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Additional Information

1 **BIM-based methodological framework for traffic analysis and simulation**
2 **at road intersection design**

3
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15
16 **ABSTRACT**

17 Traffic analysis at road intersections is an important activity in the project design and
18 planning phases because it allows selecting the most suitable traffic requirements and site
19 characteristics. Therefore, traffic simulation models are indispensable and useful tools to
20 support collaborative decision-making. The building information modeling (BIM) approach
21 shows great potential to contribute to the traffic analysis and road design improvement;
22 however, BIM has been adopted mainly in the building sector, rather than road
23 infrastructure projects. Therefore, this paper presents a BIM-based methodological
24 framework for traffic analysis and simulation of road intersection design. The proposed
25 framework has five main steps: 1) BIM models and traffic information collection; 2) BIM
26 model configuration; 3) BIM simulation, analysis, and calibration; 4) BIM cost analysis
27 and documentation; and 5) alternatives comparison and recommendations. The application
28 of the proposed framework to a case study shows several BIM implementation benefits in
29 the traffic analysis at road intersections. Some of the most prominent BIM benefits

30 observed in the case study are a better understanding of design, improved project quality,
31 more efficient communications, scope clarification, and speed up the design process.

32

33 **KEYWORDS:** road intersections, road infrastructure, traffic simulation, building
34 information modeling, methodological framework.

35

36 1. INTRODUCTION

37 The growth of the population and urban centers has caused notable increases in the
38 demand for road infrastructure projects; some are focused on increasing capacity and
39 improving existing infrastructure. Others are needed to connect urban centers and
40 production areas (Papilloud, Röthlisberger, Loreti, & Keiler, 2020). Road intersections are
41 one of the main components in a road infrastructure network, formed from crossing two or
42 more road corridors in a specific area. Different traffic issues of pedestrians, bicycles, and
43 vehicles emerge in road intersections (Zafri, Sultana, Himal, & Tabassum, 2020).
44 Analyzing traffic at a road intersection is a crucial part of design, planning, control,
45 management, and accident prevention, among others (Peiris et al., 2018; Zhao, Knoop, &
46 Wang, 2020).

47 Traffic analysis is one of the activities carried out at the design phase of most
48 intersections, characterized by many variables, some of them with high levels of
49 complexity (Sadia & Polus, 2013; Shen, Tian, & Wang, 2013). Several platforms are used
50 for traffic analysis in road projects: VISSIM, TSIS, AIMSUM, Paramics, and
51 TransModeler (Chao et al., 2020; Yu, Zhang, Wang, & Bian, 2014). However, most
52 platforms present difficulties in integrating information and traffic models with other
53 disciplines involved in the road project life cycle. At the design phase, compatibility and

54 consistency issues could lead to design and analysis errors that can turn into unwanted
55 events such as delays, cost overruns, claims, and disputes (Aziz & Abdel-Hakam, 2016;
56 Mejía, Sánchez, Castañeda, & Pellicer, 2020; Park & Papadopoulou, 2012; Sánchez,
57 Castañeda, Herrera, & Pellicer, 2019). For this reason, methodologies and technologies that
58 allow integrating traffic analysis and simulation to other project information are needed.

59 The building information modeling (BIM) approach has shown notable benefits in the
60 information management and integration of construction projects (Gouda, Abdallah, &
61 Marzouk, 2020) because a BIM model is assimilated as a parametric representation of the
62 physical and functional characteristics of a construction project (Eastman, Liston, Sacks, &
63 Liston, 2008). BIM makes it possible to guarantee coherence and compatibility, considering
64 that the project information is stored and integrated into a digital database (Sampaio,
65 Ferreira, Rosário, & Martins, 2010; Sánchez, Galvis, Porras, Ardila, & Martínez, 2017).
66 BIM's uses and benefits have been widely explored and tested in the building sector
67 (Bohórquez, Porras, Sánchez, & Mariño, 2018; Gouda et al., 2020; Tang, Shelden,
68 Eastman, Pishdad-Bozorgi, & Gao, 2020). However, BIM is an emerging issue in road
69 infrastructure projects.

70 The use of BIM in road infrastructure projects has been mainly focused on geometric
71 road design, where efforts focus on improving, automating, and optimizing the geometry of
72 road projects (Biancardo, Capano, de Oliveira, & Tibaut, 2020; Bongiorno, Bosurgi,
73 Carbone, Pellegrino, & Sollazzo, 2019). Some studies have focused on integrating traffic
74 data and BIM models in particular case studies (Adibfar & Costin, 2019; Aziz, Riaz, &
75 Arslan, 2017; Costin et al., 2018). However, there is no previous contribution to the BIM-
76 based methodological framework for traffic analysis at road intersections specifically; this
77 gap will be justified later in Section 2.

78 Therefore, this study aims to propose a BIM-based methodological framework for
79 traffic analysis at road intersection design. The methodological framework designed has
80 five main steps: 1) BIM models and traffic information collection; 2) BIM model
81 configuration; 3) BIM simulation, analysis, and calibration; 4) BIM costs analysis and
82 documentation; and 5) alternatives comparison and recommendations.

83

84 **2. LITERATURE BACKGROUND**

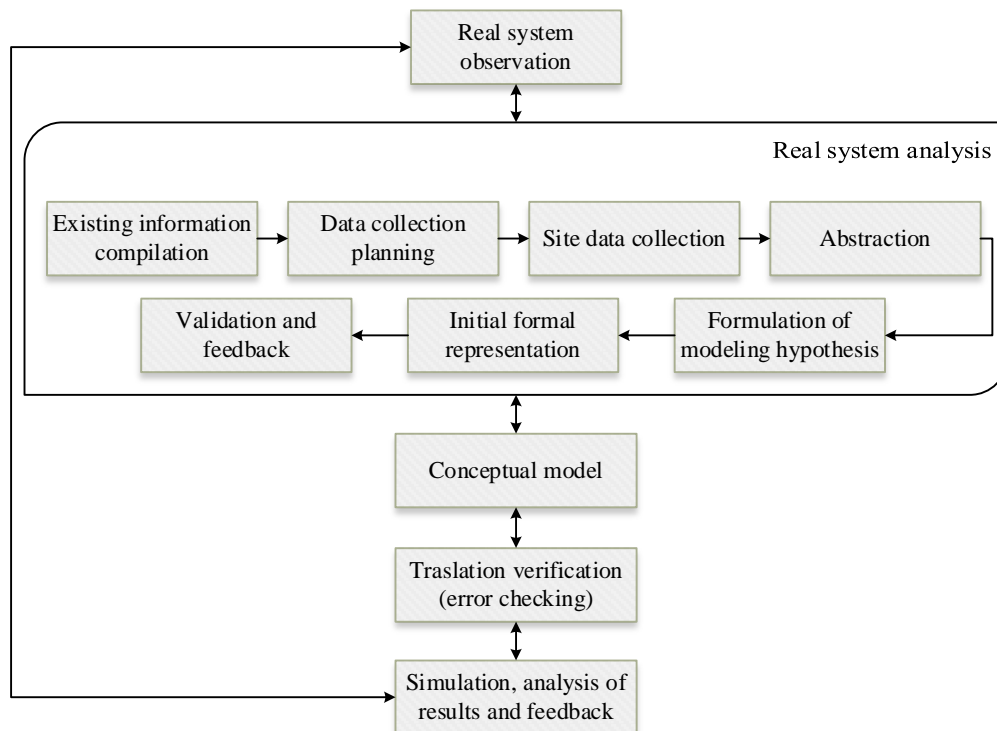
85 **2.1. Traffic congestion and the need for traffic analysis and simulation**

86 The increasing traffic volume on the world's road networks has affected the quality of
87 life and mental health of road users due to traffic jams resulting from vehicle congestion
88 (Nadrian, Hossein, & Pouyesh, 2019). This problem has led to a continuous search for
89 solutions to congestion problems and identifying and explaining the causative factors to
90 propose solutions that minimize road congestion. Traffic jams occur when the traffic
91 demand exceeds the capacity of the existing road infrastructure, which can be caused by
92 traffic volumes, driver behavior, non-compliance with traffic regulations, deficiencies in
93 the traffic control elements, road network geometry and characteristics, entrances to
94 buildings and parking lots, weather effects, accidents, transportation systems operation, and
95 construction and maintenance activities (Chidi & Ideh, 2018; Lee, Ibbs, & Thomas, 2005;
96 Shen et al., 2020; Thoker, Gupta, & Kumar, 2020). As a result, the study of traffic is
97 associated with a high level of complexity, a large volume of information, and extensive
98 procedures.

