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Additional Information

1 **An efficient protocol for accurate and massive shoreline definition** 2 **from mid-resolution satellite imagery**

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11

12 **Abstract**

13 Satellite images may constitute a useful source of information for coastal monitoring as long as it is
14 possible to manage them in an efficient way and to derive precise indicators of the state of the beaches. In
15 the present work, SHOREX system is employed for managing and processing Landsat 8 and Sentinel 2
16 images to automatically define the instantaneous shoreline position at sub-pixel level. Between the years
17 2013 and 2017, 91 satellite-derived shorelines (SDS) were assessed by comparing with high-resolution
18 shorelines obtained simultaneously through video-monitoring. The analysis allowed identifying the
19 combination of parameters to perform the extraction algorithm with the highest accuracy. Furthermore, an
20 efficient self-contained workflow is proposed, more robust and independent from inaccuracies in the
21 approximate input line and from multiple morphological and oceanographic issues that may condition the
22 radiometric response near the shore. An iterative procedure ensures firstly a suitable kernel of analysis
23 representing the water-land interface to get, afterward, the definition of the sub-pixel shoreline with high
24 accuracy (below 3 m RMSE).

25 **Keywords:** sub-pixel shoreline mapping, coastal monitoring, beach changes, Landsat 8, Sentinel 2,
26 video-monitoring, SHOREX.

27

28 **1. Introduction**

29 Beaches are spaces of great environmental and recreational importance for coastal societies. The
30 characterization of their state and morphological changes, such as shoreline monitoring, is of special
31 interest for the subsequent management of the coast (Mills et al., 2005, Esteves et al., 2009, Addo et al.,
32 2011, Alharbi et al., 2017). In order to meet monitoring and management needs, data collection must offer
33 enough accuracy and frequency. Among the methods traditionally used, photointerpretation is limited to
34 provide data at specific times (Ford, 2013; Jones et al., 2009; Morton et al., 2004; Pajak & Leatherman,
35 2002). Similarly, more modern and continuous video-based techniques are limited to a local scale
36 (Aarninkhof et al., 2003; Davidson et al., 2007; Taborda & Silva, 2012; Brignone et al., 2012; Simarro et
37 al., 2017; Sánchez-García et al., 2017), while DGPS requires arduous in-situ data acquisition (Pardo-
38 Pascual et al., 2005; Psuty & Silveira, 2011).

39 Alternatively, satellite images can provide information of the entire planet with high temporal frequency.
40 In 2008, NASA released the images of the Landsat platform (16 days of revisit time) free of charge.
41 Similarly, the European Spatial Agency (ESA) is providing the Sentinel-2 satellite images (5 to 10 days of
42 revisit time). Nowadays, considering both platforms together, there is a global average revisit interval of
43 2.9 days (Li & Roy, 2017). Thus, there is a new scenario where the shoreline position may potentially be
44 defined in tens of different dates throughout the year along broad coastal segments. This type of data may
45 make it possible to characterize short-term coastal processes such as the effect of storms and their
46 subsequent recovery over time, as well as the impact of beach nourishments or coastal protection works
47 (Cabezas-Rabadán et al., 2018; 2019a, b). However, in order to take advantage of these images it is
48 necessary to: (i) define the shoreline position with enough accuracy for recognizing subtle changes, and
49 (ii) have a sufficiently efficient and automated system to define the shorelines of all the images acquired
50 by the satellites in a low time consumption process.

51 Near and medium infrared bands have been commonly used to detect the interface between water and land
52 (Frazier & Page, 2000; Ryu et al., 2002; Yamano et al., 2006; Maiti & Bhattacharya, 2009). Similarly,
53 alternative strategies have been proposed such as combining bands for obtaining indexes (Ouma &

54 Tateishi, 2006, Choung & Jo, 2015). Among these indexes, the first and most used is the Normalized
55 Difference Water Index (NDWI) that combines the green band with the near-infrared band using the zero
56 value as a threshold for the difference between the dry sand and wet ocean surface (McFeeters, 1996). Xu
57 (2018) proposed the Modified Normalized Difference Water Index (MNDWI), which replaces the near
58 infrared band with the SWIR 1. Subsequently, new proposals have appeared such as the Automated Water
59 Extraction Index (AWEI), which combines different bands of the visible and near and medium infrared but
60 applying different weights to each band (Feyisa et al., 2014). These automatic water classifications have
61 always encountered problems according to the index employed, as well as to the specific threshold chosen
62 that varies with the different scenes and places (Ji et al., 2009). On the other hand, these indexes often
63 confuse water zones with low albedo covers (Feyisa et al., 2014). Therefore, there is no clear consensus on
64 which index works best as most authors focus on the correct performance of the index in their area of
65 study. Rokni et al. (2014) evaluated multiple indexes to estimate surface changes in Lake Urmia (Iran) and
66 found that the best solution came from a new approach based on the main components of NDWI.
67 Hagenaars et al. (2018) used the NDWI to automate the shoreline definition, although in this case,
68 grouping the water spots into a single large unit associated with the sea and separating it from the land.
69 More recently, Viaña-Borja & Ortega-Sánchez (2019) proposed new water indexes to map the shoreline
70 position using surface reflectance rather than top-of-atmosphere reflectance from blue and SWIR 2
71 Landsat bands, whereas Vos et al. (2019b) integrated a supervised image classification procedure based on
72 a particular neural network classifier.

73 In the attempt to automatically define the shoreline from mid-resolution satellite images using the raw
74 infrared bands, the strategies appear divided in those working on a pixel scale, and those trying to improve
75 the precision beyond the pixel size (sub-pixel or super-resolution). In the first case, the location of the
76 shoreline is determined by an optimal threshold (Aedla et al., 2015; Quang Tuan et al., 2017, Song et al.,
77 2019), as well as by the selection of optimal bands and a subsequent classification (Li & Damen, 2010;
78 García-Rubio et al., 2015, Vos et al., 2019b). However, detailed coastal analyses would require the
79 definition of the shoreline at sub-pixel level, improving the excessively coarse spatial resolution of the
80 input satellite images. A few works have proposed algorithms in order to overcome that restriction (Foody
81 et al., 2005; Zhang & Chen, 2010; Li & Gong, 2016, Li et al., 2015; Liu et al., 2017a). Nevertheless, most

82 of these solutions focus on the algorithm basics, but without proposing any specific method to ensure a
83 sufficiently robust georeferencing. This is a key issue considering that NASA and ESA images show an
84 excessive uncertainty in geolocation. Landsat 8 L1T products require an uncertainty lower than 12 m (Iron
85 et al., 2012) while with regard to the multi-temporal registration, the geolocation accuracy has been
86 previously established in 1.2 pixels, i.e. 24 m (Clerc, 2017). Hence, automatic co-registration methods
87 appear as necessary in order to ensure a minimum error. Almonacid-Caballer et al. (2017) proposed
88 employing the Local Upsampling Fourier Transform, LUFT algorithm (previously described by Guizar-
89 Sicairos et al., 2008 and Wang et al., 2011), as a useful tool for this purpose since it ensures an error below
90 1/10 of the pixel resolution.

91 The methodology initially proposed by Pardo-Pascual et al. (2012) and later improved by Almonacid-
92 Caballer (2014), includes both an automatic shoreline extraction algorithm and an automatic co-
93 registration system, both at sub-pixel level. This algorithm, which works on the near- and mid-infrared
94 spectral band, is a potentially usable methodological solution to automatically extract multiple shorelines
95 as Pardo-Pascual et al. (2018) assessed. For each image, the method follows three essential steps. First, the
96 approximate location of the shoreline at pixel level is defined based on threshold techniques. Second, sub-
97 pixel definition is determined automatically based on the location of maximum gradient points.. They are
98 obtained adjusting a polynomial function to the digital levels of a 7 x 7 kernel (neighborhood of analysis)
99 around each pixel of the approximate line and subsequently detecting the position where the Laplacian is
100 null. Lastly, a geometric correction is performed based on LUFT. Previous results on rigid coasts -
101 seawalls- showed accuracies close to 5 m RMSE (Pardo-Pascual et al., 2012), while on microtidal sandy
102 beaches the values were somewhat higher: 6.6 m for Landsat 8 (L8) and Sentinel 2 (S2) images and
103 slightly worse for Landsat 7 (Pardo-Pascual et al., 2018), always experiencing a clear bias towards the sea.
104 This bias was previously detected by comparing the shorelines obtained from Landsat 5 and 7 images
105 against others derived from more precise systems such as DGPS and LiDAR (Almonacid-Caballer et al.,
106 2016). Pardo-Pascual et al. (2018) and Hagenaaars et al. (2018) also found that the accuracy may be
107 strongly influenced by wave conditions as the foam and the wave period.

108 It was thought that the persistence of this bias could be minimized by working with smaller kernels.
109 However, at once, the inaccuracy of the initial approximate shoreline defined by threshold techniques (first
110 step) required sufficiently large kernels to ensure that the real shoreline was contained in the kernels
111 analyzed during the sub-pixel extraction (second step). Moreover, the use of threshold techniques impeded
112 a complete automation of the process. Considering the variability of elements existing in the marine area,
113 it is very difficult to find a single proper threshold for every image as Liu et al. (2011) and Almonacid-
114 Caballer (2014) previously stated.

115 Although the methodological basis described in Pardo-Pascual et al. (2018) is a good starting point, it
116 cannot be considered as an efficient solution for working with large sets of satellite images. For that
117 purpose, Palomar-Vázquez et al. (2018a, b) proposed to replace the pixel level lines defined by
118 thresholding techniques by a unique approximate line for the whole set of images. The approximate line
119 can be then obtained either from a pre-existing cartographic source or from a coarse photo-interpretation
120 on an orthophoto close in time to the studied period. It increases the efficiency of the overall process by
121 excluding the single step that required user intervention. This modification allows designing an automatic
122 shoreline extraction system, which we have called SHOREX (Shoreline Extraction), able to supply
123 updated shorelines from the images systematically acquired by the satellites L8 and S2.

