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Additional Information

Interplay between physical cleaning, membrane pore size and fluid rheology during the evolution of fouling in membrane bioreactors

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Abstract

Fouling is one of the most pressing limitations during operation of membrane bioreactors, as it increases operating costs and is the cause of short membrane lifespans. Conducting effective physical cleanings is thus essential for keeping membrane operation above viable performance limits. The nature of organic foulants present in the sludge and the membrane properties are among the most influential factors determining fouling development and thus, efficiency of fouling mitigation approaches. The role of other factors like sludge viscosity on fouling is still unclear, given that contradictory effects have been reported in the literature. In the present study we use a new research approach by which the complex interplay between fouling type, levels of permeate flux, membrane material and feed properties is analyzed, and the influence of these factors on critical flux and membrane permeability is evaluated. A variety of systems including activated sludge and model solutions with distinct rheological behavior has been investigated for two membranes differing in pore size distribution. We present a novel method for assessing the efficiency of fouling removal by backwash and compare it with the efficiency achieved by means of relaxation. Results obtained have proven that backwash delays development of critical fouling as compared with relaxation and reduces fouling irreversibility regardless of fluid rheology. It was shown that backwash is especially effective for membranes for which internal fouling is the main cause of loss in permeability. Nonetheless, we found out that for membranes with tight pores, both relaxation and backwash are equally effective. The critical flux decreases significantly for

high-viscosity fluids, such as activated sludge. This effect is mainly caused by an intensified concentration polarization at the feed side rather than by internal fouling events. However, membrane permeability has been proven to rely more on the permeate viscosity than on the feed viscosity: poor rejection of organic fractions showcasing high viscosity causes an acute decline in membrane permeability as a consequence of increased shear stress inside the membrane pores.

Keywords: backwash, membrane bioreactors, physical cleaning, fouling mitigation, relaxation, sludge rheology

Nomenclature

BSA	bovine serum albumin	SEM	scanning electron microscopy
BWSM	backwash step method	SWW	synthetic wastewater
CFSM	conventional flux step method	TMP	transmembrane pressure
EPS	extracellular polymeric substances	$\dot{\gamma}$	shear rate
		κ	flow consistency
HPLC	high performance liquid chromatography	τ	shear stress
		τ_0	yield stress
HV-SWW	high-viscosity synthetic wastewater	j_P	permeate flux
		$j_{BW,max}$	maximum backwash flux of the backwash step method
IFSM	improved flux step method	j_{BW}	backwash flux
MBR	Membrane bioreactor	$j_{cr,irr}$	critical flux for irreversibility
MLSS	mixed liquor suspended solids	j_{cr}	critical flux
MWCO	molecular weight cut-off	$j_{P,max}$	maximum permeate flux of the flux step method
PES	Polyethersulfone		
SEC	size exclusion chromatography		

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$L_{p,BW}$	Permeability obtained from the backwash step method at undercritical fluxes	n	cross rate constant
		w_F	weight fraction of dextrans in the feed
$L_{p,IFSM}$	Permeability obtained from the improved flux step method at undercritical fluxes	w_P	weight fraction of dextrans in the permeate

1. Introduction

The integration of membrane separation units with the biochemical degradation of pollutants in membrane bioreactors (MBRs) makes feasible operating wastewater treatment systems at high biomass concentrations. This is done without compromising the effluent quality owing to the high solid/liquid separation efficiency yielded by the membranes. MBR technology is particularly appropriate for the implementation of water reuse schemes in areas of acute water stress. The advantages of MBRs compared to conventional activated sludge processes, such as their robust performance, high effluent quality and reduced footprint (Holloway et al. (2015); Meng et al. (2017)); together with the progress achieved in this field during the last years have contributed to expand their implementation. Nonetheless, membrane fouling is an unavoidable outcome of membrane filtration that still poses to be the most serious challenge in MBRs, as it ultimately entails an increase in operating costs (Zhang et al. (2014)).

The accumulation of matter on the membrane surface and inside the porous membrane network results in increased transmembrane pressures (TMP) and/or decreased permeate fluxes (j_P), thus diminishing the specific process throughput. A critical fouling phenomenon is the manifestation of an acute TMP jump when a specific permeate flux, usually called critical flux (j_{cr}), is surpassed or when MBR systems are operated at demanding conditions for long periods. Fouling in immersed MBRs is caused by different types of species, i.e. inorganic compounds, microbial flocs or organic molecules. Among them, extracellular polymeric substances (EPS) are considered to be one of the major fouling initiators. EPS is a term which comprises organic macromolecules that are released by microorganisms includ-

23 ing mainly polysaccharides and proteins, but also other compounds such as nucleic acids and
24 lipids (Lin et al. (2014)). EPS show a three-dimensional gelatinous matrix, which provides
25 cell-to-cell scaffolding (Bar-Zeev et al. (2015)). Gel layers can seriously compromise the op-
26 erability of MBRs, especially when aggravated by interactions taking place with inorganic
27 foulants (Wei et al. (2011)). Besides the nature of the foulants, operating conditions and
28 membrane properties have a crucial impact on fouling development in immersed membrane
29 filtration. Diverse studies have found correlations between fouling propensity and membrane
30 properties, such as hydrophilicity, roughness or pore size (Hashino et al. (2011); Kochkodan
31 and Hilal (2015); Meng et al. (2017)).

32 As evident from the above discussion, fouling mitigation strategies in MBR systems are
33 indispensable. They are usually classified into (a) physical and (b) chemical cleaning. The
34 former implies the utilization of relaxation and backwash procedures which are able to effec-
35 tively remove gross solids attached to the membrane and even detach loosely formed cake.
36 The latter involves the use of chemical reagents in order to remove the physically irreversible
37 fouling, which refers to fouling that cannot be removed by using physical cleaning (Wang
38 et al. (2014)). The two prevailing chemicals used are sodium hypochlorite for the organic
39 fouling, and citric acid for the inorganic fouling. These chemicals attack the interactions
40 between the different foulants, as well as between the foulants and the membrane. Although
41 chemical cleaning has proven to be a highly effective method for fouling removal, its fre-
42 quency should ideally be limited to a minimum level as, when applied repeatedly, it reduces
43 the lifespan of the membranes (Le-Clech et al. (2006); Meng et al. (2009)). Accordingly,
44 physical cleaning is usually preferred, as it does not imply chemical degradation of mem-
45 branes and can be implemented more frequently. Both relaxation and backwash have been
46 extensively applied to hollow fiber membranes, where backwash has been demonstrated to
47 be more effective in keeping low irreversible fouling rates (Zsirai et al. (2012)). Yet, the
48 application of backwash is not as practical for polymeric flat sheet membranes, as it can
49 induce delamination of the active layers or membrane detachment from the panels (Le-
50 Clech et al. (2006); Wang et al. (2014)). Nevertheless, backwashable flat sheet membranes
51 with enhanced mechanical integrity have recently been introduced. Such membranes are

52 based on pocket configurations or on the integration of spacer fabrics between two flat sheet
53 membranes (Doyen et al. (2010); Wang et al. (2014)).

54 Fouling phenomena are commonly investigated by utilizing flux-stepping protocols, which
55 serve for assessing the evolution of permeability at different flux levels and for determining
56 critical permeate fluxes. The simplest flux-stepping method, called here conventional flux
57 step method (CFSM), is based on filtrating during short periods with stepwise increments of
58 the flux level. The TMP transients, induced by an increased deposition of foulants occurring
59 after each step transition, are then evaluated. Van der Marel et al. modified the CFSM
60 by introducing relaxation steps between each flux increase (van der Marel et al. (2009)).
61 In such a way, they calculated critical fluxes with intercalated physical cleanings, as it is
62 usually practiced in MBRs. Additionally, the permeability of the membranes measured
63 after each cleaning step allows calculating the critical flux for irreversibility ($j_{cr,irr}$), which is
64 defined as the flux at which fouling cannot be removed by intermediate physical cleanings.
65 They coined this method with the term 'improved flux-step method', or shortly, IFSM. The
66 efficiency of the intermediate physical cleaning may, however, vary depending on the cleaning
67 procedure applied. In this vein, backwash is expected to be more effective in removing
68 cohesive fouling than relaxation, although at the expense of reducing water production
69 rates. Nevertheless, there is no clear knowledge about to which extent backwash is more
70 effective than relaxation and whether there are specific cases where one of both physical
71 cleanings is preferred. Comparison of efficiency of both physical cleaning methods in flat-
72 sheet membranes is necessary in order to find optimum operating conditions for MBRs.