99 Road congestion and associated issues have led to a growing demand for new road
100 infrastructure projects that require detailed traffic analysis during the early project phases to
101 ensure that project characteristics are aligned to the requirements. Therefore, different

102 technical aspects must be included in the traffic analysis. Some of the most important are:
 103 profiles, alignments, geometry, capacity, service levels, traffic volumes, vehicle
 104 characteristics, parameters related to driver behavior, road characteristics, circulation rules,
 105 intersections, pedestrian crossings, elements of traffic control and road safety (Zhong, Lu,
 106 & Gang, 2007). The number and variety of variables involved lead to computer-aided
 107 simulation models being indispensable for traffic analysis activities, which allow studying
 108 the impact of road solutions in the future traffic development and the operation of project
 109 characteristics according to the needs that led to the project (Calvert & van Arem, 2020).
 110 Therefore, the elaboration of a traffic simulation model implies the development of a set of
 111 stages. According to Barcelo (2010), the main stages are: 1) real system observation, 2) real
 112 system analysis, 3) conceptual model, 4) translation verification, 5) simulation, analysis of
 113 results and feedback (see Figure 1).

114



115

116 **Figure 1.** Methodological steps for developing a traffic simulation model. An adaptation
117 from Barcelo (2010).
118

119 **2.2. Types of traffic simulation models**

120 The three principal types of traffic simulation models are microscopic, mesoscopic,
121 and macroscopic (Barcelo, 2010; Bi, Mao, Wang, & Deng, 2020). The *microscopic*
122 simulation model is based on describing the behavior of drivers and vehicles individually
123 and with a high level of detail. Both the environment and the behavior of vehicles in
124 different situations must be described in great detail. Thus, the representation of a vehicle
125 lane change is described as a chain of events and decisions that consider both the origin and
126 destination lane conditions. In the *mesoscopic* simulation model, the vehicles are studied
127 together; therefore, the lane change maneuver is an instantaneous event in which the lane
128 change decision is based on lane density and differential speeds, without considering the
129 other vehicles individually. The *macroscopic* simulation model studies the behavior of
130 vehicles on a large scale, where it is assumed that the behavior of drivers depends on traffic
131 conditions, and variables such as flow, speed, and density are included; therefore, lane
132 change maneuvers are not represented (Pérez, Bautista, Salazar, & Macias, 2014).

133

134 **2.3. BIM adoption in road design activities**

135 Wide adoption and development of CAD 3D tools have been observed in road design.
136 The perceived BIM benefits and functionalities in building projects have shown the
137 feasibility of BIM adoption in road projects as a complement to the existing CAD 3D tools.
138 Despite the potential to improve different project aspects, the adoption of BIM in road
139 design activities is an emerging topic in scientific research, shown by recent developments

140 and the moderate number of related academic documents. Huang, Chen, and Dzeng (2011)
141 analyze the feasibility of applying a dynamic BIM model in real-time to design alignments
142 by comparing the traditional design method with the BIM design method. The comparison
143 shows that BIM simplifies processes, increases automation capabilities, and reduces the
144 time required for alignment design.

145 Kim et al. (2014) propose BIM adoption in the road design for the automatic
146 generation of multiple road alignments alternatives with their respective cut and fill
147 quantities, costs, and schedules; the findings show BIM benefits are related to the
148 formulation of optimal solutions on time, in addition to improvements in the selection and
149 comparison processes of design alternatives. Bongiorno et al (2019) present the advantages
150 of an algorithm based on the particle swarm optimization method for road alignment
151 optimization with a BIM environment implementation. The authors conclude that
152 integrating BIM and optimization algorithms can increase the quality of both optimization
153 processes and the corresponding solution and simplify the representation, modification, and
154 comparison of various optimal alignment solutions. Zhao, Liu, and Mbachu (2019) propose
155 an approach for the road alignment optimization founded on the integration of geographic
156 information system (GIS) and BIM, based on the genetic algorithm method (GA). The
157 approach allows the alignment of surroundings analysis for location planning using three-
158 dimensional models, which promotes effectiveness and efficiency in the planning and
159 design processes, reduction of existing gaps in the information integration between roads
160 and other areas, improvements in communication, design failures reduction, risk
161 management, and cost and time optimization. Biancardo, Capano, de Oliveira, and Tibaut
162 (2020) present a procedural model focused on the road infrastructure design, based on
163 integrating BIM environments with programming languages to adjust the input parameters

164 of the geometric road design. Lee, Kim, Tanoli, and Seo (2020) develop a system to
165 partition the BIM model of a road alignment according to user requirements, allowing users
166 to enter start and endpoints, establish interval units of the design baseline, and incorporate
167 information related to earthworks. The authors carry out a case study incorporating the
168 developed system showing that the alignment analysis by segments improves the process
169 management.

170 Despite the progress in the BIM adoption in road design activities, it is evident that
171 there are gaps in the BIM adoption in the traffic analysis and design activities of road
172 intersections. BIM can significantly improve project quality and generate integrated and
173 automated methodologies that allow exploring alternatives with reduced time and effort.

174

175 **2.4. BIM for road data management**

176 During the project life cycle phases, the road infrastructure characteristics lead to a
177 large information volume being generated, stored, consulted and used with different
178 purposes, levels of complexity, variables and stakeholders involved (Aziz, Riaz, & Arslan,
179 2017). Information management activities are essential for project success because it allows
180 supporting crucial decision-making processes and project activities development.
181 Information is often stored in different file formats that can cause difficulties in the
182 integration due to compatibility and inconsistency issues. Therefore, the BIM
183 implementation can support the information management processes because the project life
184 cycle information could be stored and managed in digital databases with coherence and
185 compatibility, including information related to traffic issues.

186 Few studies focused on BIM adoption for road information integration and
187 management. Aziz et al (2017) present a review of opportunities for managing the life cycle

188 data using Big Data and BIM models. The information produced in the design and
189 construction phases can be integrated with information obtained from sensors to better
190 understand and optimize operation variables, maintenance activity planning, and support to
191 key decision-making processes. Kim, Shen, Moon, Ju, and Choi (2015) propose an
192 intelligent BIM 3D model to represent road project information electronically based on
193 international standards. To verify the model viability, the authors develop a prototype
194 focused on developing a road project in Seoul, Korea, through which earth movements (cut
195 and fill), costs and times are estimated; data are compared with the real project, obtaining a
196 difference of 3.95%.

197

198 **2.5. BIM tools for road design and traffic analysis**

199 Technological advances in the informatics field have led to the development of BIM
200 tools to support activities in the design and planning phases of road projects. These
201 advances allow designers and analysts to obtain remarkable benefits to automate traffic
202 analysis and road design activities that require extensive calculations and tedious
203 information processing, integration, and management. One of the best-known and
204 functional BIM tools is Autodesk InRoads, which enables interoperability with other
205 BIM tools specialized in the analysis of structural, architectural, network and other
206 elements (Abd, Hameed, & Nsaif, 2020) and can be integrated with collaborative work
207 approaches supported by work methodologies in the cloud. InRoads has a module
208 specialized in traffic analysis and simulation, allowing traffic information to be integrated
209 and compatible with other project information. Other computational tools support traffic
210 analysis and simulation activities, such as VISSIM, TSIS, AIMSUN, Paramics, and

211 TransModeler (Chao et al., 2020; Yu et al., 2014). Some of these tools are based on three-
212 dimensional models; however, it does not have all the characteristics of the BIM approach.

213

214 **2.6. BIM adoption in road projects: A summary**

215 Several advances in BIM adoption in road infrastructure projects correspond to the
216 topic of road design, in which efforts are observed to improve, automate, and optimize the
217 geometric design of road projects (Biancardo et al., 2020; Bongiorno et al., 2019; Chong,
218 Lopez, Wang, Wang, & Zhao, 2016; Costin et al., 2018; Huang et al., 2011; Kim et al.,
219 2014; Lee et al., 2020; Zhao et al., 2019). On the other hand, there are initiatives to
220 integrate BIM with geographic information systems (GIS) to improve road projects'
221 management and data integration processes. Some studies are focused on data exchanges
222 between different BIM packages by applying industry foundation classes (IFC) formats.
223 (Biancardo et al., 2020; Fosu, Suprabhas, Rathore, & Cory, 2015; Huang et al., 2011; Kim
224 et al., 2014, 2015; Zhao et al., 2019). Some studies focused on the integration of traffic data
225 to BIM models to certify green buildings through the analysis of transport from the
226 building to places that are frequent destinations; other studies focus on the capture of traffic
227 information with devices to manage different decision-making processes of the life cycle of
228 road projects (Adibfar & Costin, 2019; Aziz et al., 2017; Costin et al., 2018; Wang et al.,
229 2014). Despite the existing advances in BIM adoption in traffic analysis activities, there is a
230 lack of progress in using BIM for traffic analysis during the design stage of road projects.

231 In the literature, methodological frameworks based on BIM are observed with different
232 purposes: Zhao, Liu, and Mbachu (2019) propose a methodological framework to optimize
233 road alignments by integrating BIM and GIS processes. Kim, Shen, Moon, Ju, and Choi
234 (2015) propose a methodological framework for planning and simulating the construction

235 process in road infrastructure projects. Chen and Nguyen (2017) present a framework for
236 integrating BIM and Web Map Service technologies to certify green buildings by analyzing
237 transport from the building to places that involve frequent trips.

