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Additional Information

Defect reconstruction by non-destructive testing with laser induced ultrasonic detection

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9 Abstract

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This work envisages a detailed study of two-dimensional defect localization and reconstruc-10 tion, using laser generated ultrasound and its application as a remotely controlled non-11 destructive testing method. As an alternative to full ultrasonic or full optical approaches, 12 we propose a hybrid configuration where ultrasound is generated by impact of laser pulses, 13 while the detection is done with conventional transducers. We implement this approach for 14 defect reconstruction in metallic elements and show that it combines advantages of both 15 photonic and ultrasonic devices, reducing the drawbacks of both methods. We combine our 16 experimental results with a high-resolution signal processing procedure based on the syn-17 thetic aperture focusing technique for the benefit of the final two-dimensional visualization 18 of the defects. 19

20 Keywords: laser ultrasonics, defect reconstruction, non-destructive testing, synthetic

²¹ aperture focusing technique, NDT, SAFT, B-scan

22 1. Introduction

Non-Destructive Testing (NDT) methods are nowadays implemented in industrial ap-23 plications for the detection of fractures and defects in different materials [1]. The most 24 extended NDT techniques involve transducers generating ultrasound waves and detecting 25 them after the propagation through the material [2]. Typically, they excite ultrasound using 26 conventional transducers placed in contact with the sample and they analyze the ultrasonic 27 pulse's Time Of Flight (TOF) through the material under test from the transducer to the 28 receiver in order to identify discontinuities in the wave propagation. This approach was 29 extensively discussed in many research papers where defects were localized in space, e.g. 30 the measurement of the crack location, height and width [3][4][5], or of the crack penetra-31 tion, using ultrasonic guided waves [6]. The detection commonly relies on pulse-echo or 32

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pitch-catch modes. Pulse-echo mode uses the same ultrasonic transducer to generate and 33 detect the signals and for a good detection, the defect should be vertically aligned with the 34 sensor. The pitch-catch mode uses an emitter and a receiver and has more flexibility to 35 work both in transmission and reflection modes. This technique can take measurements at 36 different angles, but it is more expensive as it requires many sensors and more complex data 37 processing [7][8]. Ultrasonic signal frequency, ranging from fractions of MHz up to 20 MHz, 38 affects the sensitivity and resolution of the measurement. At higher frequencies, smaller 39 defects can be detected more accurately, but also surface scattering reduces the penetration 40 depth. These methods have the advantages of low cost, easy implementation and provide 41 satisfactory results in many applications. Among the drawbacks we mention low output 42 power preventing such systems to be used remotely, low frequency bandwidth range that 43 makes necessary the use of transducer arrays, small excitation areas that prevent covering 44 large object areas at once and require ultrasonic scanners, and quite low spatial resolution 45 in the excited volume and in the detection. 46

As an alternative, all-optical methods known as laser-ultrasonics, based on laser gener-47 ated ultrasound waves and optical detection of the propagated waves, showed up in the NDT 48 field, offering the possibility of remote excitation and detection at a much higher resolution 49 [9][10]. The laser pulse is rapidly absorbed into a shallow volume of the material inducing a 50 stress wave that generates an acoustic pulse [10]. The detection of the transmitted and/or 51 reflected signals can be done as well using optical systems detecting the vibration created 52 by the acoustic wave at the surface, as for example optical interference [11] or techniques of 53 holographic interferometry [9]. All-optical methods have important advantages such as the 54 remote non-contact application and control, the generation of broadband frequency wave 55 spectrum (from KHz to GHz), high output power and the possibility to easily scan a larger 56 object area at once. As a drawback we mention the critical mechanical stability and the need 57 for an anti-vibration setup in order to obtain reliable results, which makes them difficult to 58 apply in certain fields. 59

