, subjected to a set of ground motions. They previously validated  
9 the FEM model at element level using the results of a shake table test of a three-storey  
10 moment resisting steel RC frame. Later Alam et al. [14] carried out simulations in  
11 buildings designed with that element, in which SMA-reinforced concrete was included  
12 only in the plastic hinge zone of beams, with columns made of conventional reinforced  
13 concrete. They concluded that bigger drift demands were obtained for SMA-reinforced  
14 buildings, especially low-rise buildings, as well as lower demands in base shear terms  
15 compared to a conventional design made with steel reinforcements. Youssef et al. [15]  
16 also simulated different SMA design alternatives to define the locations of SMA bars in  
17 typical RC frames to optimise their seismic performance in terms of damage scheme  
18 and seismic residual deformations.

19 UHPC is a concrete type whose compressive strength exceeds 100 MPa that is  
20 composed of a cementitious matrix reinforced with steel fibres and high-performance  
21 additives to allow it to reach high ductility and to develop strain-hardening behaviour  
22 against uniaxial tensile stress [16]. Several authors have performed experimental tests  
23 on elements built with UHPC. Takatsu et al. [17] studied the seismic behaviour of  
24 UHPC columns, and concluded that steel fibres increase lateral load capacity, and that  
25 column strength increases with the fibre volume falling within the studied range. Voo et  
26 al. [18] studied the shear behaviour of prestressed beams with no transverse  
27 reinforcement. The high volume of fibres in the concrete matrix led to a plastic  
28 response. Zohrevand and Mirmiran [19] tested circular-section cantilever columns

1 manufactured with hybrid concrete of HPFRC and FRC under cyclic loading. Columns  
2 showed microcracking with no cover spalling, unlike conventional concrete. The use of  
3 UHPC in the plastic hinge area significantly increased both stiffness and resistance,  
4 and reduced the amount of longitudinal and confinement reinforcement. Popa et al. [20]  
5 experimentally and numerically studied the behaviour of columns manufactured with a  
6 UHPC core and a conventional concrete cover under monotonic load. Columns  
7 displayed fragile behaviour as compression strength increased. Kamal et al. [21]  
8 applied a monotonic load to test simple bending UHPC beams reinforced with two fibre  
9 types: steel and polypropylene. The former proved more efficient regardless of the  
10 amount by increasing both cracking and ultimate load. Maya et al. [22] tested precast  
11 column-beam joints with a new distribution of longitudinal and transverse reinforcement  
12 under cyclic loading. They obtained improvements in both element behaviour and the  
13 location of damage inside the joint, but pointed out the need to improve ductility and to  
14 control the crack opening in the discontinuity section.

15 Their recentring capacity and energy dissipation make SMA-SE bars an ideal material  
16 to improve the behaviour of structures in seismic zones. However, the main obstacle is  
17 their high manufacturing cost, especially for those bars with the usual diameters  
18 manufactured in the construction industry. Therefore, their strategic use in areas where  
19 they are most cost-effective is necessary. For MRF, beam-column joints are designed  
20 to be rigid, which results in considerable damage in those zones [10].

21 The use of SMA in the construction field [23], and the joint use of NiTi SMA bars with  
22 HPC or UHPC, are relatively new. Employing these new materials needs to be studied  
23 as the simultaneous simulation of the behaviour of hybrid structures manufactured with  
24 SMA-SE bars and UHPC under monotonic and cyclic loads is novel. This study  
25 comparatively analysed the substitution of conventional materials in these areas.  
26 Unlike previous studies, this study included the replacement of not only steel bars with

1 SMA, but also of the concrete material in the structure's critical regions with HPC  
2 materials to overcome the limitations of conventional concrete.  
3 Specifically, this study analysed the seismic behaviour of concrete buildings in which  
4 steel bars were substituted in their critical zones for superelastic SMA bars (NiTi SMA-  
5 SE). As NiTi SMA-SE bars have a deformation modulus that is 3 to 4-fold less than that  
6 of steel, greater displacements in the structure and greater deformability of concrete  
7 were expected achieved. For this reason, the convenience of replacing conventional  
8 concrete in critical zones with new concrete types that have higher deformation  
9 capacity and ductility was verified. A pushover analysis and an incremental dynamic  
10 analysis were performed herein to analyse the seismic behaviour in terms of behaviour  
11 factors and residual drifts. Finally, the behaviour factor for the analysed buildings was  
12 obtained.

## 13 **2. Designing structural prototypes.**

### 14 **2.1. The RC-MRF prototype.**

15 Two types of reinforced concrete 2D frames were herein studied: one consisted of a  
16 single bay and three storeys (3S1B), while the other comprised two bays and six  
17 storeys (6S2B). They were used to evaluate the effect that the structure's different  
18 geometries could have on seismic behaviour. In both frames, span was 6.5 m and  
19 storey height was 3.6 m. These dimensions are representative of regular building  
20 structures. An interior building frame was analysed in both cases. The critical zones  
21 where the plastic hinges of the column-foundation and the beam-column joint of the  
22 first storey can be developed, along with the beam-column joint of the subsequent  
23 storeys, were modified by replacing conventional materials with different combinations  
24 of materials: conventional concrete (NSC), HPC and UHPC; and by reinforcing  
25 materials: steel and SMA-SE. Outside these zones, the structure was made of  
26 conventional reinforced concrete. Therefore, 12 different frames were modelled and

1 each one was identified by the combination of materials used in critical zones (see  
 2 Tables 1 and 2).

3 Table 1. Design values and reinforcement arrangement for building 3S1B.

Materials in critical zones	$T_1$ (s)	$V_{bd}$ (kN)	Beam reinf.	$\rho$ (%)	Column reinf.	$\rho$ (%)
Steel & NSC	0.557	130	6 $\phi$ 16	1.79	8 $\phi$ 16	2.01
SMA & NSC	0.652	111	5 $\phi$ 16	1.49	7 $\phi$ 16	1.76
Steel & HPC	0.484	149	5 $\phi$ 16	1.49	6 $\phi$ 16	1.51
SMA & HPC	0.556	129	4 $\phi$ 16	1.19	5 $\phi$ 16	1.25
Steel & UHPC	0.478	151	5 $\phi$ 16	1.49	7 $\phi$ 16	1.78
SMA & UHPC	0.497	145	4 $\phi$ 16	1.19	5 $\phi$ 16	1.26

4  
 5 Table 2. Design values and reinforcement arrangement for building 6S2B.

Materials in critical zones	$T_1$ (s)	$V_{bd}$ (kN)	Beam reinf.	$\rho$ (%)	Column reinf.	$\rho$ (%)
Steel & NSC	1.17	243	7 $\phi$ 16	2.09	8 $\phi$ 16	2.01
SMA & NSC	1.21	206	6 $\phi$ 16	1.79	9 $\phi$ 16	2.23
Steel & HPC	1.14	272	6 $\phi$ 16	1.79	8 $\phi$ 16	2.01
SMA & HPC	1.16	262	4 $\phi$ 16	1.12	5 $\phi$ 16	1.26
Steel & UHPC	1.12	279	4 $\phi$ 16	1.12	7 $\phi$ 16	1.76
SMA & UHPC	1.15	269	5 $\phi$ 16	1.49	8 $\phi$ 16	2.01

6  
 7 The aims of substituting these materials in critical zones were to form a mechanism of  
 8 plastic hinges on the first storey level that isolated the rest of the structure from most  
 9 seismic effects, and to reduce damage on upper floors by modifying the plastic hinge  
 10 zones on the beam-column joint, where large plastic rotations tend to concentrate.  
 11 Checks were made to see if the recentering capacity of SMA helped to reduce residual  
 12 deformations and to, consequently, cut repair costs. A medium ductility class (DCM)  
 13 was considered to design the reinforcement of building elements according to EC 8-1  
 14 [24]. For this ductility class, the critical zone length for beams equals the beam's depth,  
 15 and for columns it is the highest value among the column's depth, one sixth of the free  
 16 height of the support and 450 mm; i.e., 562.5 mm, the equivalent to 1.4-fold the  
 17 column's depth. Nevertheless, the critical zone length was extended to 1.5-fold the  
 18 depth in both beams and columns to avoid fragile failure at the interface between the  
 19 critical zone and the rest of the element as each part was built with different concrete  
 20 types (UHPC-NSC or HPC-NSC) and distinct types of bars (steel-SMA). During the

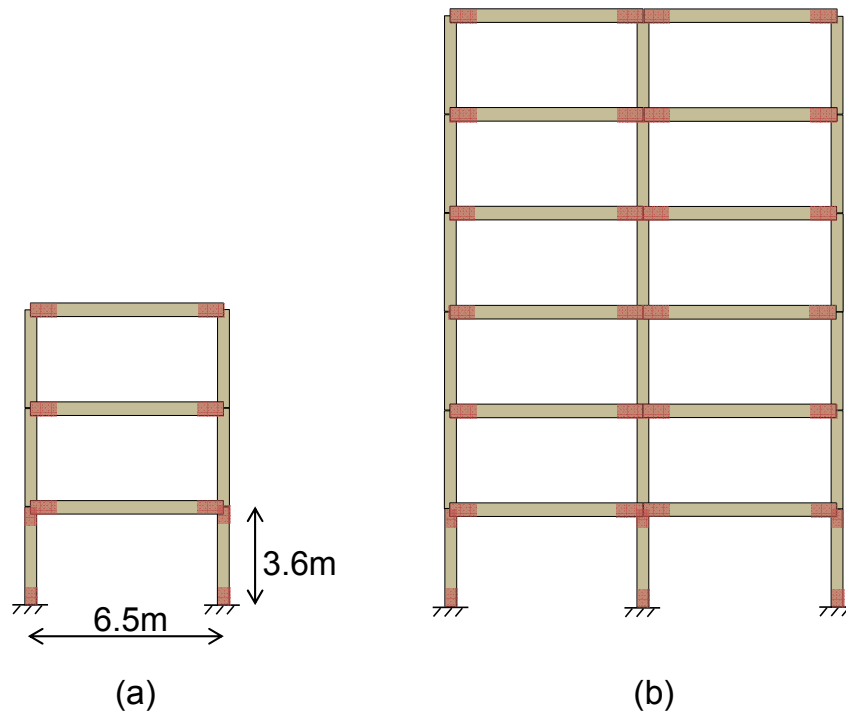


1 design process, checks were also made to see if this length sufficed to avoid fragile  
2 failure in the hinge-rest on the element interface., Pereiro-Barceló et al. [25] have been  
3 able to experimentally confirm the adequate behaviour of the joint between the critical  
4 zone and the rest of the element under cyclic loading. A length equal to 1.5-fold the  
5 depth has also been considered by Zafar and Andrawes [10,11]; i.e., the critical zone  
6 length was 675 mm for beams and 600 mm for columns. These lengths were  
7 considered constant for all the designs to avoid introducing another variable. The  
8 position of the modified zones is seen in Figure 1. The rest of the structure was  
9 designed with conventional concrete and steel reinforcements. It has been verified that  
10 the critical zone length is longer than the plastic hinge length. In the technical literature,  
11 no expression is found for calculating the plastic hinge length for the elements  
12 manufactured with UHPC or HPC reinforced with SMA bars. Recently, Billah and Alam  
13 [26] proposed an equation to calculate the plastic hinge length of SMA-RC bridge piers  
14 valid up to 75 MPa. Consequently in the absence of a proposal for UHPC or HPC  
15 elements reinforced with SMA rebars, the well-known equation (1) proposed by Paulay  
16 and Priestley [27], and recommended by Alam et al. [12] for SMA RC, was used to  
17 calculate plastic length:

$$l_p = 0.08 \cdot L + 0.022 \cdot d_{SMA} \cdot \sigma^{M_s} \quad (1)$$

18 where L is, for beams, the distance from the face of the beam-column joint to the  
19 beam's mid-span and, for columns, the distance from the face of the beam-column joint  
20 or the column-foundation to half the column height;  $d_{SMA}$  is the SMA bar diameter SMA  
21 in mm;  $\sigma^{M_s}$  is the austenite-to-martensite starting stress in MPa. Thus the plastic hinge  
22 length equals 272 mm for columns and 381 mm for beams. The position of the  
23 modified zones is seen in Figure 1. The rest of the structure was designed with  
24 conventional concrete and steel reinforcements.

- 1 In order to avoid the effect of the dimensions of the structure's elements on dynamic  
2 behaviour, all the beams are 0.45 m deep and 0.30 m wide, all the columns were 0.4 m  
3 deep and 0.4 m wide.



4

5 Figure 1. Prototype 2D-reinforced concrete MRF and the position of the modified joints with  
6 new materials. a) 3S1B b) 6S2B.

## 7 2.2. Design process.

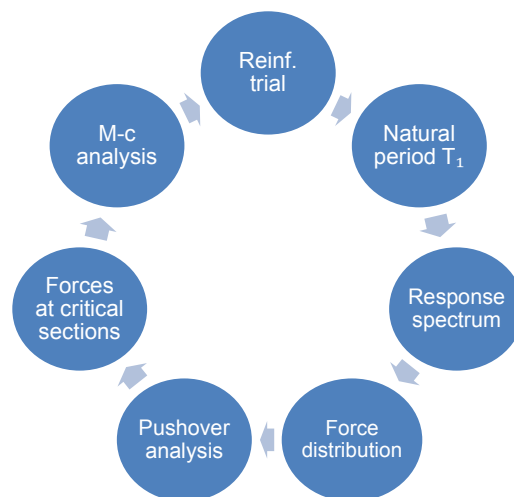
8 To design the reinforcing of building elements, elastic response spectrum type 1, as  
9 recommended in Eurocode 8 [24], was taken as a reference, along with the equivalent  
10 lateral force method as described in the same code. The building was considered to be  
11 located on ground type B with a basic acceleration of 0.25 g, corresponding to L'Aquila  
12 (Italy), and that the building is specially important. In preliminary terms, the default  
13 values of the  $\alpha_u/\alpha_1$  ratio, 1.2 and 1.3 respectively, were taken as being valid to  
14 calculate frames 3S1B and 6S2B, where  $\alpha_u$  is the load factor to design base shear  $V_{bd}$   
15 to produce overall instability due to plastic hinge formation, and  $\alpha_1$  is the load factor in  
16 the first yield in the structure. A basic  $q_0$  value of the behaviour factor of 3 was chosen,

1 which corresponds to a medium ductility class for concrete torsionally flexible structural  
2 systems. This value is discussed later.

3 For the design, the characteristics of the materials obtained in previous experimental  
4 studies were considered [2]: for NSC, compressive strength  $f_{co}$  that equalled 26.5 MPa  
5 and Young's modulus  $E_c$  that equalled 27500 MPa; for HPC to which steel fibres of 80  
6  $\text{kg/m}^3$  were added, compressive strength  $f_{co}$  that equalled 74.8MPa and  $E_c$  35000 MPa;  
7 for UHPC to which steel fibres of 150  $\text{kg/m}^3$  were added, compressive strength  $f_{co}$   
8 equalled 116.5 MPa and  $E_c$  was 46000 MPa. Regarding reinforcing materials, a yield  
9 strength of 540 MPa and a Young's modulus of 200000 MPa were considered for the  
10 steel rebars; and an direct transformation onset strength of 389 MPa and an austenitic  
11 Young's modulus  $E_A$  of 64833 MPa were contemplated for the SMA rebars.

