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This paper must be cited as:

Ruiz Martinez, A.; Serralta Sevilla, J.; Seco Torrecillas, A.; Ferrer, J. (2016). Modeling light and temperature influence on ammonium removal by *Scenedesmus* sp. under outdoor conditions. *Water Science & Technology*. 74(8):1964-1970.
<https://doi.org/10.2166/wst.2016.383>



The final publication is available at

<https://doi.org/10.2166/wst.2016.383>

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Additional Information

1 **Modeling light and temperature influence on ammonium**
2 **removal by *Scenedesmus* sp. under outdoor conditions**
3 **Short title: Modeling of light and temperature influence on ammonium**
4 **removal by *Scenedesmus***

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12 **ABSTRACT**

13 The ammonium removal rate of the microalga *Scenedesmus* sp. was studied under
14 outdoor conditions. Microalgae were grown in a 500 l flat-plate photobioreactor and fed
15 with the effluent of a Submerged Anaerobic Membrane Bioreactor (SAnMBR).
16 Temperature ranged between 9.5 °C and 32.5 °C and maximum light intensity was 1860
17 $\mu\text{mol}\cdot\text{m}^{-2}\cdot\text{s}^{-1}$. A maximum specific ammonium removal rate of $3.71\text{ mg NH}_4\text{-N}\cdot\text{g TSS}^{-1}\cdot\text{h}^{-1}$
18 was measured (at 22.6 °C and with a light intensity of $1734\text{ }\mu\text{mol}\cdot\text{m}^{-2}\cdot\text{s}^{-1}$). A
19 mathematical model considering the influence of ammonium concentration, light and
20 temperature was validated. The model successfully reproduced the observed values of
21 ammonium removal rate obtained and it is thus presented as a useful tool for plant
22 operation.

23 **Keywords:** Ammonium removal; light; microalgae; modeling; temperature; wastewater

24 INTRODUCTION

25 Microalgae are photosynthetic microorganisms whose ability to eliminate inorganic
26 nutrients from different kind of wastewaters is well known (Wu et al., 2014). Their
27 application for such purposes has also the advantages of atmospheric CO₂ fixation, less
28 energy consumption than conventional wastewater treatment methods, and biomass
29 generation, among others. Generated microalgal biomass can be used for biofuels
30 obtention and as fertilizer (Brenan and Owende, 2010).

31 Predicting the behavior of microalgal cultures is a very complex task, especially when
32 the cultivation takes place outdoors, under changing environmental conditions of light
33 and temperature. Nutrient levels are also variable in applications which use real
34 wastewaters as growth medium. Changing conditions, together with the microalgal
35 responses to these external conditions (such as selfshading, photoacclimation or changes
36 in pigments, metabolites and reserve compounds) and with the physical characteristics
37 of the photobioreactor system (such as geometry and agitation, which influence mass
38 and heat transfer) should all be taken into account in order to obtain the best
39 reproduction of the processes taking place in the microalgal culture.

40 However, the higher the number of known phenomena taken into account, the higher
41 the complexity of the models obtained. Thus, when a model is proposed in order to
42 predict the microalgal behavior in a real wastewater treatment system, a compromise
43 needs to be found between accuracy and ease of application and computation.

44 The aim of this work was to propose and validate a mathematical model which accounts
45 for the effect of ammonium concentration, light and temperature on the microalgal
46 ammonium removal rate under full scale changing outdoor conditions. This model
47 would allow for real-time prediction of a photobioreactor system performance when

48 treating wastewater, which is of great help for plant control and operation. For this aim,
49 the authors proposed a multiplicative combination of mathematical expressions which
50 are able to accurately reproduce experimental data under stable laboratory conditions
51 (Ruiz-Martinez et al., 2014; Ruiz-Martinez et al., 2015a; Ruiz-Martinez et al., 2015b).
52 The suitability of these expressions to also reproduce the observed ammonium removal
53 rates taking place in a bigger scale under outdoor conditions was therefore tested and
54 validated, and the corresponding parameters were obtained, which allows for further
55 application of the model in a photobioreactor-based wastewater treatment system.

