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Additional Information

# 1 Measurements of Droplet Size in Shear-Driven Atomization Using Ultra- 2 Small Angle X-Ray Scattering 3

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16

17 **Abstract**

18       Measurements of droplet size in optically-thick, non-evaporating, shear-driven sprays have  
19 been made using ultra-small angle x-ray scattering (USAXS). The sprays are produced by  
20 orifice-type nozzles coupled to diesel injectors, with measurements conducted from 1 – 24 mm  
21 from the orifice, spanning from the optically-dense near-nozzle region to more dilute regions  
22 where optical diagnostics are feasible. The influence of nozzle diameter, liquid injection  
23 pressure, and ambient density were examined. The USAXS measurements reveal few if any  
24 nanoscale droplets, in conflict with the most popular computational models of diesel spray  
25 breakup. The average droplet diameter rapidly decreases with downstream distance from the  
26 nozzle until a plateau value is reached, after which only small changes are seen in droplet  
27 diameter. This plateau droplet size is consistent with the droplets being small enough to be  
28 stable with respect to further breakup. Liquid injection pressure and nozzle diameter have the  
29 biggest impact on droplet size, while ambient density has a smaller effect.

30 **Keywords**

31       Diesel spray, droplet size, x-ray scattering

32 **1. Introduction**

33       Liquid spray flowfields are of significant interest for both applied and fundamental studies.  
34 They are crucial to the mixing of gases and liquids, and of particular importance in liquid-fueled  
35 combustion. From a fundamental perspective, liquid sprays represent a turbulent flowfield with  
36 large density gradients, with added complications due to the influence of surface tension.  
37 Moreover, measurements of spray flowfields are quite challenging, as optical diagnostics, which

38 are favored for non-intrusive measurements of fluid flows, are often confounded by the strong  
39 interaction of visible light with phase boundaries [1]. Sprays for diesel injection are of particular  
40 interest. The spray structure is tied to pollutant formation [2], and these sprays feature small  
41 length scales, large velocity gradients, and small droplet sizes, which challenge experimental  
42 diagnostics and computational models. In applied diesel sprays, the action of vaporization will  
43 also alter the droplet size distribution.

44 While many measurements of the bulk behavior of diesel sprays have been performed,  
45 knowledge of the spray droplet size in the near-nozzle region is still relatively sparse. The near-  
46 nozzle region of diesel sprays (within roughly 50-100 nozzle diameters, depending on ambient  
47 density) is optically dense, which severely hampers optical diagnostics of these sprays. Some  
48 studies of diesel spray droplet size and velocity have been performed, using PDPA [3-5], light  
49 extinction [6,7], laser diffraction [8], scattering [9], and imaging [10,11], though generally in the  
50 spray farfield. Droplet size measurements close to the nozzle of a diesel spray are limited to a very  
51 thin region at the periphery of the plume where the optical density is modest, and the size  
52 distribution may be filtered by entrainment [11]. While these studies have provided valuable  
53 validation information for spray models, a better understanding of spray breakup requires droplet  
54 size measurements closer to the site of primary breakup. Under vaporizing and combusting  
55 conditions, complete evaporation of the fuel in a diesel spray can occur within 100 nozzle  
56 diameters of the injector [12]. Measurements of droplet size are needed upstream of this location  
57 to understand the influence of droplet breakup on the behavior of applied diesel sprays.

58 While recent work has used high-resolution LES methods to simulate diesel sprays [13], the  
59 computational expense of such methods is prohibitive for practical engine simulations;  
60 phenomenological methods predominate in these applications. While several phenomenological

61 models have been proposed to calculate droplet size in diesel sprays, one of the most commonly-  
62 used models is based on the growth of Kelvin-Helmholtz and Rayleigh-Taylor waves on the liquid  
63 exiting the injector [14-16], and is commonly termed the KH-RT model. In this model, the  
64 primary spray breakup is hypothesized to occur from the formation of Kelvin-Helmholtz waves  
65 on the edges of the emitted fuel parcels, with a dispersion relation governing the size of the  
66 resulting droplets and the rate of breakup. Rayleigh-Taylor instabilities also act on the fuel, but  
67 only downstream of a “breakup length.” While this model can be validated against droplet size  
68 data in the far-field, simply comparing modeled to measured droplet size in the far-field is  
69 insufficient to validate the physical breakup model; data in the near-field are needed, since this is  
70 the region in which primary breakup actually occurs. In applied sprays, droplet collisions also  
71 occur, which can lead to coalescence, which competes with breakup in determining droplet size.

72 X-ray diagnostics have been applied for the past 15 years to probe high-density sprays,  
73 taking advantage of the relatively weak interaction of x-rays with phase boundaries. While x-ray  
74 diagnostics have probed the density field of both quasi-steady state and transient sprays [17,18]  
75 and visualized the morphology of certain sprays [19], quantitative measurements of spray droplet  
76 size are also desired by both the experimental and computational modeling communities. While  
77 x-ray phase-contrast imaging can potentially be used for droplet sizing in dilute mixtures of large  
78 spray droplets, spatial resolution limits its use in dense fields of small ( $< 10 \mu\text{m}$ ) droplets.

79 Small-angle x-ray scattering (SAXS) is a well-established technique in the x-ray physics and  
80 material science communities for studying nanoscale particles [20-24<sup>25</sup>]. The trend in scattering  
81 intensity vs. scattering vector  $q$  can be used to quantitatively measure the size and shape of  
82 particles over a wide range of length scales. Its use for studying aerosols and sprays is less  
83 common. Lin et al. have used SAXS to study droplet size in a supercritical ethylene jet [26].

84 Wyslouzil, et al. have used SAXS to study aerosol formation in supersonic flows [27]. In both of  
85 these flows, droplets formed by homogeneous nucleation, and as such were much less than 1  $\mu\text{m}$   
86 in diameter.

87 To the authors' knowledge, SAXS has not previously been used to study droplet size in a  
88 more conventional spray, where droplets are sheared from a bulk liquid structure into smaller  
89 droplets. The average droplet size in shear-driven sprays ( $\geq 1 \mu\text{m}$ ) is such that the scattering  
90 angles are quite small, making it difficult to separate the scattered x-rays from the unperturbed x-  
91 rays transmitted through the sample. Typical SAXS measurements are made by placing a two-  
92 dimensional detector (such as an x-ray pixel array detector) downstream of the sample; the  
93 position of scattering on the detector is related to the scattering angle, and hence the scattering  
94 vector, by geometry. Ultra-small angle x-ray scattering (USAXS) uses diffraction from crystals  
95 as a filter for angle, rather than using propagation of the x-rays from sample to detector, as is  
96 done in conventional SAXS [28]. Crystal diffraction allows for significantly better angular  
97 resolution with better quantification of the scattered intensity. As such, USAXS can measure an  
98 order of magnitude lower in scattering vector than SAXS [20,29]. This allows USAXS to  
99 measure far larger droplets than SAXS, making it a more attractive choice for measuring shear-  
100 driven sprays. However, this comes at the cost of greater experimental complexity and much  
101 slower measurements.

