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Luján, JM.; Climent, H.; García-Cuevas González, LM.; Moratal-Martínez, AA. (2017). Volumetric efficiency modelling of internal combustion engines based on a novel adaptive learning algorithm of artificial neural networks. *Applied Thermal Engineering*. 123:625-634. <https://doi.org/10.1016/j.applthermaleng.2017.05.087>



The final publication is available at

<https://doi.org/10.1016/j.applthermaleng.2017.05.087>

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Additional Information

1 **Volumetric efficiency modelling of internal combustion engines**
2 **based on a novel adaptive learning algorithm of artificial neural**
3 **networks.**

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9 **Abstract**

10 Air mass flow determination is one of the main variables on the control of internal
11 combustion engines. Effectiveness of intake air systems is evaluated through the
12 volumetric efficiency coefficient. Intake air systems characterization by means of
13 physical models needs either significant amount of input data or notable calculation
14 times. Because of these drawbacks, empirical approaches are often used by means of
15 black-box models based on Artificial Neural Networks. As alternative to the standard
16 gradient descent method an adaptive learning algorithm is developed based on the
17 increase of hidden layer weight update speed. The results presented in this paper
18 show that the proposed adaptive learning method performs with higher learning speed,
19 reduced computational resources and lower network complexities. A parametric study
20 of several Multiple Layer Perceptron (MLP) networks is carried out with the variation of
21 the number of epochs, number of hidden neurons, momentum coefficient and learning
22 algorithm. The training and validation data are obtained from steady state tests carried
23 out in an automotive turbocharged diesel engine.

24 **Keywords**

25 Artificial Neural Networks; Adaptive learning; Diesel engines modeling; Volumetric
26 efficiency.

27 **1. Introduction**

28 Pollutant emissions in automotive diesel engines have become as a major subject of
29 research. Combustion process is affected by the in-cylinder trapped mass and gas
30 composition. Stratified combustion of diesel engines makes necessary a well
31 performance of the air mass flow system. Excess of air plays an important role in the
32 combustion efficiency since it speeds up the mixing of fuel with air and ensures
33 complete combustion of fuel. If sufficient oxygen is not provided to the engine during
34 combustion process, complete conversion of carbon and hydrogen is impossible to
35 attain and that leads to particulates, hydrocarbon and carbon monoxide resulting in
36 increased exhaust emissions [1]. If the excess air ratio is too high, the peak in-cylinder
37 pressure is also relatively high, which has a negative influence on the reliability of the
38 engine [2]. Higher excess of air also carries high NO_x emissions and excessive
39 exhaust gas temperature [3].

40 The performance of the air mass flow system is determined by the volumetric
41 efficiency. Pressure drops, gas temperature increase through heat transfer from the
42 intake pipes and cylinder walls, gas inertia, overlapping valve and pressure waves at
43 the intake manifold can difficult the cylinder filling [4, 5, 6].

44 Many authors have studied and modelled the intake air systems with the application of
45 thermo-fluid dynamic governing equations. 1D wave action models are the most
46 popular physical models in the intake air system analysis because of the tradeoff
47 between accuracy and computational cost [7, 8, 9, 10, 11].

48 However, the thermo and fluid-dynamic processes occurring in an internal combustion
49 engine are so nonlinear and complex that it is usually impossible to model all of them.
50 Modeling based on solving real physical equations governing the engine, although
51 accurate, is too time consuming and not suitable for a control purpose [12]. In addition,
52 the presence of controllers and actuators has increased in the intake engine systems.
53 A variety of new diesel engine air-path actuators, such as variable geometry

54 turbocharger (VGT), two-stage turbo-charging system, single- and dual-loop exhaust
55 gas recirculation (EGR), throttling valves at the intake and exhaust for EGR
56 enhancement and variable valve actuation (VVA), have been recently developed for
57 providing the authorities of controlling the intake manifold gas conditions in both
58 steady-state and transient operations [13]. The added systems involve an important
59 increase of physical model complexity, being necessary a lot of data about such
60 systems performance and therefore making difficult their accurate implementation.

61 Because of the important non linearities of the thermo and fluid dynamic engine process,
62 several researchers have developed empirical models based on Artificial Neural
63 Networks (ANNs) [14, 15]. Volumetric efficiency is commonly modelled empirically as a
64 black-box function of a combination of engine speed, intake manifold pressure, intake
65 manifold temperature, and exhaust manifold pressure [16]. ANNs have become an
66 important tool in empirical engine process modelling. The Multiple Layer Perceptron
67 (MLP) performs as one of the most popular ANNs architectures in order to implement
68 such models [17, 18, 19].

69 In this paper a novel gradient descent ANN model is proposed with the aim to
70 improve the learning process. An adaptive learning rate between network layers is
71 applied in order to increase the learning speed of the network. The outcome of the
72 proposed model is compared to the standard ANNs models showing the improvement
73 obtained with the use of the adaptive model.

74 The paper is described as follows. Section 2 details the experimental set up carried out
75 at the laboratory showing the features of the measurement equipment. In section 3 the
76 learning speed limitation of backpropagated ANNs is described. An adaptive learning
77 scheme is proposed as solution of learning speed reduction problem. The methodology
78 of ANNs implementation is included in this section. In section 4 results are shown
79 pointing out the optimal ANN architecture and its prediction capacity. Finally, in section
80 5 conclusions are presented.

81 2. Experimental methodology

82 2.1. Test cell description

83 Experiments with an in line 4 cylinder, 1.6 l, turbocharged HSDI diesel engine were
84 conducted. In Table 1 the features of the engine are shown. The engine was run under
85 steady state conditions at different operating points that covered the whole engine
86 torque and speed range. The engine layout is shown in Fig. 1, where the engine
87 operative variables used for the ANN model implementation are marked with blue
88 arrows in the point of measurement.

89 Relevant variables needed for the volumetric model implementation were recorded,
90 such as: engine speed, torque, intake manifold pressure, turbine inlet pressure, intake
91 manifold temperature, EGR rate and air mass flow rate. In order to assess the
92 volumetric efficiency according to real engine conditions, EGR was performed
93 depending on the engine load of the different running points.

94 Engine speed was measured through a KYSTLER encoder with an error of 0.02 Crank
95 Angle Degree (CAD). Engine torque was measured by the dynamometer SCHENK
96 DYNAS3, with an error of 0.1%. Temperatures were measured with thermocouples
97 type K of TCA brand, with a measurement error of 2%. Gas pressure was measured
98 with KISTLER pressure sensors with an error of 0.3%. Air mass flow rate was
99 measured by means of a hot wire anemometer of Sensycon brand, with a
100 measurement error of 1%.