56 **MATERIALS AND METHODS**

57 **Microorganisms**

58 Microalgae were isolated from the walls of the secondary clarifier in the “Cuenca del
59 Carraixet” Wastewater Treatment Plant (Valencia, Spain) and maintained in the
60 laboratory in a 7 L semicontinuous reactor (for details see Ruiz-Martinez et al., 2014),
61 using as growth medium the effluent of a submerged anaerobic membrane bioreactor
62 (SAnMBR) described in Giménez et al., 2011. The biomass formed a stable ecosystem
63 where the dominant microalgae belonged to the Chlorococcal order, of which > 99% to
64 the *Scenedesmus* genus. The photobioreactor (PBR) was seeded with this culture (10%
65 of the PBR volume) and the effluent from the SAnMBR system (90% of the PBR
66 volume). Microalgae were then allowed to grow in batch mode until a concentration of
67 600 mg TSS·L⁻¹ was reached. The dominant microalgae genus was *Scenedesmus* for the
68 whole duration of the experiment.

69 **Experimental setup and operation**

70 Microalgae cultivation was performed during 30 days in a 500 L flat-plate PBR made of
71 transparent methacrylate and placed outdoors, in the “Cuenca del Carraixet” WWTP. Its
72 dimensions were 125 x 200 x 25 cm (height x length x width). The 125 x 200 cm
73 surface (perpendicular to the ground) was facing south in order to improve solar
74 irradiance. The PBR was continuously stirred by air sparging (0.06 vvm), which
75 allowed homogenization of the culture and prevented wall fouling. pH was controlled at
76 7.5 by adding pure (99.9%) CO₂ through an automatic valve whenever the pH reached
77 the maximum value established.

78 The PBR was fed with the effluent from the existing SAnMBR system described in
79 Giménez et al. (2011). This SAnMBR system is fed with the pre-treated urban
80 wastewater (screening, degritter, and grease removal) of the “Cuenca del Carraixet”
81 WWTP. Influent nutrient load was therefore variable ($46.9 \pm 4.3 \text{ mg NH}_4\text{-N}\cdot\text{L}^{-1}$ and 5.9
82 $\pm 1.3 \text{ mg PO}_4\text{-P}\cdot\text{L}^{-1}$), depending on the influent to the WWTP and on the performance
83 of the SAnMBR plant. Nitrite and nitrate concentration were negligible ($\sim 0 \text{ mg}\cdot\text{L}^{-1}$), as
84 expected from an anaerobic effluent.

85 The SAnMBR effluent was fed daily to the PBR in a total of 5 to 10 deliveries
86 (depending on the cellular retention time (CRT)), which were evenly distributed during
87 the light hours. The CRT at which the PBR was operated was varied during the
88 operational period. It was established at 3 days during the first 5 days and at 5.5 days
89 from day 6 until the end of the operational period. Temperature and solar irradiation
90 varied freely at all times as a result of the changing environmental conditions.

91 A group of on-line sensors submerged in the reactor constantly monitored the culture.
92 They consisted of the following: one pH-temperature transmitter (HachLange pH-D-S

93 sc), one turbidity sensor to measure total suspended solids (TSS) (HachLange
94 SOLITAX sc), one dissolved oxygen (DO) sensor (HachLange LDO) and one
95 ammonium-nitrate ($\text{NH}_4\text{-N}$ and $\text{NO}_3\text{-N}$) concentration sensor (HachLange AN-ISE sc).
96 An irradiation sensor (HOBO® Smart Sensor, s-lia-m003), which measured only the
97 photosynthetically active radiation (PAR), was located on the vertical surface of the
98 PBR facing south. Data was continuously acquired and saved on a PC during the 30
99 days of operation, during which the PBR was fed around 200 times.

100 **Analytical Methods**

101 Phosphate level in the PBR was determined weekly according to Standard Methods
102 (APHA 2005, 4500-P-F) in a Smartchem 200 automatic analyzer (Westco Scientific
103 Instruments, Westco). Samples were analyzed in duplicate.

