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Additional Information

1 **Discrimination of intact almonds according to their bitterness and prediction of**
2 **amygdalin concentration by Fourier Transform infrared spectroscopy**

3

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10 **ABSTRACT**

11 Intact almond kernels ($N=360$, half sweet and half bitter) were analyzed using attenuated
12 total reflectance Fourier transform infrared spectroscopy (ATR-FTIR) for the prediction
13 of amygdalin concentration and to classify them according to their bitterness. Amygdalin
14 concentrations for sweet and bitter almonds, determined by high performance liquid
15 chromatography, were between 0.7-350 and 15000-50000 mg kg⁻¹, respectively.
16 Concentrations were successfully predicted by applying partial least squares (PLS) to the
17 pre-treated spectral data with R^2_p of 0.951 and RMSEP of 0.398. Additionally, linear
18 discriminant analysis (LDA), quadratic discriminant analysis (QDA) and PLS-DA
19 models were constructed to classify samples according to their bitterness. All three
20 models provided a satisfactory discrimination of almonds into sweet and bitter categories,
21 providing overall accuracy values of 83.3 %, 86.1 % and 98.6 %, respectively. The results
22 indicate the potential of ATR-FTIR spectroscopy for the reliable, easy and fast prediction
23 of amygdalin concentration, and for almond classification according to their bitterness.

24

25 **Keywords:** ATR-FTIR, amygdalin concentration, bitterness, intact almonds, PLS,
26 almond discrimination

27

28 **1. Introduction**

29

30 Almonds are a very much valued nut due to their high nutritional and sensory
31 attributes (Grane-Teruel *et al.*, 2001). The almond has been positioned in the market as a
32 healthy and versatile product in its different uses, which had led to a doubling of demand
33 around the world (Velasco & Aznar, 2016). Spain has the biggest area of almond
34 cultivation in the world with more than 600,000 hectares in 2015 (Velasco & Aznar,
35 2016). Since 2010, Spanish production has remained relatively stable, reaching 48,000
36 tons in 2014 (Velasco & Aznar, 2016). This production represents 4.5 % of the world
37 total and it places Spain in third place behind the USA and Australia.

38 Sweet almond is the most commonly consumed form, however, bitter almonds are
39 also valued, primarily for the extraction of flavour extracts, which are processed before
40 consumption to remove the poisonous substances (Borrás *et al.*, 2014). Almond bitter
41 flavour is due to the presence of cyanogenic glucosides, like amygdalin and prunasin
42 (Sánchez-Pérez *et al.*, 2008). In mature almonds, the only cyanogenic glucoside found is
43 amygdalin, since prunasin (normally found in roots, leaves and kernel of immature
44 almonds) is converted into amygdalin during maturation. Almond bitter flavour is a
45 consequence of the enzymatic hydrolysis produced by β -glucosidase, which produces
46 benzaldehydes, sugars and hydrogen cyanide. Therefore, because of their toxicity and
47 because chemical components are different among sweet and bitter almonds, it is
48 important to distinguish them for two main reasons: 1) to guarantee homogeneous lots of
49 almonds in food industry and 2) to avoid possible health problems related to the
50 consumption of bitter almonds.

51 Some interesting analytical techniques have been applied to almond quality
52 control, for example, vibrational spectroscopy methods, such as Fourier transform

