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Additional Information

1 **Reforesting drylands under novel climates with extreme drought filters: the importance of**
2 **trait-based species selection**

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13 **Abstract**

14 Having regard to the substantial world-scale forest restoration needs, the efforts must be done
15 efficiently, which necessarily forces to consider the adaptation of new forests to the extremes arising
16 from climate change. In this context, species selection strategies should enhance long-term
17 functional resilience in the face of novel environmental scenarios. The use of plant functional traits
18 for selecting species under climate change might be advantageous over more traditional taxon-based
19 criteria as an adaptive forestry management strategy. In this work, we studied which functional
20 traits (across species) have played a relevant role on field performance and fitness in a multi-species
21 reforestation trial in a Mediterranean dryland affected by an extreme drought event. Different traits
22 both from the individual plant and from the species were studied in seven species both at the short
23 and the mid-term (10 years). The relative importance (RI) or contribution of the different traits to
24 plantation performance was assessed through boosted regression tree models. The results showed

25 that, under favorable climatic conditions, mean survival was above 70 % and individual plant
26 functional traits held up to 60 % of importance on such value. The impact of species functional
27 traits was low in this case (less than 18%) pointing out that all the species were performing within
28 their niche at this point. However, after the driest year on record, the role of the latter on survival
29 rose up to 53 % of RI and survival sharply decreased to 33%, with some species showing negligible
30 survival rate (< 10%). The dynamic response of stomata and xylem resistance to cavitation, together
31 with rooting depth, were the main traits (species traits) identified in successful performance facing
32 the extreme environmental factors. Thus, trait-oriented approach to select species represent a key
33 tool in the implementation of new and successful forest restoration strategies to design resistant and
34 resilient ecosystems adapted to the climate change challenges.

35 **Keywords:** adaptive forest management, ecosystem restoration, Quercus, Pinus, Juniperus,
36 Fraxinus, Arbutus, boosted regression tree (BRT).

37 **1. Introduction**

38 Forest restoration is gaining appealing and momentum in the last years given its usefulness to
39 recover goods and services from degraded forest ecosystems and its role on addressing global
40 environmental threats (Löf et al., 2019). Protective reforestations have been deployed for decades in
41 drylands with the aim to protect soil from erosion, regulate water fluxes, and protect reservoirs and
42 other infrastructure from siltation whilst enhancing biomass production and biodiversity. Millions of
43 hectares of planted forests cover the Mediterranean basin, providing multiple services to local
44 economies and societies such as in Spain, which has been one of the most active countries in the
45 world implementing forest restoration works since mid-19th century, with about 5 Mha artificially
46 regenerated (FAO, 2010; Vadell et al., 2016). This long experience has set a strong technical and
47 scientific expertise in the many subjects that together integrate the body of forest restoration, such
48 as nursery production and stock quality assessment (del Campo et al., 2010; Villar-Salvador et al.,
49 2012), biophysical characterization of the land (Elena-Roselló, 2004), site preparation and pre-

50 existing vegetation removal (Navarro-Garnica, 1977; Martínez de Azagra, 1996; Löff et al., 2012),
51 site-species matching (Rivas-Martínez, 1987; Pemán-García et al., 2006), application of cultural
52 treatments (Ceacero et al., 2012, 2014), etc. On the one hand, this effort has provided a fairly valued
53 and recognized know-how that can be used elsewhere in the reforestation of harsh and degraded
54 drylands (Coello et al., 2015). On the other hand, however, this long experience has created its own
55 discipline, procedures, and rules by force of habit that present some rigidity and might need
56 revision, as targets and historical references may be no longer valid (Willians and Dumroese, 2013).

57 In the context of climatic and global changes, some questions have arisen about certain aspects of
58 forest reforestation, particularly those related to future habitat suitability either for species or
59 populations (provenances), as seedlings planted following conventional guidelines would increase
60 their dependence on conditions that are becoming rarer (Willians and Dumroese, 2013; Hällfors et
61 al., 2017). Within the framework of novel climates, reforestation strategies need to focus on
62 restoring and reinforcing ecosystem processes towards the provision of goods and services (e.g.
63 water, soil protection, C sequestration, etc.) by putting the emphasis on key species managed and
64 used for many years that necessarily will lead restoration goals (Sansilvestri et al., 2015; Jacobs et
65 al., 2015; Hof et al., 2017; Jandl et al., 2019). In this context, species/populations selection must be
66 based on a robust genetic makeup and ability to adapt, rather than focusing on particular species
67 composition within a phytosociological framework. This may imply in-depth revision of the current
68 site-species matching procedures, strongly biased towards auto-ecological and floristic-
69 phytosociological information that has been gathered under historical conditions (Rivas-Martínez,
70 1987; Gandullo and Sánchez-Palomares, 1994; Farris et al., 2010). Moreover, the floristic-
71 phytosociological approach has been criticized due to its lack of agreement with palynological
72 records in some cases (Carrion and Fernandez, 2009). Novel climates bring along with them
73 ecological filters and extremes that some native species may not overcome while others will do
74 (Lindner et al., 2014). Severe drought events out of historical series have been pointed out as
75 triggers that cause severe dieback and mortality on mature plantations in drylands (Allen et al.,

2010; García de la Serrana et al., 2015; Navarro-Cerrillo et al., 2019). Changes in the core habitat of species can be preferentially driven by limiting soil moisture rather than by increasing air temperature (Fei et al., 2017). Modeling results, either from mechanistic or species distribution models, agree that suitable species' performance based in historical climate and/or range is uncertain (Lindner et al., 2014; Al-Qaddi et al., 2017).

Plant functional traits (PFT) are defined as morphological, physiological and phenological traits that impact individual fitness via their effects on growth, reproduction and survival of the plant (Violle et al., 2007). Several initiatives for the construction of databases of PFT (e.g. BROT 2.0, TRY plant trait database) are emerging as key tools in ecological processes and ecosystem functioning studies (Tavşanoğlu and Pausas, 2018; Kattge et al., 2019). The role of PFT for selecting species under climate change grounds on the identification of key traits that best suit novel environmental conditions (Padilla et al., 2009), and hence could be more associated to reforestation success beyond taxonomical limits. Given the wide variation of traits that co-occurring species deploy to face drought and water stress (Brodribb et al., 2014; Pratt et al., 2015; Garcia-Fornier et al., 2017), different performance in plantation establishment can be expected. Xylem hydraulics, leaf, root and growth traits are usually behind a successful strategy facing water scarcity (Ryser, 1996; Choat et al., 2012; Johnson et al., 2012; Stahl et al., 2014). However, in spite of the considerable amount of literature published on PFT, climate change, adaptive forest management and plantation success, there is very little information from plantation trials addressing specifically which traits might suppose an advantage. According to a recent review (Löf et al., 2019), we are still in the infancy of issues related to species adaptiveness in the future. Species selection at present will have long-lasting consequences related to the resilience of future forests and the need to undertake urgent and costly actions related to dieback and climate dislocation problems (Navarro-Cerrillo et al., 2019). Not just the species or seed zones, but other technical issues might need re-assessment in a context of climate change in order to match future climatic conditions, such as site preparation, planting densities, cultural treatments and so on. In this sense, mid to long-term experimental trials in forest

102 restoration programs might provide reliable results that encompass enough inter-year climatic
103 variability to study the integrated effect of climate, species and traits. Plants respond more to
104 climate extremes than to changing averages, which partially explains differences between models'
105 output and experimental data (Lindner et al., 2014). Reforestation projects mostly occur at small to
106 mid-scale, which better reflects environmental heterogeneity related to soil and physiographic
107 features (e.g. microsites) (González-Rodríguez et al., 2011; Ceacero et al., 2012), and hence field
108 studies can provide better insights in reforestation success (in spite of a warming climate) than other
109 approaches based on regional climate projections. Thus, field experimentation remains undoubtedly
110 necessary to address the change of paradigm in forest restoration programs. This work aims to
111 assess the short and mid-term (10 years) performance of a multi-species reforestation in a
112 Mediterranean dryland affected by an extreme drought event and harsh conditions. Specific
113 objectives are to i) identify which species have presented a superior performance and fitness to the
114 planting site, and which PFT (across species) have played a relevant role in this response; ii) assess
115 the relative importance of different PFT (as well as their threshold/range) in successful survival and
116 growth performance under extreme drought conditions; iii) assess whether the traits involved in
117 early successful response match those involved in the mid-term response after the drought. By
118 addressing these objectives, we bring to the fore the appropriateness of current methods for species
119 selection under novel climatic extremes, i.e., are ecological or phytosociological criteria still valid
120 to select species in reforestation programs? or should we move towards a more trait-oriented
121 approach?

122 **2. Materials and methods**

123 *2.1 Site characterization*

124 The experiment was conceived with an experimental plot within a reforestation program carried out
125 in 2008 at “La Muela de Cortes” site, municipality of Cortes de Pallás, province of Valencia, eastern
126 Spain (39°13' N; 0°53' W; 794 m a.s.l.; Figure SM1-SM2). The results have remained unpublished

127 until now. The geomorphology corresponds to a flat-topped mountain (butte) where parent material
128 is a consolidated cretaceous limestone with a haplic calcisol developed over it. The soil is shallow
129 (<30 cm), very rocky and has a pale brown surface horizon, more reddish with depth, with
130 substantial accumulation of lime; pH in 1:2.5 water suspension was 8.04. Texture analyses carried
131 out close to the experimental site revealed a clay-loam to silty-clay-loam soil (39±5 clay, 38±5 silt,
132 22±7 sand, figures in %) with organic carbon content of 3.07±0.12 % (organic matter 6.1 %).
133 Climate is dry sub-humid Mediterranean with annual precipitation of 510 mm (10 % in summer,
134 1999-2019, Cortes de Pallás-Casa del Barón station, from the CHJ-SAIH weather network) and
135 average temperature of 13.8 °C (2005-2019, adjusted for the site from Requena-Cerrito station,
136 SIAR network). The natural vegetation in this area is composed by Mediterranean ephemeral
137 grasses, shrubs and trees that form a sparse to closed canopy depending on site conditions and
138 previous disturbance regimes. In the reforestation area, current vegetation is mostly composed by
139 xerophytic shrubs (*Rosmarinus officinalis*, *Quercus coccifera*, *Q. ilex*, *Ulex parviflorus*, *Thymus*
140 *spp.*, *Juniperus oxycedrus*, *J. phoenicea* and the grass *Brachypodium retusum*) and sparse pine trees
141 (*Pinus halepensis* Mill. and *Pinus pinaster* Ait.) that survived the last wildfire in the early 90's.