12 For the values of actions, Eurocode 1 was taken as a reference [28]. For the dead load,  
13  $3.6 \text{ kN/m}^2$  was taken for the floor slab,  $1 \text{ kN/m}^2$  for floor finishes and  $3.5 \text{ kN/m}^2$  for the  
14 façade. For live loads,  $5 \text{ kN/m}^2$  was taken for all the floors and  $2.0 \text{ kN/m}^2$  for the top  
15 roof. By taking these loads, masses were estimated by tributary areas and the basal  
16 design shear was calculated according to the procedure in Eurocode 8. To determine  
17 gravitational loads, a combination factor for the live loads of 0.30 was selected.  
18 Preliminary reinforcement was adopted to calculate the structure's natural period ( $T_1$ )  
19 by a modal analysis of the non-linear model. The modal analysis was carried out with  
20 the homogenised section of all the elements after applying gravitational loads and  
21 before applying the equivalent lateral load. Next the spectral acceleration value was  
22 obtained from the design response spectrum, and basal shear  $V_{bd}$  was calculated. This  
23 design shear force was distributed along the building's height. According to the  
24 distribution of the equivalent horizontal seismic forces proposed by Eurocode 8 [24],  
25 two different distributions were taken: one uniform distribution and an inverted  
26 triangular one that corresponded to the structure's first mode. The most unfavourable  
27 distribution was chosen, which was herein the inverted triangular charge for all cases.

1 Having applied the gravitational loads to the structure, a pushover analysis was  
2 performed on the linear elastic equivalent model for both distributions (uniform and  
3 inverted triangular) to obtain stresses in critical sections. As the traditional deformation  
4 domains were not valid for the SMA reinforcement and the new concrete materials, a  
5 non-linear moment-curvature sectional analysis was carried out with the constitutive  
6 equations of the materials in OpenSees. The necessary amount of reinforcement was  
7 obtained in such a way that the available capacity equalled the design demand.  
8 Another requirement that must be fulfilled is the strong column and weak column  
9 design principle to avoid plant failures. As this new reinforcement arrangement would  
10 affect the structure's natural period, this procedure was repeated until the natural  
11 period converged. The entire design process is briefly summarised in Figure 2. Tables  
12 1 and 2 show the reinforcement adopted in both beams and columns. The clear cover  
13 adopted for both elements was 0.04 m. The reinforcement disposition was symmetric in  
14 order to take into account the reversal load situation due to seismic loads. The  
15 transversal reinforcement considered to calculate the effect of confinement on the  
16 section core was taken from the prescriptions in Eurocode 8 [24].



17

18

Figure 2. Reinforcement design procedure.

1 As seen in Tables 1 and 2, the design base shear  $V_{bd}$  reduced in the designs with SMA  
2 compared to their counterparts with steel. This was due to the natural period  $T_1$   
3 increment because of the SMA material's greater flexibility, which within that design  
4 spectrum range slowed down the design acceleration. Here we can also see that the  
5 improved concrete performance helped to cut the amount of the longitudinal  
6 reinforcement ratio  $\rho$  due to a reduction in the demand forces.

### 7 **3. Finite element modelling.**

#### 8 **3.1. Finite element model.**

9 To carry out this study, the open access FEM software OpenSees [29] was used,  
10 which is particularly suitable for seismic analyses and to simulate earthquakes. To this  
11 end, the stress-strain models of the materials (concrete, steel and SMA-SE) proposed  
12 by other authors were implemented by the present authors into the OpenSees material  
13 library. Thus the C++ language was utilised to write the code for the constitutive  
14 equations of the materials. The material parameters used in both the static pushover  
15 analysis and the dynamic analysis herein were calibrated by the authors with their own  
16 experimental data. The goodness of fit of the numerical models was verified using  
17 OpenSees with the test results of the beam-column joints under cyclic loading tested by  
18 the research team (Pereiro et al. [2]). The stress-strain models of both concrete and  
19 steel were implemented by including both monotonic and cyclic behaviours. The  
20 behaviour of SMA was implemented according to the model of Lagoudas et al. [3],  
21 which takes into account the possible occurrence of residual deformations. In addition,  
22 the numerical model was validated with the other experimental results of structural  
23 elements by other authors (SMA RC bridge pier and SMA RC beams subjected to four-  
24 point bending tests).

25 Elements were modelled using the *displacement-based non-linear beam-column*  
26 *element*, which is included in the OpenSees element library. It is a 1D element of  
27 distributed plasticity based on the displacement formulation. The integration along the

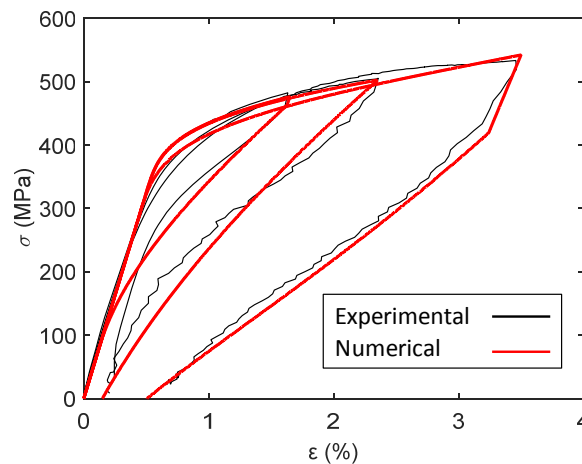
1 element is based on the Gauss-Legendre quadrature rule [30]. In this study, seven  
2 integration points were used for both beams and columns. The behaviour at the section  
3 level at each integration point was studied by the fibre section approach, in which each  
4 section was discretised into fibres, to which the different constitutive behaviours were  
5 assigned. To represent the different behaviour of the confined core and concrete cover  
6 (with no confinement effect), two types of concrete materials were included in the  
7 concrete section. The area outside the stirrups was divided into four patches, and each  
8 was subdivided into 20 fibres on the sides, and into 30 fibres at the top and bottom of  
9 the section, to better capture the tension distribution on the compressed concrete top  
10 fibres and the concrete cracking on the tensile side. The core concrete was subdivided  
11 into 20x20 fibres. The discretisation choice was made iteratively so that reducing the  
12 number of fibres would not significantly affect the accuracy of the results while cutting  
13 the computing time. Rigid-links were introduced from the centreline to the face of the  
14 column to take into account the rigidity of joints.

15 In this work, the steel and SMA rebars were assumed to be connected using  
16 mechanical suitable couplers (Alam et al. [31], Haber et al. [32] and Nakashoji [33]).  
17 This study is preliminary research to explore the potential application of new materials  
18 (SMA and UHPC) in the design of MRF. For this reason, no special model was  
19 included to take into account the slippage of bars in mechanical couplers. Therefore in  
20 the model, no slippage occurred at the mechanical coupler and there was a perfect  
21 bond between all the reinforcement types and concrete materials. These hypotheses  
22 are a limitation for this work because, if the effect of slippage were significant, the  
23 results of this study could be modified. An improvement to the numerical model would  
24 include an equivalent zero-length moment rotational spring (Alam et al. [12], Billah and  
25 Alam [34] and Billah and Alam [26]) located at the end of the critical zones of either the  
26 beams or first floor supports (Figure 1). For this purpose, it is necessary to determine  
27 the slippage of the rebars inside the used coupler with a pullout test (Alam et al. [31]).

### 1 3.2. The material's constitutive equations.

#### 2 *Behaviour of SMA bars.*

3 To define the behaviour of the SMA-SE bars in the numerical analysis, the  
4 experimental results of the tensile tests in the bars with diameters of 12 and 16 mm  
5 were used (Pereiro et al [2]). Tests were carried out at a room temperature (22°C).  
6 Since the temperature of the bar was expected to change during the loading process  
7 (self-heating during direct transformation, self-cooling during reverse transformation), a  
8 thermocouple was stuck to the bar face to control any temperature variations. The  
9 experimental results for a charge-discharge cycle of 3.5% deformation and a  
10 deformation rate of 1 mm/min can be found in Figure 3. In addition, a DSC test (Figure  
11 4) was performed according to ASTM F2004-16 requirements to determine the four  
12 transformation temperatures, which were  $M_f = -49^\circ\text{C}$ ,  $A_s = -21^\circ\text{C}$ ,  $M_s = -31^\circ\text{C}$ ,  $A_f = -8^\circ\text{C}$ .  
13 At a room temperature of 22°C, the mechanical characteristics were  $\sigma^{Ms} = 389\text{MPa}$ ,  $\epsilon^{Ms}$   
14  $= 0.6\%$   $\sigma^{Mf} = 493\text{MPa}$ ,  $\epsilon^{Mf} = 5.1\%$ ,  $\sigma^{As} = 296\text{MPa}$ ,  $\epsilon^{As} = 4.7\%$ ,  $\sigma^{Af} = 187\text{MPa}$ ,  $\epsilon^{Af} =$   
15  $0.3\%$ .



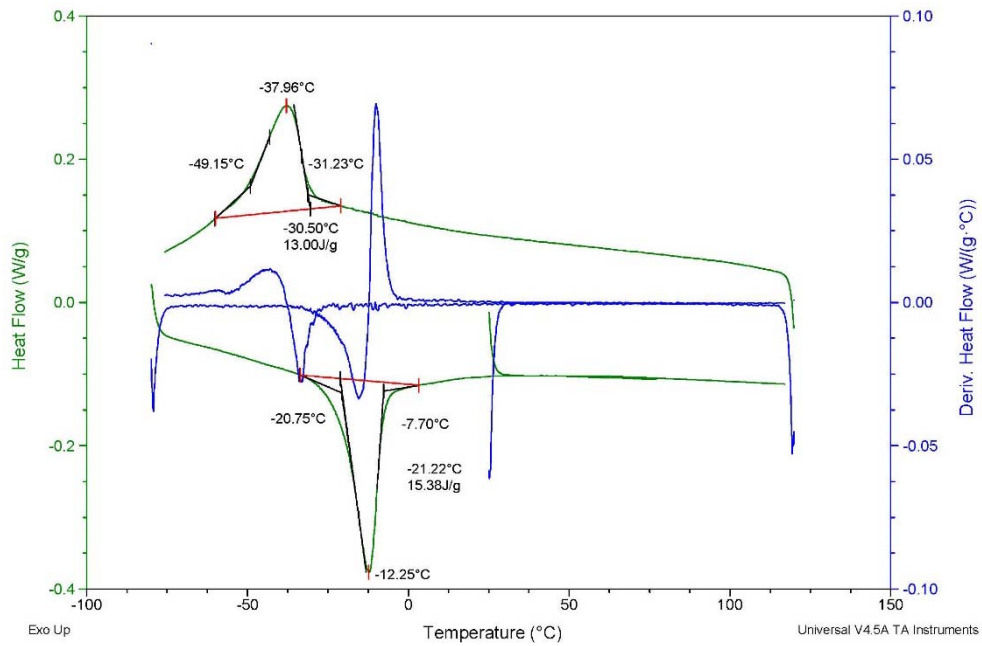
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Figure 3. Loading-unloading cycle on a SMA-SE bar and the numerical model.

1



2

3

Figure 4. DSC experiment results (adapted from Pereiro et al [2]).

4

The model developed by Lagoudas et al. [3] was implemented by the authors into the

5

OpenSees material library as there was no default suitable material included in this

6

software. This model takes into account the possible occurrence of residual

7

deformations. The material's cyclic behaviour was also defined by including in the code

8

the modifications for minor loops of Lagoudas and Bo [35]. This model was deduced

9

from a theoretical thermodynamic framework. Given the thermomechanical coupling

10

that characterises such materials, the main model parameters had to be obtained from

11

both mechanical and thermal tests. Young's modulus in each phase and transformation

12

strain  $H$  were obtained from a direct tension test, while the transformation temperatures,

13

specific heat capacity of each phase and the specific entropy difference per unit

14

volume  $\rho\Delta s_0$  were deduced from a DSC test (Figure 4) [36]. The values obtained for

15

the bars used in this research are shown in Table 3. The typical values of thermal

16

expansion coefficients  $\alpha_A$  and  $\alpha_M$  found in the literature were used. In Figure 3, the

17

model's goodness-of-fit is observed compared with the experimental results. A residual

18

deformation of 0.5% was included in the model to adjust the experimental results.

19



1 Table 3. Values of the parameters used in the equation of Lagoudas et al [3] for NiTi bars SMA-  
 2 SE.

$\alpha_A$ (K <sup>-1</sup> )	$11 \cdot 10^{-6}$
$\alpha_M$ (K <sup>-1</sup> )	$11 \cdot 10^{-6}$
$E_A$ (MPa)	65000
$E_M$ (MPa)	40000
H (%)	4
$\rho$ (kg/m <sup>3</sup> )	6500
$c_A$ (J/m <sup>3</sup> K)	600
$c_M$ (J/m <sup>3</sup> K)	600
$M_f$ (°C)	-49
$A_s$ (°C)	-21
$M_s$ (°C)	-31
$A_f$ (°C)	-8
$\rho\Delta s_0$ (MJ/m <sup>3</sup> K)	-0.373

3

#### 4 ***Behaviour of steel bars***

5 To model the behaviour of the steel rebars, a new material was also implemented by  
 6 the authors into the OpenSees material library, similarly to the Steel02 model included  
 7 in OpenSees based on the Menegotto-Pinto model in cyclical behaviour terms, but with  
 8 a plastic behaviour branch to better adjust the experimental results. The employed  
 9 steel was commercial B500SD, which was tested in the laboratory with 540 MPa of  
 10 actual yield strength, 650 MPa of ultimate strength and a Young's modulus of 200 GPa.

#### 11 ***Concrete material modelling.***

12 Three constitutive models were implemented by the authors into the OpenSees  
 13 material library for the three concretes considered herein (NSC, HPC, UHPC), which  
 14 were verified and calibrated with the experimental results, typical of compression tests  
 15 done with cylindrical specimens.