124 The accuracy of the final sub-pixel shoreline is related both to the size of the kernel of analysis and to the
125 degree of the adjusted polynomial (second step). Although remaining uncertain, the approximate shoreline
126 obtained according to the new workflow is expected to be more robust and may allow a reduction of the
127 kernel of analysis. This modification, in turn, would allow changing the degree of the adjusted polynomial,
128 potentially offering higher accuracies when determining the shoreline position. At this point, it seems also
129 necessary to re-evaluate the data sources to be used as input for the SHOREX process. The performance of
130 the Infrared bands (NIR, SWIR1, SWIR2) must obviously be tested but also the NDWI index proposed in
131 the literature.

132 The use of a single approximate line to start the process presents certain challenges to be solved. If this
133 line was excessively displaced with respect to the real shoreline – either because of a wrong delineation or
134 because there have been significant changes between the acquisition dates of the approximate line and the

135 satellite image – when using a small analysis kernel the system may not find the real land/water limit.
136 Therefore, it would be very useful to analyze the effect that an inadequate displacement of the approximate
137 line can have on the system, as well as to propose possible solutions to provide methodological robustness.
138 This paper aims to present SHOREX as an automatic shoreline extraction system from mid-resolution
139 satellite imagery. The optimum combination of parameters of the extraction algorithm (kernel size and
140 polynomial degree) for achieving the highest accuracy is identified on the microtidal beach of Cala Millor,
141 as well as an assessment of the results when using as input different bands or indexes. It is also intended to
142 evaluate whether the position of the approximated line affects the precision of the final sub-pixel shoreline.
143 Once the optimum parameters and input data have been determined, the aim is to define an operative and
144 self-reliant shoreline extraction protocol from L8 and S2 images. The method is expected to release the
145 demands on the initial solution and be more robust against external factors.

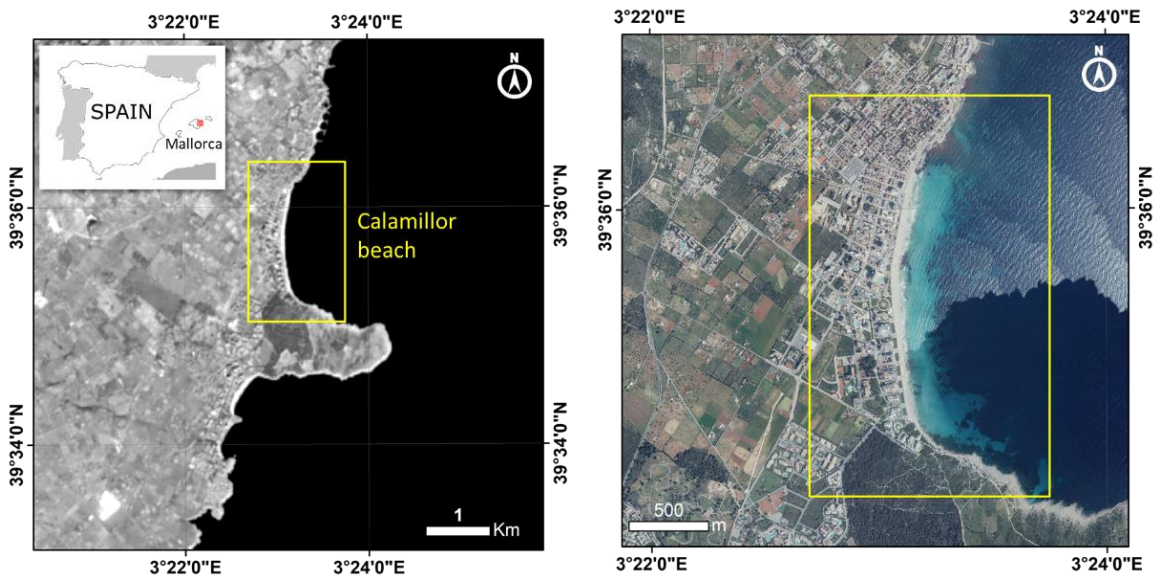
146

147 **2. Study area**

148 Cala Millor is a semi-embayed microtidal sandy beach, 1.7 km in length, located on the northeastern coast
149 of Mallorca (Balearic Islands, Western Mediterranean –see Fig. 1). Well-sorted medium to coarse biogenic
150 carbonate sand characterizes the beach bottom from shoreline to 6 m in depth (Gómez-Pujol et al., 2007).
151 Seawards from this point, the endemic *Posidonia oceanica* seagrass meadow carpets the bottom (Infantes
152 et al., 2012). This is an intermediate beach with a highly dynamic configuration of sinuous-parallel bars
153 and troughs, presenting intense variation in the bathymetry and shoreline position related to sandbar
154 movement (Álvarez-Ellacuría et al., 2011; Gómez-Pujol et al., 2011).
155 Tides are almost negligible with a spring tidal range below 0.25 m, although changes in atmospheric
156 pressure and wind stress can account for a considerable portion of sea level fluctuations (Gomis et al.,
157 2012). The Balearic Sea, the most western basin of the Mediterranean Sea, is a semi-enclosed and calm sea
158 with a relatively moderate wave condition. The beach is open to the east and, due to the embayment
159 configuration; it is well exposed to waves from the NNE to the SE (Enríquez et al., 2017). Significant
160 wave height (H_s) at deep waters is usually below 0.9 with the peak period (T_p) between 4 and 7 s.

161 However, frequent storms account for 2% of the time and increase H_s up to 5 m with T_p higher than 10 s,
162 with a return period of 1.5 years (Tintoré et al., 2009).

163 This beach is an important tourist resort of the eastern coast of Mallorca with more than 60000 visitors
164 during the summer period and a long history of sand nourishment and coastal management approaches
165 (Tintoré et al., 2009). Since November 2010 the Balearic Islands Coastal Observing and Forecasting
166 System (SOCIB) has been monitoring Cala Millor Beach by means of coastal video-monitoring and
167 seasonal beach profiling and an annual bathymetry and sediment sampling (Tintoré et al., 2013).

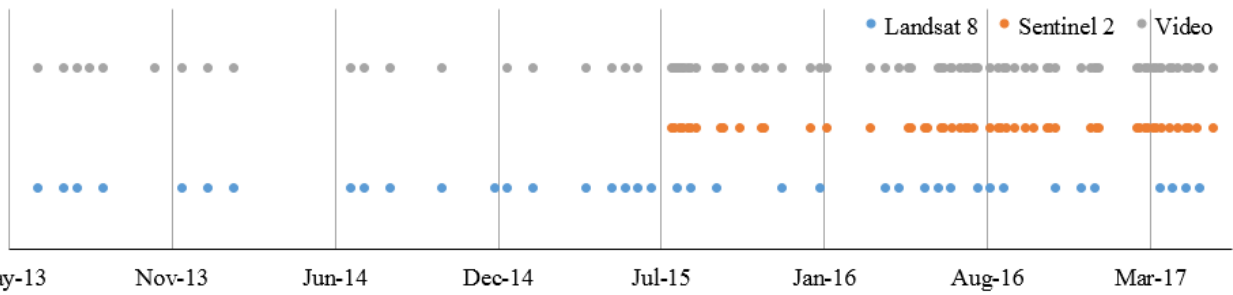


168
169 Fig.1. Location map of the study area in the Balearic Islands (Western Mediterranean).

170

171 3. Materials and methods

172 The whole set of satellite-derived shorelines (SDS) resulting of applying SHOREX through the different
173 combination of parameters (kernel size, polynomial degree and input band) were assessed by comparing
174 them against more accurate shorelines. The latter ones were obtained from images captured by the
175 SIRENA video-monitoring system (Nieto et al., 2010) and being later, processed and converted to
176 georectified images by applying C-Pro (Sánchez-García et al., 2017). The assessment includes shoreline
177 data of 91 instants registered using both satellite and video sources (from 12 June 2013 to 23 May 2017)
178 over almost 4 years (Fig. 2), and defined as the time-varying interface between water and dry sand (Boak
179 and Turner, 2005).



180

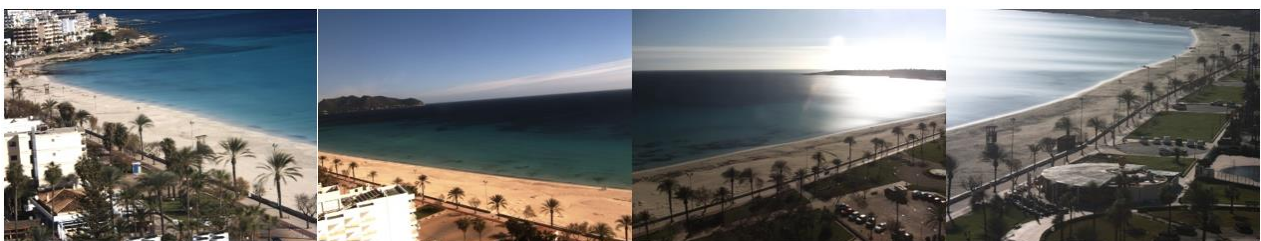
181 Fig. 2. Temporal distribution of the 91 satellite images (L8 and S2) and the simultaneous 85 video-camera
 182 images used for the assessment. The discrepancy in the number of data between satellite and video is
 183 because there are 6 days with images of both satellites.

184

185 **3.1. Reference data from video-monitoring**

186 The shore-based video system (SIRENA), part of the SOCIB program, is equipped with some CCD
 187 cameras continuously covering and monitoring the whole view of Cala Millor Beach from an elevation of
 188 46.5 m. Fig. 3 presents the field of view covered by the four cameras used for the study. The remote
 189 station stores hourly images with a resolution of 1280 x 960 pixels, with a frequency of 7-5 fps during a
 190 roughly 10-minute span. This way, mean images (widely known as timex images –Holman
 191 & Stanley, 2007– and used for a long-term monitoring of shoreline change –Ruiz de Alegria-Arzaburu
 192 & Masselink, 2010) are generated showing the patterns of high-frequency variability. In this work, 85
 193 timex at 10 am (UTC time) for each camera –closest time to the satellite passage– are selected as reference
 194 data to assess the SDS.

