

Document downloaded from:

<http://hdl.handle.net/10251/176329>

This paper must be cited as:

Alcañiz, D.; Caccavale, P.; De Bonis, MV.; De Los Reyes, R.; Ortolá Ortolá, MD.; Ruocco, G. (2021). Optimal design of an innovative microwave-based fluid heater. *International Journal of Thermal Sciences*. 164:1-7. <https://doi.org/10.1016/j.ijthermalsci.2021.106848>



The final publication is available at

<https://doi.org/10.1016/j.ijthermalsci.2021.106848>

Copyright Elsevier

Additional Information

Optimal design of an innovative microwave-based fluid heater

Diego Alcañiz^a, Paolo Caccavale^b, Maria Valeria De Bonis^b, Ruth de los Reyes^a, Maria Dolores Ortolá^a, Gianpaolo Ruocco^{b,*}

^a*Instituto Universitario de Ingeniería de Alimentos para el Desarrollo, Universitat Politècnica de València, Camino de Vera s/n, 46022 Valencia, Spain*

^b*Scuola d'Ingegneria, Università degli Studi della Basilicata, Campus Macchia Romana, 85100 Potenza, Italy*

Abstract

New heating technologies are constantly being developed worldwide, specially the electrical ones that take advantage of renewable energy. In this paper, the Basic Cell of Energy Transference (BCET) is proposed as an innovative fluid heater, carrying a microwave-fed heat transfer plate for thermal contact. A fully-dimensional thermo-fluid analysis was implemented and validated to determine the key design parameters and operation features for heat transfer to temperature-sensitive working fluids.

Circulation patterns were observed, when using certain fluids, in turn causing strong temperature non-uniformities. As fluid treatment in the heater relies on the thermal contact at its active plate, the model was used to ascertain the undesired excess/lack temperature range for quality/safety treatments, with reference to a final effective process temperature. Therefore, a geometry optimization by means of internal baffles was carried out which ensured variation to fluid pattern and more uniform active plate temperature. **In a base case, the new design allowed to limit the uncontrolled temperature excess by almost 30%, while favouring the pressure drop reduction across the flow device by more than 10%.**

Keywords: Fluid Heater, Computational Fluid Dynamics, **Heat transfer and fluid dynamics optimization**

1. Introduction

Nowadays, new heating technologies are being developed all around the world, specially, technologies that can be feed with electricity. Over the past two decades, rapid growth in the development of adaptation responses to climate change has occurred around the world [1]. Therefore, the interest in the electrical-feed

*Corresponding author

Email address: gianpaolo.ruocco@unibas.it (Gianpaolo Ruocco)

5 heating in common processes (such as in the food industry) has risen considerably as it can take advantage
6 of renewable sources of energy, and as it has far less associated CO₂ emissions than the fossil fuels tech-
7 nologies. Process heating is an area of interest for emission reduction as the 70% of the total energy cost of
8 some sectors of the food industry comes from heating processes [2].

9 There are many novel technologies that can be used for heating applications and, specifically, to heat
10 liquids, like ohmic heating [3], irradiation [4] or microwave (MW)[5]. One such example is the Basic Cell
11 of Energy Transference (BCET) [6], which consists, in its simplest configuration, in two flow boxes inter-
12 spersed by an active heat transfer plate. The working fluid flows sequentially through the two box, making
13 thermal contact with the active ceramic plate, which is heated by conducted MW. Due to its specifically-
14 designed composition and dimensions, optimized to absorb MW, the active part of the BCET provides pre-
15 cise, efficient and flexible operation to heat fluids in a similar way than a Plate Heat Exchanger (HEX) the
16 most widespread device for heating fluids industrially [7]. Thanks to its compact design and working sim-
17 plicity (no auxiliary fluid is necessary), it finds its application for precise liquid thermization and in rural
18 areas installations, that can benefit of solar- or wind-driven energy and cannot depend upon a regular water
19 supply. Its feeding technology allows for precise hold-up times, e.g. for pasteurization processes [8, 9] and
20 finely-tuned power modulation from the active plate, e.g. with oscillating/periodic heat flux as in nanofluids
21 thermization [10], which requires more immediate response to temperature changes.

22 HEX and heater design optimization is a very active area of research an development. Recently, Yang
23 et al. [11], Picon-Nuñez et al. [12], Caputo et al. [13] confirmed that the pressure drop is correlated with
24 the heat transfer coefficient, which is the key concept at stake here. While higher heat transfer contact and
25 removal from the heater's active plate (or heater efficiency) is ensured by turbulent flow conditions, laminar
26 flows are preferred instead to decrease the cost associated with pumping power [14]. On the other hand, the
27 increment of heater efficiency, for a given active surface area, may lead to a more affordable device, due to
28 the reduction of material employed, unless the design implies a greater burden in maintenance.

29 A variety of fluid heater modeling tools are available, that emerge from the literature, as genetic algo-
30 rithm, differential evolution, particle swarm optimization or simulated annealing have become widespread
31 for their application in design and optimization. Campet et al. [15] optimized a single-started helically
32 ribbed HEX by using large eddy simulation, which is based on a surrogate model constructed from Gaussian
33 Process Regression and adaptive resampling with the Efficient Global Optimization (EGO) method. This
34 method can maximize the heat transfer efficiency but has the disadvantage that only is able to compare for
35 the same pumping power, while not has the capacity to compare different flows. Kumar et al. [16] stated that

36 multi objective wale optimization was a suitable method to optimize the constructive parameters of a heater,
37 basing it in decreasing the pressure drop, but could not perform a multivariable analysis. Heater design takes
38 into account many variables, so, a method that can consider all, or at least some of them, would have a better
39 success. With the development of computational technologies, also computational fluid dynamics (CFD)
40 approaches are becoming popular in design and optimization. Still recently, Lofti & Sundén [17] utilized the
41 CFD to qualify a series of internal turbulators in improving the thermo-hydraulic performance of HEXs, for
42 a wide spectrum of possible designs. CFD approaches are indeed attractive for testing the performance of
43 any number of new designs without fabricating prototypes. Pressure and temperature distributions, flow be-
44 havior and pattern can be fruitfully explored in any number of virtual scenarios, which gives a huge amount
45 of possibilities and optimizing at several variables at the same time, while the results only differ up to a
46 1.05% of the experimental ones [18]. For this approach, it is important to note that CFD has also been used
47 for optimize novel designs of HEX, as Bicer et al. [19] optimized the design of a shell-and-tube HEX with
48 novel three-zonal baffle by using ANSYS and the Taguchi method. One alternative to ANSYS is COMSOL
49 Multiphysics, a CFD program based on finite elements that is suited to treat various aspect of the process at
50 once [20].

51 The present work is aimed to model and optimize the BCET technology as a temperature-sensitive fluid
52 heater. A fully-dimensional thermo-fluid analysis based on CFD, including inherent turbulence, has been
53 implemented corresponding to a preliminary experimental rig. After proper validation with the theoretical
54 temperature increments, the model was effective in determining:

- 55 • the variables space of the thermo-fluid dynamic problem;
- 56 • effective operation charts to consolidate the knowledge of the thermization performance;
- 57 • the ranges of this variable space that lead to heat transfer's uniformity along the active plate, also
58 depending on the inherent fluid dynamic patterns and some common working fluid.

59 **2. Problem formulation**

60 In the present work a prototype of fluid heater based on the BCET technology has been numerically
61 studied. The prototype consisted in a double thermization flow box or control volume (CV), heated by an
62 interspersed active BCET plate (as shown in Fig. 1), connected by means of short PVC branches of 8 mm
63 dia. to a hold-up tank and a circulation pump (not shown). In this configuration, the liquid of given properties
64 is pumped to the CV by means a short inlet pipe, and then out by an outlet pipe at the same side of the CV.

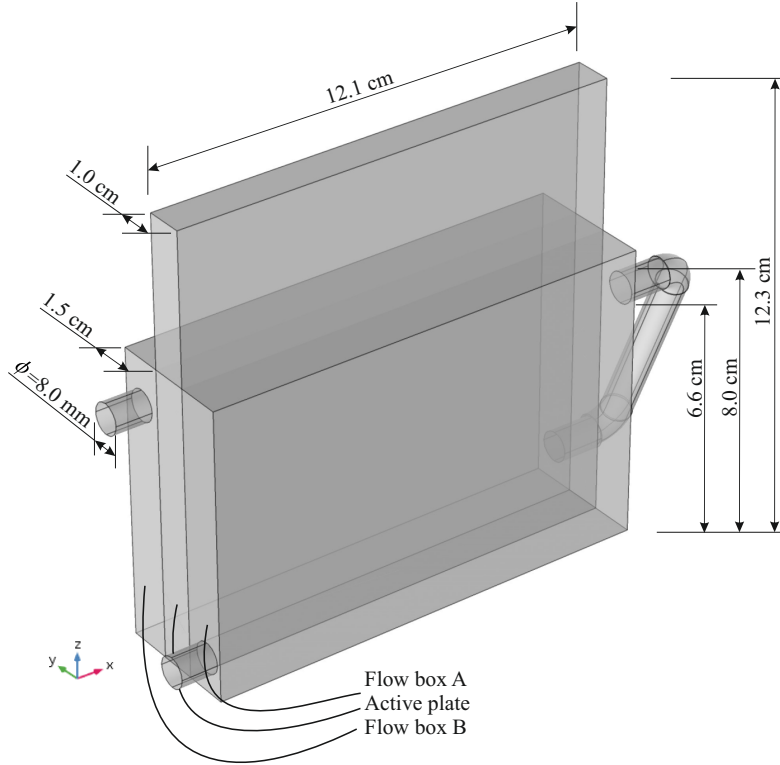


Figure 1: Configuration of the BCET prototype: two flow boxes A and B (including their pipe connections) interspersed by the active plate.

65 BCET heating is performed by exploiting the ceramic plate properties, i.e. rapidly accumulating heat at
 66 before the actual flow circulation, then releasing during flow contact. Details on the experimental rig are
 67 reported elsewhere [6]. A typical BCET process is presented in Fig. 2: the MW feed is first turned on, with
 68 the flow boxes filled with stagnant fluid, until the desired initial active plate temperature is achieved (in this
 69 case, around 100 °C); then the circulation pump is turned on allowing the fluid through the flow boxes. It is
 70 evident that, after a short initial transient, with its circulated operation the BCET performs as a single-stream
 71 HEX interacting with a constant-power, variable temperature heat sink under a fairly constant temperature
 72 difference (15-20 °C in this case). After the desired number of circulation passes p , in the process duration
 73 Δt , a final cumulated fluid temperature increase is achieved.

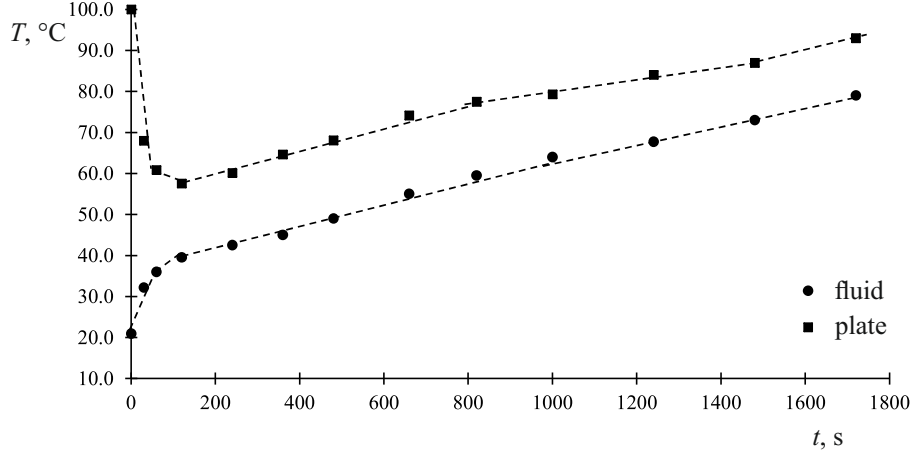


Figure 2: Typical progress of working fluid and plate temperatures.

74 *2.1. Driving assumptions*

75 Based on the circulated operation described above, and the small characteristic length of the heat transfer
 76 geometry of the heater at stakes (available flow volume divided by active heat transfer surface), a steady-state
 77 regime is assumed at each flow pass: the flow field (after a brief start-up transient) does not change during
 78 the process and a constant temperature field is established in the fluid.

79 The following additional assumptions are adopted:

- 80 1. The flow is incompressible (negligible pressure work and kinetic energy) with temperature-dependent
 81 properties. Due to the adopted flow regime, no body force is accounted for.
- 82 2. The viscous heat dissipation is neglected.
- 83 3. All non-active wall surfaces are adiabatic.
- 84 4. No-slip is enforced at every solid surface.