53 infrared (FTIR) spectroscopy (Ellis *et al.*, 2005). FTIR is fast, easy, non-destructive and
54 a relatively inexpensive technique (Vahur *et al.*, 2009). Samples do not need any pre-
55 treatment to register spectra in a few seconds (Ellis *et al.*, 2002). In addition, other
56 advantage of this spectroscopy technique is its application in foodstuffs that can be fresh,
57 dried, liquid or solid (Dogan *et al.*, 2007). The quantification and qualification of almond
58 quality by FTIR consists of acquiring a fingerprint characteristic of any point of the
59 almond, providing thus information about their grade of bitterness, the type of variety or
60 the rate of spoilage, among others. The integration of FTIR and chemometrics in tandem
61 could provide an excellent methodology that could be able to qualitatively and/or
62 quantitatively classify sweet and bitter almonds based on extracted spectra features. Some
63 applications of the FTIR spectroscopy previously published in the almond field included
64 the quality control of medicinal almonds (Chun-Song *et al.*, 2017), the classification of
65 almond cultivars by measuring the spectra in almond oil (Beltrán *et al.*, 2009) and in
66 grounded almonds (Valdés *et al.*, 2013), among others. Only one study has discriminated
67 almonds according to their bitterness, but using NIR and Raman spectroscopy (Borrás *et*
68 *al.*, 2014). Micklander *et al.* (2002) predicted the amygdalin concentration in bitter
69 almonds using Raman spectroscopy. However, calibration was performed by spiking
70 sweet almonds with different concentrations of amygdalin standard, and not with real
71 values of samples which could be established with other techniques such as high
72 performance liquid chromatography (HPLC).

73 The aim of this work is the application of ATR-FTIR spectroscopy followed by
74 multivariate analysis of the spectral data as a non-destructive methodology for the
75 prediction of amygdalin concentration (measured by HPLC) of intact almonds and for the
76 classification of almonds according to their bitterness.

77

78 **2. Materials and methods**

79

80 *2.1. Reagents and almond samples*

81

82 To determine amygdalin concentration, an amygdalin standard for HPLC from
83 Sigma-Aldrich (St. Louis, Missouri, USA) of ≥ 97.0 % of purity was used. Different
84 solvents, such as deionized water (obtained using an Aquinity deionizer from
85 Membrapure GmbH (Berlin, Germany), acetonitrile (ACN, HPLC Far UV/Gradient
86 Grade, J.T. Baker, The Netherlands), acetone (Panreac, Barcelona, Spain) and methanol
87 (MeOH, AGR ACS, ISO, Ph.Eur. Assay ≥ 99.8 %, Labkem, Barcelona, Spain) were also
88 used.

89 A batch of 360 almonds, kindly provided by Agricoop (Alicante, Spain), were
90 employed in this work. This batch is composed by 180 sweet and 180 bitter almonds.
91 Although the genetic variety of the bitter almonds is unknown, the sweet almonds were
92 selected from six different genetic varieties (30 almonds each), which are ‘Comuna’,
93 ‘Guara’, ‘Largueta’, ‘Marcona’, ‘Planeta’ and ‘Rumbeta’. All samples included in the
94 work were selected for absence of damage, and of similar colour and size.

95

96 *2.2. ATR-FTIR*

97

98 FTIR spectra were registered using a Tensor 27 spectrometer from Bruker Optics
99 (Milan, Italy) dotted with a deuterated triglycine sulphate (DTGS) detector which is
100 coupled to an ATR accessory (Specac Inc., Woodstock, Georgia, USA) composed of a
101 zinc selenide (ZnSe) crystal. Spectra were registered in the absorbance mode as the mean
102 of 32 scans in the $4000\text{--}600$ cm^{-1} spectral range and using a resolution of 4 cm^{-1} . The

103 FTIR spectrometer was controlled using the OPUS software version 5.0 (Bruker Optics).
104 The acquisition spectral time was 20 s. Each almond kernel (with skin) was placed on the
105 ZnSe crystal and the spectra was measured. Two points were collected for each sample
106 on each side of the almond, and the mean of both spectra were employed for statistical
107 analysis. After each measurement, the crystal was cleaned using acetone and dried with
108 a cellulose tissue.

109

110 *2.3. Amygdalin extraction and quantification by HPLC*

111

112 After spectral measurement, each almond was immersed in hot water to eliminate
113 almond skin. After keeping almonds at room temperature to allow them to be dried, they
114 were grinded with a porcelain mortar, and mixed with 20 mL MeOH. This suspension
115 was maintained under constant stirring during 24h, being the supernatant finally passed
116 through a syringe filter of polytetrafluoroethylene (PTFE) (0.22 μm , Scharlab, Barcelona,
117 Spain). The filtered supernatant was directly injected into the HPLC system when
118 obtained from sweet almonds, and it was diluted with MeOH in a 1:10 (v/v) proportion
119 for bitter almonds in order to have amygdalin concentrations within the linear range of
120 the calibration curve.