102 This study will describe measurements of Sauter mean diameter (SMD) in diesel sprays using  
103 USAXS. The authors believe these represent the first quantitative measurements of droplet size  
104 in the near-nozzle region of such optically dense sprays, and one of the first effective uses of x-  
105 ray diagnostics to measure the size of droplets created by shear-driven atomization. The theoretical  
106 basis of these measurements will be described, as well as the experimental setup. The results of

107 the measurements and how SMD varies with respect to several important independent variables  
108 will also be detailed.

## 109 **2. SAXS Theory**

110 A brief overview of the relevant theory for SAXS, and by extension USAXS, will be given  
111 here, as the difference between the techniques lies in the detection system, not the scattering  
112 process itself; more detail can be found in other references [20,30]. SAXS is based on the principle  
113 of interference of scattered x-ray waves from electrons in materials. As x-rays are scattered by  
114 atoms in a material, constructive and destructive interference is seen between scattering from  
115 nearby atoms, depending on the angle of scattering and the relative positions of the atoms. This  
116 interference leads to an angular dependence of the scattering pattern, which for particles (solid  
117 particles or liquid droplets) is related to the particle shape and size.

118 SAXS data are presented in terms of a scattering vector  $q$ , which is derived from the  
119 wavevectors of the incident and scattered x-rays, as depicted in Fig. 1. If one assumes that the  
120 scatterers are randomly oriented, the scattering is axisymmetric, and only the magnitude of  $q$   
121 matters. The scattering vector is related to the scattering angle and the x-ray wavelength by:

$$122 \quad q = \frac{4\pi}{\lambda} \sin\theta \quad (1)$$

123 where  $\lambda$  is the x-ray wavelength and  $2\theta$  is the angle between the incident and scattered photons.  
124 The typical units for  $q$  are  $\text{\AA}^{-1}$ , as x-ray wavelengths are typically on the order of 1  $\text{\AA}$ . The value  
125 of  $q$ , or rather  $1/q$ , also defines a length scale which SAXS probes. In order to derive a scattering  
126 signal, a density difference must exist on the same length scale as  $1/q$ .

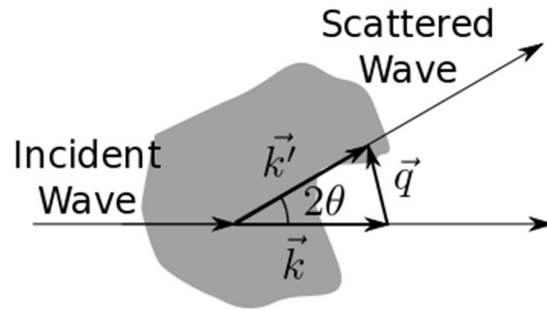


Figure 1: Derivation of scattering vector  $q$  from the incident and scattered wavevectors

127 The intensity of scattered x-rays can be found by the following formula, assuming 100%  
 128 detection efficiency [20,30].

129 
$$I_{scat}(q) = I_0 t \tau \Delta\Omega A_{beam} \frac{d\Sigma}{d\Omega}(q) \quad (2)$$

130 In this equation,  $t$  is the sample thickness,  $\tau$  is the sample transmission,  $\Delta\Omega$  is the solid angle  
 131 of the detector,  $A_{beam}$  is the area of the beam, and  $d\Sigma/d\Omega$  is the differential cross-section per unit  
 132 volume of sample. In an experiment, all quantities other than the differential cross-section can be  
 133 measured, which allows the scattering data as a function of  $q$  to be used to find the differential  
 134 cross-section as a function of  $q$ .

135 The importance of the differential cross-section is that it can be related to the particle size and  
 136 shape analytically. For a spray, we expect a wide range of droplet sizes. While fitting procedures  
 137 can be used to determine particle size distributions [26], for the present analysis, two limiting cases  
 138 will be used. In the limit of low  $q$ , Guinier's law applies:

139 
$$\frac{d\Sigma}{d\Omega}(q) = N V^2 \Delta\rho^2 e^{-\frac{q^2 R_G^2}{3}}, qR_G < 1.5 \quad (3)$$

140 In this equation,  $N$  is the number of particles per unit volume of the sample,  $V$  is the particles'  
 141 volume,  $\Delta\rho$  is the scattering length contrast of the particles compared to the medium surrounding



142 them, and  $R_G$  is the radius of gyration of the particles. From quantitative measurements of this  
143 region, the particles (i.e., droplet) size and number density can be determined.

144 The second limiting case is for large  $q$ , termed Porod's law. At large  $q$ , the probed length scale  
145 is much smaller than the particle diameter. At these scales, SAXS probes the total surface area; it  
146 is only near the surface that one will see a density difference on the same length scale as  $1/q$ . For  
147 spherical particles with sharp interfaces, the relationship between differential cross-section and  
148 scattering becomes:

$$149 \quad \frac{d\Sigma}{d\Omega}(q) = 2\pi \Delta\rho^2 S q^{-4} \quad , qR > 10 \quad (4)$$

150 where  $S$  is the total surface area per volume of sample. The particles need not be perfect spheres,  
151 so long as they are roughly similar sizes in all dimensions. The exponent of this power law can be  
152 used to understand the shape of the particles (spheres, rods, or flat plates), as well as whether the  
153 interface between the particles and the ambient environment is sharp or diffuse. Since it is the  
154 total surface area that is measured, if the total droplet volume in the sample can be determined  
155 (such as with x-ray radiography [18]), the SMD of the system can be determined trivially.

156 Figure 2 shows the theoretical scattering from two fields of small spherical droplets [20] of the  
157 size range expected for shear-driven atomization, both of which have the same liquid volume  
158 fraction. Note the logarithmic scale on both axes. The horizontal asymptote at low  $q$  indicates  
159 that the scattering follows Guinier's law in this region, and the sloped asymptote at higher  $q$   
160 indicates scattering following Porod's law. As droplet diameter decreases, the Guinier region  
161 extends to higher  $q$  linearly with droplet diameter, and the magnitude of scattering in the Porod  
162 region increases (due to the increase in droplet surface area/volume); smaller particle size leads to  
163 scattering at larger scattering angles. Ideally, if one could obtain a scattering curve over a wide

164 enough range, such as ones shown in Fig. 2, one could use the shape of the curve alone to determine  
 165 the particle size; quantitative measurements of scattering intensity would not even be needed.  
 166 However, note that the Porod region begins at  $q = 10^{-4} - 10^{-3} \text{ \AA}^{-1}$ . This value of  $q$  is less than what  
 167 can be reliably measured with a conventional SAXS instrument [20]. While Porod's law can be  
 168 used by itself to determine the SMD of the spray, this requires quantitative measurements of the  
 169 differential cross section, which are more challenging than qualitative measurements of scattering  
 170 curve shape.

171 Both of these facts argue for the use of USAXS. USAXS can measure an order of magnitude  
 172 lower in  $q$  than a SAXS instrument, providing a greater opportunity to see Guinier behavior from  
 173 small droplets, if such droplets exist. Moreover, USAXS by its nature provides quantitative  
 174 measurements of scattering over several orders of magnitude.

