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Prgomet, I.; Gonçalves, B.; Domínguez-Perles, R.; Pascual-Seva, N.; Barros, A. (09-2). A Box-Behnken Design for Optimal Extraction of Phenolics from Almond By-products. *Food Analytical Methods*. 12(9):2009-2024. <https://doi.org/10.1007/s12161-019-01540-5>



The final publication is available at

<https://doi.org/10.1007/s12161-019-01540-5>

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Additional Information

1 **Box-Behnken design for optimal extraction of phenolics from almond by-**
2 **products**

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36 **Abstract**

37 Response Surface Methodology (RSM) was chosen to optimize the influence of solvent pH
38 and relative proportion, and time of extraction, regarding polyphenols and radical
39 scavenging capacity of almond (*Prunus dulcis* (Mill.) D.A. Webb) by-products (hulls,
40 shells, and skins) from an almond orchard located in the North of Portugal (Lousa, Torre de
41 Moncorvo). The RSM model was developed according to a Box-Behnken design and the
42 optimal conditions were set for pH 6.5, 250.0 min, and 90.0% of food quality ethanol, pH
43 1.5, 235.0 min, and 63.0% ethanol, and pH 1.5, 250.0 min, and 56.0% ethanol for hulls,
44 shells, and skins, respectively. The optimal conditions were obtained applying
45 spectrophotometric techniques because of their versatility, while the chromatographic
46 profile of extracts obtained when applied the optimal conditions indicated the presence of
47 3-caffeoylquinic acid, naringenin-7-*O*-glucoside, kaempferol-3-*O*-glucoside, isorhamnetin-
48 3-*O*-rutinoside, isorhamnetin-3-*O*-glucoside, and isorhamnetin aglycone in hulls and skins.
49 The model designed allowed the optimization of the phenolic extraction from almond by-
50 products, demonstrating the potential of these materials as sources of antioxidant
51 compounds with potential industrial, pharmaceutical and food applications.

52

53 **Keywords:** Almonds; By-products; Phenolics extraction; Optimization process;
54 Antioxidants; RSM

55

56 **1. Introduction**

57 Among diverse nuts consumed around the world, almonds (*Prunus dulcis* (Mill.)
58 D.A. Webb) constitute a relevant production due to its organoleptic properties and content
59 of healthy nutrients, being nowadays promoted as healthy foods because of their capacity to
60 lower the prevalence of diverse pathophysiological processes; in specific reducing the
61 plasma level of low density lipoproteins (LDL)-cholesterol and risk of colon cancer, and
62 displaying cardioprotective and antidiabetic effects (Davis and Iwahashi 2001; Ros 2010;
63 Vadivel et al. 2012).

64 Almond orchards are extensively implanted in geographic areas with a Mediterranean
65 climate and the industrial processing of almonds is addressed to the consumption as edible
66 kernel, while producing amounts of by-products that represent up to 80% of the
67 unprocessed production material, with high environmental impact. Such residues include
68 hulls (40–60% of total weight), shells (20–30% of total weight), and skins (4–8% of total
69 weight) (Prgomet et al. 2017). Between 0.8 and 1.7 Mt of shells are annually discarded,
70 while some of them are used as activated carbons and in particleboard production (Pirayesh
71 and Khazaeian 2012) or for energy production. On the other hand, hulls are mainly used for
72 the development of feeds (Takeoka et al. 2000) and skins as biofuel in processing plants
73 (Harrison and Were 2007).

74 Based on the composition, almond by-products are candidates to be sustainable
75 sources of phytochemicals, such as triterpenes, flavonoids, phenolic acids, and
76 phytoprostanes (Carrasco del Amor et al. 2015; Prgomet et al. 2017; Bottone et al. 2018).
77 The concentration of these bioactive compounds is strongly conditioned by agro-
78 environmental conditions (Bolling et al. 2010; Čolić et al. 2017; Prgomet et al. 2017;
79 Prgomet et al. 2019), especially regarding abiotic stress factors of growing interest under
80 the current climate change (Brito et al. 2019). Based on previous reports characterizing the
81 biological interest of phytochemical compounds, such as prebiotic, anti-inflammatory,

82 antimicrobial and neuroprotective properties (Mandalari et al., 2010a, 2010b, 2011), these
83 have been suggested as competent to develop interesting potential applications in the
84 development of functional products, for instance, as antimicrobial agents against human
85 pathogens or as phytopharmaceuticals (Takeoka et al. 2000; Wijeratne et al. 2006; Prgomet
86 et al. 2019). Besides, the valorization of plant materials as sources of bioactive
87 phytochemicals would contribute to enhance the waste reduction. Indeed, the descriptions
88 available in the literature on functional compounds present in these materials have focused
89 the attention of pharmaceutical, food, and biomedical industries, which has contributed to
90 boosting further research aimed at providing rational support to new applications. The
91 practical implementation of these advances would reduce the environmental impact of
92 almond production and processing (Smeriglio et al. 2016), and improve the economic
93 returns, with the implementation of green solvents and use of non-thermal technologies in
94 the recovery protocols.

95 In order to design successful valorization alternatives for almond by-products as
96 sources of bioactive phenolics, optimizing extraction constitutes a crucial stage, while to
97 date, the extraction of phenolic compounds present into these by-products has been reported
98 based on the use of diverse solvents of analytical grade (and therefore no usable by the
99 pharma and food industries), and regarding acidity, and extraction times, upon different
100 extraction technologies (Pinelo et al. 2004; Wijeratne et al. 2006; Rubilar et al. 2007;
101 Garrido et al. 2008; Mandalari et al. 2010c; Valdés et al. 2015). Therefore, further
102 optimization procedures are still required on all three solid almond by-products, given the
103 lack of information existing and diverse extraction technologies applicable to these
104 materials. In this regard, Response Surface Methodology (RSM) integrates a collection of
105 mathematical and statistical algorithms and allows to reduce time and resources needed for
106 the optimization of processes influenced by independent factors (Baş and Boyacı 2007;
107 Domínguez-Perles et al. 2014), providing also valuable information on interactions between

108 them. From the different models described in the literature, Box and Behnken developed a
109 class of nearly rotatable second-order designs based on the three-level incomplete factorial
110 design, providing a model featured by high efficiency (Box and Behnken 1960).

111 The aim of this study was to optimize the extraction of total phenolics, *ortho*-
112 diphenols, and flavonoids from solid almond hulls, shells, and skins, concerning solvent
113 (food quality ethanol) percentage, pH, and extraction time by using RSM and to profile the
114 extracts obtained when applying the optimal conditions by HPLC-DAD/UV-Vis.
115 Polyphenolic extracts were also assessed on radical scavenging power against 2,2'-azino-bis
116 (3-ethylbenzothiazoline-6-sulphonic acid) (ABTS) and 1,1-diphenyl-2-picrylhydrazyl
117 (DPPH) radicals, in order to define the optimal conditions for obtaining functional extracts
118 through a simple and non-toxic process.

119

120 **2. Materials and Methods**

121 *2.1. Chemicals*

122 The reagents Folin-Ciocalteu, Trolox (6-hydroxy-2,5,7,8-tetremethychroman-2-
123 carboxylic acid), ABTS, DPPH, gallic acid, catechin, sodium carbonate, sodium molybdate,
124 and potassium persulfate were purchased from Sigma-Aldrich (St. Louise, MO, USA). The
125 reagents of aluminum chloride, sodium nitrite, sodium hydroxide, and acetic acid were
126 purchased from Merck (Darmstadt, Germany). Food quality ethanol was from Panreac
127 (Castellar del Vallès, Barcelona, Spain). The phenolic standards (3-caffeoylquinic acid, (+)-
128 catechin, (+)-epicatechin, naringenin-7-*O*-glucoside, kaempferol-3-*O*-glucoside,
129 isorhamnetin-3-*O*-rutinoside, isorhamnetin-3-*O*-glucoside, and isorhamnetin) were
130 purchased from Extrasynthese company located at Genay, Lion Nord, France. All the
131 chemicals used were of analytical grade. Water was treated with SGS water purification
132 system.

