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Additional Information

1 Open cell polyurethane foam compression failure
2 characterization and its relationship to morphometry

3 Ricardo Belda^{a,*}, Marta Palomar^a, Miguel Marco^b,
4 Ana Vercher-Martínez^a, Eugenio Giner^a

5 ^a*Centre of Research in Mechanical Engineering - CIIM, Dept. of Mechanical*
6 *Engineering and Materials, Universitat Politècnica de València, Camino de Vera, 46022*
7 *Valencia, Spain*

8 ^b*Department of Mechanical Engineering, Universidad Carlos III de Madrid, Avda. de la*
9 *Universidad 30, 28911, Leganés, Madrid, Spain*

10 **Abstract**

11 Open cell polyurethane foams are often used as cancellous bone surro-
12 gates because of their similarities in morphology and mechanical response.
13 In this work, open cell polyurethane foams of three different densities are
14 characterized from morphometric and mechanical perspectives. The mor-
15 phometric characterization is based on micro computed tomography images
16 analysis, while the mechanical characterization consists of compression tests
17 and finite element models that reproduce them. Moreover, digital image cor-
18 relation is applied to estimate strain fields at failure to validate the numerical
19 model proposed. We found significant relationships between morphometry
20 and the elastic and failure response. The detailed information about mor-
21 phometry, elastic constants and strength limits provided in this work can be
22 of interest to researchers and practitioners that often use these polyurethane
23 foams in orthopedic implants and cement augmentation evaluations.

24 *Keywords:* Compression fracture characterization, open cell foam,
25 micro-FE, digital image correlation, morphometric characterization

*Corresponding author. Tel.: +34-618243486.
Preprint submitted to Materials Science and Engineering C
Email address: ribelgon@upv.es (Ricardo Belda)

26 **1. Introduction**

27 Rigid polyurethane foams have been used as cancellous bone surrogate to
28 evaluate orthopedic devices, cement augmentation investigation or mechan-
29 ical characterization [1–3]. As they do not suffer from biological degradation
30 or dehydration, and due to its lower costs compared to real bone specimens
31 [4], they have become a reliable alternative for cancellous bone-like structure
32 investigations.

33 One of the first published studies about polyurethane foam characteriza-
34 tion was developed by Menges and Knipschild in 1975 [5], where the authors
35 performed a mechanical characterization of closed-cell rigid polyurethane
36 (PUR) foams based on the conception of a simplified beam structure. Gib-
37 son et al. went a step further in the study of the mechanics of cellular
38 materials, first in the two-dimensional case [6] and later extended to 3D [7].
39 The investigation was carried out considering simplified cellular models and
40 defining each of the deformation modes involved: bending, elastic buckling
41 and plastic collapse of the struts. A set of analytical expressions for the
42 mechanical behavior of foams were developed in terms of their geometrical
43 features (strut length and thickness) and then correlated to a relative den-
44 sity parameter [7].

45
46 Because of the increasing relevance of polyurethane foams in the biome-
47 chanical field as a cancellous bone surrogate, several works have been con-
48 ducted over the last years to characterize this kind of structures [4, 8, 9].
49 Some of them deal with commercial foams [2, 4, 9–12] while others produce
50 their own foams resembling cancellous bone [1, 8]. Among them, Szivek
51 et al. [8] performed uniaxial compression tests of four mixtures of porous

52 polyurethane foams. The mechanical properties obtained from the tests fell
53 all within the range of those of human trabecular bone. Patel et al. [9]
54 calculated the compressive properties of open cell PUR foams aiming at
55 mimicking osteoporotic human cancellous bone ones, which was achieved
56 only in terms of fracture stress. Further, Thompson et al. [13] studied also
57 the shear properties experimentally and pointed out that to mimic cancel-
58 lous bone behavior, anisotropy must be also considered.

59 Other studies have addressed fracture properties in PUR foams experi-
60 mentally by three-point bending testing of notched specimens [14–16]. Some
61 of these include numerical modelling of the crack evolution [14, 15], or use
62 digital image correlation (DIC) technique [14, 16, 17]. The numerical models
63 developed by Marsavina et al. [15] considered a homogeneous material and
64 XFEM to model crack propagation under mixed mode testing conditions.
65 The authors highlighted the importance of experimental validation (or cal-
66 ibration) of the numerical model, which in their case depended on several
67 parameters.

68 DIC is an optical non-contact displacement measurement technique [18]
69 preferable for cases where it is difficult to attach other measurement sys-
70 tems. It permits to estimate surface displacements and strains based on
71 image pattern analysis and, in case of foams, microstructure may act as the
72 grid (speckle) to be used for displacement estimation [19, 20]. Some authors
73 have applied DIC to foamed structures [14, 16, 17, 20]. Among them, Jin
74 et al. [16] applied DIC to closed-cell PUR foams and compared the hetero-
75 geneous strain estimations to finite element results, which were similar in a
76 qualitative way. On the other hand, Chiang et al. [17] analyzed specimen
77 size influence on the stress-strain response using a multi-speckle technique.

78 Although the deformation was almost uniform along the largest samples,
79 the micro-size ones revealed heterogeneous patterns [17]. However, little
80 work has been carried out in the literature regarding strain inhomogeneities
81 analysis and fracture on foamed specimens under axial loading conditions
82 [20, 21].

83 In foamed structures, microarchitecture has a major influence on the
84 elastic and fracture properties [4, 7]. The advances on imaging systems have
85 motivated studies accounting for an accurate morphological description. For
86 example, Gómez et al. [10] analyzed the morphometry of two grades of open
87 cell PUR foams by SEM and micro-CT and stated that 0.09 and 0.12 g/cm³
88 foams have similar microstructural characteristics than osteoporotic human
89 cancellous bone. Other previous works in the literature have developed
90 finite element models of PUR foams from CT images [1, 4, 11, 12]. Some
91 of them have applied micro-CT during specimen testing, which provides
92 information about the deformation state at each load increment that can
93 be used to validate finite element models [1, 11]. Special care must be
94 taken when modeling is based on high resolution images, because both the
95 characteristic structure parameters and the mechanical properties of the
96 foam may be altered by the micro-CT setting parameters, resolution and
97 the subsequent segmentation method applied [11, 22].

98 Some of the works in the literature dealing with commercial open cell
99 polyurethane foams refer their results to the average properties provided
100 by the manufacturer [4, 10]. Jonhson and Keller [4] pointed out signifi-
101 cant differences between their elastic metrics and the values reported in the
102 literature, which confirms the importance of accurate definition of testing
103 conditions to make the results comparable. Since open cell polyurethane

104 foams are often used to evaluate implant stability and local variations of
105 microstructure are relevant to the pull-out strength predictions, finite ele-
106 ment (FE) models based on high resolution images can help to get insight
107 into the failure mechanisms of this kind of structures. Therefore, a thor-
108 ough investigation of the influence of foam microarchitecture on its elastic
109 and fracture behavior is relevant for implant design and failure mechanisms
110 studies at the micro scale.

111 In this work, we aim at characterizing open-cell rigid polyurethane foams
112 of three different densities from mechanical and morphometric perspectives.
113 The mechanical characterization is conducted experimentally, through com-
114 pression testing combined with the application of DIC to estimate full-field
115 surface displacements and to describe compression fracture patterns. On
116 the other hand, FE models developed from micro-CT images of some of the
117 tested samples are generated and simulated under the experimental loading
118 conditions, which enables the estimation of elastic and failure parameters
119 to be used for numerical modeling. In addition, a morphometric analysis is
120 performed, which is then related to the mechanical properties of the sam-
121 ples. The detailed information about morphometry, elastic constants and
122 strength limits provided in this work can be useful for researchers and prac-
123 titioners that make use of these polyurethane foams in orthopedic implants
124 and cement augmentation evaluations.