101 Horiba Mexa 7100 DEGR was used to measure O₂, CO₂, CO, using a non-dispersive
102 infrared analyzer. The error of the gas analyzer is in the range of 2%. Both intake and
103 exhaust CO₂ measurements were recorded in order to obtain the LP EGR rates. The
104 EGR rate is defined as:

$$105 \quad X_{\text{EGR}} = \frac{\dot{m}_{\text{egr}}}{\dot{m}_{\text{air}} + \dot{m}_{\text{egr}}} \quad [1]$$

106 where \dot{m}_{egr} and \dot{m}_{air} are the mass flow of EGR gas and fresh air, respectively. Eq. [1]
 107 can be expressed as a function of a specific pollutant concentration, like CO_2 ,
 108 measured in the intake and exhaust manifold:

$$109 \quad X_{EGR} = \frac{[CO_{2\ INT}] - [CO_{2\ ATM}]}{[CO_{2\ EXH}] - [CO_{2\ ATM}]} \quad [2]$$

110 where $[CO_{2\ INT}]$, $[CO_{2\ ATM}]$ and $[CO_{2\ EXH}]$ are the carbon dioxide concentration in the
 111 intake, ambient and exhaust place respectively.

112 2.2. Experimental data

113 71 running points were conducted at different steady engine load conditions of the
 114 operative range of an EURO V engine. Fig. 2 shows the EGR rate as a function of the
 115 engine speed and torque, where each circle corresponds to an engine operating point.
 116 The range of the operation points was as follows: 1250 to 3750 rpm for engine speed,
 117 5 to 317 Nm for engine torque, and 0% to 38% for LP EGR rates. Engine speed
 118 Variables at each steady point were obtained from the average of 300 points sampled
 119 at 10 Hz. Volumetric efficiency is calculated from:

$$120 \quad \eta_{vol} = \frac{\dot{m}_{total}}{\frac{P_{intake}}{R \cdot T_{intake}} \cdot V_{cyl} \cdot z \cdot \frac{n}{2}} \quad [3]$$

121 where P_{intake} and T_{intake} are inlet manifold pressure and temperature respectively, R is
 122 the ideal gas constant, V_{cyl} is the cylinder displacement, z is the number of cylinders
 123 and n is the engine speed. \dot{m}_{total} is the total mass flow rate coming into the cylinders,
 124 calculated as:

$$125 \quad \dot{m}_{total} = \dot{m}_{air} + \dot{m}_{egr} \quad [4]$$

$$126 \quad \dot{m}_{total} = \dot{m}_{air} + \dot{m}_{air} \cdot \frac{X_{EGR}}{1 - X_{EGR}} \quad [5]$$

$$127 \quad \dot{m}_{total} = \frac{\dot{m}_{air}}{1 - X_{EGR}} \quad [6]$$

128 3. ANN model

129 3.1 ANNs basics

130 An artificial neural network, usually called neural network, is a mathematical model
131 which is inspired by the structure and functional aspects of a biological nervous
132 system. They have been shown to exhibit many abilities, such as learning,
133 generalization and abstraction [20]. The most common network structure used in ANNs
134 is the Multiple Layer Perceptron. MLP network has an input layer, followed by one or
135 more hidden layers and an output layer. Each layer has some artificial neurons (nodes)
136 with their biases (b), a weight matrix (w), and an output vector. A layer of neurons that
137 receives inputs directly from outside the network is called input layer. A layer that
138 produces the output of network is called output layer and layers that are between the
139 input and output layers are called hidden layers [21]. Fig. 3 shows the general layout of
140 an ANN. In the case of a network with one hidden layer the network output is computed
141 according to the following equation, [22, 23].

$$142 \quad f(x_{in_1}, x_{in_2}, \dots, x_{in_n}) = \theta(b_{21} + \sum_{m=1}^M w_{2m} \cdot \theta(b_{1j} + \sum_{i=1}^n w_{1i} \cdot x_i)) \quad [7]$$

143 Where x_{in} are the input variables of the model. θ is the neural function, b are the biases
144 of neurons, w are the synaptic weights, x are the outputs of the neural functions, n is
145 the number of input variables of the model and M the number of hidden neurons.

146 The use of Back Propagation (BP) learning method to train feedforward neural
147 networks has been proven to provide powerful tools for analyzing real world and
148 complex problems [24]. However, the BP learning method has some shortcomings
149 such as slow learning speed associated with computational complexity [24, 25]. BP
150 learning is based on the backward propagation of the updating synaptic weights from
151 the output to the input layer. In this paper a descendent gradient BP with momentum is

152 implemented as learning algorithm. Logistic function is used as neuron. Weights are
 153 updated according to delta rule with momentum [26]:

$$154 \quad \Delta w_{ij}^{t+1} = -\alpha \cdot \frac{\partial E}{\partial w_{ij}} + \mu \cdot \Delta w_{ij}^t \quad [8]$$

155 where Δw_{ij}^{t+1} is the increase of the ij weight, α the learning rate, μ is the momentum
 156 coefficient, Δw_{ij}^t is the last weight update and E is the network error function defined as:

$$157 \quad E = \frac{1}{2} \cdot \sum_{p=1}^P (y_p - o_p)^2 \quad [9]$$

158 where P is the number of input/output patterns, y_p is the target value of the p-pattern
 159 and o_p is the predicted output value of the p-pattern. Delta rule can be obtained as a
 160 combination of Eq. [8] and [9]. The final expression, without including the momentum
 161 term, is shown in Eq. [10]. It shows the general equation of descendent gradient with
 162 backpropagation in a neural network. Its representation in vector notation for a network
 163 of L layers is expressed as:

$$164 \quad [\Delta w]^l = -\alpha \cdot \sum_{p=1}^{P=P} (y_p - o_p) \cdot \left(\prod_{\substack{k=L \\ l+1 \\ k < L}}^k [FW^k]_p \right) \odot \left(\left[\frac{\partial \theta}{\partial z} \right]^l_p \cdot [x]^l_p \right) \quad [10]$$

165 \odot represents the element-wise product of matrices, also known as Hadamard or
 166 Schurd product.

167 l is the layer under study in the network.

168 $[FW^k]$ is the matrix obtained at the layer k as:

$$169 \quad [FW^k] = \left[\frac{\partial \theta}{\partial z} \right]^k \cdot [w]^k \quad [11]$$

170 $\left[\frac{\partial \theta}{\partial z} \right]^k$ is the derivate of each neuron function with respect to the net neuron input z ,
 171 calculated at the layer k.