In this work, we propose a hybrid system that combines the advantages of the optical 60 systems for remotely laser-generated ultrasonic with conventional transducers for detection. 61 The ultrasound will be induced by laser pulses, as in the all-optical methods, allowing inspec-62 tion from a far distance from the object and enabling a scan of the test specimen remotely, 63 without the need of a direct contact. Laser generated ultrasound also provides a broad 64 frequency bandwidth excitation compared with the limited bandwidth of ultrasonic trans-65 ducers, covering the whole ultrasonic bandwidth needed for different applications. On the 66 other side, the use of traditional transducers (contact or contactless) for the ultrasound detec-67 tion removes the interferometric stability problems in the all-optical techniques. The hybrid 68 system allows an improved measurement of the defects location and size by implementing 69 scan measurements over a specific surface area. We combine our experimental results with a 70 signal processing technique based on synthetic aperture focusing technique (SAFT). SAFT 71 technique is based on the principle of superposition of multiple signals captured at differ-72 ent positions on the surface of the object under test and shifted numerically in time by a 73 delay corresponding to the spatial displacement of the exciters/receivers. This generates a 74 focused image of the defect out of multiple unfocused images. This focused image would 75

have a much higher amplitude at the defect position due to the diffraction of the waves at 76 the defect boundaries compared to healthy positions inside the object of interest. SAFT has 77 the advantage of being able to visualize the whole volume providing 2D or 3D information 78 about the object depending on the resolution and the number of scans performed on the 79 object [12][13][14][15]. Our contribution mainly considers visualizing the defect using SAFT 80 algorithm in volumetric regime instead of two dimensional regimes (depth plane) we found 81 in the literature. This is achieved through a hybrid system using Laser as an exciter and a 82 contact transducer as a receiver. To our knowledge, the articles discussing SAFT algorithm 83 were mainly interested in scanning the receivers across the scan line/area or scanning both 84 transmitter and receivers. However, in our case we are avoiding scanning the receivers and 85 we are interested to scan the exciters with a lot of scan points with high step resolution, 86 thanks to the Laser source that make it much faster, easier and reliable. 87

88 2. Experimental set-up

The set-up designed for our experiments is shown schematically in Figure (1). We use an 89 Nd:YAG laser doubled in frequency, emitting pulses of 8ns at a wavelength of 532 nm, with 90 an energy per pulse of 10mJ. The focused laser beam impacts onto the surface of the object 91 under study where the pulse is rapidly absorbed into a shallow volume of the material and 92 creates a localized thermo-elastic expansion. This expansion induces a stress wave and an 93 acoustic pulse generating broadband ultrasound waves that propagate inside the material. 94 The laser beam can scan a selected area of the object surface by means of a programmable 95 XY galvanometer. For each excitation point, the ultrasonic waves propagate through the 96 object, they are reflected or scattered by different material particles in the volume, and then 97 is detected by the ultrasonic transducer. 98

For the detection we use two conventional ultrasonic sensor transducers (Olympus V133-RM) at 2.2 MHz central frequency, coupled to the surface of the object. The sensors can be placed on the incident surface (measuring reflection/scattering) as well as on the opposite side (measuring transmission). The signal collected by the sensors is sent to a preamplifier (Olympus 5662), connected to a high-performance Gage A/D card (50 MHz sampling frequency, 16 bit of resolution), linked to a computer for further data processing. For each excitation point the transducer records a voltage/time (A-scan) data set.

We analyze two aluminum cuboid samples with identical dimensions of 300 mm x 200 106 mm x 20 mm. One sample is homogeneous, without any holes or defects, referred in the 107 following discussion as "healthy". The second one, shown in Figure (2), has a cylindrical 108 hole with a diameter of 8 mm and hole depth of 85mm and is referred to from now on as 109 "unhealthy" sample. Two different experiments were performed on this sample: (i) the laser 110 performs a 1D scan (B-scan) and the detection is made with only one transducer; (ii) the 11: laser perform a 2D scan, we use two transducers for detection and we apply SAFT algorithm 112 for the signal processing. The laser scan is performed on the 300 mm x 200 mm face of the 113 cuboid and the receiving transducers are located at the same face of the cuboid where laser 114 excitation is performed, i.e; working in reflection mode. Figure (2) shows the laser scanning 115 line/area and the sensors positions in each experiment. 116