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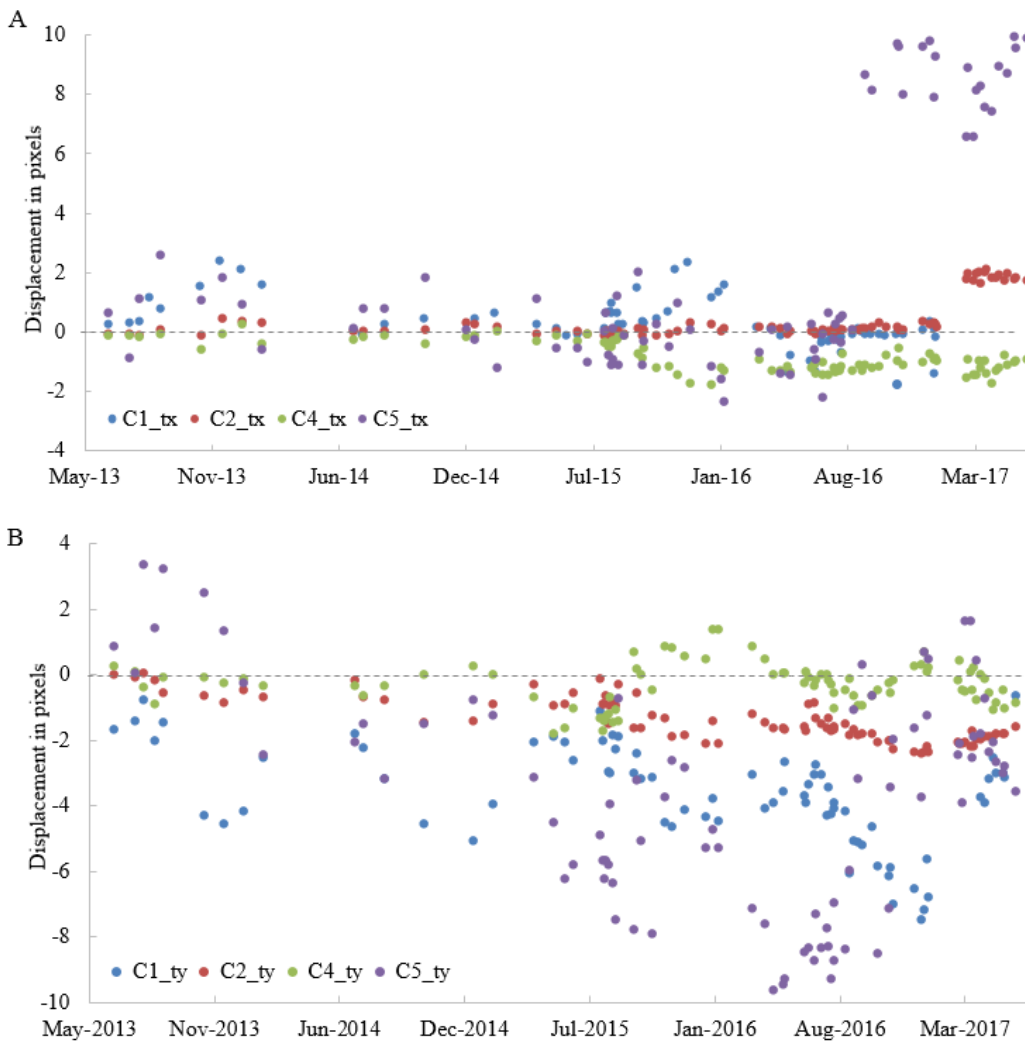
196 Fig. 3. Timex images of 7/02/2014 at 10 am UTM time and acquired from left to right by C1, C2, C4 and
 197 C5 cameras.

198 Before georectifying the video-camera images, other pre-processing tasks are required to ensure their
 199 quality such as distortion corrections and the registering between images due to obvious camera

200 displacements over time. Ten ground control points (GCPs) for each photographic shot were measured by
201 the SOCIB to have control of the video-monitoring system.

202 Firstly, the correction of the distortions inherent to each camera device is overcome by using the Camera
203 Calibration Toolbox (Bouguet et al., 2015), which allows the calibration parameters to undistort the
204 images that mainly suffered from radial and tangential distortion. The image coordinates of the GCPs also
205 had to be transformed since they were identified on the distorted image.

206 Secondly, in order to check the displacement between images over time, a set of stable and recognizable
207 points available in the two images are identified (buildings, windows, contours of distant mountains, etc.).
208 Then, the same points located at the control image are found in the rest of the images through a cross-
209 correlation search process. The homologous points are used to derive the affine transformation parameters
210 through least squares. However, the main part of the correction corresponds to a translation in both x and
211 y-axis of the image space as figures 4A and 4B evidence. The standard deviation estimator of the least
212 square adjustment is known for each image and, in average for the whole set of photos and the four
213 cameras, is 0.56 pixels and 0.65 pixels along the x and y-axis respectively.



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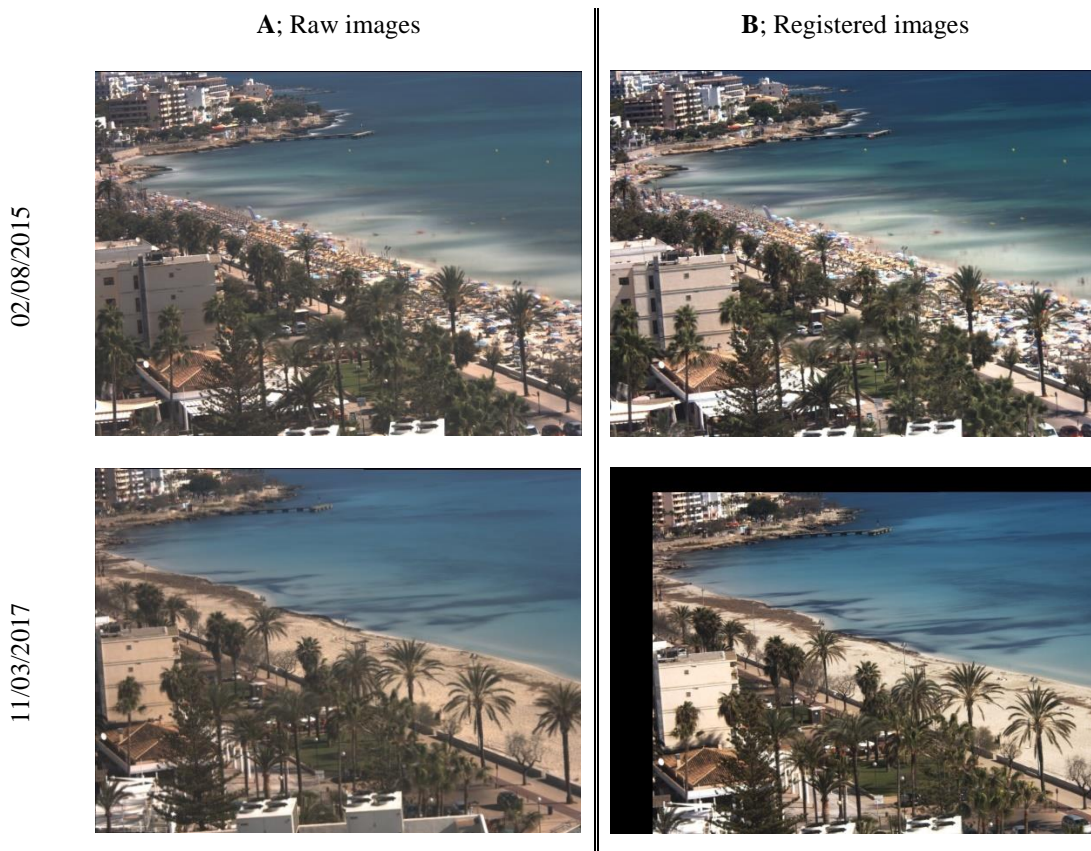
226

Fig. 4. Displacement occurred in the positioning of the four cameras (C1, C2, C4 and C5) during the study period along the x-axis in Fig. 4A, and along the y-axis in 4B. The graphic representation scale collects the most of the results but note that there are extreme values going out of it.

Most of the measured displacements over the period range in the x-axis within ± 3 pixels for the four cameras as Fig. 4A shows. However, C1 reaches an extreme displacement in the x-axis up to -112.29 pixels in February 2017, and C5 moves in the opposite direction up to 11.82 pixels from September 2016 (see that both data sets disappear from the graph area). Some of these changes have a progressive character as exemplifies C1 but others are sudden such as those occurred in C2 between January and February 2017 (outside the graphic representation scale).

Regarding the general movement occurred in the y-axis, Fig. 4B describes clear differences regarding the stability of the four cameras. Again, the ones located at the ends are the most unstable. It is exemplified by

227 C1 as it reached up to -76 pixels of displacement associated with the episode of February 2017 and until it
 228 was settled in April 2017 (extreme errors that do not appear in Fig. 4B but are shown in Fig. 5). The
 229 overall correction values in y-axis indicate that cameras are clearly experiencing displacements over time.
 230 This pre-processing analysis justifies the georeferencing campaigns carried out by the SOCIB in order to
 231 calibrate the video-monitoring system and allowing its use despite the setbacks. However, in this work, we
 232 bet to overcome the problem of the camera displacements by registering every photo against a control
 233 image that we choose on 11/06/2014 –when the closest georeferencing campaign to the 4-year study
 234 period was done.