125 **2. Materials and methods**

126 *2.1. Description of specimens: Open cell polyurethane foams*

127 Three different density grades of open-cell polyurethane foams (Saw-
128 bones, Sweden) are analyzed in this study, Fig. 1. The grades are denoted

129 as follows: Low density foam (LD, Ref. #1522-507), medium density foam
 130 (MD, Ref. #1522-524) and high density foam (HD, Ref. #1522-525) [23].
 131 Each foam grade is sold in a block of 13x18x4 cm, from which a series of
 132 specimens are machined. The manufacturer provides some mechanical and
 133 morphological properties for each foam grade, like the apparent compressive
 134 Young's modulus (E_{app}), the compressive strength (σ_f) and foam volume
 135 fraction (FV/TV). The structure is over 95% open cell and the cell size is
 136 between 1.5 to 2.5 mm. The manufacturer acknowledges a wide scattering
 137 on the mechanical properties, but they report average properties of each
 138 foam grade blocks, summarized in Table 1.

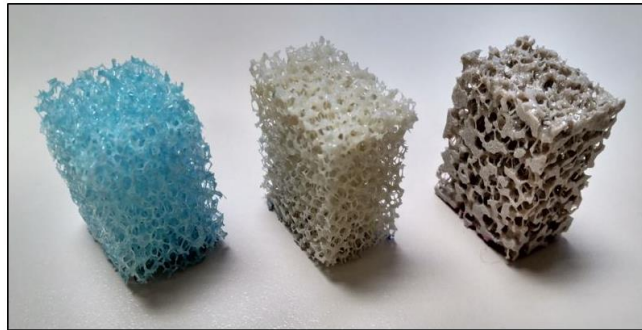


Figure 1: Specimens of different apparent densities analyzed in this work: low density foam (LD) (left), medium density foam (MD) (centre) and high density foam (HD) (right).

Table 1: Mechanical and morphological properties provided by the manufacturer for each open cell graded foam from [23].

Foam grade	Density [g/cm ³]	FV/TV [%]	σ_f [MPa]	E_{app} [MPa]
# LD	0.12	10.6	0.28	18.6
# MD	0.24	15.4	0.67	53
# HD	0.48	30.8	3.20	270

139 *2.2. Preparation of specimens*

140 A series of parallelepiped specimens (total of 30, 10 of each density grade)
 141 were extracted from the initial open-cell polyurethane foam blocks. The
 142 specimens were chosen to maintain the initial block thickness and to have
 143 a quadrilateral base, Fig. 1. The average specimen dimensions are 25 mm
 144 base-side and 40 mm height. The foam blocks were machined using a table
 145 saw, with constant water irrigation and low advance velocity to reduce the
 146 cutting effects. In any case, the disruption of the reticular microstructure
 147 due to specimen machining has a relevant effect on the mechanical proper-
 148 ties as it happens for cancellous bone [24–26]. In case of cancellous bone, a
 149 reduction from 20 to 50 % on the apparent modulus has been reported due
 150 to the so-called side artifacts, and a similar effect may be expected for other
 151 foamed structures.

152

Table 2: Mean **and standard deviation of the** apparent density (ρ_{app}) measured for each density grade.

Foam grade	ρ_{app} [g/cm ³]
LD	0.107 \pm 0.009
MD	0.239 \pm 0.017
HD	0.442 \pm 0.022

153 In addition, special attention was taken to maintain parallel faces at each
 154 specimen to avoid point loads and stress concentration during compression
 155 testing due to uneven surfaces. Each specimen was weighted and the appar-
 156 ent volume was estimated, which allows to estimate the apparent density,
 157 summarized in Table 2. It can be noted that foam blocks heterogeneity and
 158 specimen machining result in a slightly apparent density reduction compared

159 to the values reported by the manufacturer in Table 1. Testing directions
160 were defined as follows, see Fig. 2 top: 1 (or axial) is the initial foam block
161 depth direction, while 2 and 3 are the transverse directions.

162 *2.3. Micro-CT scanning and segmentation*

163 Six specimens (two of each density) were scanned using a micro-CT
164 (V|Tome|X s 240, GE Sensing and Inspection Technologies) through the
165 CENIEH (Burgos, Spain) micro-CT service, with an isotropic voxel resolu-
166 tion of $24\ \mu\text{m}$ (voltage 80 kV, intensity 200 μm , integration time 200 ms).
167 Then, the micro-CT images were segmented using ScanIp software (Simple-
168 ware, UK), following a manual image thresholding method combined with
169 mask connectivity analysis, Fig. 2 bottom. In Fig. 2 top, a 3D reconstruc-
170 tion of the three grades of foam samples generated from micro-CT images
171 is shown. The resulting 3D segmented masks were analyzed to estimate
172 morphometric parameters in the next section.

173 *2.4. Morphometric characterization*

174 In order to characterize the microstructure of the different open cell foam
175 specimens, a morphometric analysis of the segmented masks was carried out.
176 We define the following parameters: foam volume fraction (FV/TV), foam
177 surface area to total volume ratio (FS/TV), foam surface area to material
178 (PUR) volume ratio (FS/FV), mean strut thickness (Str.Th), mean void
179 dimension or mean strut separation (Str.Sp), strut number (Str.N), frac-
180 tal dimension (D_{3D}), degree of anisotropy based on mean intercept length
181 (DA_{MIL}) and connectivity density (Conn.D). These parameters are com-
182 monly used for cancellous bone microstructure characterization [20, 33].

183

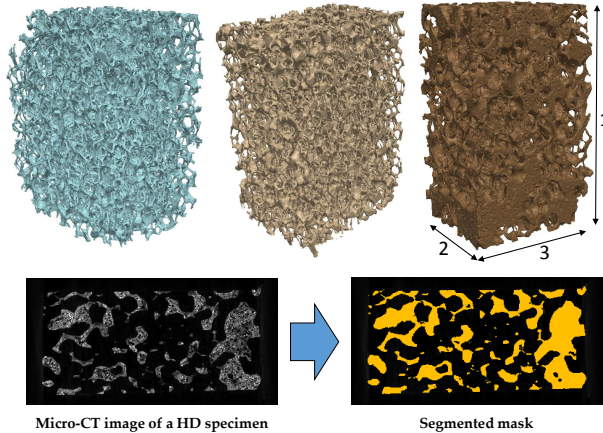


Figure 2: 3D reconstruction of each foam grade generated from micro-CT images: LD (top left), MD (top centre) and HD (top right). Testing directions are defined as 1 (axial) and 2,3 (transverse). Segmentation of a micro-CT image of a HD specimen through a manual thresholding approach (bottom).

184 We remark that these parameters are average measurements for each
 185 region of interest. Having further information of the foam morphology is
 186 important for the study of local effects in the structure as in case of damage,
 187 fracture, screw insertion or cement augmentation. To give insight into the
 188 variation of those morphometric parameters, we also calculated them in
 189 slices of about 5 mm of thickness along specimen height.