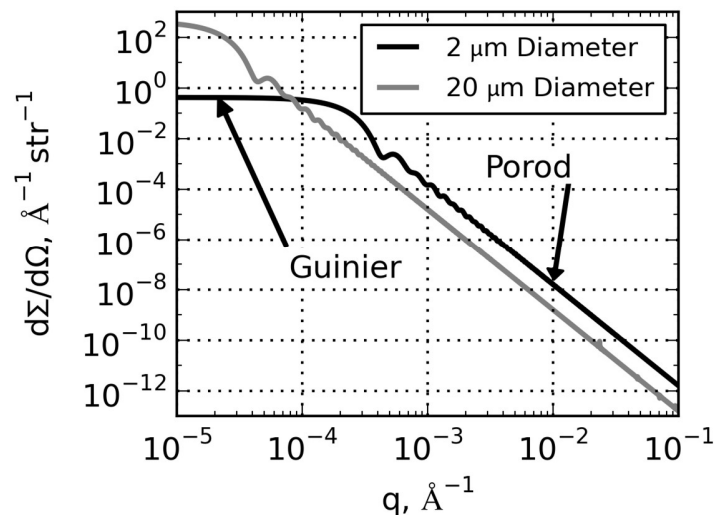
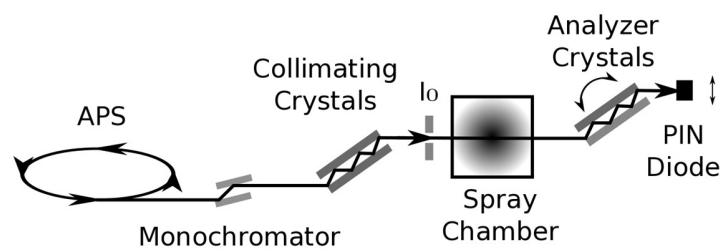


Figure 2. Simulation of scattering from a series of spheres of diameter from 1.6 to 2.4  $\mu\text{m}$  in diameter and from 16 to 24  $\mu\text{m}$  diameter. Assumed scattering length contrast matches that of the calibration fluid used in the current study. Droplet density = 1000 droplets per  $\text{mm}^3$  for 2

*micron droplets, 1 droplet per mm<sup>3</sup> for 20 micron droplets.*

### 175 **3. Materials and Methods**

176 The USAXS measurements were performed at the 9-ID and 15-ID beamlines of the  
177 Advanced Photon Source at Argonne National Laboratory, which were equipped with a Bonse-  
178 Hart instrument [29], depicted schematically in Fig. 3. In this instrument, monochromatic x-rays  
179 diffract from a set of collimating crystals, which act to collimate the beam, severely restricting  
180 the divergence of the beam. A matching set of analyzer crystals are then scanned in angle to  
181 measure the scattering intensity as a function of angle, with the crystals acting as a narrow  
182 angular filter. X-rays at 17 keV (15-ID) and 17.9 keV (9-ID) in a 100 x 500  $\mu\text{m}$  beam (V x H)  
183 were collimated with a pair of Si (220) crystals, passed through the spray, and were filtered with  
184 another pair of Si (220) analyzer crystals. Collection of data below  $q \approx 1 \times 10^{-4} \text{ \AA}^{-1}$  was not  
185 possible due to the finite angular resolution of the collimating and analyzer crystals, while low  
186 signal level precluded analysis for  $q > 1 \times 10^{-2} \text{ \AA}^{-1}$ . The upper limit of the  $q$  range is not  
187 problematic, since no features are expected at higher  $q$ . The range of droplet sizes that can be  
188 probed based on the shape of the scattering curve alone will range from approximately 1  $\mu\text{m}$  to  
189 10 nm. Data were taken in steps of  $q$  of  $\approx 1 \times 10^{-5} \text{ \AA}^{-1}$  at low  $q$ , with wider spacing at higher  $q$ .  
190 The scattered intensity was converted to a quantitative measurement of differential cross-section  
191 for further analysis using the Irena data analysis package [31].



*Figure 3: Schematic of USAXS experimental setup*

192 To determine the spray density, time-resolved x-ray radiography of the sprays was performed  
193 at the 7-BM beamline of the APS at 8 keV photon energy [32] under the same conditions as the  
194 USAXS measurements. The x-ray absorption was converted into a projected density (mass/area)  
195 of fuel in the path of the x-ray beam using the Beer-Lambert law [18,33].

196 Given the novel application of USAXS to the study of shear-driven sprays, careful  
197 consideration must be given to calibration and error analysis. Calibrations of the USAXS  
198 measurements are needed for both scattering angle and scattering intensity. The scattering angle  
199 is measured by physically rotating the analyzer crystals on a high-precision encoder-  
200 instrumented rotation platform. The USAXS instrument measures the scattering above and  
201 below the incident beam, providing both a direct measurement of the zero point for scattering  
202 angle and measuring the effectiveness of the angular filtering provided by the analyzer crystals.  
203 As such, the calibration of scattering angle is quite direct. In terms of scattering intensity, the  
204 instrument uses silicon photodiodes, previously demonstrated to be linear over several orders of  
205 magnitude of flux [34], to directly measure the incident and scattered x-ray flux. From these  
206 values, scattering intensity values are calculated directly from first principles. Indeed, the  
207 USAXS instrument used in this study is used as an absolute calibration standard in the small  
208 angle x-ray scattering community [35]. Several recent papers have demonstrated good  
209 quantitative agreement in particle sizing measurements between USAXS and several other  
210 particle sizing techniques, including electron microscopy, gas absorption, optical microscopy,  
211 and atomic force microscopy [20-22, 36].

212 There are three major sources of error in these measurements: noise in the scattering  
213 measurements, noise in the radiography measurements, and mismatch between the scattering and

214 radiography measurements. The noise in the scattering measurements causes an uncertainty of  
215 approximately 1% in the specific surface area measurement, based on a linear fit to the scattering  
216 data. The precision of the radiography measurements is at worst  $\pm 2\%$  of the mean value, judged  
217 by examining the noise in the radiography measurements in regions outside the spray. To avoid  
218 mismatch in the positioning between scattering and radiography measurements, transverse scans  
219 at a fixed  $q$  were performed; the scattering profile measured across the spray is analogous to the  
220 radiography signal, and as such the peak value in scattering provides a measure of the spray axis  
221 location. The authors estimate an approximately  $\pm 5\%$  uncertainty remaining in the SMD values  
222 due to possible positioning errors. Adding in quadrature, the resulting uncertainty of the SMD  
223 measurements is approximately 6%.

224         The sprays were produced by a diesel common-rail injection system and standard  
225 solenoid-actuated diesel injectors firing at a 3 Hz repetition rate. The USAXS data acquisition  
226 was gated to accept data only during the steady-state portion of each injection event. As such,  
227 the duty cycle of data collection was quite low; each measurement location required  
228 approximately 1 hour of time to complete. Relatively long injection durations (2.5 – 5 ms) were  
229 used to allow for sufficient time in the steady-state region of the spray to improve the duty cycle  
230 of the measurements. Background measurements were taken over a 50 – 80 ms time frame  
231 before injection events to eliminate signal from residual spray droplets from previous spray  
232 events, though the scattering curves for the background scans were virtually identical both to  
233 each other and to scans taken with clean gas in the chamber. The injector was fitted to a  
234 chamber with 0.5 L internal volume, pressurized with  $N_2$  at 25-28 °C with a purge flow of  
235 approximately 4 standard L/min. Due to the low chamber temperature, low vapor pressure of the  
236 fuel, slow purge rate, and repeated firing of the injector into the chamber gas, only minimal fuel

237 vaporization is expected.