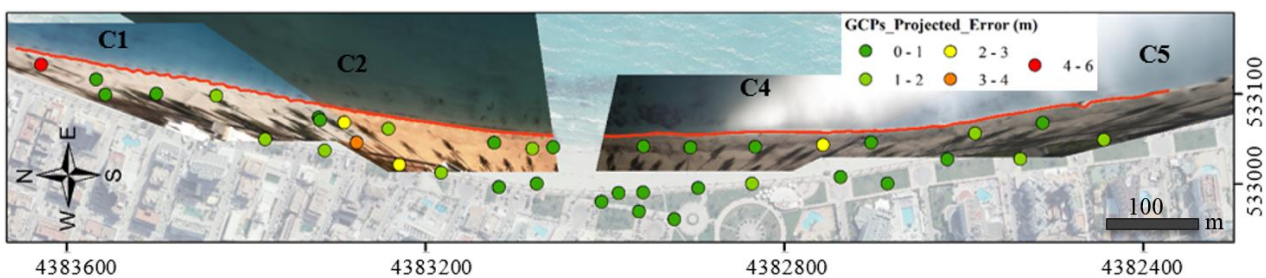
235 Fig. 5. Displacement occurred in C1 between two dates: top panels represent the images registered on
 236 02/08/2015, and bottom panels represent the images on 11/03/2017 (19 months later). Fig. 5A, on the left,
 237 shows the raw images stored by SIRENA. After registering them against the control image on 11/06/2014,
 238 the displacement is quantified in 0.6 and -1.95 pixels in the x and y-axis for the top-left image, and -111.12
 239 and -65.79 pixels in the x and y-axis for the bottom-left image. Fig. 5B, on the right, shows the images
 240 after the registration process (free of displacements), where a certain pixel for the whole set of images will
 241 correspond over time with the same terrain value.

242 Once the registration process is done, the GCPs, corresponding with non-fixed points identified for their
243 associated field campaign, can be manually identified only once in the control image –with expected errors
244 within the pixel level. Thus, the camera intrinsic and extrinsic parameters are determined in one go
245 (Sánchez-García et al., 2017) and the georectification for the whole set of images over the 4-year study is
246 carried out using C-Pro tool.

247 Note that the spatial resolution of the georectified image is a limitation to consider. Despite the proper
248 elevation of the camera above 40 m sea level, and with a focal length ranging for the four cameras between
249 5060 to 1332 pixels, the pixel resolution at 1 km would range 0.2 m to 0.7 m in the cross-shore footprint
250 component and 4.2 m to 15 m in the long-shore component. Large focal lengths lead to better resolutions
251 and the obtained values are in line with Holman & Stanley (2007).

252 The photos are projected above a sea level value obtained from the tide gauges closest to Cala Millor (Sa
253 Rapita, Pollença and Andratx –see ‘<http://www.socib.eu/?seccion=observingFacilities&facility=mooring>’).
254 Combining these three tide data gauges and for each particular date, the available sea level data was
255 averaged out the 10 minutes coincident with the register of the timex image. The accuracy reached for the
256 resection process was assessed by projecting 43 GCPs over its particular elevation value as Fig. 6 shows,
257 and getting an RMSE of 1.54 m (promising results similar to those obtained by Sánchez-García et al.,
258 2019b and Taborda & Silva, 2012).

259 To end the process, the shoreline is digitalized from the georectified timex images as that feature designing
260 the water-land edge between both interfaces (Fig. 6 exemplifies this procedure). The benefit of using timex
261 for shoreline detection is proved in several works (Aarninkhof et al., 2003; Álvarez-Ellacuría et al., 2011;
262 Osorio et al., 2012; Valentini et al., 2017). The resulting 85 video-derived shorelines will act as a reference
263 to assess the ones obtained from satellite imagery.

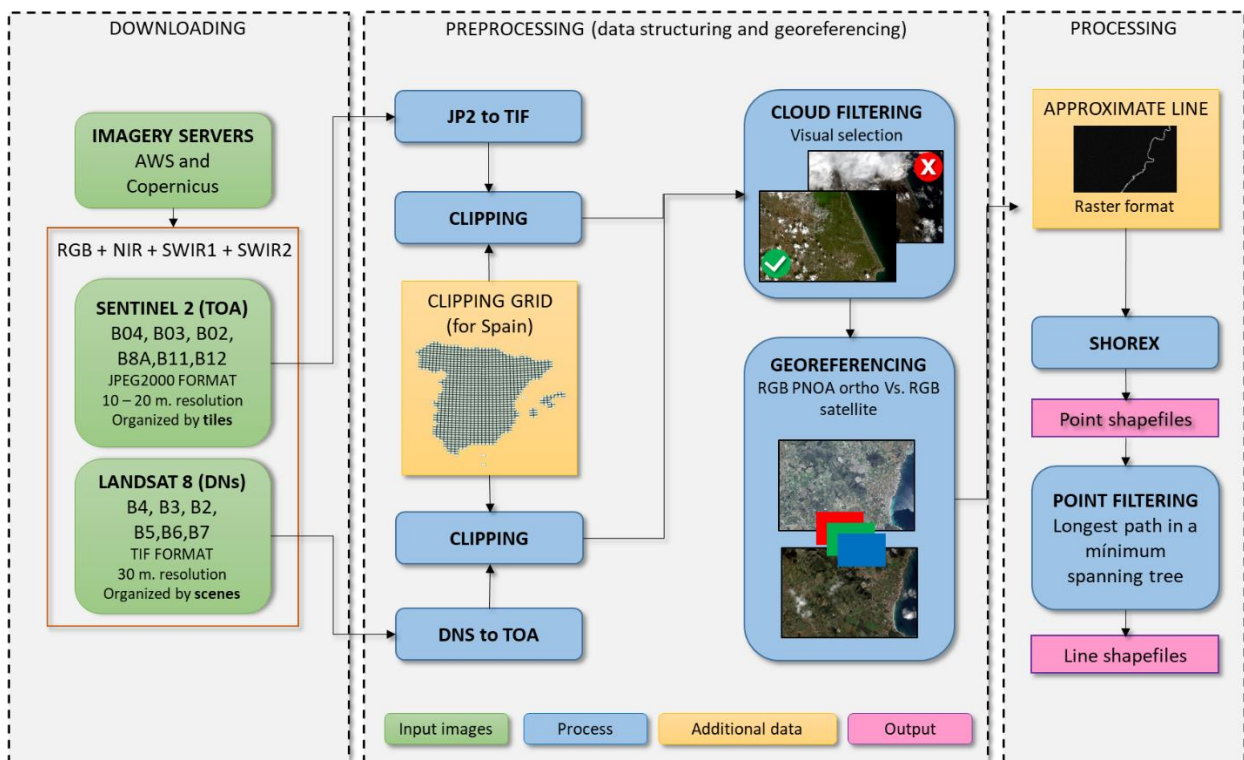


265 Fig. 6. Projection map with the georectified photos of 7/02/2014 (corresponding oblique photos of Fig. 3)
 266 for Cala Millor beach shown over an orthophoto taken from 2010 PNOA sources (Spanish National
 267 Program for Aerial Orthophoto). The map shows the digitalized shoreline (red line) and the projection
 268 error calculated on the GCPs. The projection is made above the sea level value as near in time with the
 269 photos as possible. Grid coordinates: GCS_ETRS89 UTM 31.

270

271 3.2. Shoreline definition from Landsat 8 and Sentinel 2 imagery

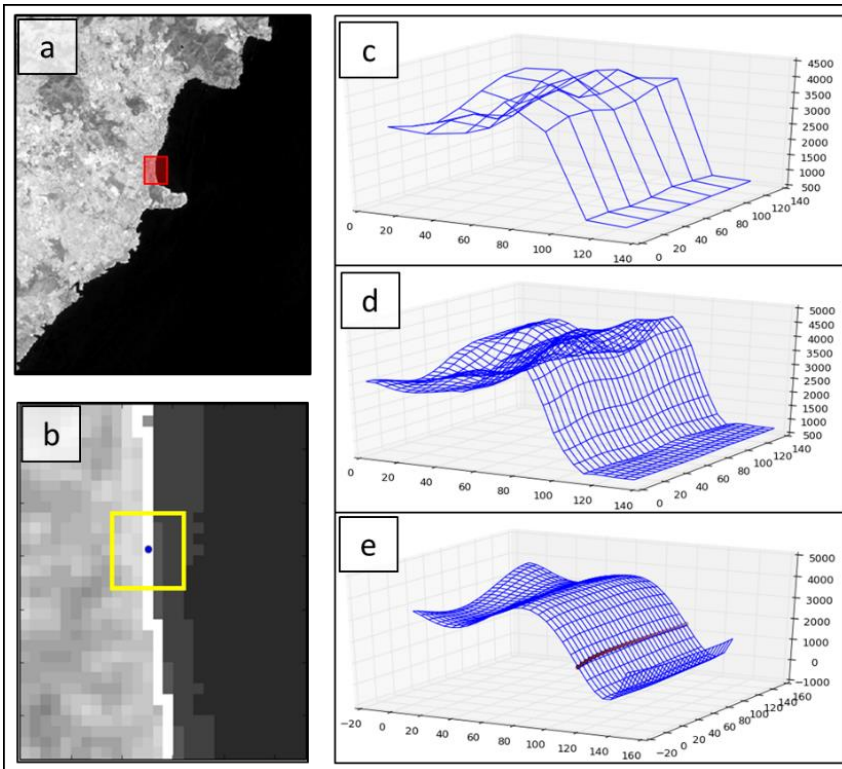
272 The definition of the SDS was carried out with SHOREX from mid-resolution satellite images. It is a
 273 shoreline extraction system that includes as its core the algorithmic solution for the extraction with sub-
 274 pixel precision proposed in Pardo-Pascual et al. (2012) and Almonacid-Caballer (2014). Surrounding it, a
 275 workflow has been developed in order to integrate and automatize all the necessary operations to
 276 efficiently manage a large volume of raw data: the satellite images of L8 and S2 with a resolution of 30 m
 277 and 20 m respectively.