172 z is the weighted neuron input. For a neuron i of the layer k it is defined as:

$$173 \quad z_i = b_i + \sum_{j=1}^n w_{ij} \cdot x_j \quad [12]$$

174 Where b_i is the bias of the neuron i , w_{ij} are the weights that connects the neuron i with
 175 the n neurons of the previous layer and x_j is the output of the previous neurons. In the
 176 case of the first hidden layer x_j is the input variable of the network.

177 The product operator multiplies all $[FW^k]$ matrices of layers located between the layer
 178 $l + 1$ until the output layer. In case of $l = L$ is defined as the unit.

179 The last term of the Eq. [10], $\left[\frac{\partial\theta}{\partial z}\right]^l \cdot [x]^l$, belongs to the layer that is being updated.

180 Neuron biases are calculated by the application of the gradient descendent rule too.

181 The expression of biases, Eq. [13], is quite similar than the weights, Eq. [10].

$$182 \quad [\Delta b]^l = -\alpha \cdot \sum_{p=1}^{p=P} (y_p - o_p) \cdot \left(\prod_{\substack{k=L \\ l < k}}^{k=L} [FW^k]_p \right) \odot \left(\left[\frac{\partial\theta}{\partial z} \right]^l_p \right) \quad [13]$$

183 3.2 Adaptive learning

184 The learning schema shown in the previous section entails different learning speeds
 185 between layers. As the number of hidden layers increases, the term $[FW^k]$ reduces
 186 because of the logistic neural function derivate is bounded between 0-0.2. This fact
 187 drives to the phenomenon named as “vanishing gradient problem” [27, 28]. Vanishing
 188 produces lower update velocities in the weights that belong to shallow layers (layers
 189 near to the network input). As solution to the different learning speed is proposed an
 190 adaptive learning rate fit by means of the layer depth in the network. In this paper,
 191 allusions to this adaptive learning rate are referred to as ADDELE (ADaptive DEpth
 192 LEarning). The aim of this learning is to make equal the learning speed between layers
 193 through the application of different learning rates. Eq. [10] can be written as:

$$194 \quad [\Delta w]^l = -\alpha \cdot \sum_{p=1}^{p=P} (y_p - o_p) \cdot O(h^{L-l})_p \odot \left(O(h)_p \cdot [x]^l_p \right) \quad [14]$$

195 Where $O(h^{L-l})$ is the function that represents the product operator and $O(h)$ the $\frac{\partial\theta}{\partial z}$
 196 term of the Eq. [10]. The delta weight function at the hidden layers is expressed as:

$$197 \quad \Delta w^l = O(h^{L-l+1}) \quad [15]$$

198 In case of the output layer, $O(h^{L-l})_p = 1$, the delta weight expression is reduced to the
 199 following equation:

$$200 \quad \Delta w^L = O(h) \quad [16]$$

201 Despite the terms $[FW^k]_p$ are not bounded, they usually take values lower than unit,
 202 producing the aforementioned vanishing problem at the hidden layers. In order to
 203 reduce vanishing, the weight update function of the hidden layer l , Eq. [15], is forced to
 204 have the same degree as the weight function of the output layer, Eq. [16].

205 As $O(h^{L-l+1})_p$ is defined by each pattern, the minimum function of the whole patterns is
 206 calculated and used as reference function for the adaptive learning calculation:

$$207 \quad O(h^{L-l+1}) = \min \left(\prod_{\substack{k=L \\ l < L}}^{k=L} [FW^k]_p \cdot \left[\frac{\partial \theta}{\partial z} \right]_p^l \right), p = \{0, 1, 2, \dots, P\} \quad [17]$$

208 Once $O(h^{L-l+1})$ is defined by the network layer, the new learning rate coefficient is
 209 calculated at each hidden layer:

$$210 \quad \alpha_L \cdot O(h^{L-l+1})^{\frac{1}{L-l+1}} = \alpha_l \cdot O(h^{L-l+1}) \quad [18]$$

$$211 \quad \alpha_l = \alpha_L \cdot \frac{O(h^{L-l+1})^{\frac{1}{L-l+1}}}{O(h^{L-l+1})} \quad [19]$$

$$212 \quad \alpha_l = \alpha_L \cdot O(h^{L-l+1})^{\frac{L-l}{L-l+1}} \quad [20]$$

213 where α_L is the learning rate of the output layer and α_l is the learning rate of the hidden
 214 layer that is under study.

215 As $O(h^{L-l+1})$ is a function obtained from the minimum of patterns, it can drive, in some
 216 conditions, to excessive learning rates. It was observed that too high learning rates, α_l ,
 217 can be obtained at the first epochs of learning due to the sensibility to the random
 218 weight initialization. In order to avoid instabilities the α_l is bounded to 0.5. The learning
 219 rate limitation was defined by trial and error procedure. The same procedure can be

220 applied in bias update correction obtaining the same mathematical expression than Eq.
221 [20].

222 3.3 ANN methodology

223 In this study a one hidden layer, feed-forward, neural network is implemented. Logistic
224 function is used as neuron activation function at the hidden and output layers.

225 80% of the whole experimental data is used for training the ANN and the other 20% is
226 used for model validation. The division of data in subsets is randomly obtained
227 according to cross random validation technique [29].

228 The input model variables are: engine speed, torque, intake manifold pressure, turbine
229 inlet pressure and intake manifold temperature. Model input variables were selected
230 according to the bibliography available in the field of volumetric efficiency modelling
231 applied to internal combustion engines [15, 17, 23, 30]. Volumetric efficiency is defined
232 as output variable. Variables are normalized according to min-max scaling:

$$233 \quad x_n = \frac{x_i - \min(x)}{\max(x) - \min(x)} \quad [21]$$

234 where x_n is the normalized value of x_i in the range of [0,1].

235 Training process is analyzed by means of the R^2 coefficient [31], defined as:

$$236 \quad R^2 = 1 - \frac{\sum_{p=1}^{p=P} (y_p - o_p)^2}{\sum_{p=1}^{p=P} (y_p - \bar{y}_p)^2} \quad [22]$$

237 where y_p is the target value, o_p is the network output of each pattern and \bar{y}_p is the
238 mean of target values.

239 Validation process is evaluated by the maximum relative error of the 95% of validation
240 samples, denoted as “error 95” in this paper. In addition the Mean Absolute Percentage
241 Error (MAPE) is included as validation coefficient [32] and it is defined as:

$$242 \quad MAPE = \frac{1}{P} \cdot \sum_{p=1}^{p=P} \left| \frac{y_p - o_p}{y_p} \right| \cdot 100 \quad [23]$$

243 However, the analysis of validation only by means of MAPE can drive to ANNs with
244 outliers that would be neglected by this coefficient.

