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Additional Information



30 used to build models to predict the soluble tannins (ST) content using partial least squares  
31 regression (PLS-R). The results using this method indicated that it was not possible to  
32 accurately discriminate fruit with levels of ST below 0.04 %, especially in the case of DA fruits  
33 (42.2%). Thus, another classification models were performed using partial least squares  
34 discriminant analysis (PLS-DA) that included other properties in order to discriminate between  
35 A and DA using the ST threshold. The most accurate models using all and optimal wavelengths  
36 selected were those which focused on the middle and apex areas of the fruit, a correct  
37 classification rate of 87.0% being achieved for A fruits and above 84.4% for DA fruits. To date,  
38 there are only subjective and destructive analytical methods to monitor the effectiveness of the  
39 astringency removal treatments in persimmon. The results obtained in this study indicate that  
40 hyperspectral images can therefore be considered as an objective and non-destructive alternative  
41 in the control of this process.

42

43 **Keywords:** *Diospyros kaki; astringency; soluble tannins; computer vision; chemometrics*

44

#### 45 **Abbreviations**

46 A = astringent

47 CV = cross validation

48 CI = colour index

49 DA = deastringed

50 F = firmness

51 LV = latent variable

52 PLS-R = partial least squares regression

53 PLS-DA = partial least squares discriminant analysis

54 RMSE = root mean squared error

55  $R^2$  = coefficient of determination

56 RPD = ratio of performance to deviation

57 ST = soluble tannins

58 TSS = total soluble solids

## 59 1. INTRODUCTION

60 Spain is the number one producer of persimmon fruit (*Diospyros kaki*) in Europe and the  
61 third largest producer in the world, after China and South Korea (FAOSTAT, 2016). In the last  
62 twenty years, the land area devoted to cultivating this crop has risen from 2,000 to 14,000 ha,  
63 and production has increased from 33 to 310 thousand tons (FAOSTAT, 2016). Part of this  
64 growth is due to the increase in the production of the ‘Rojo Brillante’ cultivar in the  
65 Mediterranean area. This cultivar, like other persimmon cultivars, is astringent at harvest and  
66 must be subjected to post-harvest treatments to remove astringency. The development of the de-  
67 astringency methods based on high CO<sub>2</sub> concentrations allowed removal of the astringency  
68 while preserving high flesh F (Arnal and Del Río, 2003), which has facilitated a rapid  
69 commercial expansion of this crop. Nowadays ‘Rojo Brillante’ persimmon is one of the most  
70 appreciated persimmon cultivars worldwide.

71 The conditions considered as standard for the complete elimination of astringency in this  
72 cultivar are 95% CO<sub>2</sub> for 18-24 h at 20°C. Under these conditions, the ST, responsible for  
73 astringency, are polymerised by the acetaldehyde produced to form insoluble compounds, which  
74 are non-astringent (Matsuo and Itoo, 1982; Taira et al., 1997; Salvador et al., 2008). However,  
75 the treatment may not be completely effective when the conditions of the process are not well  
76 controlled (Arnal & Del Río, 2003). In addition, the effectiveness of the treatment is also  
77 severely affected by the physiological state of the fruit. Small changes in the cellular structure  
78 can make the diffusion of CO<sub>2</sub> through the spaces difficult, the result being a low rate of  
79 anaerobic respiration and consequently less accumulation of acetaldehyde. This, in turn, leads to  
80 a lesser reduction of the ST (Salvador et al., 2007).

81 To commercialise this fruit, it is necessary to guarantee the complete removal of the  
82 astringency, since the presence of any astringency in the fruit can cause rejection by the  
83 consumer that will in turn affect future sales. The control of residual astringency in the fruits  
84 after the treatments can be performed destructively by measuring ST in the fruit using the  
85 Folin–Denis method (Arnal and Del Río, 2004). However, in addition to being destructive, this  
86 method is slow and requires specialised equipment and personnel. An alternative is based on the

87 reaction of the ST with FeCl<sub>3</sub>. Tannic acid complexes with ferric iron may consist of large  
88 highly coloured molecules that behave as colloids. Mixing them gives rise to a ferric complex  
89 that causes an intense black colour. The intensity of the black stain observed after impregnating  
90 a slice of the flesh with FeCl<sub>3</sub> reveals the presence of ST in the fruit and its intensity depends on  
91 their level (Gorini and Testoni, 1988; Munera et al., 2017). Although this method is faster and  
92 easier than the analytical determination of ST, it is still destructive and subjective. Therefore, it  
93 is necessary to search for new rapid, reliable and non-destructive techniques.

94 An alternative is based on the use of optical methods. Hyperspectral imaging is a promising  
95 optical technique for quality inspection of agricultural and food products that incorporates the  
96 main advantages of spectroscopy and imaging (Lorente et al., 2012). Thus, hyperspectral  
97 imaging can simultaneously acquire spectral and spatial information. In addition, the equipment  
98 used can be sensitive to different regions of the electromagnetic spectrum, such as the  
99 ultraviolet or infrared regions (Gomez-Sanchís et al., 2014; Cortés et al., 2018). Their use has  
100 been widely studied to control the quality of fruit and vegetables during postharvest, for  
101 example to discriminate similar cultivars of nectarines with different properties (Munera et al.,  
102 2018), to discriminate gluten-free oats from cereals with gluten (Erkinbaev, Henderson and  
103 Paliwal, 2017), to detect decay lesions in citrus fruits (Folch-Fortuny et al., 2016) or mechanical  
104 damage in potatoes (López-Maestresalas et al., 2016). In recent years, several studies have been  
105 conducted to predict the content of ST or to assess the astringency in different varieties of  
106 persimmon fruit using spectroscopy (Zhang et al., 2013; Noypitak et al., 2015; Altieri et al.,  
107 2017; Cortés et al., 2017) and hyperspectral imaging (Munera et al., 2017). These works  
108 included the study of the best area of the fruit to measure the astringency, since the internal  
109 distribution may vary from the calyx area to the bottom. Most of the studies report successful  
110 prediction or classification models but they are not useful for precise prediction in fruits with  
111 low ST content, since they achieved limits of detection much higher than the minimum content  
112 of ST (0.10 %) that causes a sensation of astringency for most cultivars (Vidrih et al., 1994;  
113 Antonioli et al., 2000; Antonioli et al., 2003).

114 In the case of 'Rojo Brillante', ST values above 0.06% can produce sensory astringency  
115 (Besada et al., 2010). Throughout the season, fruits of this cultivar exhibit high astringency at  
116 harvest time with an ST content of between 0.80% and 0.40% (Salvador et al., 2007). Only  
117 when the fruit is over-ripe (which causes the total loss of F) does the loss of sensorial  
118 astringency occur. In that moment, the ST is around 0.04% (Tessmer et al., 2016). In other  
119 studies in which the de-astringency treatment with high CO<sub>2</sub> concentration has been applied, an  
120 effective treatment has been associated with ST values of 0.01-0.03% (Salvador et al., 2007;  
121 Salvador et al., 2008; Besada et al., 2008).