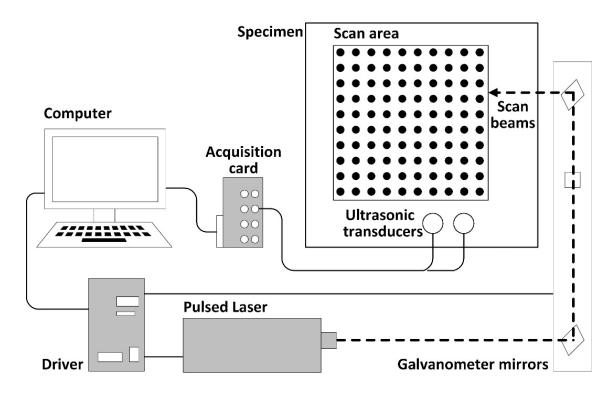


Figure 1: Schematic representation of the experimental set-up

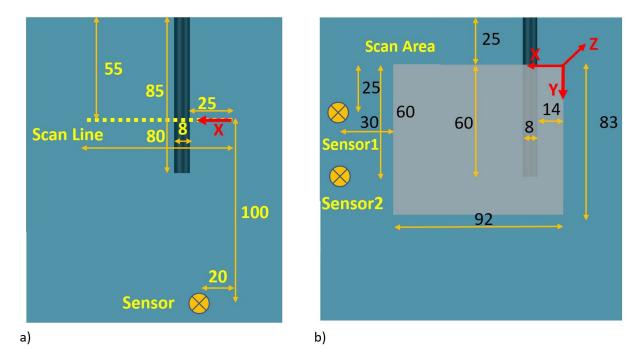


Figure 2: Experimental sample with a) scan line and ultrasonic sensor positions for B-scan experiment and b) scan plane in grey for SAFT experiment and ultrasonic sensors for second experiment.

¹¹⁷ 3. B-scan and signal processing

In the first experiment the galvanometer was programmed to execute a 1D scan (Bscan) along one line of the object surface in the horizontal (X) direction, crossing on top of the defect, Figure (2a). We selected 120 scan points covering a distance of 80 mm. For each excitation point the ultrasound waves detected by the sensor were recorded using our data acquisition system. For the signal processing we applied a band pass filter of 3 MHz bandwidth around the 2.25 MHz central frequency and an interpolation algorithm to reduce the excessive oscillation noise and unnecessary perturbations.

The B-scan results for all processed signals are shown in Figure (3). For the healthy sample in Figure (3a) and for the unhealthy one in Figure (3b). We plot the position of the scan points as a function of the time of flight (TOF) frames, while the color bar represents the intensity of the signal. A higher intensity is a sign of a high reflection towards the sensor coming from a reflecting source that can be a boundary or a defect embedded in the object under test.

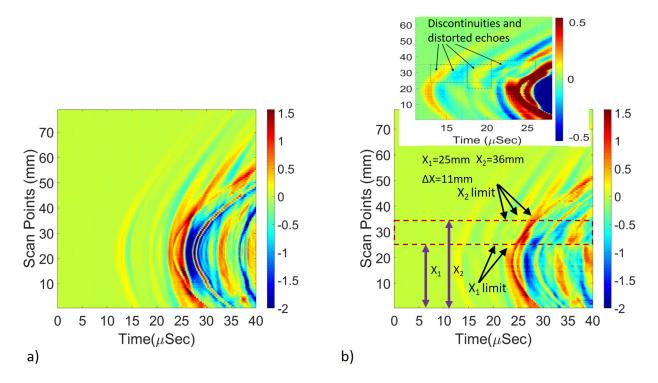


Figure 3: a) B-scan results of the healthy b) B-scan results of the unhealthy sample and an inset with zooming the discontinuities in the result.

The TOF of the waves depends on the distance between the laser incidence point and the acoustic sensor's position. The first wavefront arriving from the laser to the sensor starts to appear at $t=11\mu$ s for both samples, since the relative position of the laser scan line and the sensor was the same. For the healthy sample there is no visible perturbation in the B-scan map, due to the homogeneity of the sample. All reflections come from the borders. For the unhealthy sample we see the same boundary reflections, overlapped with the reflections coming from the defect. The latter ones alter the B-scan map, showing a major discontinuity and perturbations that correspond to the effect of the hole. As highlighted in Figure (3b), the discontinuity clearly appears in the range between $X_1=25$ mm and $X_2=36$ mm, respectively $(\Delta X=11$ mm), on the vertical axis and it is centered at scan position X=30.5 mm.

