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This paper must be cited as:

Moreno-Navarro, P.; Ibrahimbegovic, A.; Damjanovic, D. (2021). Multi-scale model for coupled piezoelectric-inelastic behavior. Coupled Systems Mechanics, an international journal. 10(6):521-544. https://doi.org/10.12989/csm.2021.10.6.521



The final publication is available at https://doi.org/10.12989/csm.2021.10.6.521

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# Multi-scale model for coupled piezoelectric-inelastic behavior

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Abstract. In this work, we present the development of a 3D lattice-type model at microscale based upon the Voronoi-cell representation of material microstructure. This model can capture the coupling between mechanic and electric fields with non-linear constitutive behavior for both. More precisely, for electric part we consider the ferroelectric constitutive behavior with the possibility of domain switching polarization, which can be handled in the same fashion as deformation theory of plasticity. For mechanics part, we introduce the constitutive model of plasticity with the Armstrong-Frederick kinematic hardening. This model is used to simulate a complete coupling of the chosen electric and mechanics behavior with a multiscale approach implemented within the same computational architecture.

**Keywords:** piezoelectricity; multi-scale model; finite element method; Voronoi-cell microstructure representation; lattice model

## 1. Introduction

There is a noticeable trend of miniaturization in the state-of-the-art smart materials applications. The smaller these devices are, the more efficient they tend to be due to the control of the microstructure Rowe (2018). Namely, the inclusion of thermoelectric materials in a crystal lattice structure helps improving performance by reducing the thermal conductivity White (2008). Also, this miniaturization has allowed placing an increasing number of sensors and actuators on a structure to monitor and control the current state and structure health.

Numerically, the direct study of macro models is no longer valid to describe the behavior of a structure without a correct homogenization process. The inclusions of devices or materials in the microstructure requires a more detailed study of micro or even nanoscales.

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Additionally, the more realistic models for electromagnetics and mechanics induce localizations that are easier to represent in a reliable manner only at a smaller scale.

There is a large number of references on homogenized solid finite element computation with localized failure and plasticity for mechanics only such as Belytschko *et al.* (1988), Simo *et al.* (1993), Armero and Garikipati (1996), *et al.*, Brancherie and Ibrahimbegovic (2009), Saksala *et al.* (2015), Do *et al.* (2017), Karavelić *et al.* (2019), but none is meant for the problem studied herein.

Different numerical representations of micro-models are available. In terms of numerical efficiency, a structure in terms of Voronoi cell representation with an irregular cohesive discrete lattice model is quite advantageous. This representation is best suited when trying to replicate the multi-scale behavior of a material composed of different micro-behaviors Ostoja-Starzewski (2002). The cohesive links are one-dimensional finite elements, such as trusses or beams. This representation can fully replicate the behavior of an equivalent continuum structure Nikolić et al. (2018).

In the literature, several papers can be found on the inclusion of embedded discontinuity models in lattice-type structures such as Nikolic and Ibrahimbegovic (2015), Schlangen and Garboczi (1997), Ibrahimbegovic and Delaplace (2003), Ibrahimbegovic and Melnyk (2007), Bui et al. (2014). In terms of coupling mechanics with other physics, there are a few references availables, for instance, poroplastic media with fluids Hadzalic et al. (2019), Nikolic et al. (2016), or coupling with thermal field Ngo et al. (2013).

Regarding more elaborated constitutive models in terms of ferroelectrics, there are a handful of references that describe this behavior for macro-scale in a similar fashion as plasticity, such as Miehe et al. (2011), et al. (2005), McMeeking and Landis (2002), Huber et al. (1999), McMeeking and Hwang (1997). Other references focus on the behavior of the crystals at a micro-scale level, for example Hwang et al. (1995), Chen and Lynch (1998). A complete description on the typical ferroelectric hysteresis loops can be found in Damjanovic (2006), including the description of Debye models, such as Palma et al. (2018). References on multi-scale modeling of ferroelectrics and ferromagnetics can be found as well in the literature like Labusch et al. (2016), Daniel et al. (2004, 2008, 2014), among others, but none of them seeks to represent cracks. An exception is the recent work Abdollahi and Arias (2015) using the phase-field model, which cannot provide a sharp crack representation.