133

134

135 2.2. *Orchard location and climatic conditions of the site*

136 Almond fruit and its by-products were obtained from a 6 years old almond orchard
137 located in the North of Portugal (Lousa, Torre de Moncorvo, Portugal (41°11'25" N and
138 7°10'27" W), in 2014. Climatic data observed in the months when the study was developed
139 were within the reported long-term average (448.9 mm), with the average daily
140 temperatures ranging from 6.0 °C (December) to 23.5 °C (July) (Fig. 1). In the summer
141 months, rainfall was higher than average in July (23 mm), while August was less rainy (2.5
142 mm) than the average. Data on the average annual rainfall and mean temperatures were
143 obtained from the E-OBS gridded dataset (Haylock et al. 2008).

144

145 2.3. *Plant material*

146 Complete production of healthy almonds per tree (*Prunus dulcis* (Mill.) D.A. Webb;
147 late blooming variety Ferraduel) were collected from 10 different trees of comparable age
148 and vigor, located at distinct points in the same growing area. Almond trees were all grafted
149 on GF-677 rootstock and spaced 6 x 4 m. Almond hulls were separated from the rest of the
150 fruit by hand and freeze-dried. Kernels, still within shells, were air dried at room
151 temperature (23 °C) and outer shells were separated from the kernel using a nutcracker and
152 kernels were blanched in deionized boiling water for 3 min, in accordance to the previous
153 descriptions available in the literature (Milbury et al. 2006), based on the processes
154 currently used in the almond processing industry. Skins were removed by hand and oven-
155 dried at 60 °C for 72 hours. All samples were ground to powder and stored protected from
156 humidity and light until phenolic extractions.

157

158 2.4. *Extraction procedure*

159 Dried powder (50 mg) was extracted in 2 mL of different combinations of solvent
160 percentage under a panel of pH and extracting time conditions. All extraction solvents used
161 contained citric acid (1 g L^{-1}) according to Karvela et al. (2011) and were further adjusted
162 to the desired pH according to the experimental design by adding NaOH/HCl. Extractions
163 were performed using an orbital shaker, at room temperature, during different time periods.
164 Polyphenolic extracts were centrifuged at 5000 rpm, for 10 min at $4 \text{ }^{\circ}\text{C}$ (Sigma 2-16K,
165 Germany), and the supernatants collected for analysis.

166

167 2.5. *Experimental design*

168 The effect of extraction parameters (pH of the extraction solvent (X_1), extraction time
169 (min, X_2), and food quality ethanol concentration (% , X_3)) on the efficiency of the
170 extraction of almond by-products phenolics was assessed by applying a Box-Behnken
171 design for which each variable was coded at the levels, -1, 0, and 1 (Table 1).

172 For this study, fifteen experiments were developed under specific conditions for each
173 plant material (Tables 2-4). Extracts were assessed on the content of total phenolics, *ortho*-
174 diphenols, and flavonoids, as well as on their radical scavenging power (DPPH and ABTS
175 tests). The model design included three replicates at the central point, randomly spread
176 within the experimental design (experiments 4, 13, and 15; Tables 2-4), in order to
177 maximize the control on unexplained variability due to the inessential factors. All the
178 experiments were performed in triplicate ($n=3$).

179

180 2.6. *Total phenolics, flavonoids, and ortho-diphenols*

181 The total phenolic content was determined by spectrophotometric analyses using the
182 Folin-Ciocalteu reagent, following the methodology previously described with minor
183 modifications, and adapted at the 96-microplates scale (Domínguez-Perles et al. 2014;
184 Machado et al. 2017). Briefly, after 30 min at $40 \text{ }^{\circ}\text{C}$, samples absorbance was measured at

185 750 nm using a spectrophotometric microplate reader (Thermo Scientific Multiskan GO
186 Microplate Spectrophotometer) and the total phenolic content was achieved using a gallic
187 acid calibration curve (concentration range of 5-200 mg L⁻¹). Final contents of total
188 phenolics were expressed as milligrams of gallic acid equivalents per gram of dry weight
189 (mg GAE g⁻¹ dw).

190 The *ortho*-diphenol content was determined also by spectrophotometric analyses,
191 following the methodology previously described (Domínguez-Perles et al. 2014), adapted at
192 the 96-microplates scale (Machado et al. 2017). Absorbance was measured at 375 nm using
193 a spectrophotometric microplate reader. Gallic acid (in the concentration range
194 5-200 mg L⁻¹) was used as the standard compound for the quantification of the *ortho*-
195 diphenols content. Final concentrations were expressed as mg GAE g⁻¹ dw.

196 The flavonoid content of almond residues was determined using the methodology
197 described in the literature and adapted at the 96-microplates scale (Domínguez-Perles et al.
198 2014; Machado et al. 2017). In detail, to the 24 µL of sample, 28 µL of NaNO₂ was added.
199 Five (5) min later 28 µL of AlCl₃ was placed and after additional 6 min, 120 µL of NaOH
200 was added to conclude the reaction. Absorbance was measured at 510 nm using a
201 spectrophotometric microplate reader and flavonoids concentration were calculated
202 resorting to freshly prepared catechin standard curves (in the concentration range of 5-200
203 mg L⁻¹). The results were expressed as mg of catechin equivalents per gram of dry weight
204 (mg CE g⁻¹ dw).

205

206 2.7. *Radical scavenging capacity*

207 The free radical scavenging activity was determined by DPPH and ABTS methods
208 adapted to a microscale, according to the method previously described (Barros et al. 2014).
209 Absorbance was measured at 520 nm after 15 min of reaction for DPPH[•] and at 734 nm
210 after 30 min for ABTS^{•+}, using 96-well microplates and Multiskan FC microplate reader.

211 Results on radical scavenging capacity were expressed as millimoles of Trolox equivalent
212 per gram of dry weight (mmoles TE g⁻¹ dw).

213

214 2.8. *HPLC-DAD-Vis analysis*

215 The phenolic profile of the separate solid residues of the almond industry was
216 achieved by an HPLC-DAD/UV-*Vis* system, equipped with a C18 column (250 × 4.6 mm,
217 5 μm) (ACE®-HPLC columns, Ltd., Aberdeen, Scotland), by applying a method developed
218 and validated by Aires et al. (2016). Briefly, individual phenolics were eluted using
219 ultrapure water/trifluoroacetic acid (99.9:0.1, v/v) (solvent A) and
220 acetonitrile/trifluoroacetic acid (99.9:0.1, v/v) (solvent B), upon the linear gradient scheme
221 (t in min; %B): (0; 0%B), (5; 0%B), (20; 20%B), (35; 50%B), (40; 100%B), (45; 0%B),
222 and (65, 0%B). The flow rate and the injection volume were 1.0 mL min⁻¹ and 10 μL,
223 respectively, and the chromatograms were recorded at 360 nm. The individual phenolic
224 acids were identified resorting to the peak retention time, UV spectra, and UV max
225 absorbance bands, and through comparison with external commercial authentic standards
226 (Extrasynthese, CEDEX, France, and Sigma-Aldrich, Tauferkichen, Germany) that were
227 freshly prepared and run in HPLC-DAD/UV-*Vis* at the same time with samples.