In Figure 3b, we have added an inset inside the figure for better visualization of these 141 discontinuities with reduced limits of the color map to exaggerate the effect of the disconti-142 nuities and the arrows are indicating the points of discontinuity. We need to emphasize that 143 the echoes from the hole are reflected from both the front and rear surfaces of the cylinder. 144 Front surface is providing higher intensity due to this reflection, while the signal passing to 145 the rear surface is already moving in the air in the hole until it reaches the rear surface and 146 then reflected back to the transducer (passing again by the air in the hole). This attenuates 147 the signal and causing lower amplitude for this reflection. Other echoes appearing later in 148 time axis are multiple reflections from defect and boundaries and include a lot of interfer-149 ence, so they are not essential in this analysis. These main reflections are highlighted by the 150 arrows in the larger Figure 3b with the full color map range. 151

These values are very close to the actual hole diameter of 8 mm and its position on the sample, with a sizing error of 37% (the defect appears to be bigger than it really is), and a positioning error of 0%.

This first experiment shows that B-scan technique, using a remote 1D laser scan and only one sensor, is a very simple and robust technique that provides reliable information about general position of defect location in a fast process. The resolution of the results is comparable with the best commercial NDT full ultrasonic devices but have the advantages of noncontact excitation and remote control of the scan. However, this method does not allow a 2D reconstruction of the defect.

¹⁶¹ 4. 2D scan and SAFT analysis

When a 2D or 3D reconstruction of the defect is envisaged, a 2D scan configuration, 162 easily achievable with our laser induced excitation system, is required. We programed our 163 experimental setup, shown in Figure (1), to perform a 2D scan over a MxN matrix points 164 by remotely controlling the position of laser incident point onto the object surface. The 165 scanning area (in grey) as well as the position of the receivers (in yellow) in this second 166 experiment are shown in Figure (2b). For each excitation point, each receiver records a 167 corresponding A-scan signal. The experimental results combined with the SAFT technique 168 are expected to give accurate information about the area of the defect for getting a precise 169 2D visualization of its location and dimensions. 170

SAFT is commonly used for the signal processing in the characterization of embedded defects in the volumetric regime. The technique is based on the delay and sum (DAS) principle, that superimposes the relatively low-resolution A-scan data at every excitation point of the scanned area, creating a higher resolution focused image, with a higher signal to noise ratio (SNR), referred to as the synthetic aperture (SA). Depending on the implemented setup, the analysis can be performed in the time or frequency domain [12][13][14]. SAFT

can generate a 2D image of a particular plane of the object, or can be generalized to the 177 3D mode to cover all planes in a volume. The reconstructed 3D image can project maps of 178 the defect in any image plane, regardless of the plane used for the scanning of the ultrasonic 179 signals. In the time domain analysis, the TOF is calculated across all SA points, starting 180 from the excitation signal source position at a particular scan index to all geometrical target 181 points in the object's volume and back to the signal receiver, for each particular scan point. 182 For each geometrical point, an integration of all received signals at a particular value of TOF 183 is calculated, to produce the final pixel value of this particular scan point in the final image. 184 This integration results in a higher contrast, resolution and better SNR value[14][15]. Figure 185 (4) shows a schematic representation of the SAFT technique, assuming a 3D object under 186 investigation with an arbitrary scatter point (P) and an exciter-receiver set in a pitch-catch 187 mode, similar to our experimental set-up. We consider an arbitrary excitation point (T) 188 generating spherical waves and a receiver (R), both placed on the XY plane. The SA top 189 view is assumed to be on the XY plane. 190

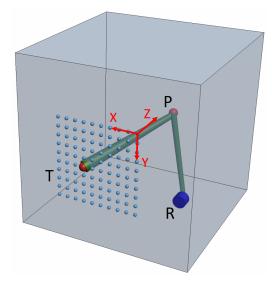


Figure 4: Scheme of the SAFT technique processing used for the object detection. A wave is sent from a point of interest (P) by a transmitter (T). It is reflected/scattered by the defect and measured at the receiver (R). The procedure is repeated with the transmitter in every point of interest.

The $\text{TOF}_{(i,j,k)P}$ of the ultrasonic signal generated by T and detected by R after it has been propagated to and reflected by the arbitrary point (P), can be calculated as:

$$TOF_{(i,j,k)_P} = \frac{\left|\vec{d}_{(i,j,k)_P} - \vec{d}_{(i,j,0)_T}\right| + \left|\vec{d}_{(i,j,k)_P} - \vec{d}_{(i,j,0)_R}\right|}{c}$$
(1)