121 Supernatants were then analyzed by HPLC (LaChrom Elite” liquid
122 chromatograph from Hitachi Ltd.,Tokyo, Japan), fitted with an auto-sampler (model L-
123 2200) and an ultraviolet (UV) detector (model L-2400). Amygdalin was separated at a
124 flow rate of 1.0 mL min⁻¹ with a mixture of ACN and water (20:80, v/v) using an isocratic
125 elution and a Liquid Purple C18 analytical column (250 x 4.6 mm i.d., 5 μm) (Análisis
126 Vínicos, Tomelloso, Spain). Detection was monitored at 218 nm. Sample injection
127 volume was 20 μL .

128

129 *2.4. Spectra pre-processing and chemometric data treatment*

130

131 Spectral pre-processing and statistical analysis were performed using the
132 statistical software program ‘The Unscrambler X’ (version 10.3, Camo Process SA,
133 Trondheim, Norway).

134 Various pre-treatment techniques were simultaneously applied to the ATR-FTIR
135 data, such as standard normal variate (SNV) to correct multiplicative interferences,
136 baseline shift variations and curvilinearity (Barnes *et al.*, 1989), the second derivate (with
137 2.3-gap-segment) and Savitzky-Golay smoothing using 15 points to extract useful
138 information (Cortés *et al.*, 2016, Rodriguez-Saona *et al.*, 2001) and to improve the signal-
139 to-noise ratio (Gorry, 1990, Savitzky & Golay, 1964).

140 After spectra pre-treatment and before multivariate analysis, data spectral
141 variation was analyzed by principal component analysis (PCA) and the defective spectra
142 due to a problem of acquisition were eliminated based on Hotelling’s T^2 and squared
143 residual statistics (Beghi *et al.*, 2018).

144 To proceed with chemometric analysis, a spectral data matrix was constructed.
145 The 360 almond samples (180 sweet and 180 bitter) were introduced in rows, while both
146 X- and Y-variables were introduced in columns. The X-variables (also called predictors),
147 were the spectral data, while the Y-variables (or responses) were the amygdalin
148 concentrations established by HPLC or, in the case of discriminant models, a dummy
149 variable.

150 To construct the chemometric models for both, amygdalin prediction and
151 discrimination of almonds according to their bitterness, the total number of samples ($N =$
152 360) was divided in two sets: training and evaluation. The training set contained 80 % of

153 the almonds, which were randomly selected. Once a model is constructed, it is internally
154 validated using full cross-validation (CV, leave-one-out method) (Huang *et al.*, 2008).
155 The evaluation set contained the remaining 20 % of the samples (Soares *et al.*, 2013).

156

157 *2.4.1. PLS model construction to predict amygdalin content*

158 A PLS model was constructed to predict amygdalin concentrations. For PLS, the
159 covariance between the linear functions of the spectral variations (X-variables) and the
160 corresponding defined value of amygdalin concentration (Y-variable) was maximized.
161 The performance of the model was evaluated by the number of PLS factors (latent
162 variables, LV), the root mean square error of calibration, cross-validation and prediction
163 (RMSEC, RMSECV and RMSEP, respectively), and by the determination coefficient for
164 calibration, cross-validation and prediction (R^2_C , R^2_{CV} , R^2_P , respectively). Satisfactory
165 models are characterized by low RMSE, high R^2 and small differences between RMSEC
166 and RMSEP. Large differences could indicate the introduction of too many LVs in the
167 model (Bureau *et al.*, 2009).

