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Additional Information

1	Using lignocellulosic fractions of coffee husk to improve properties of
2	compatibilised starch-PLA blend films
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4	Sofía Collazo-Bigliardia*, Rodrigo Ortega-Torob and Amparo Chiralta
5	
6	^a Instituto de Ingeniería de Alimentos para el Desarrollo, Universidad Politécnica de
7	Valencia, Camino de Vera s/n, 46022 Valencia, Spain. socol@alumni.upv.es;
8	dchiralt@tal.upv.es
9	^b Programa de Ingeniería de Alimentos, Facultad de Ingeniería, Universidad de
10	Cartagena, Carrera 6 # 36-100, Cartagena de Indias D.T y C, Colombia.
11	rortegap1@unicartagena.edu.co
12	
13	*Corresponding author: Sofia Collazo-Bigliardi. Instituto de Ingeniería de Alimentos
14	para el Desarrollo, Universidad Politécnica de Valencia, Camino de Vera s/n, 46022
15	Valencia, Spain. Phone: 34-963877924. Fax: 34-963877926. socol@alumni.upv.es
16	
17	ABSTRACT
18	The effectiveness of the incorporation of cellulosic reinforcing agents (cellulosic fibres:
19	CF and cellulose nanocrystals: CNC) and antioxidant aqueous extract (AE) from coffee
20	husk at improving the functional properties of compatibilised starch-PLA blend films
21	was studied. Tensile and barrier properties, crystallization pattern and thermal behaviour
22	were analysed in films containing 1wt% of CF or CNC incorporated by two different
23	methods or 5.8 wt% of antioxidant extract. The antioxidant properties of the films were
24	also tested through their efficacy at preserving sunflower oil from oxidation. Of the
25	cellulosic fractions, CNC directly blended with the starch phase were the most effective

at reinforcing tensile properties of the material (148% and 45% increase in elastic modulus and tensile strength, respectively) and at reducing their water vapour and oxygen permeability (28% and 42% reduction, respectively). The AE did not improve the mechanical performance of the blend films, but conferred antioxidant capacity useful for food packaging applications.

- **Keywords:** Coffee husk; Cellulose fillers; Antioxidant extracts; Starch; Polylactic acid;
- 33 Grafted polycaprolactone.

1. Introduction

Nowadays, it is a challenge to develop materials able to substitute the conventional petroleum-derived polymers with high environmental impact due to their accumulation and difficult management (Balaji, Pakalapati, Khalid, Walvekar, & Siddiqui, 2017). This is especially convenient in the food packaging area where there is a very high consumption of plastic materials. In this sense, biodegradable materials should substitute synthetic plastics since they represent an attractive solution to this issue, being abundant and mostly obtained from renewable resources. Different groups of biodegradable polymers have been studied, such as those directly obtained from biomass (polysaccharides, proteins and those produced by microbial action) and those synthesised from bio-based monomers (polylactic acid: PLA) or from petrochemical products (poly (ε-caprolactone): PCL) (Brigham, 2018; Collazo-Bigliardi, Ortega-Toro, & Chiralt, 2018a). These materials can also be used in combination, forming multilayer packaging systems (Requena, Vargas, & Chiralt, 2018; Tampau, González-Martínez, & Chiralt, 2018) or composites (Ortega-Toro, Collazo-Bigliardi, Talens, & Chiralt, 2016a) with optimized mechanical and barrier properties for food packaging.

Starch and PLA are the most widely-studied biomaterials for food packaging applications. Starch from different sources (rice, potato, corn, cassava, wheat, etc.) can be transformed into thermoplastic starch (TPS) by thermo-mechanical treatment in combination with the action of plasticizers (Koch, 2018). Despite its hydrophilic nature and water sensitivity, its limited mechanical performance and retrogradation during storage, starch is an interesting polymer for food packaging development due to its suitability for food contact, competitive price and high oxygen barrier capacity (Ortega-Toro, Bonilla, Talens, & Chiralt, 2017). Likewise, starch modification through the reaction of hydroxyl groups (-OH) provides several possibilities for modulating its properties (Ogunsona, Ojogbo, & Mekonnen, 2018). As concerns PLA, it is synthesized from lactic acid obtained in the dextrose fermentation, using renewable sources, such as corn starch, rice starch or raw materials with high sugar content. This biodegradable aliphatic polyester is commonly produced by the ring-opening polymerization (ROP) of lactide monomers formed from lactic acid (Balaji et al., 2017; Muller, González-Martínez, & Chiralt, 2017a). PLA is easy to process, transparent and has excellent water vapour barrier permeability (Murariu & Dubois, 2016), with characteristics comparable to those traditional petrochemical-based polymers (such as polystyrene: PS or polyethylene terephthalate: PET). However, PLA exhibits low oxygen barrier capacity in line with its hydrophobic nature and limited toughness, despite the fact that it is resistant to traction (Hamad, Kaseem, Ayyoob, Joo, & Deri, 2018). TPS-PLA blends have been studied to make the most of their complementary properties for the purposes of designing packaging materials. Nevertheless, these polymers are not thermodynamically compatible and their blends exhibit phase separation, which limits their effectiveness (Müller et al., 2016). Then, it is necessary to improve their interfacial adhesion in order to obtain TPS-PLA blends with better functional properties. To this

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end, the use of compatibilizers has been widely studied (Hamad et al., 2018). Different 76 77 compounds, such as citric acid, stearic acid, maleic anhydride, dicumyl peroxide or 78 citrate esters, have been used to enhance the mechanical, thermal and barrier properties 79 of the blends (Muller et al., 2017a). Ortega-Toro et al. (2016b) studied the use of compatibilizers based on the melt grafting of poly(\varepsilon-caprolactone) (PCL) with reactive 80 polar groups from glycidyl methacrylate (epoxide) or maleic anhydride (anhydride), as 81 reported by Laurienzo, Malinconico, Mattia and Romano (2006), in TPS-PCL blends. 82 These compatibilizers have also been used in TPS-PLA blends leading to films with 83 improved functional properties (Collazo-Bigliardi, Ortega-Toro & Chiralt, 2019a). 84 85 Particularly, films with 20% substitution of starch by PLA, containing 5% of grafted PCL with glycidyl methacrylate exhibited high resistance to break and reduced water 86 vapour permeability with respect to the starch films, with very high oxygen barrier 87 88 capacity. The incorporation of cellulosic fibres and antioxidant compounds into this matrix might still improve the film characteristics for their application in food 89 packaging. 90 Micro- or nano-fillers from different sources have been studied to improve the 91 functional properties of biopolymer films (Collazo-Bigliardi et al., 2018a). In particular, 92 93 lignocellulosic agro-wastes have been commonly used to isolate microcrystalline cellulose and cellulose nanocrystals. These fillers have been incorporated into starch-94 based blends (Azeredo, Rosa, & Mattoso, 2017; Berthet et al., 2015; Collazo-Bigliardi, 95 Ortega-Toro, & Chiralt, 2018b; Patel & Parsania, 2017) and provided great thermal 96 resistance, improving the elastic modulus and barrier properties of composites. The 97 presence of the hydroxyl groups of the cellulose favours its interaction with the polymer 98 99 matrix, thus contributing to its reinforcement.