190 2.5. Experimental characterization

191 We performed mechanical non-destructive compression tests to estimate
 192 the apparent Young's modulus ($E_{app,i}$) of the different graded foams in their
 193 3 main directions ($i=1,2,3$ or axial(ax)/transvers(trans)) and destructive
 194 compression tests to characterize fracture behavior in the axial direction.
 195 In addition, we applied DIC to images acquired during testing in order to
 196 analyze the inhomogeneous strain distribution at failure.

197 2.5.1. Compression tests

198 Quasi-static compression tests were conducted following this protocol: A
199 10 N pre-load was defined; then, after 5 preconditioning cycles, an increasing
200 load was applied with a displacement rate of 1 mm/min between 0.5 % and
201 1 % strain levels to avoid damage in the specimens.

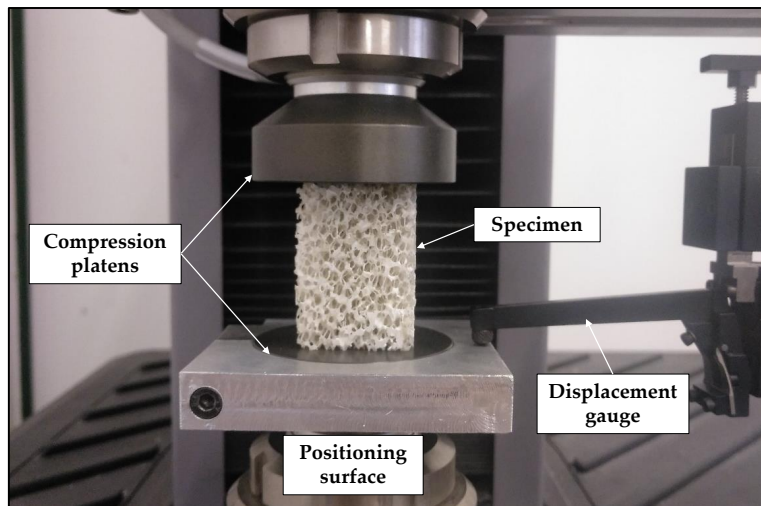


Figure 3: Testing set up for compression of foam samples. A local displacement gauge is used to measure the displacement between compression platens so as to avoid any compliance effect of the load chain.

202 Tests were carried out using an electromechanical testing machine (MTS
203 Criterion C42), with aluminum compression platens (MTS ref.: FYA502A)
204 for the compression tests and measuring the displacement between com-
205 pression platens using a displacement gauge (MTS ref.:632.06H-20). The
206 apparent stiffness ($E_{app,i}$) of each specimen in its 3 main directions was
207 determined from the linear response after the last preconditioning cycle,
208 while failure stress (σ_f) was defined as the peak value following the elastic
209 response and the failure strain (ε_f) was defined as the strain at σ_f . Yield

210 stress (σ_y) and strain (ε_y) were estimated through the 0.2% convention. The
211 compression test rig is shown in Fig. 3.

212 *2.5.2. Full-field displacement measurement using Digital Image Correlation*
213 *(DIC)*

214 The objective of the DIC analysis is to characterize the strain field dis-
215 tribution over specimen frontal surface and detect compression fracture pat-
216 terns from a speckle and non-speckle approaches. The strain field distribu-
217 tion and failure pattern results will be used to validate the finite element
218 models predictions and the failure model proposed.

219
220 We used VIC-2D Digital Image Correlation software (v.6.0.2 Correlated
221 Solutions Inc., US), a high resolution fixed focal lens (HF7518V-2, Myutron,
222 Japan) with 12 Mpx resolution, extension rings (10 mm), 65 mm focal length
223 and a spotlight. Perpendicular camera-specimen relative position was en-
224 sured to avoid out-of plane displacements during testing. To evaluate the
225 use of speckle, half of the specimens scanned by micro-CT (3) were speckled,
226 which consisted of the application of a white spray paint coat followed by a
227 black spray paint speckle to increase contrast. The other half were analyzed
228 benefiting from the irregularities of the microstructure.

229
230 We used a normalized squared differences (NSSD) pattern matching cri-
231 terion and an incremental correlation, where each image is compared with
232 the previous one instead of the reference image. A high sub-pixel accu-
233 racy was ensured using a high order interpolation spline method (8-tap).
234 A squared facet (the grid in which ROI is divided) of 81 mm pixels size,
235 a step size of 5 pixels and a strain filter size of 21 pixels were defined for

236 the strain field calculation. Those parameters were defined based on noise
237 minimization and failure pattern localization accuracy.

238 *2.6. Finite element modeling*

239 We developed finite element models based on high resolution micro-CT
240 to reproduce the elastic and fracture behavior of the open cell foam speci-
241 mens registered in the experiments. Then, the elastic and failure properties
242 for each specimen are estimated by inverse analysis using the experimental
243 force-displacement curve. Finite element meshes were generated from the
244 micro-CT segmented masks using ScanIp (Simpleware, UK). The models
245 were meshed with linear tetrahedral elements (coded C3D4 in Abaqus), re-
246 sulting in finite element models of about 3 million elements and one million
247 nodes for LD and MD grades and about 6 million elements and 1.5 million
248 nodes for HD grade.

249

250 The finite element models generated using Abaqus (6.14, Dassault Sys-
251 tems, US) for each specimen are shown in Fig. 4. Linear-elastic isotropic
252 material properties were assigned in the simulations, calibrated using the
253 experimental data. A Poisson's ratio of 0.3 was assumed from the literature
254 [11]. Boundary conditions were defined to mimic the experimental tests, im-
255 posing the displacement registered in the experiments on top face nodes and
256 constraining the displacements in the load direction on the bottom surface.
257 Lateral surfaces are free (unconfined compression).

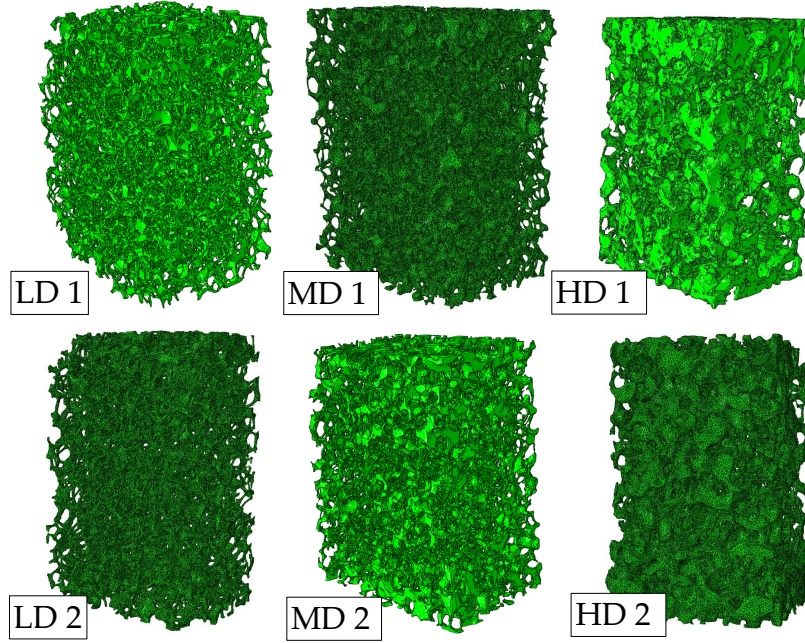


Figure 4: Finite element models developed for the six specimens scanned using micro-CT: LD (left), MD (centre) and HD (right). Three of the 3D rendered foams show the finite element mesh (one for each grade).