278

279 Fig. 7. The SHOREX workflow consists of three phases: (1) downloading, (2) pre-processing and (3)
 280 processing.

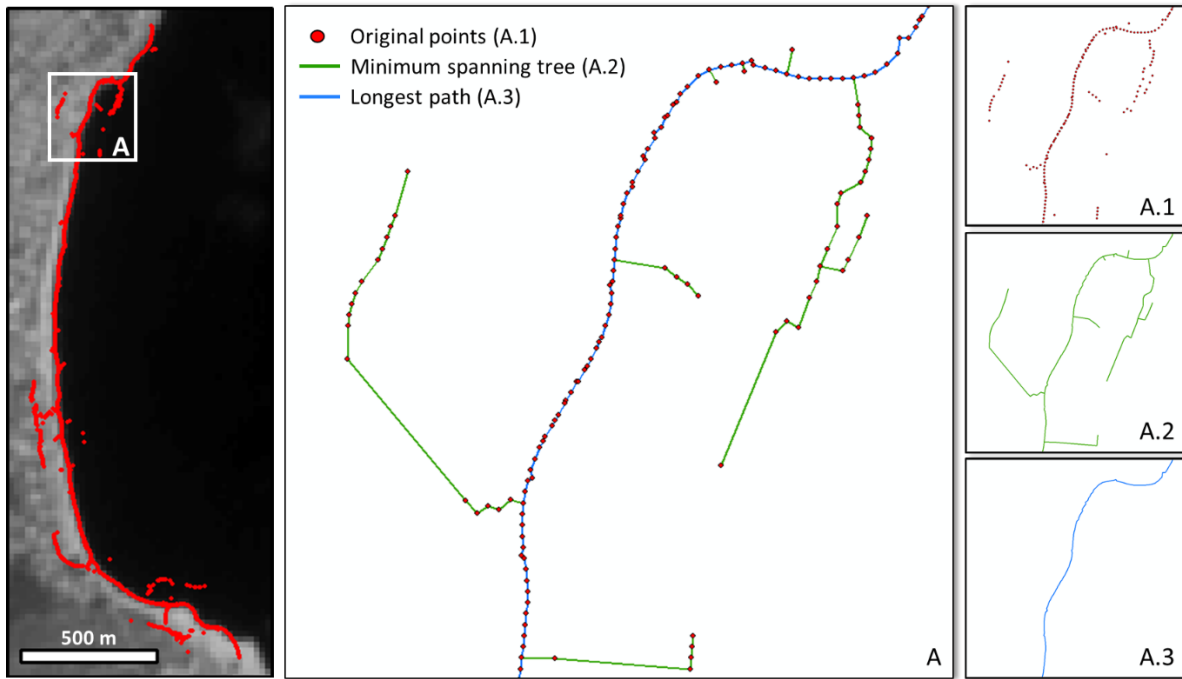
281 A set of separated tools have been integrated within a single Python framework, following the workflow of
282 Fig. 7 and previously described in Palomar-Vázquez et al. (2018 a, b) where the efficiency of the
283 extraction protocol (time consumption) and its limiting factors are analyzed. The entire computational time
284 to obtain the 91 shorelines of Cala Millor Beach is estimated in 5.05 h, of which 67% of the time
285 corresponds to the downloading, 8.6% to the pre-processing and 24.4% to the processing. The first phase,
286 downloading the bands of interest, is carried out free of charge from Amazon Web Services (AWS) for L8
287 and COPERNICUS server for S2. These servers provide API methods which allow the download of a
288 massive number of images with a high degree of flexibility and automation. Thus, by means of using a
289 scripting language like Python, it is possible to individually download the required bands for a specific
290 project. The second phase, the preprocessing, prepares each band for the analysis. For this purpose, several
291 tasks are included: image format conversion, clipping each scene in smaller tiles (to improve the storing
292 and shorten the time processing), TOA (top of atmosphere) reflectance conversion, cloud filtering for
293 discarding useless images, and band sub-pixel georeferencing according to the method proposed by
294 Guizar-Sicairos et al. (2008) and modified by Almonacid-Caballer et al. (2017) to work as phase-
295 correlation. The last phase, the processing, consists of the definition of the shoreline position at sub-pixel
296 level. It needs as input both the pre-processed band to be analyzed and an initial approximate shoreline in
297 raster format. For every single pixel of the initial shoreline, SHOREX performs an analysis of the kernel
298 and detects the shoreline position at sub-pixel level. It is important to emphasize that the approximate line
299 is used to process all bands and dates so decreasing the processing time, instead of using a manual
300 thresholding process for every band as previous works did (Almonacid-Caballer et al., 2016, Pardo-
301 Pascual et al., 2018). Moreover, a suitable value selection in the parameters controlling the algorithm, such
302 as the kernel size and the degree of the surface polynomial function, is essential for a correct determination
303 of the shoreline position. Fig. 8 exemplifies the procedure carried out by the algorithm in the search for the
304 sub-pixel shoreline in a particular 7x7 kernel and through a fifth-degree polynomial surface.



305

306 Fig. 8. Core algorithm of SHOREX. a) Band SWIR1 of S2 for the study area; b) initial approximate line
 307 (in white color) and a 7x7 kernel of analysis (yellow color); c) 3D display of the kernel values; d) 3D
 308 display of the resampled kernel values; e) fifth-degree polynomial surface fitted to the resampled values
 309 with the extracted sub-pixel shoreline.

310 Once the shoreline points have been obtained as Fig 8e shows, it is possible that several outliers appear
 311 (for instance, due to the presence of buildings or vegetation near to the beach). In order to avoid them, a
 312 point filtering method has been implemented based on the minimum spanning tree algorithm (MST)
 313 (Graham & Hell, 1985). In this way, the MST is computed for the shoreline points and, subsequently,
 314 those of the longest path are selected, as they potentially belong to the shoreline as Fig. 9 shows. The result
 315 is a shapefile with the SDS in either points or polyline format.



316

317 Fig. 9. Example of applying the MST process in the final step of SHOREX algorithm to remove outlier
 318 points from the SDS. The longest path of the MST (A.3 solution) will shape the sub-pixel shoreline.

319

320 3.3. Accuracy tests

321 At this point, different sets of shorelines were obtained from L8 and S2 imagery by modifying the
 322 parameters of the methodology of extraction. For each combination of parameters, the accuracy of the 91
 323 SDS was defined by comparing their position with the associated reference lines simultaneously obtained
 324 from video-monitoring. For each set of results containing shorelines from different dates, the mean bias,
 325 the standard deviation, and RMSE were calculated, and they were used to assess the accuracy of each
 326 combination of parameters. Positive and negative differences mean that the SDS is displaced seawards and
 327 landwards respectively.

328 -Test 1: Combination of different kernels, degree of the polynomial and input bands

329 The first test consists in finding the combination of parameters and inputs to the shoreline extraction
 330 process that offers the highest accuracy. As the position of the final sub-pixel shoreline is related both to
 331 the degree of the adjusted polynomial and to the size of the analyzed kernel, these parameters have been
 332 modified in the extraction process. With this purpose, 84 different combinations were tested over 91
 333 images, 39 for L8 and 52 for S2 images as Table 1 summarizes.

334 Table 1. Combination of parameters for test 1.

Platform	Number of dates	Processed bands	Degrees	Kernels of analysis (pixels)
L8	39	NIR, SWIR1, SWIR2, NDWI	3, 4, 5	3X3, 5X5, 7X7
S2	52	NIR, SWIR1, SWIR2, NDWI	3, 4, 5	3X3, 5X5, 7X7, 11X11

335 With regard to the kernel, the maximum size used for L8 was 7x7 (210 x 210 m), while the maximum
336 kernel for S2 images was 11x11 (220 x 220 m). This decision was taken in order to cover an equivalent
337 surface in both types of images considering the different spatial resolutions.

338 Similarly, the input data sources were re-evaluated. The infrared bands (NIR, SWIR1, SWIR2) that have
339 offered the best results in previous studies (Pardo-Pascual et al., 2018) were tested. At the same time, the
340 performance of the index NDWI was also assessed to know if it could provide good results as it is
341 presented in the literature as an adequate solution (Rokni et al., 2014; Hagenaaars et al., 2018).

342 **-Test 2: Assessing the effects caused by an inaccurate input shoreline**

343 The robustness of the system was checked when employing an approximate pixel level line excessively
344 displaced from the position of the real shoreline. In order to do this, the approximate line was synthetically
345 shifted one pixel to each side (landward and seaward), and the positions of the resulting shorelines were
346 analyzed.

347 The proposal of an iterative process when facing an eventual inaccurate pixel level shoreline is assessed.
348 This way, the accuracy of the final shoreline is untied from previous matters. In order to ensure that the
349 appropriate pixels cover the water-land surface, a larger kernel is initially suggested for the analysis to
350 proceed afterward with the second extraction process (refining) through a smaller kernel with which to
351 achieve sub-pixel precision. The shoreline extracted in the first iteration is the one used as input for the
352 second one. It will be analyzed if the result of this latter iteration is convergent with the solution obtained
353 when using an appropriate approximate line since the first moment.

354

355 **4. Results**

356 **4.1. Combination of different kernels, degree of the polynomial and input bands**

357 Test 1 attempts to determine the best combination of parameters to extract the most accurate sub-pixel
358 shoreline, assuming that the initial approximate line is accurate enough to be contained in the analysis
359 kernels. Every combination of parameters for each processed band and platform is assessed. Fig. 10A
360 summarizes the mean and the standard deviation values achieved by working with 39 images of L8, and 52
361 images of S2. Firstly, it is possible to observe that the NDWI band generally offers worse results than the
362 pure bands, considering both the mean and the standard deviation. At the same time, when analyzing the
363 RMSE value resulting from each combination of parameters (Table 2) it is easier to confirm that the best
364 results for L8 are achieved by using the SWIR1 band, a 3x3 kernel and a third-degree polynomial,
365 obtaining an RMSE of 3.57 m. Similarly, for S2 the best choice comes from the SWIR1 band and a third-
366 degree polynomial, but using a 5x5 kernel (equivalent solution according to the differences in spatial
367 resolution), obtaining 3.01 m RMSE. Working with these combinations of parameters, the algorithm is
368 able to define the shoreline with an error of 0.07 ± 3.57 m for L8 and 1.33 ± 2.7 m for S2 (see Fig. 10A).
369 Table 2. RMSE values (in meters) resulting from applying SHOREX for the 84 different combinations of
370 parameters (36 and 48 solutions for L8 and S2 respectively). The values in bold highlight the best
371 solutions.

