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Additional Information

1 **Abstract**

2 Alcohol use disorder (AUD) is a major global problem. Neuropsychological studies have
3 shown that AUD causes deficits in executive functions (EF), a set of higher-order cognitive
4 skills that govern individual behavior in every-day situations. Many standardized
5 neuropsychological tests are used to evaluate EF. These are reliable and valid, but have
6 limitations in predicting real-life performance. To address this, we present a preliminary
7 study to test the Virtual Cooking Task (VCT) as an alternative to standardized
8 neuropsychological tests. The VCT includes four subtasks developed to assess attentional,
9 planning, and cognitive shifting abilities; it was tested in an immersive 3D environment.
10 To evaluate the VCT performance and standardized neuropsychological tests, data were
11 gathered from a sample of healthy subjects (CG; n=23) and AUD patients (n=18). The
12 standardized neuropsychological measures used consisted of questionnaires (attentional
13 control scale, Barratt impulsiveness scale, and cognitive flexibility scale), and specific tests
14 (Dot-probe task, Go/No-go test, Stroop test, the trail making test, and Tower of London test).
15 The results showed significant higher correlations for AUD patients than for the CG for the
16 VCT, questionnaires, and specific tests, mainly related to planning and cognitive shifting
17 abilities. Furthermore, comparative analyses of the VCT performance showed that the AUD
18 patients made more errors and had higher latency times than the control group.
19 The present study provides initial evidence that a more ecologically valid assessment can be
20 a useful tool to detect cognitive impairments in many neuropsychological and mental
21 disorders, affecting daily activities.
22 *Keywords:* Alcohol use disorder, executive functions, virtual reality, task performance,
23 neuropsychological assessment.

24

25 Introduction

26 Alcohol use disorder (AUD) is one of the main health and social problems affecting
27 individual health and well-being; it is considered the most prevalent addiction in
28 economically developed countries¹.

29 Many previous studies into AUD showed negative effects on brain structures, leading
30 to impaired functioning mainly in the pre-frontal and frontal areas²⁻⁸. These areas are
31 particularly responsible for the set of basic and higher-order executive functions (EF) that
32 encompass the abilities to pay attention, shift or switch attention, remember, plan, inhibit
33 behaviors, control interference, and solve problems⁸⁻¹⁶.

34 Current EFs assessment include standardized global neuropsychological batteries,
35 such as the Mini-mental state examination (MMSE)¹⁷, and specific tests, as the trailing
36 making test for assessing set shifting and attention abilities, the dot-probe task, Go/No-go,
37 and the Stroop task^{11, 18, 19,20}, used to assess attention, inhibition abilities and control
38 interference, and the Tower of London test²¹, to assess planning and problem-solving
39 abilities. These tests present evidence of reliability and validity but have some limitations in
40 terms of social desirability response bias, subjective interpretations, and ecological
41 validity^{22,23}. Social desirability response bias refers to the individual's tendency to respond
42 to a self-report scale by presenting a favorable image of him/herself, but which may not
43 reflect reality^{24,25}. Furthermore, the outcomes of paper-and-pencil tests depend on the
44 subjective interpretations of experts that could affect the objectivity of the results. Finally,
45 ecological validity refers to the ability of a test to predict the individual's real-life
46 performance; the standardized measures of EF are considered too abstract, decontextualized
47 and incapable of capturing the real dynamic and complex performance of daily activities²⁶⁻

48 ²⁸. Several studies have shown that low scores in traditional measures are not associated with
49 impaired executive behaviors in real life, and vice versa²⁹⁻³¹.

50 Virtual reality (VR) use has increased substantially over the last decade, allowing
51 making more ecological measurements and collecting more objective data³². VR is an
52 advanced interactive computer technology able to generate non- or immersive real-simulated
53 environments. Factors such as the number of senses stimulated, the interaction and the ability
54 of the system to isolate the user from external stimuli contribute to the sense of immersion
55 provided by a VR system³³. Non-immersive VR systems use conventional computer desktops
56 and the interaction is via a mouse or keyboard. An immersive system displays the visual
57 environment via a head mounted display (HMD) device and the interaction is provided by
58 controllers or gloves; this allows the user to navigate in a simulated world and interact with
59 the artificial objects there as if (s)he was in the real world^{22,34-37}.