100	Lignocellulosic materials are also a source of phenolic compounds, which exhibit active
101	properties to control microbial or oxidative processes in food matrices (Cong-Cong,
102	Bing, Yi-Qiong, Jian-Sheng, & Tong; Shavandi et al., 2018). The antioxidant character
103	of polyphenols is related with their ability both to act as free radical scavengers,
104	inhibiting lipoxygenase enzyme activity, and to chelate metals (Talón, Trifkovic,
105	Vargas, Chiralt, & González-Martínez, 2017).
106	In this context, coffee husk (endocarp of coffee beans) is an interesting raw material
107	produced in coffee processing, with high content of both cellulosic (35%, Collazo-
108	Bigliardi et al., 2018b) and phenolic components (1.3 % in Gallic Acid Equivalents,
109	Collazo-Bigliardi et al., 2019b) that can be valued through its use for packaging
110	material development (Alves, Rodrigues, Nunes, Vinha, & Oliveira, 2017). This residue
111	has been submitted to hydrothermal treatments to extract active compounds (Piñeros-
112	Castro & Otálvaro, 2014), and to different chemical treatments in order to isolate
113	cellulose fibres, whose subsequent acid hydrolysis produced cellulose nanocrystals. The
114	isolated CNC exhibited an aspect ratio ranging between 20-40, which confers good
115	reinforcing properties, 92% crystallinity and high thermal resistance (Collazo-Bigliardi
116	et al., 2018b).
117	The aim of this work was to analyse the effectiveness of the incorporation of cellulosic
118	reinforcing agents (cellulose fibres and cellulose nanocrystals) and antioxidant aqueous
119	extract from coffee husk at improving the functional properties of compatibilized
120	starch-PLA blend films. The antioxidant properties of the films were tested through
121	their efficacy at preserving sunflower oil from oxidation. The effect of the incorporation
122	method of cellulose nanocrystals into the blend films was also analysed.

2. Materials and methods

2.1. Materials

Corn starch (S. 28% amylose) was supplied by Roquette (Roquette Laisa, Benifaió, Spain) and amorphous PLA 4060D, density of 1.24 g/cm³, was purchased from Natureworks (U.S.A). Glycerol was obtained from Panreac Química, S.A. (Castellar del Vallès, Barcelona, Spain). For the chemical modification of PCL (pellets ~3 mm, average Mn 80.000 Da), glycidyl methacrylate (G) (purity 97%) and benzoyl peroxide (BP) were supplied by Sigma (Sigma-Aldrich Chemie, Steinheim, Germany). Coffee husks were provided by Universidad Jorge Tadeo Lozano (Bogotá, Colombia) and maltodextrin 18 DE used in spray drying of extracts was from Tecnas S.A., Colombia.

2.2. Preparation of grafted PCL

The grafting reaction of glycidyl methacrylate (G) to PCL was performed following the methodology described by Collazo-Bigliardi et al. (2019a), Ortega-Toro et al. (2016b) and Laurienzo et al. (2006). To this end, 45 g of PCL, 0.5 g of BP and 5 g of G were incorporated into the Brabender plastograph (EC Plus, Duisburg, Germany) and melt blended at 100 °C and 32 rpm for 20 min to obtain PCL_G. Modified PCL_G was dissolved in 500 mL of chloroform, subsequently re-precipitated in excess of hexane for the purposes of removing any ungrafted reagents (oligomers and monomers residuals), and kept in a desiccator under vacuum for 12 h at 25 °C, and stored under freezing till its use. The molar grafting ratio determined for the glycidyl methacrylate in PCL_G was 4.3 \pm 0.4%, determined from H¹ NMR in previous studies (Collazo-Bigliardi et al., 2019a; Ortega-Toro et al., 2016b).

2.3. Extraction of antioxidant compound and isolation of cellulosic materials from coffee husk

The extraction of the antioxidant fraction was carried out with 650 g of coffee husk and 3 L of distilled water in a 5 L capacity pilot scale reactor (A2423 model, Amar Equipment, India) by pressurised hot water (180 °C and 9.5 bar) for 60 min, according to previous studies (Piñeros-Castro & Otálvaro, 2014). The extracts were separated from the solid fraction which was dried for the purposes of subsequently extracting the cellulose fillers. The extract was concentrated at 90 °C under continuous stirring and then spray dried using a Vibrasec pilot dryer model Pasalab 1.5 (Universidad Nacional de Colombia, Medellin), operating at 180 °C and 90 °C outlet temperature, at an atomiser disk speed of 24,000 rpm. Maltodextrin (18 DE) at 29.8 wt% was added as drying coadjuvant. The process of isolating cellulose reinforcing agents from coffee husks was carried out following the methodology reported by Collazo-Bigliardi et al. (2018b). The solid residue from the hydrothermal treatment was alkali treated with 4 wt% of NaOH at 80 °C for 3 h. Then, the sample was washed with distilled water until the alkali solution was removed. The bleaching process to obtain cellulose fibres (CF) was completed by adding equal parts of acetate buffer solution, sodium chlorite (1.7 wt%) and distilled water to the alkali- treated solid at reflux temperature (~100 °C) for 4 h, under mechanical stirring. This process was repeated 4 times until the samples were completely white. Then, the fibres were washed with distilled water several times, dried and ground in a Moulinex grinder DJ200031 350W. Cellulose content of the isolated fibres was 62±3% according to the previous study (Collazo-Bigliardi et al., 2018b). Cellulose nanocrystals (CNC) were prepared by acid hydrolysis of the obtained bleached fibres by 64% wt/wt sulphuric acid, at 50 °C for 40 min. The hydrolysed sample was washed with distilled water by centrifugation at 14,000 rpm for 30 min. Then, the suspension was dialysed against distilled water until constant pH and

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neutralised with 10 wt% ion resin (Dowex Marathon MR-3) for 24 h. Finally, the CNC suspension was sonicated for 30 min using a tip sonicator (Vibra-Cell™ VCX 750, Sonics & Materials, Inc., Newton, USA) and kept refrigerated.

2.4. Obtaining compatibilised films

Films were obtained by melt blending the different components by using an internal mixer (HAAKETM PolyLabTM QC, Thermo Fisher Scientific, Germany) at 160 °C and 50 rpm, for 10 min. The different film formulations and the mass ratio of the respective components are shown in Table 1. In all cases, 30 wt% of glycerol and 20 wt% of PLA with respect to starch were used and 5 wt%, with respect to the total polymer, of compatibiliser PCL_G. Cellulosic material (CF or CNC) was added at 1wt% and dry antioxidant extract (AE) was added at 5.8 wt%. The incorporation of 1 wt% of CNC into the films required specific previous steps, as commented on below. The obtained blends were cut into pellets and conditioned at 25 °C and 53% relative humidity (RH) for one week before the film performance.