238 Four axial single-hole nozzles of a similar design were used for this study, with 84, 89, 110,  
239 and 180  $\mu\text{m}$  exit diameter, respectively. The two smallest nozzles were the 210675 and 210679  
240 injectors of the Engine Combustion Network (ECN) Spray A effort [33-38]. Other than the  
241 diameter, all nozzles had a similar design: a nominally circular orifice approximately 1 mm  
242 length, with hydroerosion applied to smooth the entrance region of the orifice, and with a design  
243 discharge coefficient of 0.86. The nozzles are slightly converging to reduce the likelihood of  
244 cavitation in the nozzle, with a nominal reduction of nozzle diameter of 15  $\mu\text{m}$  between the  
245 orifice inlet and exit. In addition, a 3-hole diesel nozzle (injector 211201 of the ECN Spray B  
246 effort) with an orifice diameter of 94  $\mu\text{m}$  was also studied.

247 Experiments were conducted in several stages. Limited tests of the 110 and 180  $\mu\text{m}$  nozzles  
248 were conducted at 15-ID using a diesel calibration fluid as the injected liquid. Tests of the  
249 210679 injector at 15-ID and the 210675 and 110  $\mu\text{m}$  nozzles at 9-ID were conducted using n-  
250 dodecane as the injected liquid. Fuel properties are listed in Table 1. Experiments were  
251 performed at seventeen combinations of nozzle, injection pressure ( $P_L$ , 50 to 150 MPa), ambient  
252 pressure ( $P_a$ , 0.5 to 2.0 MPa), and fuel type. For each set of conditions, measurements were  
253 performed from  $x = 1$  mm downstream of the nozzle exit to the spray farfield ( $x \geq 20$  mm) along  
254 the spray axis. Limited measurements transverse to the spray axis have also been performed. It  
255 should be noted that fuel bypass through the injector led to heating of the injector, and hence the  
256 injected fuel, at higher injection pressures. The injector temperature ranged from 60-70°C at 150  
257 MPa injection pressure to 35-40°C at 50 MPa injection pressure; given the repeated injections of  
258 fuel through the injector, this should reasonably approximate the temperature of the injected fuel.

*Table 1: Fuel properties at 25° C, 1 bar*

	Calibration Fluid	n-dodecane
Density, kg/m <sup>3</sup>	811	746
Viscosity, m <sup>2</sup> /s	3.2x10 <sup>-6</sup>	1.8x10 <sup>-6</sup>
Surface Tension, N/m	0.0273	0.025

## 259 **4. Results**

260 Examples of the differential cross-section vs. scattering vector  $q$  are shown as solid lines in  
 261 Fig. 4; these results are representative of all of the conditions tested, and can be directly  
 262 compared to the theoretical scattering curves shown in Fig. 2. Unlike the curves in Fig. 2, there  
 263 is no horizontal asymptote at low  $q$ . The droplet size is large enough that despite the greater  
 264 range in  $q$  afforded by the use of USAXS, the Guinier plateau region of the scattering curve  
 265 occurs at a smaller  $q$  value than could be measured with the instrument. Instead, the scattering  
 266 curves show only the Porod's law relationship between scattering and  $q$ . This implies that the  
 267 droplet sizes must be greater than or equal to roughly 1  $\mu\text{m}$  (see Fig. 2), otherwise more of the  
 268 Guinier region would be visible in the measurements. The measured slopes of the scattering  
 269 curves match the expected Porod's law exponent for isolated parcels of roughly equal size in all  
 270 dimensions. This both provides information regarding the morphology of the liquid and  
 271 confirms that the surface area can be calculated using Eq. 4.

272 At  $P_L = 150$  MPa, some deviation from Porod's law is evident at the lowest  $q$  values ( $q < 8 \times$   
 273  $10^{-4}$ ), suggesting some population of droplets with diameter  $\sim 1 \mu\text{m}$ . Attempts to fit a combined  
 274 Guinier-Porod curve to these data yield a Guinier radius of gyration of 1.5 – 3  $\mu\text{m}$ , with a great  
 275 deal of uncertainty on the Guinier fit parameters. Given that the sprays are expected to be highly

276 polydisperse and only a small fraction of the Guinier curve has been measured, these Guinier fits  
 277 are not expected to be usable for quantitative analysis. The presence of some deviation from  
 278 Porod's law at low  $q$  in the curve at higher injection pressure qualitatively suggests smaller  
 279 droplet sizes are present than are seen at lower injection pressure, a trend which is probed  
 280 quantitatively below.

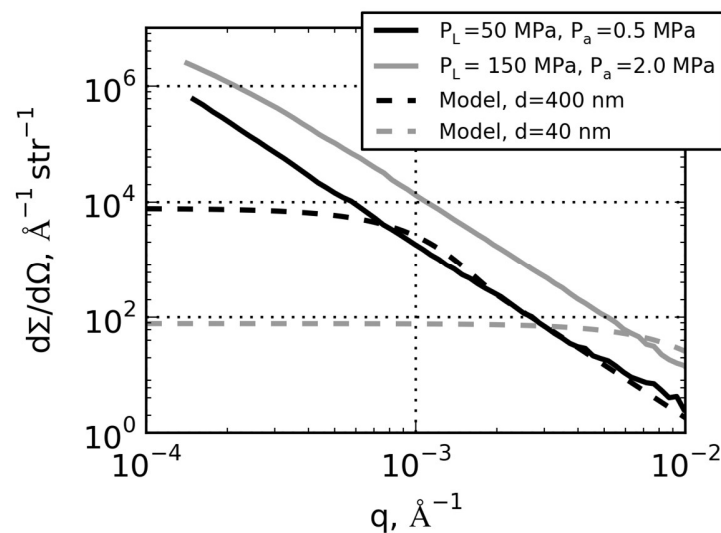


Figure 4: USAXS scattering curves for two spray cases for nozzle diameter  $d = 110 \mu\text{m}$ ,  $x = 8 \text{ mm}$  with calibration fluid as the injected liquid. For comparison, KH-RT model curves for droplets with a Gaussian size distribution ( $\sigma = 25\%$  of mean diameter) and a volume fraction of  $1 \times 10^{-3}$  are included. Both the data and model are slit-smeared.

281 The KH-RT model of spray breakup (as discussed in the Introduction) predicts the creation  
 282 of droplets from aerodynamic waves that would be small enough to be easily seen in the  
 283 scattering curves. One can compute a crude estimate of the child droplet size created  
 284 immediately downstream of the nozzle exit by simply applying the KH-RT model equations  
 285 [14], assuming inviscid flow through the injection nozzle to compute the liquid speed and using a  
 286 parent droplet the same diameter as the nozzle; note that these estimates are for comparison only,



287 and are not the result of 2-D or 3-D CFD modeling. For the two conditions plotted in Fig. 4, the  
288 KH-RT model would predict droplet diameters of approximately 400 and 40 nm at  $P_L = 50$  MPa  
289 and 150 MPa, respectively. Modeled scattering curves for droplets of these sizes are shown in  
290 Fig. 3 as dashed lines. If a large population of nanoscale droplets existed, they would cause the  
291 measured scattering curves to visibly and significantly deviate from the Porod behavior seen  
292 experimentally (solid lines). As no such deviations are seen, the current data strongly suggest  
293 that there is no large population of nanoscale droplets in these sprays. Similar scattering curves  
294 are seen at all other positions measured; there is no position at which the scattering curve shape  
295 deviates significantly from Porod's law, except for the slight deviations at low  $q$  noted above,  
296 which appear to be indicative of micron-scale droplets.