122 Hence, the main objective of this work was to study the application of hyperspectral  
123 imaging to predict the ST content in persimmon fruits and to discriminate astringent (A) from  
124 deastringed (DA) persimmons using 0.04 % of ST as the threshold. Moreover, in order to  
125 establish a practical tool for use in industry, another goal is to determine which part of the fruit  
126 is the most appropriate to measure, as well as to reduce the amount of spectral information  
127 generated and speed up this process.

128

## 129 **2. MATERIAL AND METHODS**

### 130 **2.1 Fruit samples and experimental design**

131 In this study, 300 persimmon fruits cv. 'Rojo Brillante' with similar size and no signs of  
132 external defects were analysed. In order to obtain fruit with different degrees of ripeness, 100  
133 fruits were harvested every week over three consecutive weeks. The fruits were collected from  
134 an orchard in L'Alcúdia (Valencia, Spain) at commercial maturity. The maturity index used for  
135 harvesting was the external colour index (CI) of the fruit. The CI commonly employed for 'Rojo  
136 Brillante' is  $CI = (1000a)/(Lb)$ , where  $L$ ,  $a$  and  $b$  are the colour coordinates in HunterLab colour  
137 space (Salvador et al., 2007). The average CI of the fruit at each harvest was 2.5, 3.9 and 9.3,  
138 respectively.

139 In each harvest, three homogeneous lots of fruit were submitted to different treatments to  
140 obtain fruit with different levels of ST, as follows: i) de-astringency treatment for 24 hours (40  
141 fruits); ii) de-astringency treatment for 12 hours (30 fruits); and iii) no de-astringency treatment

142 (30 fruits). In all cases, the de-astringency treatment was applied under standard conditions  
143 (95% CO<sub>2</sub>, at 20°C, 90% RH). Hyperspectral images and the reference analyses were performed  
144 within 8 h after the treatment.

145

## 146 **2.2 Hyperspectral imaging acquisition**

147 The hyperspectral imaging system consisted of an industrial camera (CoolSNAP ES,  
148 Photometrics, AZ, USA) coupled to two liquid-crystal tuneable filters (Varispec VIS-07 and  
149 NIR-07, Cambridge Research & Instrumentation, Inc., MA, USA). The camera was configured  
150 to acquire images with a size of 1392 × 1040 pixels and a spatial resolution of 0.14 mm/pixel.  
151 The working spectral range was defined between 450 nm and 1040 nm, capturing images every  
152 10 nm. Thus, hypercubes with 60 images were captured. In order to avoid problems of  
153 unfocused images due to the refraction of light across this wide spectral range, the focus was  
154 adjusted on the central band of the acquisition interval (740 nm) and the images were captured  
155 using lenses capable of covering the whole spectral range without going out of focus (Xenoplan  
156 1.4/23, Schneider Optics, Hauppauge, NY, USA). To optimise the dynamic range of the camera,  
157 prevent the images from saturated regions and correct the spectral sensitivity of the different  
158 elements of the system, the maximum integration time of each band was calibrated by capturing  
159 the averaged grey level of a white reference standard (Spectralon 99%, Labsphere, Inc, NH,  
160 USA), corresponding to 90 % of the dynamic range of the camera.

161 The scene was illuminated using diffuse light from twelve halogen spotlights (37 W)  
162 (Eurostar IR Halogen MR16. Ushio America, Inc., CA, USA) powered by direct current (12 V)  
163 and arranged equidistant from each other inside a hemispherical aluminium diffuser. The inner  
164 surface of the aluminium diffuser was painted white with a rough texture to maximise its  
165 reflectivity and minimise directional reflections, which could cause bright spots, the result being  
166 highly homogeneous light.

167 The fruits were introduced manually into a fruit holder in three different positions so as to  
168 obtain images from the top part of the fruit, the side, and the bottom. In this study, we have  
169 referred these areas as calyx, middle and apex areas respectively (Figure 1). Thus, three



170 hyperspectral images were acquired for each fruit using customised software developed at IVIA,  
171 a total of 900 images being obtained.

172

### 173 **2.3 Reference analysis**

174 The skin colour of each fruit was measured using a colorimeter (CR-300, Konica Minolta  
175 Inc., Tokyo, Japan). The mean value of the  $L$ ,  $a$  and  $b$  colour coordinates (HunterLab colour  
176 space) was obtained as the average of three measurements in different parts of the fruit. The  
177 total colour difference ( $\Delta E$ ) between A and DA fruits was calculated by Equation (1):

178

$$179 \quad \Delta E = \sqrt{(L_A - L_{DA})^2 + (a_A - a_{DA})^2 + (b_A - b_{DA})^2} \quad (1)$$

180

181 The F of the flesh was determined by means of a universal testing machine (4301, Instron  
182 Engineering Corp., MA, USA) equipped with an 8-mm puncture probe. The crosshead speed  
183 during testing was 1 mm/s. During the test, the force increased smoothly until it decreased  
184 drastically when the flesh was broken, and then the maximum peak force was registered. The  
185 results were expressed as the load (in N) required to break the flesh of the fruit on both sides  
186 after peel removal.

187 In order to assess the astringency of the fruits, each fruit was divided into two halves: one  
188 half was pressed against a 10 × 10 cm filter paper soaked in a 5% FeCl<sub>3</sub> solution, which  
189 produced a dark print whose distribution and intensity gave information about the ST content in  
190 the flesh (Figure 2). The other half was used to obtain the ST content by the Folin-Denis method  
191 (Taira, 1995) based on the reduction of the Folin-Ciocalteu reagent by ST in alkaline solution  
192 (Arnal and Del Río, 2004). Taking into account the heterogeneous distribution of the tannins in  
193 the flesh (Figure 2), the samples for destructive analysis were taken from the lower part and  
194 near the apex, since the tannins take longer to be removed in this part.

195 The ANOVA, followed by Tukey's honestly significant difference test, was conducted using  
196 the software Statgraphics (Manugistics Corp., Rockville, USA) to find significant differences in  
197 the results of the physicochemical analysis related to the length of the de-astringency treatment.

198 The groups of samples met the following three requirements: i) the observations being tested are  
199 independent within and among the groups; ii) the groups associated with each mean in the test  
200 are normally distributed; and iii) there is equal within-group variance across the groups  
201 associated to each mean in the test (homogeneity of variance).

202

## 203 **2.4 Image pre-processing**

204 The reflectance captured by the camera is influenced by the intensity of the incoming light,  
205 the sensitivity of the sensor of the camera and the sensitivity of the LCFT, at the different  
206 wavelengths (Geladi, 2007). Thus, there is a need to correct these effects to obtain the true  
207 reflectance of the sample. This is done using a reflectance standard (Spectralon 99%, Labsphere,  
208 Inc, NH, USA) through Equation (2) (Gat, 2000):

209

$$210 \quad \rho_{xy}(x, y, \lambda) = \frac{R_{\text{abs}}}{R_{\text{white}}^{\text{abs}}} = \rho^{\text{Ref}}(\lambda) \frac{R(x,y,\lambda) - R_{\text{black}}(x,y,\lambda)}{R_{\text{white}}(x,y,\lambda) - R_{\text{black}}(x,y,\lambda)} \quad (2)$$

211

212 where  $\rho_{xy}$  is the reflectance of the fruit,  $\rho^{\text{Ref}}(\lambda)$  is the standard reflectance of the white reference  
213 target (99 % in this work),  $R(x,y,\lambda)$  is the radiance of the fruit captured by the CCD sensor of the  
214 camera,  $R_{\text{white}}(x,y,\lambda)$  is the radiance captured by the CCD of the white reference target, and  
215  $R_{\text{black}}(x,y,\lambda)$  is the radiance captured by the CCD while avoiding any light source in order to  
216 quantify the electronic noise of the CCD.