168

169 *2.4.2. Discrimination of sweet and bitter almonds*

170 LDA, QDA and PLS-DA models were constructed to discriminate almonds according to
171 their bitterness. These models are supervised algorithms based on the relationship
172 between spectral intensity and sample characteristics; in this study, spectral variations
173 were the X-variables and sweet and bitter categories were Y-variables. In the case of PLS-
174 DA, a reference value (sweet almonds = 0 and bitter almonds = 1) was assigned to each
175 sample. In this study, a 0.5 threshold value was selected for the construction of the PLS-
176 DA models (Cortés *et al.*, 2016, Camilo *et al.*, 2012). Predicted values higher than 0.5
177 indicated that the sample belongs to the bitter class. For LDA and QDA, the Y-variable

178 was a categorical value created by assigning different letters to sweet and bitter almonds.
179 Both, LDA and QDA require a number of variables lower than the number of subjects for
180 model construction (Wu *et al.*, 2003, Sádecká *et al.*, 2016). Therefore, it is necessary to
181 perform a variable reduction before a statistical procedure can be applied. The reduction
182 can be done by PCA scores as input data, since the principal components (PCs) are found
183 as linear transformations that are uncorrelated (Rodríguez-Campos *et al.*, 2011).

184 Finally, the performance of the three discriminant models was estimated and
185 compared by accuracy, which is defined as the ratio of evaluation set samples correctly
186 assigned into their respective categories.

187

188 **3. Results and discussion**

189

190 *3.1. Determination of amygdalin concentration in sweet and bitter almonds by HPLC*

191

192 The amygdalin concentration of the 360 almonds (bitter and sweet) was measured
193 using the extraction and chromatographic conditions previously reported. The mean
194 amygdalin concentration and ranges obtained for each class are shown in Table 1. The
195 amygdalin concentration of bitter almonds (comprised between 15000 and 50000 mg kg⁻¹
196 ¹) was more than 400 times higher than the medium value of sweet almonds. On the other
197 hand, the concentration in sweet almonds varied among the different varieties: the lowest
198 content was obtained for ‘Planeta’ (0.7 mg kg⁻¹), while the highest concentration was
199 registered for ‘Guara’ (350 mg kg⁻¹). These concentrations are similar to those previously
200 published by other authors (Lee *et al.*, 2013).

201

202

203 **Table 1**

204 Amygdalin concentrations, with minimum and maximum values in parentheses, of the
 205 sweet and bitter almonds measured by HPLC.

206

Almonds	Genetic variety	Amygdalin concentration (mg kg ⁻¹)
Bitter	Mix of varieties	31000 (15000-50000)
Sweet	'Planeta'	20.4 (0.7-211.3)
	'Comuna'	40.0 (1.0-174.7)
	'Largueta'	70 (20-180)
	'Rumbeta'	80 (3-19)
	'Marcona'	65 (6-173)
	'Guara'	150 (50-350)