85 *2.2. Governing equations*

86 With reference to the previous statements, the steady-state governing turbulent Reynolds-averaged Navier-
 87 Stokes and energy equations are enforced [21], to yield for fluid flow and temperature:

88 *Flow continuity:*

89
$$\nabla \cdot \mathbf{v} = 0 \tag{1}$$

90 *Momentum transfer:*

$$91 \quad \rho \mathbf{v} \cdot \nabla \mathbf{v} = -\nabla p + \nabla \cdot (\mu + \mu_t) [\nabla \mathbf{v} + (\nabla \mathbf{v})^T] \quad (2)$$

92 *Transfer of turbulent kinetic energy:*

$$93 \quad \rho \mathbf{v} \cdot \nabla k = \nabla \cdot \left[\left(\mu + \frac{\mu_t}{\sigma_k} \right) \nabla k \right] + \frac{\mu_t}{2} [\nabla \mathbf{v} + (\nabla \mathbf{v})^T]^2 - \rho \varepsilon \quad (3)$$

94 *Transfer of turbulent energy dissipation rate:*

$$95 \quad \rho \mathbf{v} \cdot \nabla \varepsilon = \nabla \cdot \left[\left(\mu + \frac{\mu_t}{\sigma_\varepsilon} \right) \nabla \varepsilon \right] + \frac{c_{1\varepsilon} \varepsilon \mu_t}{2k} [\nabla \mathbf{v} + (\nabla \mathbf{v})^T]^2 - \frac{c_{2\varepsilon} \rho \varepsilon^2}{k} \quad (4)$$

96 *Transfer of energy:*

$$97 \quad \rho c_p \mathbf{v} \cdot \nabla T = \nabla \cdot (\lambda \nabla T) \quad (5)$$

98 The present model is based on the $k - \varepsilon$ low-Reynolds turbulence paradigm [22], with its details for
 99 turbulent viscosity μ_t and boundary layer at the wall (wall distance initialization) left unreported here for
 100 sake of brevity.

101 2.3. Boundary conditions

102 With reference to Fig. 3:

- 103 • Given conditions at inlet i:

$$104 \quad v_x = v_i, \quad v_y = 0, \quad v_z = 0, \quad k = k_i, \quad \varepsilon = \varepsilon_i, \quad T = T_i \quad (6)$$

- 105 • At outlet o:

$$106 \quad \frac{\partial v_x}{\partial x} = 0, \quad v_y, v_z = 0, \quad \frac{\partial k}{\partial x} = 0, \quad \frac{\partial \varepsilon}{\partial x} = 0, \quad p = 0, \quad \nabla T = 0 \quad (7)$$

- 107 • No-slip at all flow box walls:

$$108 \quad \mathbf{v} = 0 \quad (8)$$

- 109 • No heat flux at all flow box walls except the active surface:

$$110 \quad \nabla T = 0 \quad (9)$$

- 111 • Given heat flux at the active surface:

$$112 \quad \nabla T = \dot{q} \quad (10)$$

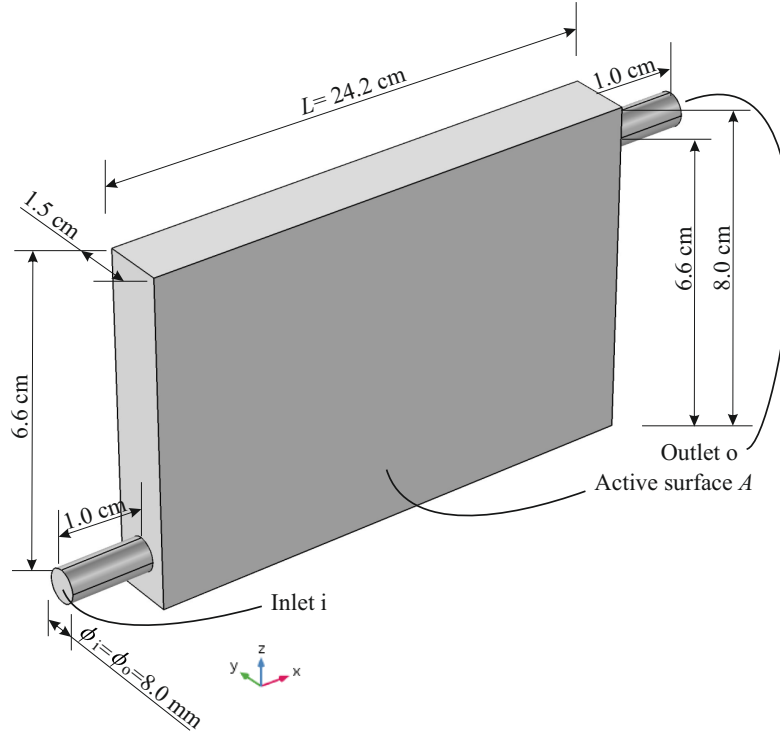


Figure 3: The modified flow box A. Here, an active surface A represents the active plate of Fig. 1.

113 **2.4. Model validation**

114 In order to considerably simplify the flow geometry, while focussing on BCET's nominal exchanged
 115 thermal power, one flow box was considered, only, with the total extension of its active heating surface A at
 116 the side. In order to do this, flow box A of Fig. 1 was extended to a x-wise length $L = 24.2$ cm, to double
 117 surface A with respect to the original design and come up the the geometry in Fig. 3: in this way, a complete
 118 fluid/active surface contact for a single flow pass can be simulated.

119 Since the working fluid is heated by a circulating operation in the BCET, the model of Eqs. (1-9) is
 120 solved for the temperature increment of the working fluid $\Delta T_p = \bar{T}_o - T_i$ after a single pass p (with \bar{T}_o the
 121 average of T over outflow section area Ω_o), then multiplying for the total number of passes to yield for the
 122 cumulated thermization. Let us refer to an experimental Base Case for water, having supplied a nominal
 123 power provided at the active plate $\dot{q}A = 200$ W in a total process duration $\Delta t = 1720$ s. With the total
 124 hold-up capacity $V = 1.15$ L and volume flow rate in the flow box $\dot{V} = 2.0$ L/min measured micrometrically,
 125 a nominal number of flow passes $\dot{V} \times \Delta t / V = 49.85$ results. Denoting with ΔT_p^v the theoretical temperature

126 increment in the CV, a *control-volume energy conservation balance* for constant fluid specific heat writes as

$$127 \quad \dot{q}A = \rho \dot{V} c_p \Delta T_p^v \quad (11)$$

128 yielding $\Delta T_p^v = 1.43$ °C, which corresponds in a cumulated $\sum_p \Delta T_p^v = \Delta T^v = 71.28$ °C. In the same
129 conditions, a *control-mass energy conservation balance* applied to the entire BCET rig when holding the
130 corresponding total volume $V = 1.15$ L of water, with a supplied nominal energy $E = \dot{q}A\Delta t = 3.44 \cdot 10^2$ kJ,
131 writes as

$$132 \quad E = \rho V c_p \Delta T^m \quad (12)$$

133 yielding, in the additional assumption of negligible heat loss in the circuit, a cumulated $\Delta T^m = 71.46$ °C \simeq
134 ΔT^v . **By itself**, this agreement confirms the correspondence of the V , \dot{V} and t measurements in the exper-
135 imental rig, but also gave the opportunity to validate the model. Indeed, in these prescribed conditions,
136 the present BCET model gave a computed temperature increment $\Delta T_p^c = 1.36$ °C, yielding a cumulated
137 $\sum_p \Delta T_p^c = \Delta T^c = 67.80$ °C, corresponding to an error of about 5% with respect to the above theoretical
138 temperature increments.

139 2.5. Numerical treatment

140 Integration of the partial differential equations system, along with its boundary conditions, was carried
141 out by means of COMSOL [22]. First, the direct MUMPS solver was employed for the wall distance
142 initialization, with the automatic reordering algorithm and a relative tolerance of $1 \cdot 10^{-3}$; then the direct
143 PARDISO solver with nested multithreaded dissection and a relative tolerance of $1 \cdot 10^{-4}$ was invoked
144 in a segregated fashion with row reordering and multithreaded forward and backward solve, to ensure
145 computational stability and robustness: first for velocity and pressure, then for temperature, and finally for
146 the turbulence parameters. A **tetrahedral** grid of $109 \cdot 10^3$ total cells has been devised for a Base Case
147 (referred to above) and the geometry of Fig. 3, featuring a boundary layer grid of 4 levels having a thickness
148 of $5 \cdot 10^{-5}$ m, which allowed for resolving the velocity and temperature gradients in the boundary layer,
149 along all solid walls (**as an example, see Fig. 4**). A grid independency test was carefully performed prior to
150 generation of results by a recursive refinement for both the total cell number and the number and thickness of
151 boundary layer grid levels up to $148 \cdot 10^3$ total cells, **with a positive check on \bar{T}_o (invariance at the 4th mean-**
152 **ingful digit) on a $122 \cdot 10^3$ total cells domain, carrying a boundary layer grid of 5 levels having a thickness**
153 **of $3 \cdot 10^{-5}$ m.** The computing time of each run took less than 1 h by using a Pentium Xeon server (Windows
154 10 OS, Eightcore-32N at 2.4 GHz, 128 GB RAM) running in serial mode.

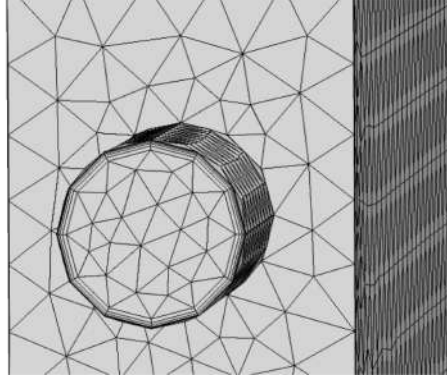


Figure 4: Close-up of the employed finite element grid of Fig. 3, featuring tetrahedral and boundary layer cells, before the flow box inlet. This kind of grid was adopted along all solid walls in the CV.

155 3. Results and discussion

156 3.1. Variables space

157 For a given BCET geometry as in Fig. 1 and with reference to the model in Eqs. (1-9), the governing
 158 parameters are the power applied to the active plate $\dot{q}A$ (from 100 to 300 W), the inlet velocity v_i (from
 159 0.331 to 1.324 m/s, i.e. a range obtained by scaling the \dot{V} of the Base Case), and the fluid type (water,
 160 milk of average characteristics, or common sunflower oil). The variables space have been explored building
 161 a full factorial set of computational experiments, for a total of 27 runs. Inlet temperature T_i was always
 162 kept at 21.00 °C, while the inlet turbulent kinetic energy k_i and turbulent energy dissipation rate ϵ_i were
 163 always consistent with the employed turbulence paradigm. When using the lowest inlet velocity value,
 164 model compliance was also checked when using a laminar form of the fluid dynamics, which compared
 165 nicely with the turbulent form.

166 3.2. Operating charts and temperature distributions

167 Single-pass temperature increases ΔT_p^c are shown in Fig. 5 for the flow box of Fig. 3, and can be used to
 168 implement the desired thermization. Taking into account of the variation of fluid properties with temperature,
 169 ΔT_p^c appears to linearly progress with the increase of the plate power, while depending inversely on the
 170 working fluid specific heat and inlet velocity (or mass flow rate). Relative to the Base Case, a full run of
 171 50-pass sequence has been also performed, with the boundary condition of $T_{p,i} = \iint_{\Omega} T_{p-1,o}$ at each pass p ,
 172 to ensure that the cumulated ΔT^c does depend linearly on the total number of passes.

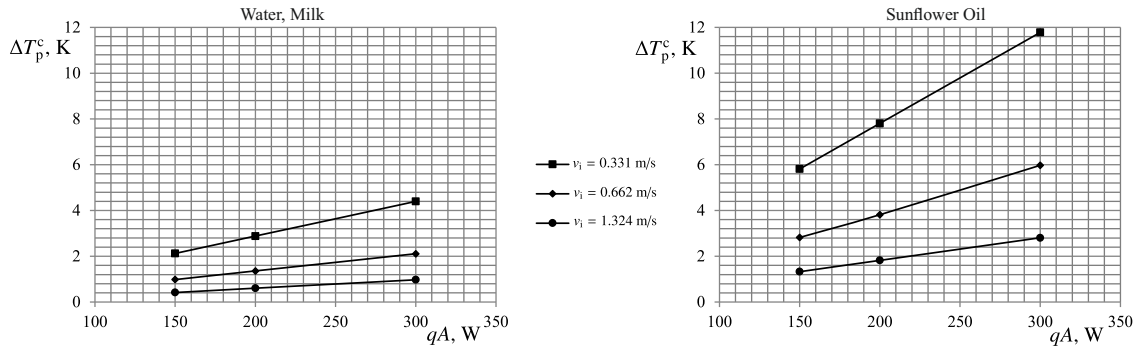


Figure 5: Flow box of Fig. 3: single-pass temperature increases ΔT_p^c charts for the employed fluids, depending on the power applied to the active plate qA for variable inlet velocity v_i . Left: Water or Milk; Right: Sunflower Oil.