238 Despite the existing advances, few studies address traffic analysis frameworks to
239 support the decision-making processes required to design road intersection projects. Table 1
240 shows the type of contribution and the research topic for each of the main studies related to
241 the BIM adoption in road projects. Table 1 includes the details of this study. The studies are
242 classified into five categories based on the type of contribution: 1) case study, 2) literature
243 review or discussion, 3) methodological framework, 4) new BIM tool, and 5) data models.
244 The studies are classified into six main research topics: 1) road design, 2) road construction
245 planning, 3) road construction, 4) traffic analysis, 5) road data management, and 6)
246 sustainability. Considering that some studies have more than one type of contribution and
247 research topic, the main topic or type is marked with the symbol ✓ and the secondary one
248 with ✓^s.

249 Taking into consideration the previous contributions listed in Table 1 and, therefore,
250 finding a gap in the field of knowledge, this study proposes an innovative BIM-based
251 framework for traffic analysis and simulation in the design of road intersections, which
252 integrates data from traffic with information from the disciplines involved in the design
253 stage of a road intersection.

Table 1. Studies that address the topic of BIM adoption in road infrastructure projects.

Reference	Location	Area	Type of project	Type of contribution*					Research topic*						
				Case Study	Literature review or discussion	Methodological framework	New BIM tool	Data models	Road design	Road construction planning	Road construction	Traffic analysis	Road data management	Sustainability	
Huang et al., 2011	China	Asia	Railway	✓					✓					✓ ^s	
Kim et al., 2014	Korea	Asia	Road infrastructure	✓ ^s				✓	✓					✓ ^s	
Bongiorno et al., 2019	--	--	Road infrastructure	✓					✓						
L. Zhao et al., 2019	China	Asia	Road infrastructure	✓ ^s		✓			✓					✓ ^s	
Biancardo et al., 2020	Italia	Europa	Road infrastructure	✓ ^s			✓		✓					✓ ^s	
S. S. Lee et al., 2020	Korea	Asia	Road infrastructure	✓ ^s			✓		✓						
Chong et al., 2016	Australia and China	Oceania and Asia	Road infrastructure	✓					✓		✓				
Abd et al., 2020	Iraq	Asia	Road infrastructure	✓										✓	
Wang et al., 2014	China	Asia	Road infrastructure	✓								✓			
Z. Aziz et al., 2017	England	Europe	Road infrastructure	✓ ^s			✓					✓ ^s		✓	
Kim et al., 2015	Korea	Asia	Road infrastructure	✓ ^s		✓				✓				✓ ^s	
Adibfar and Costin, 2019	United States	North America	Bridge		✓							✓ ^s		✓	
Chen and Nguyen, 2017	--	--	Building and Road infrastructure	✓ ^s		✓	✓								✓
Costin et al., 2018	--	--	Transportation infrastructure		✓				✓	✓	✓	✓	✓	✓	✓
Fosu et al., 2015	--	--	Various		✓									✓	
This study	Colombia	South America	Road infrastructure	✓ ^s		✓			✓ ^s			✓			

*✓: principal; ✓^s secondary.

256 3. METHODOLOGICAL FRAMEWORK DESIGN

257 Several guides and studies provide recommendations for implementing BIM in
258 construction projects, including the *BIM Project Execution Planning Guide* by Penn State
259 (Messner et al., 2019), the *BIM Guidelines of New York City* (Bloomberg, Burney, &
260 Resninck, 2012), the *Singapore BIM Guide* (Building and Construction Authority, 2013),
261 and the *BIM Procurement Guide Harvard* (Harvard University Construction Management
262 Council, 2010). The Penn State guide provides a literature background, a classification of
263 uses in the project life cycle, and a definition of BIM uses associated with specific
264 objectives and a specific purpose (Rojas et al., 2019). Therefore, the BIM-based
265 methodological framework design for the traffic analysis and simulation at road
266 intersections was carried out following the *BIM Project Execution Planning Guide –*
267 *Version 2.2.* (Messner et al., 2019). It was selected based on its great impact worldwide in
268 the BIM implementation processes to different life cycle activities of construction projects.
269 It is compatible with various BIM standards and project delivery methods, including the
270 integrated project delivery method (IPD). The BIM-based methodological framework
271 design for traffic analysis and simulation proposed by Messner et al. (2019) follows four
272 steps: 1) identify project goals and BIM uses, 2) design the BIM project execution process,
273 3) develop information exchanges, and 4) define supporting infrastructure for BIM
274 implementation.

275

276 3.1. Project goals and BIM uses

277 The first step for the BIM-based methodological framework design involves
278 identifying uses and goals to be achieved through BIM implementation in project activities.
279 Therefore, the process begins with defining the goals and continues with the allocation of

280 appropriate BIM uses to support the development of the proposed goals. For this study, five
 281 goals were proposed by the authors considering both the current needs of traffic analysis
 282 activities and the potential contributions of the BIM adoption in the traffic issues, according
 283 to the findings of Costin et al. (2018). Eight BIM uses were selected from those described
 284 by Messner et al. (2019). Then, the selected BIM uses were associated with the proposed
 285 goals. Table 2 shows the relationships between the proposed goals and the selected BIM
 286 uses.

287

288 **Table 2.** Relationships between the proposed goals and the selected BIM uses.

Id	BIM implementation goals	BIM uses*							
		U ₁	U ₂	U ₃	U ₄	U ₅	U ₆	U ₇	U ₈
G ₁	Integrate the traffic information to the other project disciplines information	✓		✓	✓				✓
G ₂	Promote collaboration methodologies between traffic engineer and other project participants	✓	✓	✓	✓		✓	✓	✓
G ₃	Automate the evaluation of different road intersections alternatives, configurations, and scenarios to obtain better cost-benefit ratios				✓	✓	✓	✓	✓
G ₄	Integrate automated cost estimates into traffic analysis and simulation activities		✓	✓					✓
G ₅	Automate documentation and feedback of traffic analysis and simulation related processes	✓	✓	✓	✓	✓	✓	✓	✓

289 *U₁: existing conditions modeling; U₂: cost estimation; U₃: 3D coordination; U₄: design authoring; U₅: code
 290 validation; U₆: design review; U₇: structural analysis; U₈: engineering analysis: traffic analysis and simulation.
 291

292 3.2. BIM design processes

293 The second step for the methodological framework design consists of the design
 294 processes required to develop project activities when implementing BIM; maps
 295 representing the sequence of activities and related parameters are used. The maps allow the
 296 project team to overview the activities necessary to develop the deliverables, facilitating the
 297 identification of both the information requirements and the information produced in the
 298 different processes. Similarly, the map will allow project stakeholders to appreciate the

299 sequence of activities, which improves the understanding of the characteristics and
 300 interactions with other stakeholders.

301 The BIM design process for traffic analysis and simulation uses the methodological
 302 steps for the development of a traffic simulation model proposed by Barcelo (2010) (see
 303 Figure 1) and the guidelines for the development of project processes and maps explained
 304 by Messner et al. (2019). Barcelo (2010) proposed a methodological scheme that provides a
 305 conceptual approach to developing a traffic simulation model. The *BIM Project Execution*
 306 *Planning Guide* by Penn State (Messner et al., 2019) presents a general guide for
 307 developing a set of activities for the BIM adoption in the life cycle processes of
 308 construction projects. However, Messner et al. (2019) do not contemplate BIM adoption on
 309 road infrastructure projects or traffic analysis and simulation activities. Therefore, this
 310 study's main contribution is a new BIM-based methodological framework for traffic
 311 analysis and simulation at road intersections.

312 The BIM processes are grouped into five principal steps: 1) BIM models and traffic
 313 information collection; 2) BIM model configuration; 3) BIM simulation, analysis, and
 314 calibration; 4) BIM costs analysis and documentation; 5) alternatives comparison and
 315 recommendations. Figure 2 shows steps, input information, processes, output information,
 316 information flows, and process sequences of the proposed methodological framework for
 317 traffic analysis and simulation at road intersections. Table 3 shows the BIM uses associated
 318 with the proposed steps of the methodological framework, according to the BIM uses
 319 selected (see Table 2).

320

321 **Table 3.** Methodological framework steps and BIM uses related.