297       The large size of the spray droplets compared to the  $q$  range probed by the USAXS  
298 instrument precludes using the shape of the scattering curve alone to determine the average  
299 droplet size, other than to demonstrate the absence of large populations of nanoscale droplets.  
300 To determine the droplet size quantitatively, Porod's law is applied to determine the specific  
301 surface area of the spray [31]. To convert the specific surface area found by Porod's law to a  
302 droplet size, the liquid mass/area measured by x-ray radiography is used. Figure 5 shows an  
303 example of the measurements of spray surface area (in terms of surface area per unit area of the  
304 x-ray beam) and projected density (in terms of mass per unit beam area). These results are  
305 similar to those seen in all of the measurements: the surface area increases near the nozzle,  
306 reaches a maximum, then decreases, while the projected density decreases nearly monotonically.  
307 The overall surface area/liquid volume was converted to an SMD of the droplets. It should be  
308 noted that, as both the scattering and radiography measurements are pathlength-integrated, the  
309 measurement of SMD is likewise pathlength-integrated.

310 A few limitations in these measurements must be noted. The Porod analysis used in this  
 311 work does not assume that the droplets are spherical, but does assume that the droplets are  
 312 randomly oriented, since scattering is only measured in one plane. At the closest positions to the  
 313 nozzle exit, this may not be a valid assumption, since ligaments stripped from the liquid jet may  
 314 be preferentially oriented. Farther downstream, the turbulent nature of the jet is expected to  
 315 randomize the orientation of the droplets and ligaments. Second, the sensitivity of both the  
 316 USAXS and radiography measurements are relatively poor in dilute regions of the spray; for this  
 317 reason, the current measurements focus on the near-nozzle region near the spray axis. Third,  
 318 these measurements are pathlength-integrated, and as such probe the droplet size on the entire  
 319 beam path through the spray. Finally, this measurement provides the SMD of the spray, not a  
 320 droplet size distribution, like would be given by PDPA measurements. Depending on the droplet  
 321 size distribution, the SMD may or may not be representative of the most dynamically important  
 322 droplets at any given position in the spray.

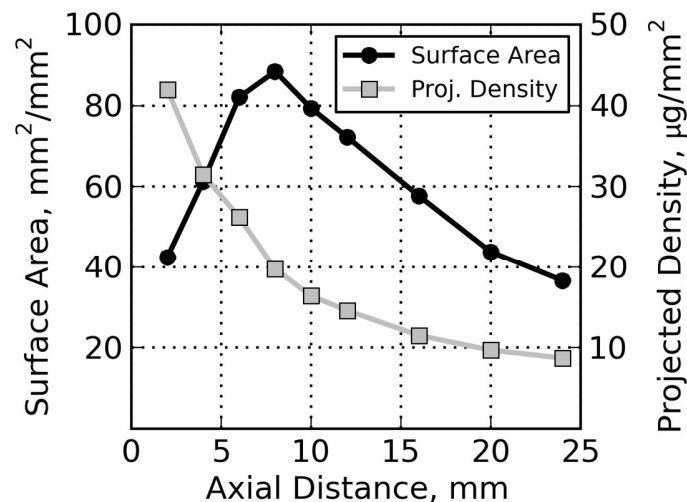


Figure 5: Trends in measured surface area and projected density along the spray axis, 110 µm diameter nozzle,  $P_L = 130$  MPa,  $P_a = 1.2$  MPa, *n*-dodecane fuel

323 To demonstrate the repeatability of the USAXS measurements, Fig. 6 shows the SMD  
324 results along the spray axis for the two ECN Spray A injectors and one Spray B injector tested,  
325 all performed at  $P_L = 150$  MPa,  $P_a = 2.0$  MPa with n-dodecane fuel. These injectors were  
326 designed to have identical nozzle diameters, though the actual nozzle diameters are slightly  
327 different [38]. Though the two measurements were conducted during different measurement  
328 campaigns and use different injectors, the SMD results for the two Spray A injectors are  
329 remarkably similar. The Spray B injector (211201) shows similar trends in droplet size, but a  
330 more rapid decrease in SMD near the nozzle, perhaps due to the more complex inflow condition  
331 for the orifice in a multihole nozzle compared to the single-hole Spray A injectors.

332 These data also show many features present in all of the USAXS SMD data. The SMD  
333 rapidly declines near the nozzle. Even at axial distance  $x = 1$  mm downstream of the nozzle, the  
334 SMD is already  $< 10$   $\mu\text{m}$ . It should be noted that given the high liquid volume fraction so near  
335 the nozzle, the fuel is not expected to be dispersed as isolated droplets [39], so the SMD must be  
336 interpreted carefully. Rather than giving a size of individual droplets, it is an indication of the  
337 surface area to volume ratio in the spray. At first glance, such a small SMD near the nozzle  
338 seems unlikely, given that the liquid jet has had little space to aerodynamically interact with the  
339 ambient gas. However, visible light shadowgraphs of the 210675 injector [40] show only a small  
340 region of clear liquid with a relatively smooth interface in the jet immediately outside the nozzle  
341 exit. Surface irregularities, which manifest themselves as opaque regions of the spray, are  
342 present within even a single nozzle diameter of the injector exit. Other recent visible light  
343 images of diesel sprays [41, 42] show similar results. The rapid initiation of surface  
344 irregularities will rapidly increase the liquid-gas surface area even in close proximity to the  
345 nozzle, contributing to a rapid decrease in the SMD measured with USAXS.

346 After the rapid decline in SMD near the nozzle, the droplet size becomes much more stable  
 347 as  $x$  increases further. In many cases, the SMD reaches a minimum value, with a subtle increase  
 348 in SMD as  $x$  increases further. It should be noted that this increase in droplet size is quite weak,  
 349 especially when compared to the scatter in the experimental data.  
 350