245 ANNs architecture selection is obtained from a parametric study of one hidden layer
246 neural network. The number of hidden neurons covers the range between 6 to 16. The
247 momentum coefficient, Eq. [8], is varied between 0.7 to 0.9. Fixed and adaptive
248 (ADDELE) learning is included as a variable of the parametric study. The risk of
249 overfitting, [33, 34], mainly at high number of epochs as well as high number of
250 neurons, makes necessary to include the early stop technique [35, 36]. The number of
251 training epochs is included in the study in the range of 200 to 15000 epochs. Because
252 of the important computational cost, all available combinations are not tested and the
253 number of different ANNs architectures proposed was 504.

254 The cross validation procedure for each ANN architecture, defined by the parametric
255 study, is repeated 40 times to reduce random initialization issues. Learning and
256 validation performance is obtained from the average of the 40 ANNs set. Therefore, the
257 total number of ANNs implemented in this parametric study is 20160.

258 **4. Results and discussion**

259 ANNs learning performance is represented in Fig. 4 (a-c), for the momentum
260 coefficients of 0.7, 0.8 and 0.9 respectively. Each chart shows the learning capacity
261 (R^2) of both fixed and ADDELE algorithm. R^2 is calculated from the average of the 40
262 repetitions of each ANN. The scatter of points is interpolated according to the Delaunay
263 triangulation by means of matplotlib programming libraries [37]. The colorbar legend
264 represents the R^2 value at the interpolated surface.

265 The result of the interpolation shows two different surfaces per chart, where the top
266 surface always belongs to the ADDELE schema. This fact points out the higher
267 learning prediction of ADDELE compared to the fixed learning. The learning R^2 evolves
268 along the epochs number as increasing monotonic function. The increase in the
269 learning outcome with the number of epochs is notable mainly when the variation of

270 epochs is done in the low number of epochs zone, as observed by regarding the slope
271 of the surface between number of epochs and R^2 . The increase of R^2 with the number
272 of hidden neurons is noticed too, but with lower impact than the number of epochs.

273 Regarding the difference between figures due to the momentum term, the higher the
274 momentum is the better the learning performs. Higher values of R^2 , as well as higher
275 learning speeds, are obtained with the increase of momentum.

276 However, the ANNs performance cannot be analyzed only by means of the learning
277 outcome. Both learning and validation performance have to be analyzed together. Fig.
278 5 shows the relation between learning and validation of the whole ANN set formed by
279 the 504 different networks. Each mark in the chart represents the average learning R^2
280 and error 95 (Error 95) of the 40 repeated networks. According to the figure, as it was
281 shown in Fig. 4, it is obtained higher learning R^2 with ADDELE, compared to the fixed
282 learning. ADDELE increases the velocity of learning and therefore, for the same
283 network architecture, ANNs with higher R^2 are obtained. In addition to the learning
284 speed it is remarkable the tendency observed between learning and validation
285 performance. As the learning capacity increases the validation error (error 95)
286 increases too. This tendency is the proof of the overfitting phenomenon, where
287 accuracy and generalization are faced. This outcome matches the conclusions
288 obtained by several researchers [38, 39].

289 The ANNs selection is a tradeoff between the learning and validation performance. In
290 order to carry out this selection an objective function that relates both coefficients, R^2
291 and error 95, is defined. The objective function, named as Normalized Error Function
292 (NEF), represents the global error of both learning and validation. NEF is calculated by
293 each ANN (denoted as NEF_i) that has a R^2 higher than 0.75 with the expression:

$$294 \quad NEF_i = C_{R^2} \cdot (1 - R_{norm,i}^2) + (1 - C_{R^2}) \cdot E_{norm,i}^{95} \cdot R_i^2 \geq 0.75 \quad [24]$$

295 where C_{R^2} is the weight of the learning term, bounded between 0 – 1. $R_{norm,i}^2$ and $E_{norm,i}^{95}$
296 are the normalized R^2 and error 95 respectively by each ANN:

$$297 \quad R_{\text{norm}_i}^2 = \frac{R_i^2 - \min([R^2])}{\max([R^2]) - \min([R^2])} \quad [25]$$

$$298 \quad E_{\text{norm}_i}^{95} = \frac{E_i^{95} - \min([E^{95}])}{\max([E^{95}]) - \min([E^{95}])} \quad [26]$$

299 where R_i^2 is the determination coefficient by ANN and $[R^2]$ is the vector of R_i^2 that are
 300 higher than 0.75. E_i^{95} is the error 95 of each ANN validation and $[E^{95}]$ is a vector of E_i^{95}
 301 of ANNs whose R_i^2 are higher than 0.75.

302 The C_{R2} coefficient of the objective function NEF is fitted to 0.4 in order to give more
 303 importance to the generalization capacity of neural networks.

304 Table 2 shows the best 5 ANNs architectures of the whole simulated set. The first two
 305 ANNs at the top of that table show similar NEF values. The first one with the ADDELE
 306 schema while the second with the fixed algorithm. Despite similar global performance
 307 is achieved, the number of hidden neurons as well as the number of learning epochs
 308 needed in case of ADDELE is significantly lower than the fixed learning. Learning
 309 calculation time depends on the number of training epochs as well as on the number of
 310 mathematical operations performed per epoch. The calculation time is proportional to
 311 the number of learning epochs because of the calculation time by epoch remains
 312 constant during the training process. Regarding the mathematical operations by epoch,
 313 they can be divided in sums, products and neuron function evaluations. According to
 314 the backpropagation learning, Eq.[10] and Eq.[13], the weights and biases update
 315 requires firstly an evaluation of the network output and then, the application of the
 316 chain rule from the output layer to the network layer where the weight under update is
 317 placed.

318 The number of operations to evaluate the output of an ANN model is defined by the
 319 number of hidden layers, neurons and model input variables. In the case of one hidden
 320 layer neural networks with one neuron at the output layer the number of both sums and
 321 multiplications is as follows:

$$322 \quad \chi_+ = \chi_x = (n + 1) \cdot M \quad [27]$$

323 Where χ_+ and χ_x represent the number of sums and multiplications, n is the number of
 324 inputs to the network and M is the number of hidden neurons. The number of neurons
 325 function evaluations is:

$$326 \quad \chi_N = M + 1 \quad [28]$$

327 Where χ_N is the number of neuron function evaluations. From the sum of the three kind
 328 of operations is obtained:

$$329 \quad \chi_T = 2 \cdot (n + 1) \cdot M + (M + 1) \quad [29]$$

330 Where χ_T represents the total number of operations for network output calculation. As
 331 the number of inputs is not modified between the different ANN performed in this
 332 paper, the total number of operations can be expressed as a function only dependent
 333 on the number of hidden neurons. In the particular case of six input variables the total
 334 number of operations for network output evaluation can be expressed as:

$$335 \quad \chi_T = 15 \cdot M + 1 \quad [30]$$

336 The number of operations per layer carried out by the application of the chain rule to
 337 update the weights and biases in a one hidden layer network can be expressed as:

$$338 \quad \chi_x = \sum_{l=1}^{L-1} (L - l + 2) \cdot M_{l-1} \cdot M_l \quad \text{Weights.} \quad [31]$$

$$339 \quad \chi_x = \sum_{l=1}^{L-1} (L - l + 1) \cdot M_l \quad \text{Biases.} \quad [32]$$