The films were obtained by compression moulding using a hot plate press (Model LP20, Labtech Engineering, Thailand). 4 g of the conditioned pellets were put onto Teflon sheets, preheated for 3 min at 160°C and compression moulded for 1 min at 30 bars, followed by 3 min at 130 bars; thereafter, a 3 min cooling cycle was applied. Films were conditioned at 25°C and 53% RH for 1 week before their characterisation.

2.4.1. The incorporation of CNC

Since the obtained CNC were in aqueous dispersion (at 1 wt%) to prevent their aggregation (Brinchi, Cotana, Fortunati, & Kenny, 2013; Ng et al., 2015), their incorporation into the blend films required different strategies aimed at dispersing CNC

within the polymer matrix. Since no water could be included in the internal mixer used 200 201 for polymer melt blending to prevent overpressure, two different strategies were used: method 1) the initial transference of CNC to the glycerol, following the method 202 203 described by Dorris & Gray (2012) and method 2) the prior thermoprocessing of the aqueous dispersion of CNC containing glycerol and starch granules in a two-roll mill to 204 obtain CNC-TPS pellets. 205 206 In method 1 (M1), the aqueous CNC suspension after sonication was mixed with the corresponding amount of glycerol under continuous stirring for 30 min (Dorris & Gray, 207 2012) and the water was subsequently removed by evaporation in an oven at 74°C. The 208 209 CNC-glycerol blend, starch, PLA and PCL_G were melt blended in the internal mixer as described above. In method 2 (M2), starch and glycerol were dispersed in the aqueous 210 211 CNC suspension and then thermoprocessed in a two-roll mill (Model LRM-M-100, 212 Labtech Engineering, Thailand roller) at 160 °C for 15 min. The obtained pellets were melt blended with PLA and PCL_G in the internal mixer under the same conditions as for 213 214 M1. 215 To evaluate the effect of the CNC incorporation method on the film properties, pure thermoplastic starch films were additionally prepared, plasticised with 30% of glycerol 216 217 respect to starch, containing or not 1 wt% of CNC in the films incorporated by methods 1 and 2 (samples S-CNC-M1 and S-CNC-M2). The compatibilised starch-PLA blend 218 films with 1 wt% of CNC were also prepared by methods 1 and 2 (samples 219 S(PCL_{5G})PLA₂₀-CNC-M1 and S(PCL_{5G})PLA₂₀-CNC-M2). 220

- 222 2.5. Characterisation of the films
- 223 2.5.1. Field emission scanning electron microscopy (FESEM) and X-Ray diffraction
- 224 pattern

Microstructural analyses of the cross-section of the films were carried out in a Field 225 226 Emission Scanning Electron Microscope (FESEM Ultra 55, Zeiss, Oxford Instruments, U.K). Samples were conditioned in desiccators with P₂O₅ for 2 weeks at 25°C, and 227 afterwards adequately put on support stubs and coated with platinum. Observations 228 were carried out at 1.5 kV. 229 An X-Ray diffraction analysis of the different samples was performed using a 230 diffractometer (XRD, Bruker AXS/D8 Advance) at 40 kV and 40 mA. Scattered 231 radiation was detected in an angular range 20: 5-30° with a step size of 0.05°. The 232 degree of crystallinity (Xc) of the samples, expressed as a percentage, was calculated, 233 234 using OriginPro 8.5 software, from the ratio of crystalline peak areas and the integrated area of XRD diffractograms, assuming Gaussian profiles for crystalline and amorphous 235 236 peaks (Collazo-Bigliardi et al., 2018b; Ortega-Toro et al., 2016b).

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2.5.2. Thermal behaviour

A thermogravimetric analyser (TGA 1 Stare System analyser, Mettler-Toledo, Inc., 239 240 Switzerland) was used to study the thermal stability of the samples. The measurements of the thermal weight loss were taken over a temperature range of 25 to 600 °C at 241 242 20°C/min, under nitrogen atmosphere (gas flow: 10 mL min⁻¹). The initial degradation temperature (T_{Onset}) and peak temperature (T_{Peak}) were obtained using the STAR^e 243 Evaluation Software (Mettler-Toledo, Inc., Switzerland), from the first derivative of the 244 245 resulting weight loss curves. The phase transitions in the polymer matrices were evaluated by means of Differential 246 Scanning Calorimetry (DSC 1 Stare System, Mettler-Toledo Inc., Switzerland). Film 247 samples of 7-9 mg were placed into aluminium pans and sealed. The thermograms were 248 obtained in a heating cycle from 25 °C to 160 °C at 10 °C/min, cooled until 25 °C, and 249

then heated in a second cycle under the same conditions. In the first scan, the bonded water in the film was eliminated, and in the second heating scan, the glass transition of starch and PLA was analysed.

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- 2.5.3. *Mechanical properties*
- 255 The mechanical performance of the samples was analysed following the ASTM
- standard method D882 (ASTM, 2001). A universal test machine (TA.XTplus model,
- 257 Stable Micro Systems, Haslemere, England) was used to obtain the stress-strain curves.
- 258 From these curves, the elastic modulus (EM), tensile strength at break point (TS) and
- 259 the elongation at break (ϵ) of the films were determined. The film thickness was taken
- into account for the calculations. Conditioned (25 °C, 53% RH) samples of 25 x 100
- 261 mm were mounted in the film-extension grips of the testing machine and stretched at 50
- 262 mm/min until break. Ten replicates were made for each formulation.

- 264 2.5.4. Moisture content, water vapour permeability (WVP) and oxygen permeability
- 265 *(OP)*
- The samples conditioned at 53% RH were dried in a natural convection oven (J.P.
- Selecta, S.A. Barcelona, Spain) for 24 h at 60 °C to determine the equilibrium moisture
- 268 content of the films. Then, they were placed in a desiccator at 25 °C with P₂O₅ for a
- 269 week to adjust the relative humidity to close to 0%. The moisture content of the samples
- was calculated by varying the weight between the wet sample (53% RH) and the dry
- 271 sample (0% RH).
- 272 The water vapour permeability (WVP) of the films was determined following the
- 273 gravimetric method, E96-95 (ASTM, 1995; McHugh, Avena-Bustillos, & Krochta,
- 274 1993), with some modifications. Payne permeability cups (Elcometer SPRL, Hermelle/s

Argenteau, Belgium), 3.5 cm in diameter, were used with 5 mL of bidistilled water and the adjusted film. Each cup was placed into a desiccator equilibrated with Mg(NO₃)₂ saturated solution (53% HR, 25 °C) and inserted into a chamber at 25 °C. The cups were weighed periodically (± 0.0001 g) until the steady state was reached. The WVP was calculated from the slope of the curves of weight loss versus time as reported by Ortega-Toro et al. (2016a). The oxygen permeability of the films (50 cm² film area) was determined using OX-TRAN equipment, Model 2/21 ML (Mocon Lippke, Neuwied, Germany) in samples conditioned at 25 °C and 53% RH. Film thickness was considered in all cases in order to obtain the OP values. The oxygen transmission values were evaluated every 10 min until equilibrium.