Id	BIM uses	Methodological framework steps				
		I	II	III	IV	V

U ₁	Existing conditions modeling	✓				
U ₂	Cost estimation				✓	
U ₃	3D coordination	✓				
U ₄	Design authoring	✓	✓	✓	✓	
U ₅	Code validation			✓		✓
U ₆	Design review	✓	✓	✓		✓
U ₇	Structural analysis	✓				
U ₈	Traffic analysis and simulation		✓	✓		

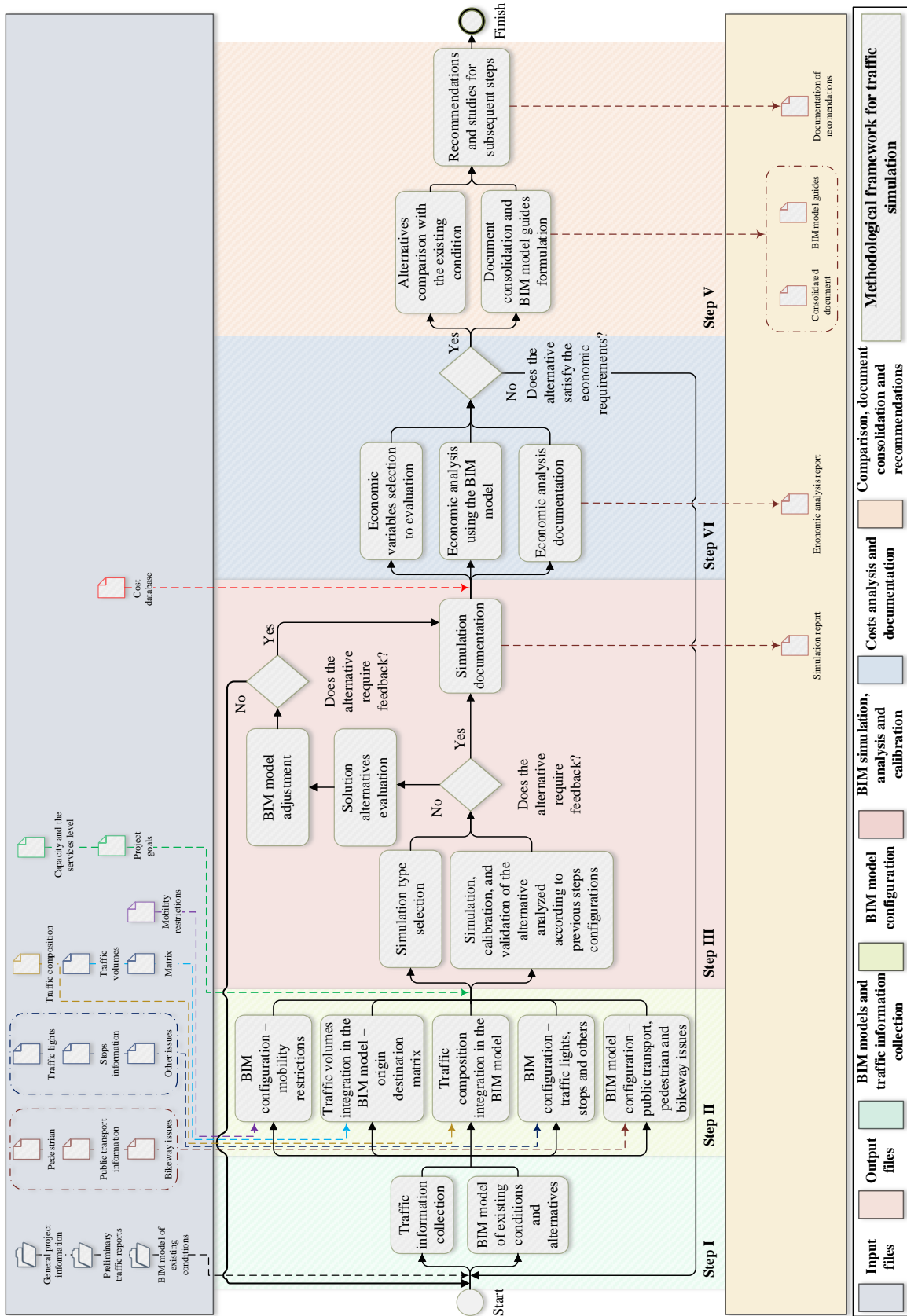


Figure 2. BIM-based methodological framework for traffic analysis and simulation.

325 *3.2.1. Step I. BIM models and traffic information collection*

326 This step consists of traffic information and parameter collection, in addition to the
327 BIM model preparation and collection of existing conditions and road intersection
328 alternatives to be analyzed. Therefore, one of the main input documents is the preliminary
329 traffic study with information related to traffic volumes, service levels, traffic composition,
330 traffic growth projections, and other necessary traffic simulation information. BIM models
331 can be developed at this step or collected from the results obtained by other design
332 disciplines. Therefore, the BIM uses “existing conditions modeling” to obtain digital
333 replicas of the road intersection alternatives. The models become a virtual platform to carry
334 out traffic analysis and simulation activities and support collaborative activities between the
335 design disciplines. This platform, added to the application of the BIM uses “design review,”
336 “design authoring,” and “3D coordination,” allows early identification of design issues such
337 as clashes, missing information, terrain issues, and site impacts (Costin et al., 2018;
338 Eastman et al., 2008; John Messner et al., 2011).

339

340 *3.2.2. Step II. BIM model configuration*

341 This step includes the integration and configuration of traffic parameters in the BIM
342 models of existing conditions, which is done with the traffic information collected from
343 Step I. Thus, in the BIM model, the analysts integrate and configure parameters associated
344 with mobility and operation restrictions, traffic volumes and composition, speeds, traffic
345 lights, stops, pedestrian crossings, public transport issues, cycle routes, driver behavior
346 issues, origin-destination movements, lanes direction, turns, and parking lots. The BIM
347 uses “design authoring” and “traffic analysis and simulation” are applied to integrate traffic
348 information into the BIM models obtained in Step I. The BIM use “design review” is

349 applied to verify the compatibility between the traffic information and the project
350 characteristics represented in the BIM model.

351

352 *3.2.3. Step III. BIM simulation, analysis, and calibration*

353 This step begins with the BIM model obtained from Step II and the definition of
354 simulation conditions required for traffic analysis. These are defined parameters such as
355 simulation type, study areas, display options, and simulation times and periods. Then, the
356 traffic simulation and calibration are carried out. The information obtained from the
357 simulation is considered for calibration: travel times and distances, stop and start times,
358 vehicle queue lengths, traffic delays, vehicle volumes, speeds, vehicle flows behavior,
359 mobility restrictions, pedestrian and bicycle flows, and other aspects. The model is
360 calibrated by comparing the simulation results with the information collected in Step I; if
361 the simulation does not represent the expected behavior, adjustments are made to the
362 model. The BIM model's analysis of capacity and service level is carried out using the
363 feedback process shown in Figure 2. Finally, Step III concludes with the simulation results
364 documentation. At this step, the BIM uses "design authoring," "code validation," and
365 "design review" are applied, through which it is possible to automatically detect different
366 traffic issues. This step allows effective model feedback, which promotes high efficiency in
367 calibration processes. The BIM use "traffic analysis and simulation" is applied to analyze
368 the traffic based on the traffic data integrated into the BIM model in Step II.

369

370 *3.2.4. Step IV. BIM costs analysis and documentation*

371 This step includes the analysis of the costs associated with the road intersection
372 alternatives studied. Therefore, the analysis begins with selecting the variables to be

373 analyzed, which could be related to costs associated with construction, transportation,
374 environmental impacts, land acquisition, and any other parameters. Once the economic
375 variables have been selected, the process integrates cost databases into the BIM model.
376 This step concludes with the BIM cost analysis documentation and the comparison between
377 results and the project financial requirements (see Figure 2). The cost analysis uses
378 collaborative workflows among the project stakeholders, with the application of the BIM
379 uses “cost estimation” and “design authoring.”

380

381 *3.2.5. Step V. Alternatives comparison and recommendations*

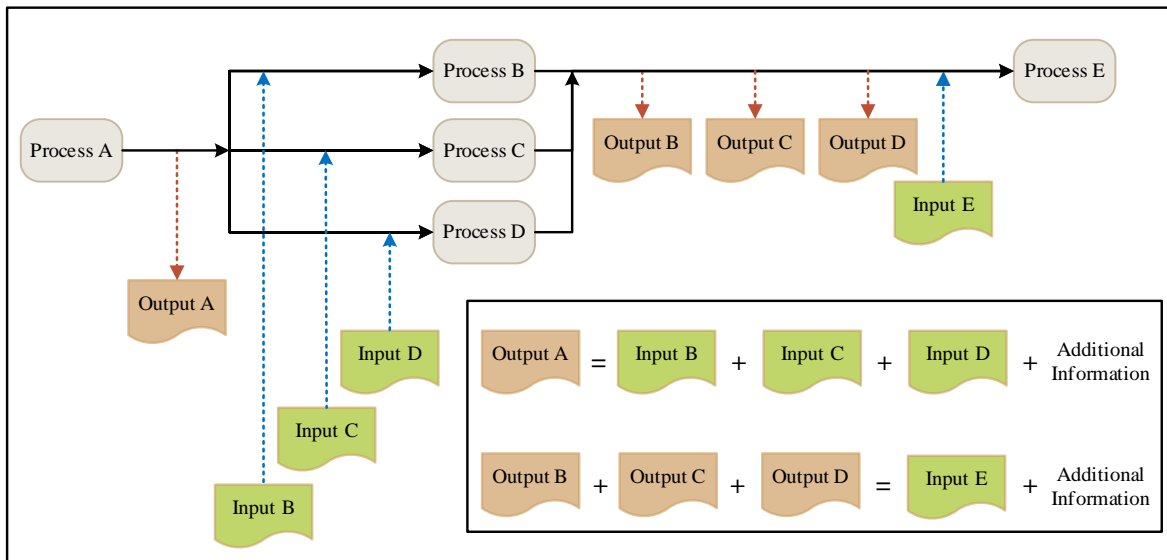
382 The final step consists of comparing the proposed road intersection alternatives and
383 the existing intersection, using the information obtained in the previous step. The
384 comparison evaluates and documents the cost-benefit ratio associated with each of the
385 alternatives, which is crucial information for the subsequent step, where one of the
386 alternatives must be selected. In addition, the BIM model management guides are
387 developed for traffic information integration, which will be useful later when the BIM
388 model is consolidated with information from other design disciplines. Finally, a set of
389 recommendations is developed focused on supporting the decision-making processes of
390 later phases. Models, reports, and other information are documented according to the
391 indications established at the project beginning. The design and planning phases that
392 depend on the traffic simulation results can begin. The BIM uses “code validation” and
393 “design review” can be applied to compare the alternatives.