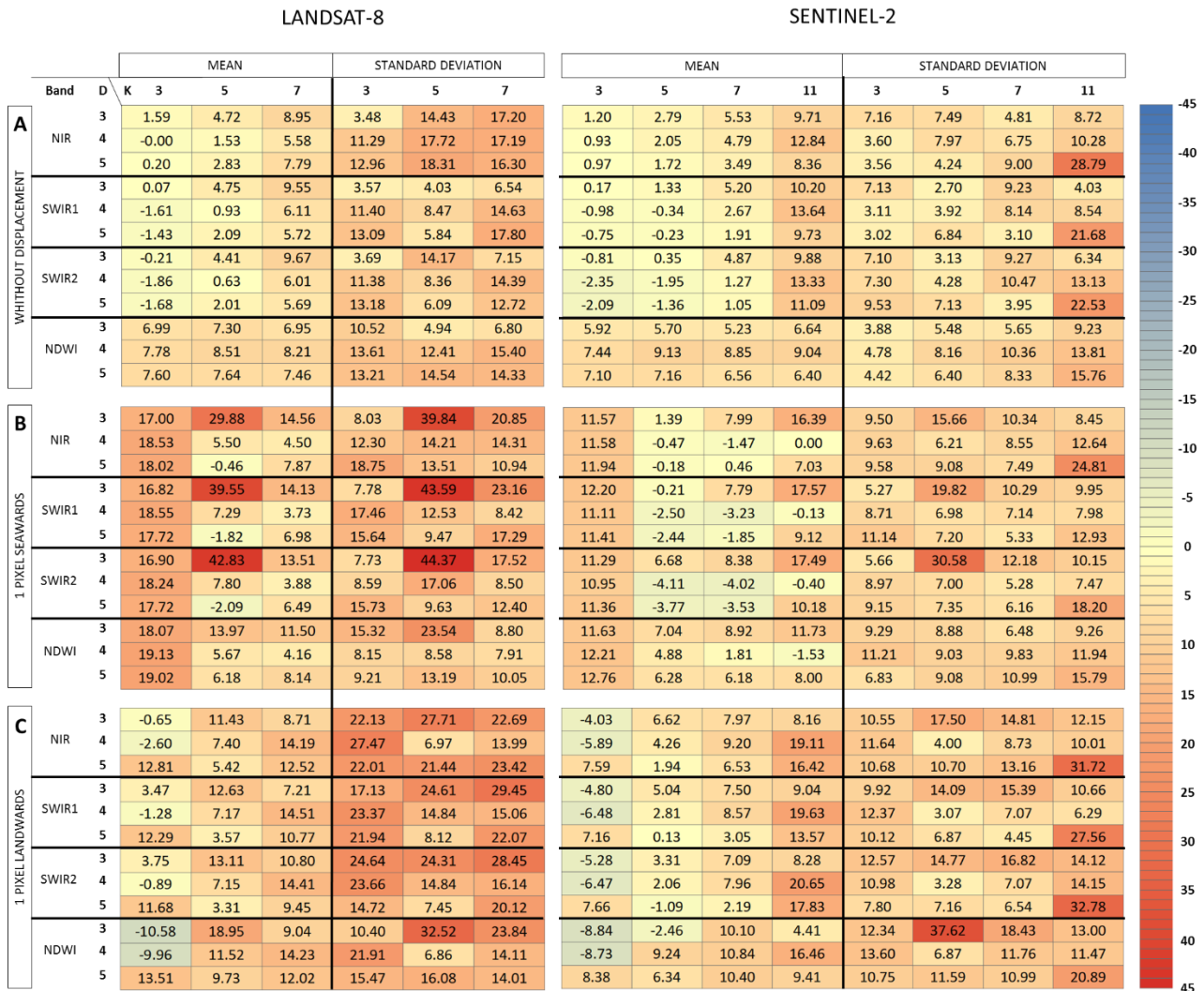
Bands	Degree\kernel	LANDSAT 8			SENTINEL 2			
		3	5	7	3	5	7	11
NIR	3	3.82	15.18	19.39	7.26	7.99	7.33	13.05
	4	11.29	17.78	18.07	3.71	8.22	8.27	16.44
	5	12.96	18.52	18.06	3.69	4.57	9.65	29.97
SWIR1	3	3.57	6.23	11.57	7.13	3.01	10.59	10.96
	4	11.51	8.52	15.84	3.25	3.93	8.57	16.09
	5	13.16	6.20	18.69	3.11	6.84	3.64	23.76
SWIR2	3	3.69	14.83	12.02	7.14	3.14	10.47	11.73
	4	11.52	8.38	15.59	7.66	4.70	10.54	18.70
	5	13.28	6.40	13.93	9.75	7.25	4.08	25.10
NDWI	3	12.62	8.81	9.71	7.07	7.90	7.70	11.37
	4	15.67	15.05	17.45	8.83	12.24	13.62	16.50
	5	15.23	16.42	16.15	8.36	9.60	10.60	17.00

372

373 4.2. Synthetic displacement of the approximate line

374 The previous test determines the best combination of parameters assuming an initial approximate line
375 properly located in space. Nevertheless, it is essential to define to which extent a displacement of the initial
376 line affects the resultant shoreline. Therefore, test 2 consists on repeating test 1 but synthetically shifting
377 the initial approximate line one pixel to each side of its original position –meaning 30 m of displacement

378 in L8 and 20 m in S2. Figures 10 and 11 represent the accuracy results when applying a displacement both
 379 landwards and seawards.



380
 381 Fig. 10. Mean and standard deviations values (in meters) for all the 84 different combinations analyzed
 382 changing the kernel size (K), polynomial degree (D) and input sensor band. The experiment considers 39
 383 images of L8 and 52 images of S2. Results are obtained by using as input shoreline: (A) an accurate one,
 384 (B) synthetically displacing it seawards, and (C) displacing it landwards. The magnitude of the errors are
 385 represented by a color scale.
 386
 387 The displacement of the initial approximate line, both seawards and landwards (Fig. 10B and 10C
 388 respectively), affects the accuracy of the extracted shoreline increasing the errors considerably. That is
 389 especially remarkable for L8 with the displacement seawards (red tones in Fig. 10B) showing higher errors
 390 –for almost all the combinations– that even exceed the pixel size. The spatial resolution of these images

391 along with an excessive displacement causes that most of the pixels contained in the analyzed kernel are
392 water, which prevents the algorithm from properly detect the shoreline. On the other hand, also for S2 but
393 especially for L8, it is observed that the landward displacement tends to cause higher values of dispersion.
394 In this case, that is due to the presence of other elements apart from the beach surface, as vegetation or
395 buildings, which produce a high level of heterogeneity affecting the sensitivity of the algorithm.

396 Looking at the solutions obtained in figures 10B and 10C for the combination of parameters previously
397 stablished as the best one in section 4.1 (SWIR1 band, a third-degree for the polynomial adjustment and a
398 kernel size of 3 and 5 for L8 and S2 respectively), it seems clear that conversely, with a displaced initial
399 line, this choice would be completely unsuitable. When considering an initial line displaced seawards the
400 extracted shorelines show errors of 16.82 ± 7.78 m for L8 and -0.21 ± 19.82 m for S2, and likewise, when the
401 approximate line is displaced landward, the errors reach 3.47 ± 17.13 m for L8 and 5.04 ± 14.09 m for S2.

402 These results confirm that the goodness of the initial line directly affects the quality of the extracted
403 shorelines being necessary to find a strategy which minimizes this effect.

404

405 **4.3. Iterative extraction procedure**

406 According to the previous results, working with large kernels seems an adequate strategy to avoid effects
407 of eventual displacements and inaccuracies of the approximate line (refer to columns with large kernels in
408 Fig. 10B and 10C). On the contrary, when the kernel of analysis is properly located, smaller kernels allow
409 to obtain shorelines with the highest possible accuracy (Fig 10A).

410 An iterative strategy is proposed in order to combine the advantages of both approaches. First the
411 algorithm runs using a large kernel following an initial approximate line. Subsequently, the resulting
412 shoreline is taken as input for a second extraction process, in which a smaller kernel is employed.

413 Proceeding this way, it is expected to minimize the effects that an inaccurate initial line could have on the
414 definition of the sub-pixel shoreline.

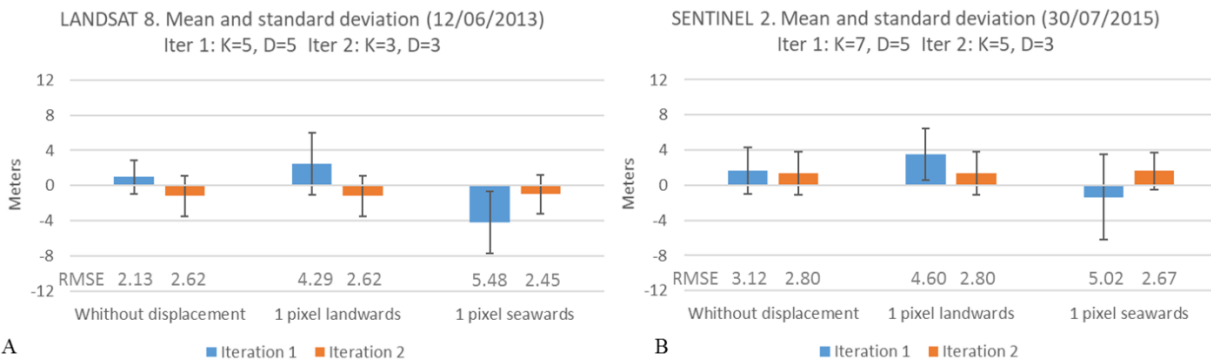
415 At this point, the first question is about how to decide the optimum values of the kernel and the polynomial
416 degree to carry out each iteration. Table 2 shows the best combination of parameters when the accuracy of
417 the initial shoreline is sufficient being this the one to follow in the second iteration of the refining process.

418 Figure 11 compiles the RMSE values of the resulting SDS obtained by using the three different starting
 419 lines and for each of the 84 combinations analyzed (36 for L8 and 48 for S2 carried out in Test 1 and Test
 420 2).