217 The average reflectance spectrum of each area of each fruit was determined by averaging  
218 the relative reflectance spectra of all pixels included in the fruit area. This process was  
219 performed using a binary mask. To do so, a threshold was established between the background  
220 and the fruit at the wavelength of the greatest contrast between the two regions (700 nm). This  
221 provided an easy way to remove the background of the image from the fruit. In the case of the  
222 calyx view, this also allowed the leaves to be removed from the analyses. As the contrast was so  
223 high, the segmentation was quite accurate.

224 These operations were performed using HYPER-Tools (Mobaraki and Amigo, 2018)  
225 working under MATLAB R2017b (The MathWorks, Inc., MA, USA).

226

## 227 **2.5 Multivariate data analysis**

228 After the analysis of the ST content and knowing which fruit was A and DA, the spectra  
229 were randomly partitioned into two sets. For each area of the persimmon fruit, 201 fruit spectra  
230 (107 A and 94 DA) were used to calibrate the models and 99 fruit spectra (54 A and 45 DA)  
231 were used for independent validation or test set.

232 PLS-R was used to quantify the ST content and PLS-DA was used to classify the fruits as A  
233 and DA according to the threshold value of 0.04% (Tesmeyer et al., 2016). A model using the  
234 spectral information of each area (calyx, middle and apex) was performed.

235 Previously, the mean spectrum of each area of the persimmon fruit was filtered using the  
236 Savitzky-Golay second derivative (3-point smoothing window, second-order polynomial) to  
237 remove both additive and multiplicative effects, and pre-treated using standard normal variate to  
238 remove the scatter (Rinnan et al., 2009). Later, each resulting spectrum was normalised by mean  
239 centre. A 10-fold CV was used to obtain the optimal number of LVs as well as an estimation of  
240 the error rate of the models. The PLS-R models were evaluated by the  $R^2$  and the RMSE  
241 between the predicted and the measured value of the reference parameter for calibration, CV  
242 and prediction. Furthermore, the RPD, defined as the ratio between the standard deviation of  
243 the reference data and  $RMSE_p$ , was used (Williams, 1987). The results of the PLS-DA models  
244 were expressed as the percentage of correct classification (percentage of A or DA fruits  
245 correctly classified) and total accuracy (percentage of all fruits correctly classified) for  
246 calibration, CV and prediction.

247 In order to reduce the dimensionality of the hyperspectral images, the vector of regression  
248 coefficients was used. This method measures the association between each wavelength and the  
249 response (i.e. A and DA class) obtained by the PLS-DA model (Mehmood et al., 2012). The  
250 wavelengths with a high absolute value are selected, since they make the highest contribution to  
251 the classification, and those with a smaller absolute value are ignored.

252 The spectral pre-processing was carried out using HYPER-Tools (Mobaraki and Amigo,  
 253 2018) and the PLS models were performed using MATLAB R2017b (The MathWorks, Inc.,  
 254 MA, USA).

255

### 256 3. RESULTS AND DISCUSSION

#### 257 3.1 Reference analysis

258 In general, the ST content in the fruits ranged from 1.18 % (non-treated fruits) to 0.01 %  
 259 (fruit treated for 24 hours), while those fruits that were non-treated presented ST values from  
 260 0.37 % to 1.18 %, depending on the time of harvesting (Table 1).

261

262 Table 1. Soluble tannins content and quantification of astringent and deastringed fruits

Harvest	Treatment duration	Soluble tannins (%)			#A	#DA
		Min	Mean	Max		
1	0h	0.37	0.69 <sup>b</sup>	0.98	48	52
	12h	0.02	0.09 <sup>e</sup>	0.33		
	24h	0.01	0.02 <sup>f</sup>	0.03		
2	0h	0.45	0.61 <sup>c</sup>	0.77	53	47
	12h	0.01	0.11 <sup>e</sup>	0.31		
	24h	0.02	0.03 <sup>f</sup>	0.04		
3	0h	0.66	0.91 <sup>a</sup>	1.18	60	40
	12h	0.10	0.37 <sup>d</sup>	0.66		
	24h	0.02	0.03 <sup>f</sup>	0.04		
Total		0.01	0.32	1.18	161	139

263 *Different letters indicate significant differences between groups (p-value<0.05), according to*  
 264 *Tukey's (HSD) test. Min = minimum; Max = maximum; #A = number of astringent fruits;*  
 265 *#DA = number of deastringed fruits*

266

267 Thus, the mean value of the fruits collected in different moments was statistically different.  
 268 The CO<sub>2</sub> treatment for 12 hours resulted in fruits with a wide range of ST values between 0.66  
 269 % and 0.01 %. This meant that part of the fruits could already be consumed while others still  
 270 needed more hours of treatment. In this case, the mean values of the three harvests were also  
 271 statistically different. When the treatment was applied for 24 hours, all fruits reached an eatable

272 stage and no statistical differences were found among the three times of harvesting. Using the  
 273 threshold of 0.04% for the ST value, a total of 161 fruits were considered as A and 139 as DA  
 274 (Table 1).

275 The application of a de-astringency treatment with CO<sub>2</sub> does not usually have any effect on  
 276 the colour in the early stages of ripeness. Only slight differences could be observed between A  
 277 and DA fruit coordinates (Table 2). Although significant differences were found between *L* and  
 278 *b*, they are barely perceptible to the human eye. According to the International Commission on  
 279 Illumination (CIE), the value of  $\Delta E$  obtained (1.9) indicates that, in general, the colour  
 280 difference between the two classes of fruits is minimally perceptible (Mokrzycki and Tatol,  
 281 2011).

282

283 Table 2. Skin colour of astringent and deastringed fruits

	<i>L</i> (*)			<i>a</i>			<i>b</i> (*)			$\Delta E$	CI		
	Min	Mean	Max	Min	Mean	Max	Min	Mean	Max		Min	Mean	Max
A	56.0	62.8	67.9	1.9	11.7	25.6	32.6	37.1	41.0	1.9	-0.03	5.1	13.5
DA	56.8	62.2	67.5	-2.25	13.4	30.5	32.3	36.7	41.7		-1.08	5.9	14.7

284 (\*) indicate significant differences between groups ( $p$ -value<0.05). Min = minimum; Max =  
 285 maximum; A = astringent; DA = deastringed; CI = colour index  
 286

287 As in the case of the colour, CO<sub>2</sub> treatment does not usually affect the F of the fruit in the  
 288 early stages of ripeness. However, it does give rise to a significant degree of softening in the  
 289 following stages. These changes in firmness are related to the changes that take place in the cell  
 290 structure (Salvador et al., 2007). Here, the mean value of the F was reduced from 47.3 N in A  
 291 fruits to 43.7 N in DA fruits (Table 3).