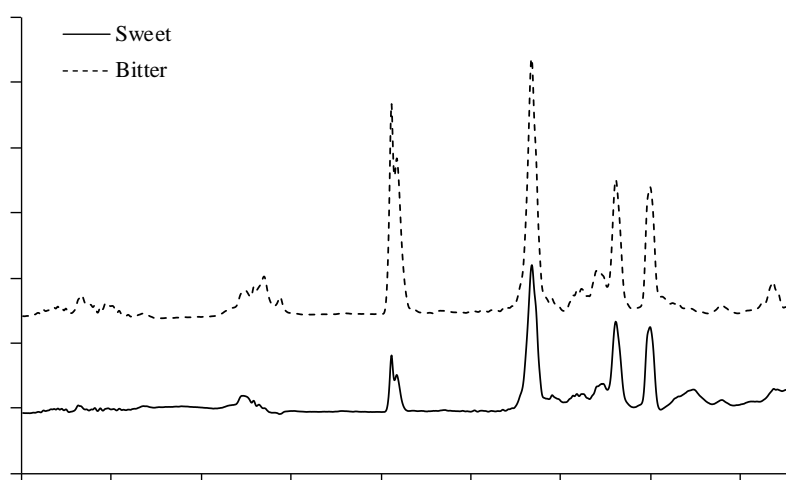
207

208 *3.2. ATR-FIR spectral analysis*

209

210 The raw mean spectra of sweet and bitter intact almonds observed between 4000
 211 and 600 cm⁻¹ is shown in Fig. 1. A total of 16 regions, which corresponded to each peak
 212 or shoulder observed, are evidenced in the spectra. Each one of these regions represented
 213 structural of functional group information, as indicated in Table 2. The whole spectra are
 214 the combination of many almond constituents, which are mainly represented by the
 215 combination of O-H stretching, C-H bending and C-O stretching. The broad band (3140-
 216 2808 cm⁻¹) containing three different peaks was mainly assigned to the stretching

217 vibrations of CH₂ functional group (Hernández & Zacconi, 2009, Maqsood & Benjakul,
218 2010). In this sense, this band could be associated with the saturated fatty acids fraction
219 present in almonds. The band appearing between 1880–1680 cm⁻¹ was due to the
220 stretching movement of the typical ester carbonyl functional group (C=O) of the
221 triacylglyceride esters (Beltrán *et al.*, 2009, Vlachos *et al.*, 2006). The band located
222 around and 1490–1406 cm⁻¹ is associated with the presence of CH bending vibrations in
223 CH₃ (Hernández & Zacconi, 2009).



224

225

Fig. 1. Raw mean ATR-FTIR spectra for sweet and bitter almonds.

226

227 **Table 2**

228 Bands observed in the 4000 – 600 cm⁻¹ region of the ATR-FTIR spectra of sweet and
 229 bitter almonds^a.

Identification no.	Wavenumber range (cm ⁻¹)	Functional group	Nominal frequency	Mode of vibration
1	3792-3660	O-H	3741	Stretching
2	3660-3535	O-H	3629	Stretching
3	3535-3400	O-H	3471	-
4	3140-2985	=C-H (trans)	3025	Stretching
5	2985-2882	-C-H (CH ₂)	2930	Stretching (asym)
6	2882-2808	-C-H (CH ₂)	2860	Stretching (sym)
7	2430-2280	alkane	2360	Stretching
		alkane	2341	Stretching
8	1880-1680	-C=O (ester)	1740	Stretching
9	1680-1604	-C=C- (cis)	1654	Stretching
10	1604-1490	N-H	1531	Bending
11	1490-1406	-C-H (CH ₃)	1446	Bending (asym)
12	1406-1296	O-H	1365	Bending (in plane)
13	1296-1170	-C-O	1218	Stretching
14	1170-950	-C-O	1033	Stretching
15	950-830	-HC=CH- (cis)?	906	Bending (out of plane)
16	760-600	C-H	680	Bending (out of plane)

230

231 ^aAccording to references Rohman *et al.*, 2011, Chen *et al.*, 2010, Mboniyirivuze *et al.*,232 2015, Lingegowda *et al.*, 2012, Conrad *et al.*, 2014, Lerma-García *et al.*, 2010.