258 *2.7. Compression failure modeling*

259 In this study, we consider that foam failure at the strut level occurs in
 260 two phases: first the stiffness is reduced in the damage phase, following a
 261 continuum damage approach, and then the complete fracture of the struts is
 262 modeled using the element deletion technique. This approach has been used
 263 to model compression fracture in foam-like structures as cancellous bone
 264 [20, 27]. These damage and fracture approaches were implemented using an
 265 Abaqus user’s subroutine (USDFLD).

266 In the quasi-static regime, the isotropic relation of elasticity under dam-
 267 age mechanics approach is expressed by Eq. 1 [28]:

$$\sigma_{ij} = (1 - D)C_{ijkl}\varepsilon_{kl} \quad (1)$$

268 where D is the damage variable, σ_{ij} , ε_{kl} are the stress and strain tensors
 269 and C_{ijkl} is the constitutive elastic tensor. We propose D to vary following
 270 an isotropic damage law experimentally fitted (Eq. 2) for cancellous bone
 271 based on an equivalent strain (Eq. 3), because of its similarity to foam
 272 structure [20, 27]. Material properties are reduced from compression yield
 273 strain ($\varepsilon_{y,c}$) until its 5 % at $\varepsilon_{f,c}$. At this point, the finite element is deleted.

274

$$D = \begin{cases} 0 & \varepsilon_{\text{eq}} \leq \varepsilon_{y,c} \\ 0.95\left(\frac{\varepsilon_{\text{eq}}}{\varepsilon_{f,c}}\right)^2 & \varepsilon_{y,c} < \varepsilon_{\text{eq}} < \varepsilon_{f,c} \\ 0.95 & \varepsilon_{\text{eq}} \geq \varepsilon_{f,c} \end{cases} \quad (2)$$

$$\varepsilon_{\text{eq}} = \sqrt{\frac{2}{3}\varepsilon_{ij}\varepsilon_{ij}} \quad (3)$$

275 The yield strain ($\varepsilon_{y,c}$) and ultimate strain ($\varepsilon_{f,c}$) parameters need to be
 276 back calculated from the simulation, so this approach enables the estimation
 277 of failure strains.

278 **3. Results and discussion**

279 *3.1. Stress-strain relationships from quasi-static compression tests*

280 After the five preconditioning cycles, the stress-strain response registered
281 for three foam densities may be divided in an approximately linear part,
282 followed by a non-linear stiffness decrease until the ultimate point, Fig.
283 5. Then, a softening region is observed within post-yielding until material
284 stacks and the load bearing capacity increases (not shown in Fig. 5). The
285 preconditioning cycles effect is clearly seen as a significant difference between
286 the initial slope and the one after the cycles, helping to reduce the side
287 artifacts [26].

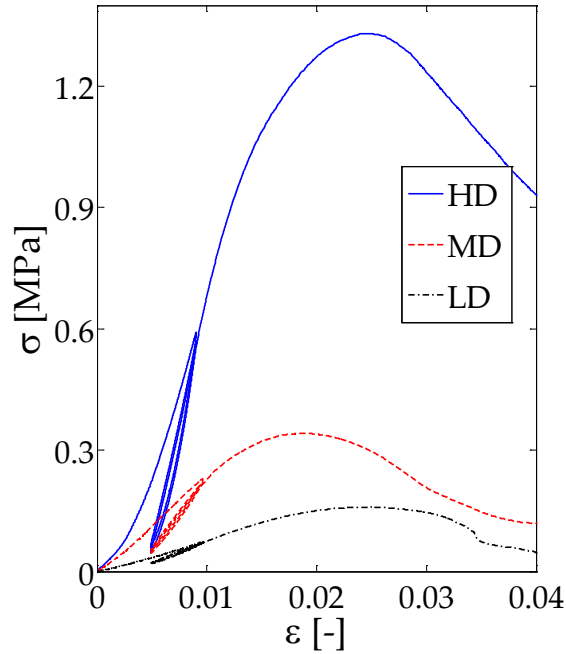


Figure 5: Stress-strain response registered in compression tests for three specimens of different densities.

288 *3.1.1. Apparent Young's modulus*

289 Compressive stiffness results along the axial and transverse directions
 290 are summarized in Table 3. For the HD grade, the transverse direction is
 291 stiffer than the axial one, while for the MD and LD grades the axial direction
 292 is stiffer. The morphometric analysis along specimens height (section 3.2)
 293 reveal that, for HD specimens, the material distribution is not homogeneous
 294 and more material is placed near the upper and bottom surfaces. In those
 295 volumes, the foam volume fraction is around 40%, while in the center of
 296 the specimens it decreases to around 20%. Therefore, when the samples are
 297 compressed in the axial direction, the central part of the specimens is more
 298 compliant and governs the overall behavior (springs in series effect). In the
 299 transverse direction there is more material that stiffens the elastic behavior
 300 (springs in parallel effect). In case of MD and LD specimens, the variation
 301 of the foam volume fraction along the specimens is lower (from 20% to 12%
 302 and from 12% to 8 %, respectively) and specimens behave more isotropically.

Table 3: Mean and standard deviation (SD) values of compressive stiffness for each foam grade in their axial and transverse directions and yield and fracture stresses and strains measured through destructive testing in the axial direction.

	$E_{app,ax} \pm SD$ [MPa]	$E_{app,trans} \pm SD$ [MPa]	σ_y [MPa]	ε_y [%]	σ_f [MPa]	ε_f [%]
HD	108.37±27.04	160.56±63.65	1.00 ± 0.28	1.5 ± 0.2	1.09 ± 0.32	1.9 ± 0.3
MD	32.59±4.45	29.45±12.03	0.34 ± 0.05	1.6 ± 0.2	0.38 ± 0.06	2.1 ± 0.3
LD	8.94±1.2	6.14±1.75	0.11 ± 0.02	1.8 ± 0.1	0.13 ± 0.02	2.5 ± 0.2

303 For the HD specimens, the apparent modulus has an average value of
 304 108 MPa for the axial direction and 161 MPa for the transverse direction.
 305 MD and LD samples present less scatter than HD. In case of MD foams,
 306 we found a mean value of 33 MPa for the axial direction and 29.5 MPa for
 307 the transverse one, whereas for LD group, the axial direction has a mean

308 apparent modulus of 9 MPa and the transverse one of 6 MPa.

309

310 The manufacturer reports stiffness values for each foam grade (Table
311 1), but the testing conditions, directions or the standard deviation of the
312 values provided are not specified in the catalog. For each foam density,
313 the reported values are significantly greater than our measurements. After
314 querying the manufacturer for further details about the testing protocol and
315 results, they confirmed that specimen dimensions are similar to the ones in
316 this work but their measurement system is global instead of local. The
317 manufacturer observed a wide scattering of the results, not reported in the
318 catalog. In addition, specimen machining may also be responsible for some
319 of the scattering in the mechanical properties due to side artifacts resulting
320 from connectivity disruption.

321 Other works in the literature have provided stiffness values very sim-
322 ilar to our measurements. Johnson and Keller [4] studied the static and
323 dynamic behavior of LD samples from Sawbones and reported a stiffness
324 value in the axial direction of approximately 6 MPa, three-fold less than the
325 manufacturer value, which is similar to our measurements. However, they
326 associate the differences to specimen dimensions and hypothesize that the
327 manufacturer tested the whole foam blocks.