where d is the displacement vector at T, R and P positions, i, j, k are the indexes of the volume image points in the X, Y, Z planes respectively, and c denotes the speed of the longitudinal waves in the material [12][16][17][18]. This calculation is done for every point in the A-scan measurements to produce a preliminary unfocused image y_R of this particular ¹⁹⁷ point P. The combination of all individual images results into a high resolution focused ¹⁹⁸ image y_f . We assume that the scan is done for MxN points denoting the number of the 2D ¹⁹⁹ excitation steps over the SA, and that only one receiver collects all the transmitted A-scan ²⁰⁰ data from all scan points at an arbitrary point in the SA. The final signal is given by:

$$y_f(\vec{R}_P) = \sum_{j=1}^{N} \sum_{i=1}^{M} y_R(TOF_{(i,j,k)_P}, i, j)$$
(2)

Where y_R is the measured A-scan signal amplitude at the corresponding $\text{TOF}_{(i,j,k)P}$ at scan point *i* and *j* and y_f is the focused signal received from all the scan points at this particular receiver R. This can be regarded as an integration of all received signals from MxN excitation points at the SA.

In equation (2) we need to add a coefficient that represents the effect of the attenuation and decay in the acquired signal when it passes from the exciter to the scattered point and back to the receiver. This coefficient is called weighting function or apodization function: $a(TOF_{(i,j,k)P}, i, j)$ [16].

$$y_f(\vec{R}_P) = \sum_{j=1}^{N} \sum_{i=1}^{M} a(TOF_{(i,j,k)P}, i, j) * y_R(TOF_{(i,j,k)P}, i, j)$$
(3)

In order to account for the diffraction in propagation, the apodization function applies lower weights to the signals captured at the far ends of SA and filters the unfocused signals in the SAFT analysis. Equation (3) represents the DAS where summation is applied to the delayed versions of the signals at the corresponding scan points.

The focused signal results from the superposition of the A-scan data from MxN excitation 213 points captured by one receiver, where each A-scan itself is a superposition of signals coming 214 from point scatters in the whole volume. If we go further and we assume that we could 215 implement also a MxN scanning matrix for the receiver position, equation (3) can be modified 216 to consider the integration of all receivers as well. In this case each image point will be 217 constructed from $(MxN)^2$ points of integration instead of MxN scan points as for the case 218 when we use only one receiver. SAFT can, thus, be applied to any image of all planes to 219 produce an image for the defect from any projection direction. 220

There are several assumptions that we have to make when we apply SAFT to the final 221 image reconstruction. The exciter is considered to be a point source, which perfectly applies 222 for the laser generated ultrasound. Receivers are assumed to be point-like transducer: the 223 smaller the size, the less numerical errors in the algorithm. The laser scanning area and the 224 receiver locations are assumed to be far enough from the object's boundaries to avoid reflec-225 tions that could cause misleading data. The medium is assumed to be homogeneous, with 226 non-spatial dependent physical parameters. In other scenarios where the wave dispersion 227 could be significant due to the presence of composite or inhomogeneous materials, the SAFT 228 algorithm should be modified to account for different wave velocities and wave diffraction. 229

Experimental measurements have been conducted in a pitch-catch mode, using two receivers placed at the fixed positions indicated in Figure (2b). The laser has been programmed to scan an area of 92 mm x 83 mm, with 101 x 91 scan points. The signals captured by the two sensors have been recorded using the amplifier and data acquisition system described in section 3, and were pre-processed using a band pass filter and an interpolation algorithm
to remove the background noise and low/high frequency components. Then, an averaging
algorithm was applied to remove the DC components from the signal. The SAFT algorithm
was finally applied, considering a Gaussian weighting function.

The reason we did not add more receivers (e.g: microphone array) is that our main focus is to use the transmitter, not the receiver, as the scanning mechanism with a lot of points with high step resolution. With laser excitation, we can do the scanning of the transmitter with a step size of 0.9mm for more than 9000 scan points. This is very difficult to achieve with conventional arrays. In addition, the scanning with the laser makes the incident pulse on the object surface equivalent to a point source, which is better for the SAFT efficiency.

As a result, we obtain the volume reconstruction of the selected scanned area shown in Figure (5a), where the defect corresponds to the yellow shadows. The position and dimensions of the defect can be better visualized and measured if we select projections of different cross-sections in the volume of interest, that can be extracted from Figure (5) for any required coordinates. Figures (5b) and (5c) show two cross-section slices in the XY and XZ planes respectively. From these projections we measure a defect with horizontal (ΔX) size of 8.2 mm and vertical (ΔY) size of 56 mm.