421
 422 Fig. 11. Accuracy expressed in RMSE values for each combination of parameters in the x-axis (results of
 423 Test 1 and 2). B-D-K initials mean: input Band, polynomial Degree and Kernel size. Red circles identify
 424 the combination with best global behavior for all series despite the accuracy of the initial line, whereas
 425 blue circles identify the best combination in absolute terms (best sub-pixel solution).

426 In this sense, for L8, the combination of SWIR1 band, K=5 and D=5 presents the best results regardless
 427 the inaccuracy of the initial shoreline (red circle) assuring that the algorithm locates the shoreline in its
 428 correct position. In fact, the three solutions almost converge in the same value. Then, once this is correctly
 429 approximated, the combination of SWIR1 band, K=3 and D=3 achieves to define the shoreline with the
 430 maximum accuracy (blue circle) as Table 2 also exposed. Equivalent solutions were obtained from the
 431 images of S2 where their higher spatial resolution leads to the use of a larger but equivalent kernel.
 432 Therefore, the best global combination is SWIR1 band, K=7 and D=5 and the very best of the three series
 433 is SWIR1 band, K=5 and D=3. In this sense, these combinations are the ones proposed to be used in the
 434 iterative strategy as shown in Fig 12.



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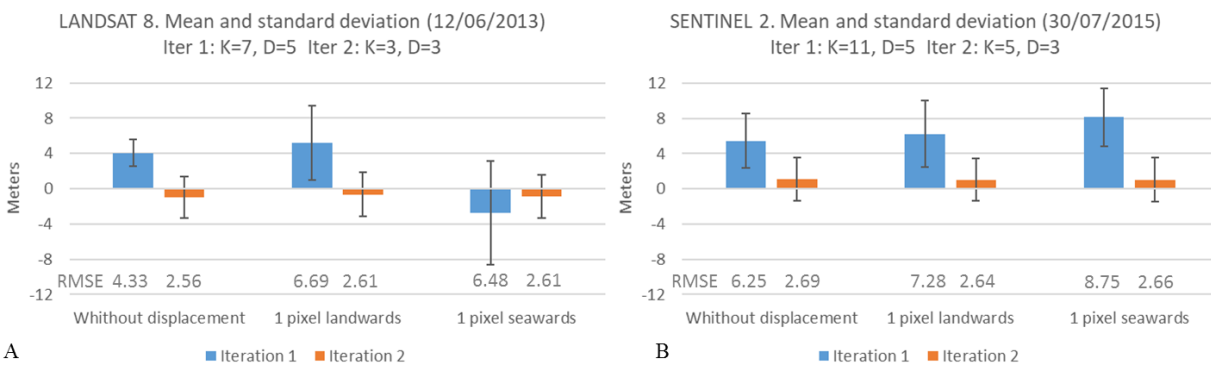
A

B

Fig. 12. Results for the iteration strategy performed for 12/06/2013 and 30/07/2015 in figures 12A and B respectively.

From the analysis of all this data, we can observe in Fig. 12 that the iterative procedure works properly in this area and the results converge with very similar RMSE values regardless of the approximate line used as input. The accuracies of the final sub-pixel shorelines reached in second iteration (orange boxes) are almost the same indifferently from working with an accurate initial shoreline or a displaced one (differences between solutions of 17 cm for 12/06/2013 in Fig 12A, and up to 13 cm for 30/07/2015 in Fig 12B). However, it is relevant to notice that stopping after the first iteration (blue boxes), the found shorelines would be wrongly detected with errors around the 5 m when the initial shore is displaced landward or seaward. Additionally, it is also important to remark that even working with an accurate approximate line, the location of the resulting shorelines is improved by about 50 cm after the second iteration (refer to left results of figures 12A and B).

Finally, in order to analyze the behavior of the algorithm when running the first iteration with a kernel even larger, another experiment was performed changing the initial conditions only for the first iteration to K=7 for L8 and K=11 for S2 (Fig. 13). The parameters for the second iteration remain the same.



451

A

B

452 Fig. 13. Results for the iteration strategy as in Fig. 12 but running SHOREX with larger kernel size for the
453 first iteration.

454 Results show that the improvement of the iterative proposal is even more remarkable when using larger
455 kernels in the first iteration. This enforces the idea of using the iteration and ensures that regardless the
456 inaccuracy of the initial shoreline, the algorithm is able to relocate the shoreline to their correct place
457 through the first iteration and to define it accurately through the second one. Fig. 13 indicates that large
458 kernels lead to wrong sub-pixel shoreline locations for the three used input lines (blue boxes in figures
459 13A and B) with RMSE values between 4.33 m and 8.75 m. However, the second iteration with small
460 kernels is more than capable of obtaining an accurate SDS with an RMSE around 2.6 m (in line with the
461 results shown in Fig. 12).

462

463 **5. Discussion**

464 This paper proposes an efficient protocol for the automatic extraction of sub-pixel shorelines reaching
465 accuracies close to 3m RMSE on the study area, the microtidal beach of Cala Millor. The work develops
466 several methodological improvements over previous works (Pardo-Pascual et al., 2012, Almonacid-
467 Caballer, 2014). On the one hand, the use of a single approximate shoreline as input is key for reducing
468 processing times by avoiding threshold methods. It enables the automation of the process eluding the only
469 step that required user intervention and that was susceptible to generate discontinuities and uncertainties at
470 the pixel level. On the other hand, the method presents an improvement in robustness by incorporating an
471 iterative extraction step, shifting from larger to smaller kernels. This iteration assures high precisions in the
472 detection of the final shoreline even with an approximate input line eventually displaced. It may occur as
473 the shoreline position is expected to experience changes along time associated with differences in the tidal
474 level, energy of the incident waves and their associated excursions, and the morphology of the intertidal
475 zone. These improvements result in a workflow efficient enough to successfully cope with the definition of
476 shorelines at the same rate the L8 and S2 images are acquired.

477 The implementation of the entire workflow within a single integrated system is also essential for gaining
478 efficiency. SHOREX has been conceived as a complete system that includes all the necessary phases to

479 obtain the final sub-pixel SDS: image download, subdivision into manageable spatial units and
480 homogenization of their characteristics, supervision of cloud coverage, sub-pixel georeferencing, sub-pixel
481 extraction of the shoreline to point format, elimination of outliers and transformation of the result into
482 linear format. Currently, all these processes can be performed automatically (with the exception of the
483 optional cloud checking, with a user-friendly visualization tool completely integrated into the process).

484 The efficiency of the extraction protocol and its limiting factors are in line with previous works (Palomar-
485 Vázquez et al., 2018a, b), in which the downloading was the most time-consuming phase.

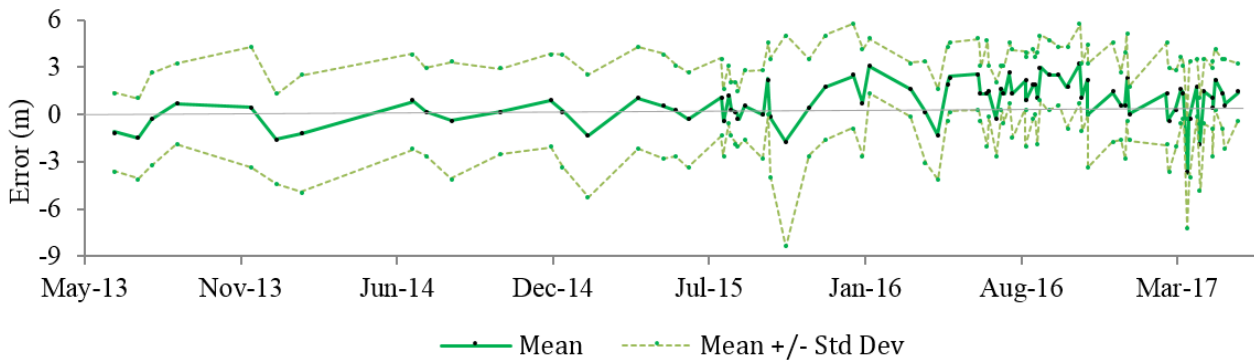
486 The accuracy and precision of the obtained shorelines is a second key aspect in order to determine their
487 usefulness in coastal change studies. However, to carry out a thorough assessment is not simple and
488 relatively few studies (e.g. García-Rubio et al., 2015, Liu et al., 2017b, Splinter et al., 2018, Pardo-Pascual
489 et al., 2018, Hagenaaars et al., 2018, Do et al., 2019, Vos et al., 2019a) have made a metric evaluation of the
490 errors. This is largely due to the difficulty of recording the shoreline position with sufficient precision at
491 the same instant the image is captured by satellite.

492 In the present study, video-derived shorelines have been obtained simultaneously to the acquisition of the
493 satellite images, and processed and converted to georectified images by applying C-Pro (Sánchez-García et
494 al., 2017) with a 1.54 m RMSE. However, the accuracy of the digitalized shoreline on these images was
495 also conditioned by the criterion and audacity of the interpreter, as well as the indeterminacy of the spatial
496 resolution of the georectified image. At a distance of 650 m and for the worst cases, working with a focal
497 of 1332 pixels, the cross and long size of the pixel footprint have been 0.45 m and 6.36 m respectively.

498 Despite these errors, the video-derived shorelines are amply valid to be used as reference data. Pardo-
499 Pascual et al., (2018) already validated these video-derived shorelines obtained with C-Pro in a sector of
500 the Valencian coast by comparing them against shorelines simultaneously measured by GPS, showing an
501 encouraging mean error of 0.15 ± 1.05 m.

502 In the present work, the large number of evaluations (91) ensures that different oceanographic conditions
503 have been considered (relative to a microtidal environment), giving high robustness to the results. Testing
504 the combination of different extraction parameters have made it possible to identify those that provide the
505 highest accuracy (Table 2) –reaching values even close to those inherent of the reference/video-derived

506 data. Moreover, when using more demanding quality indicators such as the 5th and 95th percentiles, 90% of
 507 the errors range between -5.1 to 5.9 m for L8, and between -2.9 to 5.4 m for S2. Likewise, for each
 508 particular date (Fig. 14) in the vast majority of cases it has been observed that the errors were within the
 509 described margins. In fact, the maximum average error was 3.2 m and the minimum was -3.7 m. The
 510 standard deviation was also mainly maintained close to 2.5 m.