16 For a conventional concrete with no embedded fibres and not confined, the model  
 17 proposed by Model Code 2010 was used [37] up to a load drop of 5% (see Eq.(2)) was  
 18 implemented by the authors into the OpenSees material library. The rest of the  
 19 descending branch followed a linear branch until a residual tension of 5 MPa was  
 20 reached.

$$\frac{\sigma}{f_{co}} = \frac{\beta(\varepsilon / \varepsilon_{co})}{\beta - 1 + (\varepsilon / \varepsilon_{co})} \quad (2)$$

1 where  $\varepsilon$  is strain,  $\varepsilon_{co}$  is the strain corresponding to compression strength  $f_{co}$ ,  $\sigma$  is stress  
 2 and  $\beta$  is a parameter that depends on the Young's modulus  $E_c$  and secant stiffness  
 3 ( $f_{co}/\varepsilon_{co}$ ):

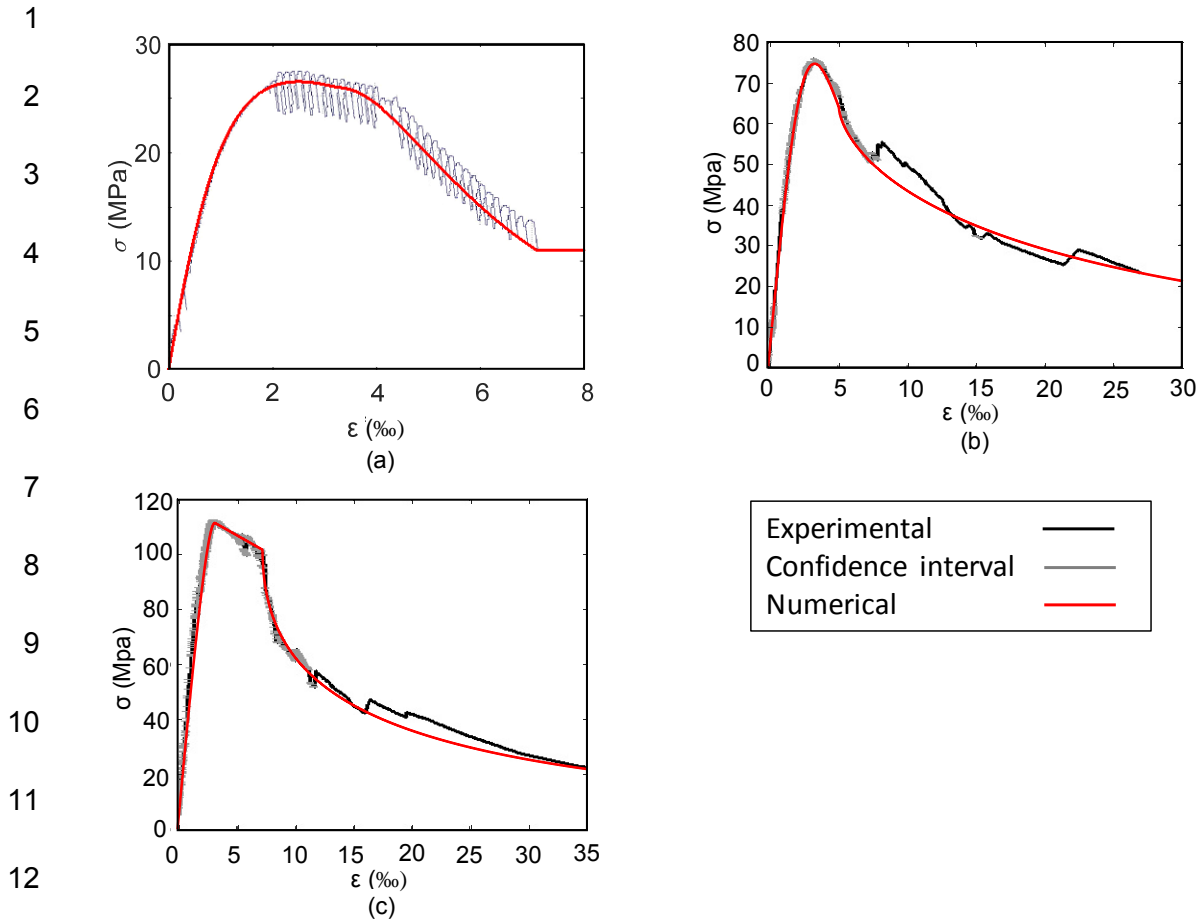
$$\beta = \frac{E_c}{f_{co} / \varepsilon_{co}} \quad (3)$$

4 Figure 5.a shows the typical stress-strain relationship of a concrete specimen of normal  
 5 strength, along with the fit obtained by the theoretical model. The behaviour of the  
 6 confined concrete, for which no experimental results were available, was taken into  
 7 account using the model proposed by Mander et al. [38] and implemented as such into  
 8 OpenSees by the authors. The values of the parameters employed herein for confined  
 9 and unconfined NSC are provided in Table 4, where  $f_t$  is tensile strength,  $f_{tr}$  is residual  
 10 tensile strength and  $\varepsilon_{tr}$  is the corresponding tensile strain.

11 Table 4. Numerical values of the parameters for the constitutive models of the NSC material.

$f_{co}$ (MPa)	$\varepsilon_{co}$ (‰)	$E_c$ (GPa)	$f_t$ (MPa)	$f_{tr}$ (MPa)	$\varepsilon_{tr}$ (‰)	$\beta$
26.5	2.5	27.5	3.0	0.1	5	3.5

12



13 Figure 5. Experimental compressive stress-strain relationship tested and the implemented  
 14 constitutive model by the authors for (a) NSC, (b) HPC and (c) UHPC with hybrid fibres.

15 The model implemented by the authors into OpenSees for HPC is based on the work of  
 16 Campione [39], which considered the beneficial effect of steel fibres by reducing the  
 17 actual distance between stirrups by a fictitious geometrical parameter that enhances  
 18 the confinement effect. The ascending branch is based on the model proposed by  
 19 Model Code 2010 [37], where  $\beta$  depends on fibre content:

$$\beta = A + B \cdot (RI)^C \quad (4)$$

20 where A,B and C are the fit parameters to the experimental results, and RI is the  
 21 reinforcing index that depends on fibre content. For further references on the values of  
 22 the fit parameters, see Campione [39]

1 The Model Code equation was used up to a certain normalised coordinate ( $x_d =$   
 2  $\varepsilon_d/\varepsilon_{co}, \eta_d = \sigma_d/f_{co}$ ), where the original envelope was replaced with an exponential  
 3 curve:

$$\frac{\sigma}{f_c} = \eta_d \exp \left[ -k_d \left( \frac{\varepsilon}{\varepsilon_0} - x_d \right)^\gamma \right] \quad (5)$$

4 where  $x_d, \eta_d, k_d$  depend on the steel fibre volume and the transverse reinforcement  
 5 volumetric ratio. A value of 0.5 is recommended for  $\gamma$ , which controls curvature [39]. In  
 6 Figure 5.b, the average stress-strain relationship of the seven HPC specimens and the  
 7 fit using the model are shown. The effect of confinement was considered with the  
 8 model proposed by Mander [38]. The values of the parameters used herein for the  
 9 unconfined HPC are found in Table 5.

10 Table 5. Numerical values of the parameters for the constitutive models of the HPC material.

$f_{co}$ (MPa)	$\varepsilon_{co}$ (‰)	$E_c$ (GPa)	$x_d$	$k_d$	$\gamma$	$f_t$ (MPa)	$f_{tr}$ (MPa)	$\varepsilon_{tr}$ (‰)
74.8	3.4	35	1.52	0.3	0.65	5.0	0.2	5

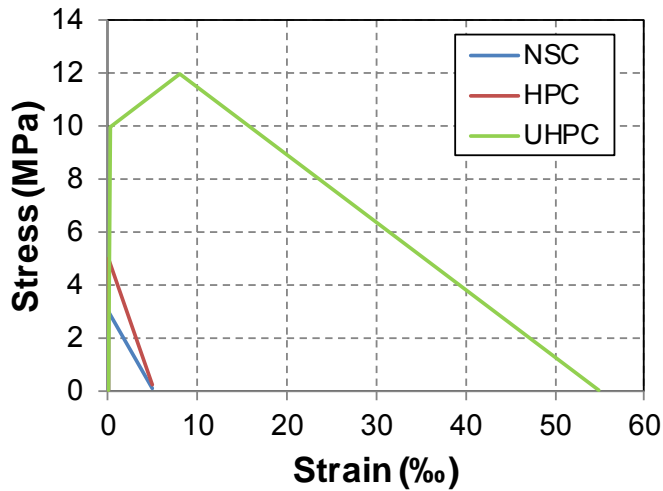
11  
 12 Finally, the constitutive model implemented by the authors into OpenSees for UHPC  
 13 was similar to that adopted for HPC, but an intermediate linear branch was added  
 14 between peak resistance and the softening branch. For such a concrete type, the  
 15 confinement effect that could arise from the stirrups was neglected. Figure 5.c shows  
 16 the average stress-strain relationship for 14 specimens of the UHPC mixture reinforced  
 17 with hybrid steel fibres and the adjustment by the theoretical model. It should be noted  
 18 that both the ductility and strength for this mixture were superior. The values of the  
 19 parameters used herein for UHPC are shown in Table 6, where  $f_t$  is tensile strength,  $f_{th}$   
 20 is the peak tensile strength at the end of strain hardening,  $\varepsilon_{th}$  is the corresponding  
 21 strain and  $\varepsilon_{tu}$  is ultimate tensile strain.

22 Table 6. Numerical values of the parameters for the constitutive models of the UHPC material.

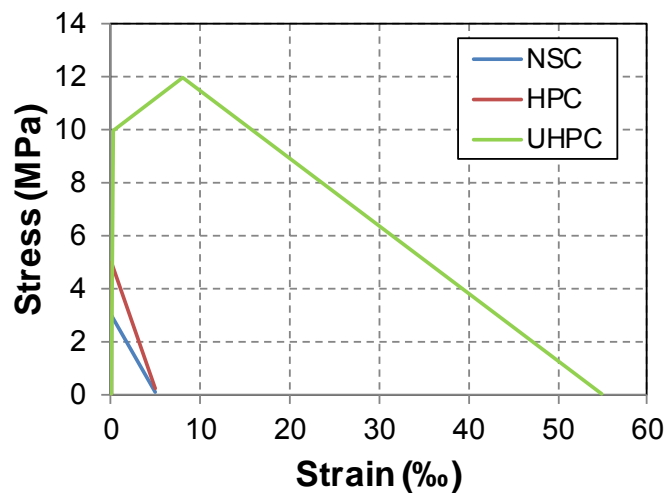
$f_{co}$ (MPa)	$\varepsilon_{co}$ (‰)	$E_c$ (GPa)	$f_{c1}$ (MPa)	$\varepsilon_{c1}$ (‰)	$k_d$	$\gamma$	$f_t$ (MPa)	$f_{th}$ (MPa)	$\varepsilon_{th}$ (‰)	$\varepsilon_{tu}$ (‰)
116.5	3.2	46	75	7.5	0.75	0.5	10	12	8	55

23

1 Three-point flexural tests were carried out by Castro-Bugallo [16] and Pereiro et al [2]  
2 to determine the flexural behaviour of the analysed concrete mixtures. From these tests,  
3 and by means of a inverse analysis, the idealised behaviour in tension shown in



4  
5 Figure 6 was obtained and later adopted for the models implemented by the authors  
6 into OpenSees. The values adopted for the tensile behaviour of the three concrete  
7 types (NSC, HPC and UHPC) can be found in Tables 4 to 6.



8  
9 Figure 6. The tension stress-strain behaviour models for the three analysed concrete types.

10 Finally, in order to represent the cyclic behaviour of concrete, the approach by Mander  
11 et al. [38] was implemented by the authors into OpenSees for NSC. For HPC and  
12 UHPC, the loading and unloading branches proposed by Aslani et al [40,41] for high  
13 strength concrete were implemented by the authors into OpenSees.

1 **Validation of the constitutive models.**

2 To verify the suitability of the implemented constitutive models, the elements tested by  
3 Pereiro et al. [2] were simulated in OpenSees, which carried out cyclic tests of the  
4 beam-column joints manufactured with HPC and UHPC (Figure 7), and by replacing  
5 the conventional steel reinforcements with SMA in the plastic hinge area, whose  
6 estimated length was 600 mm from the face of the beam. The length of the tested  
7 elements was 3.3 m, which represents the distance between the points of zero bending  
8 moments of a building's inner column. The parameters analysed in this experimental  
9 programme were: concrete type (HPC or UHPC), relative normal force ( $\nu$ ) and  
10 transverse reinforcement spacing ( $s_t$ ). Prior to the cyclic test, a relative normal force of  
11 10-20% was applied depending on each case (see Table 7).

12 Table 7. Experimental programme by Pereiro et al. [2] carried out

Id	Specimen	Concrete type	Axial force (kN)	$\nu$	$s_t$ (mm)
C1	UHPC-V01S100	UHPC	497.68	0.10	100
C3	UHPC-V02S250	UHPC	940.17	0.20	250
C4	HPC-V01S100	HPC	302.08	0.10	100
C5	HPC-V02S100	HPC	644.76	0.20	100
C6	HPC-V02S250	HPC	655.84	0.20	250
C7	HPC-V03S100	HPC	937.32	0.30	100

13



14

15 Figure 7. Beam-column element tested in the laboratory (Pereiro et al. [2]).  
16

1 Two types of concrete materials were used in this research. The first was a high-  
 2 strength self-compacting concrete (HPC), whose average strength was 75 MPa, along  
 3 with the addition of 80 kg/m<sup>3</sup> steel fibres. The second concrete mix was a ultra-high  
 4 strength and self-compacting concrete reinforced with steel fibres (UHPC), with an  
 5 average compression strength of 116 MPa. For both these two concrete types (HPC  
 6 and UHPC), the experimental results from simple compression tests of cylindrical  
 7 specimens were used to calibrate the numerical constitutive models implemented by  
 8 the authors into OpenSees, whose summarised mechanical characteristics are  
 9 provided in Table 8. The parameters of the constitutive model for SMA are those  
 10 included in Table 3.

11 Table 8. Summary of the mechanical characteristics of the concrete used in [2].

	Specimen	$f_{cm}$ (MPa)	$E_c$ (MPa)	$f_{LOP}$ (MPa)	$f_{R,1}$ (MPa)	$f_{R,2}$ (MPa)	$f_{R,3}$ (MPa)
C1	UHPC-V01S100	123.46	44415	11.30	19.06	17.54	12.85
C3	UHPC-V02S250	119.06	44366	10.04	17.51	16.70	13.85
C4	HPC-V01S100	75.03	35778	7.01	13.26	14.02	12.67
C5	HPC-V02S100	81.31	36234	7.82	15.17	16.27	14.21
C6	HPC-V02S200	84.00	36812	7.12	14.76	14.46	12.83
C7	HPC-V03S100	79.07	35629	6.18	11.67	12.65	11.02

12

13 Figure 8 provides the results of the numerical simulations of the real tested elements.  
 14 In general, adjustment was quite accurate in terms of initial stiffness, monotonic  
 15 envelopes and residual deformations for both elements constructed with UHPC (C1,  
 16 C3) and HPC (C4 to C7). However, there were some limitations, such as the  
 17 underestimated dissipated energy in element C1, the overevaluated dissipated energy  
 18 in element C3 and the overestimated residual deformations in element C5.