340 Where L is the total number of layers in the network (input, hidden and output), l is the
 341 layer number where the weight is placed, M is the number of neurons in a layer. The
 342 learning rate used for weight velocity update control is included in the above
 343 expressions. In the case presented in this paper a six input neurons networks is
 344 propose, so the total number of operations according to the chain rule required by the
 345 backpropagation technique is:

$$346 \quad \chi_T = 32 \cdot M \quad [33]$$

347 The above expression is slightly higher in case of the ADDELE learning application,
348 because of the learning rate (α) has to be calculated by layer at each training epoch.

349 The number of multiplications in the case of ADDELE learning is:

$$350 \quad \chi_T = 32 \cdot M + 2 \quad [34]$$

351 Considering the Eq [30], Eq [33] and Eq [34] the total amount of operations is as
352 follows.

$$353 \quad \chi_{\text{learning}} = 47 \cdot M + 1 \text{ Fixed learning} \quad [35]$$

$$354 \quad \chi_{\text{learning}} = 47 \cdot M + 3 \text{ ADDELE learning} \quad [36]$$

355 In case of neural networks with 6 hidden neurons or more the above expressions can
356 be approximated, with an error lower than 1%, to:

$$357 \quad \chi_{\text{learning}} = 47 \cdot M \quad [37]$$

358 Therefore, the calculation time can be considered as proportional to the number of
359 hidden neurons and epochs.

360 Comparing the two best ANN, placed at the top of the Table 2, the total reduction of
361 time by using the ADDELE algorithm is 57%. With the ADDELE algorithm the number
362 of learning epochs has been reduced to the half and the number of hidden neurons
363 have been reduced in two. So, taking into account the lower complexity and calculation
364 time of the ADDELE ANN, it is selected as the optimal network.

365 The performance of the selected network, 6-12-1 topology, momentum=0.9, learning
366 epochs=6000 with ADDELE algorithm, is shown in Fig. 6, 7, 8 and 9. The best fixed
367 ANN learning (6-14-1 topology, momentum=0.9, learning epochs=12000) is included in
368 the charts too.

369 Fig. 6 is a goodness of fit chart where network outcomes of the training data are plotted
370 against the respective target values. Dotted lines represent the 5% relative error above
371 and below the measured value. Higher dispersion is observed in case of fixed learning
372 schema, which shows higher amount of points that are closer or outside the boundary

373 of the 5% relative error. In Fig. 7 the validation goodness of fit is depicted. The network
374 makes predictions of data that have not been shown during the learning process and
375 therefore the accuracy of prediction reduces. The coefficient of determination in the
376 case of validation data is 0.3 for both fixed and adaptive learning algorithms. The
377 reason of this low fitting value is twofold: on one hand, is caused by the low range of
378 the target variable since the volumetric efficiency is constrained to values between
379 0.73-1 with high density of points (50% of total data) between 0.8-0.9. The lower the
380 natural variability is the higher the accuracy of a model has to be in order to obtain high
381 determination coefficients. On the other hand, the complex non linear relation between
382 the input variables and the volumetric efficiency makes difficult the validation process.
383 For getting higher determination coefficients the data sample size for the training
384 process would need to be significantly higher, which for internal combustion engines
385 experimental testing involves high cost on laboratory measurements.

386 Fig. 8 shows the distribution of the relative error in ANN validation. The histogram error
387 roughly meets the characteristic normal distribution in both learning schemas: fixed and
388 ADDELE. Anomalous pattern is observed at the positive tail edge of the normal
389 distribution, where the error is the highest and reaches the 25%. The worst
390 performance of both models occurs in the same experimental point. The poor
391 prediction at this point is observed in the different repetitions of ANNs. These two facts
392 drive to consider this experimental point as an outlier because the high prediction error
393 has no dependency on the model features. The prediction error without considering the
394 aforementioned outlier is shown in Fig. 9 where the error pattern fits better to the
395 normal distribution and the maximum error is reduced to around 20%.

396 **5. Conclusions**

397 The application of a neural network method to predict the volumetric efficiency in a
398 diesel engine has been examined. Experimental data of an engine map were used as
399 input variables. Measurement points were carried out at steady state conditions.

400 A cross validation methodology based on the minimization of an objective function was
401 proposed. The learning and validation performance was analyzed together.

402 An adaptive learning algorithm based on the application of different learning rates
403 between network layers as a way to enhance the learning network speed was
404 proposed. The results of the optimization procedure showed that ADDELE
405 architectures performs with higher learning speed without sacrificing prediction capacity
406 than fixed networks.

407 Despite vanishing phenomenon has higher impact on deep neural networks, the effect
408 on learning speed is remarkable even in the architecture of one hidden layer analyzed
409 in this paper. The learning acceleration through ADDELE drives to lower computational
410 costs and lower network complexities.

411 The maximum generalization error of the neural network, according to the validation
412 analysis, was bounded to around 13% with an average relative error of 5.5%. The
413 learning coefficient of determination was 85%.

414 **Aknowledgments**

415 Authors want to acknowledge the “Apoyo para la investigación y Desarrollo (PAID)”
416 ,grant for doctoral studies (FPI S1 2015 2512), of Universitat Politècnica de València.

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543 **Appendix A. tables.**
544 **Table 1**
545 Engine specifications.