142 2.2 Selected species and experimental design

143 A total of seven species were tested in this study (Table 1). All the species are native to the area and
144 grow spontaneously; they were chosen for the reforestation project (709 ha) following auto-
145 ecological and floristic-phytosociological approaches, including pines (90% of the plantation), oaks
146 (8%), juniper (1.5%), strawberry tree and ash (<1%). These species include the most typical main
147 and secondary species used in reforestation programs in Mediterranean areas (Vadell et al., 2016).
148 In the experimental plot (see below), two contrasting stocklots from different forest nurseries were
149 used in each species, in order to experimentally control this important factor on plantation
150 establishment (Burdett, 1990). In all cases the stock was grown for use in large-scale reforestation
151 programs and fitted in the regional quality standards (Hermoso, 2017).

152 To study field performance in the seven species, an experimental plot was established within the
153 boundaries of the reforestation site. The experimental design of the plot consisted in a complete
154 randomized block array with three blocks of 150 x 50 m each, containing a reiteration 50 plants per
155 stocklot (150 seedlings per stocklot, 2100 seedlings in total). In a block, each stocklot was planted
156 on a same 150 m-long row with distance between plants set at 3 meters, with the stocklots of a same
157 species planted right next to each other. Site preparation in the experimental plot was done in the
158 same way than in the reforestation area, consisting in the removal of pre-existing natural vegetation
159 and opening of 40x50x50 cm (depth, width, length) planting pits by means of a backhoe excavator.
160 Planting was done manually between late Jan-2008 and early Feb-2008 by the same planting gang,
161 so that all planters planted same proportion of a same stocklot. Pines and juniper species were
162 planted without treeshelter, whereas in the remaining species, a ventilated, 60 cm-tall shelter was
163 used. Each plant was individually labeled with the species, stocklot, block and plant number.

164 Environmental conditions were monitored along the study period. The precipitation (P),
165 temperature (T), relative humidity (RH) and soil moisture (SM) were recorded in the vicinity of the
166 experimental plot for years 2008-2009 (SM only in 2008) by appropriate sensors (Davis 7852,
167 Hobo S-THA-M002 and Decagon EC-10 for P, T/RH and SM respectively) connected to a data
168 logger (HOBO® Micro Station H21-002) and averaged or totalized on a daily basis. P and T/RH
169 series were gap-filled and lengthened (only T/RH) to longer periods by regressing the measured
170 values on the corresponding series recorded in nearby observatories from SIAR and SAIH Spanish
171 networks ($r^2=0.85$ and $r^2=0.72$ for T and RH respectively).

172 *2.3 Traits selected and post-planting monitoring*

173 In this study, different traits have been selected and categorized as plant functional traits (Table 2)
174 and species functional traits (Table 3).

175 Individual plant functional traits. These traits were measured or estimated on each planted seedling
176 and include morphological and early growth rates. Height (H_p , cm; vertical distance from ground to

177 terminal leader tip) and diameter (D_p , mm; on main stem at approximately 1 cm above ground)
178 were directly measured on each individual seedling right after out-planting (Feb-2008). From these,
179 sturdiness index (H_p/D_p) and stem volume (Vol, cm^3) were computed; Vol was calculated as an
180 integrated metric of seedling's size by using the formula for an elliptical cone: $V=(\pi D^2/4)H/3$ (Van
181 den Driessche, 1992). By using H_p and D_p together with additional information gathered for each
182 stocklot prior to planting (dry weights and image-based metrics), additional plant functional traits
183 were estimated for each planted seedling by means of artificial neural networks (this method
184 yielded better fit than linear allometric equations). The database used to run and validate these
185 models included the surveyed stocklots (measured between Dec-07 and Jan-08, $n = 50$ per species
186 for dry weight of foliar, shoot and root parts, and $n=10$ per species for foliar and root areas) plus
187 additional cases from seedlings of the same seven species and similar stocktypes surveyed in the
188 last 20 years by the authors ($n = 2757$ and 312 in total for dry weight and image-based
189 determinations respectively), completed with additional independent variables (container volume,
190 culturing nursery and species). The performance of the neural networks for the estimated traits was
191 tested on random sub-samples that included the plants of this study ($r^2 > 82\%$ in the testing set) and
192 is presented in Tables SM1 and SM2. By this means, besides dry weights, foliar area (F_{Ap} , cm^2),
193 and root area (R_{Ap} , cm^2), we calculated for each planted seedling the Dickson index ($QI = \text{total dry}$
194 $\text{weight divided by the sum of shoot/root} + \text{sturdiness}$), the specific leaf area (SL_{Ap} , cm^2/g) and an
195 estimator of wood density (Vol divided by the estimated stem dry weight) (Table 2). Details on the
196 methods used for these attributes are described elsewhere (del Campo et al., 2007a,b, 2010).

197 Early growth-related traits derive from field assessment campaigns. These were carried out during
198 the first two years after out-planting (Feb-2008, Jun-2008, Nov-2008, Nov-2009) and in the tenth
199 year (Jul-2018), by repeated measurements of height, diameter and survival on all planted seedlings.
200 Seedling growth in height, diameter and volume during the first growing season (Feb-2008 to Jun-
201 2008), and in the first summer or drought period (Jun-2008 to Nov-2008) were computed from the
202 difference between consecutive assessments and considered as early growth plant functional traits.

203 The growth was expressed as monthly absolute increments in height (cm/month), diameter
204 (mm/month) or volume (cm³/month) and noted as: IncH1, IncH2, IncD1, IncD2, IncVol1 and
205 IncVol2, where 1 and 2 refer to the first growing season and first summer period respectively.
206 Relative growth rates (RGR) were discarded for the analyses (Turnbull et al., 2008) but computed in
207 any case in order to better frame and discuss our results in the context of previously published work.

208 Species functional traits. Shoot and root-related traits were also considered at the species level,
209 given their role under drought conditions (Choat et al., 2012; Comas et al., 2013). Some of these
210 traits were drawn from the above-mentioned database, while some others were retrieved from a
211 literature review (Table 3), a common approach when comparing species traits (Choat et al., 2012;
212 Bouche et al., 2014; Tavşanoğlu and Pausas, 2018; Kattge et al., 2019). In the first set, the traits
213 considered were root fibrosity (Fib_r, % of total root length with diameter < 0.5 mm), specific root
214 length (SRL, cm/g, calculated as the ratio of root length -RL- to root dry weight -DW_r); root
215 average diameter (AD_r, cm); and root tissue density (RTD, g/cm³, estimated as the ratio of DW_r
216 to root volume, the latter calculated from AD_r and RL assuming cylindrical form of the roots). The
217 averaged specific leaf area of the species in the database (SLA_{sp}, cm²/g) was also included. In the
218 second set, the traits retrieved from the literature were: type of xylem (ring-porous, diffuse-porous
219 and tracheid), rooting depth habit (deep, shallow), zonality to the region (zonal, intrazonal), mean
220 diameter of early wood conduits (cond_{diam}, µm), water potential causing 50% loss of conductivity
221 (Ψ_{50} or WP_{PL50}, -MPa), midday water potential in summer and/or on water stressed plants (Ψ_{md} , -
222 MPa) and stomatal conductance under water stress (gs, mmol/m² s).

223 *2.4 Data analysis*

224 Data were quality-controlled in all cases by using spreadsheet software. Traits were grouped into
225 root, shoot and early growth traits. The assignment of some traits combining both shoot and root
226 attributes (i.e., QI, sturdiness index and shoot/root ratio), to the shoot or root set was done by a
227 factor analysis using the principal component analysis extraction method. Accordingly, S/R and

228 H/D were assigned to the shoot set, whereas QI was to the root set. Variance explained was 86 %.

229 Values presented are means \pm SD. Artificial Neural Networks (ANN) were performed by means of

230 the MLP (Multilayer Perceptron Network) in SPSS 22.0 (IBM Corp., 2013).

231 The study of importance of the different traits and other experimental factors (experimental block

232 and stocklot) on plantation establishment was done for both survival (Nov-2008, 2009 and 2018)

233 and early growth rates (IncH1,2; IncD1,2 and IncVol1,2). In the survival analysis, early growth

234 rates from both the spring and the summer assessments (i.e. suffixes 1 and 2) were also included as

235 predictor traits for survival 2009 and 2018, whereas only the spring early growth rate (suffix 1) was

236 considered in the case of survival Nov-2008 (as summer growth was only computed on alive plants

237 in Nov-2008). The relative importance or contribution of predictors was assessed through boosted

238 regression tree (BRT) models performed in R software (R Core Team, 2015) using the “gbm”

239 package (Ridgeway, 2017; Elith and Leathwick, 2017). This machine learning technique has

240 provided clear evidence of strong predictive performance and reliable identification of relevant

241 variables and interactions in ecological studies (Elith et al., 2008). In the BRT analysis, a Bernoulli

242 (for survival) or Gaussian (for growth variables) distribution family, learning rates of 0.001-0.0001,

243 tree complexity of 4-15, and bag fractions of 0.5-0.75 were considered. The minimum number of

244 trees was in most cases above 1500. In the survival models, the area under the ROC curve was used

245 as goodness of fit, while in the growth models the correlation coefficient was used. The results of

246 this analysis provide the relative influence (RI) of the predictors set on the response variable

247 (survival and growth). RI measures the number of times a predictor variable is selected for splitting,

248 weighted by the squared improvement in the model as a result of each split, averaged over all trees,

249 and scaled so that the sum adds to 100 (Elith et al., 2008). The higher the RI, the stronger the

250 influence of the predictor in the response variable. For those predictors with higher RI, partial

251 dependency plots (PDP) were produced by using the same package in R.