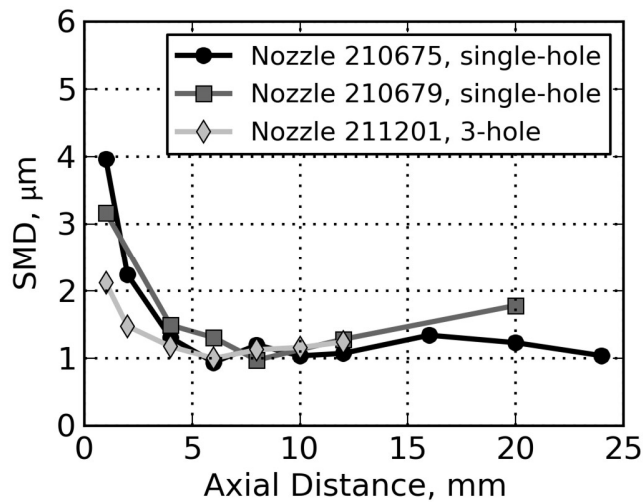


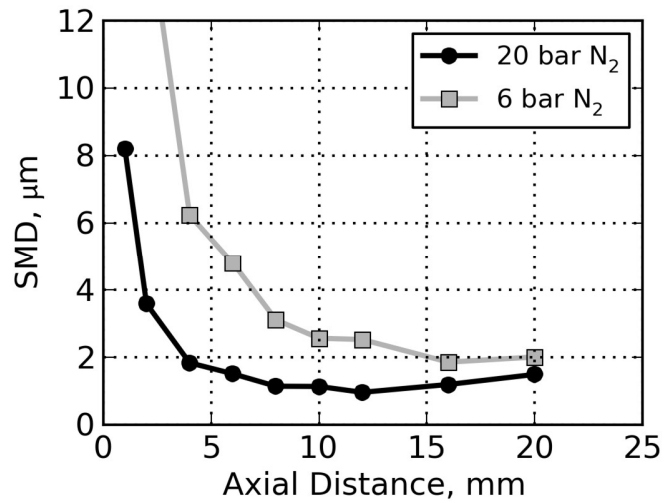
Figure 6: USAXS spray SMD measurements for the ECN Spray A and Spray B injectors on the spray axis,  $P_L = 150$  MPa,  $P_a = 2.0$  MPa, injecting *n*-dodecane.

351 It is useful to compare the droplet sizes seen in these measurements with those from other  
 352 studies. Optical far-field measurements of droplet size give similar droplet sizes to those seen in  
 353 this study [9,43]. Moreover, recent LES simulations of diesel injection at similar injection  
 354 pressures [13] have shown the formation of large populations of  $\sim 2$  micron droplets starting  
 355 within 1 nozzle diameter of the injector exit, consistent with the results of this study.

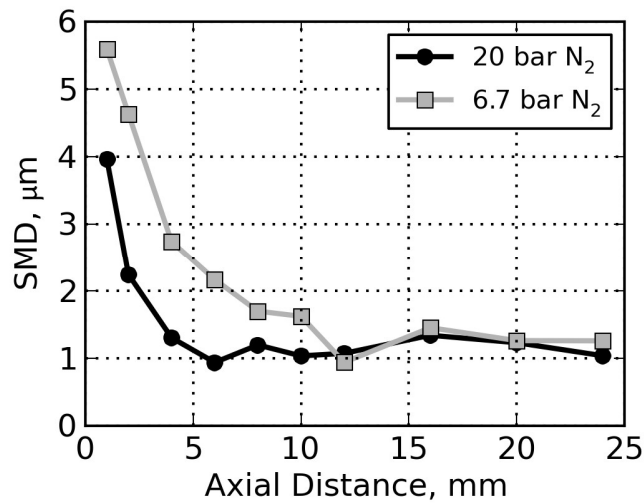
356 Figure 7 shows the droplet SMD vs.  $x$  at different ambient pressures for two of the nozzles,  
 357 both using *n*-dodecane fuel at 150 MPa injection pressure. It is clear from the plots that, while

358 the ultimate farfield droplet size is similar for different ambient densities, the axial distance at  
359 which this droplet size is reached decreases strongly as ambient density increases. This is  
360 logical: one expects spray breakup to rely on either shear or deceleration of the liquid to promote  
361 breakup, both of which are enhanced by higher ambient density. Measurements of the 180  $\mu\text{m}$   
362 nozzle with calibration fluid show similar trends with ambient density.

363



a)



b)

Figure 7: Spray SMD vs.  $x$  along the spray axis with n-dodecane injected liquid at different  $P_a$  for a) 110  $\mu\text{m}$  diameter nozzle and b) ECN injector 210675 (single-hole, 89  $\mu\text{m}$  diameter)

364 The injection pressure is expected to have a major impact on the droplet size; higher  
 365 injection pressure increases the shear between the ambient gas and the liquid, as well as the  
 366 deceleration on the liquid. The influence of injection pressure for the 210675 Spray A injector  
 367 with n-dodecane fuel at 2.0 MPa chamber pressure is shown in Fig. 8. Increased injection  
 368 pressure decreases the droplet size, especially in the regions nearest the nozzle exit. The increase  
 369 in droplet size after this minimum is also much more pronounced at lower injection pressure.  
 370 The location at which the minimum droplet size occurs, however, changes little with injection  
 371 pressure. Similar trends are seen for the 110  $\mu\text{m}$  diameter nozzle, both with n-dodecane and  
 372 calibration fluid as the injected fluids.

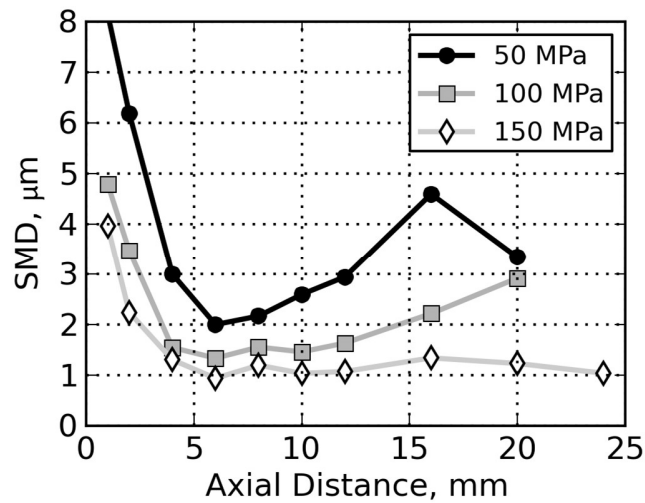


Figure 8: Spray SMD along the spray axis vs.  $x$  for the ECN 210675 injector (single-hole, 89  $\mu\text{m}$  diameter) at various  $P_L$ ,  $P_a = 2.0 \text{ MPa}$ , injecting  $n$ -dodecane

373 In addition to the axial trends in droplet size, the presence of radial gradients in droplet size  
 374 is also of interest. While the current pathlength-integrated measurements are not well-suited to  
 375 measure such gradients, limited measurements at several transverse positions have been  
 376 undertaken for the ECN 210675 injector at 150 MPa injection pressure, 2.0 MPa ambient  
 377 pressure. The results are shown in Fig. 9. The measured points range from the spray axis (left  
 378 hand end of each curve) to relatively dilute regions of the spray. There is significant scatter  
 379 between points, with no clear trend in droplet size with transverse position in the spray, though  
 380 there may on average be an increase in droplet size with transverse distance. The current data do  
 381 not support the presence of strong gradients in droplet size within the dense regions of the spray.  
 382 On the other hand, due to the limited ability of these measurements to probe highly dilute regions  
 383 of the spray, it is possible that SMD gradients exist between the spray core and the optically thin  
 384 spray periphery, which is more typically measured with optical diagnostics [10].