19

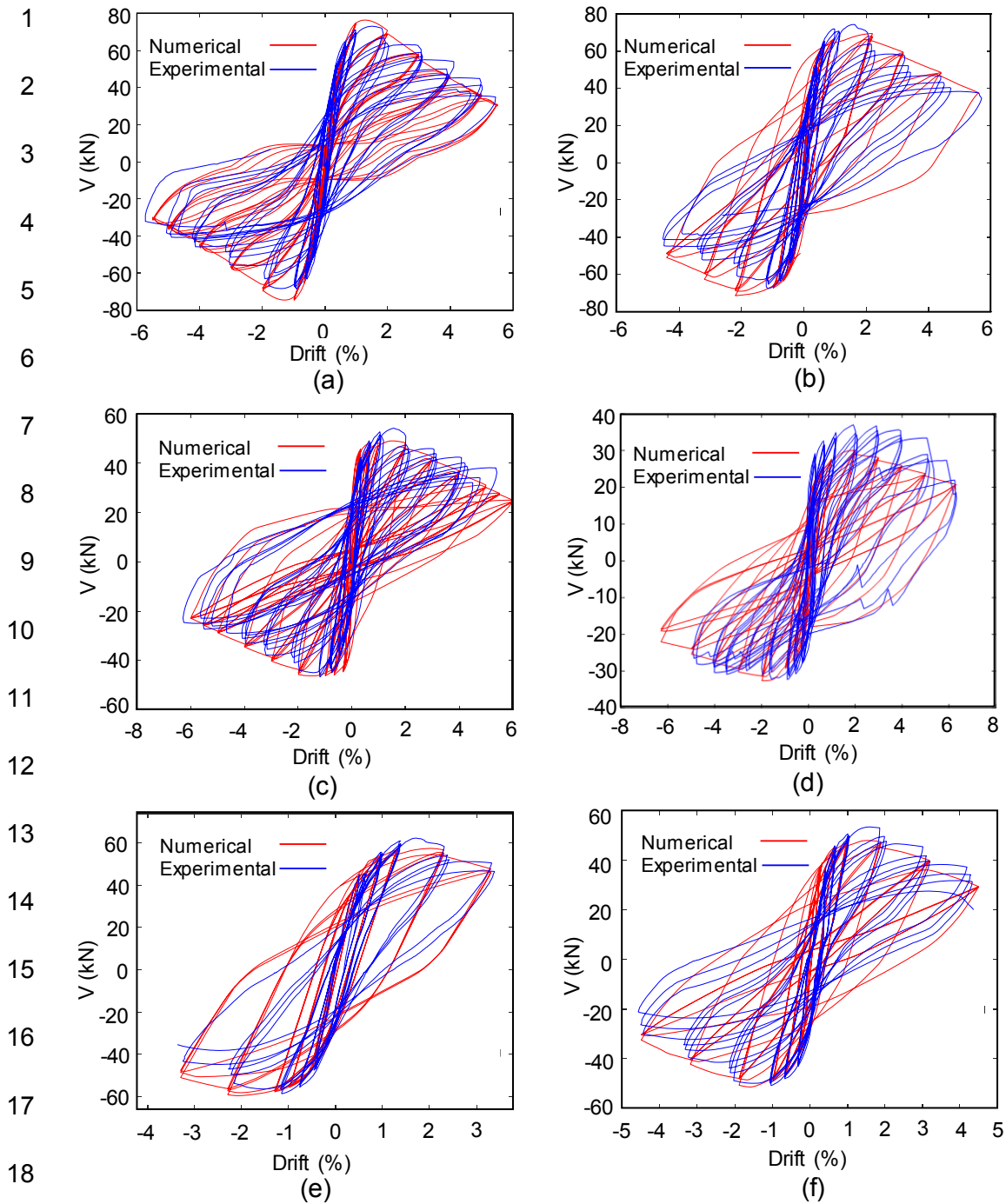
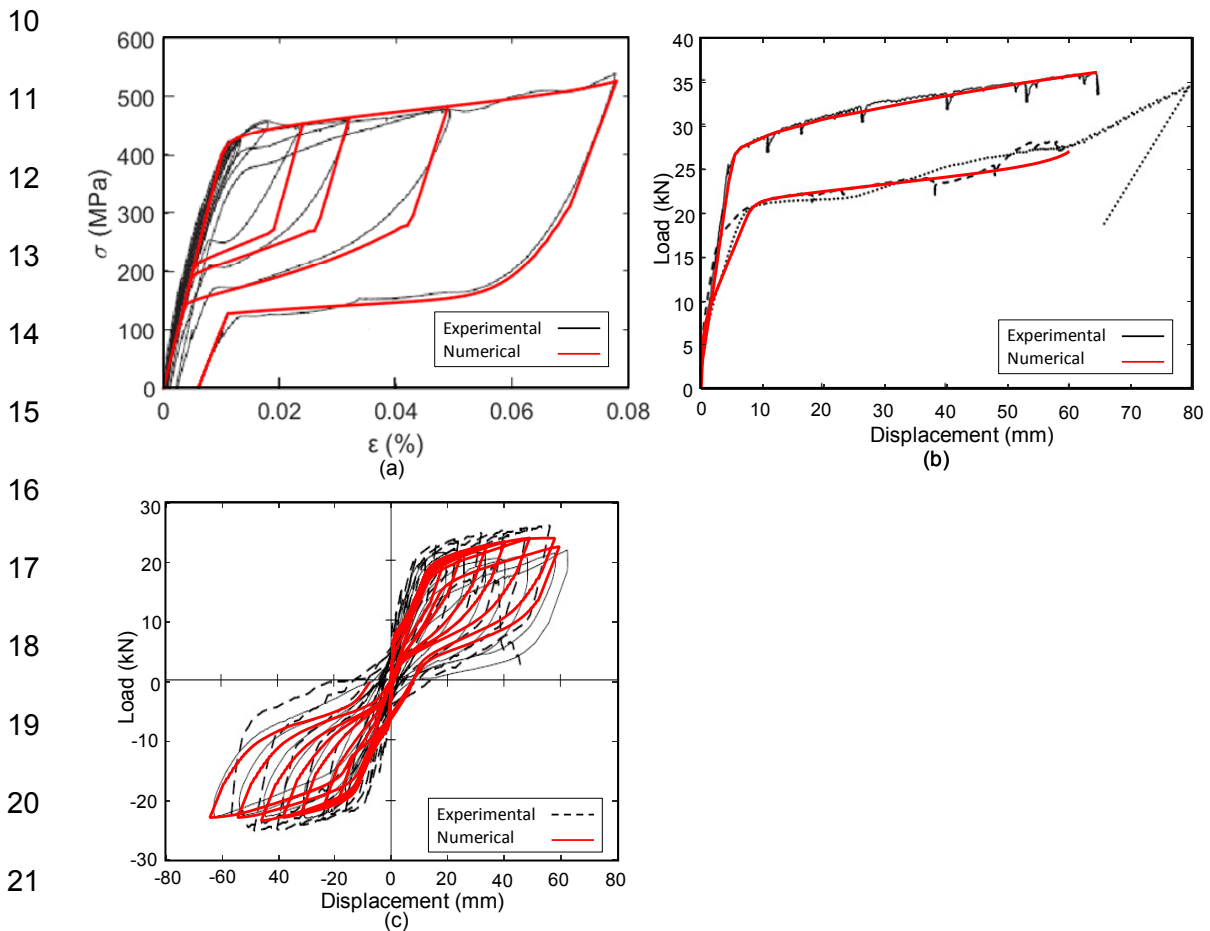


Figure 8. Results of the numerical simulations for the experimentally tested elements: (a) C1, (b) C3, (c) C4, (d) C5, (e) C6, (f) C7.

The authors also validated the implemented constitutive models by calibrating the experimental results obtained by other authors in different structural elements made of conventional concrete reinforced with superelastic SMA bars, and subjected to monotonic and cyclic loading. The experimental results obtained by Abdulridha et al. [8] on concrete beams reinforced with SMA and loaded at the midspan were adjusted



1 using the implemented models (Figure 9). Firstly, the SMA and concrete constitutive  
 2 relationships were fitted to the quality control data provided by the authors (Figure 9.a).  
 3 Secondly, the monotonic curve was adjusted with the finite element model (FEM) and  
 4 on this basis of the cyclic curve (Figure 9.b-c). The numerical model allowed to properly  
 5 simulate concrete cracking, residual deformations, ultimate load and unloading  
 6 branches. Following the same process, similar performance was achieved in the  
 7 experimental work by Saiidi et al. [42] for RC bridge piers reinforced with superelastic  
 8 SMA bars and ECC in their critical zone (Figure 10). The control specimen, reinforced  
 9 with conventional steel bars, was also adjusted as a reference.



23 *Figure 9. Comparison of the load-displacement relationship of the experimental (adapted from*  
 24 *[8]) and predicted results in SMA RC beams: (a) The numerical SMA stress-strain relationship;*  
 25 *(b) the monotonic load-displacement relationship: steel-reinforced beam (up), SMA-reinforced*  
 26 *beam (bottom); (c) the cyclic load-displacement relationship.*

27

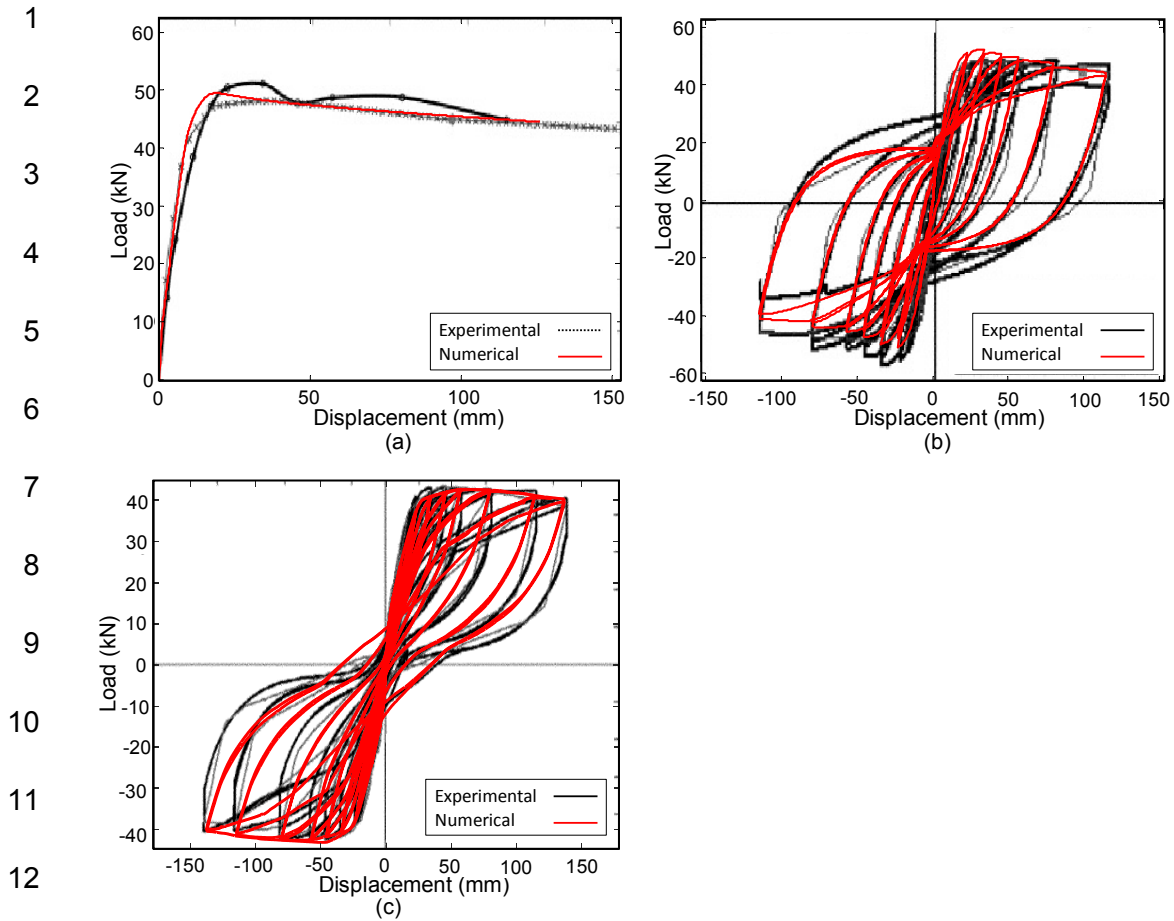


Figure 10. Comparison of the load-displacement relationship of the experimental (adapted from [42]) and predicted results in SMA RC bridge piers: (a) the monotonic load-displacement relationship for the SMA RC specimen; (b) the cyclic load-displacement relationship for the steel RC specimen; (c) the cyclic load-displacement relationship for the SMA RC specimen.

#### 4. Static pushover analysis.

A non-linear static pushover analysis was carried out in OpenSees to analyse when the collapse mechanism occurred, and to derive the overresistance factors defined in the codes (Eurocode 8 [24], FEMA-695 [43]), such as the  $\alpha_u/\alpha_1$  ratio between the multiplicative coefficients of the lateral loads that caused the formation of the first plastic hinge,  $V_{b1}$ , and for the building to become a global plastic mechanism,  $V_{bu}$  (see Figure 11):

$$\alpha_u / \alpha_1 = \frac{V_{bu}}{V_{b1}} = \frac{\alpha_u V_{bd}}{\alpha_1 V_{bd}} \quad (6)$$

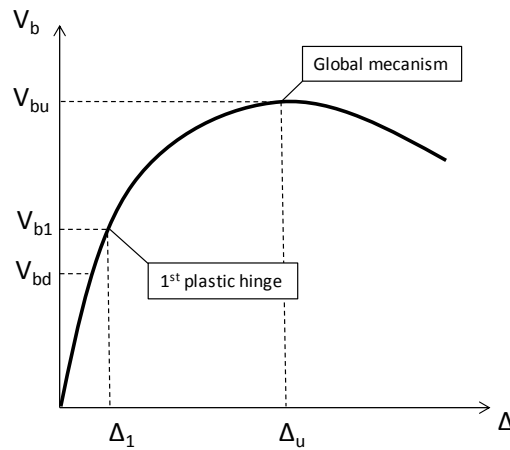
the overstrength ratio  $\Omega$ , which is the ratio between ultimate base shear  $V_{bu}$  and design base shear  $V_{bd}$ :

1 
$$\Omega = \frac{V_{bu}}{V_{bd}} \quad (7)$$

2 and ductility, defined as the ratio between the drift corresponding to ultimate base  
 3 shear  $\Delta_u$  and the drift corresponding to first plastic hinge formation base shear  $\Delta_1$ :

4 
$$\mu = \frac{\Delta_u}{\Delta_1} \quad (8)$$

5 The pushover analysis was carried out on a static non-linear model that incorporated  
 6 the non-linear constitutive behaviour of the materials and the distributed plasticity in  
 7 elements. The properties of the materials were the same as those found in the previous  
 8 section, except for the residual deformation of the constitutive curve for SMA, which  
 9 was eliminated from the model for these numerical simulations as it was assumed that  
 10 bars were fully trained before the construction and would not subsequently show any  
 11 plastic deformation.