228

229 2.9. *Statistical analysis*

230 Means and standard deviations (n=3) and the coefficients corresponding to the
231 models' equations were calculated resorting to Statgraphics Centurion XVI (StatPoint
232 Technologies, Inc., 2010, USA). This statistical package was also used for the experimental
233 design and to determine the regression coefficients and the statistical significance of each
234 factor within the models, which was set up at $p < 0.05$.

235

236 **3. Results and discussion**

237 In previous research, the optimization of the extraction conditions for phenolics of
238 plant food by-products was developed by applying different extraction conditions and
239 technologies (Pinelo et al. 2004; Valdés et al. 2015). However, the separate optimization
240 procedures described have not been developed on the three diverse solid by-products using
241 the same experimental approach, which causes a gap of knowledge that is essential to
242 explore in order to design rational valorization procedures on the solid almond by-products.
243 So the settings of the optimization processes described in the present work were established
244 using ranges of values according to that information available in the literature, for the first
245 time, on the three solid almond by-products. Firstly, when undertaking a screening
246 experiment, to identify the most relevant variables to explain the effectiveness of the
247 phenolics extraction, pH, extraction time, and percentage of ethanol were identified as the
248 most influential factors, being found of minor relevance the liquid-solid ratio and the
249 temperature of extraction. Once selected the variables, to check if the levels currently
250 accepted are consistent with optimum performances, the set of adjustments towards optimal
251 extractions needed to be determined. This situation made mandatory to develop sequential
252 rounds to fine-tune the experimental ranges through the evaluation of experimental
253 responses, so called the method of steepest ascent. Hence, in the first round, the following
254 symmetric ranges of values were considered: X_1 (pH): 1.5–6.5, X_2 (extraction time): 5–
255 90 min, and X_3 (percentage of ethanol): 50–90%. Since the development of the first round
256 provided optimal conditions exceeding the range of values considered for extraction time
257 and ethanol concentration, it was needed to enlarge them, until reaching the optimal limits
258 (Table 1) that fit appropriately the values providing the highest yield of phenolic
259 compounds and radical scavenging activity.

260

261 *3.1. Yield of the assayed extraction conditions*

262 The comparison of the values obtained on the content of total phenolics, flavonoids,
263 and *ortho*-diphenols upon the panel of extraction conditions tested, as well as their DPPH*
264 and ABTS*⁺ scavenging capacities (Tables 2-4), revealed the close agreement between
265 experimental and theoretical data.

266 When analyzing the results obtained for hulls, the highest level of total phenolics and
267 flavonoids corresponded to extractions developed at pH 6.5, 150.0 min, using 90.0% of
268 ethanol concentration (Table 2). On the other hand, for *ortho*-diphenols, the best result was
269 obtained on extractions developed at pH 4.0, during 50 min, using 90.0% concentration of
270 ethanol. In respect to radical scavenging, the highest efficiency was observed on extracts
271 obtained at pH 4.0, during 250.0 min, and using 90.0% ethanol for ABTS, and on extracts
272 obtained at pH 1.5, during 150.0 min, with 90.0 ethanol percentage for DPPH (Table 2).

273 The assessment of the influence of the diverse factors on the efficiency of the
274 phenolics extraction in shells showed that the highest values for total phenolics and *ortho*-
275 diphenols were obtained at pH 1.5, 250.0 min, and 60.0% ethanol concentration. These
276 conditions also provided the highest ABTS*⁺ and DPPH* scavenging power. For flavonoids,
277 the most efficient extraction was achieved at pH 4.0, 150.0 min, and 60.0% food quality
278 ethanol (Table 3).

279 Regarding skins, the analysis of the influence of the different factors evaluated on the
280 concentration of total phenolics, flavonoids, and *ortho*-diphenols, as well as on DPPH* and
281 ABTS*⁺ scavenging capacity evidenced that the best results on total phenolics, *ortho*-
282 diphenols, and ABTS-based antioxidant activity corresponded to extractions developed at
283 pH 1.5, during 250.0 min, using 60.0% ethanol (Table 4). Moreover, the highest efficiency
284 concerning flavonoids extraction was achieved at pH 6.5, 250.0 min, using 60.0% ethanol
285 (Table 4). Finally, for DPPH* scavenging activity the best value was obtained at pH 1.5,
286 150.0 min, using 30.0% ethanol (Table 4).

287

288 3.2. Model fitting

289 Data retrieved were subjected to multiple regression analysis to get a detailed
290 description of the relative influence and significance of each factor. Moreover, the
291 significance of the regression coefficients relatively to linear, quadratic, and interception
292 interactions were evaluated by analysis of variance (ANOVA). The evaluation of residues
293 with distinct physical features (hulls, shells, and skins) provided coefficients that noticed
294 well-fitting models, while informing on the factors that need to be considered for each
295 matrix.

296 The coefficients of determination (R^2) of the model developed regarding hulls, shells,
297 and skins for total phenolics were 0.762, 0.955, and 0.976, respectively, regarding
298 flavonoids were 0.980, 0.966, and 0.945, respectively, and finally, for *ortho*-diphenols
299 ranged from 0.837 to 0.976. These results inform on an adequate fitting of the model
300 already indicated by the close relationship between observed and theoretical values (Tables
301 2-5).

302 Almond by-products differ one to another on physical and compositional features
303 and, based on these divergences, the polyphenolic content and the factors influencing the
304 efficiency of the extraction procedure are also expected to differ. Almond hulls extracts had
305 a total phenolic content five and sixteen folds higher compared to almond skins and shells
306 extracts, respectively (Tables 2-4). Furthermore, in all three extracts, the concentration of
307 ethanol was the most important variable affecting the efficiency of the extractions, as well
308 as the antiradical power of the extracts. For almond hulls, the polyphenolic yield increased
309 in parallel to the augment of the ethanol percentage (Table 2). On the other hand, the
310 augment of ethanol promoted a comparable improvement of the extraction efficiency
311 between 30 and 60% in skins and shells, while percentages higher than 60% food quality
312 ethanol caused a decrease of the polyphenolic extraction. These results are in agreement
313 with previous works demonstrating aqueous acetone, methanol, and ethanol as the best

314 solvents to extract phenolic compounds from almond by-products relatively to their pure
315 state (Sarwar et al. 2012; Meshkini 2016).

316 Apart from the optimization of the polyphenols extraction, the success of the
317 procedures was monitored by assessing the extracts obtained on the radical scavenging
318 activity that allowed to identify the most relevant factors for ensuring a high ABTS and
319 DPPH-based antioxidant activity and to set existing correlations with phenolic composition,
320 as previously was reported the existence of a direct relationship between antioxidant tests
321 with radicals and the total phenolic content values (Koch et al. 2015). In this concern,
322 significant differences were observed between the separate almond by-products under
323 evaluation and are shown in the Table 5. The R^2 for ABTS and DPPH antiradical activity
324 ranged from 0.924 and 0.995 for both techniques supporting the consistency of the
325 optimization process.

326 The high F-value obtained for the model (of up to 60.41) and low Mean Absolute
327 Errors ($MAE \leq 0.32$), with exception of total phenolics for hulls for which MAE was 3.34,
328 further strengthened the reliability of the models developed.

329 Thus, the highest phenolic contents and antioxidant capacities of polyphenolic
330 extracts of hulls were obtained at the highest food quality ethanol concentrations. However,
331 in shells and skins the most appropriate ethanol concentration ranged between 54.0 and
332 72.0% (v/v). These findings agree with the information available in the literature on the
333 capacity of aqueous ethanol to extract greater amounts of phenolic compounds regarding
334 almond shells (Sarwar et al. 2012), as well as in other nuts by-products (Odabaş and Koca
335 2016), relatively to absolute ethanol. This fact could be due to increased solubility of
336 phenolic compounds because of the occurrence of glycosylated (more polar) derivatives. In
337 addition, different structure and composition of the plant matrices under study and the
338 chemical features of solvents conditioned the distinct behaviors for each plant material-
339 solvent system (Pinelo et al. 2005).