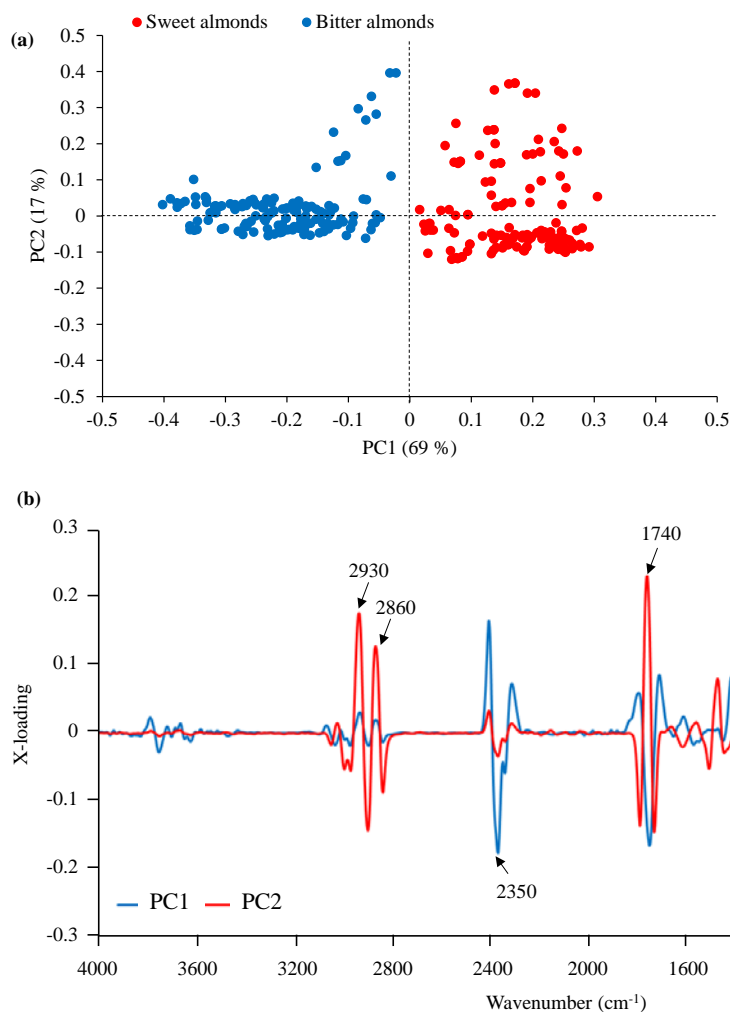
233

234 3.3. PCA analysis

235

236 The data from the pre-treated ATR-FTIR spectra were analyzed by PCA because
237 is a simply, rapidly and accurately way to identify the different almond groups. The two
238 first PCs summarized the 86 % of accumulative contribution of the original data, which
239 means that nearly all the variation of the variables were explained by these two PCs. The
240 first principal component (PC1) offers the main contribution (69 %), while the second
241 one (PC2) explained 17 %. Fig. 2 shows the score plot and the X-loading plot of the
242 training set samples based on the two first PCs. The score plot indicates that sweet and
243 bitter almonds can be grouped into two separate groups. Specifically, sweet almonds are
244 located on the positive axis of PC1, while the bitter almonds were located on the same
245 axis but on the opposite side (negative axis of PC1). The X-loading plot indicated the
246 absorbance peaks with greatest discriminatory effect on PCA. In particular, most of these
247 peaks (Fig. 2B) were found at the nominal frequencies included in Table 2, such as 1218,
248 1740, 2860 and 2930 cm^{-1} , while the other main wavelength (2350 cm^{-1}) is found within
249 the wavenumber range of region 7.

250



251

252 **Fig. 2.** PCA (A) score plot and (B) X-loading plot of pre-treated spectral data of almonds.