73 The peculiar rheology of biological activated sludge increases complexity of fouling in
74 MBRs. Activated sludge is usually highly viscous due to the presence of biological flocs,
75 EPS and suspended solids. This effect is even intensified in the case of MBR sludge, owing
76 to its high concentration in mixed liquor suspended solids (MLSS). High viscosities may turn
77 into considerably high energy costs because of higher demands of aeration for both oxygen
78 transfer and membrane scouring as well as for permeate pumping (Laera et al. (2007)). On
79 the one hand, as solution viscosity varies within diffusion boundary layers, a high viscos-
80 ity is expected at the membrane surface, thus intensifying concentration polarization and

81 decreasing mass transfer rates (Charcosset and Choplin (1995)). On the other hand, acti-
82 vated sludge is also known to exhibit shear-thinning properties (Rosenberger et al. (2016)).
83 Pritchard et al. observed that an increase in bulk viscosity during the ultrafiltration of
84 a non-Newtonian fluid caused an increase in permeate flux. This effect was attributed to
85 the maximum shear stress taking place at the membrane surface, implying lower viscosities
86 at the membrane interface when shear-thinning fluids are filtrated (Howell et al. (1996);
87 Pritchard et al. (1995)).

88 In view of the complexity of fouling processes in MBRs, the present work aims to provide
89 a systematic approach to characterize fouling occurring in immersed flat-sheet membranes by
90 considering interactions between fluid rheology, membrane pore sizes and physical cleaning
91 procedures. A special emphasis is given to effects of backwash filtration on the develop-
92 ment of critical fouling phenomena. To this end, for the first time a novel flux-step method
93 including intermediate backwash steps is developed and compared to the IFSM procedure.
94 Effects on hydraulic resistance, critical flux, and irreversibility of fouling are assessed for
95 membranes with different pore size distributions and for a variety of solutions having New-
96 tonian and non-Newtonian behavior. In consequence, the principal objective of this work is
97 to identify interactions between different process parameters, which are relevant for fouling
98 development in MBRs. Given the variety and often contradictory conclusions drawn from
99 different studies across the literature (Drews (2010)), we aim at providing a clear interpre-
100 tation to the correlation between these parameters and their influence on the performance
101 of immersed MBRs.

102 **2. Experimental**

103 *2.1. Materials*

104 Two different commercial ultrafiltration membranes were selected for conducting the
105 present study: the membrane UP150 (Microdyn Nadir, Germany), from here on referred
106 to as UP, and the membrane LY100 (Synder Filtration, United States), referred as LY.
107 The active layer of both membranes is made of polyethersulfone (PES), while the backing

108 material is polypropylene for UP and polyester for LY. The UP membrane has a molecular
109 weight cut-off (MWCO) specification of 150 kDa, whereas the LY has a MWCO of 100 kDa.
110 The contact angle at the active layer is 55.86 ± 3.27 for UP and 72.72 ± 3.29 for LY.

111 A synthetic model wastewater (SWW) resembling the typical composition of wastewater
112 was selected for the present investigation. The type of compounds and their concentrations
113 were selected based on previous studies (Xing et al. (2010); Zhang et al. (2013)). Sodium
114 alginate (50 mg/l), glucose (100 mg/l) and BSA (10 mg/l) were selected as typical polysac-
115 charides and proteins, respectively; which are also the most typical model foulants for EPS.
116 Sodium bicarbonate (100 mg/l), magnesium sulfate (30 mg/l) and calcium chloride (111
117 mg/l) were selected in order to set constant ionic environment for all experiments. For the
118 sake of comparison, other solutions with different rheological behavior were also investigated.
119 The same compounds and concentrations were used with a 30%v/v glycerin/water mixture
120 (high-viscosity wastewater, HV-SWW) with the aim of simulating fouling under viscosity
121 conditions close to those found in MBR sludges. Finally, the results were also contrasted
122 with activated sludge taken from a real MBR treating wastewaters generated in the food
123 industry. The sludge had a MLSS concentration of 15 g/l, and the MBR was operated with
124 a sludge retention time of approximately 28 days. In order to ensure constant conditions
125 of the sludge samples throughout the experiments and to avoid further microbial growth as
126 well as degradation of potential foulants, sodium azide (NaN_3) was added to the samples
127 with a concentration of 0.02%w/w. Additionally, the samples were stored at a temperature
128 below 5°C.

129 *2.2. Setups and procedures*

130 The rheological behavior of the three different samples was obtained using a rheometer
131 MCR 102 (Anton Paar) with a double gap cylinder (DG42) measuring system at a controlled
132 temperature of 25°C. This type of measurement system is composed of a concentric cylin-
133 der, which has relatively larger surface areas and lower gap distances as compared to other
134 measuring systems. This makes double gap cylinders more appropriate for liquids with low
135 viscosities and avoids the early onset of turbulences at low shear rates. Flow curves ranging

136 from shear rates of 1 s^{-1} up to 2000 s^{-1} were registered. Chromatographic measurements of
137 pre-filtered MBR sludge were performed in order to obtain the molecular characterization
138 of different compounds present in it. Additionally, the feed wastewater and MBR permeate
139 were also analyzed. These samples were vacuum filtered to remove suspended solids em-
140 ploying a regenerated cellulose filter with a pore size of $0.45 \mu\text{m}$. The different samples were
141 injected in volumes from $20 \mu\text{l}$ to $100 \mu\text{l}$ in a HPLC 1100 instrument (Agilent), applying a
142 flow rate of 1 ml/min . The separation was performed using the columns Suprema $10 \mu\text{m}$
143 and Suprema 30 A $10 \mu\text{m}$ (from Polymer Standards Service GmbH). The detection was
144 carried out utilizing a diode array detector at a wavelength of 254 nm in combination with
145 a refractive index detector. In order to calibrate the molecular weight distribution with the
146 elution volume times, different dextran standards were injected and analyzed (having peak
147 maximums corresponding to the following molecular weights: 180, 342, 1080, 4400, 9900,
148 21400, 124000 and 401000 Da).

149 A preliminary characterization of the membrane structure was conducted for both mem-
150 branes. Scanning Electron Microscopy (SEM) images of both membranes were taken, and
151 pore size characterization was conducted by means of MWCO measurements. For the
152 MWCO measurements, a stirred dead-end filtration unit was used at a TMP of 0.5 bar .
153 The solution filtered was a mixture of different dextran standards with a total concentration
154 of 2.5 g/l (0.5 g/l dextran 40 kDa , 1 g/l dextran 100 kDa and 1 g/l dextran 500 kDa). The
155 concentrations of dextrans of different molecular weight in feed and permeate were deter-
156 mined by size exclusion chromatography (SEC) using a refractive index detector. Dextrans
157 have different affinity to the column depending on their molecular weight, so that the evolu-
158 tion of the strength of the refractive index signal provides the molecular weight distribution
159 of the different samples. Accordingly, the rejection curves can be obtained by calculating
160 $1 - w_P/w_F$ for each molecular weight, where w_P and w_F represent the mass fraction of
161 dextrans in permeate and feed, respectively. The MWCO₉₀, which corresponds to a 90% of
162 solute rejection, was then calculated for both membranes.