340 Given the particular features of the separate almond residues, in some cases it could
341 be required longer extraction times that lead to a longer contact between the plant material
342 and extracting solvent and thus, increase the diffusion of phenolic compounds. On the other
343 side, excessively prolonged extractions could cause a deleterious impact on the final
344 concentration of phenolics due to a parallel increase of oxidation reactions, which entail a
345 decrease in the final concentration (Naczka and Shahidi 2006). In this regard, Chew et al.
346 (2011) reported that extractions longer than 240.0 min are not appropriate for phenolic
347 compounds from *Orthosiphon stamineus*. In addition, Pompeu et al. (2009) fixed the
348 extraction time for phenolics present in *Euterpe oleracea* fruits around 240.0 min., since
349 longer times degrade polyphenols. Thus, even though some optimal extraction times in the
350 herein presented study was on the limit, i.e. 250.0 min, no longer extractions were
351 considered according to the phenolics degradation occurring when using higher times.
352 Additionally, the extraction time is crucial for reducing energy requirements and costs. So,
353 the use of extraction time longer than 250 min would be no economically advantageous and
354 could constitute a serious drawback for the practical implementation of the optimized
355 conditions.

356 In addition to the features of the solvent and the length of the extraction, the solvent
357 pH is mostly known to increase phenol stability. In this sense, most of the studies carried
358 out to date have reported pH lower than 5 to be responsible for increasing phenolic yield
359 and preserving antioxidant activity (Ruenroengklin et al. 2008; Amendola et al. 2010). In
360 fact, the results retrieved from the present work are in agreement with such situation, as
361 well as with higher radical activities that were featured by optimal pH at 1.5 for DPPH in
362 all by-products, and at 1.5, 3.3, and 4.8 for ABTS concerning skins, hulls, and shells,
363 respectively. Interestingly, the results revealed that the highest yield of total phenolics and
364 *ortho*-diphenols in shells and skins were obtained under acid pH (pH 1.5), while the most
365 appropriate extraction of flavonoids was retrieved at pH ranging from 4.9 to 6.5. This is in

366 concordance with Malovaná et al. (2001) that reported a decrease of the content of non-
367 flavonoids at pH between 2.0 and 7.0, while for flavonoids was recorded an opposite
368 behavior (Chethan and Malleshi 2007). On the other hand, in the present study almond hull
369 extracts displaying the highest contents of total phenolics, flavonoids, and *ortho*-diphenols
370 were obtained using pH ranging from 5.7 to 6.5. This a priori controversial results that
371 point out different optimal pH for the same phenolic types could be due to the specific
372 effect of pH depending on the features of the raw material from which phenolic compounds
373 are extracted. In addition, Librán et al. (2013) reported that the influence of the pH of the
374 solvent on the phenolic yield cannot be considered independently, but in combination with
375 ethanol concentration, since concerning extraction of grape marc phenolics, basic pH led to
376 better yields in solvent with lower ethanol percentage, while acidic pH was the best choice
377 when using high percentages of ethanol. Similarly, Ruenroengklin et al. (2008) reported the
378 influence of the combined effects of temperature and pH to the phenolic yield in lichi
379 extraction.

380 Hence, from the results obtained from the combination of factor levels which
381 maximizes each response over the indicated region, the model has provided predicted
382 values that could be obtained under specific extraction conditions (Table 6).

383

384 *3.3. Verification of the predictive models developed*

385 The second order polynomial equations provided by the RSM model allowed to
386 obtain theoretical contents of studied parameters. Optimized parameters were obtained by
387 computation for hulls, shells, and skins with the aim of maximizing each factor for the
388 separate variable (Table 6).

389 Summarizing, the best combinations of parameters regarding each residue were pH
390 6.5, 250.0 min, and 90.0% ethanol for hulls, pH 1.5, 235.0 min, and 63.0% ethanol for
391 shells, and pH 1.5, 250.0 min, and 56.0% ethanol for skins. The optimal condition for each

392 residue was obtained according to the optimal settings provided by the model for each
393 variable that were monitored upon a final set of assessments allowing to make decisions
394 based on the limiting variable (those presenting the lower response) and taking into
395 consideration that the single final optimal conditions for each residue was within the 95%
396 upper/lower limits for all of them. The application of these settings to the polynomial
397 equations obtained by the model provided the theoretical results that are shown in the
398 Table 7.

399 In order to estimate the consistency of the model and thus, the suitability of
400 theoretical values retrieved, it was developed a final panel of extractions applying the
401 optimized settings (Table 7). As expected, the values obtained were within the 95.0% lower
402 and upper limits of the predicted values, except for activity assays and *ortho*-diphenolic
403 content for almond shells. Even though different authors already reported diverse optimal
404 conditions for phenolic extraction from solid almond by-products, the only information
405 available on the relative importance of the parameters influencing the efficiency of phenolic
406 extraction by applying optimization models on almond by-products so far is on almond skin
407 (Valdés et al. 2015), however, with different studied factors compared to the present study.
408 Therefore, results in the present study confirm that the response surface models developed
409 allowed to optimize successfully the most critical parameters involved in the efficiency of
410 phenolic extractions of almond skins and hulls, using food quality ethanol.

411 The lack of appropriate optimization of *ortho*-diphenols and radical scavenging
412 capacity in shells extracts could be a consequence of the reduced values obtained for such
413 variables that turns the variation of the absolute values retrieved from the experimental
414 determinations in high percentage changes. However, the aim of this work was the
415 optimization of extraction conditions of matrices that are potential source of antioxidants
416 and, in this perspective, almond shells, that exhibited low phenolics concentration, would
417 be a candidate to be addressed to other valorization processes, mainly focused in the energy

418 production, obtaining wood-based composites, production of activated carbons, and in
419 agriculture as soil ameliorants, potential substrate for production of other plant species and
420 mulch (Prgomet et al. 2017).

421 Finally, almond by-products are potential source of bioactive compounds, which
422 extracts can be used in industries, such as cosmetic and pharmaceutical ones.

423

424 *3.4. Phenolic profile of extracts*

425 The HPLC analysis of the almond by-products revealed a limited number of phenolic
426 compounds (Fig. 2, Table 8) that were monitored at 360 nm, at which all phenolic classes
427 in solid almond residues show operative absorbance. This approach leads to obtain
428 chromatograms that represent the overall polyphenolic profile of the extracts, which were
429 identified by comparing their UV-Vis spectra with the information available in the literature
430 and the retention time of authentic standards (Fig. 2).

431 Concerning almond hulls, it was identified the presence of the phenolic acid 3-
432 caffeoylquinic acid and the flavanone naringenin-7-*O*-glucoside at the retention times 20.03
433 and 20.26 min, respectively. On the other hand, when assessing almond skins on the profile
434 of phenolic compounds it was observed that this is the solid by-product featured by the
435 widest diversity. Indeed, almond skins exhibited the presence of the flavonol kaempferol-3-
436 *O*-glucoside (23.67 min) and the flavanones isorhamnetin-3-*O*-rutinoside, isorhamnetin-3-
437 *O*-glucoside, and isorhamnetin aglycone (retention times 23.83, 24.69, and 25.24 min,
438 respectively) (Fig. 2, Table 8). Interestingly, although some peaks were observed in the
439 chromatograms corresponding to almond shells, their relative abundance was very low
440 compared to hulls and skins, and no clear identification of the compounds was obtained,
441 which is in agreement with the abundance observed for total phenolics, flavonoids and
442 *ortho*-diphenols. Even though compounds at retention time 18-25 probably correspond to

443 proanthocyanidins, due to the lack of standard compounds available for their identification,
444 it was not possible to identify nor quantify them properly.