60 Various VR applications have been developed for neuropsychological assessment –
61 such as virtual classrooms and shopping centers - and tested on different clinical
62 populations³⁸⁻⁴⁵. For example, Cipresso et al., (2014)³⁸ developed a virtual supermarket in
63 which Parkinson's patients, with and without cognitive impairments and a control group,
64 have to select and buy products. The results showed that VR could discriminate between
65 patients with and without cognitive impairments and control groups.

66 Other every-day activity is the act of cooking. Cooking requires the ability to plan,
67 pay attention, remember, and the shift abilities between one task and another⁴⁶⁻⁵¹. Although
68 there are few related studies, previous research has shown that virtual cooking tasks could be
69 considered an ecologically and construct valid test to assess EF^{46,49}, for patient
70 impairments^{50,51}, elderly adults evaluations^{47,48} along with traditional neuropsychological
71 tests. For example, Craik and Lockheart (2006)⁴⁷ developed a non-immersive cooking task

72 (CT) to test planning ability in the elderly, and showed that it was sensitive to the influence
73 of age. Giovanetti et al.⁴⁸ tested a virtual touch-screen versus a real breakfast and lunch task
74 on younger and older adults, showing that older adults made more mistakes than younger in
75 both conditions. Similarly, Tanguay et al.⁵⁰, using the non-immersive CT developed by Craik
76 and Lockheart (2006)⁴⁷, compared patients with acquired brain injury with healthy subjects
77 showing that patients presented significant difficulty to execute the CT compared to the
78 healthy subjects.

79 Regarding the construct validity of the CT, Doherty et al. (2015)⁴⁹ developed a similar
80 non-immersive CT to Craik and Lockheart (2006)⁴⁷, to which they added a dual task that is,
81 setting a table during the cooking process, and further levels of difficulty, which they tested
82 on healthy subjects, and showed that it was able to discriminate among the EFs standardized
83 measures. Finally, Chicchi Giglioli et al. (2019)⁴⁶ developed and compared the feasibility
84 and the sense of presence of an immersive virtual CT (VCT) versus an augmented reality CT,
85 showing that the VCT produced a greater usability and feasibility, as well as a higher sense
86 of presence than the augmented CT.

87 To our knowledge no previous studies have developed an immersive virtual CT
88 (VCT) and tested it on AUD patients, the main aim of this study was to compare the
89 performance data of AUD, and healthy subjects derived from both traditional EF assessments
90 and the VCT.

91 **Material and Methods**

92 **Subjects**

93 The experimental sample consisted of 18 AUD patients (AUD) (7 males and 11
94 females; M=45.4, SD=9.83; age range: 27-62) and a control group (CG) of 23 healthy

95 participants (9 males and 14 females; $M=44.7$, $SD=9.72$; age range: 33-62). The AUD were
96 recruited from the inpatient unit of a public hospital in Alicante (Spain) and the CG were
97 recruited through local advertisements between college students and employees of the
98 university.

99 The inclusion criteria for the AUD group were: (a) having an AUD diagnosis (DSM-
100 5), (b) they had drunk alcohol within 12 months of the time of the study. The inclusion criteria
101 for the CG were: (a) a cut-off score > 24 on the MMSE¹⁷ and a score ≤ 8 on the questionnaire
102 Alcohol Use Disorders Identification Test (AUDIT)^{49,50}.

103 Before participating in the study, participants of both centers received written
104 information about the study and they were required to give written consent for the inclusion
105 in the investigation. The study received the ethical approval of the Ethical Committees of
106 both centers.

107 **Psychological assessment**

108 First, the MMSE¹⁷ was administered. MMSE is a short and validated paper-and-
109 pencil test that measures performance in various cognitive abilities, such as orientation,
110 attention, short-term memory, verbal fluency, and constructional apraxia. A score of > 24 is
111 the standardized cut-off score, indicating the absence of cognitive impairments.

112 Second, the AUDIT⁴⁹ was administered to the CG to assess their normal drinking
113 behaviors. AUDIT is a 10-item screening tool able to assess alcohol consumption, drinking
114 behaviors, and alcohol-related problems. A score of 8 or more indicates strong likelihood of
115 hazardous or harmful alcohol consumption. Patients' group presented AUD diagnosis
116 administered by the public hospital in Alicante.

117 Third, the following questionnaires were administered to each participant:

118 • Attentional Control Scale (ACS)⁵¹: evaluates individual’s attentional control
119 through 20 questions with 4 possible answers (1 = almost never; 4 = always). Higher scores
120 show a greater ability to maintain voluntarily attention towards a task, while low values
121 suggest attention deficits.