512 Fig. 14. Range of errors (mean \pm standard deviation) of the 91 SDS analyzed over the 4-year study.

513 Extreme values in the standard deviation (such as 6.7 m for 21/10/2015) appeared on days in which wave
 514 conditions show high run-up. As the instant of the capture of the satellite image and the video-camera did
 515 not completely coincide, a significant error appeared in some parts of the beach. As Fig. 15 shows for this
 516 particular day, it is interesting to observe how at the locations where the SDS is more distant to the video-
 517 derived shoreline (greater errors), the S2 shoreline is identifying a clear humidity line, probably because of
 518 getting wet very recently. It was precisely those days the ones that recorded the highest waves ($H_s =$
 519 1.35 m, $T_p = 9.35$ sec) of the entire series. However, and despite knowing that higher waves may lead to
 520 errors in the detection of the shoreline (Hagenaars et al., 2018), in the current work it is found a different
 521 effect to the one observed in Pardo-Pascual et al. (2018). That time, with a very similar algorithmic
 522 solution and a 7x7 kernel, the wave conditions directly affected the shoreline bias (especially the
 523 wavelength and wave period). On the contrary, in this study the comparison between the errors of the SDS
 524 and the wave characteristics has shown a practically null relation ($r^2=0.044$ and $r^2=0.025$ with respect to
 525 the wave period and, $r^2=0.051$ and $r^2=0.046$ with respect to the height of the incident waves, respectively
 526 for L8 and S2). This may be due to the fact that Pardo-Pascual et al., 2018 worked with thresholding initial
 527 shorelines which were more easily confused with other wave breaking lines and so the algorithm was not

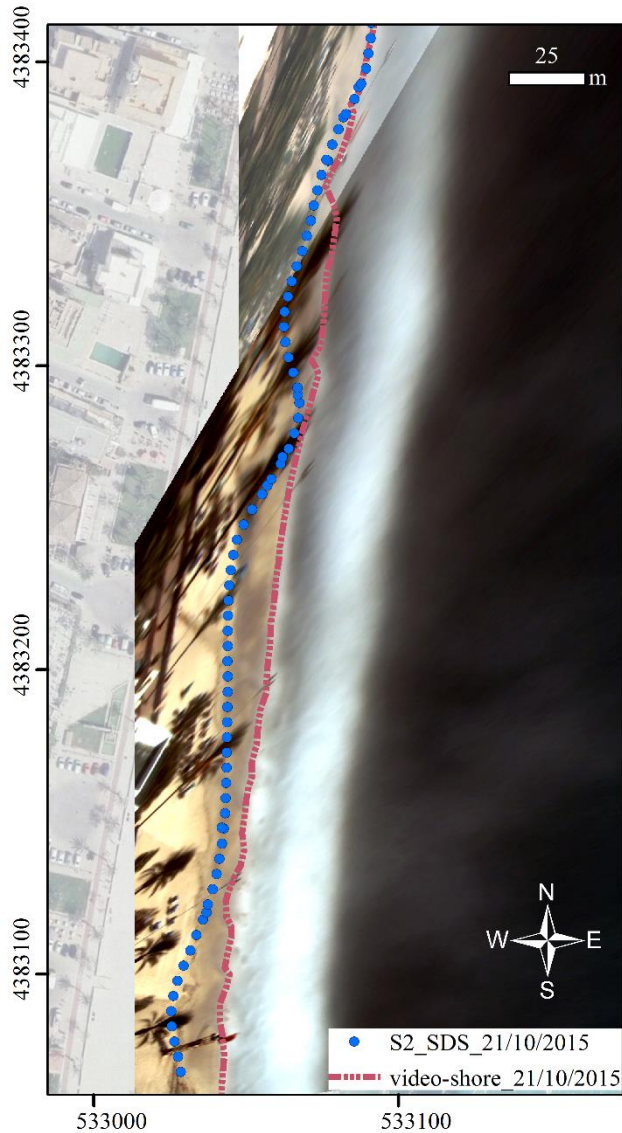
528 able to reach a final accurate position. However, the methodology presented in the current paper (starting
529 with a unique approximate line and following with an iterative process) is being generally less influenced
530 by these external factors or is otherwise able to overcome them.

531 The results evidence a substantial improvement in the level of accuracy with respect to previous solutions
532 described in the bibliography for microtidal and moderately energetic coastal areas where SDS have been
533 compared with field measurements. For instance, Hagenaaers et al. (2018) obtained an average error for L8
534 and S2 images of 9.5 (± 16 m) and 10.5 (± 12 m) in a coastal segment of around 1.7 m tide; Liu et al.
535 (2017b) reported about 10 m RMSE at a beach with 2 m tide; and more recently, Vos et al. (2019a)
536 reached accuracies ranging from 7.2 m to 11.6 m RMSE on four microtidal beaches of Australia, New
537 Zealand and USA.

538 In agreement with Almonacid-Caballer et al. (2016), Liu et al. (2017b) remarked that the shorelines
539 obtained from Landsat images (using an algorithmic solution different from the one exposed in this work)
540 were adequate to monitor the average annual behavior of the beaches, but they could be subjected to
541 excessively large errors (tens of meters). Hagenaaers et al. (2018) have recently suggested applying image
542 composite processing –following Donchyts et al., 2016 technique– to a sequence of images in order to
543 obtain a single image that minimizes the effect of bias factors. It is shown that even dealing with relatively
544 high errors (within 15 m RMSE) the study of evolutionary trends over large coastal segments is possible
545 (Sánchez-García et al., 2015; Almonacid-Caballer et al., 2016; Do et al., 2019, Cabezas-Rabadán et al.,
546 2019b, Vos et al., 2019a) and also on a global scale as proposed by Luijendijk et al. (2018) and Mentaschi
547 et al. (2018).

548 SHOREX system, with the methodology and accuracy here shown, resolves the limitation of low
549 resolution and opens up the possibility of using the SDS in analytical processes that require greater
550 precision. The methodology makes it possible to offer continuous data throughout the year, with a high
551 revisited frequency of wide coastal segments allowing to derive useful indicators for coastal management
552 as the beach width (Cabezas-Rabadán et al., 2019a), to estimate volumetric changes on certain beach
553 profiles (Do et al., 2019) and to monitor the beach along sub-annual periods (Vos et al., 2019 a) as the
554 response to nourishment projects or coastal storms (Cabezas-Rabadán et al., 2019b; Pardo-Pascual et al.,

555 2014). Nevertheless, for all these purposes it seems reasonable to relate the defined water/land border with
556 an elevation value that is strongly influenced by sea-level variations (Boak and Turner, 2005; Kabuth et
557 al., 2014). Only this way SDS would constitute a valid indicator of shoreline changes.



558
559 Fig. 15. Comparison of the shoreline obtained from S2 (SWIR1 band) using SHOREX and the video-
560 derived shoreline for 21/10/2015, the day in which the highest waves were registered.

561 The application of the extracted SDS for monitoring purposes is immediate on microtidal beaches as the
562 wet zone is rarely very wide. However, in beaches with high variations in tide and wave conditions this
563 solution would need to be re-evaluated as is expected that the interaction with these factors make the
564 definition of the land-water border and the association with its elevation more difficult. In meso or
565 macrotidal coasts, the shoreline definition can be compromised due to larger run-up excursions, as well as
566 in areas with very low slopes and high tidal range, where the intertidal space can be extended to hundreds

567 of meters. In these cases, and following the iterative procedure here described, the use of an approximate
568 line could cause that the kernel of 7 x 7 pixels for the first iteration did not include the position of the real
569 shoreline, making insufficient the proposed iterative protocol. The solution could come from the definition
570 of different approximate lines associated with different sea levels, the performance of consecutive
571 iterations starting from larger kernels of analysis, or the synergy with a new image interpolation method
572 (Sánchez-García et al., 2019a) where the land-water surface is modeled by a piecewise interpolating
573 polynomial that adapts to the maximum radiometric variations. Anyways, future research is required to
574 continue testing SHOREX on a wide miscellany of coastal environments and achieve its full automation
575 on a large spatial scale.

576

577 **6. Conclusions**

578 The present work proposes an efficient protocol for shoreline extraction from mid-resolution satellite
579 images using the SHOREX system. A workflow that integrates all the necessary steps for an automatic
580 definition of the shoreline position at sub-pixel level has been described, increasing both the efficiency and
581 the accuracy of the extraction. The protocol allows the massive definition of shorelines at the same rate as
582 the acquisition of satellite images. This is of great value for the continuous monitoring of beaches and the
583 decision-making of coastal managers.

584 The assessment of a large set of SDS (91) over almost 4 years has been carried out in Cala Millor, a
585 Mediterranean sandy beach. This was possible thanks to the availability of highly accurate shorelines from
586 a video-monitoring system in the same instant the satellite images were recorded. The evaluation has
587 allowed analyzing 84 different combinations of parameters for working with SHOREX by merging the
588 type of input band, the kernel of analysis and adjustment degree. Accordingly, it was possible to establish
589 that the combination leading to the best solution (an RMSE of 3.57 m for L8 and 3.01 m for S2) was using
590 the SWIR1 band, a third-degree polynomial, and a 3x3 kernel size for L8 and 5x5 for S2 (equivalent
591 kernel according to the different spatial resolution). Moreover, the results showed that the accuracy of the
592 input line strongly affects the final sub-pixel shoreline definition. Therefore, an iterative strategy using

593 SHOREX was proposed to minimize this effect and ensuring a robust method for shoreline detection
594 regardless of the reliability of the input line and external factors.

595 The high availability of satellite-image data worldwide together with the efficiency and accuracy of
596 SHOREX creates a new scenario and an opportunity to understand the morphodynamics of coastal zones
597 on different spatio-temporal scales.

598

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