163 The membrane filtration experiments were conducted using a setup described in detail
164 in a previous publication (Martí-Calatayud and Wessling (2017)), in which a panel with two

165 flat sheet membranes clamped at both its sides was immersed into the reactor and it was
 166 aerated via two blowers placed below the filtration module. The permeate was extracted
 167 via a peristaltic pump (Ismatec Reglo), the speed of which was regulated and automated
 168 using a data logger and control system based on the software DasyLab. The pressure at the
 169 permeate side was measured by using a pressure sensor (Wika Type D-10, Wika), and was
 170 registered in order to calculate the TMP by means of the following equation:

$$TMP = p_{feed} - p_{permeate} \quad (1)$$

171 Where p_{feed} was taken as the atmospheric pressure. The aeration in the membrane
 172 reactor was supplied by an air compressor (AquaForte V60). The aeration flow was set
 173 constant at 1 L/min. The volume capacity of the reactor is 3.3 L, and the effective membrane
 174 area was 126 cm².

175 Fig. 1 shows comparison between the so-called improved flux step method, IFSM, in-
 176 troduced by Van der Marel et al. (van der Marel et al. (2009)), and the novel backwash
 177 step method (BWSM), designed and implemented for the first time in the present article.
 178 As mentioned above, the permeate flux, j_P , in the CFMSM is increased step-wise until the
 179 maximum is reached, and then decreased again in a descending phase. The IFSM (Fig. 1(a))
 180 includes a relaxation step after each filtration period and prior to implementing a subsequent
 181 flux increase. Here, it is to be noted that the relaxation step is not a complete cessation of
 182 filtration, but an intermediate filtration step at a very low flux, where aeration is maintained.
 183 In the present work, we introduce a new method for characterizing membrane filtration with
 184 intermediate backwash cleaning. As can be seen in Fig. 1(b), at the start of the filtration
 185 function the flux is small. So it is impractical to apply very high backwash fluxes and
 186 consume more permeate than that produced during the previous filtration. In such case, a
 187 compromise between backwash duration and intensity was found, where the backwash was
 188 selected to be half of the previous filtration flux:

$$j_{BW} = \frac{j_P}{2} \quad (2)$$

189 Within the central part of the BWSM function, a standard maximum backwash flux

190 $j_{BW,max}$ was implemented. The selected value along with the backwash duration (2 min)
 191 was optimized during preliminary experiments so as to ensure effective membrane cleaning.

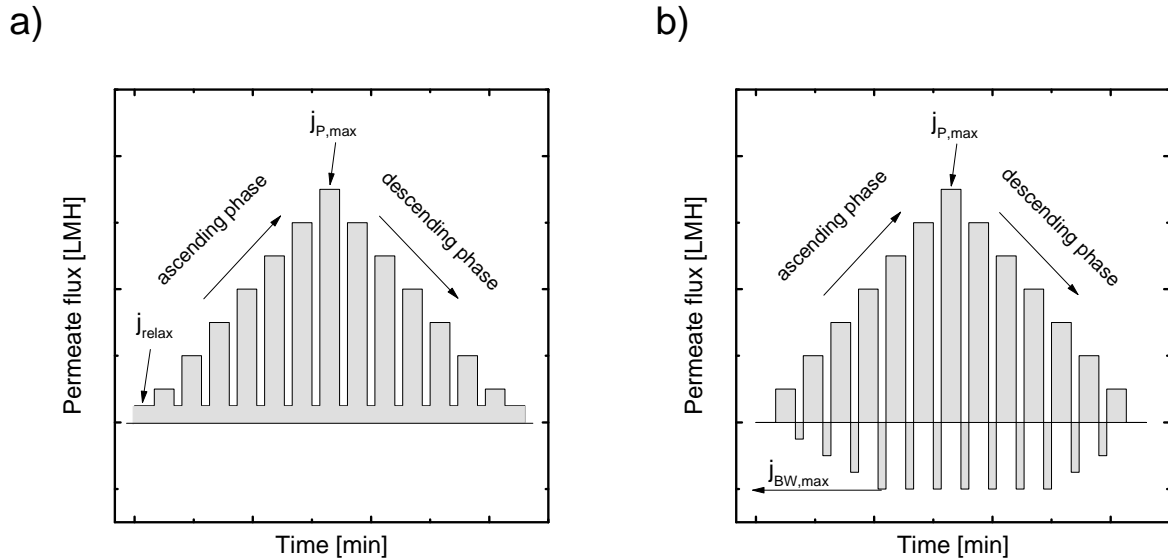


Figure 1: Schematic representation of the input function applied for (a) the IFSM and (b) the BWSM.

192 An additional feature common to the flux step methods implemented in the present work
 193 is that all of them count with an uprising phase where the flux is gradually increased, and
 194 a symmetrical descending phase, which is used in order to identify hysteresis phenomena
 195 indicative of irreversible fouling. The conduction of IFSM and BWSM is used in order to
 196 identify changes in membrane permeability after different types of physical cleaning for a
 197 wide range of operating fluxes. The filtration steps were increased by 5 LMH until they
 198 reached a maximum flux $j_{P,max}$ slightly above 100 LMH, for the experiments conducted
 199 with SWW. Due to the higher viscosity of MBR sludge and HV-SWW, the maximum flux
 200 was set to 30 LMH for these solutions and the step increase was selected to be 2.5 LMH.

201 **3. Results**

202 *3.1. Rheology of used solutions and membrane characterization*

203 The viscosity of MBR activated sludge and of SWW were measured in order to check
204 the disparity between the samples. Subsequently, the viscosity of the sludge was taken as
205 a reference in order to prepare HV-SWW. The viscosity at high shear rates of 1000 s^{-1}
206 ($0.0032\text{ Pa}\cdot\text{s}$) was considered to determine the proportion of glycerin to be used and prepare
207 HV-SWW based on the formulas provided by Cheng for water-glycerin mixtures (Cheng
208 (2008)). Fig. 2 shows the dependency of viscosity on shear rate for the three solutions
209 considered. In line with the rheological calculations of sludge samples reported in previous
210 studies (Rosenberger et al. (2016)), the MBR activated sludge clearly shows shear-thinning
211 properties, since viscosity significantly decreases at increasing shear rates. The viscosity
212 of SWW at high shear rates (1000 s^{-1}), $0.00109\text{ Pa}\cdot\text{s}$, is close to that of water, hence,
213 indicating that addition of foulants does not significantly alter the solution viscosity in this
214 range of shear rates. However, the addition of foulants imparts non-Newtonian behavior to
215 the mixture. The dependency of viscosity on shear rates is very similar to that observed for
216 the sludge. Here it must be mentioned that the increase in viscosity observed for higher shear
217 rates ($\gg 1000\text{ s}^{-1}$) is caused by Taylor vortices occurring in the rheometer, which should
218 not be taken into account (Ratkovich et al. (2013)). The viscosity of HV-SWW solutions at
219 a shear rate of 1000 s^{-1} (prepared with 30% v/v glycerin) practically coincides, as expected,
220 with that of the sludge; however, their rheological behavior notably differs from that of SWW
221 and MBR sludge. HV-SWW basically showcases a Newtonian behavior and it only shows
222 noticeable variations at shear rates lower than 10 s^{-1} . The rheogram of Fig. 2(b) confirms
223 these observations: MBR sludge and SWW exhibit an attenuating increase in shear stress at
224 increasing shear rates; on the contrary, HV-SWW shows a linear trend. The rheograms were
225 fitted to the Herschel-Bulkley model commonly used for modeling activated sludge rheology
226 (Rosenberger et al. (2016)).