122 • Barratt Impulsiveness Scale (BIS-11)⁵²⁻⁵³: measures impulsiveness through
123 30 questions with 4 possible answers (1 = rarely or never, 4 = always or almost always). A
124 score of 72 or more means that the individual is highly impulsive. Scores between 52 and 71
125 are considered within the normal range. A score below 52 suggests the subject is excessively
126 controlled.

127 • Cognitive Flexibility Scale (CFS)⁵⁴: this consists of 12 questions that are
128 scored on a 6-point scale where 1 means “totally disagree” and 6 means “totally agree”; a
129 score of 60 or more indicates that the individual has high cognitive flexibility.

130 Fourth, the participants completed a total of 5 standardized tasks (ST): to assess
131 attentional and inhibition control abilities, the dot-probe task (DOT)⁵⁵, the Go/No-go task⁵⁶,
132 and the Stroop test⁵⁷; to assess set shifting ability, the trail making task (TMTA-B)¹⁸ was
133 used; and the Tower of London - Drexler test (TOLDX)²¹ was used to evaluate planning
134 ability. The outcomes for each ST included total and latency times and correct answers/errors.
135 For the Tower of London, the outcomes also included execution time, excess movements,
136 and total score.

137 **The VCT**

138 This virtual system was developed using Unity 5.5.1f1 software, applying c#
139 programming language using the Visual Studio tool.

140 The VCT is a kitchen-based scenario consisting of four increasingly difficult subtasks
141 (Table 1). Before tasks, a tutorial consisted of an introductory cooking task, in order to learn
142 the main body movements and hands' interactions using two controllers, was performed by
143 participants. Participant could train for as long as needed and when he/she felt confident with
144 the virtual movements and interaction, he/she pulsed a button to start the experimental tasks.

145 The four subtasks were based on cooking a series of foods within a set time, while
146 avoiding burning them or allowing them to cool. The subtasks are made progressively more
147 difficult by the introduction of various additional activities (Fig.1). Before each subtask, the
148 system explains to participants the specific activity they should carry out, the total cooking
149 time available, the cooking times for each food, and reminded them not to burn or let the
150 food cool down (Fig.2). The first subtask consisted of cooking three foods on one burner for
151 2 minutes; the second subtask consisted of cooking 5 foods on 2 burners for a total time of 3
152 minutes. The third and fourth subtasks each has two tasks: in the third, the participants had
153 to cook 5 foods on 2 burners and add the appropriate ingredient (such as salt, pepper,
154 cinnamon, vanilla, etc.) to each food. In the fourth subtask, the participants had to cook 5
155 foods on 2 burners while setting also the table.

156 Each subtask had a total time, continuously displayed in the virtual environment and
157 two cooking time countdowns (one graphic and one numeric) for each food appeared all the
158 time over the pan where the food was introduced. When the countdown time finished the
159 graphic and numeric elements over the pan appeared green indicating that the food was
160 cooked and ready to move to the dish.

161 Participants passed on to the following subtask when they had completed the previous
162 subtask. The subjects did not interrupt an activity if they did not finish it within the predefined
163 time. The clock continued to run, capturing time taken for each task and any overruns. The

164 time frames for each subtask were based on the reference literature and adjusted to laboratory
165 pre-tests in accordance with the VR scenario and system^{46,47}.

166 The virtual system gathered: (a) the total time taken to perform the tasks; (b) the
167 cooking time, that was, the time that the participants took to cook each food; (c) burning
168 time, that was, the time that they allowed food to burn by not taking it out of the pan, or
169 turning the burner off, after the allotted cooking time; (d) cooling time, that was the amount
170 of time they left the food in the pan to cool down after it was cooked; and (e) the order in
171 which the foods were cooked. In addition, in the third subtask the system also recorded
172 whether the selected ingredient was appropriate and, in the fourth, the moment when the
173 participants set the table (before, during or after cooking).

174 **Experimental procedure**

175 After the subjects had given written informed consent for their participation, they
176 were first assessed with MMSE¹⁷. The CG also completed the AUDIT⁴⁹ questionnaire to
177 evaluate if their alcohol drinking behaviors were in the normal ranges. Second, the
178 participants completed, using personal computers, the questionnaires and the standardized
179 tests, randomly presented. After the neuropsychological assessment, the participants carried
180 out the VCT in a real kitchen, wearing an HMD device.

181 The VCT begins with tutorial explaining main actions (displacements and interaction
182 with the virtual elements). The training time of the tutorial varied according to the
183 participant's confidence with the system. The total time taken to complete the experiment
184 was around 20 minutes for each participant.