328 *3.1.2. Yield and ultimate stresses and strains*

329 The estimation of yield and ultimate properties for each specimen is
330 given in Table 3. The HD group presents a mean yield stress of 1 MPa and
331 a mean ultimate stress of 1.09 MPa. The latter is about one third of the
332 value (3.2 MPa) reported by the manufacturer. On the other hand, a mean
333 yield strain of 1.5 % and a mean ultimate strain of 1.9 % were measured

334 for HD specimens. The MD group presents mean values for the yield and
 335 ultimate stresses of 0.34 and 0.38 MPa, respectively, which are about half
 336 the value reported by Sawbones. As happened to the HD group, the yield
 337 strain values tend to concentrate around 1.6 %, while the ultimate strain
 338 are about 2.1 %. Similarly, approximately half the reported values by the
 339 manufacturer were measured for the LD ultimate stresses (0.127 MPa) and
 340 a mean yield stress of 0.111 MPa, as summarized in Table 3. In this case, a
 341 mean yield strain of 1.8 % and a mean ultimate strain of 2.5 % were mea-
 342 sured.

343

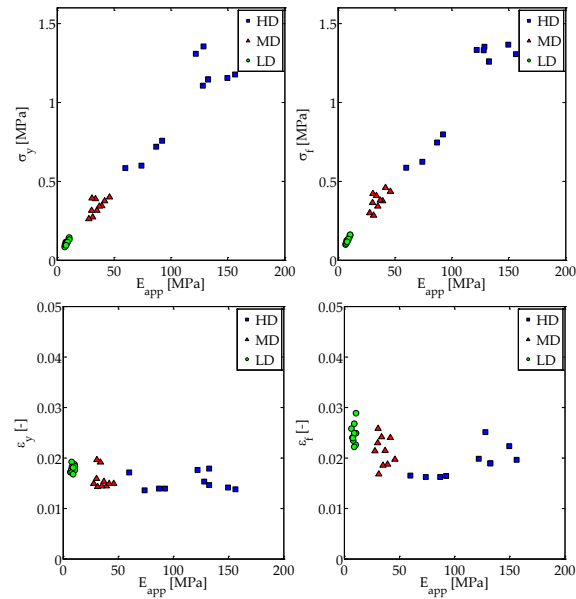


Figure 6: Representation of the yield and ultimate stresses (σ_y and σ_f) (top) and the yield and failure strain (ϵ_y and ϵ_f) (bottom) as a function of the apparent modulus (E_{app}) for the three foam grades.

344 Yield and ultimate stresses show a linear relationship with the apparent

345 modulus, see Fig. 6. Other authors, like Fürst et al. [1] reported simi-
 346 lar linear relationships between ultimate stress and modulus. In this sense,
 347 the open cell foams resemble cancellous bone strength-modulus dependence,
 348 which has been reported in the literature [29]. From our results, this lin-
 349 ear relationship is $\sigma_y = 0.008332 E_{app} + 0.04376$, with a correlation coefficient
 350 (R^2) of 0.967. The following linear expression was found between ultimate
 351 stress and modulus: $\sigma_f = 0.009079 E_{app} + 0.04947$, ($R^2 = 0.98$).

352

353 Yield strain values show little scattering and they seem to be less depen-
 354 dent on microstructure and govern the failure process. The plot reveals that
 355 yielding is relatively constant in terms of strain for a wide range of densities,
 356 see Fig. 6. These results suggest that strains may control failure of open
 357 cell polyurethane foams, as it happens for cancellous bone [29–31]. In the
 358 case of ultimate strain (ε_f), a larger scatter is found, with an incremental
 359 difference of 30 % between LD and HD groups, Fig. 6. The wide scatter of
 360 ultimate strain values has been also reported in the literature for cancellous
 361 bone [31, 32]. However, a small but significant dependence on volume frac-
 362 tion or microstructure may exist because yield and ultimate strains increase
 363 with decreasing density.

364 3.2. Morphometric characterization results

365 Table 4 shows the morphometric parameters for the 6 specimens scanned
 366 by micro-CT, two for each foam grade. The first conclusion is that each foam
 367 grade is characterized by several parameters, except for Str.Sp, Str.N and
 368 DA_{MIL} , whose values are similar for all foam grades. Our estimations of the
 369 mean strut separation (Str.Sp) are in the upper range of the manufacturer
 370 values (mean cell size between 1.5 and 2.5 mm) for all the foam densities.

371 MD samples show the lowest Str.Sp value (2.16 mm), followed by HD (2.36
 372 mm) and LD (2.45 mm).

Table 4: Morphometric parameter results for each open cell polyurethane foamed specimen.

Sample	FV/TV [%]	FS/TV [mm ⁻¹]	FS/FV [mm ⁻¹]	Str.Th [mm]	Str.Sp [mm]	Str.N [mm ⁻¹]	D _{3D} [-]	DA _{MIL} [-]	Conn.D [mm ⁻³]
HD1	30.83	1.08	3.61	1.82	2.38	0.169	2.73	1.11	0.059
HD2	30.81	1.20	3.89	1.81	2.34	0.171	2.72	1.10	0.093
MD1	15.40	0.93	6.04	0.69	2.15	0.223	2.56	1.13	0.212
MD2	15.39	0.89	5.77	0.73	2.17	0.210	2.58	1.11	0.168
LD1	8.01	0.83	10.35	0.34	2.34	0.234	2.45	1.15	0.507
LD2	10.60	0.86	8.07	0.51	2.57	0.208	2.49	1.12	0.240

373 HD specimens show the highest Str.Th value, 1.8 mm, which decreases
 374 to 0.7 mm for MD and about 0.4 mm for LD specimens. Regarding strut
 375 number (Str.N), lower differences between foam grades have been found: a
 376 27% difference between HD and MD, while only a 2% difference between
 377 MD and LD. The degree of anisotropy values reveal the existence of a pre-
 378 ferred orientation but of a low degree (DA_{MIL} \simeq 1.1). This is indicative of a
 379 transversely isotropic mechanical behavior, containing a direction of higher
 380 stiffness. On the other hand, connectivity density values (Conn.D) increase
 381 for decreasing foam density, and it is related to the amount of material in
 382 the region of analysis. Therefore, HD foams present a lower number of con-
 383 nections compared to MD and LD foams because denser foams have more
 384 material but less connected, see Fig. 2.

385

386 The morphometric results estimated for subvolumes of approximately
 387 5 mm height for the six specimens scanned by micro-CT are depicted in