The main objective and novelty of this work is to obtain a numerical model for lattice of beams to replicate the micro behavior of a ferroelectric grain. The proposed model also implements a non-linear viscoplasticity and localized failure inducing the exponential softening regime. The remanent polarization is also described, following Hwang et al. (1995), as a constant value added on top of the linear electric displacement. A polarization multiplier determines the evolution of the remanent polarization and strain. Each beam pictures a grain of the material. Therefore, the lattice model produces the macro response of a heterogeneous ferroelectric model. This response can be obtained by averaging the internal values of the system, or through a process of homogenization where the stiffness terms are created by a consistent interpolation Rukavina et al. (2019).

All the numerical implementations and computations are carried out with the computer code FEAP —Finite Element Analysis Program Zienkiewicz and Taylor (2005). This code

has been developed at the University of Berkeley, California, by Prof. R.L. Taylor.

The outline of the paper is as follows. In Section 2, we briefly present basic lattice model for mechanics. Its extension for ferroelectrics is given in Section 3. The numerical implementation is described in Section 4. Several numerical simulations are given in Section 5. Concluding remarks are stated in Section 6.

## 2. Basics of a lattice-type model

To create a model of this type, the domain to study is divided into polyhedral regions. The circumcenter<sup>1</sup> of each polyhedron constitutes one of the ends of the lattice beam. Two adjacent Voronoi cells are held together with a lattice beam which is chosen as a beam Nikolić et al. (2018), Bui et al. (2014).

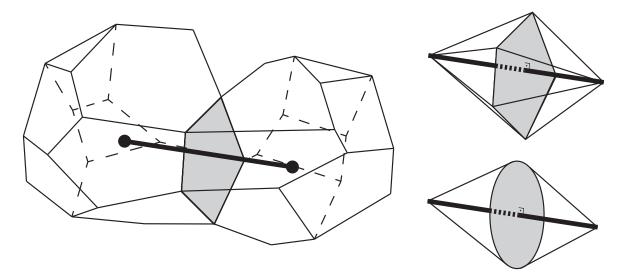


Fig. 1 Left, two adjacent Voronoi cells held together by the corresponding lattice model. Right, the assumed shape of the beam and the approximation of the circular cross-section.

In Figure 1 left, two adjacent cells, and the corresponding lattice beam are pictured. As a property of the circumcenter, the beam is perpendicular to the joint face of these two cells. Also, the face intersects in the middle of the beam, dividing it into two halves. This is convenient to reproduce the fracture of the element, since a crack on the lattice beam means that two adjacent Voronoi cells are separating.

The transversal area associated with this beam is the area of the joint face, a polygon, as depicted in Figure 1 right. The transversal area is assumed to be an equivalent circle with the same area to simplify the calculation of the beam properties. The lattice beams define the dual mesh of the Voronoi cell. Delaunay algorithms for the creation of tetrahedron are

<sup>&</sup>lt;sup>1</sup>The circumcenter is defined as the point that is located at the same distance of each vertex of the polyhedron. It is the center of the circumscribed sphere.

used to create the mesh of beams that will be used to solve the problem.

#### 2.1 Notation for beam model

The selected model for the beam is in agreement with Timoshenko hypotheses, where the transversal sections can be rotated with respect to the normal of the neutral line. The degrees of freedom for the mechanic field are three displacements and three rotations:

$$\boldsymbol{u} = (u_1, u_2, u_3, \varphi_1, \varphi_2, \varphi_3)^\mathsf{T} \tag{1}$$

The generalized strain measures are ordered in a vector:

$$\boldsymbol{\varepsilon} = (\varepsilon_1, \gamma_{12}, \gamma_{13}, \kappa_1, \kappa_2, \kappa_3)^{\mathsf{T}} \tag{2}$$

The corresponding internal force vector:

$$\mathbf{F} = (N_1, N_2, N_3, M_1, M_2, M_3)^{\mathsf{T}} \tag{3}$$

Regarding the electric field, the only degree of freedom is the electric potential V. For the beam model, only the axial component  $x_1$  is relevant; thus, by definition, the electric field  $E_1$  and the electric displacement  $D_1$  are scalars along any lattice. Assuming the homogeneity of any variable within every section, we can define the electric charge as  $Q_1 = D_1 A$ , with A as the cross-section area of the beam.