104 **RESULTS AND DISCUSSION**

105 **Ammonium removal rates**

106 Fig. 1 shows the evolution of light, temperature and ammonium concentration in the
107 PBR on a sunny day when light intensity increased in the morning and decreased in the
108 afternoon without important oscillations (dotted line). It was observed that temperature
109 increase generally suffered a lag with respect to light intensity, so that maximum
110 temperatures occurred during the last minutes of daylight (dashed line). Ammonium
111 (filled line) started decreasing when light intensity increased (at sunrise), and continued
112 to do so during the light hours, with the exceptions of the times when the SAnMBR
113 effluent was added. At those points, ammonium concentration rapidly increased. Seven

114 of these rapid increases can be seen in fig. 1. For each day of the experiment, a
115 temperature-light-ammonium profile was obtained. Since the PBR was placed outside,
116 these profiles were different for each day.

117 The data taken by the ammonium sensor revealed the decrease of ammonium to be
118 linear between two consecutive feed deliveries. Ammonium decrease was due to
119 microalgae activity, who took it up from the medium, provided the light intensity was
120 high enough. Microalgal ammonium uptake rate after every SAnMBR effluent injection
121 was thus calculated -using Microsoft ® Excel 2007- as a linear regression of the
122 ammonium concentration values represented versus time. Data provided by the
123 suspended solids sensor allowed calculating the specific ammonium uptake rate. PAR
124 intensity and temperature were averaged for each period of linear ammonium decrease
125 between SAnMBR effluent additions from the information recorded by the respective
126 sensors. When the light oscillation was too abrupt data were discarded since an average
127 value would not be representative. Thus, 183 sets of data were obtained, each of them
128 consisting of four values: the measured specific ammonium removal rate immediately
129 after the feed injection and the corresponding averaged ammonium concentration,
130 temperature and light intensity to which the culture was subject during the same period
131 of linear ammonium decrease.

132 Maximum light intensity was $1860 \mu\text{mol}\cdot\text{m}^{-2}\cdot\text{s}^{-1}$ and temperature ranged between $9.5 \text{ }^\circ\text{C}$
133 and $32.6 \text{ }^\circ\text{C}$. Suspended solids in the reactor were stable around $640 \text{ mg TSS}\cdot\text{L}^{-1}$ for the
134 first 8 days and decreased afterwards to oscillate in the range of $320\text{-}480 \text{ mg TSS}\cdot\text{L}^{-1}$.
135 Ammonium concentration ranged between 1.1 and $22.4 \text{ mg NH}_4\text{-N}\cdot\text{L}^{-1}$. The changes in
136 ammonium and biomass concentration were not only influenced by microalgal
137 metabolism and environmental conditions, but also by pilot plant operation (mainly the

138 modification in CRT). Phosphate levels in the PBR stayed relatively constant and above
139 1 mg PO₄-P·L⁻¹.

140 When the calculated ammonium removal rates are represented along a temperature axis,
141 a bell-shaped distribution can be observed (fig. 2). Maximum uptake rates for each
142 temperature increased with increasing temperature until reaching 22.6 °C, where the
143 highest removal rate of the whole experimental period was obtained. Maximum
144 ammonium uptake rates for each temperature decreased thereafter. The maximum
145 ammonium uptake rate measured was 1.54 mg NH₄-N·L⁻¹·h⁻¹, which is similar to the
146 values reported by Wang and Lan (2011) (1.8 mg NH₄-N·L⁻¹·h⁻¹ for *Neochloris*
147 *oleoabundans*) or Ackerstrom et al. (2014) (1.37-1.7 mg NH₄-N·L⁻¹·h⁻¹ for *Chlorella*
148 sp.) and higher than the value reported by McGinn et al. (2012) (1 mg NH₄-N·L⁻¹·h⁻¹ for
149 *Scenedesmus* sp.). The corresponding maximum specific ammonium uptake rate was
150 3.71 mg NH₄-N·gTSS⁻¹·h⁻¹. This ammonium uptake rate corresponded to averaged
151 ammonium concentration and light intensities of 7.7 mg NH₄-N·L⁻¹ and 1734 μmol·m⁻²·
152 s⁻¹, respectively.