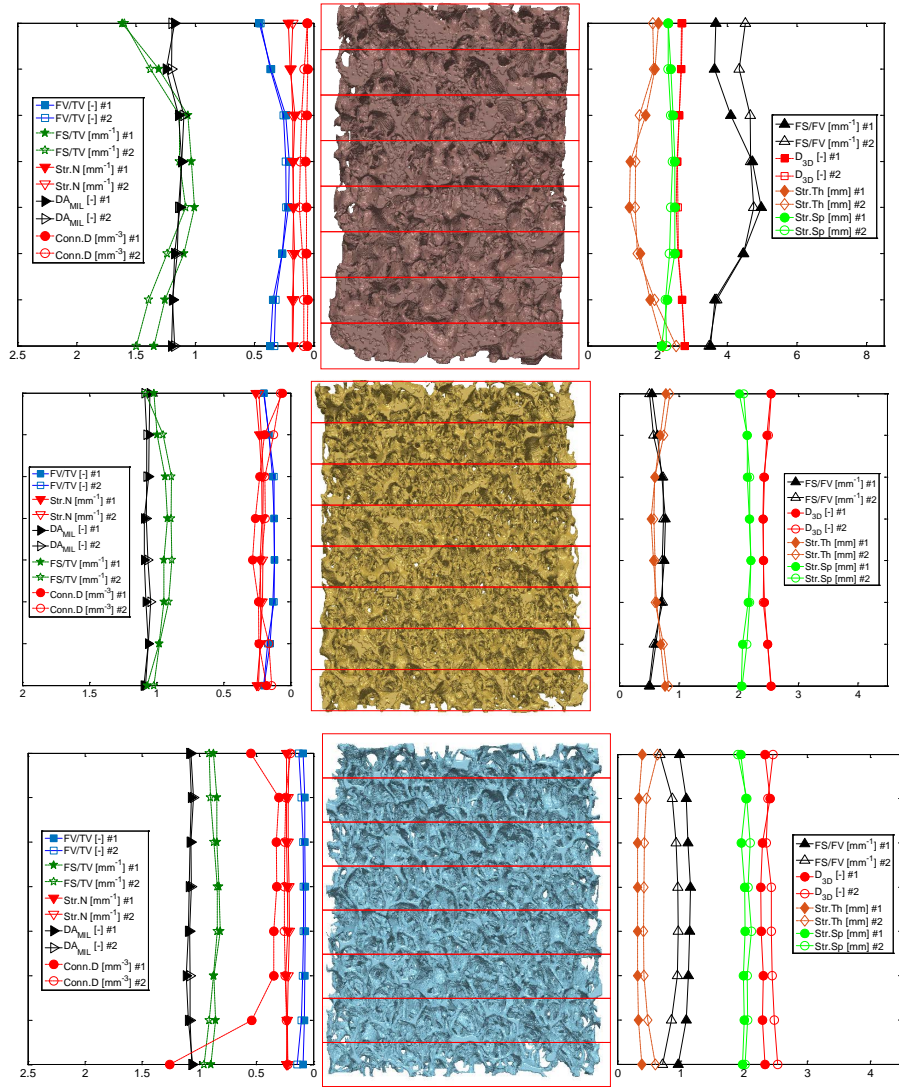


Figure 7: Results of the morphometric analysis along specimen thickness: HD (top), MD (middle) and LD (bottom) specimen thickness. For each subvolume, marked with red boxes, the parameters that define the microstructure were estimated after averaging in each subvolume.

388 Fig. 7. HD results show a greater concentration of material in the upper
389 (FV/TV = 45 %) and bottom surfaces (FV/TV = 34 %), while in the central
390 volume presents a lower volume fraction (FV/TV = 20 %). The relationship
391 between the surface area and the total volume (FS/TV) shows the same
392 trend with the lowest values in the mid-region of the sample ($1-1.2 \text{ mm}^{-1}$)
393 with increasing values at the surfaces ($1.3-1.6 \text{ mm}^{-1}$). The opposite trend is
394 found when considering the material (PUR) volume as a reference (FS/FV)
395 instead of the total volume: the highest values in the center (5 mm^{-1}) while
396 the lowest near the surfaces (3.5 mm^{-1}). The mean strut thickness (Str.Th)
397 variation is in line to the foam volume fraction, the greatest values on the
398 surfaces ($1.9-2.5 \text{ mm}$) and the lowest in the middle of the specimen ($1.2-1.4$
399 mm). In contrast, a mean void size of between 2.1 and 2.3 mm near the
400 surfaces increases up to 2.5 mm in the center. Other parameters, such as
401 the anisotropy degree, Str.N, D_{3D} and the connectivity density are quite
402 homogeneous within the foam, see Fig. 7.

403 As regards the MD specimens, FV/TV, FS/TV and FS/FV present an
404 analogous behavior to HD specimens, but with less variation. For exam-
405 ple, foam volume fraction changes from 20% to approximately 12%. DA_{MIL}
406 and fractal dimension show little variation along the sections: 3.8% for the
407 anisotropy, and about 5% for the fractal dimension. The mean strut thick-
408 ness increases from 0.55 mm in the central section to 0.8 mm in the external
409 sections. On the contrary, the mean void dimension takes a 2.2 mm value
410 in the center while around 2.05 mm at the surfaces. Regarding connectivity
411 density, the values estimated are higher than for HD specimens, which in-
412 dicate that more connections per unit volume are found for MD specimens.

413

414 The morphometry of the LD foams, resembling osteoporotic cancellous
 415 bone, is more homogeneous in terms of FV/TV, FS/TV, and FS/FV values,
 416 compared to the other foam grades, see Fig. 7. For example, volume fraction
 417 changes from 7-8.8 % to 9-12.8% near the surfaces. Again, parameters like
 418 Str.N, DA_{MIL} and D_{3D} show little variation in the section analysis. The con-
 419 nectivity density values are greater than for MD and HD foams, and present
 420 intra-specimen variation because higher values are found for specimen LD1,
 421 the one of lower FV/TV. For the specimen LD1, Str.Th varies from 0.31 mm
 422 in the central sections to 0.39 in the external ones, while for LD2, greater
 423 values are found: 0.41 mm and 0.63 mm, respectively. In this low apparent
 424 density foams, the mean void dimension is more constant along the sections
 425 than for MD and HD specimens, see Fig. 7.

426 *3.3. Relationships between microstructural parameters defining cancellous*
 427 *bone specimens and experimental compression tests*

428 We have calculated linear relationships between the morphometry (FV/TV,
 429 FS/TV, FS/FV, Str.Th, Str.Sp, Str.N, D_{3D}, DA_{MIL} and Conn.D) and me-
 430 chanical response (E_{app} , σ_y , σ_f , ε_y and ε_f). The correlation coefficients (R^2)
 431 of the morpho-mechano linear regressions are summarized in Table 5. Some
 432 morphometric parameters show a high degree of correlation to the mechan-
 433 ical response (E_{app} , σ_y , σ_f and ε_y), such as FV/TV, FS/TV, Str.Th, Str.N
 434 and D_{3D}. Other parameters (DA_{MIL}, FS/FV and Conn.D) present a lower
 435 but significant degree of correlation, while mean void dimension (Str.Sp)
 436 shows no correlation to any of the mechanical variables. In addition, frac-
 437 ture strain (ε_f) does not correlate to morphometry. This lack of correlation
 438 is also found in cancellous bone morpho-mechano dependencies.

Table 5: Correlation coefficients (R^2) of linear regression between morphometry and mechanical response parameters. Values of R^2 greater than 0.9 are typed in bold.