292

293 Table 3. Flesh firmness of astringent and deastringed fruits

	Flesh firmness (N) (*)		
	Min	Mean	Max
A	37.4	47.3	58.9
DA	29.9	43.7	54.5

294 (\*) indicate significant differences between groups ( $p$ -value $<0.05$ ). Min = minimum; Max =  
295 maximum; A = astringent; DA = deastringed  
296

297

### 298 3.2 Spectral analysis

299 The average spectra obtained for each measured area of A and DA fruits are illustrated in  
300 Figure 3. The spectra of all fruits followed a similar pattern in each area. Slight differences were  
301 present in the VIS region around 460 nm, 550-600 nm and 650-710 nm, where carotenoids,  
302 anthocyanins, chlorophylls and other pigments are responsible for fruit colour (Rajkumar et al.,  
303 2012). In the NIR region, some differences were found, especially in the apex area, around 750  
304 nm, where a water absorption peak (OH second overtone) is observed (Siedliska et al., 2018;  
305 Williams and Norris, 1987). Noypitak et al. (2015) indicated that phenolic compounds are  
306 located between 940-1000 nm and the absorption peak of tannic acid is seen at 996 nm. In this  
307 case, slight differences were found close to these wavelengths between the A and DA spectra.  
308 However, it is not clear whether this corresponded to the ST content because a water absorption  
309 peak (third overtone of OH stretching vibration) dominates this part of the spectrum (Nicolai et  
310 al., 2007).

311

### 312 3.3 Prediction of soluble tannins content

313 PLS-R models were performed to quantify the content of ST in each fruit using the spectral  
314 range of 450-1050 nm. Table 4 shows the results of the prediction of ST content obtained for  
315 the three areas of the fruit that were measured.

316 The optimal model was chosen when the number of LV yields the lowest RMSE for  
317 calibration and CV. Therefore, 15 LVs were determined for the calibration of the model of the  
318 calyx area, 12 for the middle area model and 13 for the apex area model. The model using the  
319 spectra obtained from the calyx area achieved the lowest  $R^2$  (0.49) while the highest RMSE  
320 (0.25 %) was obtained in the test set. In contrast, the model built for the middle area offered  
321 better results, with an  $R^2$  of 0.69 and an RMSE of 0.19 %, while the model obtained for the apex  
322 area achieved the highest  $R^2$  and the lowest RMSE of 0.73 and 0.18 %, respectively. The RPD

323 values indicate that only the models that used measurements obtained in the middle and apex  
 324 areas could discriminate between low and high ST values (RPD values between 1.5 and 2)  
 325 (Nicolai et al., 2007). However, in all cases, the RMSE value was higher than the 0.04 %  
 326 threshold, which means that the models were not altogether useful for accurate prediction in  
 327 fruits with extremely low ST content.

328

329 Table 4. Results of calibration and validation of the models to predict the ST content using  
 330 hyperspectral imaging and the different areas of the fruit

Area	#LV	Calibration		Cross validation		Prediction		
		R <sup>2</sup>	RMSE	R <sup>2</sup>	RMSE	R <sup>2</sup>	RMSE	RPD
Calyx	15	0.71	0.18	0.54	0.23	0.49	0.25	1.4
Middle	12	0.71	0.17	0.60	0.21	0.69	0.19	1.8
Apex	13	0.76	0.16	0.64	0.20	0.73	0.18	1.9

331 *#LV = number of latent variables*

332

333 The scientific literature contains other studies that achieve findings similar to ours but using  
 334 mostly spectroscopy instead of hyperspectral imaging. For example, Noypitak et al. (2015) used  
 335 interactance mode in the evaluation of ST using different areas of persimmons cv. ‘Xichu’,  
 336 achieving, as best result, an R<sup>2</sup> of 0.93 and a high RMSE of 0.22 % but using the calyx area.  
 337 However, the higher R<sup>2</sup> was probably achieved because most of the persimmons used had very  
 338 low (0.02 %) or very high (1.6 %) tannin contents and only a few samples had intermediate  
 339 values. In the case of ‘Rojo Brillante’, Cortés et al. (2017) developed models using spectra pre-  
 340 treated with different techniques, achieving better results in terms of R<sup>2</sup> (0.91) and RMSE,  
 341 above 0.08 %, using six measurement points distributed throughout the fruit.. In this case, the  
 342 ST content ranged from 0.023 to 0.75 but DA fruit were 20 %, while in our case they represent  
 343 46 % of the fruit in the models. Moreover, most of the error is introduced by fruits with very  
 344 low ST values. Alitieri et al. (2017) also achieved a good prediction result with an R<sup>2</sup> higher  
 345 than 0.98 but in the cross validation set and using fruits with ST content values between 0.1 %

346 and 1.7 %, which should be considered as astringent in all cases from a commercial point of  
347 view.

348 Figure 4 shows the prediction performance of the model using the test set and the data  
349 captured in the apex area. Taking into account the threshold of 0.04 %, only 77.8 % of A fruits  
350 and 42.2 % of DA fruits were correctly predicted. These results are clearly low and below those  
351 expected. Thus, the direct prediction of very low values of ST content (such as 0.04 %) does not  
352 seem to be possible with the procedure followed. This is probably because the concentration of  
353 ST is correlated with other major biochemical constituents such as pigments, water or other  
354 soluble solids like sugars that can mask the detection of constituents when the content is very  
355 low (Nicolai et al., 2007). For this reason, a different approach to measuring astringency other  
356 than the direct estimation of ST was required. PLS-DA models were then developed to  
357 maximise the separation between classes A and DA, not only with respect to the differences in  
358 ST content, but also to capture the information contained in the spectra related to other  
359 properties that can contribute to make each class different.

360

### 361 **3.4 Detection of astringent and deastringed fruits**

362 As in the prediction of ST content, the calyx, middle and apex areas were tested to  
363 distinguish A and DA fruits using PLS-DA models. The results of the classification models  
364 using hyperspectral imaging are presented in Table 5.

365 The calibration of the calyx and apex area models was performed using 18 LVs, while for  
366 the middle area model only 13 LVs were necessary. Furthermore, the internal CV of the middle  
367 area model presented the highest precision (86.6 %), then the apex area (82.6 %) and the calyx  
368 area model presented the lowest results, 78.1 %. This fact agrees with the previous results of the  
369 quantification of ST content, where the calyx area was the least precise part for this purpose.

370 The middle and calyx area models correctly classified more A fruits, 89.7 % and 78.5 %  
371 than DA fruits, 83.0 % and 77.7 %, respectively. In the case of the apex area, more DA fruits  
372 were correctly classified: 83.0 % versus 82.2 %.