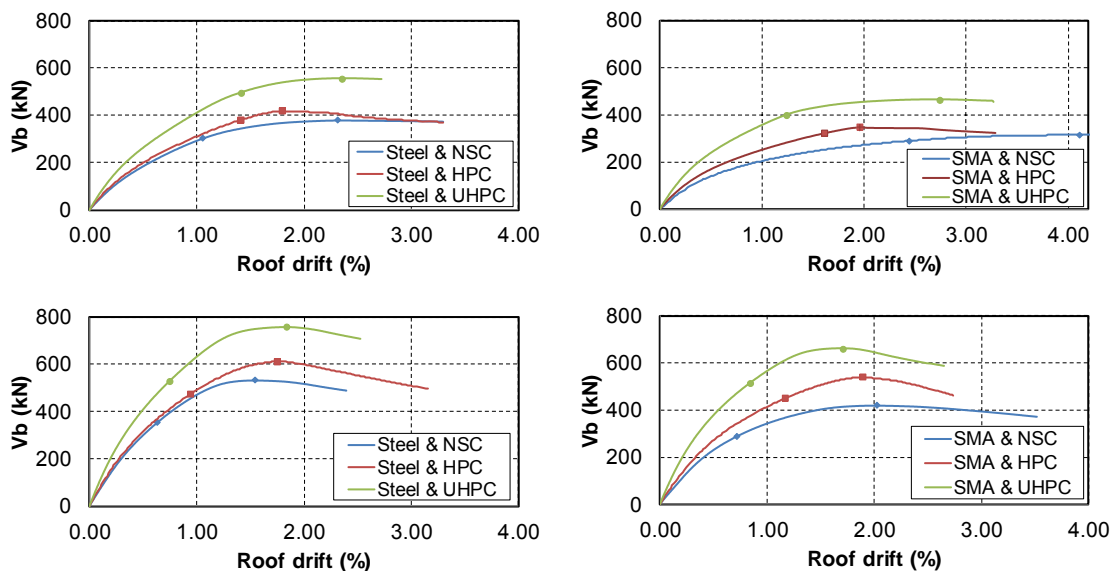
12  
 13 Figure 11. The typical base shear vs. the top drift pushover curve.

14 The formation of the first plastic hinge occurred on the beam end of the first floor for  
 15 frame 3S1B, and on the beam end of the first or second floor depending on each case  
 16 for frame 6S2B. The formation of the first plastic hinge was identified by the bending  
 17 moment distributions along the elements at the time when the bending moment value  
 18 was blocked, which indicated either the concrete's reinforcement yielding or its  
 19 crushing. The maximum load  $\alpha_u V_{bd}$  was reached at the moment in which enough  
 20 plastic hinges were formed to convert the building into a global mechanism, which

1 occurred in all cases with the formation of a plastic hinge on the lower end of the first  
2 floor columns.

3 Figure 12 offers the results of this analysis, with the roof drift on the horizontal axis and  
4 the basal shear on the vertical axis, and the occurrence of the first plastic hinge and the  
5 global mechanism marked on the curves. Figure 10 illustrates how the initial rigidity  
6 increased with concrete strength and slightly reduced when SMA were used. In the  
7 three-storey building frames, the use of SMA delayed the formation of the first plastic  
8 hinge in drift terms for NSC (substantially) and for HPC, while for UHPC it accelerated  
9 the formation of the first plastic hinge. Regarding the global mechanism formation, HPC  
10 formation accelerated, while UHPC increased  $\Delta_u$ .

11 In the six-storey building frames, increased concrete strength delayed the formation of  
12 the first plastic hinge. The effect on the global mechanism formation was the exact  
13 opposite to that mentioned above: improved concrete strength delayed this mechanism  
14 in the designs with steel, but advanced it in the designs with SMA.



15

16 Figure 12. The static pushover analysis results: buildings 3S1B, steel design, (b) buildings 3S1B,  
17 SMA design, (c) buildings 6S2B, steel design, (d) buildings 6S2B, SMA design.

1 Table 9. The first plastic hinge and ultimate state base shear values and the overstrength  
2 factors for building 3S1B.

	Steel & NSC	SMA & NSC	Steel & HPC	SMA & HPC	Steel & UHPC	SMA & UHPC
$\alpha_u V_{bd}$ (kN)	378	315	418	347	556	465
$\alpha_1 V_{bd}$ (kN)	300	289	379	327	492	400
$V_{bd}$ (kN)	130	111	149	129	151	145
$\Omega$	2.91	2.84	2.80	2.69	3.68	3.20
$\alpha_u/\alpha_1$	1.26	1.09	1.10	1.06	1.13	1.16
$\Delta_1$ (%)	1.04	2.43	1.41	1.65	1.39	1.24
$\Delta_u$ (%)	2.30	4.11	1.80	1.96	2.37	2.74
$\mu$	2.21	1.69	1.28	1.19	1.71	2.21

3

4 Table 10. The first plastic hinge and ultimate state base shear values and the overstrength  
5 factors for building 6S2B.

	Steel & NSC	SMA & NSC	Steel & HPC	SMA & HPC	Steel & UHPC	SMA & UHPC
$\alpha_u V_{bd}$ (kN)	533	419	612	539	760	661
$\alpha_1 V_{bd}$ (kN)	351	289	475	453	520	514
$V_{bd}$ (kN)	243	206	272	262	279	269
$\Omega$	2.19	2.04	2.25	2.06	2.72	2.46
$\alpha_u/\alpha_1$	1.52	1.45	1.29	1.19	1.46	1.29
$\Delta_1$ (%)	0.63	0.71	0.94	1.18	0.73	0.84
$\Delta_u$ (%)	1.54	2.01	1.77	1.90	1.83	1.69
$\mu$	2.44	2.82	1.88	1.60	2.51	2.00

6

7 Overstrength coefficient  $\Omega$  reaches higher values than 3 and was considerably higher  
8 in the three-storey building and the building modified with UHPC. This scenario was  
9 caused by the design requisite of a strong column weak beam, which considerably  
10 increased the capacity of columns in relation to that required by the design against  
11 gravitational loads, and delayed the formation of the global collapse mechanism,  
12 especially in lower buildings.

13 Regarding the  $\alpha_u/\alpha_1$  ratio and as proposed by Eurocode 8 [24], the values obtained for  
14 the building with no design modifications (NSC, steel rebars) were consistent with this  
15 design code, which proposes values of 1.2 for one-bay and 1.3 for multi-bay buildings  
16 which, as seen, are conservative values, especially for 6S2B. However, the use of HPC  
17 significantly lowered the value of this coefficient given its lower ductility compared to

1 NSC. Using SMA also lowered the value of this coefficient in all but one case because  
2 it did not increase its tension as much as steel did after yielding. The use of UHPC  
3 brought about significant increases in the coefficient  $\alpha_u/\alpha_1$  value, but did not reach the  
4 levels of the structures built with conventional concrete. As the value of this coefficient  
5 lowered, this meant that behaviour factor  $q$  was, in turn, lower. So for those forces  
6 whose corresponding linear-elastic system subject to these lateral loads would reduce  
7 less, it would be necessary to produce designs for higher equivalent lateral loads.  
8 However for the study period range, the increase in  $T_1$  (see Tables 1 and 2) caused by  
9 lower SMA stiffness,  $V_{bd}$  also reduced and, hence, the use of SMA also had a  
10 beneficial effect.

11 Regarding ductility, the use of SMA in low-rise buildings reduced overall ductility  
12 because of its lower elastic modulus, which delayed the formation of the first plastic  
13 hinge. Ductility recovered in relation to the conventional design for the SMA and UHPC  
14 combination because the formation of the first plastic hinge accelerated by the early  
15 concrete failure instead of the yielding of SMA. Higher ductility values were obtained for  
16 building 6S2B due to its greater redundancy. Except for NSC, in which the use of SMA  
17 was advantageous given the delay in collapse mechanism formation, in the other cases  
18 SMA delayed the formation of the first plastic hinge by reducing ductility altogether. For  
19 both 31SB and 62SB, the use of HPC was detrimental for overall ductility, and the use  
20 of UHPC enhanced its value.

## 21 **5. Dynamic analysis.**

22 A dynamic analysis was carried out in a time domain to analyse and compare the  
23 seismic behaviour of the prototypes modified with different materials. The incremental  
24 dynamic analysis (IDA) procedure was followed, in which the accelerogram was  
25 increasingly scaled similarly to that done with the lateral load in a static non-linear  
26 pushover analysis, with similar results in both analyses. To run the analyses, the  
27 Rayleigh method was used by considering 5% critical damping, which was proportional

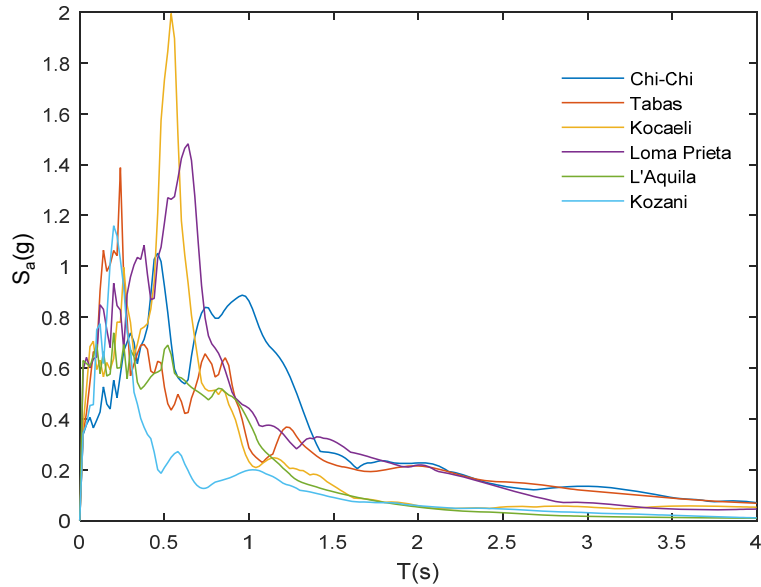
1 to both the mass matrix and the rigidity matrix updated at each instant. At the end of  
 2 the analysis, prototypes were left for 10 s in a free vibration situation, as indicated by  
 3 Eurocode 8 [1].

4 **5.1. Properties of the analysis ground motions.**

5 For this study, a set of six real accelerograms was taken. Although Eurocode 8  
 6 establishes the use of a minimum of three ground motions, three more were taken into  
 7 account to obtain a certain degree of heterogeneity in order to evaluate the validity of  
 8 the designs for a wider set of earthquakes, instead of using only ground motions that  
 9 are representative of the site. For this purpose, two accelerograms were chosen with  
 10 low (<0.8) PGA/PGV ratio values, where PGA is Peak Ground Acceleration and PGV is  
 11 Peak Ground Velocity. Two took medium PGA/PGV ratio values (0.8-1.2) and two had  
 12 high PGA/PGV values (> 1.2), as recommended by Alam et al. [12], whose detailed  
 13 parameters are shown in Table 9, where  $T_{eq}$  is the predominant period of the response  
 14 spectrum for 5% damping, whose representation appears in Figure 13.

15 Table 11. Characteristics of the selected ground motions.

Ground motion	Date	Station	Magnitude	PGA (g)	PGV (m/s)	PGA/PGV	$T_{eq}$ (s)
Chi-chi (Taiwan)	2008	CHY 006	7.62	0.358	0.56	0.64	0.46
Tabas (Iran)	1978	Tabas	7.35	0.812	1.14	0.72	0.24
Kocaeli (Turkey)	1999	Fatih	7.51	0.175	0.18	0.96	0.54
Loma Prieta (USA)	1989	Coyote Lake Dam - Southwest Abutment	6.93	0.343	0.31	1.11	0.64
L'Aquila (Italy)	2009	L'Aquila - V. Aterno - Centro Valle	6.3	0.558	0.41	1.37	0.2
Kozani (Greece)	1995	Kozani	6.4	0.16	0.08	2.07	0.2



1

2 Figure 13. Response spectra (5% critical damping) for the ground motions selected for the  
 3 study.

4 **5.2. Incremental Dynamic Analysis (IDA) curves.**

5 In Figures 12 and 13, the IDA curves are respectively shown for buildings 31SB and  
 6 6S2B. These curves were obtained by submitting all 12 prototypes (Tables 1 and 2),  
 7 subjected to the six selected ground motions (Table 11), and scaled to the PGA shown  
 8 in the ordinates. On the abscissa, the maximum occurred interstorey drift (IS drift) is  
 9 represented. Earthquakes were scaled up to 2.5 g, shown in the graphs for each  
 10 design until the maximum acceleration prior to collapse. It should be noted that no  
 11 building collapsed by design acceleration.

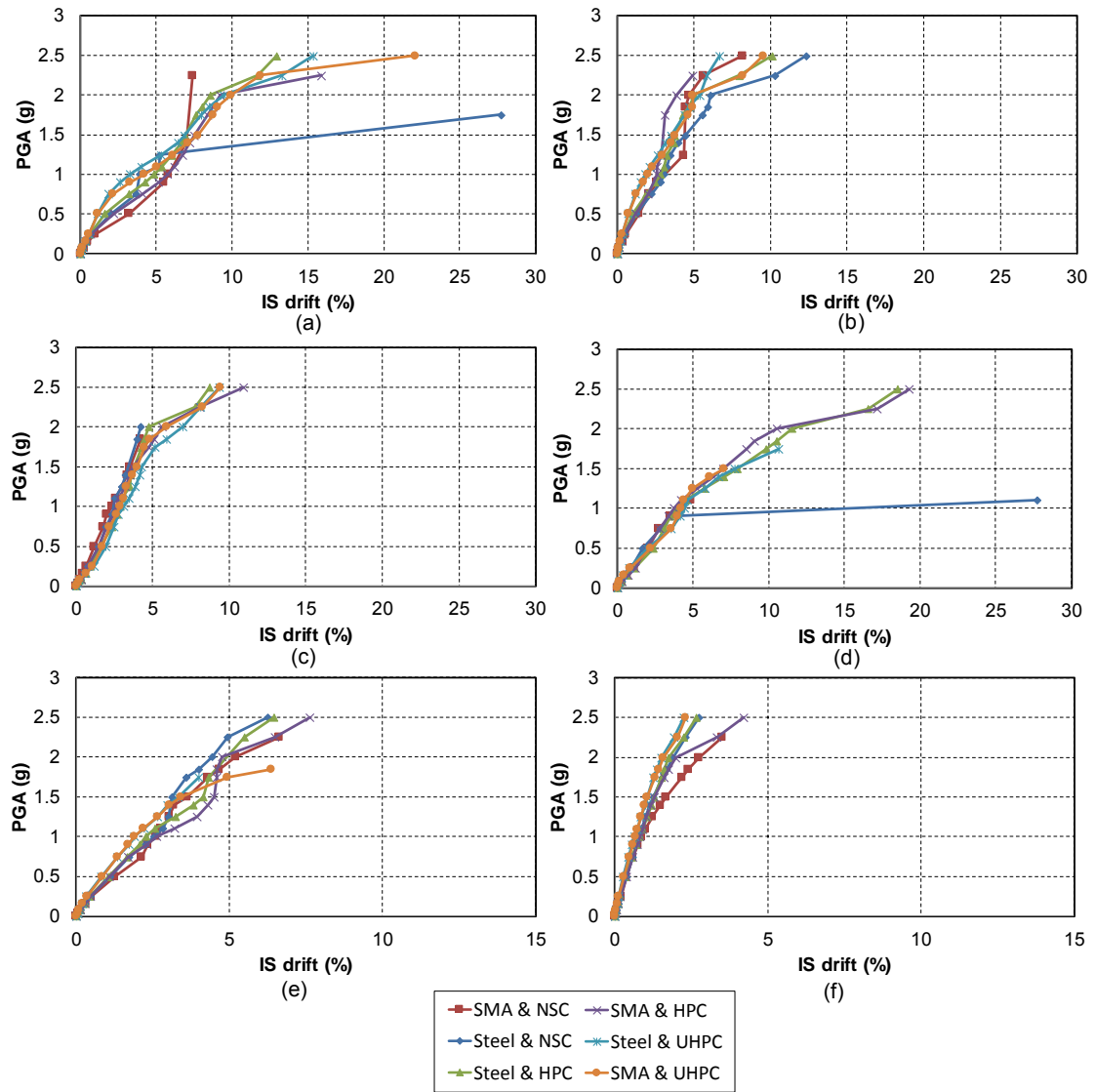
12 For the low-rise building frames, the interstorey drift did not seem to present any clear  
 13 pattern because the structure's natural period, of around 0.55 s, came very close to the  
 14 predominant period of some earthquakes, which amplified the response for those  
 15 designs that modified the structure's period to move towards the value of the  
 16 predominant period of the response spectrum. In Figure 12, two cases of collapse (Chi-  
 17 Chi, Lomapieta) are observed for the standard building (steel and NSC), possibly due  
 18 to the proximity of their natural frequencies to the frequency content of the response  
 19 spectrum. For the building reinforced with SMA and NSC, two ground motions are



1 observed (Chi-Chi and Tabas), which SMA reached in the martensitic state, in which  
2 stiffness once again rapidly increased (see Figure 6) and helped control interstorey  
3 drifts. The same can be stated for the SMA and HPC combination for both Tabas and  
4 L'Aquila. Similar phenomena were found for building 6S2B, as seen in Figure 13 for the  
5 NSC and SMA combination (Tabas, Lomapieta) and for the UHPC and SMA  
6 combination (Chi-Chi, Tabas) which, in this case, was because the failure section  
7 moved outside the critical zone and the NSC section capacity was exceeded.