A point on the beam can also be represented in global coordinates as:

$$\tilde{\boldsymbol{x}} = (\tilde{x}_1, \tilde{x}_2, \tilde{x}_3)^\mathsf{T} \tag{4}$$

where the tilde overscript  $\sim$  is used in this section to describe that the corresponding variable is expressed in the global orthonormal basis. This basis is composed of base unit vectors  $e_i^G$  for every direction i. The point can also be expressed in beam local coordinates as:

$$\boldsymbol{x} = (x_1, x_2, x_3)^\mathsf{T} \tag{5}$$

Notice that the overscript omitted from the notation means that the vector is expressed in local coordinates. This local basis is comprised of base unit vectors  $e_i$  for every direction i. The axial direction of the beam is always the first one. Since the beam section is considered circular, transverse directions are taken randomly and normal to the axial direction, in agreement with the right-hand rule, as illustrated in Figure 2.

The rows of the transformation matrix T are the base unit vectors  $e_i$  expressed in global coordinates:

$$T = \begin{pmatrix} \leftarrow & \tilde{e}_1^\mathsf{T} & \to \\ \leftarrow & \tilde{e}_2^\mathsf{T} & \to \\ \leftarrow & \tilde{e}_3^\mathsf{T} & \to \end{pmatrix}$$
 (6)

This transformation matrix is used to change the basis in which every variable is denoted. In general, a tensor A of any order is expressed in global coordinates as:

$$\tilde{A}_{ijkl...} = T_{Ii} \ T_{Jj} \ T_{Kk} \ T_{Ll} \dots A_{IJKL...} \tag{7}$$

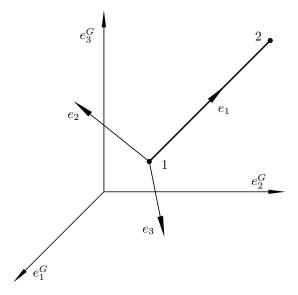


Fig. 2 Local frame representation for a beam. The local direction 1 is oriented from local node 1 to 2. Local directions 2 and 3 are randomly chosen, bu remain perpendicular to the beam axis.

## 2.2 Kinematic equations

Kinematic equations relate displacement and voltage degrees of freedom with generalized strains defining the state or primal variables. For a solid continuum model with the hypothesis on small displacements, the corresponding equations that define the infinitesimal strain tensor and the electric field vector are:

$$\boldsymbol{\varepsilon} = \frac{1}{2} \left[ \nabla \otimes \boldsymbol{u} + (\nabla \otimes \boldsymbol{u})^{\mathsf{T}} \right] = \nabla^{\mathsf{sy}} \boldsymbol{u}$$
$$\boldsymbol{E} = -\nabla V$$
(8)

To obtain the reduced model for a Timoshenko beam, we need to take into account that every variable only changes in axial direction. Thus, the only relevant strain components are the axial strain  $\varepsilon$  and transversal shears  $\gamma_{12}$  and  $\gamma_{13}$ , as well as the axial electric field  $E_1$ , each one constant within the beam cross-section. Besides, as a consequence of the additional degrees of freedom for the mechanic field, curvatures  $\kappa_1$ ,  $\kappa_2$ , and  $\kappa_3$  have to be calculated.