252 3. Results

253 *3.1 Meteorological conditions during the 10-year period after out-planting*

254 The mean precipitation in the 10-year studied period in the nearest weather station was 525 mm,
255 higher than the 20-year (1999-2019) average (510 mm, Figure 1). These values fairly agreed with
256 our rain gauge data at the experimental site in the common spells. Rainfall irregularity was
257 noticeable: the first year after planting was the wettest on record (2008, 730 mm), the second one
258 was slightly above the average (2009, 558 mm), whilst both years 2012 and 2014 accumulated less
259 than 75% of the average. Particularly, the period from Sep- 2013 to Aug- 2014 recorded less than
260 200 mm, which means below 40% the average, producing a severe drought all across the region
261 with many observatories (with much longer series) registering the driest year on record. In 2009,
262 however, there was a shorter and acute dry spell (Apr-09 to Aug-09), with a cumulated rainfall of
263 only 64 mm, about 35% of the 2000-2019 expected value for the same months. With regard to the
264 temperature, mean annual temperature ranged between 12.9 °C (2008) and 15.2 °C (2015), with the
265 first half of the period being colder than from year 2014 onwards (Figure 1). Soil moisture during
266 the critical first year after planting was above wilting point in the undisturbed soil except for the
267 expected summer months. In the planted spots, however, volumetric water content was lower due to
268 the lower bulk density of the stirred soil (data not shown).

269 *3.2 Species performance in the short and mid-terms: out-planting survival and growth*

270 Survival rates across species were 88.8 ± 11.2 %, 70.8 ± 25.5 % and 33.0 ± 30.0 % for years 2008,
271 2009 and 2018 respectively. Inter-species rates were very dissimilar: during the first two years of
272 establishment, both oaks (QUFA, QUIL) and Maritime pine (PIPR) presented higher mortality than
273 the remaining species, with rates close to 70 % in the case of the Lusitanian oak (QUFA, Figure 2).
274 Flowering ash (FROR) and Strawberry tree (ARUN) showed low mortality values at the
275 establishment stage (2008-2009, < 16 %), although it sharply increased in the mid-term assessment
276 in 2018 (>75 %). On the other hand, Phoenician juniper and Aleppo pine showed the best
277 performance in survival both in the short and the mid-term assessments (Figure 2).

278 Growth performance also varied across species and time (Figure 2). Three patterns could be
279 observed: in the pines, both species presented the largest increments in height, diameter and stem
280 volume that were well above the values in the remaining species. This departure took place mostly
281 from the second year onwards (Figure 2). Second, all hardwoods showed very low growth rates and
282 even decreasing height (FROR and QUFA also in diameter and volume), resulting in a 10-year
283 height value lower than at planting time. In Figure 2, negative growth means that either the
284 seedlings are top dying to resize their aerial part or that only smaller seedlings are surviving and
285 hence the sample average decreases. Finally, the juniper showed always positive increments for the
286 three growth variables but showing markedly lower rates than the pines.

287 *3.3 The influence of traits on out-planting performance along time.*

288 Several sets of variables were researched in order to explain out-planting performance: individual
289 plant functional traits at planting time, early growth rates and species functional traits. The stocklot
290 and the experimental blocks (considered as indicative of site variation) were also included as
291 predictors in the BTR as control factors.

292 Relative importance on survival performance

293 The analyses performed through BRT models yielded cross-validation ROC scores that ranged
294 between 0.77 and 0.91 for survival at years 1 and 10 respectively, while training data ROC score
295 was always above 0.9 (Table 4), highlighting the high explicative ability of the fitted models. The
296 set encompassing individual plant traits (root and shoot morphology and early growth rates)
297 presented an overwhelming importance on the early survival response (1-2 years), with RI > 60 %
298 (Figure 3, values weighted by the cv-ROC score; RI > 70 % if weighting by the training data ROC
299 score, data not shown). Shoot and especially early growth attributes, caught most of the RI, being
300 remarkable the impact of the first growing season growth (IncVoll1, IncD1 and IncH1) on first
301 year's survival (2008), and the impact of first summer's growth (IncH2 and, to a lesser degree,
302 IncD2) on 2nd year's survival (2009) (Figure 4). Root attributes of the plant showed lower RI than

303 shoot or growth traits and decreased with time (12 to 5 % for 2008 and 2018 respectively, Figure 3).
304 Plants with higher root dry weight (DW_r) and higher average root diameter (AD_r) scored
305 negatively in the fitted functions for survival for the first two years, i.e., presented less survival
306 (Figure 4). The RI of plant traits in the mid-term survival, after 2013-2014's drought, decreased
307 sharply though.

308 Species traits presented a very different pattern (Figure 3), with low impact on survival at the
309 beginning of the plantation (RI \approx 8-10 % in 2008, depending on the weighting factor), a slightly
310 increasing value in 2009 (RI \approx 18-20 %) and the highest RI at the mid-term survival after the
311 drought filter had taken place, with a RI value of 53 %. It is also remarkable, the dramatic shift in
312 RI from root to shoot species traits between the early and the mid-term assessments, which was due
313 to the overwhelming influence of Ψ_{50} over rooting habit in 2018 (Figure 4). Rooting depth habit on
314 second year's survival showed that deep-rooted species scored negatively in the fitted function
315 whilst the opposite was true for shallow-rooted species (Figure 4). The control factors included in
316 the analysis, site and stocklot, presented poor RI on out-planting performance regardless the
317 assessment date. In the case of stock quality, this influence was higher in the first year as expected,
318 losing importance afterwards but in any case, below 4 %. Site variation also showed little RI that
319 decreased with time.

320 Relative importance on early growth performance

321 Early growth performance is a key indicator of plantation success and in our case these traits proved
322 to be very influential on survival as above-mentioned. The BRT models fitted for the early growth
323 rates yielded cross-validation (cv) correlations that ranged between 0.27 for summer's growth
324 increments and 0.71 for the spring's increment of height (IncH1) (Table 5). These figures are lower
325 than those observed for the survival modeling, although they increase, obviously, for the training
326 data correlation, yielding values between 0.55 (IncD2) and 0.77 (IncVol1) (Table 5). The former
327 correlations are indicative of the predictive ability of the models, while the latter indicate the

328 explicative performance of the predictors set. In any case, spring's growth rates were always better
329 explained/predicted than summer's ones (Table 5, Figure 5). The impact of plant functional traits
330 was noticeable, with higher RI than the species functional traits, the stocklot and the site (Figure 5);
331 in particular, the set of plant shoot traits: sturdiness, stem volume, FAp and SLAp showed marked
332 influence on the springs' growth rates, while wood density, QI and planting height, were more
333 associated with summer's growth (Figure 6). It can be observed also a change in the sign of the
334 relationships (pattern in the PDP, Figure 6) between spring and summer's growth for some traits; for
335 instance, higher stem volume enhanced IncVol1, but the opposite was true for the summer's growth
336 (IncVol2), where a threshold of $\text{Vol} \approx 3 \text{ cm}^3$ for stem volume was identified. Sturdiness values
337 above 7-8 scored positively in IncD1 but negatively in IncH1, as expected (slender plants preferably
338 develop their root system). In general, spring's growth was enhanced in plants exhibiting higher
339 value in shoot traits such as SLAp, FAp and Vol.

340 Species traits presented little importance on the early growth performance (between 0-25 %,
341 weighted by the cv, Figure 5) with conduit diameter, xylem type and SRL standing out in height or
342 diameter increment in the first growing season (Figure 6). Thus, tracheid-bearing species with
343 smaller conduit diameter scored negatively on IncH1, whereas $\text{SRL} > 1000 \text{ cm/g}$ scored positively
344 on the function fitted for IncD1 (Figure 6). It must be reminded that 2008 was the wettest year in
345 the series. Stock quality and site factors had low RI on all growth rates (0-6 %, Figure 5), although
346 one model identified block 3 with higher growth rates.

347 **4. Discussion**

348 The results presented in this work, yet a single study case, allow for an objective assessment about
349 forest landscape restoration (FLR) in drylands in the face of novel and future climatic extremes. In
350 this discussion we firstly make a general assessment of the species' performance and then we move
351 from a species-centered narrative towards a trait-centered view, where the different water stress
352 strategies are used as a framework to better address site-species matching under novel climates.

353 4.1 Species' performance assessment

354 Our results show important differences in performance among the different species planted in this
355 dryland ten years after out-planted and exposed to extreme drought. The poor performance observed
356 for some species has an impact that goes beyond our experimental plot to the whole planted area
357 (709 ha) and to the practice of reforestation itself in the Eastern part of Spain, with about 5,700 ha
358 reforested in 2008 in the Valencian region with a mix of conifers and hardwoods at an average cost
359 of ca. 2,000 €/ha (MAPA, 2019). Species selection in forest restoration is a crucial step or decision
360 taken in regeneration plans and technical projects (Dougherty and Duryea, 1991) and the results
361 presented here underline the need of including novel climatic extremes as limiting factors that
362 eventually condition successfulness in drylands reforestation programs. The arising question is
363 whether traditional criteria for selecting species are still valid under current and future climates
364 (Williams and Dumroese, 2013).

365 The seven species selected in this work were those included in the technical reforestation project, all
366 of them native to the zone and already present in the vicinity of the experimental plots, thus, there is
367 evidence that the seven species grow naturally under the same environmental conditions than
368 planted trees. In fact, early performance results can be considered as very good in most species
369 when compared to those reported for the same species under similar site and climatic conditions.
370 For instance, in Aleppo pine, two-year survival in six contrasting sites of Valencia ranged between
371 12 % and 99 % (89 % in this study) (del Campo et al., 2007a). Regarding growth, first year growth
372 rates for diameter and height across those six sites averaged respectively (this study's values
373 between parenthesis): 0.16 (0.32) and 0.82 (0.93), all values as month⁻¹, indicating higher growth in
374 this work. Longer periods' works (Pausas et al., 2004; del Campo et al., 2008c) have reported
375 averaged (across several sites) survival between 40 and 65 % after 7.5-11 years (70 % in this work);
376 and plants of 210 cm tall (139 cm here) and 8.7 cm of basal diameter (5.5 cm here), these figures of
377 growth out of several afforestation programs carried out in central Spain during 1994.