173 Qualitative temperature distributions for the flow adjacent to the active plate are shown in Fig. 6, for
 174 the three fluids employed. The areas in yellow report on higher temperatures with respect to the various
 175 shades of red, representing the departure from an ideal uniform treatment. Water (Left), and milk (Right)
 176 to a lesser extent, showed a pronounced circulation pattern at the plate center, and a larger stagnation area
 177 corresponding to the upper leading box corner. This pattern is favoured by the dynamic viscosities of these
 178 two fluids, that are 1 order-of-magnitude smaller when compared to that of sunflower oil. These areas of
 179 uncontrolled, excess temperatures prevent precise thermal control and treatment uniformity at active plate
 180 vicinity. As fluid treatment in the heater relies on this thermal contact, the model was therefore used to
 181 optimize the single flow box of Fig. 3 in order to promote a more uniform temperature treatment, for the
 182 given external dimensions.

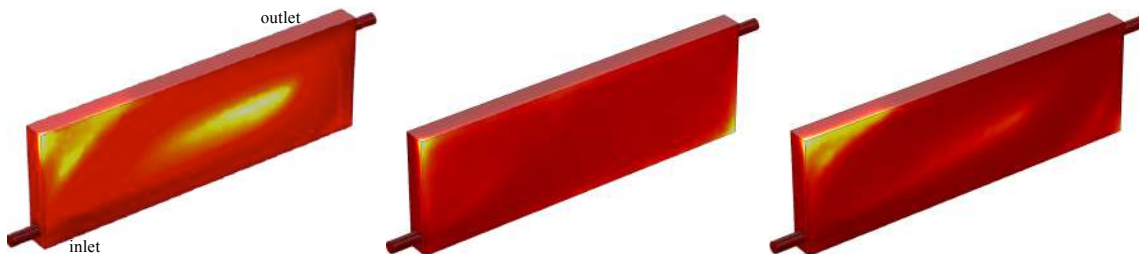


Figure 6: Flow box of Fig. 3: qualitative fluid temperature distributions adjacent to the active plate with $L = 24.2$ cm, when $qA = 200$ W and $v_i = 0.662$ m/s (Base Case). Left: Water; Center: Sunflower Oil; Right: Milk. The areas in yellow report on higher temperatures with respect to the various shades of red.

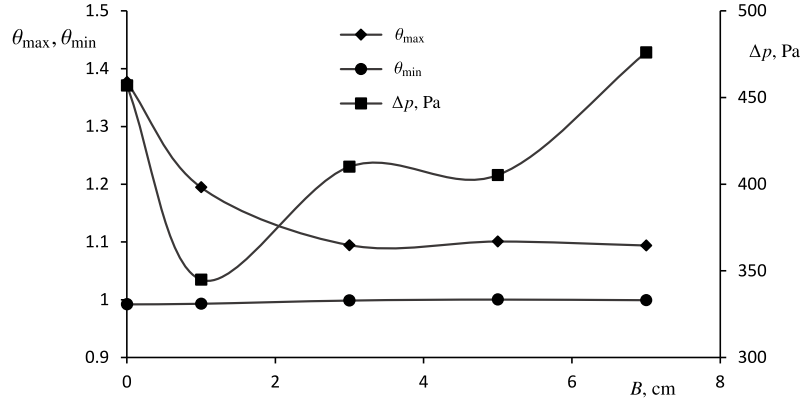


Figure 7: Single flow box of Fig. 1: dimensionless temperatures θ_{\max} and θ_{\min} at the active plate (left axis), and head loss Δp [Pa] (right axis), as dependent on baffle length B [cm], for the Base Case and milk.

3.3. BCET optimization

When processing many heat-sensitive fluids, the undesired excess/lack temperature levels must be monitored, to ensure for proper quality/safety treatments: too high a temperature may readily spoil some functional feature, such as taste or vitamin content, whereas in turn too low a temperature would hinder the bacterial degradation [23]. Indeed, with the steady-state model at hand, for a given heat transfer characteristic length of the heater, the same amount of energy to the fluid is delivered regardless the internal configuration. In this paper, a simple modification is proposed to optimize the flow boxes of the BCET prototype (Fig. 1), by placing two equidistant thin baffles. Therefore, four additional runs for water in the Base Case were performed, in a parametric loop allowing for various baffle lengths, to compare to the original configuration. These results report on fluid foods, such as milk, that possess similar density and viscosity.

The result of such comparison is reported for the Base Case in Fig. 7. To this end, the fluid maximum and minimum temperatures detected at the vicinity of the plate are compared to the volume average temperature in the CV, to define the following **averaging** dimensionless temperatures:

$$\theta_{\max} = \frac{T_{\max}}{\iiint_{CV} T dV}; \quad \theta_{\min} = \frac{T_{\min}}{\iiint_{CV} T dV} \quad (13)$$

When plotting these θ s against the baffle length B , it is evident that no departure of safety treatment is induced in the BCET (the minimum temperatures are kept regardless of B), while uncontrolled thermizations or strong excess temperatures in contact to the active plate will results when using two very short baffles of 1.0 cm, or no baffles at all. In particular, the progress of θ_{\max} decreases steadily up to the 3.0 cm-baffle design, then attaining a plateau with varying B .

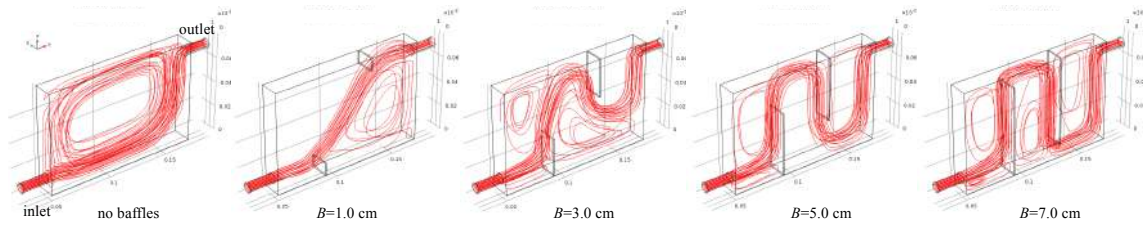


Figure 8: Single flow box of Fig. 1: the velocity field as streamline representation, for the five cases of Fig. 7, at increasing baffle length B . Dimensions are in [m].

202 At the same time, head loss Δp was also computed and provided in the secondary y-axis of Fig. 7: it is
 203 readily seen that the head loss is not linear with the baffle length. By strongly altering the stream patterns (as
 204 illustrated in Fig. 8), the baffles' insertion yields two macroscopic effects, in some regions of the CV, whose
 205 intensity may vary with length B : smooth deflection of the stream (favorable to a lesser Δp), and relative
 206 blocking /impingement of the stream (bringing forth an increase of Δp). The disruption of the single flow
 207 cell of the original configuration (with no baffles), when the short baffles with $B = 1.0$ cm are inserted, favors
 208 a strong head loss drop (up to 25%) due to the resulting smooth deflection. Then, with increasing B , a 3-cell
 209 pattern is formed, but with $B = 3.0$ cm the second baffle (downwind) is long enough to exercise a blocking
 210 action on the flow, yielding an increase of 20% in Δp at the end. A longer baffle arrangement ($B = 5.0$ cm)
 211 slightly improves the flow deflection, but at the final $B = 7.0$ cm the lateral flow confinement and related
 212 head loss is much stronger, and the flow deflection is smooth no more.

213 Based on these considerations, it is seen that the optimal baffled configuration is the one with 5.0 cm-
 214 long baffles. All in all, this configuration allowed to limit the uncontrolled temperature excess by almost
 215 30% (θ_{\max} dropping from 1.38 to 1.11), while favouring the pressure drop reduction across the flow device
 216 by more than 10% (Δp decreasing from 450 to 410).

217 Temperature plots for some runs reported on in Fig. 7 are also provided in Figs. 9 and 10, by plotting the
 218 temperature on the perpendicular axis against the cross-section of the flow box. First, in Fig. 9 the case with
 219 no baffles is depicted: it is found a very steep peak of temperature, corresponding to Fig. 6, Left (although
 220 now the flow box has a shorter aspect ratio, as in Fig. 3).

221 When similar plots are provided with internal baffles, as in Fig. 10 against each modified geometry, it
 222 is evident how the very short baffles at Left are still insufficient in ensuring a relaxed i.e. more uniform
 223 temperature distribution in the vicinity of the plate. The two cases at Center and Right, instead, present more
 224 adequate temperature shapes, while the biggest baffle case (7 cm) slightly increases the pressure drop. So,

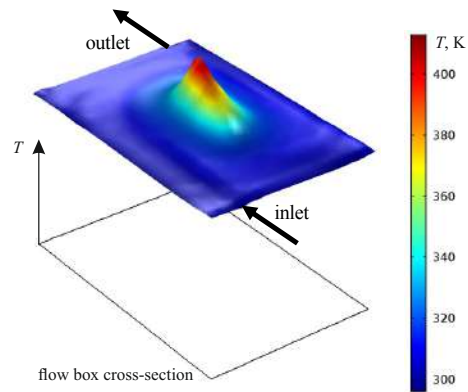


Figure 9: Single flow box of Fig. 1: temperature excess for water and the Base Case, with no baffles, with its scale at right. Inlet and outlet ports' positions are provided.

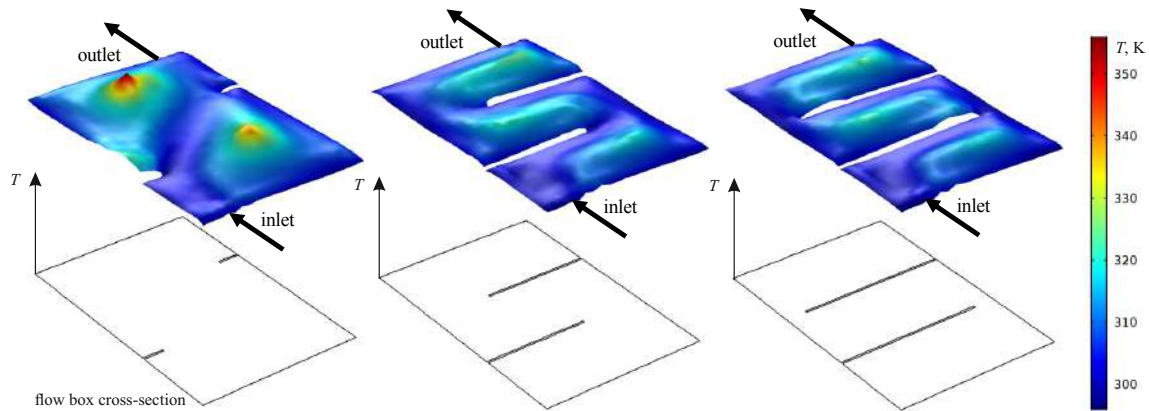


Figure 10: Single flow box of Fig. 1: temperature excess for water and the Base Case, with two 1 cm baffles (Left), two 5 cm baffles (Center), and two 7 cm baffles (Right). The temperature scale is provided at right. Inlet and outlet ports' positions are also provided.

225 baffles of 5 cm was the chosen option to reduce the overheating while decreasing the pressure drop with its
 226 associated increase of efficiency.

227 Conclusions

228 In this paper, a prototype of BCET technology was numerically studied and optimized. After validation,
 229 the original heater was modelled in 27 different conditions by varying the mass flow rate, the plate power
 230 and the fluid type to be heated.

231 The initial design of the prototype evidenced a pattern of heating inhomogeneity, for fluids that have
232 viscosity and density similar to water. As strong excess temperature could be harmful to fluid features, a
233 new design with different baffle lengths (from 1 to 7 cm) was examined. The optimal length of the baffles
234 was found as 5 cm, which allowed to limit the uncontrolled temperature excess by almost 30%, decrease
235 the difference between the maximum and minimum temperature by about 40%, while favouring the pressure
236 drop reduction across the flow device by more than 10%.

237 Acknowledgements

238 This study received funding from the Spanish Ministerio de Economía y Competitividad, Technology
239 Transfer Area, No DI-15-07519.

240 Author contributions statement

241 DA conceived the study and developed the analysis, in conjunction with GR. PC and GR developed the
242 computational model and performed the computations, in conjunction with DA. GR wrote the manuscript.
243 All Authors participated in manuscript revisions and discussion, coordinated and critiqued by GR.