$$\tau = \tau_0 + k \cdot \dot{\gamma}^n \quad (3)$$

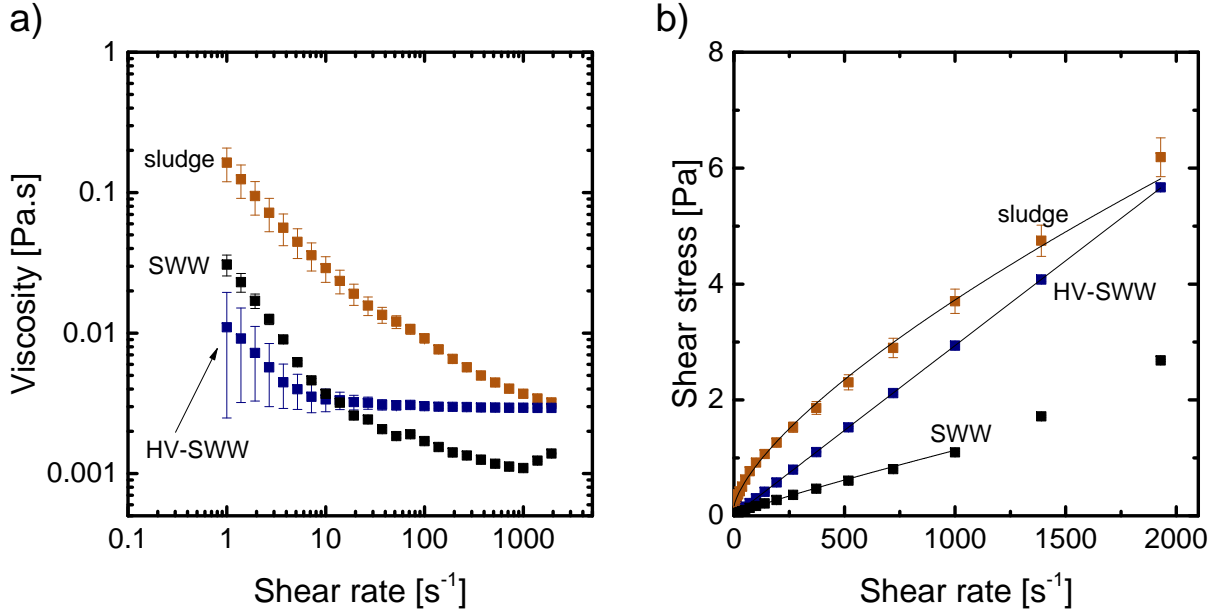


Figure 2: Rheological behavior of the solutions used in the present study: (a) viscosity as a function of shear rate and (b) shear stress as a function of shear rate at a temperature of 25° C.

227 where τ represents the shear stress ($Pa \cdot s$), τ_0 the yield stress (Pa), k the flow consistency
 228 index ($Pa \cdot s^n$) and n the cross rate constant. The exponent n takes values lower than
 229 1 for shear-thinning fluids, 1 for Newtonian fluids and higher values for shear-thickening
 230 fluids. The fittings obtained for MBR sludge and SWW were $\tau = 0.144 + 0.028 \cdot \dot{\gamma}^{0.69}$
 231 and $\tau = 0.018 + 0.002 \cdot \dot{\gamma}^{0.89}$, respectively. Consequently, the cross rate constants of 0.69
 232 for MBR sludge and 0.89 for SWW corroborate their non-Newtonian properties. On the
 233 contrary, the rheological behavior of HV-SWW could be fitted with the power law function
 234 $\tau = 0.003 \cdot \dot{\gamma}^{0.99}$, which confirms its Newtonian properties.

235 Regarding the membrane characterization, SEC retention curves calculated for both
 236 membranes are presented in Fig. 3(a). The experimental MWCO values determined were
 237 186 kDa and 1615 kDa for the LY and UP membrane, respectively. This difference implies
 238 a significant disparity between the pore sizes of both membranes. In addition to this, the
 239 range of pore sizes of the UP membrane is significantly broader, as it encloses values from
 240 around 1 kDa to above 10⁴ kDa. SEM pictures of the surface of both membranes also

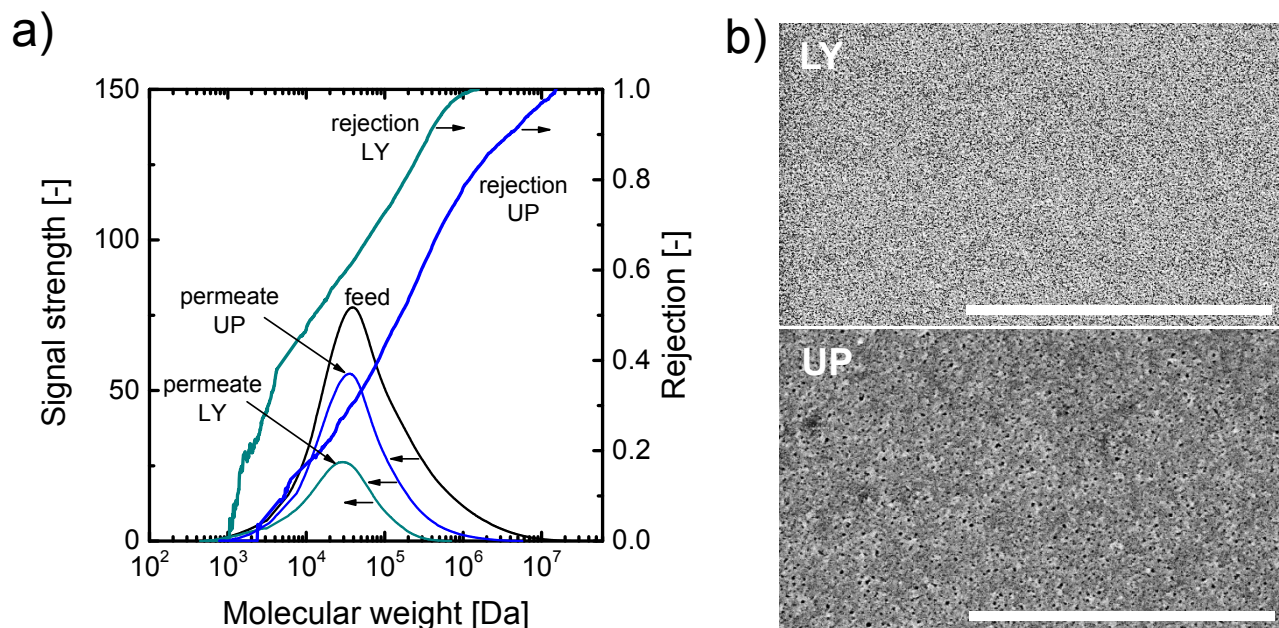


Figure 3: Characterization of UP and LY membranes: (a) SEC characterization for the determination of the MWCO and (b) SEM pictures of the surface of both membranes. The white bar at the bottom of the pictures indicates a length of $2 \mu\text{m}$

241 illustrate substantial differences regarding the pore sizes. LY pores are very difficult to be
 242 seen in the picture due to their small width, while the active layer of UP has larger pores
 243 and, in general, less uniform pore sizes throughout the membrane surface. Lower porosity
 244 of the LY membrane can also be inferred from the pictures.

245 3.2. Fouling tests with the improved flux-step method

246 Fig. 4 shows one of the results obtained after applying the IFSM procedure for SWW
 247 solutions. Schematic determinations of the critical flux (j_{cr}) and the critical flux for irre-
 248 versibility ($j_{cr,irr}$) are included in the graph. TMP increases during the filtration steps with
 249 different slopes depending on the level of permeate flux. In the ascending phase, at low
 250 fluxes a steady TMP value is reached, whereas at higher fluxes the TMP increase is more
 251 acute. Drawing two lines connecting the last TMP values registered during the filtration
 252 steps at low and high fluxes allows us to calculate an approximate estimation of the j_{cr} ,

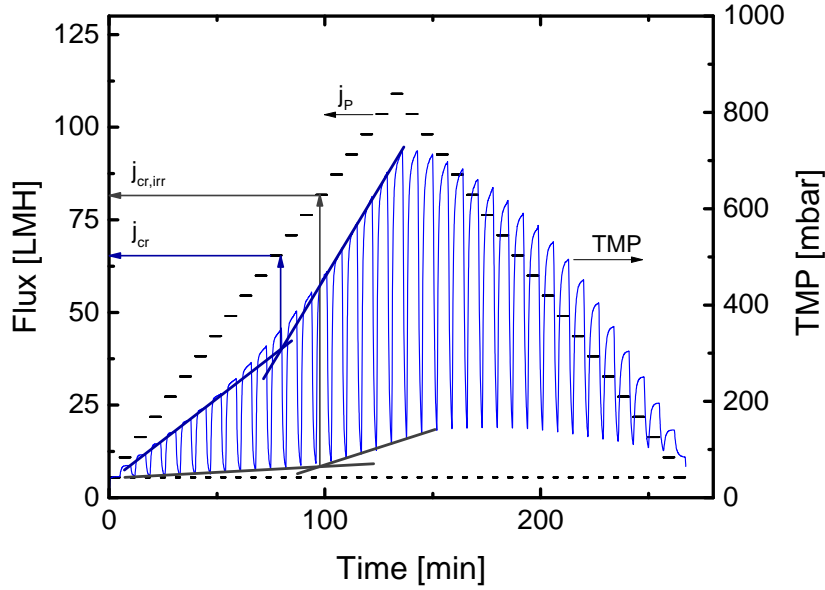


Figure 4: Example of an IFSM experiment conducted with SWW and LY membranes.