378 PIPR presents a scattered distribution mostly limited by water availability, alkaline soils and forest
379 fires, presenting very low natural recruitment rates (Vizcaíno-Palomar et al., 2014). Previous
380 records for the province of Valencia (del Campo et al., 2005a, 2005b, 2008a, 2008b; del Campo and
381 Segura, 2009) reveal poor early plantation performance with mean survival of 50 % (± 37 %),
382 similar to the one recorded in this study in 2009. However, filtering in those records for clay,
383 shallow and limestone-derived soils (similar site conditions to La Muela), that average drops to
384 survival rates less than 20 %, pointing out a higher early survival in this work and the same can be
385 said for early growth. However, 10-year's survival rate in this work is unacceptable and prevents
386 about the use of this species. Higher survival both in this species (60 %) and Holm oak (92 %) has
387 been reported in a nearby location after 40 months (Valiente et al., 2011). The most remarkable
388 issue about this species is its contrasted response between growth and survival, with very low
389 survival (11 %) but the highest growth increments (volume and diameter) in the surviving plants
390 after 10 years out-planted (Figure 2). The reasons of this behavior cannot be explicitly addressed
391 within our experimental setup, but we reasonably argue in Supplementary Material (SM1) that they
392 could be explained by pH-related factors operating at the microsite (planting spot) scale.

393 In this work, Holm oak early survival (2009: 58 %) and growth are comparable to or slightly better
394 than those reported elsewhere (Pausas et al., 2004; Palacios et al., 2009), demonstrating the fitness
395 of the species to the site in absence of extreme drought. Very low survival values are common for
396 this species during the first years of establishment (Navarro-Cerrillo et al., 2009; del Campo et al.,
397 2010; Ceacero et al., 2012, 2014) and it has been recognized its poor performance when planted on
398 limestones and exposed to severe drought, with survival rates close to 15 % (Pausas et al., 2004),
399 similar to the value reported here. Ten-year's survival in central Spain was around 40-45 %, height
400 of 90 cm and basal diameter of 3.5 cm (del Campo et al., 2008c), clearly above the values reported
401 here (Figure 2) and evidencing a failure on the selection of this species.

402 The information published for the remaining species is scarcer even for short-term assays, so we
403 will make use of our database (del Campo et al., 2008a, 2008b; del Campo and Segura, 2009) and

404 cite existing literature whenever it is relevant to our conditions. QUFA performance was the worst
405 among the seven species tested, either for growth or survival, and either in the short or the long run
406 (Figure 2). The species belongs to a marcescent, sub-sclerophyllous forest-type, typical from
407 continental climates, although it can grow in intrazonal domains associated either to soil or
408 topography (Ruiz de la Torre, 2006). This would be the case in the area studied here, where it is
409 native and grows nearby the experimental plots, although it is mostly found on north-facing slopes.
410 Plantation trials with this species in zonal sites reveal high survival (>80 %) and growth (stem
411 volume >5 cm³) after 5-year in the field (Villar-Salvador et al., 2013); or 2-year's survival > 70 %
412 in a terrace plantation (Domínguez-Núñez et al., 2006). Our database for Valencia (del Campo and
413 Segura, 2009) gives an average survival of 73 % and 43 % for first and second year respectively,
414 thus confirming the inadequacy of the species to this site.

415 Establishment of ARUN on similar sites can be very variable, with survival averaging 72 % and 31
416 % for first and second year respectively (del Campo et al., 2008a; del Campo and Segura, 2009)
417 (95% and 85% in our plot, respectively), and average relative growth rate for the first two years of
418 0.020 and 0.012 month⁻¹ for H and D respectively (0.038 and 0.045 month⁻¹ in our plot
419 respectively), thus indicating a superior early performance at La Muela experimental site but also
420 the high impact of droughts on the mid-term performance. Very similar assertion can be done for
421 the ash (FROR): good early establishment but severely affected by mid-term drought. The values
422 reported for this species are scarce given its little use in forest restoration (<1% in mixed
423 plantations, given its intrazonal character), but some works report very good early performance in
424 plantation with almost full survival rates, which may remain above 80% after ten years and
425 cumulated height of 130 cm by that time (del Campo et al., 2012; Muzzi and Fabbri, 2007).

426 Finally, the JUPH has been commonly assayed in semiarid drylands under more xeric conditions
427 than in this study (Alrababah et al., 2008; Padilla et al., 2009, 2011), with absolute survival values
428 usually below 45 % in early establishment stages, but relatively high survival when compared with
429 other co-assayed species. Under dry sub-humid conditions (this site) survival rate increases as

430 observed in our database (67 and 51 % for first and second year respectively) and in North Eastern
431 Spain (Badía et al., 2007), with 70 and 62 % survival for second and tenth year respectively. These
432 authors also reported 10-year height growth in this juniper which is equivalent in relative terms to
433 ours. Hence, it can be argued that this species has presented a good performance in our study site
434 either at the short or the mid-term.

435 4.2 Looking beyond the taxon: traits-based performance assessment

436 Previous rationale provides a qualitative, taxon-based assessment of the plantation that can have
437 limited utility beyond our ecoregional context or under new drought regimes. Given the co-
438 occurrence of all studied taxa in the area, differences observed in performance must have relied
439 necessarily on the wide variation of morphological, physiological and hydraulic traits facing
440 drought and water stress among species (Brodribb et al., 2014; Pratt et al., 2015; Garcia-Forner et
441 al., 2017). Relating field performance in our species to these strategies (Table 6) can have a more
442 meaningful application of our results elsewhere. Angiosperms are usually more exposed to xylem
443 failure during drought (Choat et al., 2012; Johnson et al., 2012), being embolism the final cause of
444 mortality. This rationale fits to our experimental data, as angiosperms presented lower fitness than
445 conifers and less potential for adaptation to extreme drought. The four angiosperms assayed here
446 presented evidence of runaway xylem cavitation and partial recovery capability as observed on the
447 re-sprouting either from shoot (presenting dead tops) or root (Figure SM3) which resulted in lower
448 10-year final height than at planting time (Figure 2). Re-sprouting for ARUN, FROR, QUFA and
449 QUIL in 2009 reached 23, 2, 41 and 17 % of surviving seedlings respectively, whilst in 2018 these
450 figures rose up to 83, 47, 100 and 26% (data not shown). Given the high mortality after 10-year in
451 the four angiosperms, the assessment of field performance is more meaningful in the early
452 establishment stage, when most differences among species came up. Here, both oaks showed high
453 mortality as opposed to FROR and ARUN. According to the species functional traits compiled for
454 this study (Table 6), both oaks possess deep root systems (as indicative of predictable water supply),
455 wider xylem vessels and higher stomatal conductance under water stress than the other angiosperms

456 tested. Also, in QUFA previous work has observed that the diameter of earlywood vessels has little
457 climate sensitivity (Corcuera et al., 2004), affecting its capability to adjust the diameter of vessels to
458 soil moisture availability, which would be disadvantageous in shallow-rooted seedlings planted on
459 shallow soils, and would explain why this species suffered high mortality from the very beginning.
460 Similarly, holm oak is a species with high vulnerability to xylem embolism and low recovery
461 capacity of embolized vessels (Trifilò et al., 2015; Martínez-Vilalta et al., 2003). Garcia-Forner et
462 al. (2017) have studied the response of this species to experimentally induced severe and recurrent
463 drought in deeper soils and reported mortality rates lower than 20 % and re-sprouting recovery
464 capacity. In our case, the high mortality and the low re-sprouting values, underlie the intensifying
465 effect of the drought on shallow soils, this aggravated by the use of shallow-rooted stock, that ends
466 up preventing access to deep soil moisture and mismatching the species' strategy to water shortage.
467 Our BRT model for survival in 2009 confirmed that deep-rooting species survived less in this site
468 because of the shallow soil and the stock (necessarily short-rooted). Summarizing for oaks, deep-
469 rooting habit, vulnerable xylem (wider vessels), relatively high g_s under water stress, and the
470 extreme level of water deficit (2013-2014) are the combination of factors that led to poor
471 performance from the very beginning. It has been reported that limestone, clay, rocky and shallow
472 soils as those found in our study site can hold as little as 19 mm of available water (Serrasolses and
473 Alloza, 2004). ARUN, although vulnerable to cavitation (Table 6), presents lower conduit diameter
474 either in roots or stems and lower hydraulic and stomatal conductance than Holm (Martínez-Vilalta
475 et al., 2003), thus explaining its better early performance. These traits match its shallow rooting
476 habit and allow for an improved water-stress response; also, root re-sprouting was high in this
477 species, which in turn improves water relations and growth more than in mature tissues (Castell et
478 al., 1994). FROR has also been reported to have reduced leaf hydraulic and stomatal conductance
479 (Gortan et al., 2009) under low water availability, with lower values than Holm oak (Fusaro et al.,
480 2017). Also, it possesses safety xylem traits such a large safety margin (≈ 1.5 – 2.0 MPa) and high
481 wood density (Petruzzelli et al., 2019) conferring a good drought response in overall. In both

482 species (ARUN and FROR), lower gs under water stress, together with small conduit diameter and
483 shallow-rooting habit might have played a role in their better early survival rates. However, none of
484 these traits was enough to overcome the 2014's drought.