244 Nomenclature

A	active surface area, m^2
B	baffle length, m
$c_{1\varepsilon}$	turbulence model parameter in Eq. (4)
$c_{2\varepsilon}$	turbulence model parameter in Eq. (4)
c_p	constant pressure specific heat, J/kgK
h	specific enthalpy, kJ/kg
k	turbulent kinetic energy, m^2/s^2
L	length, m
p	pressure, Pa
\dot{q}	heat flux, W/m^2
t	time, s
T	temperature, K
\mathbf{v}	velocity vector, m/s

v velocity component, m/s
 \dot{V} volume flow rate, m³/s
 x, y, z coordinates, m

²⁴⁵ *Greek*

Δt process duration, s
 ΔT temperature increment, K
 ε turbulent energy dissipation rate, m²/s³
 λ thermal conductivity, W/mK
 μ dynamic viscosity, Pas
 ρ density, kg/m³
 σ_k turbulence model parameter in Eq. (3)
 σ_ε turbulence model parameter in Eq. (4)
 θ dimensionless temperature
 Ω section area, m²

²⁴⁶ *Subscripts*

i inlet
 \max maximum at the plate
 \min minimum at the plate
 o outlet
 p single pass
 t turbulent

²⁴⁷ *Superscripts*

c computational
 m control mass
 v control volume

248 **References**

- 249 [1] Owen, G. (2020). What makes climate change adaptation effective? A systematic review of the litera-
250 ture. *Global Environmental Change*, 62, 102071.
- 251 [2] Ladha-Sabur, A., Bakalis, S., Fryer, P. J., & Lopez-Quiroga, E. (2019). Mapping energy consumption
252 in food manufacturing. *Trends in Food Science & Technology*, 86, 270-280.
- 253 [3] Makroo, H. A., Rastogi, N. K., & Srivastava, B. (2020). Ohmic heating assisted inactivation of enzymes
254 and microorganisms in foods: A review. *Trends in Food Science & Technology*.
- 255 [4] Roberts, P. B. (2016). Food irradiation: Standards, regulations and world-wide trade. *Radiation Physics
256 and Chemistry*, 129, 30-34.
- 257 [5] Ekezie, F. G. C., Sun, D. W., Han, Z., & Cheng, J. H. (2017). Microwave-assisted food processing
258 technologies for enhancing product quality and process efficiency A review of recent developments.
259 *Trends in Food Science & Technology*, 67, 58-69.
- 260 [6] Fernandez, J., Reyes-Davo, E., Reyes-Canovas, R., Garcia-Sevilla, J., Vela-Carrascosa, E., & Jara-
261 Rico, A. (2015). Heating cell, heater using same, heating system and use thereof. ES2568749; PCT/ES,
262 70712, 2015.
- 263 [7] Fellows, P. J. (2009). *Food processing technology: principles and practice*, Chapter 8 p. 520. Elsevier.
- 264 [8] Deeth, H. C., & Lewis, M. J. (2017). *High temperature processing of milk and milk products*. John
265 Wiley & Sons.
- 266 [9] Agcam, E., Akyildiz, A., & Dundar, B. (2018). Thermal pasteurization and microbial inactivation of
267 fruit juices. In *Fruit Juices* (pp. 309-339). Academic Press.
- 268 [10] Zhang, Y., & Ma, H. B. (2008). Nonequilibrium heat conduction in a nanofluid layer with periodic heat
269 flux. *International journal of heat and mass transfer*, 51(19-20), 4862-4874.
- 270 [11] Yang, J., Oh, S. R., & Liu, W. (2014). Optimization of shell-and-tube heat exchangers using a general
271 design approach motivated by constructal theory. *International Journal of heat and mass transfer*, 77,
272 1144-1154.

- 273 [12] Picon-Nuñez, M., Polley, G. T., & Martinez-Rodriguez, G. (2013). Graphical tool for the preliminary
274 design of compact heat exchangers. *Applied thermal engineering*, 61(1), 36-43.
- 275 [13] Caputo, A. C., Pelagagge, P. M., & Salini, P. (2008). Heat exchanger design based on economic opti-
276 misation. *Applied thermal engineering*, 28(10), 1151-1159.
- 277 [14] Ricci, R., Romagnoli, R., Montelpare, S., & Di Benedetto, D. (2014). Convective heat transfer increase
278 in internal laminar flow using a vibrating surface. *International journal of thermal sciences*, 84, 358-
279 368.
- 280 [15] Campet, R., Roy, P. T., Cuenot, B., Riber, E., & Jouhaud, J. C. (2020). Design optimization of an heat
281 exchanger using Gaussian process. *International Journal of Heat and Mass Transfer*, 150, 119264.
- 282 [16] Kumar, S.D., Chandramohan, D., Purushothaman, K., & Sathish, T., (2020). Optimal hydraulic and
283 thermal constrain for plate heat exchanger using multi objective wale optimization. *Materials Today*
284 *Proceedings*, 21, 876-881.
- 285 [17] Lofti, B., & Sundén, B. (2020). Thermo-hydraulic performance enhancement of finned elliptical tube
286 heat exchangers by utilizing innovative dimple turbulators. *Heat Transfer Engineering*, 41, 1117-1142.
- 287 [18] Abeykoon, C. (2020). Compact heat exchangers design and optimization with CFD. *International Jour-
288 nal of Heat and Mass Transfer*, 146, 118766.
- 289 [19] Bicer, N., Engin, T., Yasar, H., Buyukkaya, E., & Aydin, A. (2020). Design optimization of a shell-
290 and-tube heat exchanger with novel three-zonal baffle by using CFD and taguchi method. *International*
291 *Journal of Thermal Sciences*, 155, 106417.
- 292 [20] Pepper, D. W., & Heinrich, J. C. (2017). *The finite element method: basic concepts and applications*
293 *with MATLAB, MAPLE, and COMSOL*. CRC press.
- 294 [21] Ruocco, G. (2018). *Introduction to Transport Phenomena Modeling: A Multiphysics, General*
295 *Equation-Based Approach*, pp. 106 and 166. Springer.
- 296 [22] COMSOL Multiphysics (2018) v. 5.2a. www.comsol.com. COMSOL AB, Stockholm, Sweden.
- 297 [23] Toledo, R. T., Singh, R. K., & Kong, F. (2007). *Fundamentals of food process engineering*, pp. 211.
298 Springer.

Optimal design of an innovative microwave-based fluid heater

Diego Alcañiz^a, Paolo Caccavale^b, Maria Valeria De Bonis^b, Ruth de los Reyes^a, Maria Dolores Ortolá^a, Gianpaolo Ruocco^{b,*}

^a*Instituto Universitario de Ingeniería de Alimentos para el Desarrollo, Universitat Politècnica de València, Camino de Vera s/n, 46022 Valencia, Spain*

^b*Scuola d'Ingegneria, Università degli Studi della Basilicata Campus Macchia Romana, 85100 Potenza, Italy*

Abstract

New heating technologies are constantly being developed worldwide, specially the electrical ones that take advantage of renewable energy. In this paper, the Basic Cell of Energy Transference (BCET) is proposed as an innovative fluid heater, carrying a microwave-fed heat transfer plate for thermal contact. A fully-dimensional thermo-fluid analysis was implemented and validated to determine the key design parameters and operation features for heat transfer to temperature-sensitive working fluids.

Circulation patterns were observed, when using certain fluids, in turn causing strong temperature non-uniformities. As fluid treatment in the heater relies on the thermal contact at its active plate, the model was used to ascertain the undesired excess/lack temperature range for quality/safety treatments, with reference to a final effective process temperature. Therefore, a geometry optimization by means of internal baffles was carried out which ensured variation to fluid pattern and more uniform active plate temperature. In a base case, the new design allowed to limit the uncontrolled temperature excess by almost 30%, while favouring the pressure drop reduction across the flow device by more than 10%.

Keywords: Fluid Heater, Computational Fluid Dynamics, Heat transfer and fluid dynamics optimization

1. Introduction

Nowadays, new heating technologies are being developed all around the world, specially, technologies that can be feed with electricity. Over the past two decades, rapid growth in the development of adaptation responses to climate change has occurred around the world [1]. Therefore, the interest in the electrical-feed heating in common processes (such as in the food industry) has risen considerably as it can take advantage

*Corresponding author
Email address: gianpaolo.ruocco@unibas.it (Gianpaolo Ruocco)

6 of renewable sources of energy, and as it has far less associated CO₂ emissions than the fossil fuels tech-
7 nologies. Process heating is an area of interest for emission reduction as the 70% of the total energy cost of
8 some sectors of the food industry comes from heating processes [2].

9 There are many novel technologies that can be used for heating applications and, specifically, to heat
10 liquids, like ohmic heating [3], irradiation [4] or microwave (MW)[5]. One such example is the Basic Cell
11 of Energy Transference (BCET) [6], which consists, in its simplest configuration, in two flow boxes inter-
12 spersed by an active heat transfer plate. The working fluid flows sequentially through the two box, making
13 thermal contact with the active ceramic plate, which is heated by conducted MW. Due to its specifically-
14 designed composition and dimensions, optimized to absorb MW, the active part of the BCET provides pre-
15 cise, efficient and flexible operation to heat fluids in a similar way than a Plate Heat Exchanger (HEX) the
16 most widespread device for heating fluids industrially [7]. Thanks to its compact design and working sim-
17 plicity (no auxiliary fluid is necessary), it finds its application for precise liquid thermization and in rural
18 areas installations, that can benefit of solar- or wind-driven energy and cannot depend upon a regular water
19 supply. Its feeding technology allows for precise hold-up times, e.g. for pasteurization processes [8, 9] and
20 finely-tuned power modulation from the active plate, e.g. with oscillating/periodic heat flux as in nanofluids
21 thermization [10], which requires more immediate response to temperature changes.

22 HEX and heater design optimization is a very active area of research an development. Recently, Yang
23 et al. [11], Picon-Nuñez et al. [12], Caputo et al. [13] confirmed that the pressure drop is correlated with
24 the heat transfer coefficient, which is the key concept at stake here. While higher heat transfer contact and
25 removal from the heater's active plate (or heater efficiency) is ensured by turbulent flow conditions, laminar
26 flows are preferred instead to decrease the cost associated with pumping power [14]. On the other hand, the
27 increment of heater efficiency, for a given active surface area, may lead to a more affordable device, due to
28 the reduction of material employed, unless the design implies a greater burden in maintenance.

29 A variety of fluid heater modeling tools are available, that emerge from the literature, as genetic algo-
30 rithm, differential evolution, particle swarm optimization or simulated annealing have become widespread
31 for their application in design and optimization. Campet et al. [15] optimized a single-started helically
32 ribbed HEX by using large eddy simulation, which is based on a surrogate model constructed from Gaussian
33 Process Regression and adaptive resampling with the Efficient Global Optimization (EGO) method. This
34 method can maximize the heat transfer efficiency but has the disadvantage that only is able to compare for
35 the same pumping power, while not has the capacity to compare different flows. Kumar et al. [16] stated that
36 multi objective wale optimization was a suitable method to optimize the constructive parameters of a heater,

37 basing it in decreasing the pressure drop, but could not perform a multivariable analysis. Heater design takes
38 into account many variables, so, a method that can consider all, or at least some of them, would have a better
39 success. With the development of computational technologies, also computational fluid dynamics (CFD)
40 approaches are becoming popular in design and optimization. Still recently, Lofti & Sundén [17] utilized the
41 CFD to qualify a series of internal turbulators in improving the thermo-hydraulic performance of HEXs, for
42 a wide spectrum of possible designs. CFD approaches are indeed attractive for testing the performance of
43 any number of new designs without fabricating prototypes. Pressure and temperature distributions, flow be-
44 havior and pattern can be fruitfully explored in any number of virtual scenarios, which gives a huge amount
45 of possibilities and optimizing at several variables at the same time, while the results only differ up to a
46 1.05% of the experimental ones [18]. For this approach, it is important to note that CFD has also been used
47 for optimize novel designs of HEX, as Bicer et al. [19] optimized the design of a shell-and-tube HEX with
48 novel three-zonal baffle by using ANSYS and the Taguchi method. One alternative to ANSYS is COMSOL
49 Multiphysics, a CFD program based on finite elements that is suited to treat various aspect of the process at
50 once [20].

51 The present work is aimed to model and optimize the BCET technology as a temperature-sensitive fluid
52 heater. A fully-dimensional thermo-fluid analysis based on CFD, including inherent turbulence, has been
53 implemented corresponding to a preliminary experimental rig. After proper validation with the theoretical
54 temperature increments, the model was effective in determining:

- 55 • the variables space of the thermo-fluid dynamic problem;
- 56 • effective operation charts to consolidate the knowledge of the thermization performance;
- 57 • the ranges of this variable space that lead to heat transfer's uniformity along the active plate, also
58 depending on the inherent fluid dynamic patterns and some common working fluid.