	σ_y	ε_y	σ_f	ε_f	E_{app}
FV/TV	0.989	0.963	0.990	0.496	0.969
FS/TV	0.924	0.836	0.927	0.431	0.953
FS/FV	0.786	0.771	0.779	0.402	0.727
Str.Th	0.985	0.975	0.986	0.531	0.977
Str.Sp	0.004	0.002	0.003	0.051	0.001
Str.N	0.850	0.925	0.843	0.690	0.864
D _{3D}	0.964	0.949	0.959	0.510	0.927
DA _{MIL}	0.535	0.610	0.513	0.697	0.549
Conn.D	0.595	0.634	0.586	0.417	0.549

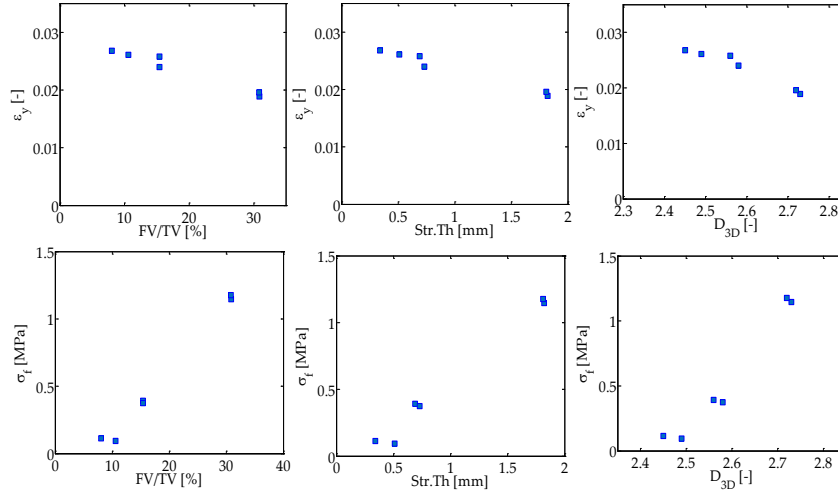


Figure 8: Some of the most significant relationships between morphometric parameters and yield strain and failure stress.

439

440 Fig. 8 shows some of the linear regressions with high R^2 between mor-
 441 phometry and ultimate stress. These results evidence in a quantitative way

442 the fact that microstructure controls the mechanical response of open cell
443 foams of different densities. Other authors have investigated relationships
444 between morphometry and mechanical properties for this type of structures
445 [1]. Some of the reported relationships are in line with our observations, like
446 is the case of FV/TV, Tb.N or a weak correlation of Conn.D with modulus
447 or strength. Other parameters that showed correlation in our results did not
448 correlate to mechanical parameters in [1]. On the other hand, the morpho-
449 metric parameters that explain a variation in the mechanical properties of
450 our foam specimens match the ones of cancellous bone [20, 29, 33]. For ex-
451 ample, volume fraction, surface area to volume ratio, mean strut thickness
452 and fractal dimension presented a significant correlation to the apparent
453 modulus and the failure stresses [20, 29, 33]. Moreover, a lack of correlation
454 between microstructure and the ultimate strain has been also found for can-
455 cellous bone [20, 29].

456

457 *3.4. Finite element modeling results*

458 *3.4.1. Elastic modulus and failure properties estimation through FEM and* 459 *testing*

460 Table 6 shows the tissue Young's modulus (E_i) estimated for each spec-
461 imen by inverse analysis using FEM and calibration with test results. The
462 values estimated for the elastic modulus present differences according to the
463 foam grade. A mean elastic modulus of 3 GPa was estimated for the ma-
464 terial in high density foams, 2.7 GPa for the medium density foams and 1
465 GPa for the low density foams. This could be expected because in the man-
466 ufacturer's catalog it is said that the material is a composite foam made of
467 urethanes, epoxies and structural fillers, and the material composition may

468 be different for each foam grade.

Table 6: Young's modulus (E_i), yield (ε_y) and fracture strains (ε_f) calculated for each specimen using finite elements after calibration with the experimental force-displacement curve.

	E_i [GPa]	ε_y [-]	ε_f [-]
HD1	3.290	0.050	0.070
HD2	2.809	0.040	0.058
MD1	2.119	0.03	0.070
MD2	3.358	0.03	0.070
LD1	1.543	0.0225	0.048
LD2	0.579	0.04	0.0775

469 The results of the back calculation of yield and failure strains for each
470 specimen using experiments and finite element models are summarized in
471 Table 6. The failure strain values obtained are quite homogeneous for each
472 density grade and also between MD and LD groups. HD foams present a
473 mean yield strain of 0.045, 0.03 for MD and 0.031 for LD. The slightly higher
474 value reported for HD specimens may be related to its different composition,
475 as it may contain more structural fillers. On the other hand, fracture strains
476 were also considerably homogeneous between samples and density grades,
477 as shown in Table 6. A mean ultimate strain of 0.064 was estimated for
478 the HD group, 0.07 for MD and 0.063 for LD specimens. Therefore, despite
479 different density and microstructure, tissue yield and ultimate strains are
480 relatively constant for the 6 samples analyzed.

481

482 The numerical models reproduce the elastic response and predict the fail-
483 ure load, see Fig. 9. However, a slight load overestimation is observed prior

484 to the ultimate point. Nevertheless, it is shown with the numerical mod-
 485 els that the equivalent strain governs failure of the foamed structures and
 486 it describes with high accuracy the compression response and the fracture
 487 pattern observed experimentally.

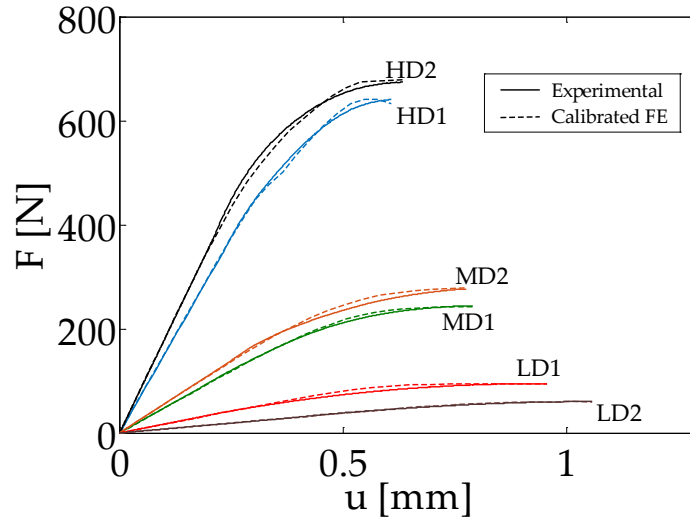


Figure 9: Comparison of the force-displacement curve obtained from experiments and simulations.

488 3.5. Fracture patterns characterization using finite elements and DIC

489 In Fig. 10, we compare the equivalent strain field obtained through the
 490 application of DIC to images taken during compression testing and the fi-
 491 nite element predictions. Failure appeared at localized zones and dominated
 492 by microarchitecture. DIC detects strain inhomogeneities and clearly local-
 493 izes failure at the apparent level, while FEM results are more localized and
 494 sometimes present more difficulties to visualize failure patterns. Half of the
 495 specimens (HD1, MD1 and LD1) were speckled, while the rest used their
 496 morphology to calculate the displacement field. The use of a speckle shows

497 little influence on the failure pattern detection. Therefore, microstructure
498 has enough pattern to perform the displacement correlation, see Fig. 10.
499

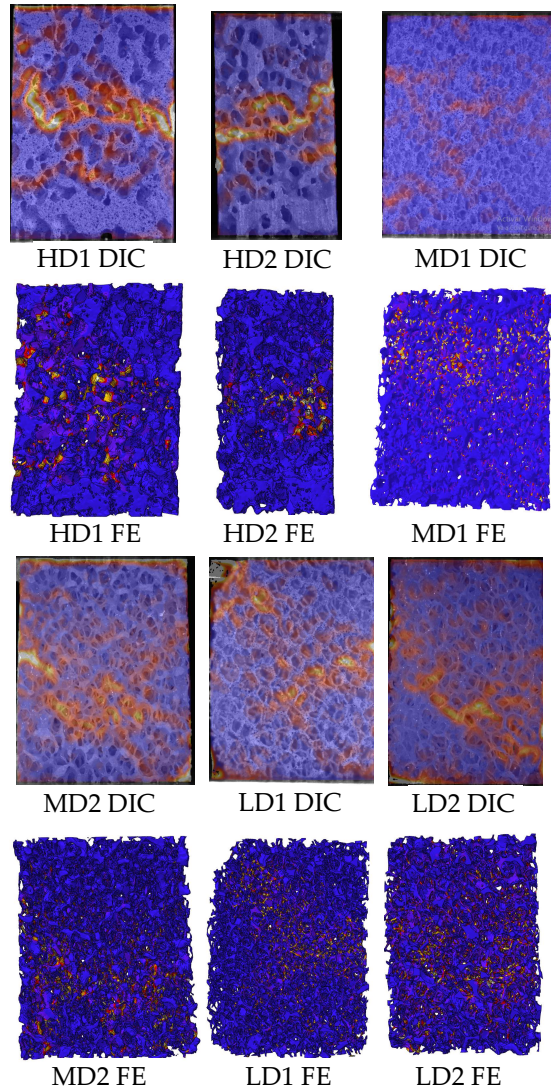


Figure 10: Representation of equivalent strain field using DIC and FE results. Cold colors represent low equivalent strains, while warm colors high equivalent strain values.