185 Statistical analyses and experimental design

186 The analyses were performed using SPSS version 22.0 (Statistical Package for the
187 Social Sciences for Windows, Chicago, IL) for Windows. We first verified the assumptions
188 of normality by applying the Kolmogorov Smirnov test; the internal consistency of the scales
189 was assessed using Cronbach's alpha. Second, we verified the cognitive functioning of both
190 groups using the three questionnaires. Third, the Pearson correlations were computed
191 between the psychological questionnaires, standard task and performance in the VCT.
192 Finally, two variance analyses (ANOVA) were performed to discover if the traditional
193 neuropsychological assessment methods highlighted differences between the CG and AUD
194 groups. The level of significance was set at $\alpha = 0.05$.

195 Results

196 The normality assumption was confirmed (Kolmogorov Smirnov $p > .05$) as was the
197 internal consistency of the self-report scales (Cronbach's alpha $\alpha_{ACS} = .839$, $\alpha_{BIS} = .816$,
198 $\alpha_{CFS} = .757$; bootstrap 95%).

199 Regarding cognitive functioning (Table 2), both groups showed to be within the
200 normal limits on maintain attentional control (ACS: AUD= 46.8; CG= 59.6), impulsivity,
201 (BIS: AUD= 67.5; CG= 58.5; normal range 52-71) and cognitive flexibility (CFS: AUD=
202 37.4; CG= 49.7). Although, the cognitive functioning of AUD patients resulted in the normal
203 limits, they showed lower results in maintaining attentional control and cognitive flexibility,
204 and higher impulsivity than CG. Table 2 also reports the descriptive data on the standardized
205 tasks. They are based on the mean scores, standard deviation, and the range values for each
206 group.

207 Pearson correlations calculated for each questionnaire, standard task and the VCT
208 performance showed significant relationships among variables (see Table 3 and 4).
209 Specifically, AUD patients' responses to questionnaires showed higher relationships to the
210 VCT performance than CG. Regarding correlations between standard tasks and the VCT
211 performance, the performance in the first subtask showed main relationships with the
212 standard tasks in both groups. In addition, AUD patients' performance in the second and third
213 subtasks of the VCT showed high relationships with attention, cognitive flexibility and
214 planning abilities.

215 On behavioral data, two ANOVAs were performed to discover if the traditional
216 neuropsychological assessment methods highlighted statistically significant differences
217 between groups. First, in the analysis of the questionnaires, the differences between the
218 means of the groups were significant. This can be observed in more detail in Table 5.

219 Second, the analysis of the standardized tests showed significant differences between
220 the groups. The dot-probe and the Go/No-go tasks did not show significant differences, while
221 the other tasks showed one or more variables able to differentiate between the groups. In
222 Table 6 we see that in the TMT task the total time variable was significant; it shows that the
223 AUD group took longer than the CG; in the Tower of London test the execution and total
224 time variables indicate differences between the groups. The Stroop task showed differences
225 between the groups in latency and total time.

226 In the VR task, the groups statistically differed in all subtasks. In more detail, the total
227 time taken to complete the 4 subtasks showed significant differences between the groups [F
228 = 8.565, $p < 0.01$], and in the mean time of the 4 levels [$F = 10.957$, $p < 0.01$]. We found
229 similar results in the total times taken to complete each subtask, and in the order that the food
230 was cooked at levels 2 and 4. Table 7 shows the significant results.

231 **Discussion**

232 EF impairments are common in AUD and are linked to significant daily-life
233 dysfunctions²⁻⁸. Traditional measures showed some limitations in predicting real-life
234 performance, and the main aim of this study was to examine the potential of a VCT for EF
235 assessment.

236 Our results showed that, although both groups presented a cognitive functioning
237 within the normal limits, AUD patients showed a lower functioning than CG, and the
238 correlation results showed moderate to high relationships between standardized
239 neuropsychological tests and the VCT. Regarding questionnaires, higher relationships were
240 found in the AUD group in attention control, impulsiveness and cognitive flexibility than in
241 the CG. More specifically, attention control and cognitive flexibility were inversely related
242 to the attention paid to food that was burning while the other foods were being cooked.
243 Significant relationships were found between greater impulsiveness and a higher likelihood
244 of burning food and spending more time to finalize the tasks. Similar relationships were
245 found between the traditional attention control tasks (DOT and STROOP), planning (TOL
246 task) and cognitive flexibility (TMT-AB task) and total and burning times for the AUD
247 group. In accordance with this result, the previous literature on alcohol-dependence showed
248 an affectation of various cognitive processes, such as attention, cognitive flexibility,
249 problem-solving, planning abilities, as well as impairments in inhibitory control of
250 impulsivity.