153 Since different removal rates were measured for the same temperature (data points
154 situated vertically above each other along the whole temperature range in figure 2), it is
155 clear that other factors, such as light intensity and ammonium concentration, also
156 affected the microalgal ammonium uptake rate. In order to partly account for this, in
157 figure 2 data was grouped by light intensity ranges. Analysis of this figure indicates that
158 lowest intensities (up to 400 μE·m⁻²·s⁻¹) were normally associated to low temperatures,
159 and also ammonium uptake rates below 1 mgN·gTSS⁻¹·h⁻¹ were achieved (fig. 2, black
160 dots). Between 400 and 1200 μE·m⁻²·s⁻¹ both associated temperatures and ammonium
161 uptake rates increase, although around the highest temperature range of 30 °C no
162 ammonium removal rates above 0.5 mgN·gTSS⁻¹·h⁻¹ were observed (fig. 2, dark grey

163 dots). The interval between 1200 and 1600 $\mu\text{E}\cdot\text{m}^{-2}\cdot\text{s}^{-1}$ confirms this observation: highest
164 ammonium uptake rates under these conditions do not correspond to the highest
165 temperatures achieved, but they rather appear between 10 and 25 °C. (fig. 2, light grey
166 dots). Data points presenting a temperature around 10 °C and high light average (> 1100
167 $\mu\text{mol}\cdot\text{m}^{-2}\cdot\text{s}^{-1}$) represent times around noon, when light intensity has increased rapidly
168 and temperature is still low. Finally, the highest ammonium removal rates were
169 obtained, within the interval of 20-23 °C, for the highest light intensities measured (fig.
170 2, empty dots).

171 A practical conclusion that can be drawn from figure 2 is that, under the studied
172 conditions, high temperatures could be a bigger operational problem (in terms of low
173 ammonium removal rates achieved) than high light intensities.

174 **Model development**

175 A mathematical model was proposed to describe the influence of ammonium
176 concentration, light and temperature on the ammonium removal rate observed in the
177 PBR. Influence of intracellular phosphorus content was not taken into account, since the
178 level of phosphate in the medium stayed above 1 mg $\text{PO}_4\text{-P}\cdot\text{L}^{-1}$ during the whole
179 duration of the study, and it was thus possible to assume that microalgae intracellular
180 phosphorus concentration was relatively constant. This simplifies the effort and the time
181 required to obtain the model input.

182 As previously proposed and validated for a laboratory scale microalgae culture (Ruiz-
183 Martinez et al., 2014), the influence of ammonium concentration in the medium was
184 represented using Monod kinetics (eq. 1), light influence was modeled by Steele's
185 equation (eq. 2) and temperature was modeled using the Cardinal Temperatures Model

186 with inflexion (eq. 3) proposed by Bernard and Rémond (2012) for microalgae and
 187 previously used by the authors for modeling a laboratory scale microalgal system (Ruiz-
 188 Martinez et al., 2015b):

$$189 \quad \frac{S_{NH_4}}{k_S + S_{NH_4}} \quad (1)$$

$$190 \quad \frac{I}{k_i} \cdot \exp\left(1 - \frac{I}{k_i}\right) \quad (2)$$

$$191 \quad \frac{(T - T_{max}) \cdot (T - T_{min})^2}{(T_{opt} - T_{min}) \cdot [(T_{opt} - T_{min}) \cdot (T - T_{opt}) - (T_{opt} - T_{max}) \cdot (T_{opt} + T_{min} - 2T)]} \quad (3)$$

192 where S_{NH_4} (mg N·L⁻¹) represents ammonium concentration in the medium and k_S (mg
 193 N·L⁻¹) is the semisaturation constant for ammonium. I (μE·m⁻²·s⁻¹) is light intensity and
 194 k_i (μE·m⁻²·s⁻¹) is the optimal light intensity. T_{min} (°C) is the temperature below which the
 195 growth is assumed to be zero, T_{max} (°C) is the temperature above which there is no
 196 growth and at temperature T_{opt} (°C) maximal growth rate occurs.