485 Conifer species clearly differed from angiosperms, with no height decrease in the 10-year study
486 period, implying that xylem hydraulic functionality was preserved, and no runaway cavitation took
487 place in the alive seedlings, although growth was very different between both genera. Juniper
488 species usually present very high resistance to xylem cavitation (Martínez-Vilalta et al., 2003,
489 Brodribb et al., 2014), which is related to narrow mean tracheid lumen, high wood density (Oliveras
490 et al., 2003; Pratt et al., 2015) and especially to the degree of torus-aperture overlap (i.e. the ratio of
491 the torus diameter to pit aperture diameter) (Delzon et al., 2010; Pittermann et al., 2010). In PIHA
492 and JUPH similar tracheid diameters have been reported (Table 6, Mohareb et al., 2016). The pit
493 membrane resistivity has been stressed as the key trait that ultimately determines the air-seeding
494 resistance of torus-margo pit membranes and allows juniper species to grow competitively in very
495 xeric areas (Pittermann et al., 2010). These authors reported torus-aperture overlapping values close
496 to 2 for other *Juniperus sp.* and demonstrated its increasing value with more negative cavitation
497 pressure. However, high torus-aperture overlap values have been also observed in PIHA, between
498 1.6 (Delzon et al., 2010) to more than 3 (David-Schwartz et al., 2016). Pine species possess higher
499 tracheid lumen (Oliveras et al., 2003) and mostly rely on a strong stomatal control of transpiration
500 to overcome water stress (Martínez-Ferri, et al., 2000; Baquedano and Castillo, 2006; Table 6),
501 mediated by abscisic acid (ABA) metabolism (R-Type Gymnosperm, according to Brodribb et al.,
502 2014). Accordingly, both juniper and Aleppo pine rely on different strategies to cope with water
503 shortage and both resulted in successful fitness under the ecological constraints observed in this
504 work. The Junipers' strategy is advantageous under extremely water-limited environments and has
505 costs associated with building highly cavitation-resistant xylem and desiccation-tolerant leaves
506 (Brodribb et al., 2014). However, this extreme does not seem the only alternative here, as the
507 threshold identified in our BRT model for Ψ_{50} is slightly below that of the Aleppo pine (-4.8 MPa),

508 so that, under the extreme climate observed here, there is ecological space for the pine's strategy
509 too, with less conservative growth traits and a more efficient use of resources (e.g. higher SLA)
510 (Wright et al., 2004). It must be said, however, that the importance of the selected traits grounds not
511 on the magnitude considered here, but on the selection of a particular trait in discriminating species'
512 performance under severe drought events and the RI value assigned to it in the BRT analysis; Table
513 6 is a clear example on the variability of magnitudes found in the literature (see for instance Ψ_{50} in
514 PIHA and QUIL, that averages -4.8 and -4.0 MPa respectively across studies).

515 **Conclusions**

516 Emerging circumstances derived from global change imparts high uncertainty regarding future
517 condition (ecological and societal) of forest ecosystems to be restored (Jacobs et al., 2015). Thus,
518 forest managers must make decisions efficiently based on incomplete information and in a context
519 of great uncertainty. One important question to address is whether ecological or phytosociological
520 criteria based on historical conditions are still valid to select species. Here we have demonstrated
521 that the suite of species incorporated in restoration programs should be enhanced in terms of
522 functional resilience to emerging environmental conditions. Whilst plant functional traits were
523 important in plantation performance under normal conditions, their importance sharply dropped in
524 favor of the species functional traits after a historical drought took place. Thus, a trait-oriented
525 approach to select species might represent a key tool in achieving the adaptive forest restoration
526 targets in a climate change context. According to reviewed data and the evidence of our results, the
527 dynamic response of stomata and xylem traits are the set of PFT that allowed the assayed species
528 with the capacity to adjust their morphology and physiology in response to varying environmental
529 factors and especially to water availability. Conifer species clearly differed from angiosperms. The
530 suite of factors that led to poor performance in oaks were deep-rooting habit, vulnerable xylem,
531 relatively high g_s under water stress, and the extreme level of water deficit. In contrast, conifer
532 seedlings preserved xylem hydraulic functionality and no runaway cavitation took place, although

533 growth was very different between genera. Our experimental data revealed that angiosperms
534 presented lower fitness to the planting site conditions than conifers and less potential for adaptation
535 to extreme drought.

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846 TABLE CAPTIONS

847 **Table 1.** Species and provenances used in this work

848 **Table 2.** Individual plant functional traits used in this work, with the across-species range and
849 mean, and the species average and standard deviation. Height (Hp, cm); Sturdiness (HDp, cm/mm);
850 Foliage dry wt., (DW_f, g); Shoot dry wt., (DW_s, g); Total dry wt., (DW_t, g); Shoot/root (S/R);
851 Foliar area, (FAp, cm²); Specific leaf area, (SLAp, cm²/g); Stem volume, (Vol, cm³); Wood Density
852 (WD, g/cm³); Basal diameter, (Dp, mm); Root dry wt., (DW_r, g); Dickson index (QI); and Root
853 area (RAp, cm²). Dry weights (DW), SLAp, FAp and RAp estimated with the use of artificial neural
854 networks, ann (SLAp was also estimated as the ratio FAp/DW_f).

855 **Table 3.** Species functional traits used in this work. Specific leaf area (SLA_sp, cm²/g), specific
856 root length (SRL, cm/g), root tissue density (RTD, g/cm³), root average diameter (AD_r, cm), root
857 fibrosity (Fib_r, %), mean diameter of early wood conduits (cond_diam, μm), water potential
858 causing 50% loss of conductivity (Ψ50 or WP_PL50, -MPa), midday water potential under water
859 stress (Ψmd, -MPa) and stomatal conductance under water stress (gs, mmol/m² s). Values for the
860 categorical traits (type of xylem, rooting depth and zonality), are presented in Table 6 together with
861 the references. The species average and standard deviation is presented for the figures retrieved

862 from the author's database. The dataset was obtained after several years of implementing
863 reforestation improvement programs in the region of Valencia. Image analysis for foliar area and
864 root morphology performed as described in del Campo et al., (2007a, 2007b).

865 **Table 4.** Summary of the Boosted Regression Trees (BTR) models fitted for survival in the first
866 (2008), second (2009) and tenth (2018) year after out-planting. In BRT, the measure of model fit is
867 the total % deviance explained and model predictive performance (the mean CV correlation
868 coefficient of observed vs predicted values derived from 10 folds). Cross-validation correlation
869 coefficients were used to weight the relative importance of the predictors. se: standard error of the
870 coefficients. ROC: area under the ROC curve (0-1).

871 **Table 5.** Summary of the Boosted Regression Trees (BTR) models fitted for traits of early growth
872 performance: monthly increment in diameter (D), height (H) and stem volume (Vol) either in the
873 spring after planting (1) or in the first summer (2). Cross-validation correlation coefficients were
874 used to weight the relative importance of the predictors. se: standard error of the coefficients.

875 **Table 6.** Morphological, physiological and hydraulic traits retrieved from the literature used to
876 relate drought and water stress strategies of the species to field performance. Type of xylem: RP:
877 ring-porous, DP: diffuse-porous and T: tracheid. Ψ_{50} , water potential causing 50% loss of
878 conductivity. Ψ_{md} midday water potential in summer and/or water stressed plants. gs, stomatal
879 conductance under water stress. (1) in *Juniperus* sp. (2) pre-dawn water potential.

880

881 FIGURE CAPTIONS

882 **Figure 1.** 12-moths (Sep-Aug) cumulated precipitation gathered at Cortes de Pallás-Casa del Barón
883 weather station (CHJ, MITECO) for the last 20 years, showing the extreme meteorological drought
884 in 2013-2014. The inset represents mean and total precipitation and average temperature values for
885 the years in this study (2008-2018).

886 **Figure 2.** Mean species value of mortality (%), height (cm), diameter (mm) and stem volume (cm³)
887 along the 10-year period studied for field performance. In 2008 (planting year), both the spring and
888 the summer performance values are shown. Figures outside the bars (open dots), indicate final
889 (2018) values. Bars correspond to standard deviations.

890 **Figure 3.** Relative importance (RI, %, obtained from BRT models) of the different sets of traits and
891 independent variables on plantation survival (for 2008, 2009 and 2018). The set of predictors for
892 survival are site (or experimental block), stock quality (stocklot), individual plant functional traits
893 (grouped in shoot, root and early growth performance) and species functional traits (grouped in
894 shoot and root). Partial dependence of the 4 highest-ranked predictors (higher relative importance in
895 the BRT models) are presented in Figure 4.

896 **Figure 4.** Partial dependence plots (PDP) for the of the 4 highest-ranked predictors (higher relative
897 importance in the BRT models) on plantation survival performance (2008, 2009 and 2018). The Y
898 axis is centered to have zero mean over the data distribution and spans the same range (in units of
899 logit(p) from the mean predicted response value) across all plots to make the magnitude of the
900 effects comparable among predictors. X-axes show rug plots that visualize the distribution of the
901 respective data space in deciles, in order to avoid overinterpreting regions with almost no data. Note
902 (*): WP_PL50* in -MPa; ann* = artificial neural network

903 **Figure 5.** Relative importance (RI, %, obtained from BRT models) on the early-growth
904 performance (increments in diameter, height and volume) in the first growing season (1) and in the
905 first summer (2) after planting of the different sets of traits and independent variables. The set of
906 predictors for the early growth response are site (or experimental block), stock quality (SQ,
907 stocklot), individual plant functional traits (grouped in shoot and root, Pt_s and Pt_r respectively)
908 and species functional traits (grouped in shoot and root, Sp_s and Sp_r respectively). Partial
909 dependence for the most influential independent variables presented in Figure 6.