287 2.5.5. *Optical properties*

The film transparency was measured through the internal transmittance (Ti), applying the Kubelka-Munk theory of multiple scattering (Hutchings, 1999), using the film reflection spectra obtained on both black and white backgrounds, as described by Talón et al. (2017). Reflection spectra from 400 to 700 nm were obtained by using a spectrocolorimeter CM- 3600d (Minolta Co., Tokyo, Japan).

2.6. Antioxidant performance of the films on sunflower oil

To evaluate the antioxidant properties of the S-PLA compatibilised films with antioxidant extract, their potential positive effect on the retardation of sunflower oil oxidation was analysed. To this end, the method described by Galarza, Haas, de Oliveira, & Hickmann (2017) was used. Film samples (area of 7.5 cm × 4 cm) were thermosealed to form bags using a vacuum packing machine (SAECO Vacio Press

Elite, Barcelona, Spain). 5 mL of commercial sunflower oil were placed into each bag and thermo-sealed. How effective the films were at delaying sunflower oil oxidation was evaluated in comparison to S-PLA compatibilised blend films without antioxidant extract. As the control sample, an open glass Petri dish containing 5 mL of sunflower oil was considered. All of the samples were stored at 30 °C and 53% RH and exposed to fluorescent light at an intensity of 1000-1500 lux (measured using a digital Luxometer; model RS Pro ILM1332A, RS Components, Madrid, Spain). The oxidative stability of sunflower oil was measured in terms of peroxide index after 5, 9, 14, 19 and 23 days of storage. For that purpose, a titrimetric method was employed (IUPAC, 1987), using an automatic titrator (Titrando, Metrohm Ion Analysis, Switzerland). 1 g of oil was dissolved in 10 mL of solvent (glacial acetic acid:1-decanol at 3:2 volume ratio, containing 10-15 mg·L⁻¹ of iodine) and mixed with 200 µL of saturated KI solution and kept in the dark for 1 min. Then, 50 mL of distilled water was added, and the solution was titrated with 0.01 M or 0.001 M Na₂S2O₃, depending on the expected peroxide index. A blank control sample (without sunflower oil) was prepared by the same procedure.

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2.7. Statistical analysis

Statgraphics Centurion XVI software (Manugistics Corp., Rockville, Md.) was used to perform the statistical analyses of the results by means of analysis of variance (ANOVA). Fisher's least significant difference (LSD) procedure was used at the 95% confidence level.

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3. Results and discussion

325 method The incorporation method of CNC from their aqueous dispersion into the polymeric 326 327 matrices is critical since water content must be reduced in the internal mixer to prevent overpressure during the polymer melt blending or polymer hydrolysis. The CNC 328 329 dispersion could be dried before the incorporation process and drying methods that are 330 effective at maintaining the inherent nano-scale dimensions, such as freeze drying, spray drying or supercritical drying, have been described (Ng et al., 2015). 331 Nevertheless, CNC are aggregated in the dry form and re-dispersion in the polymer melt 332 333 represents a challenge, on top of the difficulty involved in handling them in dry form (Brinchi et al., 2013). In this work, two different methods were used to transfer CNC to 334 the polymer blend: their previous transference to the glycerol used as plasticizer (M1) 335 336 and the previous thermoprocessing of the starch phase suspended in the initial CNC dispersion in an open two-roll mill, which favours water evaporation while the starch 337 338 gelatinization occurs (M2). In this sense, 1 wt% of CNC was incorporated into both S-339 PLA compatibilised blends and net TPS films, for comparison purposes. Tables 2 and 3 340 include the tensile properties (elastic modulus: EM, tensile strength: TS and elongation 341 at break: ε), thickness, barrier properties (water vapour permeability: WVP and oxygen permeability: OP) and moisture content of the obtained films. 342 Significant differences in tensile properties were obtained for films with the same 343 composition obtained by using both methods for the CNC transference. Using M1, 344 reductions in the EM of the films, with respect to that of the corresponding film without 345 CNC, of about 42 and 33%, respectively for starch and starch-PLA blend, was obtained. 346 However, a significant increase in EM was detected when CNC were directly 347 incorporated into the starch phase in the open two-roll mill (132 and 148%, respectively 348

3.1. Effect of the CNC on the film functional properties as affected by the incorporation

for the starch and starch-PLA blend). A similar effect was observed on the TS, while the film extensibility was only notably reduced when using M2 for CNC incorporation. This indicates the different reinforcing effect of CNC on the matrix depending on the transference method. The greater improvement in the film resistance and stiffness when CNC were directly incorporated into the starch phase from the water dispersion points to the strong interfacial interaction between crystalline cellulose and starch during the melt blending through the great surface area of the CNC (Collazo-Bigliardi et al., 2017b). In contrast, the previous transference of the CNC to the glycerol could lead to the formation of glycerol-CNC complexes through hydroxyl hydrogen bonds. CNCglycerol interactions would favour the glycerol carbon exposure on the outside of the molecular complex, giving particles with a more hydrophobic surface and lower ability to disperse in the hydrophilic starch continuous phase of the film, where their reinforcing capacity would be more appreciable. Dispersion of the more hydrophobic CNC-glycerol particles in the starch phase would contribute to a reduction in the cohesion forces of the matrix, thus reducing the films' stiffness and resistance to break. In the S-PLA blend, the CNC-glycerol complexes would exhibit more affinity with the less-polar molecules of PLA than with the continuous polar starch phase and so, the glycerol-CNC particles could be better dispersed in the PLA domains, which, in turn, are dispersed in the starch matrix. Fig.1 shows the FESEM micrograph of compatibilised blend films, where the good interfacial adhesion between the dispersed PLA domains and continuous starch phase may be observed, as well as the reduced size of the PLA domains, as discussed in previous studies (Collazo-Bigliardi et al., 2019b) Fig.1 also shows the FESEM micrographs of the compatibilised blend films with CNC transferred by both methods, where no CNC aggregates could be observed at the used magnification level, while qualitative differences may be appreciated at the PLA-starch