253 which in the example figure takes a value of 65 LMH. The response in the descending part
 254 of the graph shows a significant asymmetry compared to the ascending part, which gives
 255 an indication of cohesive fouling occurring during the experiment. Thus, membrane perme-
 256 ability cannot be restored to its initial values just by decreasing flux. An additional feature
 257 of the IFSM protocol is the profile of TMPs registered during the relaxation steps. Here,
 258 also the final TMP values rely strongly on the previously applied flux. At low fluxes, TMP
 259 reaches almost the same residual value. However, at fluxes higher than j_{cr} the TMP value
 260 remaining before the beginning of new filtration steps increases considerably and does not
 261 recover the initial value registered for low fluxes. The trends of TMP during relaxation after
 262 applying high and low fluxes were also fitted to visually indicate the calculation of $j_{cr,irr}$. As
 263 in van der Marel et al., taking a value of 80 LMH for the case presented in the graph, $j_{cr,irr}$
 264 exceeds j_{cr} significantly (van der Marel et al. (2009)). These results indicate that at fluxes
 265 slightly higher than j_{cr} , the development of fouling has a reversible character and thus, can
 266 be removed by intermediate relaxation cycles. However, at fluxes higher than $j_{cr,irr}$ the
 267 efficacy of relaxation decreases. A possible reason for this difference could be the transition
 268 between the deposition of loosely attached fouling and the development of cohesive fouling,

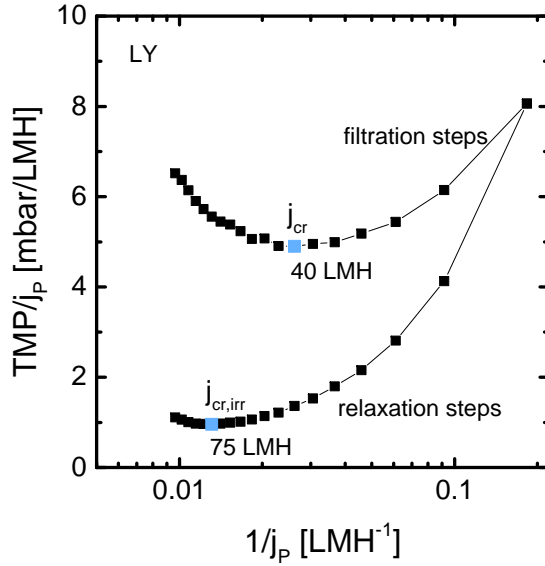


Figure 5: Determination of j_{cr} and $j_{cr,irr}$ from TMP/j_P vs. $1/j_P$ plots. Example corresponding to an IFSM experiment conducted for LY membranes with SWW solutions. Note that only the values corresponding to the ascending phase of the experiment are represented in this plot.

269 caused by the compression of fouling deposits. The access of foulants to the pores at higher
 270 driving forces or the growth of thicker gel layers on the membrane surface could also explain
 271 the differences between j_{cr} and $j_{cr,irr}$. Indeed, the formation of a gel layer on the membrane
 272 surface was verified at the end of each experiment.

273 The values of j_{cr} and $j_{cr,irr}$ were calculated accurately by treating the data from the
 274 IFSM experiments and representing TMP/j_P against $1/j_P$. These plots are analogous
 275 to the Cowan-Brown plots used in electrodialysis for determining limiting currents (Baker
 276 (2004); Martí-Calatayud et al. (2013)). Basically, TMP/j_P is proportional to the hydraulic
 277 resistance and is represented against the inverse of the permeate flux. After TMP/j_P reaches
 278 a minimum, the j_{cr} is exceeded and the resistance of the system grows abruptly. Therefore,
 279 the permeate flux corresponding to the minimum in the plots can be used to directly extract
 280 the values of j_{cr} and $j_{cr,irr}$ from their respective curves. The same procedure was employed
 281 with all membrane systems for all repetitions. The average values of both types of critical
 282 flux are summarized in Table 1. The values obtained for both membranes are strongly

Table 1: Values of j_{cr} and $j_{cr,irr}$ obtained for different solutions and membranes from IFSM experiments. All values are given in *LMH*.

Solution	UP		LY	
	j_{cr}	$j_{cr,irr}$	j_{cr}	$j_{cr,irr}$
SWW	49.1	100.8	41.8	78.2
HV-SWW	11.8	16.3	10.0	20.0
MBR sludge	26.8	>30.0	15.0	25.0

283 dependent on the type of solution used, while the differences between both membranes are
 284 small. Dependency between critical fluxes and solution viscosity can be observed, since the
 285 values obtained for SWW are by far the highest. Yet, the values obtained for HV-SWW and
 286 MBR sludge differ significantly. On the basis of the rheological properties of the samples
 287 alone, these differences were in principle not expected, as both solutions have the same
 288 viscosity at high shear rates and the viscosity at low shear rates is even lower for HV-SWW
 289 (cf. Fig. 2). Another remarkable fact is that, as exemplified in Fig. 4, in all cases $j_{cr,irr}$
 290 exceeds j_{cr} considerably. Thus, the intermediate regime where fouling develops faster but
 291 can still be removed by intermediate relaxation is common to all membrane and solution
 292 combinations.

293 The representation of flux against the last TMP values of each filtration step for all
 294 membrane-solution combinations tested are presented in Fig. 6 . Regarding the differences
 295 between both membranes, it can be seen that, in general, the permeability of UP is higher
 296 than that of LY. These differences are mostly determined by the membrane porosity, al-
 297 though the higher hydrophilicity of UP may also contribute to the higher permeabilities
 298 obtained for this membrane. The slight differences in j_{cr} between both membranes seem to
 299 be caused also by the differences regarding the size and distribution of pores. The attainment
 300 of a sufficiently high local flux at some small pores can boost colloid-colloid interactions and

301 initiate their coagulation at the pore entrance. Consequently, some parts of the membrane
302 surface become impermeable, and the local flux at the remaining permeable parts intensifies,
303 leading to the strong increase in resistance after exceeding j_{cr} . With the LY membrane, the
304 lower density of pores implies higher local fluxes, hence leading to lower values of j_{cr} .

305 Besides membrane permeability and critical fluxes, the differences between the ascending
306 and descending phase of IFSM experiments also give an idea of fouling reversibility. The
307 same permeate flux causes higher TMP values at the descending phase due to irreversible
308 fouling deposited during the previous flux steps. Accordingly, the area between the j_P -
309 TMP curves registered in the ascending and descending phases provides an estimation of
310 the irreversible character of fouling taking place during the measurement. In Fig. 6 all
311 curves except for the system UP-sludge exhibit a hysteresis loop indicating that irreversible
312 fouling has occurred during the measurements. Conversely, in the case of UP-sludge, the
313 ascending and descending phases of the IFSM measurement coincide as fouling deposited
314 during each filtration step is removed during the intermediate relaxation. These results are
315 also in agreement with the fact that no $j_{cr,irr}$ could be obtained from the data treatment
316 (see Table 1). As long as $j_{cr,irr}$ is not exceeded, the influence of fouling history is practically
317 absent in the curves.