373 The mean spectrum of each fruit of the test set was classified using the previously calibrated  
 374 models. As in the calibration and CV, the model using the calyx area presented less precision,  
 375 correctly classifying 83.3 % of A fruits and 77.8 % of DA fruits, and showing a total accuracy  
 376 of 80.8 %. In the case of the middle and apex areas, their prediction showed similar results with  
 377 87.0 % of A fruits and 91.1 % and 88.9 % DA fruits being classified correctly. Therefore, the  
 378 total accuracy of the middle and apex area models, 88.9 % and 87.9 %, was higher than that of  
 379 the calyx area. This fact agrees with the results obtained in the quantification of ST, where the  
 380 calyx area was the least accurate area for this purpose (Table 4).

381

382 Table 5. Results of the classifications using the calyx, middle and apex areas and all  
 383 wavelengths

Area	#LV	Class	Calibration				Cross Validation				Prediction			
			#A	#DA	CC (%)	Acc (%)	#A	#DA	CC (%)	Acc (%)	#A	#DA	CC (%)	Acc (%)
Calyx	18	A	95	12	88.8	89.1	84	23	78.5	78.1	45	9	83.3	80.8
		DA	10	84	89.4		21	73	77.7		10	35	77.8	
Middle	13	A	101	7	94.4	91.5	96	11	89.7	86.6	47	7	87.0	88.9
		DA	11	83	88.3		16	78	83.0		4	41	91.1	
Apex	18	A	100	6	93.5	91.0	88	19	82.2	82.6	47	7	87.0	87.9
		DA	11	83	88.3		16	78	83.0		5	40	88.9	

384 *#LV = number of latent variables; #A = number of astringent fruits; #DA = number of*  
 385 *destringed fruits; CC = correct classification; Acc = accuracy*

386

387 Previous studies have been conducted to classify the fruits according to their astringency  
 388 using spectral information. It is noteworthy that the best results in terms of ST prediction have  
 389 been reported when values of ST content are high (Zhang et al., 2013; Altieri et al., 2017;  
 390 Cortés et al., 2017, Munera et al., 2017). In this line, Noypitak et al. (2015) reported on a model  
 391 in which a classification accuracy of 97.1% was achieved, assuming that the persimmon with an  
 392 ST content lower than 0.8% is non-astringent. However, as mentioned in the introduction  
 393 section, the threshold of ST to detect astringency is not established and is highly dependent not

394 only on the cultivar but also on the consumer's country of origin (Antoniolli et al., 2000;  
395 Antoniolli et al., 2002; Yamada et al., 2002; Tessmer et al 2016). In 'Rojo Brillante' persimmon  
396 it has been widely reported that sensorial astringency loss occurs when tannin content is lower  
397 than 0.04% (Salvador et al 2007; Tessmer et al 2016). This means that the predictive models  
398 previously reported would not be valid for this cultivar. In the present study the threshold  
399 applied was 0.04% to guarantee the complete non-astringency of the fruits. Although the result  
400 of the ST predictive model might seem a priori unsatisfactory (42.2 % of DA fruits correctly  
401 classified), this is the first work in which such a low ST threshold has been established to  
402 guarantee the non-astringency of the fruits. The results reveal that the higher the established ST  
403 threshold is, the better the results provided by the predictive models are. This fact leads us to  
404 think that other attributes, besides the ST content, may influence the spectral response of  
405 persimmon.

406 Salvador et al. (2007) evaluated the physiological and structural changes that occur after  
407 the deastringency treatment with high CO<sub>2</sub> concentrations in persimmon 'Rojo Brillante'  
408 at different maturity stages. Some of the reported changes may affect the spectral information.  
409 this way, a decline in the TSS, measured as °Brix, occurs after deastringency treatment  
410 concomitant to the drop in ST as a response to the deastringency process. On the other hand,  
411 after the CO<sub>2</sub> treatment a significant increase in pH is observed. This rise in the pH value is  
412 also related to the process by which soluble tannins, the acid components, become insoluble  
413 the application of the treatment. It is noteworthy that the measurements of soluble solids in  
414 persimmon are related to ST, but also to sugars and acids, are located between 720 nm and 820  
415 nm, phenolic compounds are between 940 nm and 1000 nm, and the tannic acid peaks at 996  
416 nm.

417 In addition, it must be taken into account that the cellular microstructure can have an  
418 important effect on the spectral response. Hence, it has been reported that the deastringency  
419 process causes important changes in the cell microstructure. The insolubilisation of tannins  
420 occurs inside the vacuoles of tannin cells, which appeared to be filled with an insoluble material  
421 (like a compact mass) (Salvador et al., 2007). Thus, depending on the level of insolubilisation

422 during the destringency treatment, the number of cells in the parenchyma containing insoluble  
423 material will be greater or lower. Moreover, the CO<sub>2</sub> applied, in addition to triggering  
424 the insolubilisation of tannins, also brings about a progressive degradation of the  
425 structure, affecting the cell walls and imperichyma the cell membranes. The adhesion bonds  
426 between some cells are lost in certain areas and the intercellular spaces are filled with a soluble  
427 material. This effect becomes greater as the treatment time increases (Salvador et al 2007;  
428 Novillo et al., 2014). It should be noted that the declining firmness that occurs during the  
429 maturity process of persimmon fruit has been associated with a gradual loss of parenchyma  
430 structure due to degradation of the cell wall and membrane (Salvador et al., 2007; Tessmer et  
431 al., 2016). In the same way, the effect of high concentrations of CO<sub>2</sub> on the cellular structure  
432 is related to a loss of firmness.

433 These structural changes associated with both the maturity stage and the CO<sub>2</sub>  
434 treatment may have an important effect on the spectra, since firmness is related to the water  
435 content (water absorption peaks at 750 nm and 970 nm) and the structural status of the  
436 parenchyma. This may have an influence on the way the light interacts with the cells and is  
437 transmitted through the fruit and hence the spectral response received by the spectrometer,  
438 which allowed A fruits to be separated from DA fruits.

439 Regarding the colour, the treatment with CO<sub>2</sub> did not cause any great changes in fruit  
440 skin for the earlier stages of fruit maturity, although small differences were observed in the last  
441 stage due to changes in carotenoids, anthocyanins and chlorophylls related to wavelengths 450–720  
442 nm, 460 nm, 550–600 nm and 650–710 nm (Rajkumar et al., 2012). However, since the colour  
443 has not previously been evaluated to detect the astringency of the 'Rojo Brillante' persimmon, a  
444 PLS-DA model was calibrated using the HunterLab colour coordinates *L*, *a*, *b*. As a result, 66.7  
445 % of A and 33.6 % of DA fruits were correctly classified, showing a total precision of 52.5 %  
446 (Table 6). This result indicates that traditional colour measures are not useful for the  
447 discrimination of A and DA fruits. However, from the results obtained using hyperspectral  
448 images, it is possible to present an alternative to those methods that are destructive, need  
449 chemical analysis, are subjective and only allow the inspection of a few samples per batch.