445 The presence of phenolic acids, flavonols and flavanones, and the phenolic extracts of
446 almond by-products obtained by using solvents compatible with the food and pharma
447 industries, is in agreement to that recently published by Valdés et al. (2015) applying
448 microwave assisted extraction. This coincidence evidences a similar efficiency of both
449 methods, and thus the interest of the optimization reported in the present work to be applied
450 by the industry.

451 Among the information available in the literature in the respect of polyphenolic
452 profile of almond by-products, it should be stressed that solid almond by-products contain
453 cinnamic acid derivatives, such as caftaric and chlorogenic acids, flavonols, namely
454 kaempferol and quercetin glycosides and aglycones, flavan-3-ols represented by catechin
455 and epicatechin, and flavanone derivatives including naringenin and isorhamnetin
456 derivatives (Valdés et al. 2015; Pasqualone et al. 2018; Prgomet et al. 2019).

457 Compounds observed in the present study in almond skins, kaempferol-3-*O*-
458 glucoside, isorhamnetin-3-*O*-rutinoside, isorhamnetin-3-*O*-glucoside, and isorhamnetin
459 aglycone, were also identified in a study of influence of a season and irrigation treatment on
460 almond by-products polyphenols (Prgomet et al. 2019). Quercetin, an ubiquitous compound
461 found in almond skins extracts (Smeriglio et al. 2016), was observed in the present study,
462 however, just in traces. On the other side, although flavan-3-ols (catechin and epicatechin)
463 were found in recent studies on polyphenolic composition of almond skins (Pasqualone et
464 al. 2018; Prgomet et al. 2019), the characterization of the extracts obtained using conditions
465 compatible with the food and pharma industry did not allow to found these compounds.
466 However, use of different solvents might be a reason of this diversity in the yield of
467 phenolic extractions.

468 Despite the limited identification of peaks relative to almond hulls, herein result is in
469 agreement with previous reports available in the literature, which noticed this solid residue
470 of the almond production (hulls) as a source of mainly phenolic acids, and in a lesser extent
471 flavonoids (Rubilar et al. 2007), being chlorogenic acid the most relevant phenolic acid in
472 this plant material (Takeoka and Dao 2003; Prgomet et al. 2019). Furthermore, in a recent
473 study, naringenin-7-*O*-glucoside, identified as well herein, was observed as the
474 predominant flavonoid in almond hulls (Prgomet et al. 2019).

475

476 **4. Conclusions**

477 In the present study, a RSM dedicated design was set up to optimize the extraction
478 process of phenolic compounds of almond by-products (hulls, shells, and skins),
479 investigating solvent pH, concentration and extraction time. This methodology was
480 successfully employed for the optimization of total phenolics, flavonoids, and *ortho*-
481 diphenols, as well as for achieving the highest antioxidant activities. Factor settled at
482 optimum for the analyzed responses were at pH 1.5 for skins and shells, and 6.5 for hulls;
483 time of 250.0 min for hulls and skins, and 235.0 for shells, and 90.0%, 63.0% and 56.0% of
484 ethanol for hulls, shells and skins, respectively. The relevance of the optimized extraction
485 conditions stated upon the present work is the feasibility of using non-toxic, food grade
486 ethanol to extract phenolic compounds from these underexplored and underexploited plant
487 materials of interest as a source of bioactive phytochemicals with diverse the purpose of
488 developing new functional foods and cosmetics. In this regard, actually, the application of
489 the reported conditions by the agro-food companies would allow an improvement of the
490 valorization alternatives for these residues and their extracts and thus, to take advantage
491 from the biological and biochemical attribution of such compounds; for instance, as natural
492 food preservation additives, dietary and nutraceutical supplements, and active ingredients
493 for skin care products. In this sense, in the present work, extraction conditions susceptible

494 to be practically implemented by the industry by using green solvents solvents and use of
495 non-thermal technologies upon the recovery procedures were reported, all of the above
496 being of great interest to reduce the environmental impact of the agri-food sector, while
497 enhance its competitiveness and sustainability, allowing to advance decisively forward in
498 the strategy of a zero-waste circular economy. Obviously, since the characterization was
499 done at laboratory scale, further research is needed to scale up the settings reported in the
500 present work to an industrial dimension to finally establish valorization procedures for
501 these materials.

502

503 **Compliance with Ethical Standards**

504 *Funding*

505 IP acknowledges the financial support provided by the FCT-Portuguese Foundation for
506 Science and Technology (SFRH/BD/52539/2014), under the Doctoral Programme
507 “Agricultural Production Chains – from fork to farm” (PD/00122/2012). RDP was
508 supported by a Postdoctoral Contract (Juan de la Cierva de Incorporación ICJI-2015-
509 25373) from the Ministry of Economy, Industry and Competitiveness of Spain. This work
510 is supported by National Funds by FCT - Portuguese Foundation for Science and
511 Technology, under the project UID/AGR/04033/2019. Authors acknowledge help with
512 climatic data to João Santos and Chenyao Yang, and to Alfredo Aires for help with
513 HPLC data.

514 *Disclosure of potential conflicts of Interest*

515 Author I. P., Author B. G., Author R. D.-P., Author N. P.-S. and Author A. I.R.N.A. B.
516 declare that they have no conflict of interest.

517 *Ethical approval*

518 This article does not contain any studies with human participants or animals performed by
519 any of the authors.

520 *Informed consent*

521 Not applicable

522

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Table 1. Symbols and coded factor levels for the considered independent variables.

Independent variables	Code	Levels		
		-1	0	1
pH	X_1	1.5	4.0	6.5
Time (min)	X_2	50	150	250
Ethanol concentration (%)	X_3	30	60	90

Table 2. Effect of processing variables on the phytochemical composition and radical scavenging capacity of hydro-ethanolic extracts of almonds hulls by RSM.

Assay	Coded level			Total phenolics (mg GAE g ⁻¹ dw)		<i>Ortho</i> -diphenols (mg GAE g ⁻¹ dw)		Flavonoids (mg CE g ⁻¹ dw)		ABTS ⁺ scavenging capacity (mmol TE g ⁻¹ dw)		DPPH [•] scavenging capacity (mmol TE g ⁻¹ dw)	
	pH	Time (min)	Ethanol conc. (%)	Obs.	Pred.	Obs.	Pred.	Obs.	Pred.	Obs.	Pred.	Obs.	Pred.
1	0 (4)	-1 (50)	1 (90)	91.76	100.62	131.34	131.03	120.11	116.46	1.43	1.40	1.11	1.13
2	-1 (1.5)	0 (150)	-1 (30)	104.97	112.79	108.08	109.83	36.99	39.64	1.17	1.15	1.32	1.33
3	1 (6.5)	0 (150)	-1 (30)	107.32	113.22	111.06	108.57	88.58	83.72	1.00	0.97	1.00	1.00
4 ^z	0 (4)	0 (150)	0 (60)	118.62	116.51	112.44	113.10	101.78	100.64	1.33	1.33	0.85	0.87
5	1 (6.5)	1 (250)	0 (60)	133.28	136.25	115.30	117.48	109.52	110.73	1.22	1.21	1.08	1.09
6	-1 (1.5)	-1 (50)	0 (60)	123.01	120.04	123.96	121.78	58.87	57.66	1.26	1.27	1.42	1.41
7	-1 (1.5)	1 (250)	0 (60)	125.45	126.50	123.10	121.04	57.86	51.57	1.42	1.41	1.32	1.32
8	0 (4)	1 (250)	1 (90)	137.47	142.32	123.75	123.32	112.85	114.29	1.54	1.52	0.99	1.00
9	-1 (1.5)	0 (150)	1 (90)	134.50	128.61	120.37	122.86	52.69	57.55	1.28	1.30	1.46	1.45
10	1 (6.5)	-1 (50)	0 (60)	114.22	113.18	122.12	124.18	101.45	107.74	1.38	1.39	1.12	1.11
11	1 (6.5)	0 (150)	1 (90)	138.90	131.08	124.70	122.95	125.35	122.71	1.38	1.40	1.25	1.24
12	0 (4)	-1 (50)	-1 (30)	115.55	110.71	112.90	113.33	88.82	87.39	1.24	1.25	0.88	0.88
13 ^z	0 (4)	0 (150)	0 (60)	104.75	116.51	107.34	113.10	96.67	100.64	1.24	1.33	0.85	0.87
14	0 (4)	1 (250)	-1 (30)	107.42	98.55	113.30	113.61	82.81	86.46	1.07	1.10	0.92	0.90
15 ^z	0 (4)	0 (150)	0 (60)	126.15	116.51	119.53	113.10	103.46	100.64	1.42	1.33	0.91	0.87