394

395 **3.3. Develop information exchanges**

396 Considering the variety of processes and variables, the traffic analysis and the
397 simulation development require continuous information exchange. Therefore, it is
398 necessary to design workflows that allow satisfying the information requirements with the
399 principles of the lean construction tool “Just-in-Time (JIT)” (Tezel, Koskela, & Aziz,
400 2018). Information required by a certain process must be available at the right time: not
401 before, because the information may be out of date, nor later because the lack of
402 information can delay the process development or even affect the project quality. In
403 addition, the information generated in the processes must satisfy the requirements of
404 subsequent processes (see Figure 3).

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Figure 3. Information exchange: inputs and outputs (adapted from Messner et al., 2019).

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The information exchange illustrated in Figure 2 is proposed for the proposed methodological framework. However, because traffic analysis and simulation is one of several activities that make up the design of a road intersection, it is recommended to

412 develop a general map with all the processes related to the design phase, as proposed by
413 Messner et al. (2019), through the concept of the “Level 1: BIM Overview Map.” In this
414 way, the traffic analysis and simulation corresponds to one of the “BIM Overview Map”
415 processes, and the map shown in Figure 2 represents a “Level 2: Detailed BIM Use Process
416 Map” as proposed by Messner et al. (2019).

417

418 **3.4. Define supporting infrastructure for BIM implementation**

419 The final step consists of the design and definition of the infrastructure required for
420 BIM implementation. This step entails reviewing and defining the issues related to the
421 following categories: 1) human resources, roles, and responsibilities, 2) information
422 exchanges between stakeholders involved, 3) facility data requirements, 4) collaboration
423 procedures, 5) quality control and supervision protocols, 6) technological infrastructure
424 needs, 7) BIM model and files structure, and 8) other necessary issues. Before starting the
425 traffic analysis and simulation development with BIM implementation, a BIM manager
426 should be appointed, who will have the functions of making and managing the BIM
427 implementation plan, advising on BIM workflows, coordinating permissions to view, edit
428 and download information, and other necessary activities according to the project and
429 organizational requirements. The proposed methodological framework characteristics (see
430 Figure 2) can be applied with different commercial and experimental BIM packages.
431 However, the authors recommend using Autodesk Infracore because it integrates the BIM
432 model of road design with the traffic analysis and simulation. The Traffic Analyst module
433 contains several functions and tools that facilitate planning and evaluating the geometric
434 road design through automated traffic analysis and simulation.

435

436 4. METHODOLOGICAL FRAMEWORK APPLICATION

437 4.1. Case study

438 The proposed methodological framework was applied in a Y-type road intersection
439 located in Piedecuesta, Santander, Colombia, a real project with an academic focus. In the
440 search for solutions to the vehicular congestion issues presented in the case study, four road
441 intersection alternatives were proposed and analyzed: 1) existing road intersection, 2) level-
442 canalized road intersection, 3) roundabout intersection, and 4) trumpet-type intersection.
443 Autodesk Infracore 2020, Autodesk Revit 2020, Autodesk Robot Structural Analysis
444 2020, and Autodesk BIM 360 Team were used to apply the methodological framework to
445 the case study. Traffic data were obtained from the site and projected over twenty years.

446 The second alternative corresponds to a level-canalized road intersection, which is
447 obtained by adding some elements to improve the existing road intersection, such as splitter
448 islands, acceleration and deceleration lanes, canalized turn lanes, and a traffic light. The
449 level-canalized road intersection alternative was proposed considering the low
450 environmental, economic, and social impact in the project area. In addition, the provision of
451 acceleration, deceleration, and turning lanes can contribute to improved mobility on the
452 site. The third alternative is a roundabout intersection, in which the accesses converge to a
453 ring where traffic circulates around a central island. This alternative was proposed
454 considering that the terrain characteristics are adequate for the construction. In addition, the
455 traffic lane can contribute to reducing waiting times by avoiding stops at traffic lights. The
456 fourth alternative is a trumpet intersection. The intersection of the road corridors occurs on
457 two levels, and their interconnection is carried out using connecting corridors
458 complemented by acceleration and deceleration lanes. This intersection was proposed

459 because the different crossing levels avoid vehicle stops, complemented by the acceleration
 460 and deceleration lanes that reduce waiting times.

461 As shown in Table 4, two proposed alternatives correspond to intersections where the
 462 corridors intersect at the same level: level-canalized intersection and roundabout
 463 intersection. An alternative in which the crossover is carried out at two levels is a trumpet
 464 intersection. Due to its characteristics, the trumpet intersection is the alternative that
 465 involves a larger construction area and environmental impact. In comparison, the level-
 466 canalized intersection involves a smaller construction area and environmental impact.
 467 Between the three proposed alternatives, the only one that includes traffic lights is the
 468 level-canalized intersection. Acceleration and deceleration lanes are not required in the
 469 roundabout intersection, while they are required in the level-canalized intersection and the
 470 trumpet intersection. All of the proposed alternatives involve splitter islands.

471 **Table 4.** Characteristics of the road intersection alternatives.

Id	Alternative	Type	Construction area [m²]	Green area intervention [m²]	Traffic lights	Acceleration lanes	Deceleration lanes	Splitter island
1	Existing road intersection	Level intersection	-	-	No	No	No	No
2	Level-canalized intersection	Level intersection	7,960	37,804	Yes	Yes	Yes	Yes
3	Roundabout intersection	Level intersection	21,730	83,766	No	No	No	Yes
4	Trumpet intersection	Road interchange	66,981	138,118	No	Yes	Yes	Yes

472

473 **4.2. Stakeholders and collaborative workflow**

474 A team of nine professionals located in four different countries was formed to apply
 475 the methodological framework (see Table 5). Team members were assigned roles and
 476 responsibilities according to the specialization areas and project requirements.

477 Collaborative workflows and interactions between stakeholders used the Autodesk BIM
 478 360 Team platform, in which each stakeholder could access project information according
 479 to the permissions assigned by the “BIM manager.” Each participant could make comments
 480 based on the BIM model uploaded to the cloud, which allowed a continuous
 481 communication flow between the project stakeholders (see Figure 4).

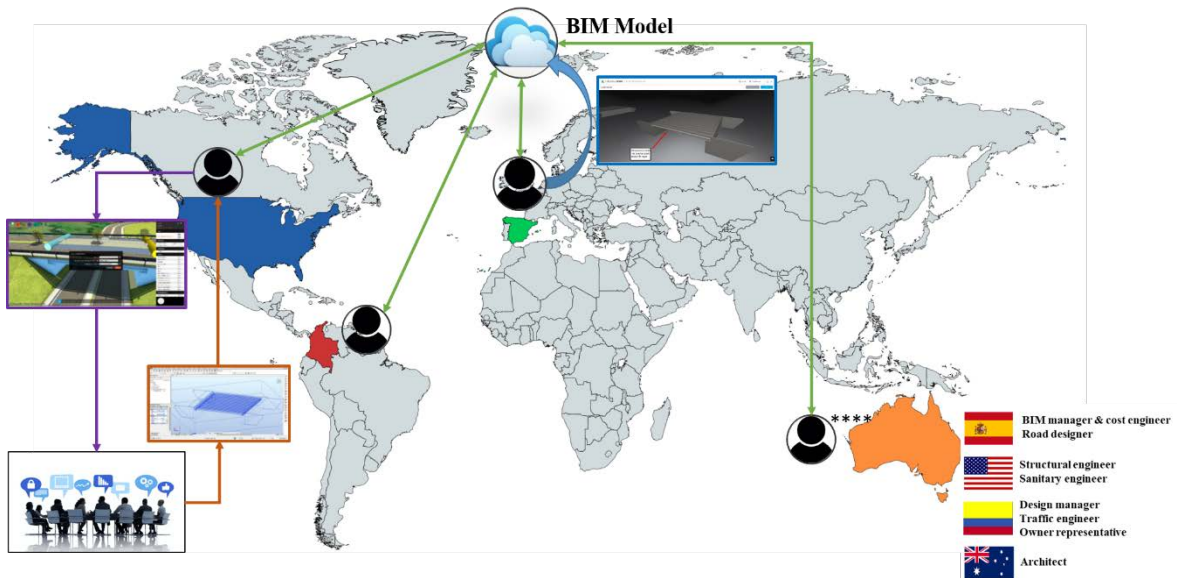
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Table 5. Stakeholders and principal responsibilities.