8 With building 6S2B, whose natural period was roughly 1.1 s, we can see that  
9 interstorey drift reduced if HPC or UHPC was used, but increases when steel bars  
10 were replaced with SMA bars in the structure's critical zones as both changes modified  
11 the structure's rigidity in one direction or another.

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Figure 14. IDA curves for building 3S1B: (a) Chi-Chi; (b) Tabas; (c) Kocaeli; (d) Lomapieta; (e) L'Aquila; (f) Kozani.

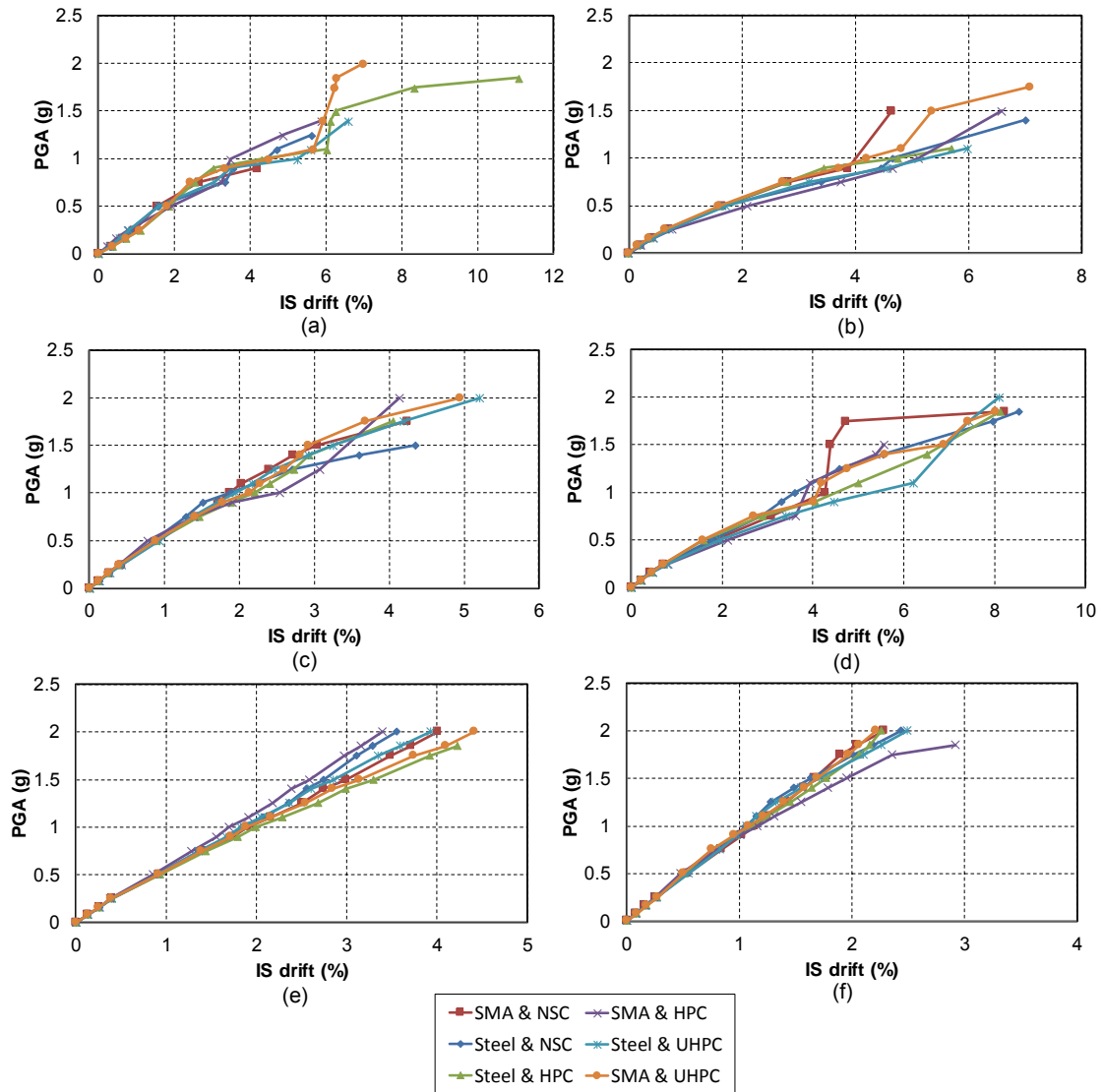


Figure 15. IDA curves for building 6S2B: (a) Chi-Chi, (b) Tabas; (c) Kocaeli; (d) Lomapieta; (e) L'Aquila; (f) Kozani.

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5 Finally, it is worth mentioning that the maximum drift between storeys normally  
6 occurred at the first or second floor in all the buildings, which led to a great demand in  
7 drift terms, especially in the buildings reinforced with SMA in critical zones. Figure 14  
8 depicts building 3S1B constructed with NSC, reinforced with steel (a) and with SMA-SE  
9 in critical areas (b) for comparison purpose, showing that SMA significantly increases  
10 the drift demand of at the first and second floors.

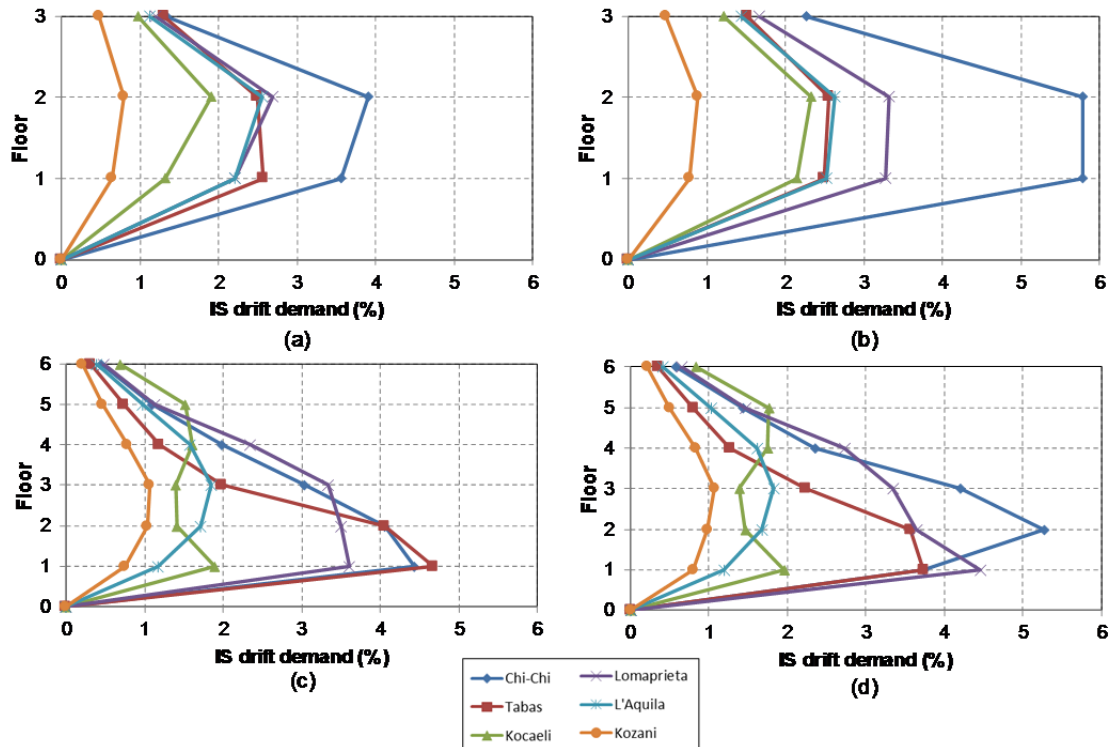


Figure 16. IS drift demand for building 3S1B NSC: (a) reinforced with steel, (b) reinforced with SMA-SE in critical zones; and for building 362B NSC: (c) reinforced with steel, (d) reinforced with SMA-SE in critical zones.

### 5.3. Base shear vs. maximum roof drift pushover curves.

In this section the dynamic pushover curves were built, in which the maximum basal shear vs. the maximum roof drift are represented for each MRF design (Tables 1 and 2), subjected to the set of earthquakes indicated in Table 11. Each pushover curve point corresponding to an MRF and ground motion scaled to increasing PGAs represents the maximum response in basal shear-drift terms for a given PGA.

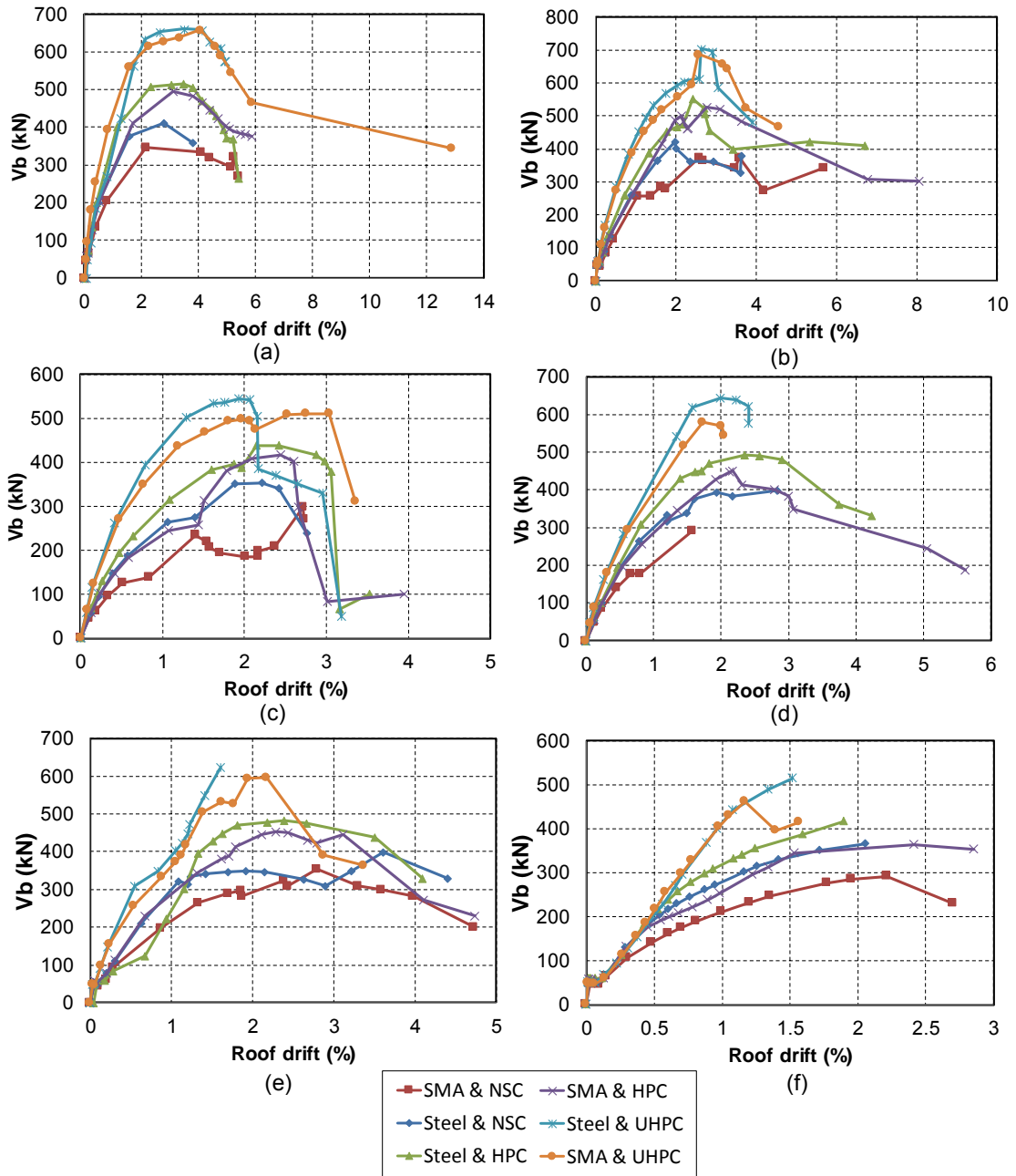
The dynamic pushover curves represent the maximum roof drift against the corresponding basal shear, similarly to the representation of a static pushover analysis. However, the result is different because in the building's dynamic response, both the frequency content of the ground motion and the building's dynamic characteristics (mass distribution, rigidity, etc.) influence the response. That is why the building's dynamic capacity is not unique, but depends on the applied ground motion, in the same way as the backbone of a pushover analysis depends on the distribution of lateral

1 loads. The intention of using several different ground motions is to determine the  
2 structure's capacity against a wider variety of earthquakes. Figure 15 and Figure 18  
3 respectively show the results of the dynamic simulation for the designs made for  
4 buildings 3S1B and 6S2B. Substantial variations in the response are observed,  
5 especially for the cases of Kocaeli, whose natural period comes close to that of the  
6 3S1B structure, and L'Aquila, whose duration is longer. The variation in the response is  
7 narrower for building 6S2B due to the higher degree of the structure's redundancy, also  
8 to and a long natural period, far from the predominant period of the analysed ground  
9 motions. The Kozani earthquake barely excited building 3S1B or building 6S2B  
10 because its frequency content was distributed far from the building's natural frequency.