910 **Figure 6.** Partial dependence plots (PDP) for the four most influential variables or predictors

911 (higher relative importance in the BRT models) on early growth performance: diameter growth in
 912 the first spring and first summer after planting ($\Delta D1$ and $\Delta D2$ respectively), height growth in the
 913 first spring and first summer after planting ($\Delta H1$ and $\Delta H2$ respectively) and volume increment in
 914 the first spring and first summer after planting ($\Delta Vol1$ and $\Delta Vol2$ respectively). The Y axis is
 915 centered to have zero mean over the data distribution and spans the same range (in units of standard
 916 deviation from the mean predicted response value) across all plots to make the magnitude of the
 917 effects comparable among predictors. X-axes show rug plots that visualize the distribution of the
 918 respective data space in deciles, in order to avoid overinterpreting regions with almost no data. Note
 919 (*): WP_PL50* in -MPa; ann* = artificial neural network

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923 **Table 1.**

Scientific name	Common name, number of stocklots planted in this work (Code)	Provenance
<i>Arbutus unedo</i> L.	Strawberry tree, 2 (ARUN)	E-25 Range Iberic Meridional
<i>Fraxinus ornus</i> L.	Flowering ash, 1 (FROR)	Range Iberic of Valencia
<i>Juniperus phoenicea</i> L.	Phoenician juniper, 2 (JUPH)	E-25 Range Iberic Meridional
<i>Pinus halepensis</i> Mill.	Aleppo pine, 3 (PIHA)	ES-10 Eastern inland
<i>Pinus pinaster</i> Ait.	Maritime pine, 2 (PIPR)	ES-16 East
<i>Quercus faginea</i> Lam.	Lusitanian oak, 2 (QUFA)	ES-10 Alcarria Serrania Cuenca
<i>Quercus ilex</i> subsp. <i>ballota</i> (Desf.) Samp.	Holm oak, 2 (QUIL)	ES-12 La Mancha-Montiel

924

925 **Table 2.**

Trait type	Abbrev.	All species [range]mean	ARUN	FROR	JUPH	PIHA	PIPR	QUFA	QUIL
Shoot	Hp	[1.1,62]17.7	16.2±8.7	15.2±4.8	12.7±3.3	17.6±4.5	17.8±6.3	26±15	15.1±7.2
	HDp	[0.7,22.5]6.5	6.2±2.2	3.2±1.2	8.7±3.8	7.5±2.8	6.9±2.7	7.3±3.7	4±1.6
	DW_f	[0.01,2.88]0.76	0.83±0.37	0.42±0.23	0.45±0.18	0.77±0.27	1.01±0.45	0.61±0.42	0.95±0.53
	DW_s	[0.01,6]1.28	1.39±0.73	1.07±0.65	0.72±0.35	1.09±0.33	1.4±0.57	1.64±1.24	1.45±0.91
	DW_t	[0.16,12.31]2.69	1.9±0.96	2.81±1.52	1.58±0.62	2.02±0.54	2.2±0.72	4.65±2.63	3.47±1.95
	S/R	[0.18,6.39]1.2	2.75±0.74	0.61±0.13	0.84±0.3	1.16±0.14	1.72±0.42	0.48±0.17	0.69±0.09
	FAp	[0.01,171]51	59±28		10±5	55±18	57±30	60±27	50±24
	SLAp	[1,436]69	67±20		23±8	74±13	54±16	123±63	56±12
	SLAp_ann	[18,114]70	88±5		24±6	83±13	69±16	87±8	51±6
	Vol	[0.01,9.56]0.56	0.4±0.36	1.35±1.12	0.11±0.11	0.3±0.15	0.37±0.2	1.09±1.15	0.66±0.58
	WD	[0.1,12]1.4	2.1±1.4	0.5±0.1	2.8±1.1	1.2±0.6	1.2±0.4	1.1±0.4	0.9±0.3
Root	Dp	[0.5,14.2]3	2.6±1	5.3±2.1	1.7±0.7	2.5±0.6	2.6±0.6	3.5±1.1	3.8±0.9
	DW_r	[0.02,6.33]1.41	0.5±0.25	1.72±0.9	0.86±0.33	0.93±0.22	0.8±0.17	3.02±1.41	2.02±1.05
	QI	[0.01,4.66]0.45	0.23±0.18	0.92±0.74	0.22±0.15	0.26±0.13	0.3±0.18	0.63±0.31	0.75±0.39
	RAp	[53,404]193	175±56		222±44	188±45	249±53	172±78	163±56

926 **Table 3.**

Species traits	Values retrieved from authors' database (similar seedlings and stocktypes)							Values retrieved from the literature (averaged from table 6)			
	Total seedlings (Stocklots), n°.	Plants with image analysis n°.	SLA_sp	SRL	RTD	AD_r	Fib_r	cond_diam,	Ψ ₅₀ ,	Ψ _{md} ,	gs
ARUN	765(8)	15	47.6±14.3	695±517	.76±.32	.057±.01	71±9	35	3.1	4	20
FROR	298(3)	10	51.6±5.3	1122±74	.44±.11	.052±.01	69±13	35	3.3	1.8	40
JUPH	383(6)	18	19.9±9.3	954±385	.71±.71	.053±.01	66±5	22	8	6.5	30
PIHA	10660(64)	264	69.9±20.5	1315±461	.40±.23	.058±.02	55±19	20	4.8	0.75	13
PIPR	2470(16)	56	67.4±16.6	1138±153	.20±.05	.077±.01	50±9	19	3.7	1.65	28
QUFA	794(7)	15	84.2±7.9	288±37	.91±.47	.076±.02	75±6	70	3.4	3.4	65
QUIL	4194(34)	142	46.4±12.8	262±68	1.44±.52	.061±.01	70±5	80	4.0	2.85	65
Total	19564(520)	520									

928 **Table 4.**

Survival	N°. of trees	Mean total deviance	Mean residual deviance	Estimated cross-Validation deviance (se)	Training data ROC score	Cross-Validation ROC score (se)
2008	2150	0.721	0.492	0.643(0.011)	0.908	0.763(0.013)
2009	4200	1.231	0.576	0.791(0.023)	0.949	0.873(0.008)
2018	8600	1.251	0.666	0.71(0.02)	0.920	0.910(0.007)

929

930 **Table 5.**

	No. trees	Mean total deviance	Mean residual deviance	Estimated cross-Validation deviance (se)	Training data correlation	Cross-Validation correlation (se)
Inc_D1	1450	0.042	0.020	0.025(0.001)	0.733	0.633(0.014)
Inc_D2	3350	0.023	0.018	0.022(0.003)	0.551	0.273(0.033)
Inc_H1	3650	3.210	1.643	2.122(0.204)	0.711	0.586(0.013)
Inc_H2	2050	1.205	0.929	1.115(0.250)	0.574	0.270(0.038)
Inc_Vol1	1050	0.056	0.024	0.034(0.003)	0.768	0.632(0.014)
Inc_Vol2	1300	0.048	0.036	0.045(0.011)	0.604	0.272(0.044)

931

932 **Table 6**

	ARUN	FROR	JUPH	PIHA	PIPR	QUFA	QUIL
Type of xylem	DP	RP	T	T	T	RP	DP
Mean diam. of early wood conduits, μm	20-50 ^a	20-50 ^a	22 ^b	17 ^z , 22 ^b	19 ^c	70 ^d	50-100 ^a , 80 ^c
Vessels/mm ²	>200 ^a	50-100 ^a				90 ^a , 95 ^d	5-20 ^a , 73 ^v
Inter-conduit pit membrane diam, μm	>10 ^a	4-7 ^a		2 ^z (0.6 torus to pit apert. overlap)	3.2 ^x		4-7 ^a

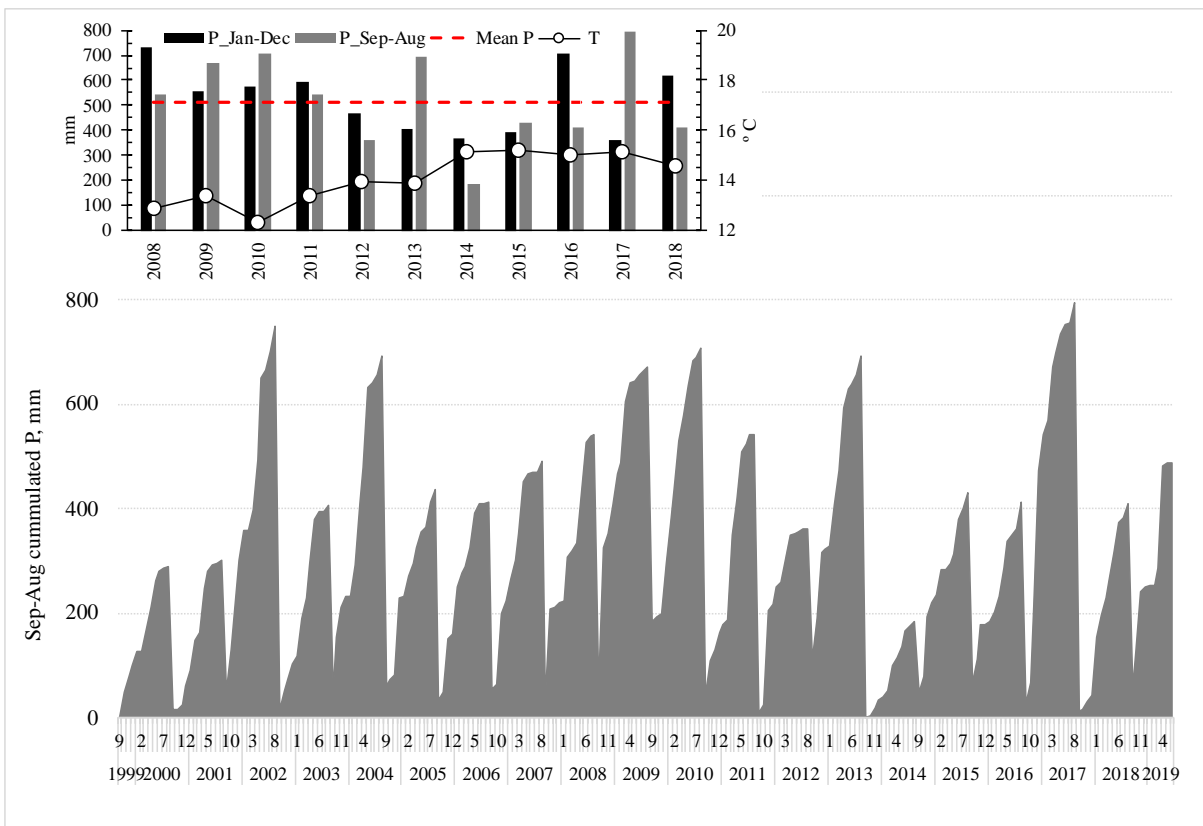
Ψ_{50} , -MPa	3.1 ^t	3.3 ^g	> 8 ^{f,h(1)}	3.1-6.0 ^{h,s,w,z}	3.7 ^h	3.4 ^t	2.0-6.0 ^{f,j,ac}
Ψ_{md} , -MPa	4.0 ^k	1.8 ^g	3.6 ^q , 7.5 ^l	0.7 ^q , 0.8 ^{aa}	0.5 ^{ab(2)} , 1.5 ^t , 2.1-2.5 ^{y,u}	3.4 ^m	1.9 ^q , 3.0 ^k , 3.5 ^{n,m}
gs, mmol m ⁻² s ⁻¹	≈ 20 ^{t,k}	15 ^p , ≈40 ^o , 514 ^g	≈27 ^q , ≈35 ^r	≈15 ^q , ≈12 ^r	≈20 ^t , 36 ^u	≈ QUIL ^m	≈40 ^o , ≈50 ^q , ≈65 ^k , ≈75 ^r
Rooting depth	Shallow ^k	Shallow ^g	Shallow ^l	Shallow ^{ac,ad}	Deep ^{ac,ad}	Deep ^d	Deep ^k
Intrazonal to this site (soil/topography)	No	No	No	No	Yes (low soil pH)	Yes (N-facing slopes)	No