^z Central point. It was highlighted in bold the best condition for each of the variables monitored.

Table 3. Effect of processing variables on the phytochemical composition and radical scavenging capacity of hydro-ethanolic extracts of almonds shells by RSM.

Assay	Coded level			Total phenolics (mg GAE g ⁻¹ dw)		<i>Ortho</i> -diphenols (mg GAE g ⁻¹ dw)		Flavonoids (mg CE g ⁻¹ dw)		ABTS ⁺ scavenging capacity (mmol TE g ⁻¹ dw)		DPPH [•] scavenging capacity (mmol TE g ⁻¹ dw)	
	pH	Time (min)	Ethanol conc. (%)	Obs.	Pred.	Obs.	Pred.	Obs.	Pred.	Obs.	Pred.	Obs.	Pred.
1	0 (4)	-1 (50)	1 (90)	3.55	3.56	3.67	3.59	2.77	2.82	0.04	0.04	0.03	0.03
2	-1 (1.5)	0 (150)	-1 (30)	5.76	5.90	5.30	5.43	1.74	1.89	0.05	0.06	0.07	0.06
3	1 (6.5)	0 (150)	-1 (30)	6.53	6.90	6.75	7.44	3.77	4.06	0.06	0.07	0.08	0.08
4 ^z	0 (4)	0 (150)	0 (60)	8.23	8.27	7.49	7.51	5.72	5.80	0.08	0.08	0.08	0.08
5	1 (6.5)	1 (250)	0 (60)	7.91	7.55	7.82	7.05	5.55	5.30	0.09	0.08	0.10	0.09
6	-1 (1.5)	-1 (50)	0 (60)	6.48	6.84	5.98	6.75	2.93	3.19	0.07	0.07	0.07	0.08
7	-1 (1.5)	1 (250)	0 (60)	8.62	8.79	9.95	9.72	4.59	4.48	0.09	0.08	0.10	0.10
8	0 (4)	1 (250)	1 (90)	4.64	5.14	4.81	5.72	3.30	3.70	0.05	0.05	0.05	0.05
9	-1 (1.5)	0 (150)	1 (90)	6.51	6.14	8.92	8.22	3.20	2.91	0.06	0.06	0.07	0.07
10	1 (6.5)	-1 (50)	0 (60)	6.61	6.74	6.28	6.50	4.77	4.87	0.06	0.06	0.09	0.09
11	1 (6.5)	0 (150)	1 (90)	3.95	3.81	3.43	3.29	3.39	3.24	0.05	0.04	0.04	0.04
12	0 (4)	-1 (50)	-1 (30)	5.69	5.19	5.55	4.64	3.14	2.74	0.06	0.05	0.06	0.05
13 ^z	0 (4)	0 (150)	0 (60)	8.23	8.27	7.61	7.51	6.05	5.80	0.09	0.08	0.08	0.08
14	0 (4)	1 (250)	-1 (30)	6.39	6.37	5.96	6.04	3.62	3.58	0.07	0.07	0.06	0.06
15 ^z	0 (4)	0 (150)	0 (60)	7.75	8.27	7.45	7.51	5.64	5.80	0.08	0.08	0.08	0.08

^z Central point. It was highlighted in bold the best condition for each of the variables monitored.

Table 4. Effect of processing variables on the phytochemical composition and radical scavenging capacity of hydro-ethanolic extracts of almonds skins by RSM.

Assay	Coded level			Total phenolics (mg GAE g ⁻¹ dw)		<i>Ortho</i> -diphenols (mg GAE g ⁻¹ dw)		Flavonoids (mg CE g ⁻¹ dw)		ABTS ⁺ scavenging capacity (mmol TE g ⁻¹ dw)		DPPH [•] scavenging capacity (mmol TE g ⁻¹ dw)	
	pH	Time (min)	Ethanol conc. (%)	Obs.	Pred.	Obs.	Pred.	Obs.	Pred.	Obs.	Pred.	Obs.	Pred.
1	0 (4)	-1 (50)	1 (90)	8.91	8.67	8.52	8.82	4.45	5.33	0.09	0.08	0.04	0.02
2	-1 (1.5)	0 (150)	-1 (30)	16.11	16.74	13.28	14.30	5.09	5.98	0.13	0.14	0.30	0.27
3	1 (6.5)	0 (150)	-1 (30)	15.58	14.65	12.65	11.90	10.96	10.85	0.15	0.14	0.12	0.10
4 ^Z	0 (4)	0 (150)	0 (60)	17.38	18.91	14.97	15.56	11.12	12.75	0.17	0.18	0.10	0.10
5	1 (6.5)	1 (250)	0 (60)	21.08	21.77	16.58	17.62	13.97	14.96	0.21	0.21	0.17	0.17
6	-1 (1.5)	-1 (50)	0 (60)	24.35	23.66	22.87	21.82	12.39	11.40	0.20	0.20	0.24	0.25
7	-1 (1.5)	1 (250)	0 (60)	25.17	24.31	23.32	22.60	11.76	11.75	0.23	0.22	0.26	0.26
8	0 (4)	1 (250)	1 (90)	10.56	10.49	9.94	9.91	7.44	7.35	0.10	0.11	0.06	0.03
9	-1 (1.5)	0 (150)	1 (90)	12.29	13.22	14.62	15.37	5.32	5.42	0.10	0.11	0.08	0.10
10	1 (6.5)	-1 (50)	0 (60)	17.04	17.90	14.23	14.95	11.97	11.98	0.17	0.18	0.15	0.15
11	1 (6.5)	0 (150)	1 (90)	7.62	7.00	6.95	5.93	5.22	4.33	0.09	0.08	0.05	0.08
12	0 (4)	-1 (50)	-1 (30)	13.76	13.83	10.59	10.63	9.13	9.23	0.14	0.14	0.09	0.11
13 ^Z	0 (4)	0 (150)	0 (60)	19.14	18.91	15.01	15.26	13.48	12.75	0.17	0.18	0.10	0.10
14	0 (4)	1 (250)	-1 (30)	16.27	16.51	13.29	12.99	11.42	10.54	0.15	0.15	0.10	0.13
15 ^Z	0 (4)	0 (150)	0 (60)	20.22	18.91	15.80	15.56	13.66	12.75	0.19	0.18	0.11	0.10

^Z Central point. It was highlighted in bold the best condition for each of the variables monitored.

Table 5. Corresponding *F*-values and *P*-values for each obtained coefficient and second order polynomial models used to express the content in total phenolics, flavonoids and *ortho*-diphenols, and the ABTS and DPPH-based antioxidant activities as a function of independent variables in almond hulls, shells and skins.