Cylinder number	In-line 4
Bore x stroke (mm)	80 x 79.5
Displacement (cm ³)	1598
Compression ratio	15.4:1
Valve number	16
Valvetrain	Double cam shaft over head
Fuel delivery system	Common rail. Direct injection.
EGR system	HP and LP cooled EGR
Intake boosting	Turbocharger with VGT
Maximum power (kW/rpm)	96/4000
Maximum torque (Nm/rpm)	320/1750
Torque at maximum power (Nm)	315
Specific power (kW/liter)	60.86

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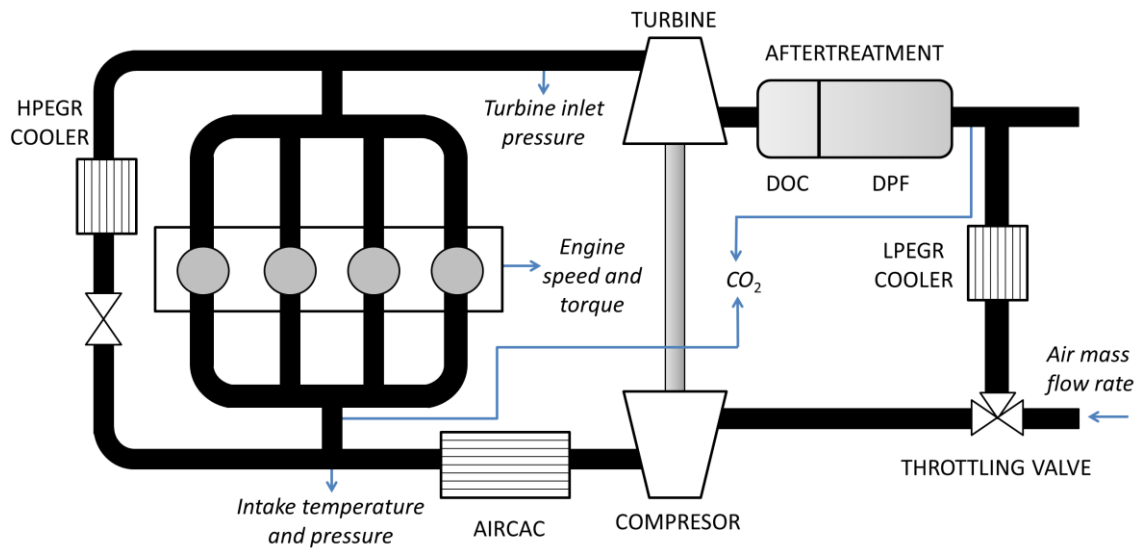
547 **Table 2.**

548 Parametric study outcome. Ranking of ANNs by NEF

Hidden neurons	Momentum	Epochs	R ²	Error 95 (%)	MAPE (%)	Learning algorithm	NEF
12	0.90	6000	0.85	12.92	5.52	ADDELE	0.333
14	0.90	12000	0.79	12.01	4.95	FIXED	0.340
14	0.70	9000	0.77	11.68	5.06	ADDELE	0.351
8	0.80	15000	0.80	12.26	5.29	ADDELE	0.356
16	0.90	7000	0.89	13.86	5.8	ADDELE	0.360

549

550 **Appendix B. Figures.**



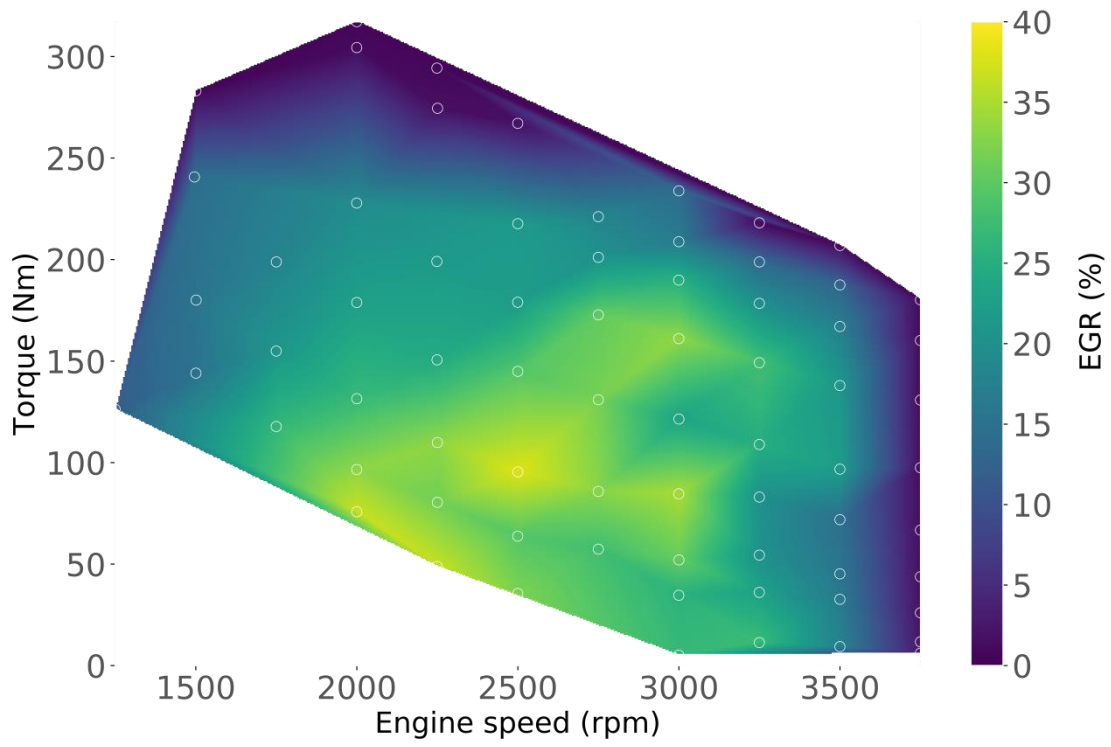
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552 Figure 1. Engine layout. In capital letters the main components of the engine are

553 indicated. In italics and pointed with blue arrows the measured operative engine

554 variables used as model inputs are denoted.

555

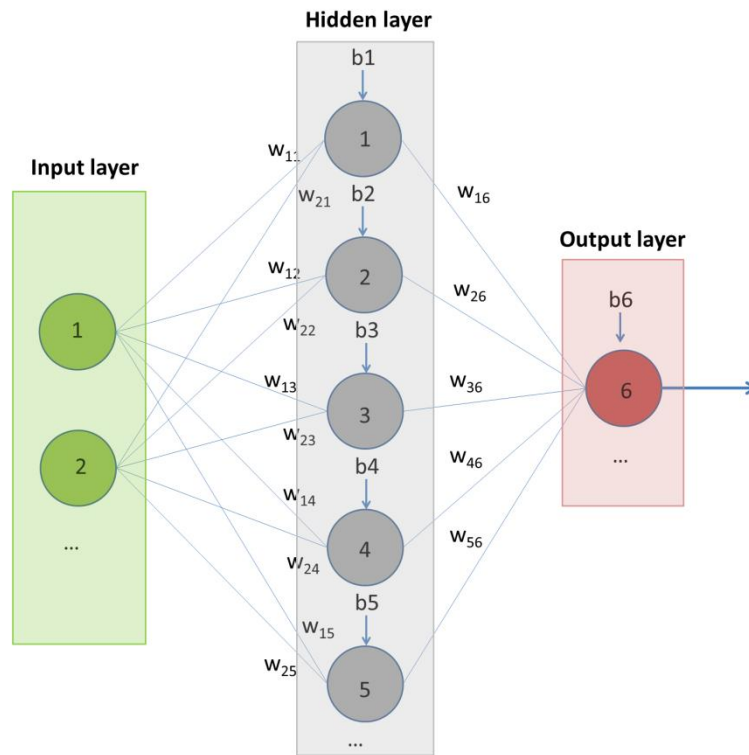


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Figure 2. Engine map for engine speed torque and EGR rate.