450

451 Table 6. Results of the classification of A and DA fruits using the colour information.

#LV	Class	Calibration				Cross Validation				Prediction			
		#A	#DA	CC (%)	Acc (%)	#A	#DA	CC (%)	Acc (%)	#A	#DA	CC (%)	Acc (%)
2	A	78	29	72.9	57.2	77	30	72.0	58.7	36	18	66.7	52.5
	DA	57	37	39.4		53	41	43.6		29	16	35.6	

452 *#LV = number of latent variables; #A = number of astringent fruits; #DA = number of*  
 453 *deastringed fruits; CC = correct classification; Acc = accuracy*

454

455 **3.4.1 Selection of optimal wavelengths**

456 In order to reduce the complexity of the system, the number of wavelengths used should be  
 457 reduced because a large number of wavelengths increases the acquisition time while it reduces  
 458 the performance of classifiers (Friedman, 1994). Numerous techniques have been employed to  
 459 deal with this issue, such as restricting the information to just a few bands which reveal the most  
 460 variability and therefore the most significant information in the hyperspectral image (Du and  
 461 Sun, 2006). In this study, the vector of the regression coefficients was used. A total of 23  
 462 optimal wavelengths were selected in the vector of each area, all of them being located across  
 463 the VIS and NIR region (Figure 5).

464 The high number of wavelengths selected indicated that there are no specific ones that can  
 465 be specifically linked to the tannins or other particular constituents related to astringency.  
 466 Hyperspectral images show a high degree of collinearity and redundant information and this  
 467 selection is probably a reduction of this information. More than half of the selected wavelengths  
 468 for the three areas are located in the VIS region, which is related to the carotenoids,  
 469 anthocyanins, chlorophylls and other pigments responsible for fruit colour, as previously  
 470 commented. Several wavelengths were selected near the water absorption peaks, around 750 nm  
 471 (first overtone of OH) and 970 nm (third overtone of OH) (Siedliska et al., 2018; Nicolai et al.,  
 472 2007; Williams and Norris, 1987). Other selected wavelengths are located around 850 nm,  
 473 which is assigned to the absorption of acids and sugars (Yang et al., 2015). As commented  
 474 earlier, phenolic compounds are located between 940–1000 nm and the absorption peak of

475 tannic acid is seen at 996 nm (Noypitak et al., 2015). Several selected wavelengths are located  
476 in this region but it is not clear whether this corresponded to the ST content or to the water  
477 absorption peak.

478 The optimised classification models were built using the selected wavelengths as input.  
479 Results of the calibration are presented in Table 7. The models for the calyx and apex areas  
480 were performed using 15 and 13 LVs, while only eight LVs were necessary to build the model  
481 for the middle area. In this case, the increased accuracy in CV made the results more similar in  
482 the calibration of the models. As in the case of the models built using the full spectra, the  
483 internal CV of the middle area presented the highest accuracy (88.1%), then the apex area with  
484 83.1% and the calyx area model presented the lowest results with only 78.6% of total accuracy.  
485 In all cases, A fruits were detected better than DA fruits, which is in line with the principal aim  
486 of detecting astringent fruits among those that have been submitted to a CO<sub>2</sub> treatment.

487 As in the classification performed using all wavelengths, the class of each fruit in the test set  
488 was predicted by introducing the mean spectrum of the fruit into the previously optimised  
489 models. In the case of the middle and apex areas, their prediction of A fruits showed similar  
490 results between areas and using all and the optimal wavelengths, resulting in a correct  
491 classification of 87.0% of A fruits. However, precision was lower for both areas in DA fruits,  
492 with respect to the previous models, i.e. 86.7 % and 84.4% of DA fruits. Therefore, the total  
493 accuracy of the middle and apex area models was 86.9% and 85.9%. Despite the reduction in  
494 precision in the classification of DA fruits using fewer wavelengths, this is more desirable than  
495 the contrary. If a DA fruit is classified as A, it can be treated again with CO<sub>2</sub>, but if an A fruit is  
496 classified as DA, this fruit goes directly to the consumer. In the case of the calyx area model,  
497 81.5% of A and 82.2% of DA fruits were classified correctly. The total accuracy was a little  
498 higher than when using all the wavelengths (81.8%), although it was again the least accurate of  
499 the three areas.

500

501 Table 7. Results of the classification using the calyx, middle and apex areas and optimal  
502 wavelengths selected

Area	#V	#LV	Class	Calibration				Cross Validation				Prediction			
				#A	#DA	CC (%)	Acc (%)	#A	DA	CC (%)	A (%)	#A	#DA	CC (%)	Acc (%)
Calyx	23	15	A	90	17	84.1	82.6	89	18	83.1	78.6	44	10	81.5	81.8
			DA	18	76	80.9		25	69	73.4		8	37	82.2	
Middle	23	8	A	97	10	90.7	90.0	96	11	89.7	88.1	47	7	87.0	86.9
			DA	10	84	89.4		13	81	86.2		6	39	86.7	
Apex	23	13	A	94	13	87.9	86.6	91	16	85.0	83.1	47	7	87.0	85.9
			DA	14	80	85.1		18	76	80.9		7	38	84.4	

503 *#LV = number of latent variables; #A = number of astringent fruits; #DA = number of*  
504 *destringed fruits; CC = correct classification; Acc = accuracy*

505

#### 506 4. CONCLUSIONS

507 The capability of VIS-NIR hyperspectral imaging to discriminate A and DA hard ‘Rojo  
508 Brillante’ persimmon fruits was investigated. Furthermore, as ST are heterogeneously  
509 distributed in the flesh of persimmon fruit, an individual study of three different areas of the  
510 fruit was carried out in order to find the most suitable to maximise the accuracy of the models.

511 The prediction of ST content in the fruits was performed using PLS-R models. The results  
512 obtained indicated that the model using the spectra of the apex area was the most accurate,  $R^2$  of  
513 0.71 with an RMSE of 0.18 and RPD 1.9. However, only 77.8% of A fruits and 42.2% of DA  
514 fruits were correctly classified **using PLS-R** when the threshold of 0.04% was applied **which**  
515 **was clearly insufficient**. Therefore, PLS-DA models were performed in order to maximise the  
516 separation between A and DA classes, which led to an improvement in the results. The most  
517 accurate models were those performed using middle and apex area spectra (88.9% and 87.9%),  
518 with a correct classification of 87.0% of A fruits and 91.1% and 88.9% of DA fruits,  
519 respectively. When the discrimination of the fruit was performed using colour information, the  
520 accuracy in the classification was only 66.7% for A and 33.6% for DA fruits.

521 To reduce the huge amount of data captured by the hyperspectral systems, the vector of the  
522 regression coefficients of the PLS-DA model of each area was used to identify the optimal  
523 wavelengths. As when using all wavelengths, the most accurate models were those involving

524 the middle and apex areas and 23 optimal wavelengths (86.9% and 85.9%), also with a correct  
525 classification of 87.0% of A fruits and 86.7% and 84.4% of DA fruits, respectively.

526 According to these results, hyperspectral imaging combined with multivariate analysis has a  
527 great potential as a tool for rapid and non-destructive control of effectiveness of the astringency  
528 removal treatment applied to persimmon cv. 'Rojo Brillante'. Nevertheless, the results of this  
529 study need further experimentation on a larger set of fruits grown in different areas and  
530 harvested at different stages of ripeness before this could be effectively implemented in an in-  
531 line system.