933 (a) Crivellaro and Schweingruber (2013); (b) Mohareb et al., (2016); (c) Vieira et al., (2014); (d) Corcuera et al., (2004); (e) Abrantes et al., (2013); (f)
934 Martínez-Vilalta et al., (2003); (g) Petruzzelli et al., (2019); (h) Delzon et al., (2010); (i) Gil-Pelegrín et al., (2017); (j) Martin-StPaul et al., (2014); (k)
935 Castell et al., (1994); (l) Castillo et al., (2002); (m) Mediavilla and Escudero (2004); (n) Garcia-Forner et al., (2016); (o) Fusaro et al., (2017); (p)
936 Gortan et al., (2009); (q) Baquedano and Castillo (2006); (r) Martínez-Ferri et al., (2000); (s) Oliveras et al., (2003); (t) Picon et al., (1996); (u)
937 Fernández et al., (2000); (v) Robert et al., (2017); (w) Froux et al., (2002); (x) Bouche et al., (2015); (y) Aussenac and Valette, (1982); (z) David-
938 Schwartz et al., (2016); (aa) Melzack et al., (1985); (ab) del Campo et al., (2005); (ac) Ruiz de la Torre, (2006); (ad) Andivia et al., (2019); (ae)
939 Peguero-Pina et al., (2014)

940

941 FIGURES

942

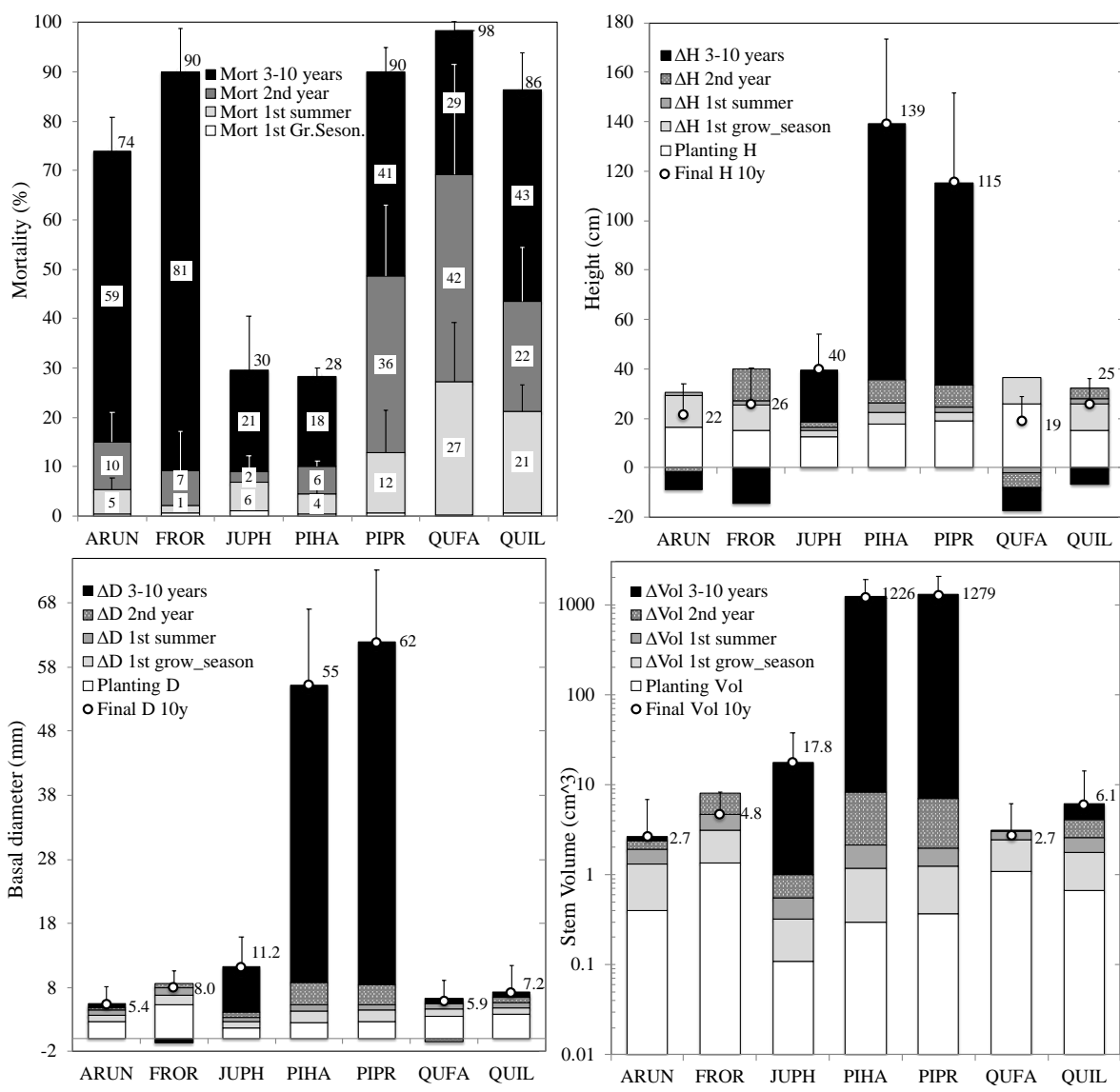


943

944 **Figure 1.** 12-months (Sep-Aug) cumulated precipitation gathered at Cortes de Pallás-Casa del Barón
945 weather station (CHJ, MITECO) for the last 20 years, showing the extreme meteorological drought
946 in 2013-2014. The inset represents mean and total precipitation and average temperature values for
947 the years in this study (2008-2018).

948

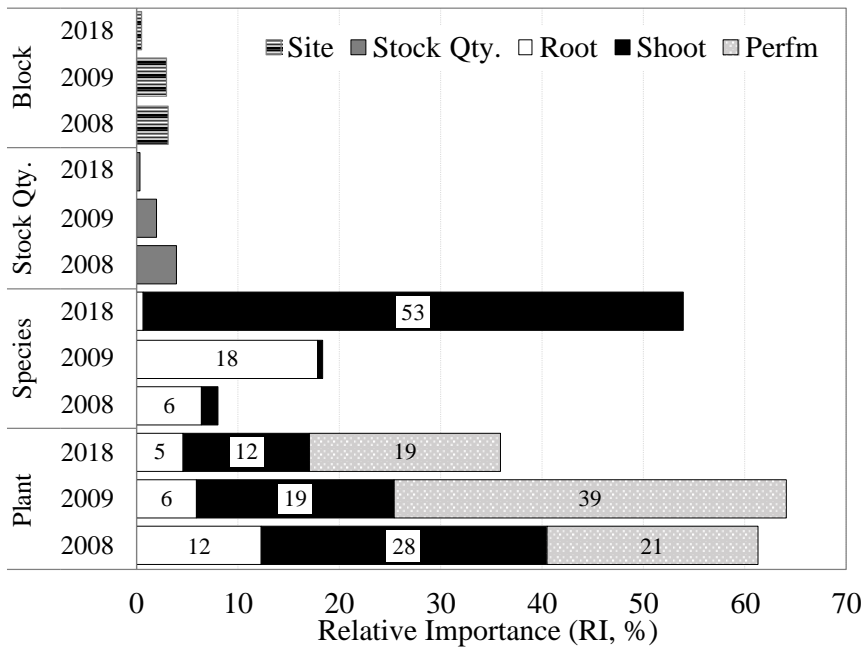
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950

951 **Figure 2.** Mean species value of mortality (%), height (cm), diameter (mm) and stem volume (cm³)
 952 along the 10-year period studied for field performance. In 2008 (planting year), both the spring and
 953 the summer performance values are shown. Figures outside the bars (open dots), indicate final
 954 (2018) values. Bars correspond to standard deviations.