197 Thus, the expression used to predict microalgal specific ammonium removal rate was a
 198 combination of the above explained equations (eq. 4):

$$199 \quad r_{spN} = r_{spNmax} \frac{S_{NH_4}}{k_S + S_{NH_4}} \frac{I}{k_i} \exp\left(1 - \frac{I}{k_i}\right) \frac{(T - T_{max}) \cdot (T - T_{min})^2}{(T_{opt} - T_{min}) \cdot [(T_{opt} - T_{min}) \cdot (T - T_{opt}) - (T_{opt} - T_{max}) \cdot (T_{opt} + T_{min} - 2T)]} \quad (4)$$

201 where r_{spNmax} represents the maximum specific nitrogen uptake rate (mg N·h⁻¹·mg TSS⁻¹)
 202 ¹). I was calculated as an average light intensity, taking into account the reactor's
 203 geometry and Lambert-Beer's Law (eq. 5) for representing the selfshading effect of the
 204 biomass

$$205 \quad I = I_0 \cdot \exp(-a \cdot TSS \cdot z) \quad (5)$$

206 where I_0 ($\mu\text{E}\cdot\text{m}^{-2}\cdot\text{s}^{-1}$) is incident light intensity, a ($\text{m}^2\cdot\text{gTSS}^{-1}$) is the microalgal self-
207 shading factor, and z (m) is the distance from the surface of the reactor. As in previous
208 studies (Ruiz-Martinez et al., 2015a) a microalgal self-shading factor of $0.0758 \text{ m}^2\cdot\text{g}$
209 TSS^{-1} was used.

210 **Model calibration**

211 The 183 sets of data obtained allowed calibration of the proposed model, using the
212 Solver program in Microsoft® Excel 2007 software for minimizing the residual sum of
213 squared errors between the experimental data and the model predictions. The initial
214 values for the model parameters were selected based on previous results (Ruiz-Martinez
215 et al., 2014) and on the obtained experimental data (fig. 2). The values obtained for the
216 model parameters (Table 1) accurately reproduced the experimental data (fig. 3).
217 Statistical analysis was carried out using SPSS 16.1, which showed a Pearson
218 correlation coefficient of 0.876 (P-value < 0.01).

219 The obtained maximum specific ammonium removal rate, $r_{spNmax} = 4.7 \text{ mg N}\cdot\text{g TSS}^{-1}\cdot\text{h}^{-1}$,
220 ¹, is in accordance with the maximum ammonium uptake rate measured in the
221 experiment (25% higher). A value of $2.5 \text{ mg N}\cdot\text{L}^{-1}$ for parameter k_S implies a high
222 affinity of the microalgae for ammonium, which is reasonable for the given growth
223 conditions. k_i presents a higher value than the parameters obtained in our previous
224 laboratory scale studies (180 and $200 \mu\text{E}\cdot\text{m}^{-2}\cdot\text{s}^{-1}$ according to Ruiz-Martinez et al., 2014
225 and Ruiz-Martinez et al., 2015b, respectively), possibly since in the outdoor pilot plant
226 the microalgal culture is adapted to higher light intensities, and thus the optimal
227 intensity for the present culture is higher than for the microalgae which have grown
228 under lower light intensities in the laboratory experiments of the cited works. According

229 to Richmond (1986), species cultivated under outdoor conditions should be able to
230 tolerate light variations and should have a high light saturation constant.

231 While the minimum temperature obtained in the present study is comparable to that one
232 previously found in the laboratory (8.8 °C in Ruiz-Martinez et al., 2015b), the optimum
233 and maximum temperatures obtained in the present experiment are sensibly smaller.

234 This discrepancy is possible due to the very different conditions in which microalgae
235 are growing in the PBR outdoors and in the laboratory. Xin et al. (2011) actually
236 reported an optimal temperature of 20 °C for *Scenedesmus* sp. biomass production,
237 which is in agreement with the result obtained in this study.

238 It can therefore be assumed that the mathematical expressions which reproduce data
239 obtained in the laboratory can also be combined and used to predict the behavior of
240 microalgae cultivated under outdoor conditions, which constitutes a useful tool for plant
241 design and operation. It has been proved that the model proposed is easy to implement,
242 since calculations are not complex and model input can be continuously obtained with
243 the sensors that monitor the basic culture parameters.