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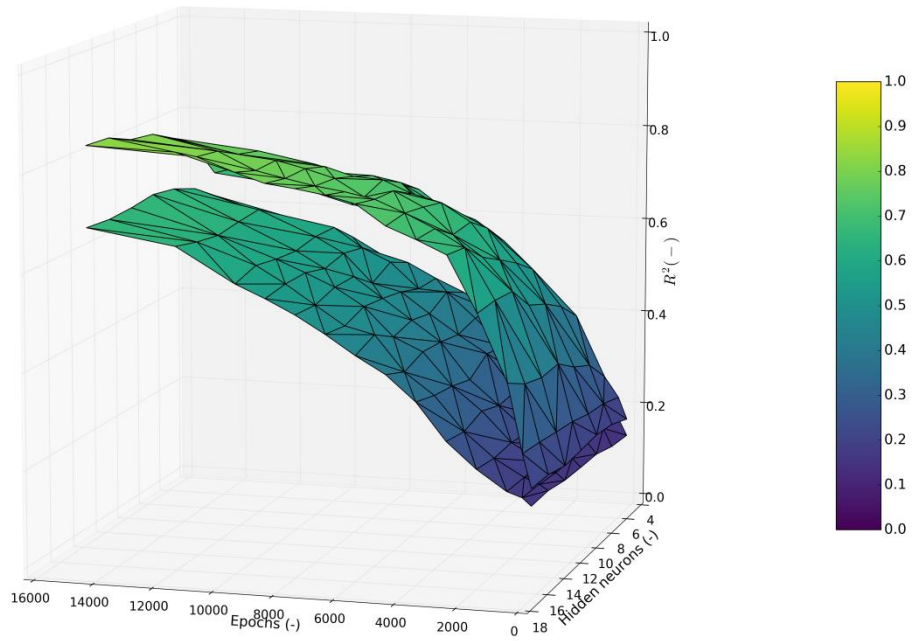
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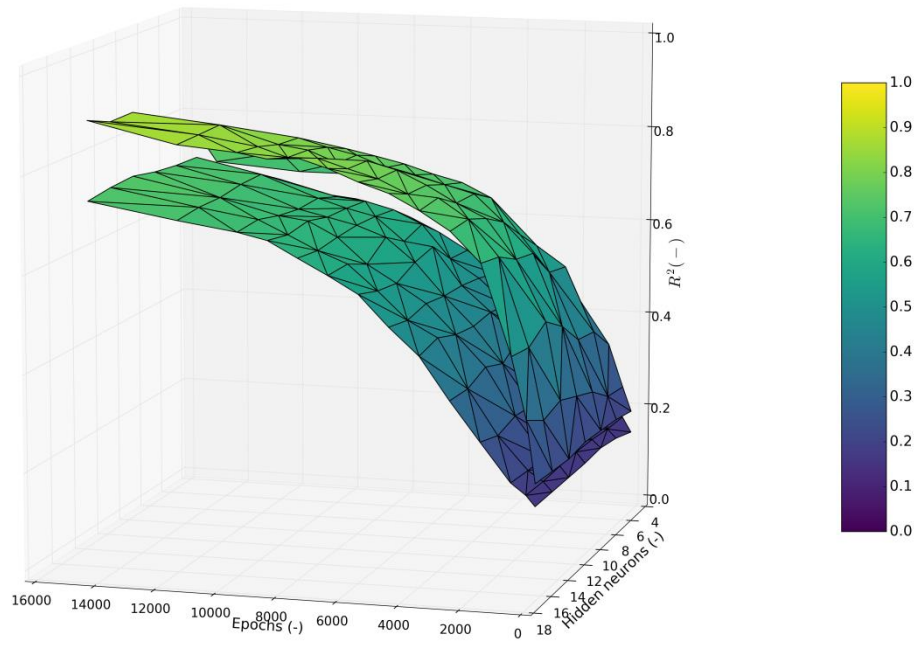
Figure 3. ANN general layout.



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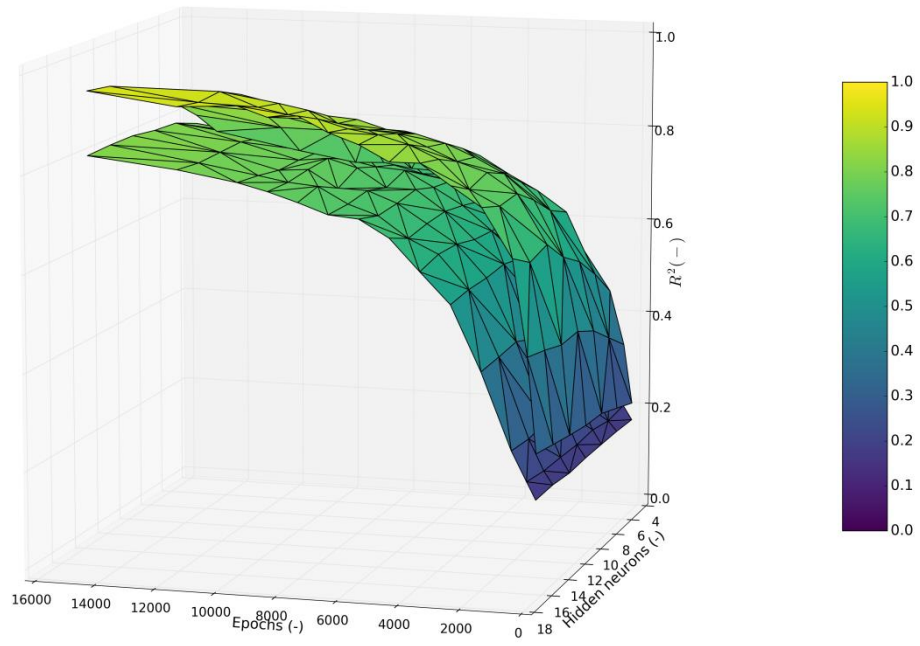
Figure 4(a). ANNs learning outcome for moment term of 0.7.