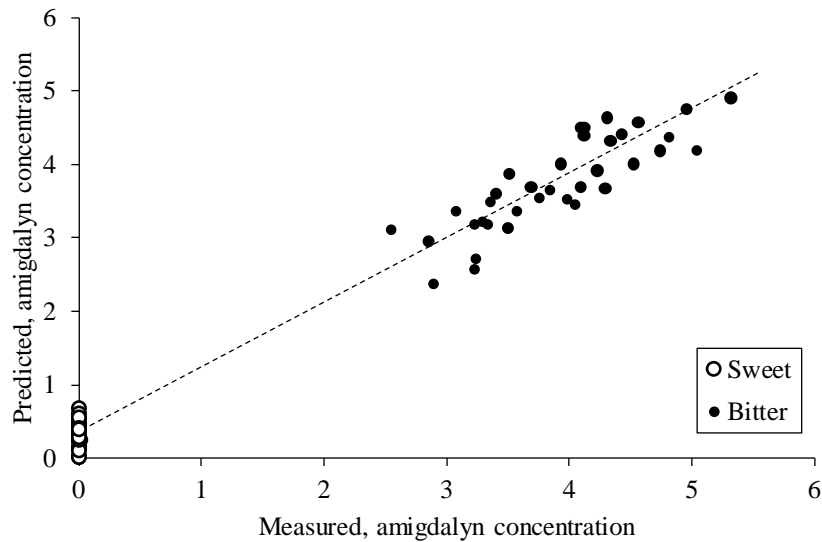
253

254 3.4. Prediction of amygdalin concentration

255

256 A PLS model was constructed in order to predict the amygdalin concentrations of
 257 almond samples. A total of 13 LVs were selected by the model. For the training set
 258 samples, R^2_c and RMSEC were, respectively, 0.949 and 0.348, whereas, after applying
 259 leave-one-out cross-validation technique, R^2_{cv} and RMSECV values were 0.899 and
 260 0.492, respectively. The high R^2 value and the low values of RMSEC and RMSECV
 261 indicate good accuracy and precision of the PLS model (Saraiva *et al.*, 2017). Finally,
 262 when the performance of the PLS model was estimated by the evaluation set samples, the

263 R^2_P and RMSEP values were 0.951 and 0.398, respectively. Taking into consideration
264 that the RMSEP value was small, it could be concluded that the PLS model constructed
265 also provided a good performance in the prediction of amygdalin concentration in the
266 evaluation samples. The good results are also evidenced in Fig. 3.
267



268
269 **Fig. 3.** Measured versus predicted amygdalin concentration by PLS in the evaluation set.

270
271 *3.5. Discrimination of sweet and bitter almonds*

272
273 To discriminate almonds according to their bitterness, LDA, QDA and PLS-DA
274 were also applied to the pre-treated spectral data. For LDA and QDA, a PCA model was
275 first developed to reduce variables. The first 9 PCs explained 99.5 % of the variance of
276 the spectral data, and then they were used for model construction. Table 3 presents the
277 assignation of the evaluation set samples into the two studied classes (sweet and bitter)
278 and a summary of the classification accuracy using PLS-DA, QDA and LDA. Moreover,
279 as it can be observed in Fig. 4, only one sample of bitter category was not correctly
280 assigned for PLS-DA, while for LDA and QDA, four and five samples of sweet category,

281 and eight and five samples of bitter category, respectively, were not correctly assigned.
 282 Thus, ATR-FTIR spectroscopy gave good classification performances on both classes
 283 studied, especially with the PLS-DA model with an accuracy percentage of 98.6 %,
 284 although the results of the QDA and LDA models were also very satisfactory with 86.1
 285 % and 83.3 % of accuracy, respectively.