318 *3.3. Fouling tests with the backwash-step method*

319 The BWSM was implemented for the same solutions and membranes as the IFSM. Fig. 7
320 shows an example of the evolution of TMP obtained during these experiments. The first
321 observable fact is the almost symmetrical evolution of TMP in the ascending and descending
322 phases, which already gives an idea of the reversible nature of fouling deposited during the
323 experiment. Intermediate backwash steps are able to remove fouling before it turns into
324 irreversible. The TMP evolution during a filtration step is similar to that during normal
325 IFSM experiments; however, the evolution during the backwash is remarkably different.
326 The attainment of negative pressures indicates the effective change of the direction of flux
327 through the membranes, taking place during the physical cleanings. Since the permeate
328 pressure sensor is only able to measure values up to -110 mbar, it was not possible to

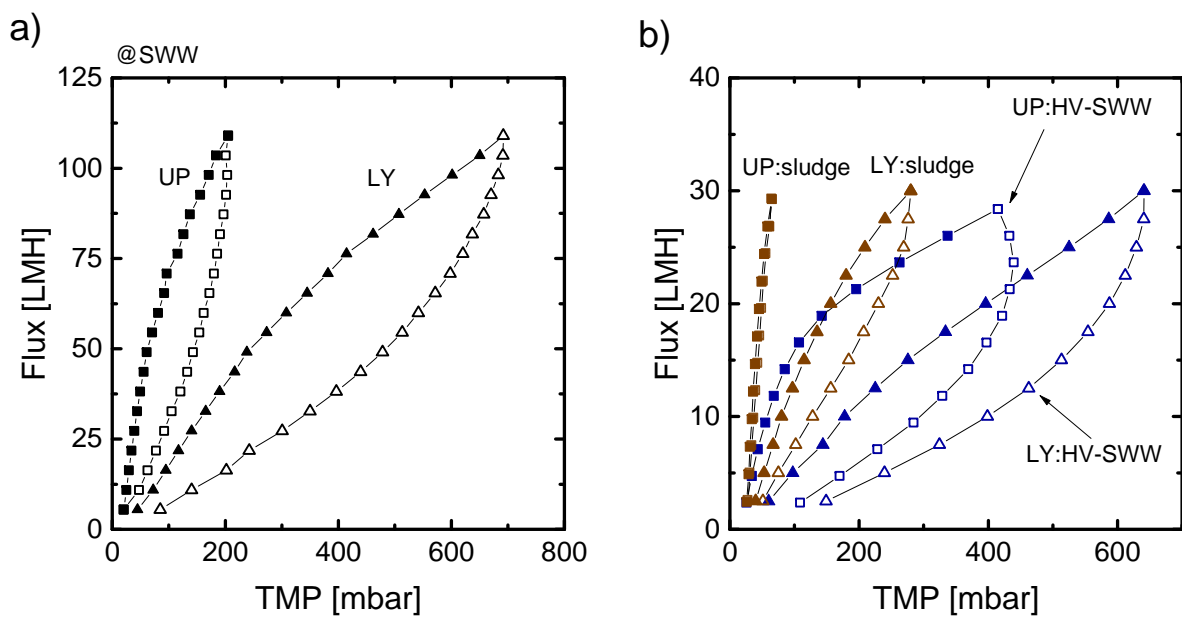


Figure 6: Comparison of the fouling curves obtained from the IFSM measurements for both membranes: (a) Curves obtained using SWW solutions and (b) curves obtained using MBR sludge and HV-SWW solutions. Filled dots represent the values obtained during the ascending phase of the IFSM experiments; empty dots represent those obtained in the descending phase.

329 register higher TMP values during backwash. As seen from the graph, backwash is mostly
 330 effective in removing foulants, since the increase in TMP during a subsequent filtration step
 331 is substantially attenuated.

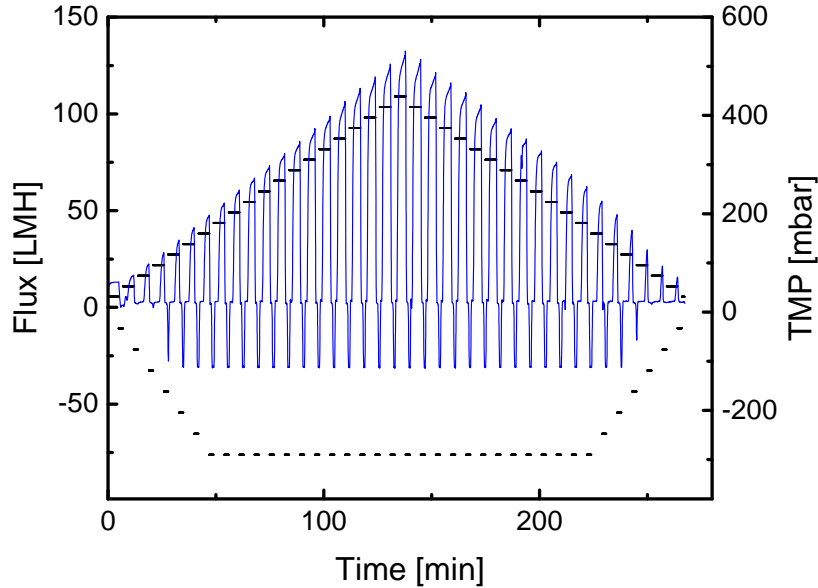


Figure 7: Example of a BWSM experiment conducted with SWW and LY membranes.

332 Fig. 8 shows a comparison between the fouling curves obtained from IFSM and BWSM
 333 experiments with SWW and HV-SWW solutions. All cases show the same behavior: at
 334 fluxes below j_{cr} , the curves obtained from both methods are similar; whereas at higher
 335 fluxes, the change in permeability for the BWSM curves is very smooth compared to that
 336 observed for IFSM, where the increase in membrane resistance is very notorious. Backwash
 337 intercalated between filtration steps induces a delay or attenuation of fouling within the
 338 range of fluxes tested, which is not achieved by means of relaxation. In view of these results,
 339 backwash demonstrated to be capable to remove more cohesive fouling than relaxation, thus
 340 preventing or rather postponing the attainment of a j_{cr} . This effect is also evident from the
 341 hysteresis observed with IFSM, which is absent in the case of the BWSM.

342 Despite the apparently similar permeability obtained from both methods at low fluxes,
 343 the values calculated indicate substantial differences in some cases, which are not directly
 344 observable from the graph due to the used scales. Table 2 summarizes the permeability of

345 each system with IFSM and BWSM protocols. The values shown are the averages of the
 346 different repetitions conducted in each case. As already seen in section 3.2, the permeability
 347 of LY is smaller compared to that of UP in all cases. Again, these results correspond
 348 with the low density of pores observed for the LY membrane in Fig. 3(b). With regard
 349 to the different solutions, the trends follow the decreasing order: SWW > MBR sludge
 350 >> HV-SWW. Curiously, the permeability obtained with MBR sludge is close to the one
 351 obtained with SWW, although both solutions differ in terms of viscosity significantly.