251 Regarding the variance analyses in the traditional questionnaires, the findings showed
252 that, the AUD group, had lower attention control and cognitive flexibility scores but higher
253 impulsiveness scores than CG. Furthermore, the AUD lower scores were greater than CG in

254 tests requiring planning, attention control, and cognitive flexibility. These results are
255 coherent with the previous literature that has demonstrated behavioral impairments on
256 multiple EFs with a particular focus on impulsivity as the main factor in the ability to control
257 and inhibit responses goal-directed⁹. The no cognitive control involves a variety of behaviors,
258 as acting without planning, difficulty to pay attention, and not considering all information to
259 execute a task. Behavioral impairments have been demonstrated to depend on a dysfunctional
260 integrity of brain areas involved in cognitive control, including, among others, the
261 dorsolateral prefrontal cortex, lateral orbitofrontal cortex and the anterior cingulate cortex,
262 regulated goal-directed behaviors⁵⁻⁷.

263 Finally, regarding performance comparison, our findings showed that VCT can
264 discriminate between CG and AUD. Specifically, the time factor variables significantly
265 differed between CG and AUD group, both between time taken to complete each level and
266 by the total time to perform the task, as well as by the burning time. These results seem to
267 suggest, as demonstrated by similar previous studies^{50,51}, that patients with lower executive
268 functioning completed the VCT tasks slower than individuals with normal executive
269 functioning.

270 Our study also evaluated how subjects planned to cook the foods, since a certain
271 cooking order allowed the subjects to carry out the tasks in less time. Our results for levels 2
272 and 4 showed that AUD patients had less tendency to plan the optimal cooking order and
273 simply prepared the food according to the order of its appearance on the table. A further
274 difference in planning and cognitive flexibility was observed in subtask 4: AUD patients set
275 the table before, or after, cooking all the food, whereas the CG set the table while the food
276 was cooking, thereby reducing the overall time. Similar results have been found by Tanguay
277 et al. (2014)⁵⁰ on a similar task performed by brain injury patients.

278 As to attention and cognitive flexibility abilities, in subtask 3 the AUD group had
279 longer cooking and total times than the CG. These results seem to suggest that the
280 introduction of new activities can affect the ability to plan a sequence of actions goal-directed
281 of the AUD group more than the performance of the CG.

282 The present study, according to a clinical perspective and implication, attempted to
283 explain the relation between the VCT measures and basic and higher-order cognitive
284 processes such as attention, control inhibition, cognitive flexibility and planning on the other.
285 The VCT involved rules and sequential steps that reproduce those required in real life meal
286 preparations, providing ecological validity and the possibility to be applied to a wide range
287 of populations and sensitive to various neuropsychological impairments. The results can
288 provide guidelines for the assessment of these processes in ecologically valid settings, as well
289 as an enjoyable and engaging evaluation of everyday behaviors. Furthermore, the possibility
290 to use the VCT for various neuropsychological impairments could reduce costs and waiting
291 lists, enhancing the functional recovery of these processes.

292 While the findings of this study are interesting and valuable, it has some limitations.
293 First, the small sample size, as well as gender imbalance, might limit the generalizability of
294 the results. Second, it is important also to assess the individual's perception of the usability
295 of the VCT (e.g., difficulty using controllers, picking up the foods, cooking, and learning to
296 move within the environment). A last limitation relates to the final scoring. Indeed, traditional
297 neuropsychological tests are corrected for age and the VCT results should be viewed in
298 accordance with these corrections. To address these limitations, future studies are needed to:
299 (a) explore the relative impact of age, gender, and education on VCT performance; (b)
300 evaluate test-retest reliability and temporal stability.

301 Conclusions

302 VR is overcoming limitations of traditional measures by facilitating the development
303 of more ecological performance-based environments and generating more accurate control
304 stimuli and data than traditional methods. Indeed, the VCT was contextualized in a real
305 situation that people face every day and subjects were evaluated stealthily and more
306 objectively than traditional assessment, reducing also social desirability bias⁵⁷.

307 In conclusion, this study offers initial evidence that more ecologically valid
308 assessments can be also useful, alongside standard assessments, for detecting functional
309 cognitive impairments with respect to daily activities in AUD patients.

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