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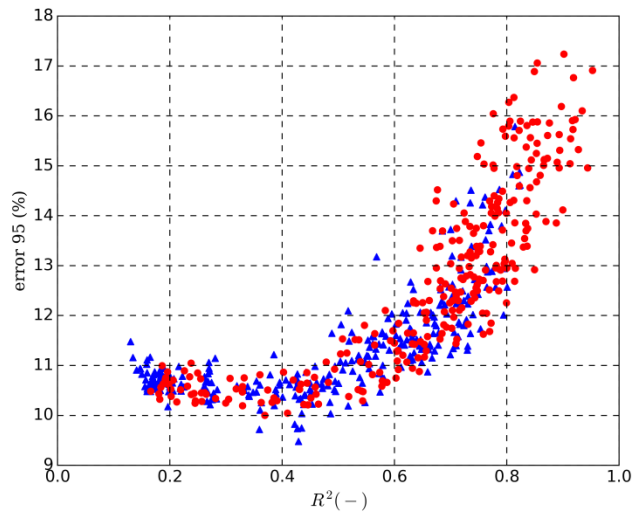
Figure 4(b). ANNs learning outcome for moment term of 0.8.



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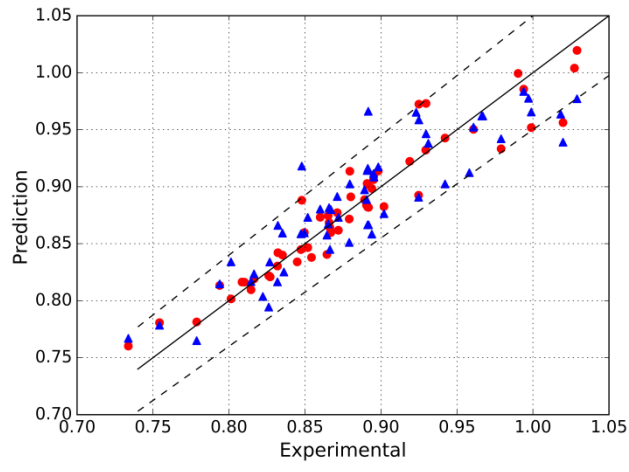
Figure 4(c). ANNs learning outcome for moment term of 0.9.



568

569 Figure 5. Parametric study. Validation (error 95) and learning (R^2) of the different

570 ANNs. In red circles adaptive learning (ADDELE), in blue triangles fixed learning.



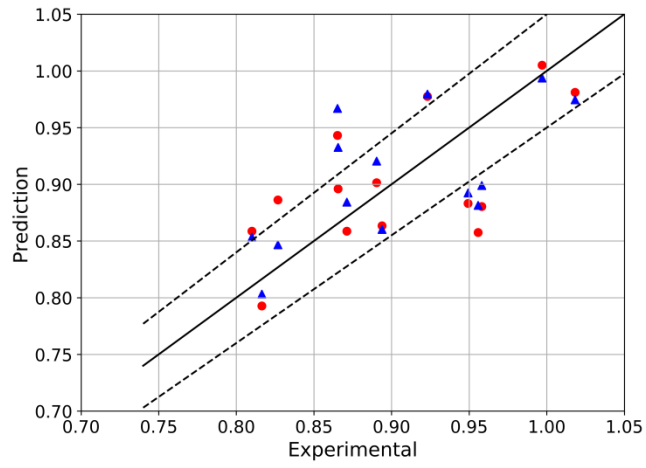
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Figure 6. Predicted and measured volumetric efficiency. In red circles ADDELE

573

algorithm. In blue triangles fixed learning schema.

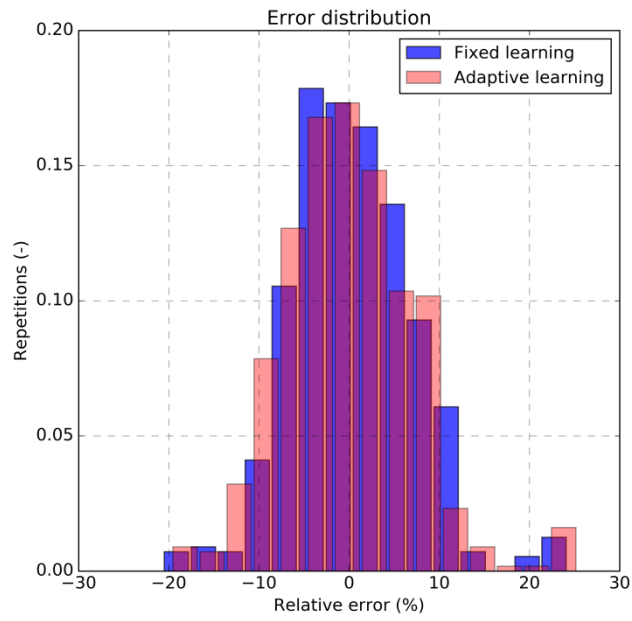


574

575 Figure 7. Predicted and measured volumetric efficiency validation data. In red circles

576 ADDELE algorithm. In blue triangles fixed learning schema.

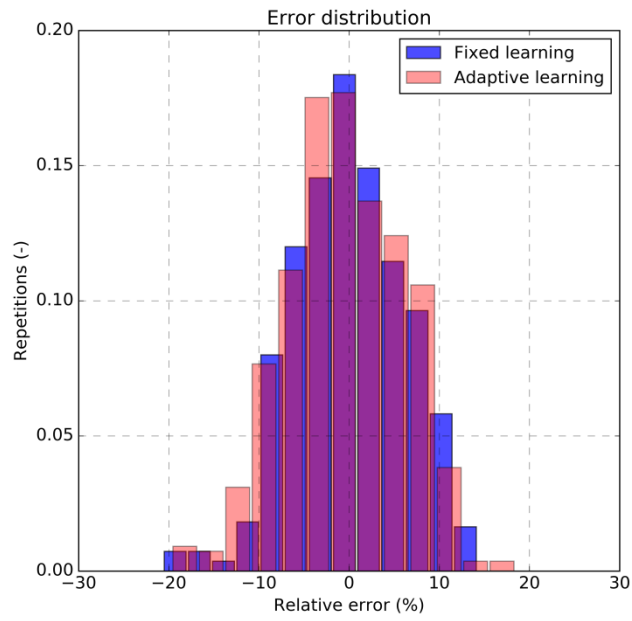
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579 Figure 8. Validation relative error distribution of the whole validation sample. In red

580 ADDELE algorithm. In blue fixed learning.



581

582 Figure 9. Validation relative error distribution without including the outlier value. In red
 583 ADDELE algorithm. In blue fixed learning.

584