11 In general, and as expected, the buildings modified with UHPC have a greater capacity  
12 and initial rigidity, unlike the buildings reinforced with SMA, with much less initial  
13 stiffness and capacity. This is more noticeable in the buildings reinforced with NSC  
14 because concrete is unable to cope with SMA deformations, the concrete compression  
15 block fails and cover spalling occurs, as deduced from the results of the numerical  
16 simulation at the sectional level. In some cases for SMA with HPC, such as Lomapietra  
17 for 6S2B, L'Aquila for 3S1B, or for SMA with UHPC, such as Kocaeli for 3S1B and  
18 Kozani for 3S1B, SMA enter the martensitic strain range and are able to once again  
19 increase their resistant capacity. Although this constitutes an emergency resistance  
20 reserve for the most intense earthquakes, it is not desirable in general as non-  
21 recoverable plastic deformations can be induced in SMA by the martensitic phase  
22 yielding, and using SMA bars intends to avoid this. In building 6S2B, for the majority of  
23 the analysed ground motions, the UHPC with SMA combination presented greater  
24 capacity than that with steel thanks to it better accommodating the movements  
25 imposed by the earthquake and causing less damage in critical zones.

26 In general terms, both buildings 3S1B and 6S2B develop a greater resistance capacity  
27 for earthquakes with a low PGA/PGV ratio. The buildings designed with SMA and NSC

1 presented the least capacity and equivalent rigidity, while the buildings designed with  
 2 UHPC offered the best rigidity and capacities. With building frames 3S1B, UHPC with  
 3 steel presented greater capacity, as in the static analysis, while buildings 6S2B  
 4 displayed greater capacity for designs with SMA and UHPC, unlike the static analysis.



5  
 6 Figure 17. IDA pushover curves for the different building 3S1B designs: (a) Chi-Chi; (b) Tabas;  
 7 (c) Kocaeli; (d) Lomapietra; (e) L'Aquila; (f) Kozani.

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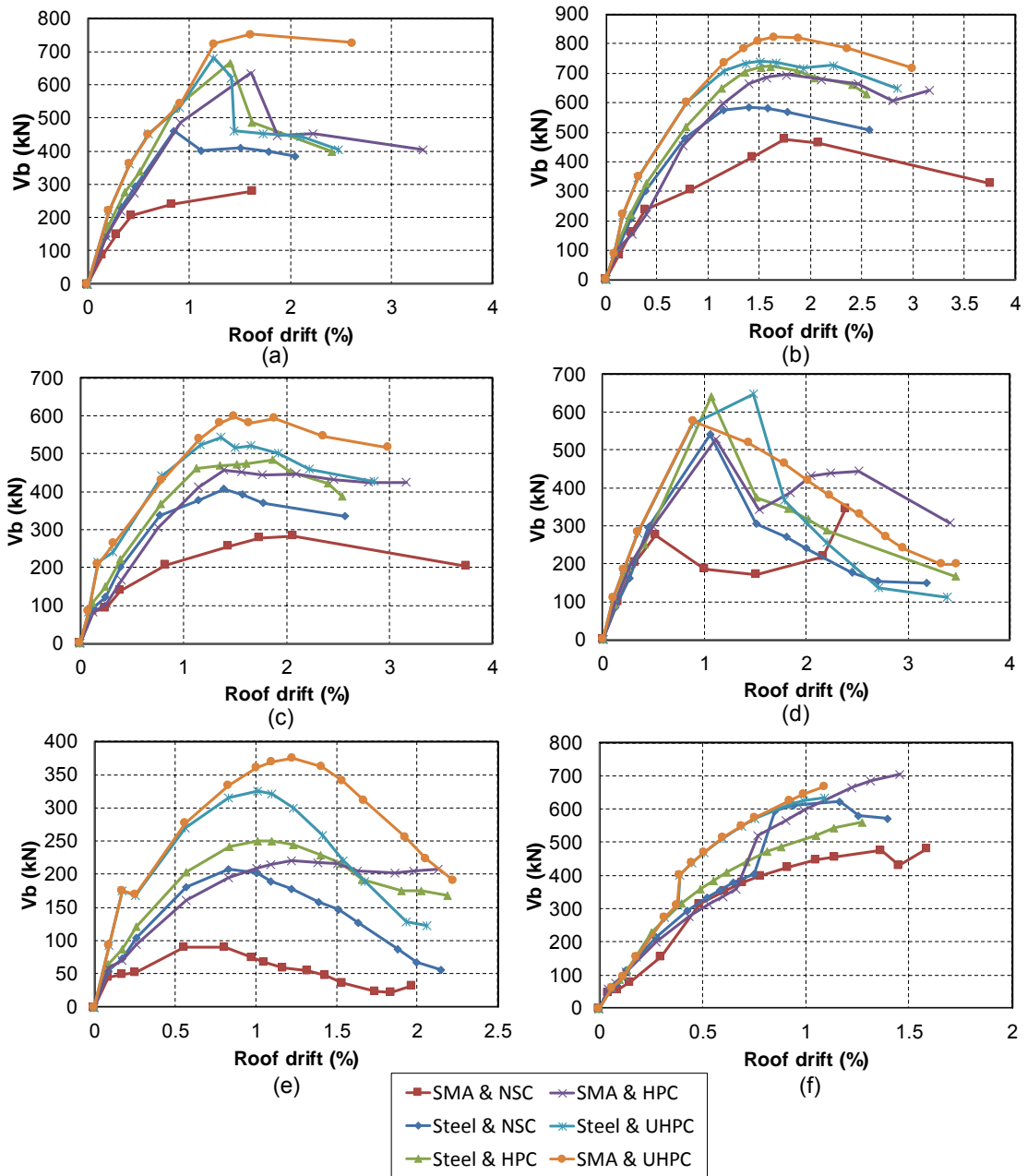


Figure 18. IDA pushover curves for the different building 6S2B designs: (a) Chi-Chi; (b) Tabas; (c) Kocaeli; (d) Lomapieta; (e) L'Aquila; (f) Kozani.

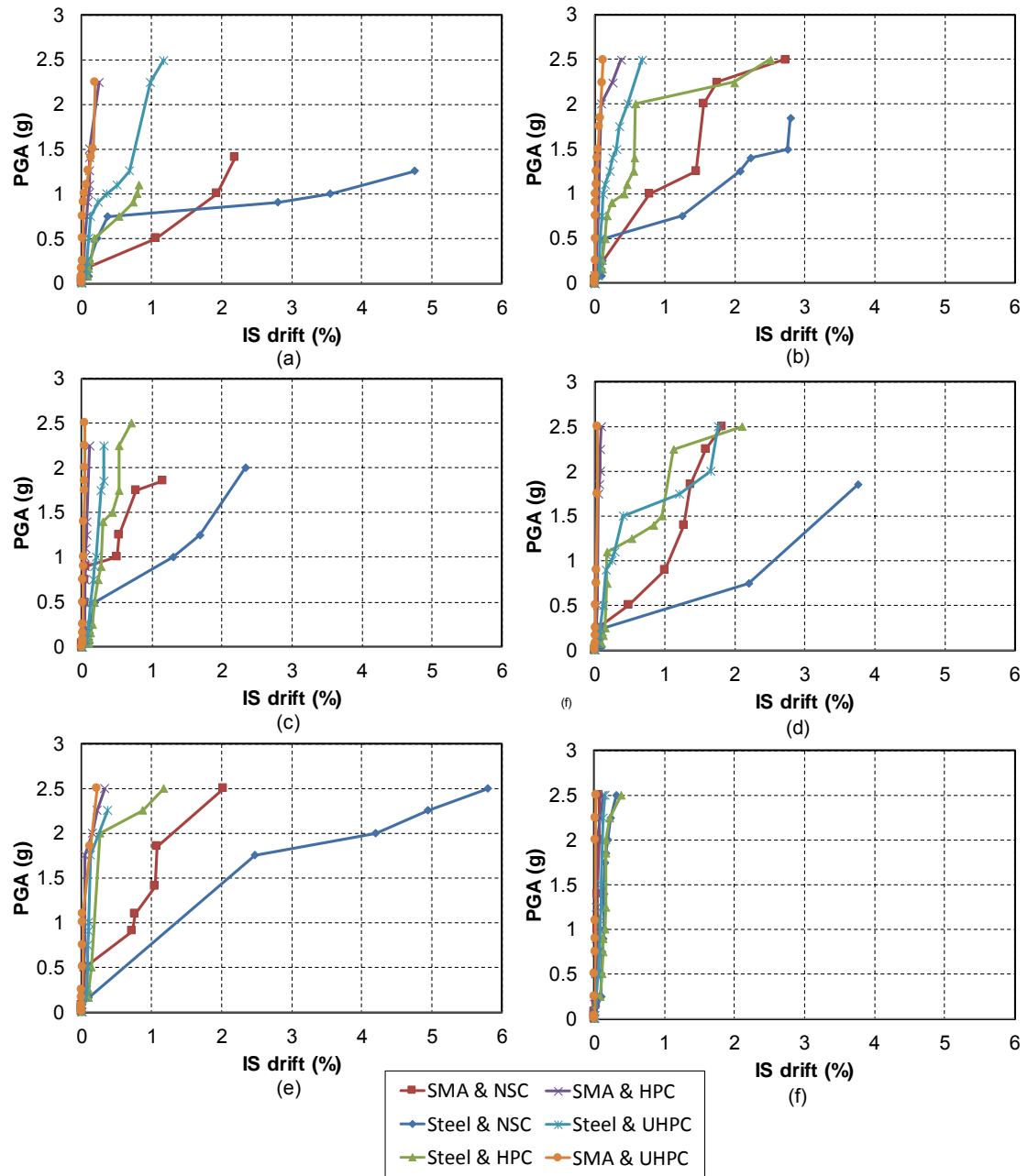
#### 5.4. Residual drifts.

Figure 19 and Figure 20 illustrate the maximum residual interstorey drifts that resulted from applying the accelerogram to each PGA level. Drifts were calculated by considering the maximum residual drift of all the storeys for the ground motions that finished without collapsing and, for the cases in which collapse occurred, as the maximum drift in the last step with a null base shear value.

1 In general, standard buildings 3S1B have higher residual drifts than 6S2B ones.  
2 Replacing conventional steel reinforcements in critical areas with SMA reduces  
3 deformations by an average of 66%. We can see that for the SMA combination with  
4 HPC and UHPC, average reductions of 90% in the residual drift were obtained with a  
5 conventional design using NSC and steel, and the best performance was that which  
6 corresponded to UHPC with SMA in most cases due to the recentering capacity of  
7 SMA. It should be noted that the buildings reinforced with SMA had higher drifts during  
8 ground motions than those buildings reinforced with steel. For the majority of 6S2B  
9 buildings, the generation of plastic deformations started at around 0.75-1g, while wider  
10 variability was achieved for 3S1B buildings, depending on the earthquake and the  
11 design type.

12 In some cases, the SMA RC frames show larger residual interstorey drifts for a certain  
13 PGA range than their reinforcing steel counterparts. The rationale for this lies in the fact  
14 that the length for provided the critical zone is insufficient for these material-ground  
15 motion combinations. This results in failure at the joint section due to lack of resistant  
16 capacity, and also in the subsequent accumulation of residual deformations. To avoid  
17 this behaviour, the critical length should be adjusted for these combinations. In further  
18 research works, an optimal critical length for a set of different ground motions will be  
19 taken into account.





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Figure 19. Residual interstorey drifts for building 3S1B: (a) Chi-Chi; (b) Tabas; (c) Kocaeli; (d) Lomapietra; (e) L'Aquila; (f) Kozani.

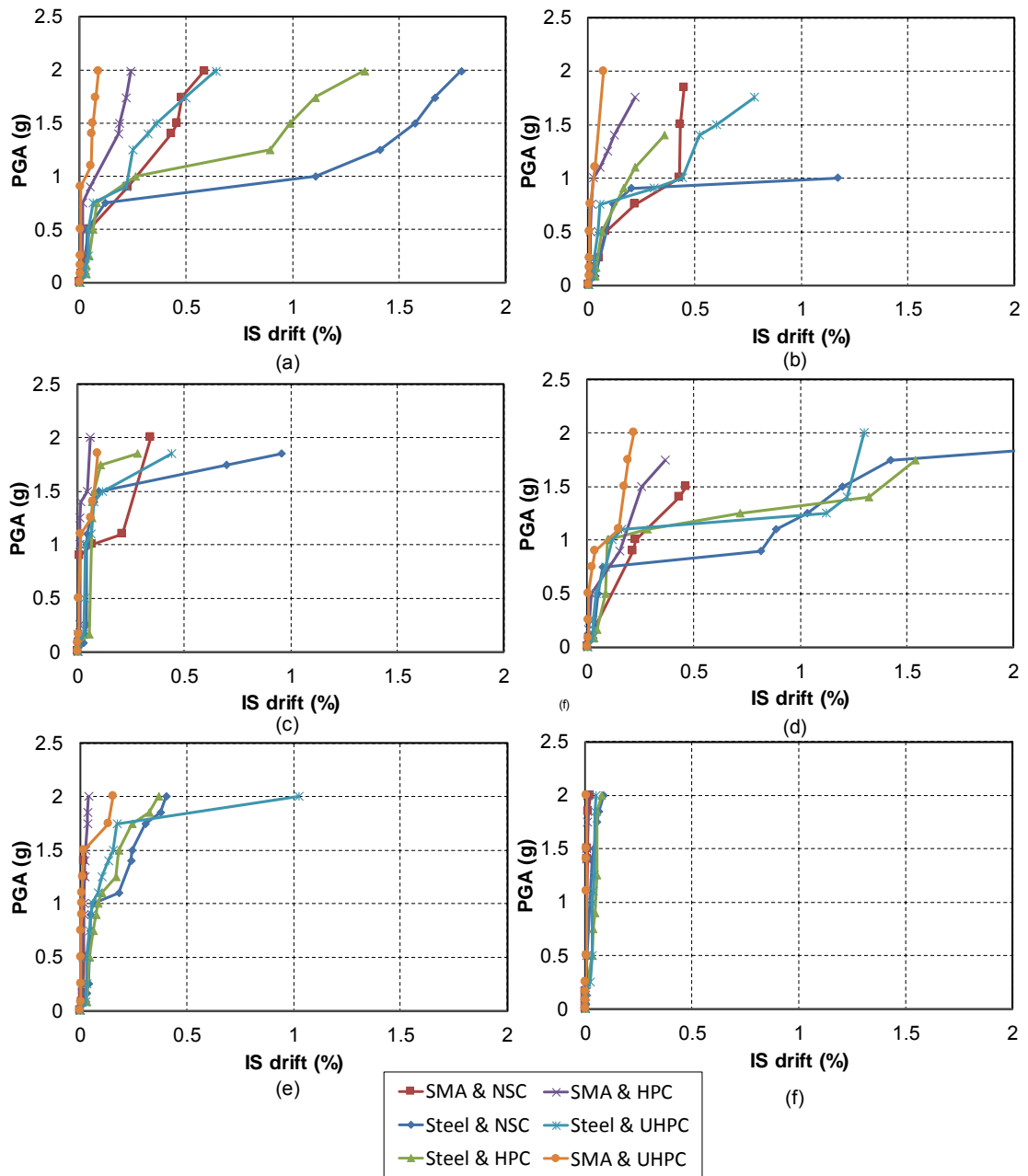


Figure 20. Residual interstorey drifts for building 6S2B: (a) Chi-Chi; (b) Tabas; (c) Kocaeli; (d) Lomapieta; (e) L'Aquila; (f) Kozani.