532

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537

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666

667

668

669

## 670 **FIGURES**

671

672 Figure 1. Hyperspectral images of the three areas of persimmon fruit acquired at 710 nm.

673

674 Figure 2. Example of external and internal appearance of the fruit before and after de-  
675 astringency treatment. Visualisation of the distribution of ST using the alternative method of  
676 foils soaked in FeCl<sub>3</sub>. *A = astringent; DA = deastringed*

677

678 Figure 3. Mean pre-treated spectra of each area of astringent (A) and deastringed (DA) fruits.

679

680 Figure 4. Prediction of the soluble tannins content in test set fruit using the apex area.

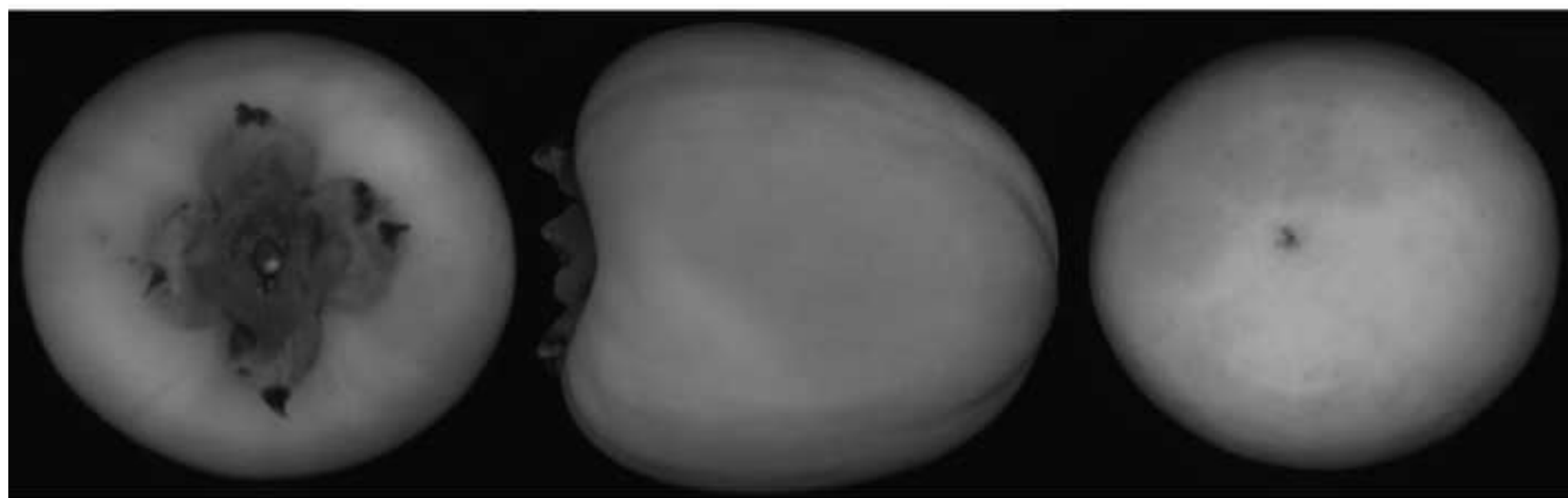
681 *The red lines indicate the threshold value of 0.04%*

682

683 Figure 5. Regression coefficients vector of the PLS-DA model of each area with the optimal  
684 wavelengths selected.

Figure

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Calyx

Middle

Apex

Figure

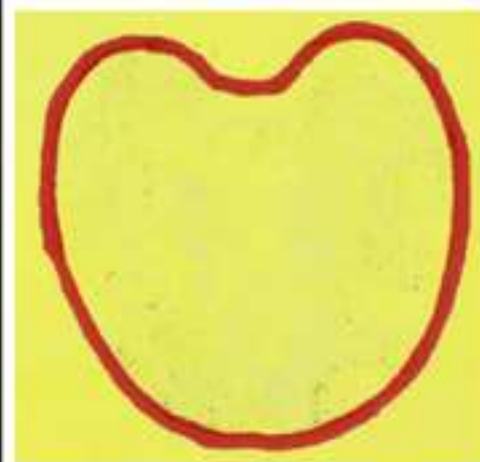
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A (0 h)



A (12 h)