244 **CONCLUSIONS**

245 The present work proposed a mathematical model which represents microalgal
246 ammonium removal rate taking into account the ammonium concentration in the
247 medium, light and temperature. Influences of these parameters were represented with
248 functions which had previously been validated for laboratory scale cultures: a Monod
249 kinetics term, the Steele function and the cardinal temperatures model, respectively. The
250 combination of these terms successfully reproduced the experimental data, therefore
251 validating its suitability for use at full scale and under changing outdoor conditions as

252 well. However, since the microalgal culture was adapted to different conditions,
253 different model parameters were obtained.

254 **ACKNOWLEDGEMENTS**

255 This research work has been supported by the Spanish Ministry of Education, Culture
256 and Sports (CTM2011-28595-C02-01/02) jointly with the European Regional
257 Development Fund (ERDF) and Generalitat Valenciana (ACOMP2013/203) which are
258 gratefully acknowledged. This research was also supported by the Spanish Ministry of
259 Education, Culture and Sport via a pre doctoral FPU fellowship to the first author
260 (AP2009-4903). The authors also gratefully acknowledge the support from the water
261 management entities of the Generalitat Valenciana (EPSAR).

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302

303

304 Figure 1: Experimental data: Ammonium, temperature and light intensity during day 14
305 of the experiment

306 Figure 2: Calculated ammonium removal rates distributed along a temperature axis and
307 grouped by light intensity ranges.

308 Figure 3: Parity plot: a comparison of model predictions against observed ammonium
309 uptake rates, using model parameters as indicated in table 1.