286

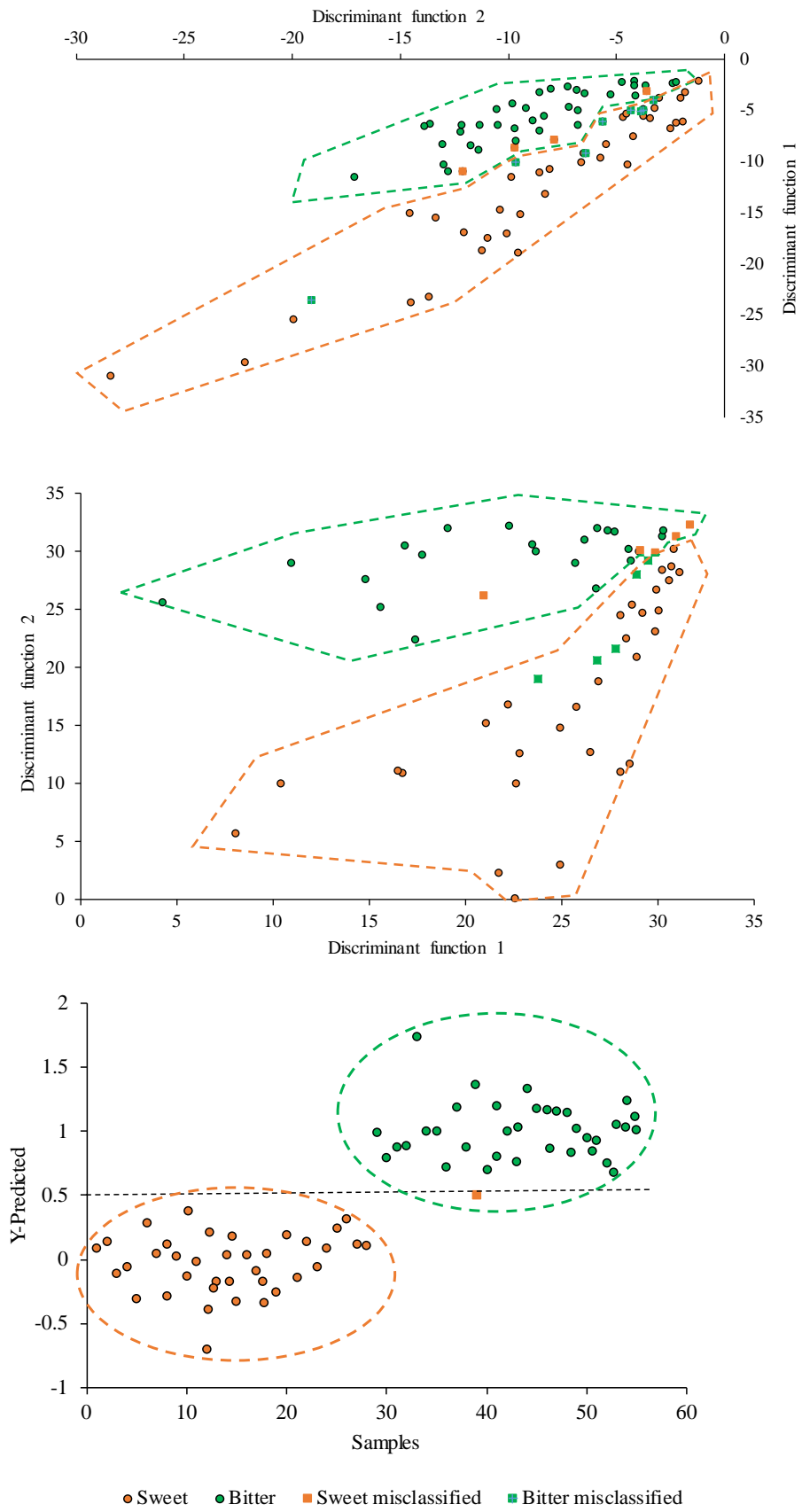
287 **Table 3**

288 Assignment of the evaluation set samples into the two studied classes and overall
 289 accuracy using LDA, QDA and PLS-DA.

290

Method	True classes	Predicted classes (%)		Overall accuracy (%)
		<i>Sweet</i>	<i>Bitter</i>	
LDA	<i>Sweet</i>	88.89	11.11	83.3
	<i>Bitter</i>	22.22	77.78	
QDA	<i>Sweet</i>	86.11	13.89	86.1
	<i>Bitter</i>	13.89	86.11	
PLS-DA	<i>Sweet</i>	100	-	98.6
	<i>Bitter</i>	2.78	97.22	

291



292

293 **Fig. 4.** Discrimination plots of the (A) LDA, (B) QDA and (C) PLS-DA models

294 constructed to classify the evaluation set almonds into bitter and sweet categories.

295 **4. Conclusions**

296

297 The results obtained by the discriminant methods (LDA, QDA and PLS-DA)
298 indicate that the proposed ATR-FTIR technique is a promising alternative to identify
299 bitter and sweet almonds. Moreover, the appropriate use of the PLS model can provide
300 useful information for the prediction of amygdalin concentration in almonds. All these
301 advantages combined with the saving time and non-destructive analysis of a large number
302 of samples allow operators to quickly monitor characterization of intact almonds with the
303 purpose of a better management of homogeneous lots.

304

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306

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311

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