DA

Figure

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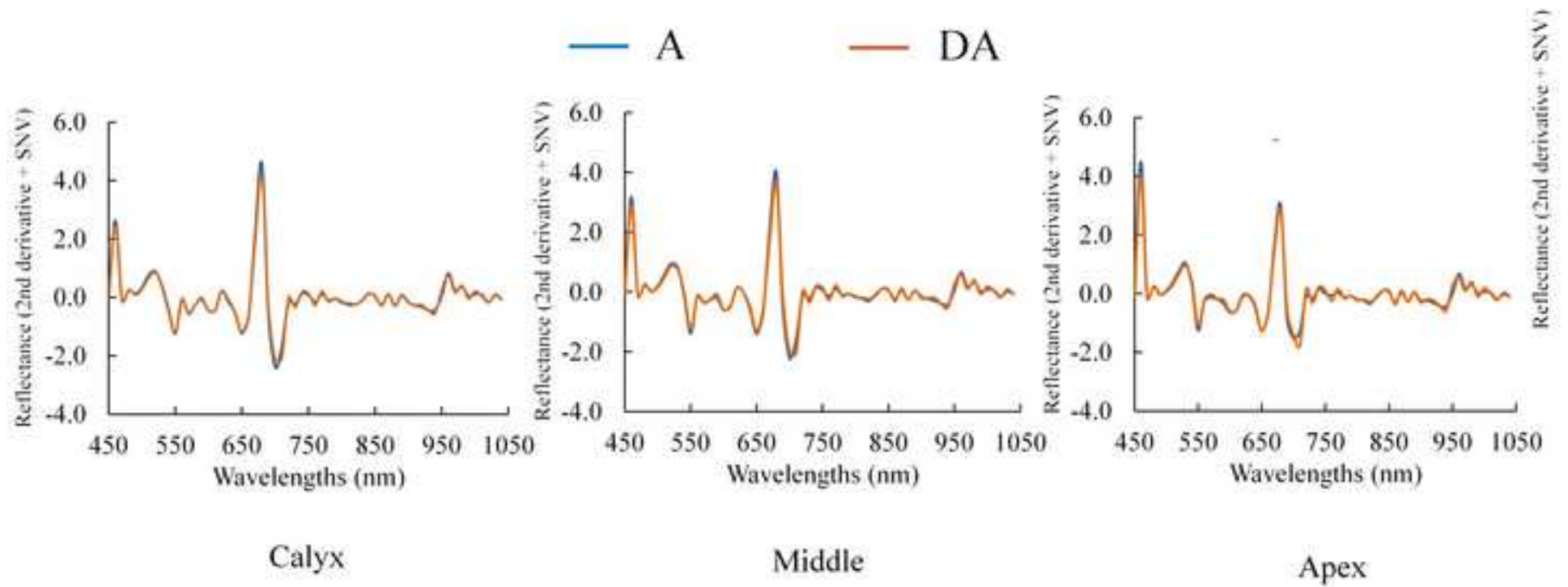
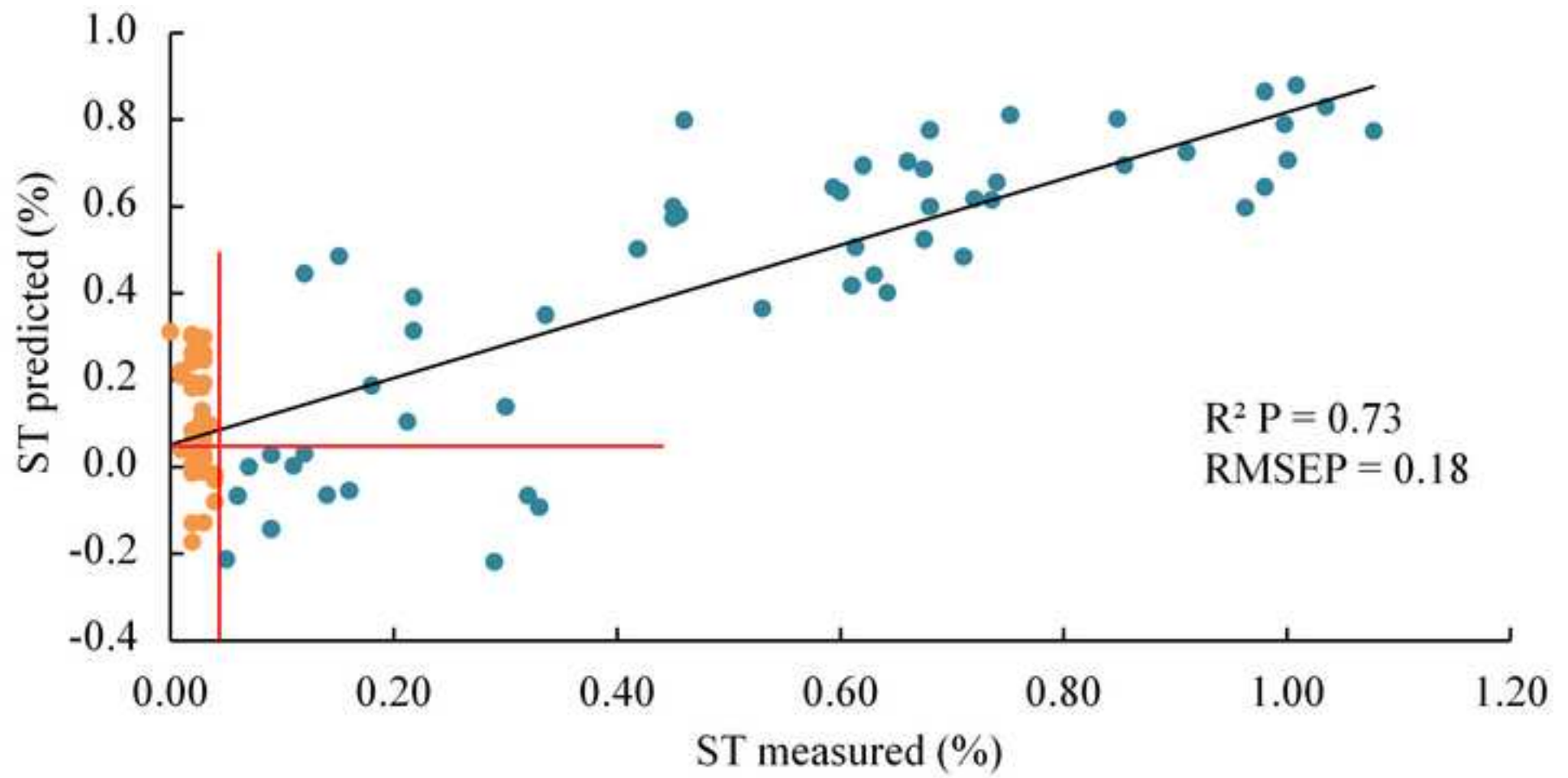


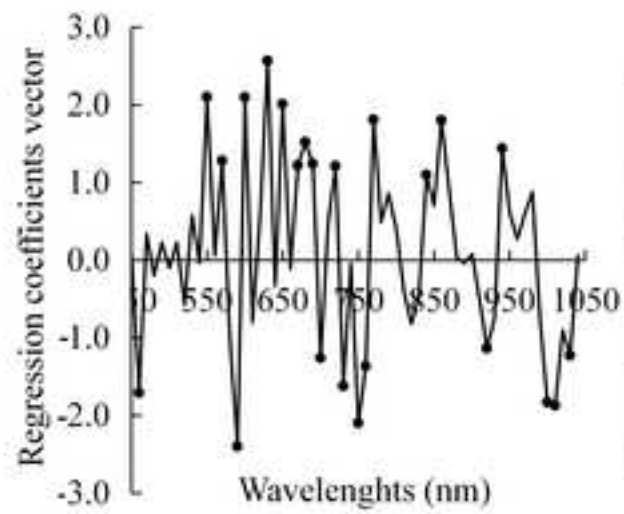
Figure  
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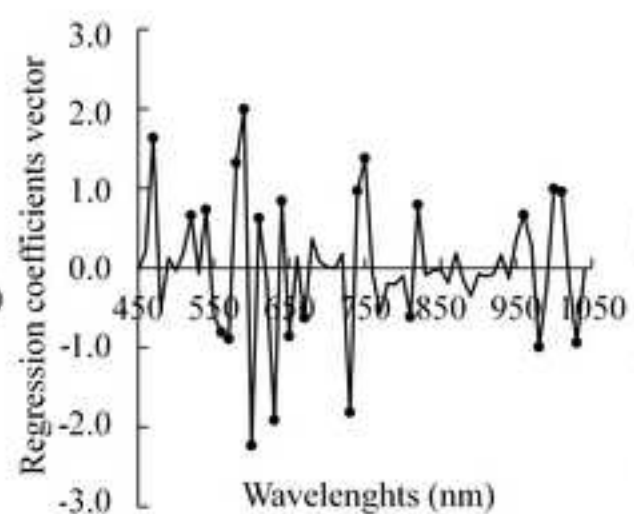


Figure

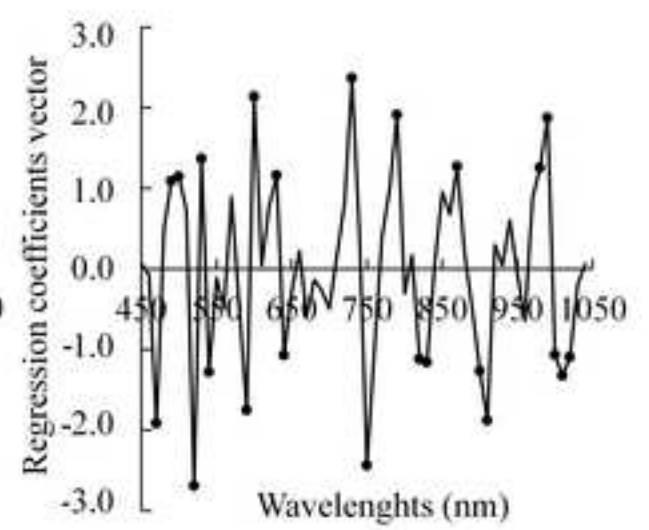
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Calyx



Middle



Apex