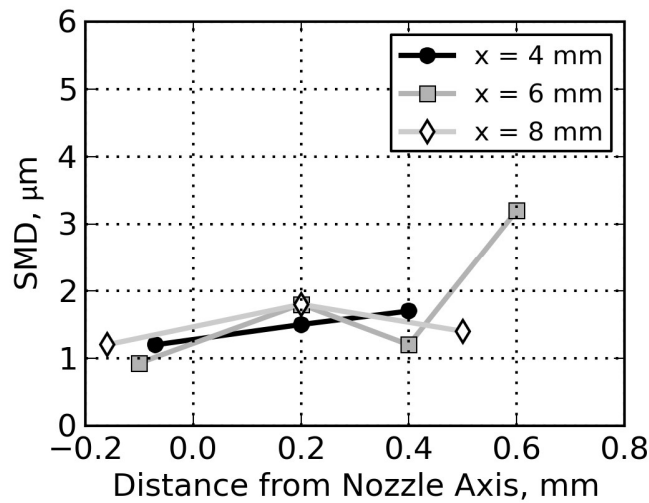


Figure 9: Spray SMD vs. distance from the nozzle axis for the ECN 210675 injector (single-hole, 89  $\mu\text{m}$  diameter),  $P_L = 150 \text{ MPa}$ ,  $P_a = 2.0 \text{ MPa}$ , injecting *n*-dodecane

385 Upon examining these data, a clear question is why the droplet size plateaus at a minimum  
 386 in the range 0.5 – 2  $\mu\text{m}$ . To better understand these data, it is useful to rescale the droplet data to  
 387 a gas phase Weber number  $We_g = \rho_a V^2 d / \sigma$ . Recently, x-ray radiography has been used to  
 388 determine an average axial velocity for a given  $x$  location in steady-state sprays [18]. Using this  
 389 velocity value and the measured SMD, an effective  $We_g$  can be computed. The local relative  
 390 velocity between the droplets and the gas is likely smaller than this average liquid velocity;  
 391 unfortunately, a proper calculation of  $We_g$  would require independent measurements of gas and  
 392 liquid phase velocity, which are unavailable, especially in the optically dense core of the spray.  
 393 While the  $We_g$  calculated here is likely an overestimate of the true  $We_g$ , it at least provides a  
 394 reasonable estimate of this value, and especially of the trends in  $We_g$  with axial distance.

395 Two competing mechanisms are expected in these sprays: breakup and coalescence.  
 396 Previous work has shown that droplets are stable against further shear-driven breakup when the  
 397 gas phase Weber number  $We_g = \rho_a V^2 d / \sigma < 12$  [44]. The trends in droplet coalescence are more

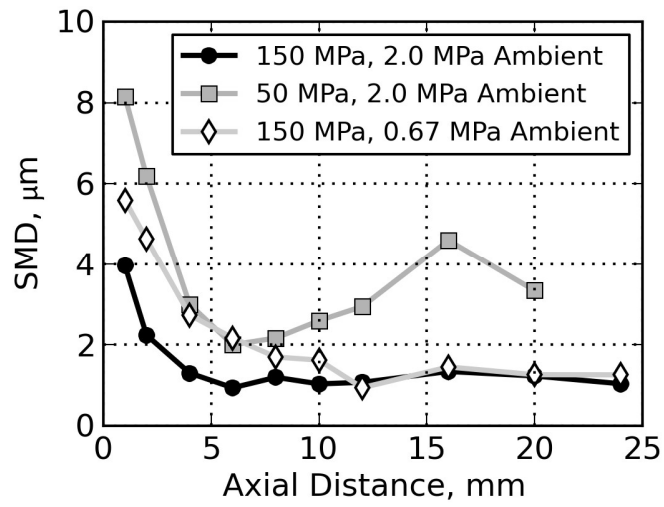


398 complex [45], and depend on the relative liquid phase Weber number between the droplets and  
399 an impact parameter that accounts for the angle of impact between the droplets. At high relative  
400  $We$ , the droplets seldom coalesce, instead rebounding from each other and often creating new  
401 satellite droplets. As the  $We_L$  decreases, coalescence of droplets becomes more likely.

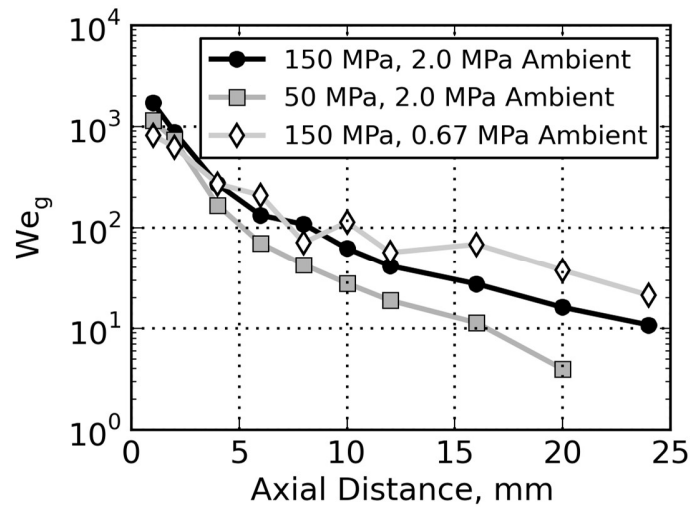
402 Figure 10 shows the droplet SMD and computed  $We_g$  for the 210675 ECN injector at three  
403 different conditions. At  $x = 1$  mm, the droplet  $We_g$  is quite high, though it must be borne in mind  
404 that the SMD is likely an average between small dispersed droplets and larger intact liquid  
405 structures at this location, so the  $We_g$  of the large and small droplets will be quite different. By  
406 examining the two plots, it is clear that the minimum droplet size is reached when  $We_g = 80-200$ .  
407 While these values are somewhat higher than the stable droplet size listed above, given that the  
408 relative velocity is likely overpredicted, the agreement is reasonable. These data support the  
409 view that the plateau in droplet size occurs because the droplets have simply become small  
410 enough for surface tension to resist further breakup. These  $We_g$  values imply  $We_L$  values far  
411 higher than the critical  $We_L$  where significant droplet coalescence is expected, which may  
412 explain the relatively slow evolution of droplet size once a stable size is reached.

413 Examination of the droplet  $We_g$  also helps to explain the effects of changes in injection  
414 pressure and ambient density. For all  $x$ , lower injection pressure leads to lower  $We_g$  values,  
415 allowing a stable  $We_g$  to be reached at a higher droplet diameter, which helps to explain the  
416 larger ultimate droplet size at lower injection pressure. At lower ambient density,  $We_g$  decreases  
417 more slowly as  $x$  increases due to the weaker deceleration of the spray. The point where a stable  
418  $We_g$  is reached is farther downstream at lower ambient density, explaining the delayed evolution  
419 of the SMD as ambient pressure is reduced. Similar trends are exhibited for the 110  $\mu\text{m}$  nozzle.

420



a)



b)

Figure 10: a) SMD vs.  $x$  and b)  $We_g$  vs.  $x$  for the ECN 210675 nozzle (single-hole, 89  $\mu\text{m}$  diameter) along the spray axis with  $n$ -dodecane as the injected liquid

421 Further evidence that  $We_g$  is likely the controlling parameter of minimum droplet size come  
 422 from examining the influence of the injected fluid. The minimum droplet size for calibration  
 423 fluid for the 110  $\mu\text{m}$  diameter nozzle at  $P_L = 150$  MPa is somewhat smaller than that of n-  
 424 dodecane (1.1 vs. 1.9  $\mu\text{m}$ ,  $P_a = 0.5$  vs. 0.6 MPa, respectively), despite the fact that calibration  
 425 fluid has a significantly higher viscosity. The KH-RT model predicts a significantly larger  
 426 droplet size with calibration fluid than for n-dodecane. The  $We$  of the droplets, however, is only  
 427 weakly affected by the choice of liquid, since the density and surface tension of the two fuels are  
 428 not markedly different. The fact that the minimum droplet size does not increase greatly with  
 429 fluid viscosity suggests that this droplet size is not strongly tied to the KH-RT wave breakup  
 430 mechanism.