The contour map of one of the cross-sections is another representation of the results 251 that can even better visualize the defect. Figure (6) shows the contour map of the XY 252 cross-section, enabling the reconstruction of the X and Y dimensions: the defect is centered 253 at X=20 mm and Y=40 mm with the corresponding size $\Delta X=8.2$ mm and $\Delta Y=56$ mm. 254 Compared with the real size and position of the defect in our sample, the resulting recon-255 struction has the following errors: a sizing error of 4.6 %, concretely, $\Delta X_{error} = 2.5\%$ and 256 $\Delta Y_{error} = 6.7\%$ and a positioning error of 12.25%, concretely, $X_{error} = 2.5\%$ and $Y_{error} = 22\%$. 257 There are several reflections in the resulting images that do not come from the defect, that 258 could be misleading. The contour map helped to identify and ignore them. These reflections 259 can be overcome in further experiments. 260

The reflections appearing in the reconstructed images, as well as the resolution of the re-261 construction are conditioned by some aspects of our experiment. On one hand, Z-dimension 262 of the object studied here was too small compared with X and Y ones. In other words, the 263 distance between the laser/sensor and defect is larger than the one to the Z boundaries of 264 the object itself. This results in multiple reflections which arrive to the receiver faster than 265 those coming from the defect, leading to misleading wavefronts that produce some errors 266 in the reconstructed results. To solve this problem, Z dimension of the object under test 267 should be large enough to avoid internal reflections from the boundaries. This applies to 268 all object boundaries in all directions. On the other hand, in our experiment we fixed the 269 position of the receiving sensors and only scanned the laser impact points. This change in 270 laser scan point position results in a change in the angle between the excitation point and 271 the ultrasonic receiving sensor. This angle at certain points of scan increases significantly 272 due to object geometry. Large angles reduce the performance of the SAFT technique and 273 can significantly reduce the signal-to-noise ratio. These constraints can be resolved by in-274 creasing the object's depth and reducing the angle by scanning the receivers along with the 275 laser beam. 276

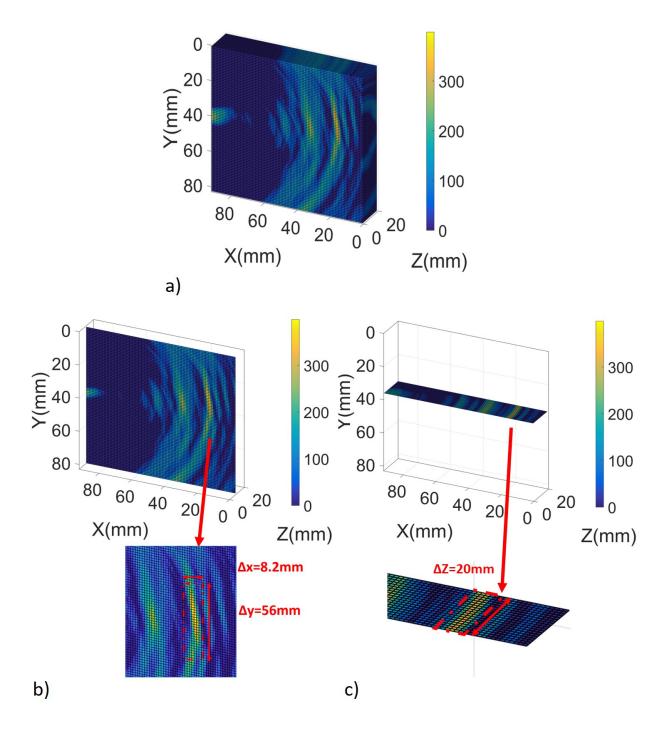


Figure 5: Reconstruction results of SAFT technique for a) 3D volume representation b) 3D slice for XY plane c) 3D slice for XZ plane.