Id	Stakeholder	Academic degree	Professional experience	Location	Principal responsibilities
S ₁	BIM manager and cost engineer	Ph. D. (c)	9 years	Spain	<ul style="list-style-type: none"> • BIM implementation plan • BIM model management • Information management • Cost estimation • Permissions to view, edit and download information
S ₂	Road designer	M. Sc.	5 years	Spain	<ul style="list-style-type: none"> • Road elements dimensioning and modeling • Review of compliance with the road design code
S ₃	Structural engineer	M. Sc. (c)	4 years	United States	<ul style="list-style-type: none"> • Structural elements dimensioning and modeling
S ₄	Sanitary engineer	M. Sc.	8 years	United States	<ul style="list-style-type: none"> • Hydraulic and sanitary elements dimensioning and modeling
S ₅	Design manager	Ph. D.	>30 years	Colombia	<ul style="list-style-type: none"> • Personnel and technological resources management • Quality control and supervision • Existing project information collection
S ₆	Traffic engineer	M. Sc.	6 years	Colombia	<ul style="list-style-type: none"> • Traffic data collection • Traffic analysis and simulation
S ₇	Owner representative	Civil Eng.	>20 years	Colombia	<ul style="list-style-type: none"> • Verification of land acquisition issues • Communication and supervision of project requirements
S ₈	Architect	M. Sc. (c)	5 years	Australia	<ul style="list-style-type: none"> • Architectural elements dimensioning and modeling • Advice on architectural issues related to the alternatives analyzed

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Figure 4. Collaborative workflow scheme.

488 **4.3. BIM models of existing conditions and road intersection alternatives**

489 Information related to topography, utility networks, site photographs, and other
490 existing documents was collected to develop the existing conditions BIM model. This
491 information was distributed to stakeholders according to the role and responsibilities
492 assigned (see Table 5). The BIM modeling process was carried out collaboratively using
493 the Autodesk BIM 360 Team platform workflow, and the project elements were modeled
494 on Autodesk Infracore and Autodesk Revit software. Figure 5 shows three views of the
495 existing conditions BIM model and their respective site photographs.

496



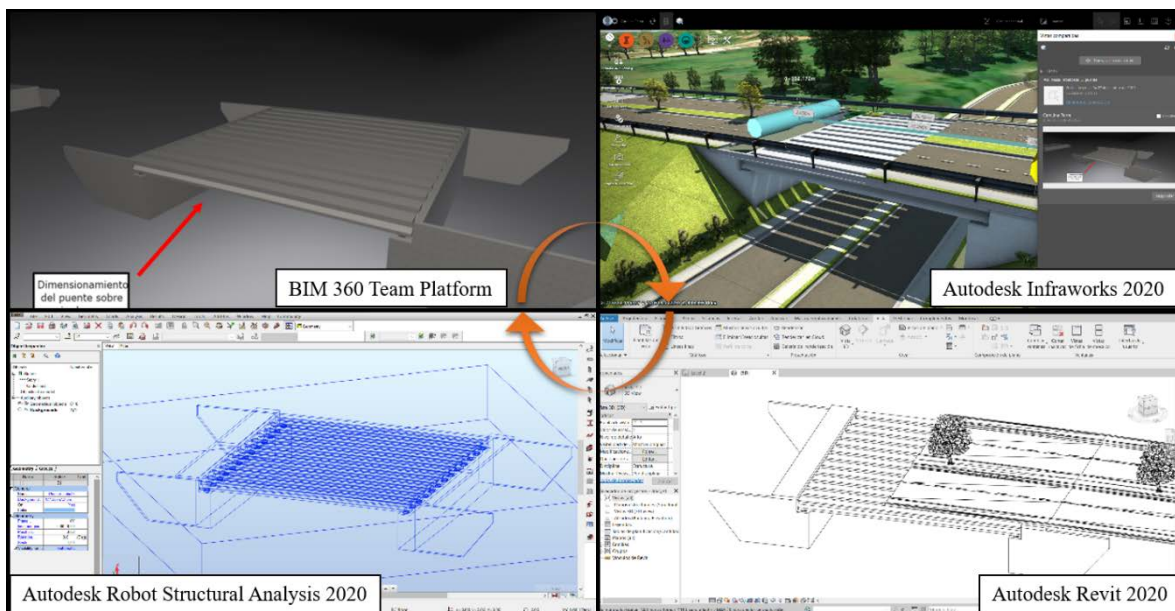
Figure 5. Existing conditions BIM model

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500 The collaborative workflow offered notable advantages to the development of the
501 BIM model. Crucial decision-making processes had the participation of various
502 stakeholders, who had opportunities to propose configurations that were debated and, in
503 some cases, improved by other stakeholders. In addition, the collaboration processes

504 benefitted from the communication options between specialized design BIM tools. One
505 example is shown in Figure 6, which illustrates an observation made by the road designer
506 to the structural engineer in the BIM 360 Team platform. The observation focused on a
507 request for a bridge pre-dimensioning required in the trumpet-type intersection alternative.
508 Thus, the structural engineer exported the information to the Autodesk Robot tool, which
509 specializes in structural analysis and design. Once the pre-dimensioning was done in
510 Autodesk Robot, the information was updated in the central BIM model of Autodesk
511 Infracore. The other stakeholders were able to visualize, evaluate, and propose
512 modifications to what was proposed by the structural engineer.

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Figure 6. The collaborative workflow in the bridge pre-dimensioning.

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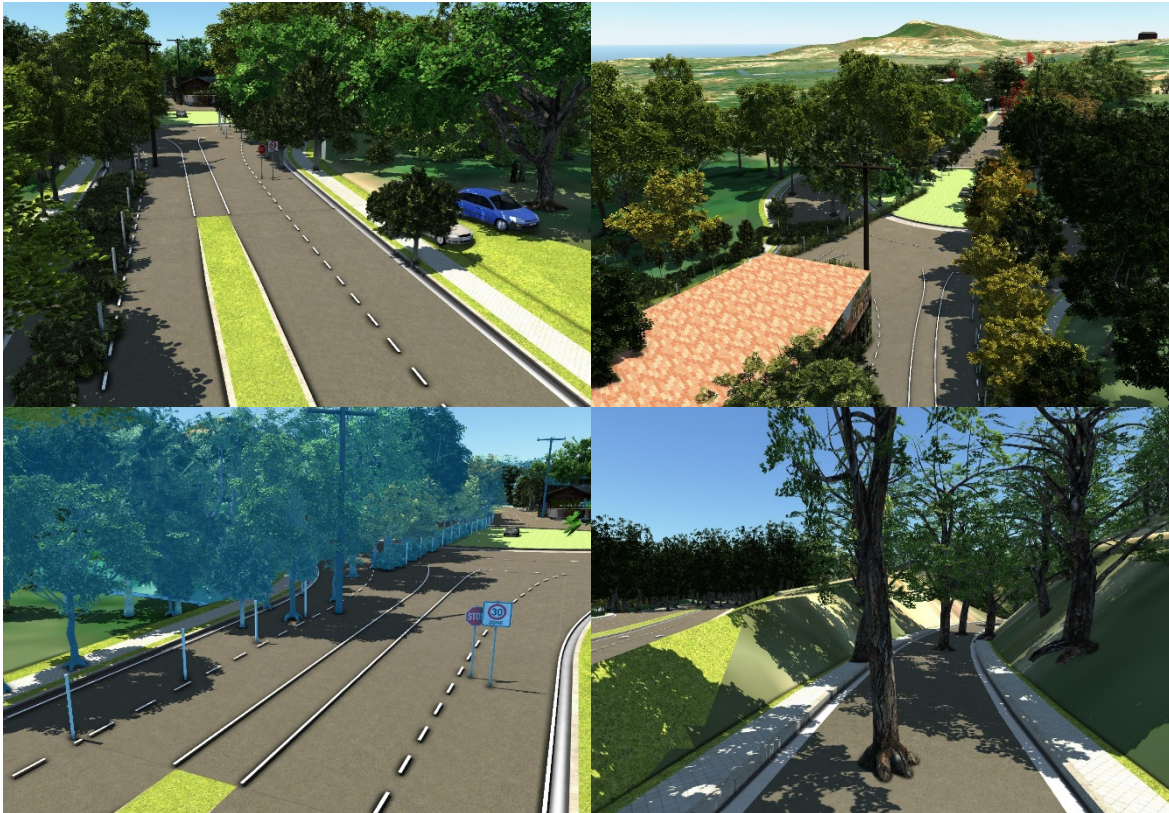
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The BIM methodology's visualization options lead to improvements in the decision-making processes related to effects on the environment and neighboring properties. Figure 7 shows some illustrations of the effect generated by the analyzed alternatives. Different

520 scenarios were shown to the team members, who were able to evaluate, redefine, and
521 optimize different aspects to mitigate affectations at the site.