<i>Hulls</i>												
Variable	Statistics	X ₁	X ₂	X ₃	X _{1,2}	X _{1,3}	X _{2,3}	X ₁ ²	X ₂ ²	X ₃ ²	Model <i>F</i> -value	
Total phenolics	<i>P</i> -value	N.s. ^Z	N.s.	N.s.	N.s.	N.s.	N.s.	N.s.	N.s.	N.s.	17.68	
	<i>F</i> -value	0.03	3.35	4.36	0.53	0.01	5.57	1.78	0.01	0.26		
Flavonoids	<i>P</i> -value	***	N.s.	**	N.s.	N.s.	N.s.	**	N.s.	N.s.	27.63	
	<i>F</i> -value	149.87	0.12	40.67	0.52	2.79	0.01	44.80	0.99	0.70		
<i>Ortho</i> -diphenols	<i>P</i> -value	N.s.	N.s.	**	N.s.	N.s.	N.s.	N.s.	N.s.	N.s.	41.43	
	<i>F</i> -value	0.03	1.23	16.77	0.40	0.02	0.71	0.58	6.22	0.19		
ABTS	<i>P</i> -value	N.s.	N.s.	**	N.s.	N.s.	N.s.	N.s.	N.s.	N.s.	35.93	
	<i>F</i> -value	0.84	0.14	40.25	6.23	4.37	4.77	3.36	2.37	3.53		
DPPH	<i>P</i> -value	***	*	***	N.s.	N.s.	*	***	*	**	60.41	
	<i>F</i> -value	228.94	9.45	94.18	1.54	5.40	9.64	609.79	10.69	24.25		
Polynomial models ^Y											R ²	MAE ^X
<i>Total phenolics</i> = 146.672 - 12.7611X ₁ - 0.248532X ₂ - 0.0180583X ₃ + 1.26874X ₁ ² + 0.016615X ₁ X ₂ + 0.0068X ₁ X ₃ - 4.43875x10 ⁻⁵ X ₂ ² + 0.00448733X ₂ X ₃ - 0.00334625X ₃ ²											0.762	3.338
<i>Flavonoids</i> = 33.4807 - 8.90213X ₁ - 0.135908X ₂ + 0.575969X ₃ - 3.51668X ₁ ² + 0.009088X ₁ X ₂ + 0.0702233X ₁ X ₃ + 0.000326675X ₂ ² - 0.000103583X ₂ X ₃ - 0.00305944X ₃ ²											0.980	0.320
<i>Ortho-diphenols</i> = 117.103 - 1.89279X ₁ - 0.139145X ₂ + 0.166458X ₃ + 0.300087X ₁ ² - 0.005958X ₁ X ₂ + 0.00448X ₁ X ₃ + 0.000614479X ₂ ² - 0.000665917X ₂ X ₃ + 0.00119921X ₃ ²											0.837	0.002
<i>ABTS</i> = 1.02843 + 0.0643933X ₁ - 0.001745X ₂ + 0.00607389X ₃ - 0.00978667X ₁ ² - 0.00032X ₁ X ₂ + 0.000893333X ₁ X ₃ + 5.13333x10 ⁻⁶ X ₂ ² + 2.33333x10 ⁻⁵ X ₂ X ₃ - 6.96296x10 ⁻⁵ X ₃ ²											0.930	0.002
<i>DPPH</i> = 2.1395 - 0.496407X ₁ - 0.001018X ₂ - 0.00528278X ₃ + 0.0513133X ₁ ² + 6.2x10 ⁻⁵ X ₁ X ₂ + 0.000386667X ₁ X ₃ + 4.24583x10 ⁻⁶ X ₂ ² - 1.29167x10 ⁻⁵ X ₂ X ₃ + 7.10648x10 ⁻⁵ X ₃ ²											0.995	0.011
<i>Shells</i>												
Variable	Statistics	X ₁	X ₂	X ₃	X _{1,2}	X _{1,3}	X _{2,3}	X ₁ ²	X ₂ ²	X ₃ ²	Model <i>F</i> -value	
Total phenolics	<i>P</i> -value	N.s.	*	*	N.s.	*	N.s.	N.s.	N.s.	***	24.54	
	<i>F</i> -value	2.60	11.22	11.98	0.95	8.14	0.11	0.08	5.37	67.61		
Flavonoids	<i>P</i> -value	**	*	N.s.	N.s.	N.s.	N.s.	*	*	***	25.26	
	<i>F</i> -value	19.75	9.38	0.12	1.20	5.32	0.00	13.56	7.88	94.69		
<i>Ortho</i> -diphenols	<i>P</i> -value	N.s.	*	N.s.	N.s.	*	N.s.	N.s.	N.s.	**	14.62	
	<i>F</i> -value	5.40	7.86	1.18	1.86	15.21	0.17	1.39	1.43	17.92		
ABTS	<i>P</i> -value	N.s.	*	N.s.	N.s.	N.s.	N.s.	N.s.	N.s.	**	20.29	
	<i>F</i> -value	0.21	11.36	5.83	0.13	2.92	0.08	0.18	2.73	38.84		
DPPH	<i>P</i> -value	N.s.	N.s.	*	N.s.	*	N.s.	*	N.s.	**	15.87	
	<i>F</i> -value	0.03	3.44	8.08	0.76	7.49	0.67	8.04	0.13	42.39		
Polynomial models											R ²	MAE
<i>Total phenolics</i> = 5.65489 + 0.813067X ₁ + 0.0306275X ₂ + 0.348711X ₃ - 0.0137333X ₁ ² - 0.001135X ₁ X ₂ - 0.0111X ₁ X ₃ - 7.04083x10 ⁻⁵ X ₂ ² + 3.24167x10 ⁻⁵ X ₂ X ₃ - 0.00277481X ₃ ²											0.955	0.028
<i>Flavonoids</i> = -9.22957 + 1.72514X ₁ + 0.0250407X ₂ + 0.294425X ₃ - 0.122073X ₁ ² - 0.000873X ₁ X ₂ - 0.00612333X ₁ X ₃ - 5.81458x10 ⁻⁵ X ₂ ² + 3.41667x10 ⁻⁶ X ₂ X ₃ - 0.00223995X ₃ ²											0.966	0.020
<i>Ortho-diphenols</i> = -6.11287 + 0.761553X ₁ + 0.0314875X ₂ + 0.333559X ₃ + 0.0873933X ₁ ² - 0.00243X ₁ X ₂ - 0.0231467X ₁ X ₃ - 5.53042x10 ⁻⁵ X ₂ ² + 6.075x10 ⁻⁵ X ₂ X ₃ - 0.00217894X ₃ ²											0.914	0.004
<i>ABTS</i> = -0.0443363 + 0.00557333X ₁ + 0.000265X ₂ + 0.00320889X ₃ - 0.000246667X ₁ ² + 5x10 ⁻⁶ X ₁ X ₂ - 8.0x10 ⁻⁵ X ₁ X ₃ - 6.042167x10 ⁻⁷ X ₂ ² - 3.33333x10 ⁻⁷ X ₂ X ₃ - 2.53241x10 ⁻⁵ X ₃ ²											0.924	< 0.001
<i>DPPH</i> = -0.03529 - 0.00476333X ₁ + 9.375x10 ⁻⁵ X ₂ + 0.00404194X ₃ + 0.00202667X ₁ ² - 1.5x10 ⁻⁵ X ₁ X ₂ - 0.000156667X ₁ X ₃ - 1.58333x10 ⁻⁷ X ₂ ² + 1.16667x10 ⁻⁶ X ₂ X ₃ - 3.23148x10 ⁻⁵ X ₃ ²											0.937	< 0.001
<i>Skins</i>												
Variable	Statistics	X ₁	X ₂	X ₃	X _{1,2}	X _{1,3}	X _{2,3}	X ₁ ²	X ₂ ²	X ₃ ²	Model <i>F</i> -value	
Total phenolics	<i>P</i> -value	**	N.s.	**	N.s.	N.s.	N.s.	N.s.	N.s.	***	24.14	
	<i>F</i> -value	18.72	5.53	33.90	1.41	2.32	0.10	6.21	3.07	121.16		
Flavonoids	<i>P</i> -value	N.s.	N.s.	*	N.s.	N.s.	N.s.	N.s.	N.s.	***	16.35	
	<i>F</i> -value	3.91	3.04	13.75	0.95	4.88	0.07	1.46	0.77	56.00		
<i>Ortho</i> -diphenols	<i>P</i> -value	***	N.s.	*	N.s.	*	N.s.	**	N.s.	***	22.26	
	<i>F</i> -value	49.87	4.23	8.48	0.64	8.81	0.29	18.30	4.79	95.25		
ABTS	<i>P</i> -value	N.s.	N.s.	**	N.s.	N.s.	N.s.	N.s.	N.s.	***	22.07	
	<i>F</i> -value	1.77	4.97	22.80	0.05	0.72	0.10	2.42	3.56	93.12		
DPPH	<i>P</i> -value	**	N.s.	**	N.s.	N.s.	N.s.	**	N.s.	*	5.41	
	<i>F</i> -value	18.38	0.50	17.26	0.00	4.81	0.00	25.78	1.50	8.56		
Polynomial models											R ²	MAE
<i>Total phenolics</i> = 0.303012 - 2.73963X ₁ - 0.034393X ₂ + 1.00922X ₃ + 0.281667X ₁ ² + 0.003222X ₁ X ₂ - 0.0137867X ₁ X ₃ + 0.000123642X ₂ ² - 7.18333x10 ⁻⁵ X ₂ X ₃ - 0.00863676X ₃ ²											0.976	0.066
<i>Flavonoids</i> = -10.9509 + 2.26159X ₁ - 0.024206X ₂ + 0.712967X ₃ - 0.135787X ₁ ² + 0.002634X ₁ X ₂ - 0.01988X ₁ X ₃ + 6.16333x10 ⁻⁵ X ₂ ² + 5.83333x10 ⁻⁵ X ₂ X ₃ - 0.00584352X ₃ ²											0.945	0.061
<i>Ortho-diphenols</i> = 1.39726 - 3.43979X ₁ - 0.033104X ₂ + 0.872186X ₃ + 0.422387X ₁ ² + 0.001896X ₁ X ₂ - 0.0234667X ₁ X ₃ + 0.000135092X ₂ ² - 0.000106333X ₂ X ₃ - 0.00669231X ₃ ²											0.976	0.006
<i>ABTS</i> = -0.0103 - 0.0132683X ₁ - 0.000369175X ₂ + 0.00875419X ₃ + 0.001806667X ₁ ² + 6.2x10 ⁻⁶ X ₁ X ₂ - 7.9x10 ⁻⁵ X ₁ X ₃ + 1.36917x10 ⁻⁶ X ₂ ² + 7.25x10 ⁻⁷ X ₂ X ₃ - 7.77593x10 ⁻⁵ X ₃ ²											0.964	< 0.001
<i>DPPH</i> = 0.445207 - 0.156996X ₁ - 0.000555275X ₂ + 0.00304669X ₃ + 0.0136127X ₁ ² + 2.1x10 ⁻⁶ X ₁ X ₂ + 0.000471 X ₁ X ₃ + 2.05292x10 ⁻⁶ X ₂ ² + 1.91667x10 ⁻⁷ X ₂ X ₃ - 5.44676x10 ⁻⁵ X ₃ ²											0.940	< 0.001