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interface. M2 led to lower interfacial adhesion of the polymers than M1. This could be due to the different location of CNC, which can also contribute to the interfacial interactions, depending on their prevalent distribution in each phase and their association, or not, with the glycerol molecules. In the S-PLA blend, the CNC-glycerol particles dispersed within the PLA domains could improve the interfacial affinity of both polymers. Kargarzadeh, Johar, & Ahmad (2017) also observed an increase in EM (up to 70%) and TS (up to 52%) with the addition of 6% of CNC from rice husk to cassava starch films obtained by casting. Savadekar & Mhaske (2012) also incorporated CNC from cotton fibres into thermoplastic starch blends at 0.4 wt% with good reinforcement efficiency. The formation of a percolation network favours the enhancement of the film mechanical properties because of the interaction among the CNC by intra- and inter-molecular hydrogen bonds and/or the mutual entanglement between the CNC and the starch matrix. Moreover, the intrinsic stiffness of crystalline cellulose, associated with the crystalline structure, could also contribute to the increase in EM. This fact was also reported by Sung, Chang, & Han (2017) for PLA matrices obtained by extrusion process when freeze-dried CNC from coffee silverskin were incorporated. Authors also reported that the stiffening effect of the filler led to significant local stress concentrations and reduced strain to failure. Karkarzadeh et al. (2017) and Sung et al. (2017) indicated that the main factors determining the reinforcing effect of nano-scale fillers are the good dispersion and adhesion between the filler and the polymer matrix, which, in turn, are affected by the CNC load in the blend (Dhar, Tarafder, Kumar, & Katiyar, 2016). Barrier properties were also affected by the CNC incorporation method. In starch films, WVP was reduced by 28 and 36% when CNC were incorporated by methods 1 and 2,

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respectively. In contrast, OP was only significantly reduced (by 40%) when using M2. 399 400 Reduction in WVP was also reported by Fabra, López-Rubio, Ambrosio-Martín, & Lagaron (2016) for thermoplastic corn starch films with different contents of bacterial 401 402 cellulose nanowhiskers. The different effect of the CNC on barrier properties, depending on the transference method, agrees with the different film microstructure and 403 the prevalent location of the CNC in each case. 404 405 In starch-PLA compatibilised films, WVP was only reduced (by 28%) by method 2, whereas OP increased by 30% by method 1 and decreased by 42 % by method 2. These 406 different effects are coherent with the more hydrophobic nature of the CNC particles 407 408 when transferred from the glycerol and their prevalent location in the dispersed PLA domains, whereas CNC incorporated into the starch phase (method 2) provided a more 409 410 efficient barrier capacity, similar to that observed in the net starch films. 411 The role of CNC at improving barrier properties is mainly related with their crystalline structure, which hinders the diffusion of molecules (O2, CO2, H2O) through the 412 413 biopolymer matrix. The formation of hydrogen-bonded structures and a percolation 414 network promotes the tortuosity factor of the matrix (Collazo-Bigliardi et al., 2018b; Luzi et al., 2016), which mainly depends on the matrix-filler adhesion, degree of 415 dispersion, aspect ratio of filler and polymer chain immobilization (Sung et al., 2017). 416 In polymer blends with phase separation, the percolation network in the continuous 417 phase will be more effective than that in the dispersed domains, which also points to the 418 different distribution of CNC (in the continuous or dispersed phase), according to the 419 incorporation method. 420 As regards crystallization, Fig. 2 shows X-ray diffraction pattern and degree of 421 crystallinity (Xc) of the different samples containing CNC incorporated by the two 422 methods. The main characteristic crystalline peaks of starch were detected in all 423

samples at 20 values of around 12.9° and 19.8°, which are associated with the crystalline structure of amylose type V (Castillo et al., 2013; Ortega-Toro, Contreras, Talens, & Chiralt, 2015), although some peaks associated with A form (20: 18-18.5°) could also be observed. The characteristic peak of crystalline PLA on 2θ of 17° (Muller et al., 2017b) was not observed, coherent with its amorphous nature. Likewise, no peaks of crystalline PCL (20: 21.6°, 22.2° and 23.3°; Ortega-Toro et al., 2016b) were detected for the grafted PCL, either because of its low concentration in the blend or its crystallization inhibition by the anchoring of the polar groups (Ortega-Toro et al., 2016b). Cellulosic micro- or nano-fillers exhibited typical crystalline peaks of type I cellulose at 20: 17° and 21.4° (Collazo-Bigliardi et al., 2018b) but they were not observed, probably due to their low content in the film. The incorporation of CNC directly into the starch phase (method 2) slightly promoted the amylose crystallization in starch films, which could be due to the favoured interaction of amylose and crystalline cellulose, which can act as nucleating agents, as reported by other authors (Ferreira et al., 2018). However, this effect was not observed in the starch-PLA blend. Fig. 3 shows TGA and derivate (DTGA) curves obtained for the studied formulations. TGA curves exhibited several degradation phases of differing intensities for every sample; the first phase can be attributed to the bonded water evaporation and the degradation of low molecular weight components and, afterwards, the partially overlapped thermal degradation of the polymers, starch, PLA and PCL_G, and the cellulosic fraction, took place. These different phases are reflected in the DTGA curves as peaks or shoulders. The shoulder in the main peak at about 380 °C would mainly correspond to the degradation of the grafted PCL with higher degradation temperatures (341-381 °C, Ortega-Toro et al., 2016b), partially overlapped with the final degradation of PLA. The comparison of samples containing CNC incorporated by both methods and

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control sample (free of lignocellulosic fractions) reflects differences in the peak and shoulder temperatures in both the first and the main peak. No differences were found between control sample and that processed by method 1, but samples processed by method 2 exhibited a lower temperature for the first peak and a higher temperature for the main peak, with temperature displacements for the shoulders. This can be attributed to the different interactions of CNC with the polymers in both cases, resulting in changes in the degradation behaviour of the different blend components (Kargarzadeh et al., 2018). Table 4 also shows the glass transition temperature (Tg) of S and PLA in the different blend films. This is an important parameter since it indicates the maximum temperature of use of the packaging material before its softening (Ortega-Toro et al., 2017). The addition of CNC by method 1 or 2 did not significantly affect the values of Tg of any of the polymers, although a slight tendency to increase was observed. Other authors (Chen, Zhou, Zou, & Gao, 2019; Ilyas, Sapuan, Ishak, & Zainudin, 2018) reported an increase in the Tg values of starch nanocomposites with CNC obtained by casting. However the increase in the CNC content implied a reduction in the water content of the films that, in turn, reduced its plasticization effect. Glass transition temperature in the studied films were obtained in completely dried films (conditioned in P₂O₅) where no water plasticization effect was expected and no significant effect of CNC was detected on the Tg values. However, hydrogen bonds between hydroxyl groups of crystalline cellulose and starch chains can be assumed, as reported by other authors (Kargarzadeh et al., 2018; Karimi, Abdulkhani, Tahir, & Dufresne, 2016) favouring the compatibility of CNC in the starch matrix. As concerns transparency (Fig. 4), the control sample (free of lignocellulosic fractions) exhibited relatively low transparency that can be attributed to the dispersion of the PLA domains in the starch matrix, as observed in the FESEM images, which implies an

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increase in the dispersive component of light. The incorporation of CNC into the blend slightly modified film transparency, depending on the incorporation method. This agrees with the microstructural observations that reflect differences in the component arrangement mainly at interfacial level, which may affect the light dispersion pattern and so, the film transparency, as reported by Muller et al. (2017b).