59 **2. Problem formulation**

60 In the present work a prototype of fluid heater based on the BCET technology has been numerically
61 studied. The prototype consisted in a double thermization flow box or control volume (CV), heated by an
62 interspersed active BCET plate (as shown in Fig. 1), connected by means of short PVC branches of 8 mm
63 dia. to a hold-up tank and a circulation pump (not shown). In this configuration, the liquid of given properties
64 is pumped to the CV by means a short inlet pipe, and then out by an outlet pipe at the same side of the CV.

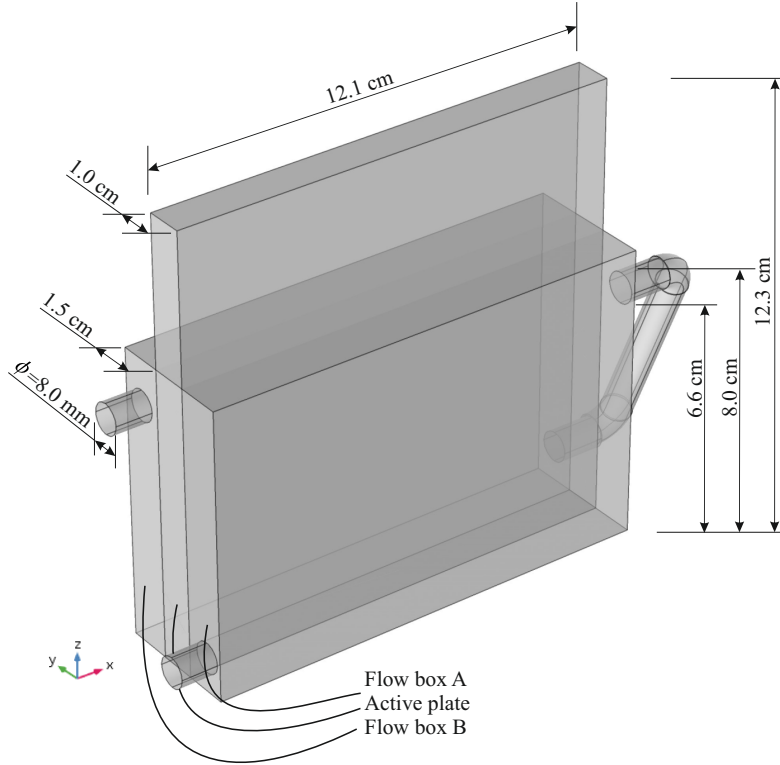


Figure 1: Configuration of the BCET prototype: two flow boxes A and B (including their pipe connections) interspersed by the active plate.

65 BCET heating is performed by exploiting the ceramic plate properties, i.e. rapidly accumulating heat at
 66 before the actual flow circulation, then releasing during flow contact. Details on the experimental rig are
 67 reported elsewhere [6]. A typical BCET process is presented in Fig. 2: the MW feed is first turned on, with
 68 the flow boxes filled with stagnant fluid, until the desired initial active plate temperature is achieved (in this
 69 case, around 100 °C); then the circulation pump is turned on allowing the fluid through the flow boxes. It is
 70 evident that, after a short initial transient, with its circulated operation the BCET performs as a single-stream
 71 HEX interacting with a constant-power, variable temperature heat sink under a fairly constant temperature
 72 difference (15-20 °C in this case). After the desired number of circulation passes p , in the process duration
 73 Δt , a final cumulated fluid temperature increase is achieved.

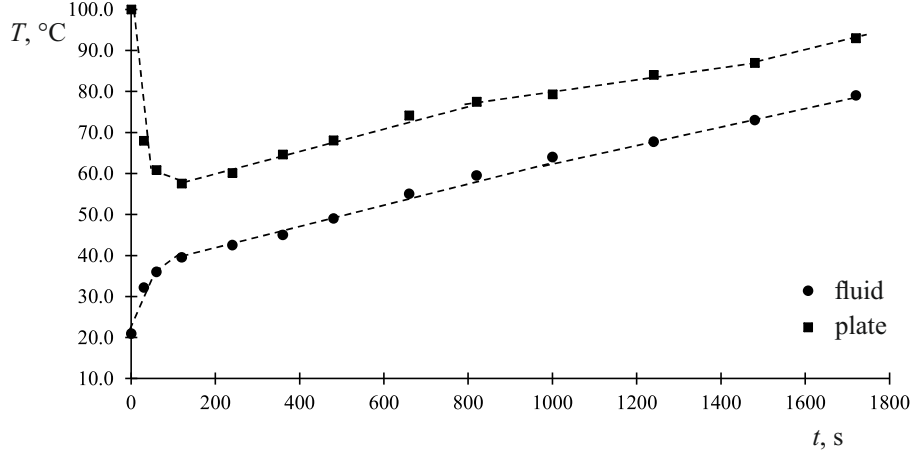


Figure 2: Typical progress of working fluid and plate temperatures.

74 *2.1. Driving assumptions*

75 Based on the circulated operation described above, and the small characteristic length of the heat transfer
 76 geometry of the heater at stakes (available flow volume divided by active heat transfer surface), a steady-state
 77 regime is assumed at each flow pass: the flow field (after a brief start-up transient) does not change during
 78 the process and a constant temperature field is established in the fluid.

79 The following additional assumptions are adopted:

- 80 1. The flow is incompressible (negligible pressure work and kinetic energy) with temperature-dependent
 81 properties. Due to the adopted flow regime, no body force is accounted for.
- 82 2. The viscous heat dissipation is neglected.
- 83 3. All non-active wall surfaces are adiabatic.
- 84 4. No-slip is enforced at every solid surface.

85 *2.2. Governing equations*

86 With reference to the previous statements, the steady-state governing turbulent Reynolds-averaged Navier-
 87 Stokes and energy equations are enforced [21], to yield for fluid flow and temperature:

88 *Flow continuity:*

89
$$\nabla \cdot \mathbf{v} = 0 \tag{1}$$

90 *Momentum transfer:*

$$91 \quad \rho \mathbf{v} \cdot \nabla \mathbf{v} = -\nabla p + \nabla \cdot (\mu + \mu_t) [\nabla \mathbf{v} + (\nabla \mathbf{v})^T] \quad (2)$$

92 *Transfer of turbulent kinetic energy:*

$$93 \quad \rho \mathbf{v} \cdot \nabla k = \nabla \cdot \left[\left(\mu + \frac{\mu_t}{\sigma_k} \right) \nabla k \right] + \frac{\mu_t}{2} [\nabla \mathbf{v} + (\nabla \mathbf{v})^T]^2 - \rho \varepsilon \quad (3)$$

94 *Transfer of turbulent energy dissipation rate:*

$$95 \quad \rho \mathbf{v} \cdot \nabla \varepsilon = \nabla \cdot \left[\left(\mu + \frac{\mu_t}{\sigma_\varepsilon} \right) \nabla \varepsilon \right] + \frac{c_{1\varepsilon} \mu_t}{2k} [\nabla \mathbf{v} + (\nabla \mathbf{v})^T]^2 - \frac{c_{2\varepsilon} \rho \varepsilon^2}{k} \quad (4)$$

96 *Transfer of energy:*

$$97 \quad \rho c_p \mathbf{v} \cdot \nabla T = \nabla \cdot (\lambda \nabla T) \quad (5)$$

98 The present model is based on the $k - \varepsilon$ low-Reynolds turbulence paradigm [22], with its details for
 99 turbulent viscosity μ_t and boundary layer at the wall (wall distance initialization) left unreported here for
 100 sake of brevity.

101 2.3. Boundary conditions

102 With reference to Fig. 3:

- 103 • Given conditions at inlet i:

$$104 \quad v_x = v_i, \quad v_y = 0, \quad v_z = 0, \quad k = k_i, \quad \varepsilon = \varepsilon_i, \quad T = T_i \quad (6)$$

- 105 • At outlet o:

$$106 \quad \frac{\partial v_x}{\partial x} = 0, \quad v_y, v_z = 0, \quad \frac{\partial k}{\partial x} = 0, \quad \frac{\partial \varepsilon}{\partial x} = 0, \quad p = 0, \quad \nabla T = 0 \quad (7)$$

- 107 • No-slip at all flow box walls:

$$108 \quad \mathbf{v} = 0 \quad (8)$$

- 109 • No heat flux at all flow box walls except the active surface:

$$110 \quad \nabla T = 0 \quad (9)$$

- 111 • Given heat flux at the active surface:

$$112 \quad \nabla T = \dot{q} \quad (10)$$

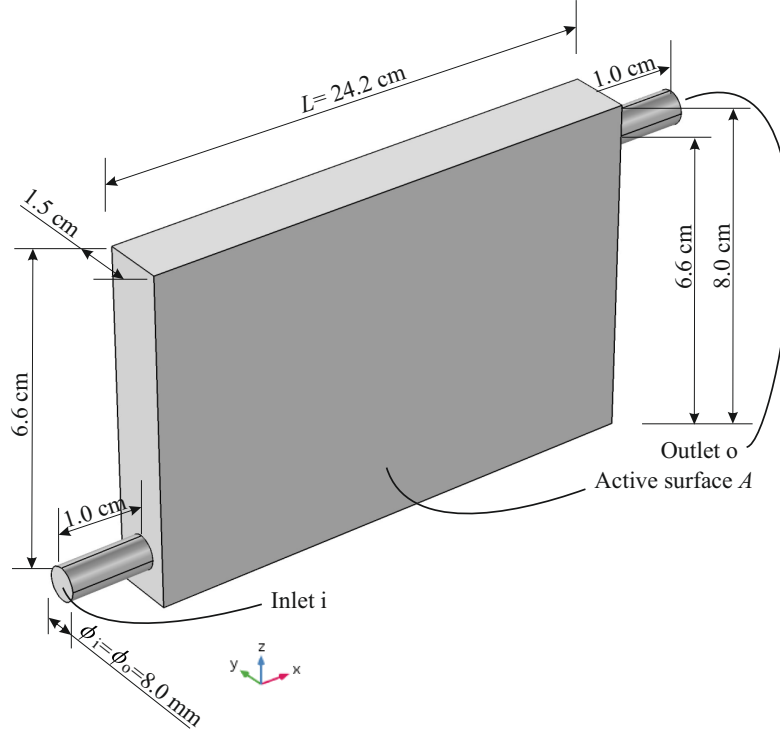


Figure 3: The modified flow box A. Here, an active surface A represents the active plate of Fig. 1.

113 **2.4. Model validation**

114 In order to considerably simplify the flow geometry, while focussing on BCET's nominal exchanged
 115 thermal power, one flow box was considered, only, with the total extension of its active heating surface A at
 116 the side. In order to do this, flow box A of Fig. 1 was extended to a x-wise length $L = 24.2$ cm, to double
 117 surface A with respect to the original design and come up the the geometry in Fig. 3: in this way, a complete
 118 fluid/active surface contact for a single flow pass can be simulated.

119 Since the working fluid is heated by a circulating operation in the BCET, the model of Eqs. (1-9) is
 120 solved for the temperature increment of the working fluid $\Delta T_p = \bar{T}_o - T_i$ after a single pass p (with \bar{T}_o the
 121 average of T over outflow section area Ω_o), then multiplying for the total number of passes to yield for the
 122 cumulated thermization. Let us refer to an experimental Base Case for water, having supplied a nominal
 123 power provided at the active plate $\dot{q}A = 200$ W in a total process duration $\Delta t = 1720$ s. With the total
 124 hold-up capacity $V = 1.15$ L and volume flow rate in the flow box $\dot{V} = 2.0$ L/min measured micrometrically,
 125 a nominal number of flow passes $\dot{V} \times \Delta t / V = 49.85$ results. Denoting with ΔT_p^v the theoretical temperature

126 increment in the CV, a *control-volume energy conservation balance* for constant fluid specific heat writes as

$$127 \quad \dot{q}A = \rho \dot{V} c_p \Delta T_p^v \quad (11)$$

128 yielding $\Delta T_p^v = 1.43$ °C, which corresponds in a cumulated $\sum_p \Delta T_p^v = \Delta T^v = 71.28$ °C. In the same
129 conditions, a *control-mass energy conservation balance* applied to the entire BCET rig when holding the
130 corresponding total volume $V = 1.15$ L of water, with a supplied nominal energy $E = \dot{q}A\Delta t = 3.44 \cdot 10^2$ kJ,
131 writes as

$$132 \quad E = \rho V c_p \Delta T^m \quad (12)$$

133 yielding, in the additional assumption of negligible heat loss in the circuit, a cumulated $\Delta T^m = 71.46$ °C \simeq
134 ΔT^v . By itself, this agreement confirms the correspondence of the V , \dot{V} and t measurements in the exper-
135 imental rig, but also gave the opportunity to validate the model. Indeed, in these prescribed conditions,
136 the present BCET model gave a computed temperature increment $\Delta T_p^c = 1.36$ °C, yielding a cumulated
137 $\sum_p \Delta T_p^c = \Delta T^c = 67.80$ °C, corresponding to an error of about 5% with respect to the above theoretical
138 temperature increments.