431 To better quantify the relationship between the SMD and the pertinent independent variables  
 432 (injection pressure, nozzle diameter, and ambient density), a power law fit was performed to the  
 433 minimum droplet size found at each condition for all single-hole nozzle conditions where a clear  
 434 minimum had been reached (i.e., all but one single-hole nozzle condition). To remove the  
 435 influence of fuel properties, separate fits were performed for the n-dodecane and calibration fluid  
 436 experiments; more data are needed to probe the influence of different fuel properties. For the  
 437 calibration fluid, the fit equation across six conditions is:

$$438 \quad SMD_{min} \propto P_L^{-0.66} d^{1.12} \rho_a^{-0.09} \quad (5)$$

439 where  $\rho_a$  is the ambient density and  $d$  is the nozzle diameter. The fit covariance of the power law  
 440 exponents is less than 1% of the fitted value for the exponents of  $P_L$  and  $d$ , indicating relatively  
 441 high confidence in the exponent values. For the n-dodecane experiments, the fit equation across  
 442 8 conditions is:

443 
$$SMD_{min} \propto P_L^{-0.66} d^{1.22} \rho_a^{-0.25} \quad (6)$$

444 The coefficients agree quite well for the two fuels, especially for injection pressure and  
445 nozzle diameter. These fits confirm the impressions seen in the plots: higher injection pressure  
446 and smaller nozzle diameter lead to smaller spray droplets, with ambient density having a  
447 smaller impact.

448 Given that ambient density is directly proportional to  $We_g$ , it is surprising that it has little  
449 impact in the fits for minimum droplet size. Recent x-ray radiography measurements of similar  
450 sprays have shown that trends in axial velocity vs.  $x$  are best understood by normalizing  $x$  by  $\rho_a^{1/2}$   
451 [18]. While increased  $\rho_a$  would initially appear to decrease the stable droplet size, it will also  
452 cause a more rapid decay of axial velocity; lower downstream velocity will lead to a lower  $We$   
453 for a droplet of a given diameter, which should partially offset the effect of ambient density on  
454  $We_g$ .

## 455 **5. Discussion**

456 From the above results, a conceptual model of the atomization process can be formed.  
457 Immediately upon exiting the nozzle, features with a characteristic size of a few  $\mu\text{m}$  are formed,  
458 though most of the liquid has not yet undergone breakup, so the measured SMD is still much  
459 larger than 1  $\mu\text{m}$ . As the spray proceeds downstream, further breakup occurs, though as the  
460 spray decelerates through interaction with the ambient gas, the relative velocity between the  
461 liquid and gas decreases, reducing the droplet  $We_g$  and causing breakup to slow down. Droplet  
462 coalescence competes with breakup, but quite inefficiently due to the high  $We$ , so breakup  
463 dominates over coalescence. Eventually, the spray decelerates enough that the droplets are  
464 relatively stable, causing a plateau in the SMD due to the low rate of additional breakup. As the

465 spray further decelerates, coalescence becomes more efficient due to the lower  $We$ , causing a  
466 slow rise in SMD.

467 The absence of nanoscale droplets is a surprising finding from this study in light of the  
468 droplet sizes predicted by the KH-RT spray breakup model. Both the shape of the scattering  
469 curves and the quantitative SMD measurements suggest relatively few droplets smaller than the  
470  $0.5 - 2 \mu\text{m}$  range; this contrasts sharply with the KH-RT model, especially near the nozzle. The  
471 production of a large population of nanoscale droplets would have profound implications on  
472 spray development, as their transfer of mass and momentum to the surrounding gas will be far  
473 more efficient than such transfer from larger droplets. Moreover, while the KH-RT model uses a  
474 breakup length at which Rayleigh-Taylor breakup is assumed to occur, there is no sign of any  
475 discontinuity in the SMD distribution with  $x$ . Indeed, the most rapid breakup is consistently  
476 found near the nozzle. It is clear that the KH-RT model does not qualitatively or quantitatively  
477 capture the primary breakup process. While the discussion in this paper has focused on  
478 comparison to the KH-RT model, other spray breakup models exist using both Lagrangian and  
479 Eulerian formulations. Other models may better agree with the droplet sizing results shown in  
480 this work.

481 It may be argued that nanoscale droplets, though not seen in the current measurements, may  
482 be created, but simply evaporate too quickly to be seen in the measurements. The current  
483 measurements cannot rule out this possibility. The current measurement conditions, however,  
484 with injection occurring repetitively into cold gas with relatively slow purging, are far less  
485 favorable to evaporation than the evaporative spray conditions found in diesel engines. If such  
486 features evaporate under the current experimental conditions, it raises questions regarding their  
487 lifetime and dynamic importance in applied diesel sprays. It is also possible that nanoscale

488 droplets are formed under different operating conditions than those probed, though the current  
489 conditions have used values of nozzle diameter, ambient density, nozzle shape, and fuel that are  
490 reasonably similar to those seen in applied diesel combustion.

491 Unlike existing optical measurements, the current measurements show the evolution of the  
492 droplet size in the near-nozzle region, including both the core and periphery of the spray. It is  
493 clear from these measurements that virtually the entire spray breakup process occurs in the  
494 region  $x < 12$  mm. The ability to measure droplet size in this region is particularly relevant in  
495 diesel sprays given the rapid vaporization and combustion of the fuel in diesel engines, as well as  
496 the link between spray structure and pollutant formation. For example, recent measurements  
497 have shown that the injected liquid is completely vaporized by  $x = 10$  mm under realistic  
498 operating conditions in diesel engines [12]. The current data provide a quantitative method to  
499 directly validate computational models of spray breakup, which have heretofore relied only on  
500 measurements of droplet size in the far-field region. That said, it is encouraging that the far-field  
501 droplet sizes found in this work are similar to those found in other recent measurements of diesel  
502 sprays using optical diagnostics [9,43].

## 503 **6. Conclusion**

504 The current study has demonstrated unique measurements of droplet SMD in shear-driven  
505 atomization using USAXS. Both quantitative and qualitative examination of the data show no  
506 support for the formation of nanoscale droplets. This is in contrast to a widely applied spray  
507 model for diesel sprays. The droplet SMD rapidly decreases from a large fraction of the nozzle  
508 size to only a few microns in diameter as  $x$  increases, then reaches a nearly steady-state with  
509 further increases in  $x$ . Increases in ambient pressure serve to accelerate the breakup of the spray,

510 but do not strongly influence the final droplet size. Higher injection pressure does not strongly  
511 influence the location where the SMD plateaus, but does lead to smaller droplets. An empirical  
512 correlation to the data confirms the strong influence of injection pressure and nozzle diameter, as  
513 well as the weak influence of ambient density.

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