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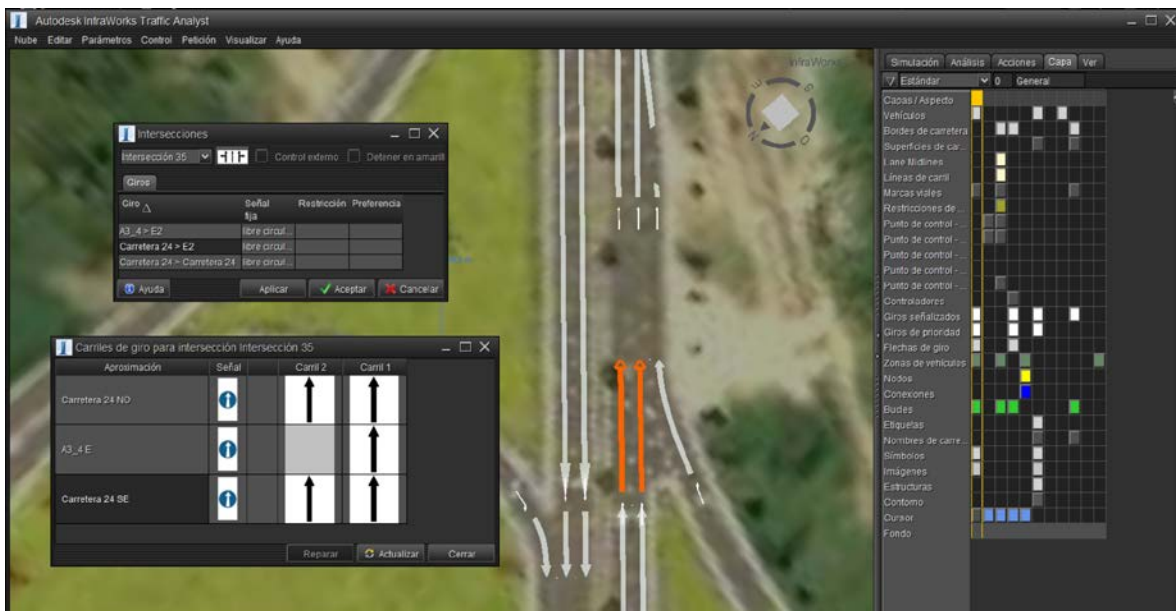
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Figure 7. Visual management for mitigation of property and environmental damage.

526 **4.4. Traffic analysis and simulation**

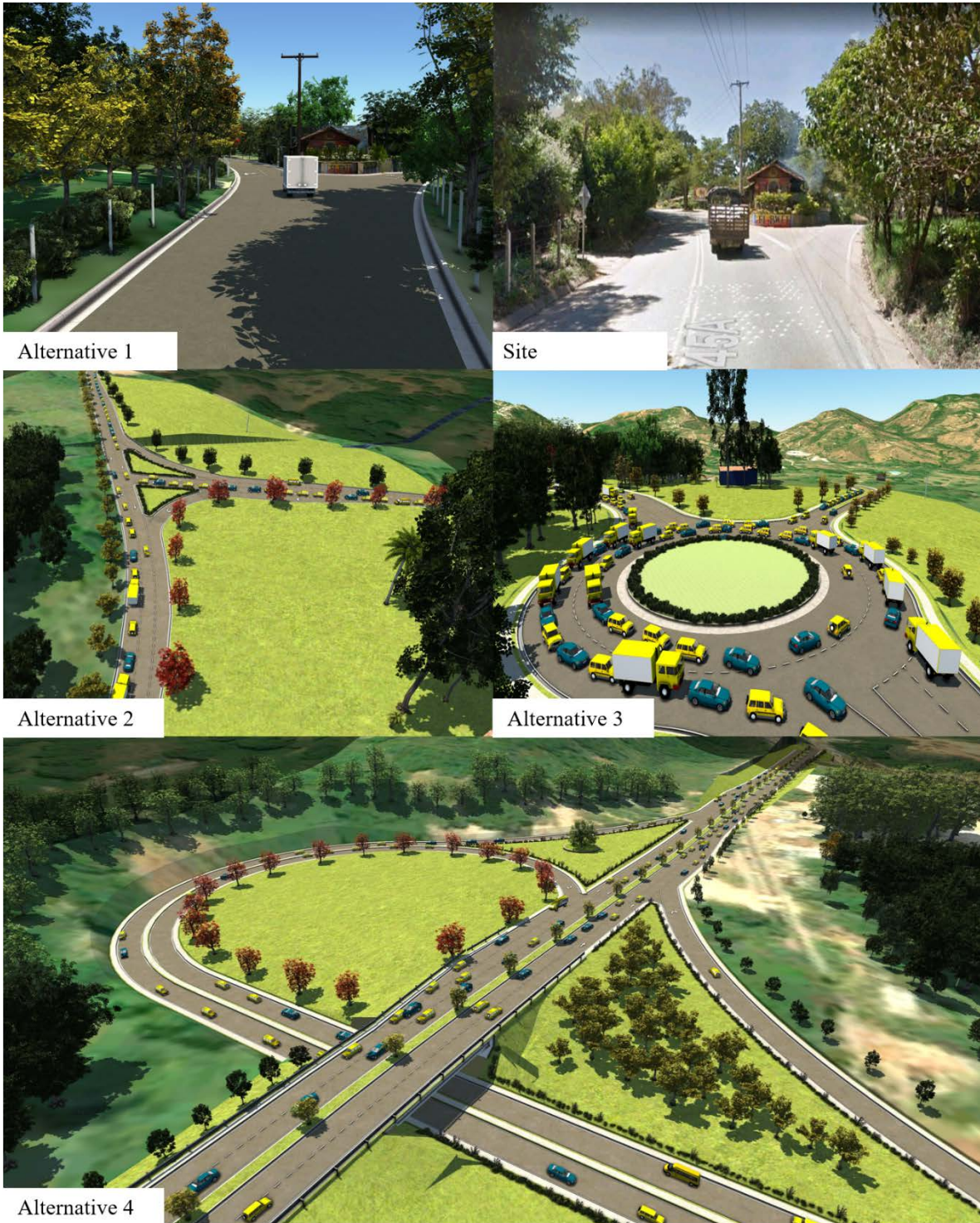
527 The BIM models of the analyzed road intersection alternatives were developed from
528 the existing-conditions model. The road designer performed a pre-dimensioning of the
529 geometric design for the different alternatives following the guidelines of the *Manual de*
530 *Diseño Geométrico INVIAS, Colombia* (Instituto Nacional de Vías, 2008). The
531 configuration of the traffic analysis parameters was performed by the traffic engineer in the
532 Traffic Analyst module of the Autodesk Infracore software. The traffic engineer used the
533 BIM models and the traffic information collected on the site. Thus, traffic information was

534 integrated into the other project information while configuring the BIM model, in addition
535 to configurations related to mobility rules and restrictions, parameters of driver behavior,
536 characteristics of traffic controllers, and simulation parameters (see Figure 8). The selected
537 simulation type was mesoscopic based on the project requirements. Figure 9 shows the
538 BIM models developed for the four alternatives analyzed during the traffic simulation
539 process.
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Figure 8. Traffic issues configuration into the BIM model.



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Figure 9. BIM models of the road intersections alternatives analyzed.

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Table 6 presents service levels, estimated construction costs, and land required for

548

each alternative. The trumpet-type road intersection is the one that obtains the best service

549 level (B) according to the levels defined by Cerquera (2007); however, it is the alternative
 550 with the highest estimated construction cost (US \$3,048,973). On the other hand, level-
 551 canalized and roundabout intersection alternatives are associated with moderate
 552 construction costs (US \$270,860 and US \$760,200, respectively) but obtain an insufficient
 553 service level (E) to meet the projected traffic demands for twenty years. Therefore, these
 554 alternatives could meet short-term needs but not the long-term. A trumpet intersection
 555 requires a greater land acquisition area (42,175 m²), an aspect that significantly increases
 556 the required investment. Level-canalized and roundabout alternatives require minor land
 557 acquisition areas (3,452 m² and 14,247 m², respectively).

558

559 **Table 6.** Service levels and costs for the road intersections alternatives analyzed.