139 2.5. Numerical treatment

140 Integration of the partial differential equations system, along with its boundary conditions, was carried
141 out by means of COMSOL [22]. First, the direct MUMPS solver was employed for the wall distance
142 initialization, with the automatic reordering algorithm and a relative tolerance of $1 \cdot 10^{-3}$; then the direct
143 PARDISO solver with nested multithreaded dissection and a relative tolerance of $1 \cdot 10^{-4}$ was invoked
144 in a segregated fashion with row reordering and multithreaded forward and backward solve, to ensure
145 computational stability and robustness: first for velocity and pressure, then for temperature, and finally for
146 the turbulence parameters. A tetrahedral grid of $109 \cdot 10^3$ total cells has been devised for a Base Case
147 (referred to above) and the geometry of Fig. 3, featuring a boundary layer grid of 4 levels having a thickness
148 of $5 \cdot 10^{-5}$ m, which allowed for resolving the velocity and temperature gradients in the boundary layer,
149 along all solid walls (as an example, see Fig. 4). A grid independency test was carefully performed prior to
150 generation of results by a recursive refinement for both the total cell number and the number and thickness
151 of boundary layer grid levels up to $148 \cdot 10^3$ total cells, with a positive check on \bar{T}_o (invariance at the 4th
152 meaningful digit) on a $122 \cdot 10^3$ total cells domain, carrying a boundary layer grid of 5 levels having a
153 thickness of $3 \cdot 10^{-5}$ m. The computing time of each run took less than 1 h by using a Pentium Xeon server
154 (Windows 10 OS, Eightcore-32N at 2.4 GHz, 128 GB RAM) running in serial mode.

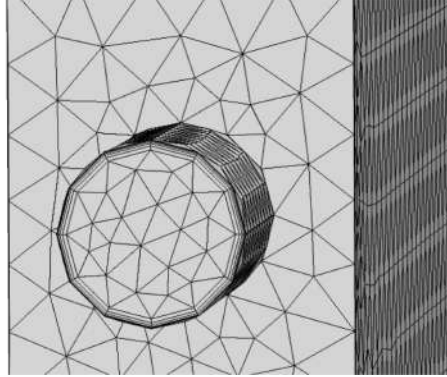


Figure 4: Close-up of the employed finite element grid of Fig. 3, featuring tetrahedral and boundary layer cells, before the flow box inlet. This kind of grid was adopted along all solid walls in the CV.

155 **3. Results and discussion**

156 *3.1. Variables space*

157 For a given BCET geometry as in Fig. 1 and with reference to the model in Eqs. (1-9), the governing
 158 parameters are the power applied to the active plate $\dot{q}A$ (from 100 to 300 W), the inlet velocity v_i (from
 159 0.331 to 1.324 m/s, i.e. a range obtained by scaling the \dot{V} of the Base Case), and the fluid type (water,
 160 milk of average characteristics, or common sunflower oil). The variables space have been explored building
 161 a full factorial set of computational experiments, for a total of 27 runs. Inlet temperature T_i was always
 162 kept at 21.00 °C, while the inlet turbulent kinetic energy k_i and turbulent energy dissipation rate ϵ_i were
 163 always consistent with the employed turbulence paradigm. When using the lowest inlet velocity value,
 164 model compliance was also checked when using a laminar form of the fluid dynamics, which compared
 165 nicely with the turbulent form.

166 *3.2. Operating charts and temperature distributions*

167 Single-pass temperature increases ΔT_p^c are shown in Fig. 5 for the flow box of Fig. 3, and can be used to
 168 implement the desired thermization. Taking into account of the variation of fluid properties with temperature,
 169 ΔT_p^c appears to linearly progress with the increase of the plate power, while depending inversely on the
 170 working fluid specific heat and inlet velocity (or mass flow rate). Relative to the Base Case, a full run of
 171 50-pass sequence has been also performed, with the boundary condition of $T_{p,i} = \iint_{\Omega} T_{p-1,o}$ at each pass p ,
 172 to ensure that the cumulated ΔT^c does depend linearly on the total number of passes.

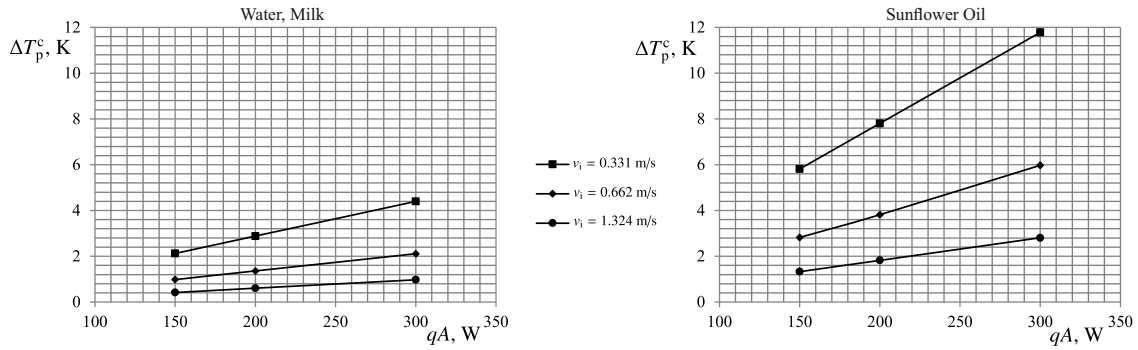


Figure 5: Flow box of Fig. 3: single-pass temperature increases ΔT_p^c charts for the employed fluids, depending on the power applied to the active plate qA for variable inlet velocity v_i . Left: Water or Milk; Right: Sunflower Oil.

173 Qualitative temperature distributions for the flow adjacent to the active plate are shown in Fig. 6, for
 174 the three fluids employed. The areas in yellow report on higher temperatures with respect to the various
 175 shades of red, representing the departure from an ideal uniform treatment. Water (Left), and milk (Right)
 176 to a lesser extent, showed a pronounced circulation pattern at the plate center, and a larger stagnation area
 177 corresponding to the upper leading box corner. This pattern is favoured by the dynamic viscosities of these
 178 two fluids, that are 1 order-of-magnitude smaller when compared to that of sunflower oil. These areas of
 179 uncontrolled, excess temperatures prevent precise thermal control and treatment uniformity at active plate
 180 vicinity. As fluid treatment in the heater relies on this thermal contact, the model was therefore used to
 181 optimize the single flow box of Fig. 3 in order to promote a more uniform temperature treatment, for the
 182 given external dimensions.

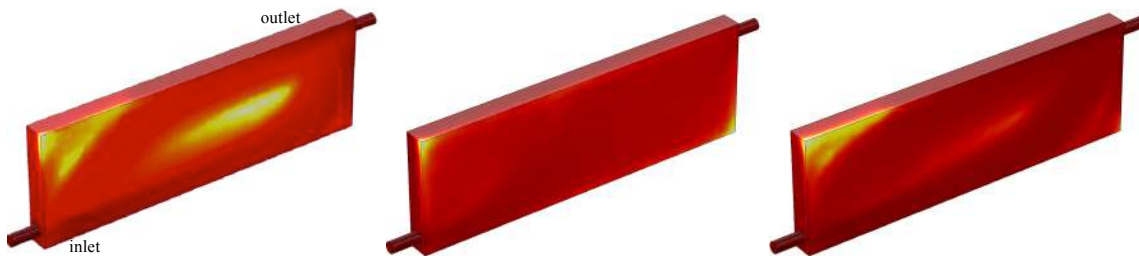


Figure 6: Flow box of Fig. 3: qualitative fluid temperature distributions adjacent to the active plate with $L = 24.2$ cm, when $qA = 200$ W and $v_i = 0.662$ m/s (Base Case). Left: Water; Center: Sunflower Oil; Right: Milk. The areas in yellow report on higher temperatures with respect to the various shades of red.

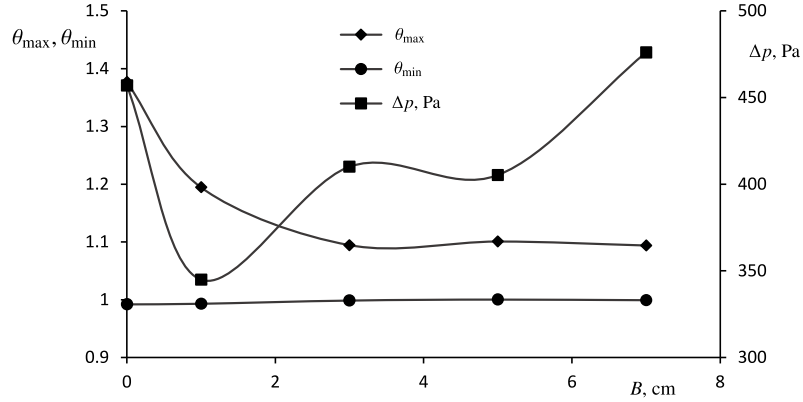


Figure 7: Single flow box of Fig. 1: dimensionless temperatures θ_{\max} and θ_{\min} at the active plate (left axis), and head loss Δp [Pa] (right axis), as dependent on baffle length B [cm], for the Base Case and milk.

3.3. BCET optimization

When processing many heat-sensitive fluids, the undesired excess/lack temperature levels must be monitored, to ensure for proper quality/safety treatments: too high a temperature may readily spoil some functional feature, such as taste or vitamin content, whereas in turn too low a temperature would hinder the bacterial degradation [23]. Indeed, with the steady-state model at hand, for a given heat transfer characteristic length of the heater, the same amount of energy to the fluid is delivered regardless the internal configuration. In this paper, a simple modification is proposed to optimize the flow boxes of the BCET prototype (Fig. 1), by placing two equidistant thin baffles. Therefore, four additional runs for water in the Base Case were performed, in a parametric loop allowing for various baffle lengths, to compare to the original configuration. These results report on fluid foods, such as milk, that possess similar density and viscosity.

The result of such comparison is reported for the Base Case in Fig. 7. To this end, the fluid maximum and minimum temperatures detected at the vicinity of the plate are compared to the volume average temperature in the CV, to define the following **averaging** dimensionless temperatures:

$$\theta_{\max} = \frac{T_{\max}}{\iiint_{CV} T dV}; \quad \theta_{\min} = \frac{T_{\min}}{\iiint_{CV} T dV} \quad (13)$$

When plotting these θ s against the baffle length B , it is evident that no departure of safety treatment is induced in the BCET (the minimum temperatures are kept regardless of B), while uncontrolled thermizations or strong excess temperatures in contact to the active plate will results when using two very short baffles of 1.0 cm, or no baffles at all. In particular, the progress of θ_{\max} decreases steadily up to the 3.0 cm-baffle design, then attaining a plateau with varying B .

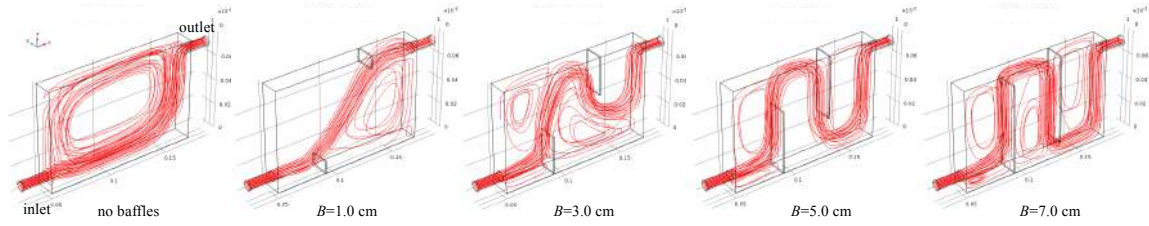


Figure 8: Single flow box of Fig. 1: the velocity field as streamline representation, for the five cases of Fig. 7, at increasing baffle length B . Dimensions are in [m].

202 At the same time, head loss Δp was also computed and provided in the secondary y-axis of Fig. 7: it is
 203 readily seen that the head loss is not linear with the baffle length. By strongly altering the stream patterns (as
 204 illustrated in Fig. 8), the baffles' insertion yields two macroscopic effects, in some regions of the CV, whose
 205 intensity may vary with length B : smooth deflection of the stream (favorable to a lesser Δp), and relative
 206 blocking /impingement of the stream (bringing forth an increase of Δp). The disruption of the single flow
 207 cell of the original configuration (with no baffles), when the short baffles with $B = 1.0$ cm are inserted, favors
 208 a strong head loss drop (up to 25%) due to the resulting smooth deflection. Then, with increasing B , a 3-cell
 209 pattern is formed, but with $B = 3.0$ cm the second baffle (downwind) is long enough to exercise a blocking
 210 action on the flow, yielding an increase of 20% in Δp at the end. A longer baffle arrangement ($B = 5.0$ cm)
 211 slightly improves the flow deflection, but at the final $B = 7.0$ cm the lateral flow confinement and related
 212 head loss is much stronger, and the flow deflection is smooth no more.