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3.2. Effect of cellulosic fibres on the film's functional properties

The microstructural impact of cellulosic fibres from coffee husk on the S-PLA compatibilised matrix can be observed in Fig. 1, where the FESEM micrographs of the film cross-section are shown. The CF could be clearly seen embedded in the film matrix with good interfacial adhesion. However, CF provoked a weakening effect on the film matrix reflected in the fact that the EM values were lower than in the control film, although they did not provoke significant changes in the film resistance to break and extensibility. Likewise, the barrier properties of the films were negatively affected by CF; no significant changes in the WVP were observed, but OP increased by 40% with respect to the control film. The addition of CF did not provoke notable changes in the film transparency, polymer crystallization pattern or in the degree of crystallinity; neither did it influence the thermal behaviour of the blend in terms of the thermodegradation of components or the polymer glass transition. Then, CF behaved as a quasi-inert filler that, at the used ratio, did not improve film properties. However, CF incorporated at 1% in net starch films increased their elastic module by 50% with respect to the control film, with no changes in barrier properties (Collazo-Bigliardi et al., 2018a). The lack of effect brought about by CF in the compatibilised starch-PLA blend could be explained by the starch film that is already reinforced by the dispersed PLA that makes the fibre effect less appreciable.

Likewise, in terms of barrier properties, fibres do not seem to lead to a notable increase in the tortuosity of the path to permeation of the water and gas molecules, with respect to that promoted by the PLA dispersed in the starch matrix, and the expected improvement in the film barrier capacity was not observed.

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3.3. Effect of antioxidant extract on the film's functional properties

The incorporation of the active extract into the blend films led to morphological changes in both the continuous starch phase and the PLA dispersed phase, as compared with the control sample, as shown in Fig. 1. In fact, the ability of the extract compounds to interact with the starch matrix, contributing to the formation of a more compact and homogeneous matrix, has previously been reported in other studies (Collazo-Bigliardi et al., in press; Talón et al., 2017). Likewise, PLA in the dispersed phase could also interact with these compounds. The acid nature of phenolic acids could even partially hydrolyse the polyester chains. Caffeic and chlorogenic acids were the main phenolic compounds present in coffee husk, and vanillic, gallic, tannic and protocatechuic acids were also found in small quantities (Aguiar, Estevinho, & Santos, 2016). The tensile properties of the blend films were not significantly affected by the presence of the antioxidant extract, despite the fact that this had a great reinforcement effect in net starch films. This suggests that compounds could interact better with the dispersed PLA than with the starch, which dilutes the potential reinforcing effects in the film's continuous phase. As concerns barrier properties, no significant effect was observed on the WVP, but the OP was reduced by 15% respect to that of the control film. OP reduction brought about by the extract incorporation was also observed in net starch films, which was attributed to the oxygen scavenging capacity of the compounds in line with their antioxidant capacity (Collazo-Bigliardi et al., in press).

The incorporation of the extract did not modify the crystallization pattern of the films, but slightly reduced the degree of crystallinity in line with its amorphous nature. The thermodegradation behaviour was also slightly modified by the extract, due to the presence of low molecular compounds which degrade at low temperature. Likewise, the Tg of starch and PLA changed with respect to the control film, thus indicating the interactions of the extract compounds with both polymers. The Tg value of starch increased, as previously observed for net starch films containing this extract (Collazo-Bigliardi et al., in press) and the Tg of PLA decreased, according to a plasticizing effect of the compounds in this polymer phase or to the possible partial de-polymerization of PLA provoked by phenolic acids, as previously mentioned. The films containing active extract exhibited the lowest transparency level which is mainly caused by the selective light absorption of the extract compounds, mainly at low wavelengths. This could be considered positive because of the potential protection capacity of the films for use in food applications, reducing the light-induced oxidation reactions (Collazo-Bigliardi et al., 2018c). The antioxidant capacity of these films was evaluated through their ability to preserve sunflower oil from oxidation. The antioxidant capacity of this film formulation was compared to that of the control film and to an open oil sample. The PV values reflect the initial oxidation stage of oil since it is related with the presence of peroxides derived from the polyunsaturated fatty acids existing in the sample (Galarza et al., 2017). Hydroperoxides are produced as primary oxidation products that could be derived into secondary products. The progress of the PV in the different samples throughout 23 days is shown in Fig. 5. The PV of sunflower oil in the initial stage was 2.2 mEq O₂/kg, as also reported by other authors (Kiralan et al., 2017; Mohdaly, Sarhan, Mahmoud, Ramadan, & Smetanska, 2010). A remarkable increase in this value was found for the

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open control sample (135 mEq O₂/kg in the final stage). However, the samples with and without antioxidant compound were kept below the required limits, even for the control film, at the end of the experiment (~5 mEq O₂/kg). The Codex Alimentarius established a limit of PV in 10 mEq O₂/kg for refined oils (Codex-Alimentarius, 1999). This behaviour is attributable to the great oxygen barrier capacity of the films, mainly made up of starch. The lowest PV were obtained in the sample packaged in the film with AE, wherein the presence of the antioxidant compounds from coffee husk prevented the oxidation of sunflower oil. Similar results were described by Reis, de Souza, da Silva, Martins, Nunes, & Druzian (2015) analysing the incorporation of mango pulp and yerba mate extract into cassava starch films, which were effective at preserving palm oil. Galarza et al. (2017) also reported a good preservation of sunflower oil oxidation when packaged in bags formed with glycerol-plasticised films from rice flour (RRF) and red rice starch (RRS) in a 9:1 RRF:RRS ratio.

4. Conclusions

Lignocellulosic fractions of coffee husk could be used to improve the functional properties of compatibilised starch PLA films, depending on their final use. Of the cellulosic fractions, CF was not adequate to enhance the functionality of the blend film, but CNC previously incorporated into the starch phase were effective at reinforcing the tensile properties of the material (148% and 45% increases in EM and TS, respectively) and at reducing the WVP and OP of the films (28% and 42% reductions, respectively in WVP and OP). Likewise, the antioxidant extract did not impart a better mechanical performance to the blend films, but reduced their oxygen permeability and conferred antioxidant capacity, promoting their usefulness for the purposes of preventing food oxidation reactions.