## 6. Response modification factors.

This section analysed the seismic response factors for the studied buildings. It started with the generic definition of these parameters by American design codes (UBC [44], ASCE/SEI 7-05 [45]), whose values were compared to those established by Eurocode 8 [24]. See Figure 21 for a graphical understanding of the meaning of several factors.

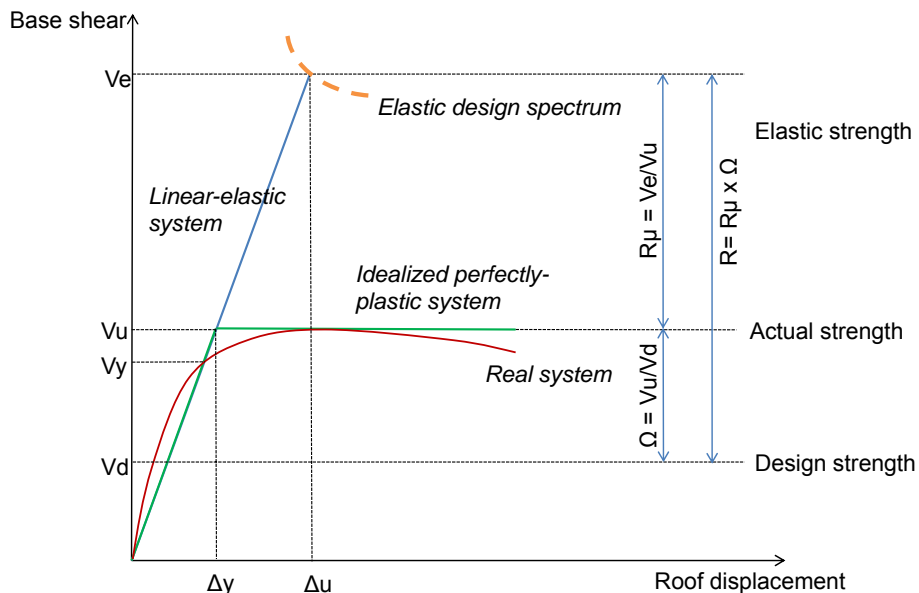
1 CVodes introduce the behaviour factor by which the stresses that could result from an  
 2 elastic calculation can reduce through reduction factor R:

$$3 \quad R = \frac{V_E}{V_{bd}} \quad (9)$$

4 where  $V_E$  is the base shear demand of the equivalent linear-elastic building model.  
 5 That is, it allows the base shear to lower, which would be necessary for the structure to  
 6 remain within the elastic range during an earthquake. This reduction factor can be  
 7 decomposed into the overstrength factor (calculated before), which accounts for the  
 8 code requisites that increase real capacity in relation to the actual demand (load safety  
 9 factor, material safety factor, real disposed reinforcement, other global and local  
 10 requisites, etc.), and ductility reduction factor  $R_\mu$ .

$$11 \quad R_\mu = \frac{V_E}{V_u} \quad (10)$$

12 This factor  $R_\mu$  relates the elastic force demand of an elastic response spectrum with the  
 13 building's actual capacity. This factor is discussed in this section.



14

15 Figure 21. Graphical definition of the seismic performance factors.

16 Reduction factor  $R_\mu$  was calculated by following a purely dynamic approach that is  
 17 consistent with the normal definitions of the reduction factor for 1dof systems (see

1 Chopra [46] for further details) by an analogy to mdof systems, and following a similar  
2 methodology to that of Gerami et al. [47]. For this purpose, the structure's real capacity  
3 was taken from the IDA curve results from the previous section and not from a  
4 conventional static pushover curve. The corresponding elastic force was taken  
5 following the same IDA process in a perfect elastic-linear system modeled in  
6 OpenSees by the Elastic Beam-Column element with the homogenised area and  
7 inertia, and the same mass distribution. Previous earthquakes were applied to this  
8 system and were scaled with increasing PGAs, analogously to the previous section.  
9 The obtained results are shown in Table 12 for building 3S1B and in Table 13 for  
10 building 6S2B. The Kozani ground motion was removed from the analysis as it failed to  
11 completely excite the building.

12 Table 12.  $R_{\mu}$  factors for building 3S1B.

	Steel & NSC		SMA & NSC		Steel & HPC		SMA & HPC		Steel & UHPC		SMA & UHPC	
	$V_E$ (kN)	$R_{\mu}$	$V_E$ (kN)	$R_{\mu}$	$V_E$ (kN)	$R_{\mu}$	$V_E$ (kN)	$R_{\mu}$	$V_E$ (kN)	$R_{\mu}$	$V_E$ (kN)	$R_{\mu}$
Chi-chi	928	2.26	592	1.72	1210	2.35	931	1.88	1171	1.77	1433	2.17
Tabas	764	1.81	862	2.32	982	1.78	1312	2.49	1516	2.15	1213	1.77
Kocaeli	937	2.66	1361	5.82	986	2.25	2046	4.91	1166	2.14	2334	4.57
Lomaprieta	1069	2.69	1550	5.33	1020	2.07	1595	3.54	844	1.31	666	1.15
L'Aquila	822	2.35	1056	2.99	943	1.95	874	1.93	876	1.40	1083	1.81
$\bar{R}_{\mu}$		2.35		3.63		2.08		2.95		1.76		2.29
C.V. (%)		15.2		50.5		11.0		43.5		22.6		57.7

13

14 Table 13.  $R_{\mu}$  factors for building 6S2B.

	Steel & NSC		SMA & NSC		Steel & HPC		SMA & HPC		Steel & UHPC		SMA & UHPC	
	$V_E$ (kN)	$R_{\mu}$	$V_E$ (kN)	$R_{\mu}$	$V_E$ (kN)	$R_{\mu}$	$V_E$ (kN)	$R_{\mu}$	$V_E$ (kN)	$R_{\mu}$	$V_E$ (kN)	$R_{\mu}$
Chi-chi	1676	3.64	914	3.26	2608	3.90	2406	3.78	2810	4.13	3203	4.26
Tabas	1028	1.76	1417	2.97	1316	1.81	1182	1.70	1411	1.91	1435	1.74
Kocaeli	1045	2.54	1021	3.55	1225	2.52	1310	2.82	1465	2.67	1410	2.31
Lomaprieta	734	1.35	1330	3.86	801	1.25	679	1.28	1414	2.19	894	1.55
L'Aquila	931	4.48	819	9.00	1278	5.11	1333	6.03	1352	4.15	1560	4.17
$\bar{R}_{\mu}$		2.75		4.53		2.92		3.12		3.01		2.81
C.V. (%)		47.2		55.7		54.0		60.8		35.4		46.9

15

16 As factor  $R_{\mu}$  was related intrinsically to the structure's ductility, the combinations with  
17 SMA presented higher  $R_{\mu}$  coefficient values in most cases than the corresponding ones  
18 reinforced with steel. It was observed that the SMA and NSC combination proved to be

1 the most efficient in producing the weak first floor mechanism. Hence in some cases it  
2 entails a significant reduction in the acting forces on the structure, and reached values  
3 of 9. With UHPC with SMA, it did not seem to work optimally as the damaged zone was  
4 outside the plastic hinge area by transferring the failure mechanism to the area with the  
5 worse concrete. Consequently, a longer critical length or better concrete was  
6 necessary in the continuation of the critical zone. More attention should be paid to this  
7 aspect in future applications. In general, the  $R_{\mu}$  coefficient values were around 20%  
8 higher for the higher-rise building with more bays.

9 Using overresistance factors  $\Omega$  as defined above, we obtained the global reduction  
10 factor R, analogously to behaviour factor q of Eurocode 8. The obtained values are  
11 found in Table 14. If an R value of UBC-97 was considered valid, with one of 5.5 for  
12 "Concrete intermediate moment-resisting frames", the design achieved through  
13 Eurocode 8 for building 6S2B would be non-conservative. However, q values of 3.6  
14 and 3.9 were taken for the buildings without and with bay redundancy, which are very  
15 conservative values in view of the results for both buildings made with steel and NSC.  
16 The R value is significantly affected by height, and was higher for the lower buildings  
17 without redundancy, which is the opposite to EC-8. In addition, the optimal combination  
18 to reduce efforts was not the same according to building type, where the best was SMA  
19 with HPC for low-rise buildings and SMA with UHPC for mid-rise buildings.

20 In conventional RC buildings, it can be generally stated that the stiffer the frame, the  
21 higher the R factor value [48]. According to the present results, this rule is still fulfilled  
22 for changes in geometry or concrete resistance. However, the introduction of SMA into  
23 the design also increases the R factor (39% for 3S1B, 8% for 6S2B) via two  
24 mechanisms: the martensite resistant reserve and the intrinsic ductility of SMA. UHPC  
25 also has a positive effect on the R factor value compared to the designs with NSC, and  
26 this effect being especially notable on 6S2B frames (27% on average).

1 Literature references about calculating the R factor in SMA-reinforced concrete frames  
2 are scarce. Alam et al. [14] obtained the overstrength and ductility factors through a  
3 static pushover analysis on concrete frames of 3, 6 and 8 storeys, reinforced with SMA  
4 bars in the plastic hinge zones. They obtained values for the overstrength factors of  
5 around 1.5, and about 2 for ductility, with minor variations depending on the design. In  
6 both cases, values were lower than those in the present study. The reason for this  
7 difference could lie in the number of bays, six in the referred study and only 1-2 in the  
8 present study, which limits plastic force redistribution and imposes the provision of  
9 more reinforcement in the design phase, which results in increased overstrength. Other  
10 factors could include different code provisions, building importance class, ductility class  
11 or different basic acceleration. This should draw our attention to the applicability of the  
12 results depending on the geographic location, geometry and importance of the building.  
13 Other authors have studied the R factor in steel buildings with SMA bracings. For  
14 example, Ghassemieh et al. [49] obtained these factors through a dynamic nonlinear  
15 analysis done with different configurations of bracings, who obtained substantially  
16 higher R values. Buildings were designed with a preliminary R value of 9, but  
17 performance values up to 16 were obtained in the non-linear dynamic simulations. As  
18 in this study, both the overstrength factor and R factor reduced with frame height.

19 Table 14. Reduction factor R obtained for buildings 3S1B and 6S2B.

	Steel & NSC		SMA & NSC		Steel & HPC		SMA & HPC		Steel & UHPC		SMA & UHPC	
	3S1B	6S2B	3S1B	6S2B	3S1B	6S2B	3S1B	6S2B	3S1B	6S2B	3S1B	6S2B
Chi-Chi	7.14	6.90	5.33	4.44	8.12	9.59	7.22	9.18	7.75	10.07	9.88	11.91
Tabas	5.88	4.23	7.77	6.88	6.59	4.84	10.17	4.51	10.04	5.06	8.37	5.33
Kocaeli	7.21	4.30	12.26	4.96	6.62	4.50	15.86	5.00	7.72	5.25	16.10	5.24
Lomapieta	8.22	3.02	13.96	6.46	6.85	2.94	12.36	2.59	5.59	5.07	4.59	3.32
L'Aquila	6.32	3.83	9.51	3.98	6.33	4.70	6.78	5.09	5.80	4.85	7.47	5.80
Average	6.95	4.46	9.77	5.34	6.90	5.31	10.48	5.27	7.38	6.06	9.28	6.32
C.V. (%)	13.0	32.7	35.3	23.7	10.2	47.2	36.0	45.6	24.5	37.1	46.0	51.7

20

## 1 **7. Conclusions.**

2 In this study, a comparative analysis of using new materials in the beam-column and  
3 column-foundation joints was made to improve the seismic behaviour of RC buildings.  
4 Two prototype typologies (3 and 6 heights), with plastic hinge zones built with six  
5 different material combinations, were designed for this purpose. A non-linear static  
6 pushover analysis and an incremental dynamic analysis (IDA) were carried out, in  
7 which simulations were made in the time domain of those buildings subjected to six  
8 different historical ground motions, and incrementally scaled according to the IDA  
9 technique. The following conclusions can be drawn from the analysis of the simulations:

- 10 • The concrete type in the joint, especially the lower stiffness of SMA, can  
11 significantly modify a building's dynamic characteristics, which must be taken  
12 into account when designing a building, as must the site's frequency spectrum.
- 13 • According to the definition of ductility in roof drift terms, using SMA can lower its  
14 value for conventional RC buildings. The use of UHPC allows loss of ductility to  
15 be recovered in the analysed low-rise buildings and to improve it in mid-rise  
16 ones.
- 17 • The largest drifts occur on the first floor in a most pronounced manner for SMA  
18 reinforcement due to the resistant mechanism generated at the ends of the first  
19 floor column. Using more rigid concrete is recommended, such as HPC or  
20 UHPC, because they help to control this phenomenon, and to avoid damage to  
21 non-structural elements.
- 22 • Designs with steel have lower drifts than those with SMA given their higher  
23 Young's modulus in relative terms; however, residual drifts are much lower in  
24 the designs that include SMA.
- 25 • The recentring property of SMA is more effective for 6-storey buildings as the  
26 damage that concentrates on the first floor is lower because due the whole  
27 structure's stiffness is lower.

- The numerical study of the behaviour factor allows the values proposed by Eurocode 8 to be anticipated as being conservative, and this factor can be increased (and to, therefore, reduce the elastic stresses of the design) for the designs modified with new materials, which allows designs for smaller forces which, therefore, result in more economical designs, but with better seismic performance in residual drifts terms.

Finally, the study showed that using SMA combined with UHPC and a transition zone in the first floor columns presented much better performance in residual drifts terms by maintaining, or even improving, the ductility and resistance capacity in relation to the conventional solution. In this work, preliminary research was conducted to explore the potential application of the new materials (SMA and UHPC) in the MRF design. The numerical model has the following limitations: slippage between the steel or SMA bars and the mechanical coupler is not considered, perfect adherence between bars and any concrete type, critical zone length was set equal to 1.5-fold the depth for all the elements, beams and columns.

For future research works, a case-by-case study according to the building's location is proposed, for which it is convenient to use a large set of site ground motions. It is also mandatory to optimise the dimensions of the members along the height and length of SMA reinforcement, and to include SMA bars in the bond-slip behaviour joint. In this study, the topology of the modified zones was not analysed to determine the most efficient positions to minimise damage and to optimise the seismic behaviour because this is left for further research to do. Finally, it would be interesting to propose an expression for the plastic hinge length of the critical zones manufactured with UHPC and SMA bars to facilitate the design and to extend the application of such solutions.

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