500 High density foams exhibit a failure pattern concentrated in the central
501 region of the specimens, with a match between DIC and numerical predic-
502 tions, even for the secondary fracture patterns, see Fig. 10. On the other
503 hand, medium density specimens present a main fracture in the central part
504 of the specimen and other secondary inclined failure patterns detected by
505 DIC and also predicted by the finite element models, Fig. 10. However,
506 some failed regions in the upper part of MD1 appear in the simulations and
507 the failure pattern is more difficult to distinguish in the models, see Fig. 10.
508 Nevertheless, similarities can be observed between DIC and FE simulations.
509 An inclined failure pattern is detected by DIC and also predicted by the
510 numerical model.

511

512 Specimen LD1 and LD2 present some inclined fracture planes. These are
513 maximum shear planes at 45° with respect to the applied compressive load.
514 It can be noted that volume fraction has an influence on the fracture shape.
515 In our results, the high density foams showed a flat central fracture area,
516 while medium and low density specimens presented more inclined fracture
517 patterns due to planes of maximum shear.

518

519 The application of DIC to detect compression failure patterns in open cell
520 polyurethane foams has allowed the validation of our FE models to predict
521 failure response. Our model accurately predicts the failed regions observed
522 experimentally and supports the idea that failure in foams is controlled by
523 strains.

524 **4. Limitations of the study**

525 We acknowledge some limitations of the study. First, specimen prepara-
526 tion involves machining, which induces a disruption of the microstructural
527 lattice and influences the mechanical characterization. Therefore, an anal-
528 ysis about machining influence on mechanical performance should be ad-
529 dressed in order to elucidate whether the variability observed in the elastic
530 and failure properties is due to specimen-specific variations or it is result
531 of the specimen preparation. On the other hand, the approach we used to
532 estimate elastic and failure properties combining FEM and experiments is
533 conditioned by the latter so, if any artifact influenced the measurements
534 it also did into the back calculated elastic and failure properties. As re-
535 gards the relationships between microstructure and mechanical behavior,
536 our study involves only 6 specimens (2 of each density) so the regressions
537 obtained should be confirmed in larger datasets.

538 **5. Conclusions**

539 In this work, we have characterized open cell polyurethane foams of
540 three different densities from morphometric and mechanical perspectives.
541 The morphometric characterization was performed on 6 specimens scanned
542 by micro-CT. The study of slices of 5 mm thickness revealed different mor-
543 phometry evolution across the sample thickness according to density. The
544 inhomogeneities were higher for the high density (HD) foams, which con-
545 centrate more material near the top and bottom surfaces. Morphometry
546 varied according to that material distribution: for example, a lower volume
547 fraction, foam surface to volume ratio or mean trabecular thickness were
548 measured in the central region of HD samples. For some parameters, the in-

549 homogeneities were high, like volume fraction, which varied from 40 % near
550 the surfaces to about 20 % in the middle. Other parameters, like fractal
551 dimension or connectivity density presented little changes within HD grade.
552 MD foam grade is more homogeneous than HD, but presents similar trends
553 about morphometry variation within a specimen, though of a much lower
554 degree. In case of LD foam grade, the specimens are the most homogeneous.
555 These analyses may be used to choose between cancellous bone surrogates
556 according to the homogeneity needed for the experiments.

557

558 Moreover, the morphometry results of the whole specimens were used
559 to analyze morpho-mechano dependencies through the calculation of linear
560 regressions. Some parameters, like FV/TV, FS/FV, Str.Th, Str.N and D
561 showed correlation to the elastic modulus, yield and ultimate stresses and
562 yield strain measured in the experiments.

563

564 As regards the mechanical characterization, an orthotropic material be-
565 havior, close to transversely isotropic was found. In case of HD specimens,
566 the lower material disposition in the central part makes the block thick-
567 ness direction less stiffer than the transversal ones. In case of MD and LD
568 specimens, the axial direction is stiffer than the transversal ones, but at a
569 lower degree. A linear relationship between strength (yield stress and ulti-
570 mate stress) and stiffness was captured from the experiments, so the stiffer
571 a sample is, the higher the strength at failure. Yield strains (ε_y) were rel-
572 atively constant (HD foams had a mean value of 1.5 %, MD 1.6 % and LD
573 1.8 %) and more scatter was found for the ultimate strain (ε_f) (mean values
574 of 1.9 %, 2.1 % and 2.5 % for HD, MD and LD specimens).

575

576 As regards the numerical characterization of the compression failure be-
577 havior, a mean tissue Young's modulus of 3 GPa was estimated for HD, 2.7
578 GPa for MD and 1 GPa for the LD foams. The model detected the failure
579 experimental pattern with high accuracy, which matched DIC failure predic-
580 tion results. The use of a speckle had no significant influence on DIC failure
581 pattern detection and microstructure has enough pattern to perform the
582 displacement correlation. Yield and ultimate strains back-calculated com-
583 bining experiments and finite element modeling led to values approximately
584 constant over the density grades analyzed. HD specimens presented a higher
585 yield strain (0.045) than MD and LD specimens (0.03), which may be related
586 to the inclusion of more structural fillers in the high density group. The ul-
587 timate strain properties estimated showed little variation between samples,
588 with a mean value close to 0.07.

589

590 The information provided in this work is relevant for a more accurate me-
591 chanical characterization needed in cement augmentation analyses or ortho-
592 pedic implants assessment. The thorough morphometric analysis reported
593 here provides information about its local variation and its relationship to
594 mechanical behavior.

595 **CRedit authorship contribution statement**

596 **Ricardo Belda:** Conceptualization, Methodology, Software, Validat-
597 ion, Investigation, Data Curation, Writing - Original, Writing - Review &
598 Editing, Visualization. **Marta Palomar:** Software, Formal analysis, Data
599 Curation, Investigation, Validation, Writing - Original, Writing - Review
600 & Editing, Visualization. **Miguel Marco:** Methodology, Formal analy-

601 sis, Investigation, Writing - Original, Writing - Review & Editing. **Ana**
602 **Vercher-Martínez:** Supervision, Project administration, Funding acqui-
603 sition, Writing - Original, Writing - Review & Editing. **Eugenio Giner:**
604 Conceptualization, Validation, Resources, Supervision, Project administra-
605 tion, Funding acquisition, Writing - Original, Writing - Review & Editing,
606 Visualization.

607 **Declaration of competing interest**

608 The authors declare no competing interests.

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