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Figure captions

- 2 Fig. 1. FESEM micrographs of the cross-section of pure thermoplastic starch (S) and
- 3 compatibilised S-PLA blends containing or not CNC incorporated by different methods
- 4 (M1: glycerol transference, M2: direct thermoprocessing in two-roll mill), cellulose
- 5 fibres (CF) and active extracts (AE) from coffee husk.
- 6 Fig. 2. X-ray diffraction patterns and crystallinity degree (Xc, %) of pure thermoplastic
- starch (S) and compatibilised S-PLA blends containing or not CNC incorporated by
- 8 different methods (M1: glycerol transference, M2: direct thermoprocessing in two roll
- 9 mill), cellulose fibres (CF) and active extracts (AE) from coffee husk.
- Fig. 3. TGA and DTGA curves of compatibilised S-PLA blends containing or not CNC
- incorporated by different methods (M1: glycerol transference, M2: direct
- thermoprocessing in two roll mill), cellulose fibres (CF) and active extracts (AE) from
- coffee husk.
- 14 **Fig. 4.** Internal transmittance (Ti) spectra of compatibilised S-PLA blends containing or
- not CNC incorporated by different methods (M1: glycerol transference, M2: direct
- thermoprocessing in two roll mill), cellulose fibres (CF) and active extracts (AE) from
- 17 coffee husk. Embedded table shows the Ti values at 460 nm.
- 18 Fig. 5. Peroxide Index (mEq O₂/kg), B) of sunflower oil packaged in films of
- compatibilised S-PLA blends containing or not antioxidant extract (AE), compared with
- 20 the control open samples.

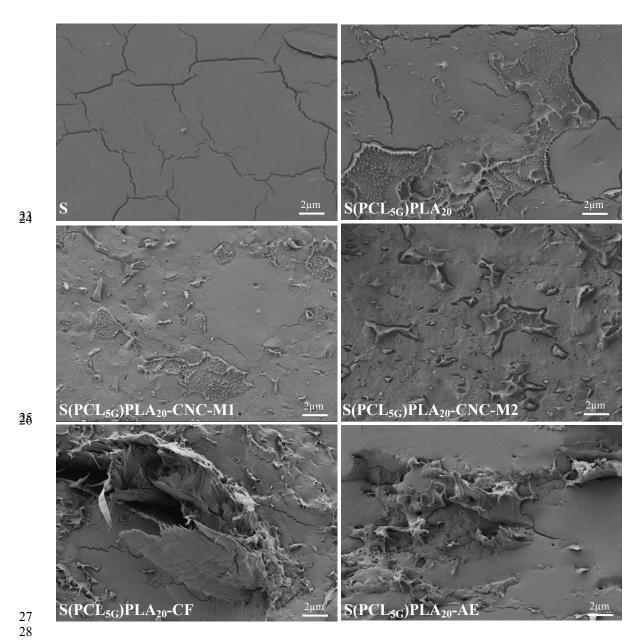


Fig. 1.

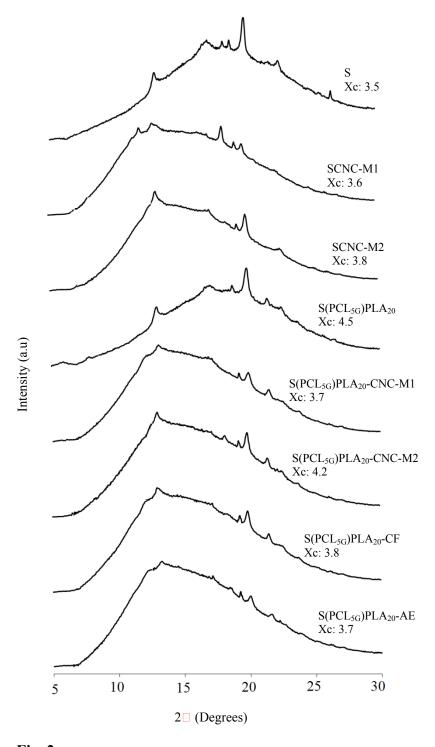


Fig. 2.

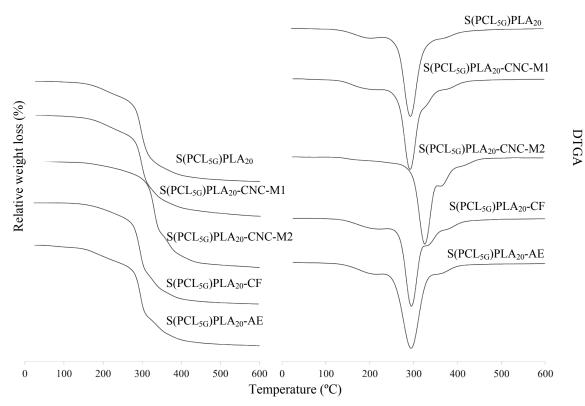


Fig. 3.

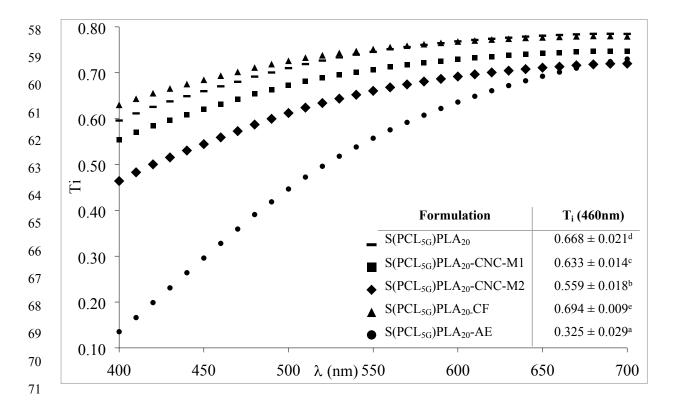


Fig. 4

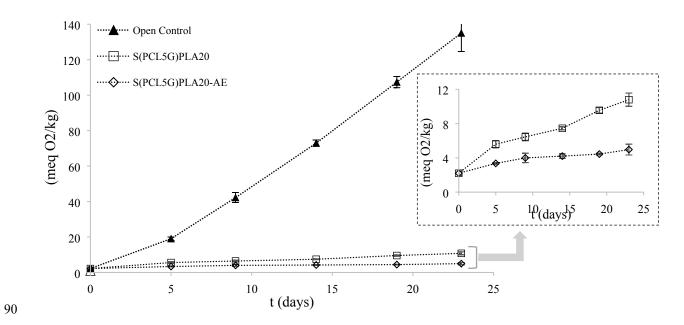


Fig. 5

- 1 Table 1. Mass fraction (Xi, g compound/g dried film) of the different components: Starch
- 2 (S), glycerol (Gly), glycidyl methacrylate grafted polycaprolactone (PCL_G), polylactic
- acid (PLA), cellulose nanocrystals (CNC) from coffee husk, cellulose fibres from coffee
- 4 husk (CF) and antioxidant extract from coffee husk (AE).