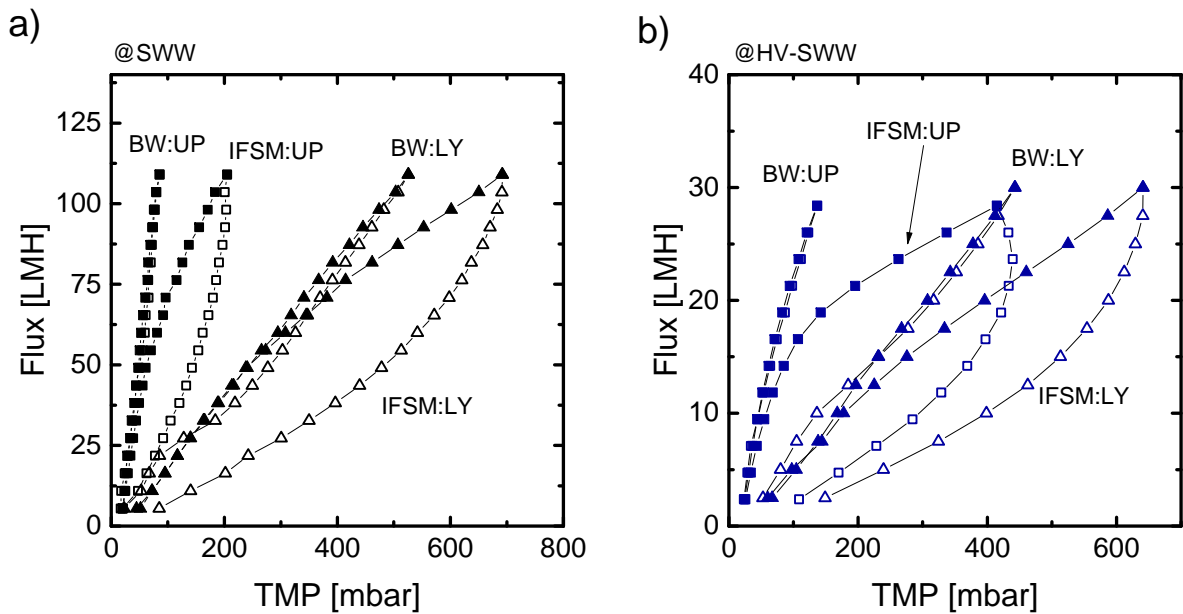


Figure 8: Comparison of the fouling curves obtained from the IFSM and BWSM measurements for both membranes: (a) Curves obtained using SWW solutions and (b) curves obtained using HV-SWW solutions. Filled dots represent the values obtained during the ascending phase of the experiments, while empty dots represent those obtained in the descending phase.

352 Regarding the differences between membrane permeability obtained with intermediate
 353 relaxation and intermediate backwash steps, the performance of the UP membrane seems
 354 to be more influenced by the type of physical cleaning. It seems that the UP membrane
 355 is more affected by pore clogging even at low permeate fluxes, while LY gets clogged only
 356 when high TMP values are applied and foulants get trapped or form a gel layer.

Table 2: Values of permeability obtained at undercritical fluxes from the IFSM experiments ($L_{p,IFSM}$) and BWSM experiments ($L_{p,BW}$) calculated for different solutions and membranes. All values are given in LMH/bar .

Solution	UP		LY	
	$L_{p,IFSM}$	$L_{p,BW}$	$L_{p,IFSM}$	$L_{p,BW}$
SWW	925.7	1415.5	223.1	216.7
HV-SWW	222.9	343.3	61.6	73.2
MBR sludge	831.1	1420.7	176.8	235.2

357 4. Discussion

358 The results obtained showed different trends depending on the type of membrane mate-
359 rial and on the solution characteristics. A remarkable observation is the low permeability of
360 the LY membrane caused by the low density of pores available for the transport of water.
361 However, this membrane showed low fouling propensity at undercritical fluxes, as revealed
362 by the modest change in permeability when applying intermediate relaxation or backwash.
363 The small pore size of LY makes this membrane less susceptible to pore clogging, as foulants
364 are rejected to a higher extent and their access to the internal membrane structure is hin-
365 dered. This hindrance is only overcome when high driving forces are applied, concentration
366 polarization is intensified and j_{cr} is attained. Compared to relaxation, the application of
367 backwash at undercritical fluxes does not provide a significant improvement in fouling re-
368 moval regardless of solution viscosity. Under conditions of low flux and small pore sizes,
369 implementation of relaxation would suffice to remove the loosely attached fouling and back-
370 wash would only imply a loss of permeate production. On the contrary, applying of backwash
371 to membranes with a broader pore size distribution, like UP, can be advantageous already
372 at low fluxes, as internal fouling may develop even at low fluxes when solutes and pore sizes
373 are similar. The results obtained are in agreement with the observations of Le Clech et al.:

374 narrow pore size distributions reduce the inhomogeneous flow distribution between pores
375 that lead to preferential deposition and blockage of membranes with large pores (Le-Clech
376 et al. (2006)).

377 In the regime of high fluxes, the advantages of using backwash are generalized for both
378 membranes. The values of j_{cr} and $j_{cr,irr}$ calculated with intermediate relaxations do not
379 correspond with the development of critical fouling when physical cleaning is conducted by
380 backwash. In this regard, intermediate backwash is able to suppress or delay the attainment
381 of a critical flux. In addition to this, the curves obtained in the ascending and descending
382 phase of the BWSM experiments are overlapping and verify the lack of hysteresis. Accord-
383 ingly, a high degree of reversibility of fouling can be ensured by backwash, as the j_P -TMP
384 evolution remains independent of the membrane filtration history. These observations indi-
385 cate that formation of gel layers may be the main phenomenon originating critical fluxes in
386 the present work. Formation of gel layers, contrary to pore clogging, may evolve similarly
387 for both types of membranes, as it is not as much affected by the pore size.

388 Unexpected phenomena have also been observed regarding the role of solution viscosity
389 on membrane performance. The permeability of both membranes when filtering MBR sludge
390 is in the same range as for SWW, although the viscosity of the sludge is threefold higher.
391 The viscosity of activated sludge increases with the sludge MLSS and has been attributed
392 a relevant role on causing increased fouling rates (Laera et al. (2007); Rosenberger et al.
393 (2002)). Higher MLSS concentrations are also related to higher production of EPS. In this
394 respect, numerous studies have been conducted to assess effects of viscosity, sludge retention
395 times and MLSS concentration of MBR sludge on membrane fouling and permeability (Meng
396 et al. (2007); Moreau et al. (2009); Wu et al. (2007)). Nonetheless, conclusions drawn across
397 different studies are frequently contradictory. The complexity of sludge matrices makes it
398 especially difficult to extract clear trends from different experimental results. Often some
399 specific sludge properties are the focus of research, while other relevant factors are over-
400 looked. In order to elucidate the reason for the relatively high permeabilities obtained with
401 MBR sludge compared to HV-SWW solutions, a deeper investigation of the fractions present
402 in the MBR sludge was performed. The filtrate of MBR sludge using a filter with pore size

403 of 0.45 μm was characterized by means of SEC in order to obtain an estimation of the
404 fractions of molecular weights present in the sludge. Fig. 9 shows the molecular character-
405 ization of the sludge filtrate, MBR feed wastewater and MBR permeate. Compounds with
406 high molecular weights appear at low elution volumes, while smaller molecules are detected
407 at larger elution volumes. The verticals drawn in the graph correspond to the characteristic
408 peak maximums detected when dextran standards were injected. They serve as a reference
409 to assign certain molecular weights with different elution volumes given the assumption that
410 they interact similarly with the column as the sludge filtrate. Molecular weight bands ap-
411 pearing at elution volumes lower than 6.2 ml thus correspond with high-molecular weight
412 bio-polymers. These compounds are not present in the incoming MBR wastewater so that
413 they are related to biomass growth in the bioreactor. The peak appearing at 7.8-7.9 ml is
414 common to the three samples analyzed, hence it is probably associated with polysaccharides
415 present in the wastewater, and also with EPS with a molecular weight ranging from 350 Da
416 up to 4.4 kDa. Finally, the last peak corresponds to NaN_3 added to the samples in order to
417 prevent microbial growth in the measuring devices.