Id	Alternative	Service Level	Variable	Estimation
1	Existing road intersection	F	Construction cost (US)	-
			Land acquisition (m ²)	-
2	Level-canalized intersection	E	Construction cost (US)	\$ 270,860
			Land acquisition (m ²)	3,452
3	Roundabout intersection	E	Construction cost (US)	\$ 760,200
			Land acquisition (m ²)	14,247
4	Trumpet intersection	B	Construction cost (US)	\$ 3,048,973
			Land acquisition (m ²)	42,175

560

561 **5. BENEFITS OF BIM ADOPTION IN TRAFFIC ANALYSIS AND SIMULATION**

562 The project stakeholders involved were interviewed about the benefits of applying the
 563 proposed methodological framework to the traffic analysis and simulation activities, using
 564 the benefits identified by Chan, Olawumi, and Ho (2019). Table 7 shows the benefits
 565 perceived by each stakeholder.

566

567 **Table 7.** BIM benefits and stakeholder perceptions.

Id	BIM benefit	Stakeholder*							
		S₁	S₂	S₃	S₄	S₅	S₆	S₇	S₈

B ₁	Better construction planning and monitoring	✓			✓	✓		✓
B ₂	Better cost estimates and control	✓	✓			✓		✓
B ₃	A better understanding of design	✓	✓	✓	✓	✓	✓	✓
B ₄	Enhance organizational image					✓		✓
B ₅	Improve project quality	✓	✓	✓	✓	✓	✓	✓
B ₆	Improve safety performance		✓	✓		✓	✓	
B ₇	More efficient communications	✓	✓	✓	✓	✓	✓	✓
B ₈	Provide life cycle data	✓	✓	✓		✓	✓	✓
B ₉	Reduce construction cost	✓				✓		
B ₁₀	Reduce project duration	✓	✓				✓	
B ₁₁	Scope clarification	✓	✓	✓	✓	✓	✓	✓
B ₁₂	Speed up the design process	✓	✓	✓	✓	✓	✓	✓

*S₁: BIM manager and cost engineer; S₂: road designer; S₃: structural engineer; S₄: sanitary engineer; S₅: design manager; S₆: traffic engineer; S₇: owner representative; S₈: architect.

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571 The redesigning of an existing road intersection requires detailed planning of vehicle
572 flows projected for the construction period to minimize work effects, accidents, road
573 congestion, and other problems ([Attab & Banyhussan, 2020](#)). BIM traffic simulation can be
574 integrated with BIM 4D simulations that allow visualizing, configuring and solving
575 different aspects before starting the work on-site, benefiting the construction planning and
576 reducing the negative work effects on road users. At the same time, BIM traffic simulation
577 can be used to monitor, manage, and improve different variables related to the road
578 intersection operation.

579 Considering the high investment required for road construction, maintenance and
580 improvement are crucial issues, requiring cost estimation and control at different phases
581 and processes of the project life cycle ([Herrera, Sánchez, Castañeda, & Porras, 2020](#);
582 [Makovšek, 2014](#)). Therefore, the automation of costs and estimates obtained by the BIM
583 model favors the cost evaluation for different project alternatives and configurations
584 compared to the manual measurement, promoting better cost-benefit ratios. Similarly, the
585 exploration of alternatives and evaluation of traffic analysis at road intersections contribute
586 to the construction cost reduction by adopting design characteristics based on the allocated

587 budget and traffic requirements. These activities promote an optimization culture among
588 stakeholders and, therefore, reductions in construction costs. The increase in the design
589 quality and detail promoted by BIM mitigates delay and cost overruns, considering that one
590 of the main causal factors of excess costs is design failures (Al Hosani, Dweiri, & Ojiako,
591 2020; Rachid, Toufik, & Mohammed, 2018).

592 In decision-making processes, stakeholders must understand the design to obtain the
593 maximum benefit from their interaction. Therefore, the BIM adoption in traffic analysis of
594 road intersections can improve decision-making processes by better understanding design
595 supported by visualization, considering that the BIM model is a virtual project replica. In
596 addition, road intersection analysis involves evaluating the project's impact on the
597 environment, which allows identifying effects on trees, utility service networks, rivers,
598 farms, buildings, and existing roads. This evaluation requires integrating and analyzing the
599 project scope and the existing site conditions. Thus, the traffic analysis is improved from
600 the BIM implementation because the simulation scenario uses the existing-conditions BIM
601 model. Modification of project parameters allows analyzing the new scope and its impact
602 quickly and automatically. Adding any new characteristics to the visualization allows
603 making better decisions in the analysis of alternatives.

604 Developing a BIM model of the road intersection and the existing site conditions
605 enable to speed up the design process because the geometric designer, structural engineer,
606 sanitary engineer, architect, electrical engineer, and other design participants have the
607 opportunity to base their contributions on the BIM model developed in the traffic analysis.
608 Added to automation, specialized tools and collaborative methodologies make it possible to
609 speed up the design process.

610 The visualization and high level of detail achieved in the BIM model impose rigor on
611 stakeholders to detail and analyze the different project elements (Sacks, Koskela, Dave, &
612 Owen, 2010). Traffic analysis benefits from a detailed analysis of the intersection
613 alternatives and their respective elements. Added to a better understanding of the project
614 and rapid evaluation of alternatives and configurations, the model contributes to improving
615 project quality. Road intersections are often the site of accidents both during construction
616 and in operation and maintenance phases; accidents that, in the worst cases, can cause
617 fatalities and considerable economic losses (Yang, Li, Liu, Wang, & Zhao, 2020). BIM
618 traffic analysis allows exploring and evaluating scenarios, elements, and configurations that
619 are risky for road users and workers in a virtual environment where human lives and
620 economic capital are not at risk, improving project safety performance.

621 The collaboration and visualization functions provided by BIM allow professionals
622 located in different geographical locations to participate efficiently in the project (Oraee,
623 Hosseini, Papadonikolaki, Palliyaguru, & Arashpour, 2017). Currently, the BIM
624 collaboration platforms supported by cloud work methodologies improve communication
625 efficiency. Greater communication efficiency strengthens decision-making processes. The
626 magnitude and multidisciplinary nature of road intersections result in the production,
627 storage and management of a large volume of data in the project life cycle (Aziz et al.,
628 2017). Hence, the BIM adoption in traffic analysis benefits the integration of traffic
629 information to the other project information. Consequently, the traffic simulation model is
630 transformed from an independent model to an integrated model that other project
631 disciplines can use at subsequent phases.

632

633 **6. CONCLUSIONS**

634 The main theoretical contributions of this work are the creation of a methodological
635 framework for traffic analysis through the application of Building Information Modeling;
636 the proposal for an integration of geometric road design and traffic analysis in a BIM
637 digital model; the information process required for a traffic analysis at road intersection
638 when it is applied BIM simulations; and the integration of traffic information and others
639 BIM model specialties, e.g., structural, architectural, mechanical, electrical and plumbing
640 models. The BIM methodological framework for traffic analysis and simulation at road
641 intersections in five principal steps: 1) BIM models and traffic information collection; 2)
642 BIM model configuration; 3) BIM simulation, analysis, and calibration; 4) BIM costs
643 analysis and documentation; and 5) alternatives comparison and recommendations.

644 The methodological framework application shows the potential of the BIM approach
645 to improving processes associated with the traffic analysis at road intersections. The BIM
646 model developed by other design disciplines is used as a simulation platform, avoiding the
647 need to develop an independent model for traffic analysis. Thus, the information from the
648 traffic analysis is integrated into the BIM model, promoting the reduction of design flaws
649 both in the traffic analysis and in other design disciplines. The information is consistently
650 and compatibly integrated into a single database. According to the eight participants in the
651 case study, some of the most prominent BIM benefits are better understanding of design,
652 improved project quality, more efficient communications, scope clarification, and speed up
653 the design process.

654 An adequate design and planning exercise for a road intersection should involve
655 evaluating different alternatives, configurations, and traffic simulation scenarios to promote
656 selecting the project characteristics that best adapt to the traffic requirements that gave rise

657 to the project. Aligned with this purpose, the automation provided by the BIM
658 implementation in traffic simulations favors evaluating different road intersection
659 alternatives in less time, which improves the quality of decision-making processes by
660 exploring different scenarios. The most suitable alternative to meet the traffic demands and
661 financial requirements associated with the project can be selected.

662 Limitations of this study are: 1) the lack of sustainability, environmental, and social
663 issues in the proposed methodological framework, 2) the non-exploration of the
664 microscopic-type traffic simulation model with BIM implementation, 3) traffic analysis
665 was developed through the traffic analyst module of the Autodesk InRoads, therefore, a
666 numerical algorithm was not developed, 4) the exploration of two variables in the economic
667 analysis and not a larger number: construction cost, and land required, and 5) the proposed
668 framework does not focus on decision-making processes. However, the background is
669 developed for a subsequent decision-making process. Therefore, future works could focus
670 on 1) studying the BIM implementation to evaluate and optimize sustainability,
671 environmental, and social aspects of road intersections design, 2) studying the BIM
672 implementation for the microscopic-type traffic simulation models development, 3)
673 compare the results of the traffic analysis of various software and traffic numerical analysis
674 algorithms to validate the data and traffic projections, and 4) studying the BIM application
675 using different economic analyses in the road intersections design and planning.

676

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682

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