213 Based on these considerations, it is seen that the optimal baffled configuration is the one with 5.0 cm-
 214 long baffles. All in all, this configuration allowed to limit the uncontrolled temperature excess by almost
 215 30% (θ_{\max} dropping from 1.38 to 1.11), while favouring the pressure drop reduction across the flow device
 216 by more than 10% (Δp decreasing from 450 to 410).

217 Temperature plots for some runs reported on in Fig. 7 are also provided in Figs. 9 and 10, by plotting the
 218 temperature on the perpendicular axis against the cross-section of the flow box. First, in Fig. 9 the case with
 219 no baffles is depicted: it is found a very steep peak of temperature, corresponding to Fig. 6, Left (although
 220 now the flow box has a shorter aspect ratio, as in Fig. 3).

221 When similar plots are provided with internal baffles, as in Fig. 10 against each modified geometry, it
 222 is evident how the very short baffles at Left are still insufficient in ensuring a relaxed i.e. more uniform
 223 temperature distribution in the vicinity of the plate. The two cases at Center and Right, instead, present more
 224 adequate temperature shapes, while the biggest baffle case (7 cm) slightly increases the pressure drop. So,

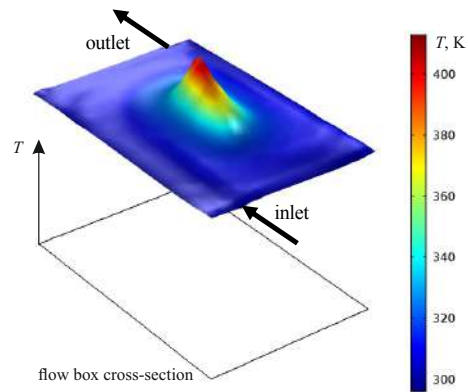


Figure 9: Single flow box of Fig. 1: temperature excess for water and the Base Case, with no baffles, with its scale at right. Inlet and outlet ports' positions are provided.

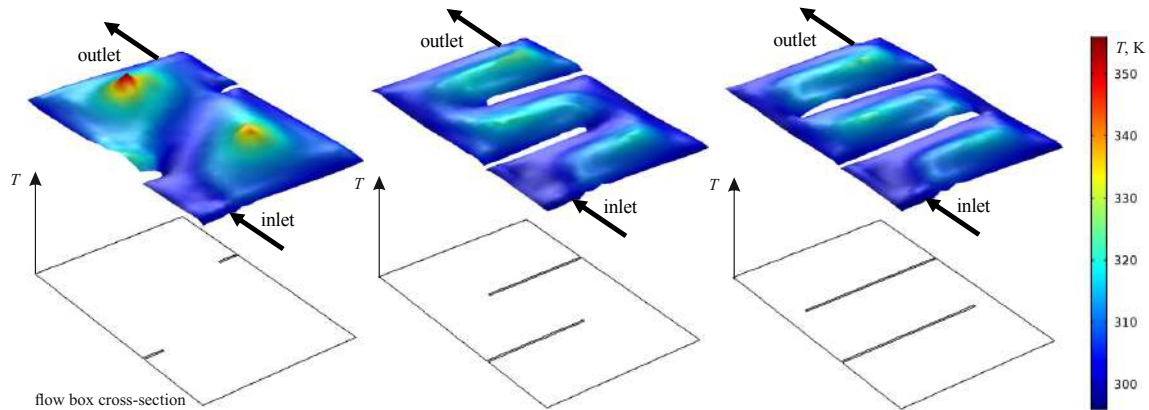


Figure 10: Single flow box of Fig. 1: temperature excess for water and the Base Case, with two 1 cm baffles (Left), two 5 cm baffles (Center), and two 7 cm baffles (Right). The temperature scale is provided at right. Inlet and outlet ports' positions are also provided.

225 baffles of 5 cm was the chosen option to reduce the overheating while decreasing the pressure drop with its
 226 associated increase of efficiency.

227 **Conclusions**

228 In this paper, a prototype of BCET technology was numerically studied and optimized. After validation,
 229 the original heater was modelled in 27 different conditions by varying the mass flow rate, the plate power
 230 and the fluid type to be heated.

231 The initial design of the prototype evidenced a pattern of heating inhomogeneity, for fluids that have
232 viscosity and density similar to water. As strong excess temperature could be harmful to fluid features, a
233 new design with different baffle lengths (from 1 to 7 cm) was examined. The optimal length of the baffles
234 was found as 5 cm, which allowed to limit the uncontrolled temperature excess by almost 30%, decrease
235 the difference between the maximum and minimum temperature by about 40%, while favouring the pressure
236 drop reduction across the flow device by more than 10%.

237 **Acknowledgements**

238 This study received funding from the Spanish Ministerio de Economía y Competitividad, Technology
239 Transfer Area, No DI-15-07519.

240 **Author contributions statement**

241 DA conceived the study and developed the analysis, in conjunction with GR. PC and GR developed the
242 computational model and performed the computations, in conjunction with DA. GR wrote the manuscript.
243 All Authors participated in manuscript revisions and discussion, coordinated and critiqued by GR.

244 **Nomenclature**

A	active surface area, m^2
B	baffle length, m
$c_{1\varepsilon}$	turbulence model parameter in Eq. (4)
$c_{2\varepsilon}$	turbulence model parameter in Eq. (4)
c_p	constant pressure specific heat, J/kgK
h	specific enthalpy, kJ/kg
k	turbulent kinetic energy, m^2/s^2
L	length, m
p	pressure, Pa
\dot{q}	heat flux, W/m^2
t	time, s
T	temperature, K
\mathbf{v}	velocity vector, m/s

v velocity component, m/s
 \dot{V} volume flow rate, m³/s
 x, y, z coordinates, m

²⁴⁵ *Greek*

Δt process duration, s
 ΔT temperature increment, K
 ε turbulent energy dissipation rate, m²/s³
 λ thermal conductivity, W/mK
 μ dynamic viscosity, Pas
 ρ density, kg/m³
 σ_k turbulence model parameter in Eq. (3)
 σ_ε turbulence model parameter in Eq. (4)
 θ dimensionless temperature
 Ω section area, m²

²⁴⁶ *Subscripts*

i inlet
 \max maximum at the plate
 \min minimum at the plate
 o outlet
 p single pass
 t turbulent

²⁴⁷ *Superscripts*

c computational
 m control mass
 v control volume

248 **References**

- 249 [1] Owen, G. (2020). What makes climate change adaptation effective? A systematic review of the litera-
250 ture. *Global Environmental Change*, 62, 102071.
- 251 [2] Ladha-Sabur, A., Bakalis, S., Fryer, P. J., & Lopez-Quiroga, E. (2019). Mapping energy consumption
252 in food manufacturing. *Trends in Food Science & Technology*, 86, 270-280.
- 253 [3] Makroo, H. A., Rastogi, N. K., & Srivastava, B. (2020). Ohmic heating assisted inactivation of enzymes
254 and microorganisms in foods: A review. *Trends in Food Science & Technology*.
- 255 [4] Roberts, P. B. (2016). Food irradiation: Standards, regulations and world-wide trade. *Radiation Physics
256 and Chemistry*, 129, 30-34.
- 257 [5] Ekezie, F. G. C., Sun, D. W., Han, Z., & Cheng, J. H. (2017). Microwave-assisted food processing
258 technologies for enhancing product quality and process efficiency A review of recent developments.
259 *Trends in Food Science & Technology*, 67, 58-69.
- 260 [6] Fernandez, J., Reyes-Davo, E., Reyes-Canovas, R., Garcia-Sevilla, J., Vela-Carrascosa, E., & Jara-
261 Rico, A. (2015). Heating cell, heater using same, heating system and use thereof. ES2568749; PCT/ES,
262 70712, 2015.
- 263 [7] Fellows, P. J. (2009). *Food processing technology: principles and practice*, Chapter 8 p. 520. Elsevier.
- 264 [8] Deeth, H. C., & Lewis, M. J. (2017). *High temperature processing of milk and milk products*. John
265 Wiley & Sons.
- 266 [9] Agcam, E., Akyildiz, A., & Dundar, B. (2018). Thermal pasteurization and microbial inactivation of
267 fruit juices. In *Fruit Juices* (pp. 309-339). Academic Press.
- 268 [10] Zhang, Y., & Ma, H. B. (2008). Nonequilibrium heat conduction in a nanofluid layer with periodic heat
269 flux. *International journal of heat and mass transfer*, 51(19-20), 4862-4874.
- 270 [11] Yang, J., Oh, S. R., & Liu, W. (2014). Optimization of shell-and-tube heat exchangers using a general
271 design approach motivated by constructal theory. *International Journal of heat and mass transfer*, 77,
272 1144-1154.

- 273 [12] Picon-Nuñez, M., Polley, G. T., & Martinez-Rodriguez, G. (2013). Graphical tool for the preliminary
274 design of compact heat exchangers. *Applied thermal engineering*, 61(1), 36-43.
- 275 [13] Caputo, A. C., Pelagagge, P. M., & Salini, P. (2008). Heat exchanger design based on economic opti-
276 misation. *Applied thermal engineering*, 28(10), 1151-1159.
- 277 [14] Ricci, R., Romagnoli, R., Montelpare, S., & Di Benedetto, D. (2014). Convective heat transfer increase
278 in internal laminar flow using a vibrating surface. *International journal of thermal sciences*, 84, 358-
279 368.
- 280 [15] Campet, R., Roy, P. T., Cuenot, B., Riber, E., & Jouhaud, J. C. (2020). Design optimization of an heat
281 exchanger using Gaussian process. *International Journal of Heat and Mass Transfer*, 150, 119264.
- 282 [16] Kumar, S.D., Chandramohan, D., Purushothaman, K., & Sathish, T., (2020). Optimal hydraulic and
283 thermal constrain for plate heat exchanger using multi objective wale optimization. *Materials Today*
284 *Proceedings*, 21, 876-881.
- 285 [17] Lofti, B., & Sundén, B. (2020). Thermo-hydraulic performance enhancement of finned elliptical tube
286 heat exchangers by utilizing innovative dimple turbulators. *Heat Transfer Engineering*, 41, 1117-1142.
- 287 [18] Abeykoon, C. (2020). Compact heat exchangers design and optimization with CFD. *International Jour-
288 nal of Heat and Mass Transfer*, 146, 118766.
- 289 [19] Bicer, N., Engin, T., Yasar, H., Buyukkaya, E., & Aydin, A. (2020). Design optimization of a shell-
290 and-tube heat exchanger with novel three-zonal baffle by using CFD and taguchi method. *International*
291 *Journal of Thermal Sciences*, 155, 106417.
- 292 [20] Pepper, D. W., & Heinrich, J. C. (2017). *The finite element method: basic concepts and applications*
293 *with MATLAB, MAPLE, and COMSOL*. CRC press.
- 294 [21] Ruocco, G. (2018). *Introduction to Transport Phenomena Modeling: A Multiphysics, General*
295 *Equation-Based Approach*, pp. 106 and 166. Springer.
- 296 [22] COMSOL Multiphysics (2018) v. 5.2a. www.comsol.com. COMSOL AB, Stockholm, Sweden.
- 297 [23] Toledo, R. T., Singh, R. K., & Kong, F. (2007). *Fundamentals of food process engineering*, pp. 211.
298 Springer.

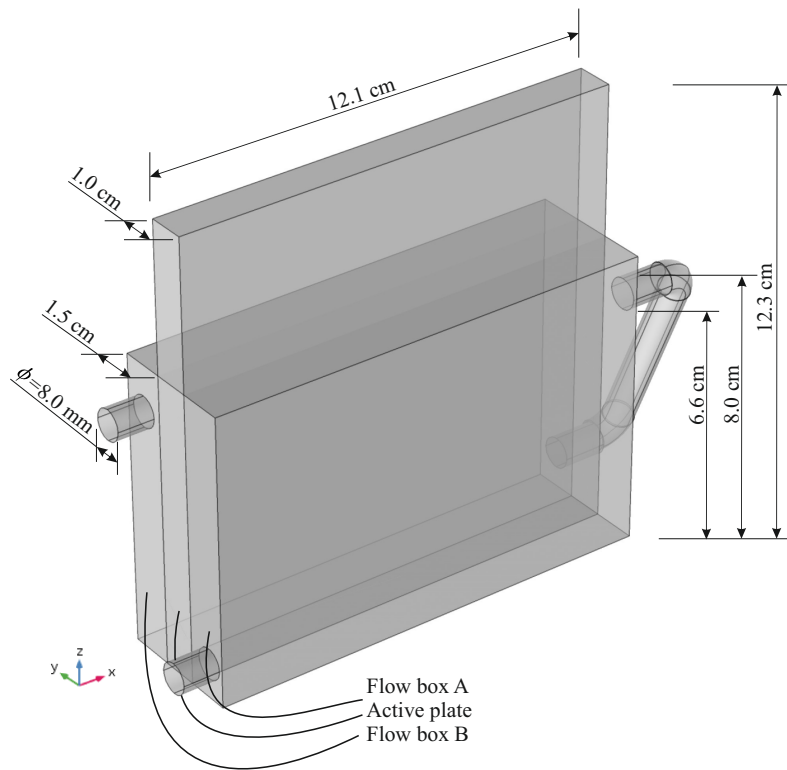


Figure 1: Configuration of the BCET prototype: two flow boxes A and B (including their pipe connections) interspersed by the active plate.