418 The chromatograms indicate that the fraction of bio-polymers rejected by the membrane
419 is probably the principal contribution to the high sludge viscosity. In order to corroborate
420 this hypothesis, the viscosities of permeate samples obtained when filtering the three so-
421 lutions considered were also measured. The values obtained at a shear rate of 1000 s^{-1}
422 were 0.898, 2.502 and 0.903 $\text{mPa} \cdot \text{s}$ for SWW, HV-SWW and MBR sludge, respectively
423 (detailed graphs of the rheological behavior of different permeates can be found in the Ap-
424 pendix). These results confirm that the viscosity of MBR sludge permeate is very close
425 to that obtained for SWW, which is in agreement with the similar permeability obtained
426 for both solutions. Conversely, the viscosity of HV-SWW permeate is very close to that
427 of the original HV-SWW (3.2 $\text{mPa} \cdot \text{s}$). Consequently, the transport of permeate through
428 the membrane pores seems to be the phenomenon inducing a low permeability in the case
429 of HV-SWW. Effects caused by MBR sludge viscosity are, conversely, only relevant at the
430 membrane feed side. If the viscosity of the corresponding permeate is used to calculate
431 the membrane hydraulic resistance from the permeability reported in Table 2, the values

432 obtained for the different solutions become quite similar. The role of fluid flow resistance
433 inside the membrane porous network and the relevance of membrane selective properties on
434 MBR performance has not been given special attention in the literature. In this regard, it
435 is important to mention that Rosenberger et al. already highlighted the importance of the
436 sludge organic liquid fractions on membrane fouling (Rosenberger et al. (2016)). Nonethe-
437 less, the role of permeate viscosity was not treated in detail. Using a different approach,
438 Moreau et al. reviewed the effects of sludge viscosity on membrane fouling and concluded
439 that viscosity played a secondary role on membrane performance (Moreau et al. (2009)).
440 It is obvious that microfiltration membranes are not able to reject high-molecular viscous
441 solutes as efficiently as ultrafiltration does. Indeed, most of the studies reporting significant
442 effects of MLSS concentration and sludge viscosity on fouling were conducted with microfil-
443 tration membranes (Meng et al. (2007); Wang et al. (2006); Wu et al. (2007)). Consequently,
444 distinguishing between the removal efficiency of organic fractions of high molecular weight
445 by ultrafiltration and microfiltration in MBRs would be helpful in order to explain the di-
446 vergent conclusions drawn regarding the effect of viscosity on fouling in MBRs across the
447 literature.

448 Contrary to the irrelevant role of sludge viscosity on membrane permeability when the
449 fractions of high molecular weight are efficiently rejected, it was found that viscosity does
450 affect fouling phenomena taking place at the membrane surface. This is evidenced by the
451 change in j_{cr} and $j_{cr,irr}$ observed when treating SWW and HV-SWW. Higher viscosities
452 at the feed side intensify concentration polarization and, thus, gelation of colloids at the
453 membrane surface takes place at low flux levels. In view of these results, investigation of
454 higher and lower aeration intensities at the feed side could provide more information on the
455 relevance of shear-thinning effects on j_{cr} and $j_{cr,irr}$. This question is beyond the scope of
456 the present study although we are confident that our results will motivate further research
457 in this direction. Apart from this, applying backwash has been demonstrated to delay the
458 attainment of critical fouling events also when used with highly viscous fluids. It seems
459 that reversing the flux in intermediate physical cleanings is able to disintegrate gel layers at
460 the initial deposition stages and prevent formation of dense cake layers. Similar results were

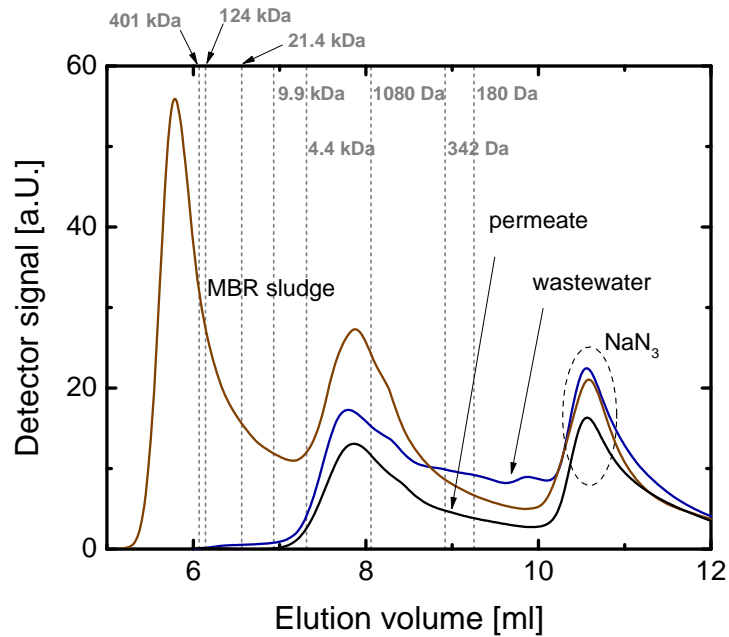


Figure 9: Molecular characterization of the organic fractions present in filtrate samples of MBR activated sludge, MBR feed wastewater and MBR permeate.

461 also reported by Sabia et al., where backwash was demonstrated to be effective in alleviating
 462 fouling associated with cake layer formation on the membrane surface (Sabia et al. (2014)).

463 5. Conclusions

464 The interplay between sludge rheology, membrane properties and type of physical clean-
 465 ing during fouling development in MBRs has been investigated in the present study. The
 466 improvement in fouling removal by backwash as compared to relaxation in immersed flat
 467 sheet membranes has been demonstrated by comparing the IFSM with the BWSM proce-
 468 dure, which has been developed and presented in this work. The main conclusions of the
 469 present paper are summarized as follows:

- 470 (i) Backwash has been demonstrated to avoid or delay attainment of critical fluxes. It is
 471 efficient already at undercritical fluxes when applied to membranes with a wide pore
 472 size distribution. However, backwash does not imply further advantages compared to
 473 relaxation for membranes with narrow pores, as internal fouling is not relevant for

474 these membranes at fluxes below j_{cr}
475 (ii) In agreement with previous works, $j_{cr,irr}$ exceeds in all cases j_{cr} . This result implies
476 the existence of a range of fluxes above j_{cr} where fouling irreversibility is low, thus
477 extending the range of fluxes where operation of MBRs is sustainable
478 (iii) High fluid viscosities are strongly related to manifestation of critical fouling at low
479 fluxes. The high shear stress predominating near the membrane surface intensifies
480 concentration polarization, so that gelation or condensation of colloidal matter at the
481 membrane surface occurs at lower fluxes as compared with low-viscous solutions
482 (iv) As long as critical fouling does not manifest and high molecular weight organic fractions
483 are rejected by the membrane, sludge viscosity does not play a significant role on
484 membrane permeability. On the contrary, membranes with broader pore sizes may
485 suffer from the access into the pores of highly-viscous organic fractions. The increased
486 mass transfer resistance in the pores can easily exceed the resistance of cake layers and
487 concentration polarization, thus decreasing the membrane permeability

488 All in all, combination of IFSM and BWSM for the investigation of fouling in MBRs has
489 been demonstrated to serve not only to find optimum conditions for operating MBRs but
490 also to improve the understanding about the nature of fouling phenomena and the role of
491 several factors on it. In this respect, the newly introduced BWSM can serve as a useful tool
492 for selection of best membrane during plant design and for optimization of the operation
493 mode during plant operation.

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Appendix A. Rheology of permeate samples

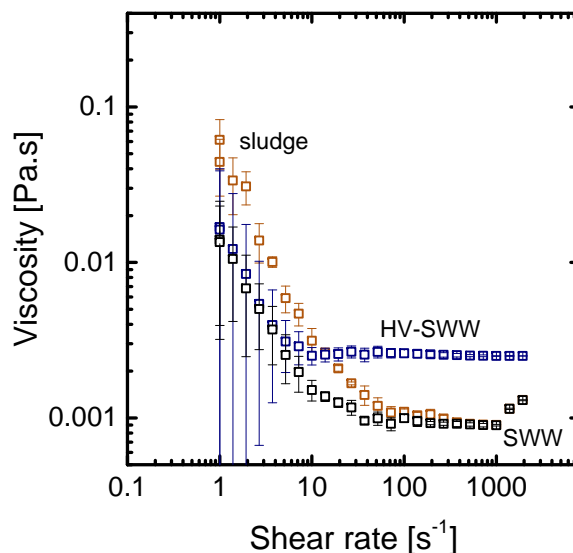


Figure A.10: Rheological behavior of the permeates obtained from filtering the different solutions used in the present study at a temperature of 25° C.

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