310 Table 1: parameters obtained during model calibration.

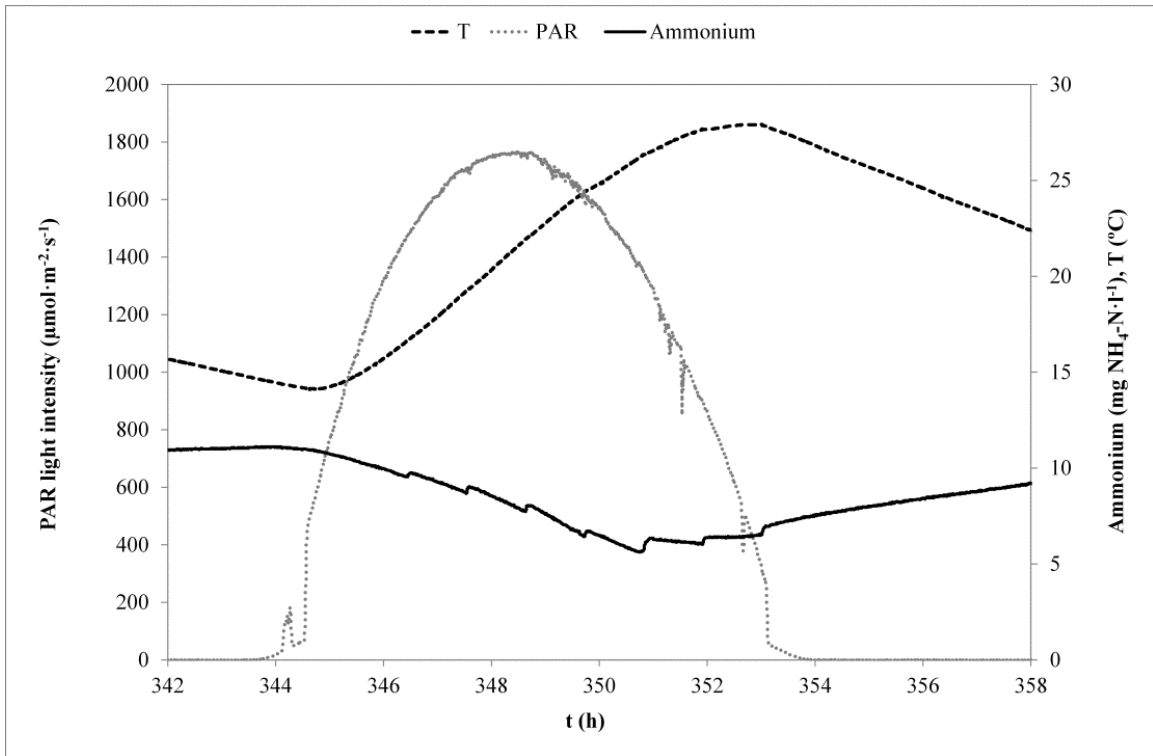
311

312 Table 1

Parameter	Units	Obtained value
r_{spNmax}	$(\text{mg N} \cdot \text{g TSS}^{-1} \cdot \text{h}^{-1})$	4.7
k_S	$\text{mg N} \cdot \text{L}^{-1}$	2.5
k_I	$\mu\text{E} \cdot \text{m}^2 \cdot \text{s}^{-1}$	477
T_{min}	$^{\circ}\text{C}$	2
T_{max}	$^{\circ}\text{C}$	32
T_{opt}	$^{\circ}\text{C}$	20.5

313

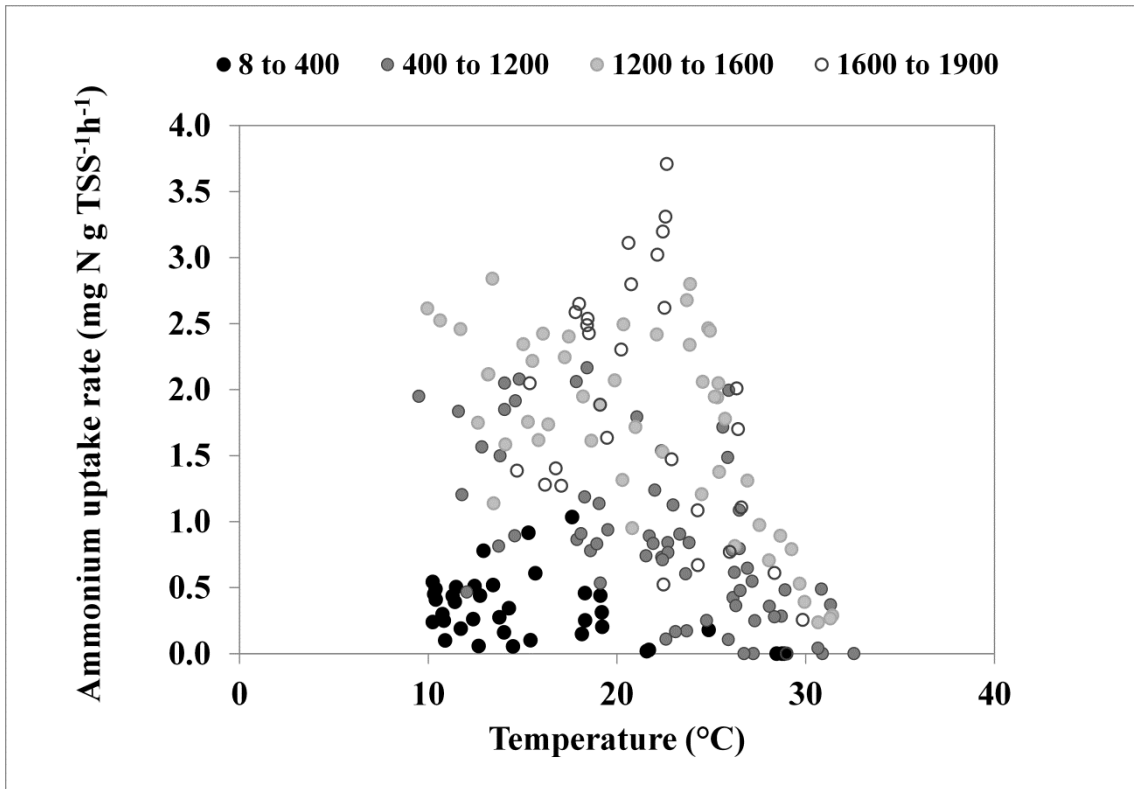
314 Figure 1



315

316

317 Figure 2



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319