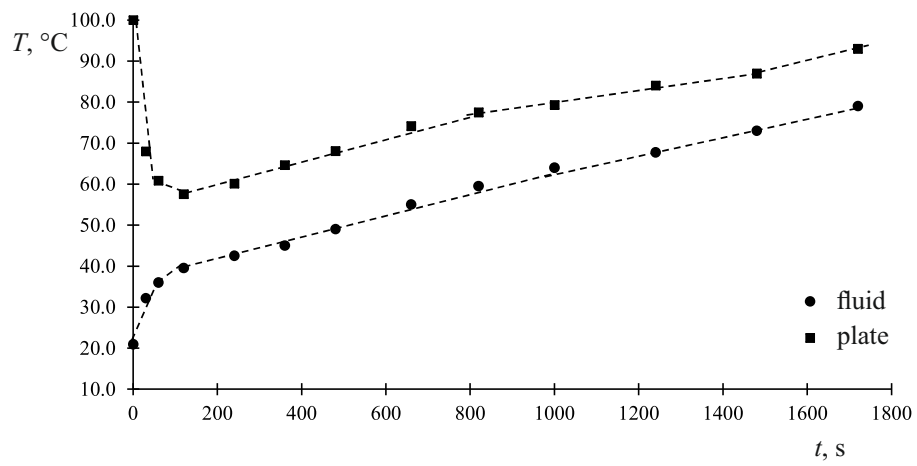


Figure 2: Typical progress of working fluid and plate temperatures.

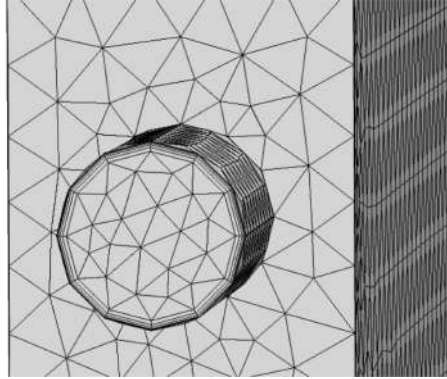


Figure 3: Close-up of the employed finite element grid of Fig. 4, featuring tetrahedral and boundary layer cells, before the flow box inlet. This kind of grid was adopted along all solid walls in the CV.

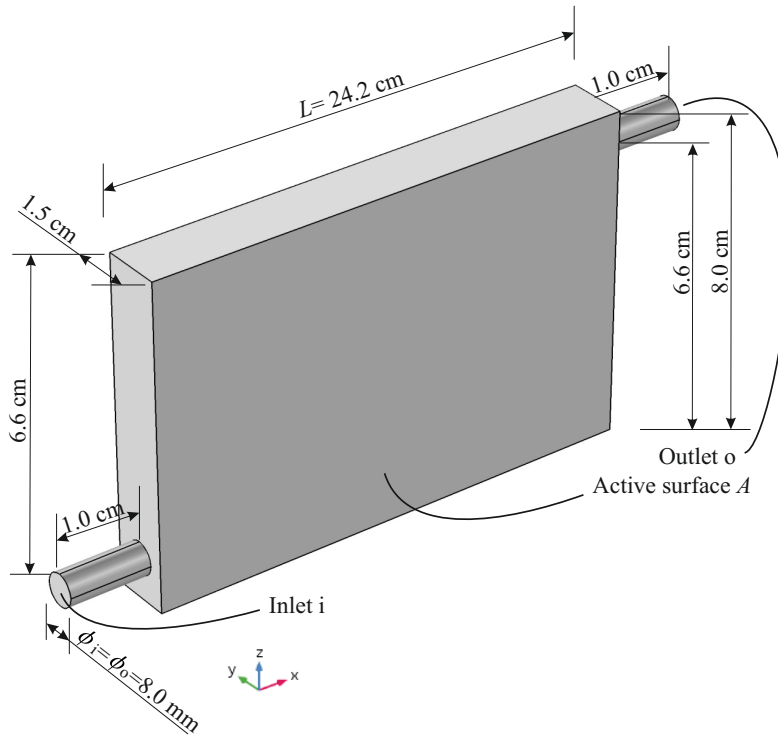


Figure 4: The modified flow box A. Here, an active surface A represents the active plate of Fig. 1.

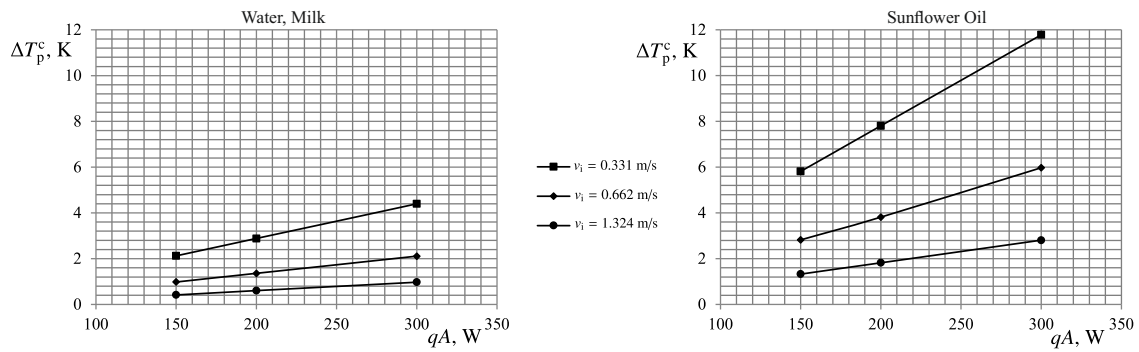


Figure 5: Single-pass temperature increases ΔT_p^c charts for the employed fluids, depending on the power applied to the active plate qA for variable inlet velocity v_i . Left: Water or Milk; Right: Sunflower Oil.

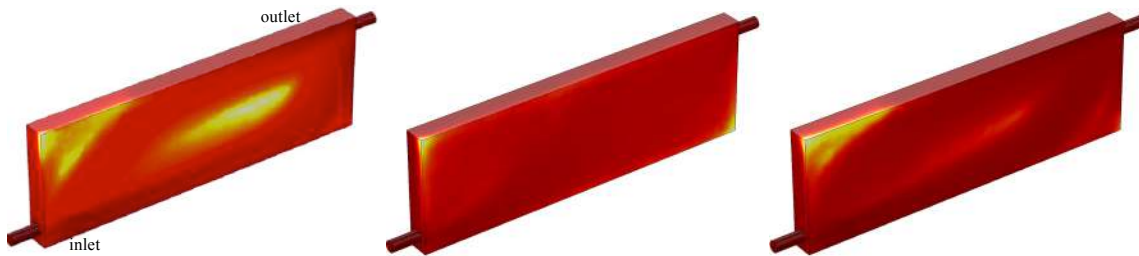


Figure 6: Flow box of Fig. 4: qualitative fluid temperature distributions adjacent to the active plate with $L = 24.2$ cm, when $qA = 200$ W and $v_i = 0.662$ m/s (Base Case). Left: Water; Center: Sunflower Oil; Right: Milk. The areas in yellow report on higher temperatures with respect to the various shades of red.

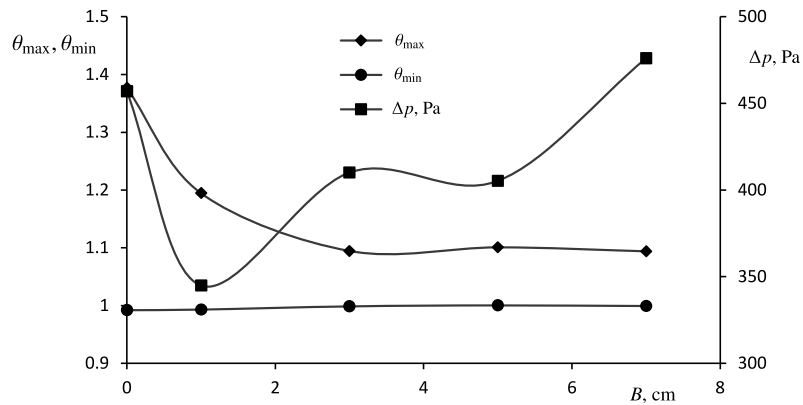


Figure 7: Single flow box of Fig. 1: dimensionless temperatures θ_{max} and θ_{min} at the active plate (left axis), and head loss Δp [Pa] (right axis), as dependent on baffle length B [cm], for the Base Case and milk.

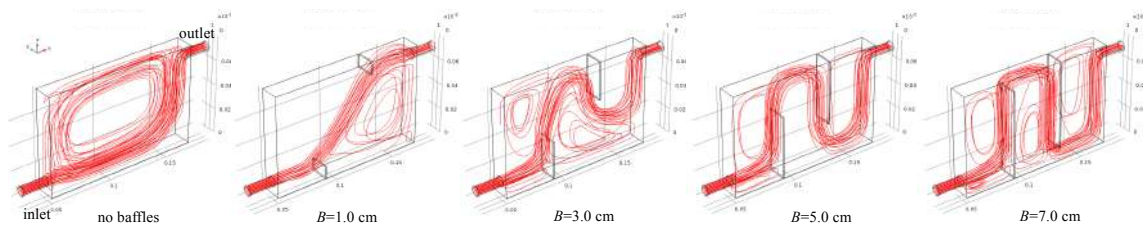


Figure 8: Single flow box of Fig. 1: the velocity field as streamline representation, for the five cases of Fig. 7, at increasing baffle length B . Dimensions are in [m].

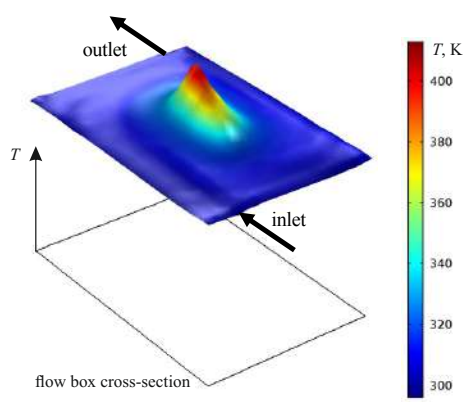


Figure 9: Single flow box of Fig. 1: temperature excess for water and the Base Case, with no baffles, with its scale at right. Inlet and outlet ports' positions are provided.

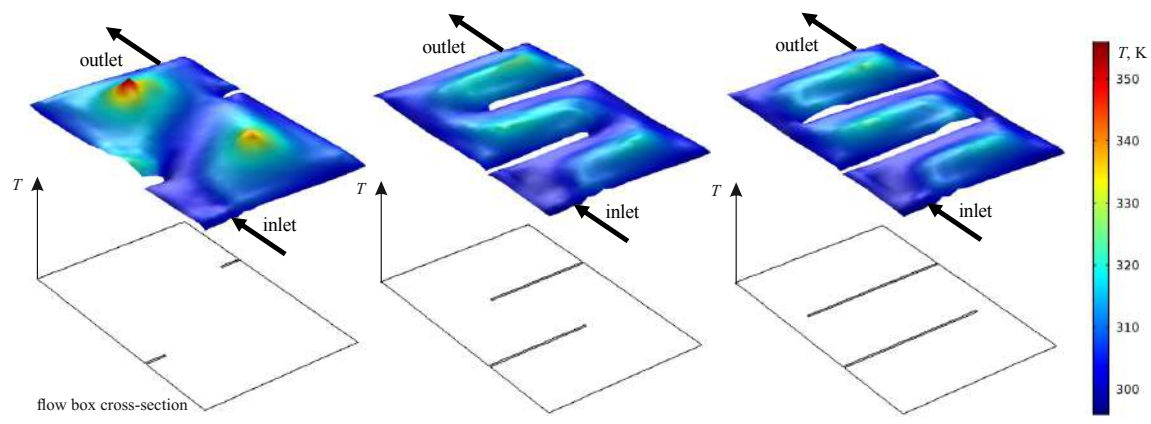


Figure 10: Single flow box of Fig. 1: temperature excess for water and the Base Case, with two 1 cm baffles (Left), two 5 cm baffles (Center), and two 7 cm baffles (Right). The temperature scale is provided at right. Inlet and outlet ports' positions are also provided.