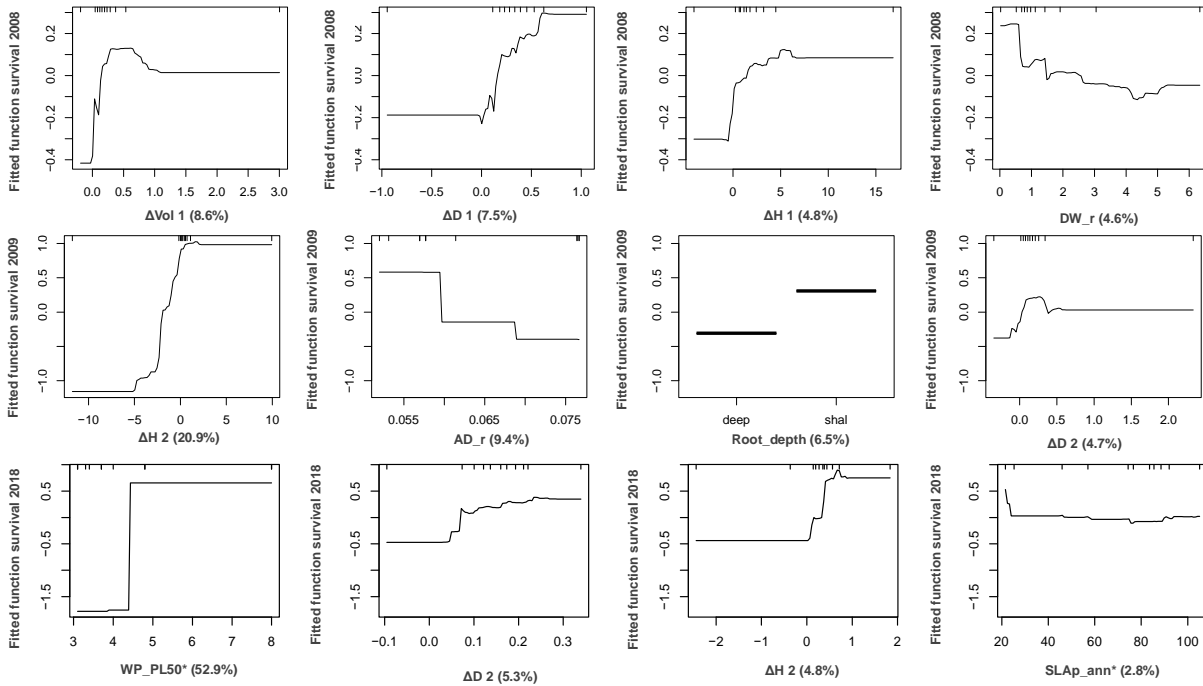
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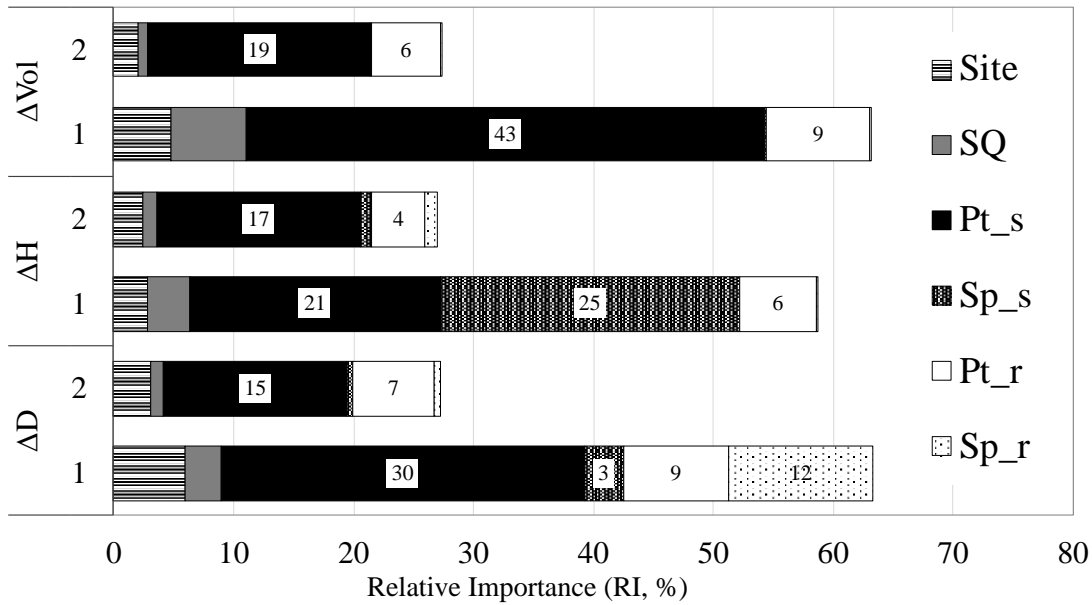
957 **Figure 3.** Relative importance (RI, %, obtained from BRT models) of the different sets of traits and
 958 independent variables on plantation survival (for 2008, 2009 and 2018). The set of predictors for
 959 survival are site (or experimental block), stock quality (stocklot), plant traits (grouped in shoot, root
 960 and early growth performance) and species traits (grouped in shoot and root). Partial dependence of
 961 the 4 highest-ranked predictors (higher relative importance in the BRT models) are presented in
 962 Figure 4.

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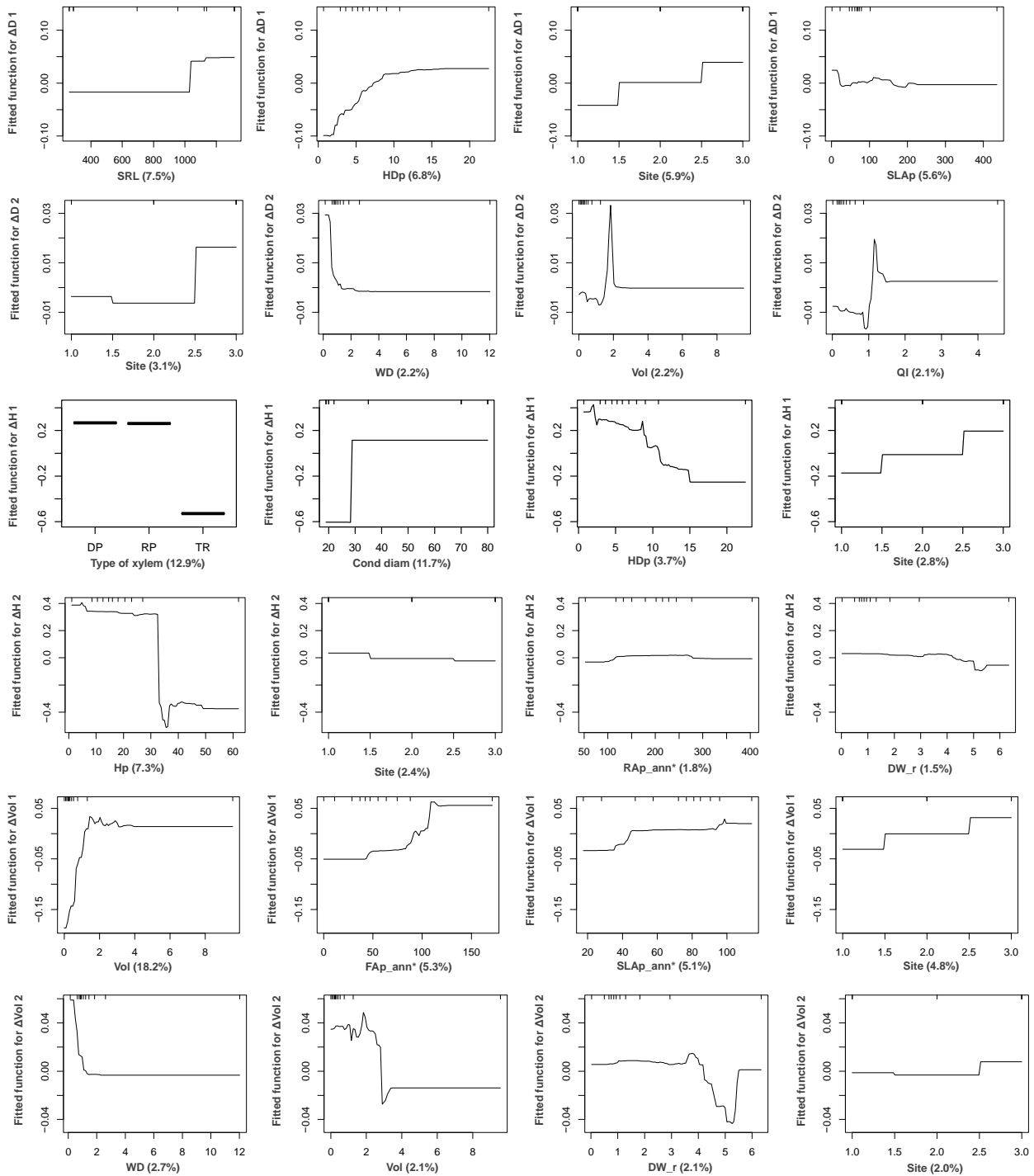
967 **Figure 4.** Partial dependence plots (PDP) of the 4 highest-ranked predictors (higher relative
968 importance in the BRT models) on plantation survival performance (2008, 2009 and 2018). The Y
969 axis is centered to have zero mean over the data distribution and spans the same range (in units of
970 $\text{logit}(p)$ from the mean predicted response value) across all plots to make the magnitude of the
971 effects comparable among predictors. X-axes show rug plots that visualize the distribution of the
972 respective data space in deciles, in order to avoid overinterpreting regions with almost no data. Note
973 (*): WP_PL50* in -MPa; ann* = artificial neural network



974

975 **Figure 5.** Relative importance (RI, %, obtained from BRT models) on the early-growth
 976 performance (increments in diameter, height and volume) in the first growing season (1) and in the
 977 first summer (2) after planting of the different sets of traits and independent variables. The set of
 978 predictors for the early growth response are site (or experimental block), stock quality (SQ,
 979 stocklot), plant traits (grouped in shoot and root, Pt_s and Pt_r respectively) and species traits
 980 (grouped in shoot and root, Sp_s and Sp_r respectively). Partial dependence for the most influential
 981 independent variables presented in Figure 6.

982



983
 984 **Figure 6.** Partial dependence plots (PDP) of boosted regression tree (BRT) models showing the fitted
 985 functions of the 4 highest-ranked predictors (higher RI in the BRT models) on the early growth
 986 performance (monthly lapse): diameter growth (ΔD), height growth (ΔH) and volume increment
 987 (ΔVol), computed either in the first spring or in the first summer after planting (suffixes 1 and 2
 988 respectively). The Y axis is centered to have zero mean over the data distribution and spans the same
 989 range (in units of standard deviation from the mean predicted response value) across all plots to make
 990 the magnitude of the effects comparable among predictors. X-axes show rug plots that visualize the
 991 distribution of the respective data space in deciles, in order to avoid overinterpreting regions with
 992 almost no data. Note (*): WP_PL50* in -MPa; ann* = artificial neural network.
 993