^Z N.s.: Not significant. Significant at *p*<0.05 (*), *p*<0.01 (**), and *p*<0.001 (***). ^Y X₁: pH, X₂: Time (min), and X₃: Ethanol concentration (%). ^X MAE: Mean absolute error.

Table 6. Predicted values under optimum conditions based on individual response (total phenolics, flavonoids, *ortho*-diphenols, ABTS, and DPPH).

Matrix	Responses	Process variables			Predicted value
		X ₁ pH	X ₂ Time (min)	X ₃ Ethanol concentration (%)	
Hulls	Total phenolics ^Z	6.5	249.0	90.0	155.33
	Flavonoids ^Y	5.7	50.0	89.9	126.87
	<i>Ortho</i> -diphenols ^Z	6.5	50.0	90.0	134.42
	ABTS ^X	3.3	250.0	90.0	1.53
	DPPH ^X	1.5	50.0	89.2	1.56
Shells	Total phenolics	1.5	219.0	61.1	8.86
	Flavonoids	4.9	180.0	59.1	5.99
	<i>Ortho</i> -diphenols	1.5	250.0	72.1	10.04
	ABTS	4.8	224.0	54.4	0.09
	DPPH	1.5	250.0	63.4	0.10
Skins	Total phenolics	1.5	250.0	56.2	24.43
	Flavonoids	6.5	250.0	51.2	15.41
	<i>Ortho</i> -diphenols	1.5	250.0	60.6	22.60
	ABTS	1.5	250.0	56.7	0.22
	DPPH	1.5	250.0	35.0	0.30

^Z mg GAE/g dw. ^Y mg CE/g dw. ^X mmol TE/g dw.

Table 7. Predicted and obtained values under overall optimum conditions (total phenolics, flavonoids, *ortho*-diphenols, ABTS, and DPPH).

Matrix	Responses	Process variables			Predicted value	Observed value
		X_1 pH	X_2 Time (min)	X_3 Ethanol concentration (%)		
Hulls	Total phenolics ^Z	6.5	250.0	90.0	155.63	130.03
	Flavonoids ^Y				127.16	129.60
	<i>Ortho</i> -diphenols ^Z				123.16	111.96
	ABTS ^X				1.43	1.67
	DPPH ^X				1.23	1.28
Shells	Total phenolics	1.5	235.0	63.0	8.83	6.30
	Flavonoids				4.58	3.87
	<i>Ortho</i> -diphenols				9.80	5.87
	ABTS				0.08	0.04
	DPPH				0.10	0.05
Skins	Total phenolics	1.5	250.0	56.0	24.43	20.93
	Flavonoids				11.68	13.98
	<i>Ortho</i> -diphenols				22.47	20.49
	ABTS				0.22	0.24
	DPPH				0.27	0.33

^Z mg GAE/g dw. ^Y mg CE/g dw. ^X mmol TE/g dw.

Table 8. UV-Vis features of the main polyphenolic phytochemicals detected in the optimally obtained almond by-products extracts.

Peak	Rt (min)	λ_{\max} (nm)	Compound	Almond by-product		
				Hulls	Shells	Skins
1	20.03	326	3-caffeoylquinic acid	+	-	-
2	20.26	283	Naringenin-7- <i>O</i> -glucoside	+	-	-
3	23.67	345	Kaempferol-3- <i>O</i> -glucoside	-	-	+
4	23.83	358	Isorhamnetin-3- <i>O</i> -rutinoside	-	-	+
5	24.69	354	Isorhamnetin-3- <i>O</i> -glucoside	-	-	+
6	25.24	358	Isorhamnetin	-	-	+

Peak number and retention time according to Fig. 2

656 **Figures caption:**

657 **Fig. 1** Average precipitation (mm) and temperature (°C) in the study year

658 **Fig. 2** Chromatograms of almond by-products recorded at 360 nm. The identity of the
659 compounds associated with the peaks shown here is given in Table 8

660