Using our actual experimental setup, SAFT algorithm and post-processing, these shad-277 ows cannot be directly removed. However, it is important to remove those redundant shad-278 ows for better visualization to avoid confusion between main echo and other echoes and to 279 distinguish which of the shadows is the one we are interested in. We consider the main 280 shadow is the one with higher amplitude and the redundant shadows are rather faded away 281 with low amplitudes. We added an image processing filter To remove the redundant shad-282 ows. We applied an amplitude threshold filter that removes any point in the reconstructed 283 image with amplitude less than 65% of the maximum amplitude. This threshold value was 284 selected after visualizing the unfiltered reconstructed images. We found that the points with 285 high intensity are starting around color map of 65%. This leaves only the main shadow as 286 the reconstruction of the defect with minor traces of the other shadows that are negligible. 287 The results in Figure 6b represent the contour map representation of Figure 6a after adding 288 the rejection threshold of 65%. A cylinder representing the actual defect shape is superim-289 posed on the reconstructed images to represent the correlation between the main shadow 290 location and the actual defect location. It should be noted that adding this rejection thresh-291 old filter is also removing some important data from the main shadow, hence it is important 292 to calculate the size errors based on the unfiltered reconstructed image of Figure 6a. Fine 293 tuning was applied to this filter to get optimum results. We can add a more restrictive filter 294 to remove completely those negligible minor traces of redundant shadows ,but this would 295 affect the size of the main shadow and would result in losing important data. Thus, there 296 is a compromise between neglecting the redundant shadows and losing some intensity data 297 of the main shadow. 298

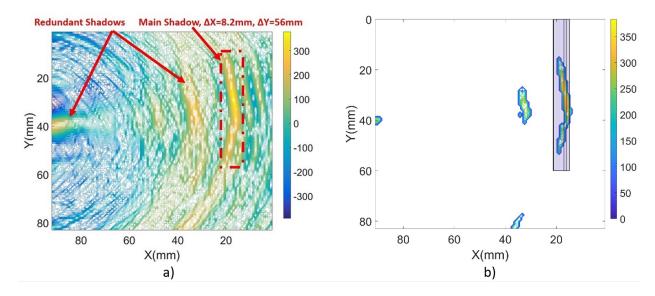


Figure 6: a) Contour map of the defect at XY plane at Z=10 mm using SAFT technique: b) contour map of Figure 6a after applying a reject threshold for data with an intensity below 65% with original geometry of the defect superimposed.

Comparing the results presented in this section with the previous B-scan ones, it should be noted that B-scan measurements allow the defect reconstruction only from one per-

spective, and with a limited resolution. The implementation of the 2D scanning area and 301 the signal processing using the SAFT technique over the object's volume allows the defect 302 visualization from different angles and at different depths, making possible a 3D image re-303 construction. For this application laser generated ultrasound excitation is much easier to 304 implement than scanning with conventional transducers as it can be applied and scanned 305 remotely and therefore the volume in which the ultrasound is generated is smaller. In the 306 experiment presented here we scanned the laser across MxN points, and we used only two 307 fixed ultrasonic receivers. This helped make the scan easier and show that by increasing 308 the number of exciters (laser scan points in our case), we can obtain the same good-quality 309 images with a very limited number of receivers fixed at particular points. 310

SAFT technique is sensitive to defects in agreement with the size of the measuring 311 transducers. It is able to detect and reconstruct 3D defects at relatively large angles despite 312 the corresponding errors. The position of the sensor and scan points do not need strict 313 rules as long as the angle is within the allowable limits and the scan points as well as the 314 sensors are at a relatively far distance from the boundaries of the object. The resolution of 315 the reconstruction is in a range between those of the classical ultrasonic and the full optical 316 methods. The algorithm is having a very high signal-to-noise ratio of the defects relative to 317 other techniques. 318

319 5. Conclusion

We applied and compared two different techniques for defect localization and recon-320 struction, both using a hybrid system composed by laser generated ultrasound and conven-321 tional transducer receivers. The results obtained with both methods, the B-scan and the 322 SAFT technique, indicate similar position of the defect, confirming the reliability of both 323 algorithms. The SAFT technique offers the advantage of visualizing the defect in 3D and 324 obtaining the projections of the sample planes, making it easier to distinguish the location 325 of the defect in a 3D view, instead of just getting the one dimensional or two-dimensional 326 results commonly used. We used the discussed algorithms to localize the defect position with 327 a good signal-to-noise ratio, taking into consideration the limitations of structural dimen-328 sions. We believe that this hybrid approach combines different advantages of both photonic 329 and ultrasonic devices, reducing the drawbacks of both methods. The remote control of the 330 broad band excitation, possibility of scanning large areas and reduced number of receivers 331 make it easy to implement for different materials and applications. 332

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