Formulations	X _S	X _{Gly}	X _{PCLg}	X _{PLA}	X _{CNC/CF}	X _{AE}
S(PCL _{5G})PLA ₂₀	0.6410	0.1923	0.0385	0.1282	-	-
S(PCL _{5G})PLA ₂₀ -CNC	0.6346	0.1904	0.0381	0.1269	0.0100	-
S(PCL _{5G})PLA ₂₀ -CF	0.6346	0.1904	0.0381	0.1269	0.0100	-
S(PCL _{5G})PLA ₂₀ -AE	0.6410	0.1346	0.0385	0.1282	-	0.0577

Table 2. Tensile properties (EM: elastic modulus, TS: tensile strength, ε: elongation at break point) of pure thermoplastic starch (S) and containing CNC incorporated by different methods (M1: glycerol transference, M2: direct thermoprocessing in two roll mill), compatibilised S-PLA blends containing CNC incorporated by M1 and M2, cellulose fibres (CF) and active extracts (AE) from coffee husk (films conditioned at 53% RH and 25 °C).

Formulation	EM TS		3	Thickness	
	(MPa)	(MPa)	(%)	(mm)	
S	77 ± 15^{b}	5.2 ± 1.6^{b}	$64.9 \pm 0.5^{\mathrm{f}}$	0.20 ± 0.02^{bc}	
S-CNC-M1	45 ± 3^{a}	3.8 ± 0.2^a	55.3 ± 1.4^{e}	0.20 ± 0.02^b	
S-CNC-M2	179 ± 4^{d}	6.6 ± 0.4^{c}	35.1 ± 4.7^d	0.20 ± 0.01^{bc}	
$S(PCL_{5G})PLA_{20}$	195 ± 35^{d}	7.6 ± 0.3^{de}	21.1 ± 1.9^{b}	0.19 ± 0.01^{ab}	
S(PCL _{5G})PLA ₂₀ -CNC-M1	140 ± 16^{c}	$8.0 \pm 0.5^{\text{e}}$	28.3 ± 3.9^{c}	0.20 ± 0.02^{b}	
S(PCL _{5G})PLA ₂₀ -CNC-M2	515 ± 29^{g}	$11.0\pm0.3^{\rm f}$	12.6 ± 2.0^a	0.21 ± 0.01^{c}	
S(PCL _{5G})PLA ₂₀ -CF	132 ± 15^{c}	7.0 ± 0.3^{cd}	20.5 ± 1.4^b	0.18 ± 0.01^a	
$S(PCL_{5G})PLA_{20}$ - AE	$183 \pm 13^{\rm d}$	6.9 ± 0.9^{cd}	19.8 ± 2.3^{b}	0.18 ± 0.01^{a}	

Different superscript letters within the same row indicate significant differences among formulations (p<0.05).

Table 3. Mean values and standard deviation of barrier properties (water vapor permeability: WVP, oxygen permeability: OP) and moisture content of pure thermoplastic starch (S) containing or not CNC incorporated by different methods (M1: glycerol transference, M2: direct thermoprocessing in two roll mill) and compatibilised S-PLA blends containing CNC incorporated by M1 and M2, cellulose fibres (CF) and active extracts (AE) from coffee husk (films conditioned at 53% RH and 25 °C).

Formulation	Moisture content (g water/g dried film)	WVP (g·mm·kPa ⁻¹ · h ⁻¹ ·m ⁻²)	OP x10 ¹⁴ (cm ³ ·m ⁻¹ ·s ⁻¹ ·Pa ⁻¹)	
S	0.096 ± 0.007^{c}	$14.9 \pm 0.4^{\rm d}$	10.3 ± 0.1^{b}	
S-CNC-M1	0.098 ± 0.005^{c}	$10.7\pm0.6^{\rm c}$	11.8 ± 0.9^{c}	
S-CNC-M2	0.097 ± 0.006^{c}	9.3 ± 1.3^{bc}	6.2 ± 0.8^{a}	
$S(PCL_{5G})PLA_{20}$	0.065 ± 0.004^{a}	10.1 ± 0.4^{bc}	19.9 ± 0.6^{e}	
S(PCL _{5G})PLA ₂₀ -CNC-M1	0.091 ± 0.006 bc	10.8 ± 1.2^{c}	$25.9 \pm 0.7^{\rm f}$	
S(PCL _{5G})PLA ₂₀ -CNC-M2	0.092 ± 0.005 bc	7.3 ± 0.9^a	11.6 ± 0.8^{bc}	
S(PCL _{5G})PLA ₂₀ -CF	0.104 ± 0.003^{d}	8.9 ± 1.0^{ab}	$28.1\pm0.4^{\rm g}$	
$S(PCL_{5G})PLA_{20}$ - AE	0.086 ± 0.001^{b}	8.4 ± 0.9^{ab}	17.2 ± 0.2^{d}	

Different superscript letters within the same row indicate significant differences among formulations (p < 0.05).

- Table 4. Mean values and standard deviation of onset and peak temperatures for thermal degradation and glass transition temperature (Tg; second
- heating scan on DSC) of S-PLA films with cellulosic material and antioxidant extracts from coffee husk, conditioned in P_2O_5 .

Samples	[138-205]°C		[250-400]°C			Second heating scan	
Samples	Onset (°C)	Peak (°C)	Onset (°C)	Peak (°C)	Endset (°C)	Tg (°C) Starch	Tg (°C) PLA
S(PCL _{5G})PLA ₂₀	146 ± 4 ^a	195 ± 2^{bc}	269 ± 1^{b}	296 ± 1 ^a	392 ± 2^{a}	106 ± 1^{a}	49.3 ± 0.7^{b}
S(PCL _{5G})PLA ₂₀ -CNC-M1	141 ± 3^a	193 ± 8^{b}	268 ± 1^{b}	294 ± 2^a	400 ± 0.3^{b}	107 ± 2^a	48.2 ± 0.9^{ab}
$S(PCL_{5G})PLA_{20}$ - CNC - $M2$	145 ± 2^a	171 ± 0.2^{a}	305 ± 0.2^{c}	329 ± 1^{b}	438 ± 4^{c}	107 ± 2^a	48.3 ± 0.9^{ab}
S(PCL _{5G})PLA ₂₀ -CF	151 ± 5^{ab}	204 ± 0.5^{c}	$270\pm1^{\rm b}$	296 ± 0.2^a	400 ± 2^{b}	108 ± 1^{a}	49.5 ± 0.6^{b}
S(PCL _{5G})PLA ₂₀ -AE	156 ± 3^{b}	200 ± 0.1^{bc}	258 ± 0.2^a	295 ± 1^a	404 ± 2^{b}	110 ± 1^{b}	47.7 ± 0.3^{a}

Different superscript letters within the same column indicate significant differences between formulations (p < 0.05).