These assumptions will further specialize when considering the Timoshenko kinematic

model Bui et al. (2014), the continuum version of (8) reduces to:

$$\begin{pmatrix}
\varepsilon_{1} \\
\gamma_{12} \\
\gamma_{13} \\
\kappa_{1} \\
\kappa_{2} \\
\kappa_{3} \\
E_{1}
\end{pmatrix} = \begin{bmatrix}
\frac{\partial}{\partial x_{1}} & 0 & 0 & 0 & 0 & 0 & 0 \\
0 & \frac{\partial}{\partial x_{1}} & 0 & 0 & 0 & -1 & 0 \\
0 & 0 & \frac{\partial}{\partial x_{1}} & 0 & 1 & 0 & 0 \\
0 & 0 & 0 & \frac{\partial}{\partial x_{1}} & 0 & 0 & 0 \\
0 & 0 & 0 & \frac{\partial}{\partial x_{1}} & 0 & 0 & 0 \\
0 & 0 & 0 & 0 & \frac{\partial}{\partial x_{1}} & 0 & 0 \\
0 & 0 & 0 & 0 & 0 & \frac{\partial}{\partial x_{1}} & 0 \\
0 & 0 & 0 & 0 & 0 & 0 & \frac{\partial}{\partial x_{1}}
\end{bmatrix} \begin{pmatrix}
u_{1} \\
u_{2} \\
u_{3} \\
\varphi_{1} \\
\varphi_{2} \\
\varphi_{3} \\
V
\end{pmatrix} \tag{9}$$

## 2.3 Conservation equations

Conservation principles are used for defining the equilibrium in a material, which are defined in terms of partial differential equations. The solid version of these equations is expressing equilibrium of mechanical forcer and electric field in the absence of external charges. For this beam model, the main hypotheses assumed are that body forces are neglected and a quasistatic approach is taken.

$$\begin{aligned}
\boldsymbol{\sigma} & \nabla = 0; \\
\nabla \cdot \boldsymbol{D} &= 0
\end{aligned} \tag{10}$$

The adaptation for the beam model includes the hypotheses in the previous section regarding one-dimensionality and homogeneity of variables in a transversal section. Thus, the simplified version of equilibrium equations for beams reduces to:

$$\frac{\partial N_i}{\partial x_1} = 0 ;$$

$$\frac{\partial M_i}{\partial x_1} = 0 ;$$

$$\frac{\partial Q_1}{\partial x_1} = 0$$
(11)

# 2.4 Constitutive equations for piezoelectricity

Constitutive equations will relate the primal variables with stress or flow variables as thermodynamic duals through the given defined material properties. In the case of piezoelectric materials, in addition to the conventional relationship between the same kind of variables, electrical variables affect the behavior of the mechanical ones and vice-versa. The constitutive equations can be derived from the free-energy potential as defined in Moreno-Navarro  $et\ al.\ (2018)$ , from which we can retrieve:

$$\sigma = C\varepsilon - e^e E 
D = \epsilon E + e^e \varepsilon$$
(12)

The coefficients used in (12) are the fourth-order stiffness tensor C, the third-order piezoelectric tensor  $e^e$ , and the second-order permittivity tensor  $\epsilon$ , all of them obtained for assumed material properties of piezoelectricity.

To reduce such constitutive model to beams, we need to account for the simplification made in the last sections. First, only a few components of primal variables are relevant, so are the corresponding material tensor entries. Second, the addition of rotational degrees of freedom for the mechanic field introduces new structural variables into the stiffness tensor. Finally, only axial strain affects the axial electric variables and vice-versa. With these assumptions in hand, we can write the beam-model version of (12):

$$\begin{pmatrix}
N_1 \\
N_2 \\
N_3 \\
M_1 \\
M_2 \\
M_3 \\
Q_1
\end{pmatrix} = \begin{bmatrix}
\check{E}A & 0 & 0 & 0 & 0 & 0 & -e_{11}A \\
0 & k_c GA & 0 & 0 & 0 & 0 & 0 \\
0 & 0 & k_c GA & 0 & 0 & 0 & 0 \\
0 & 0 & k_c GA & 0 & 0 & 0 & 0 \\
0 & 0 & 0 & GJ & 0 & 0 & 0 \\
0 & 0 & 0 & 0 & \check{E}I & 0 & 0 \\
0 & 0 & 0 & 0 & 0 & \check{E}I & 0 \\
e_{11}A & 0 & 0 & 0 & 0 & 0 & \epsilon_1A
\end{bmatrix}
\begin{pmatrix}
\varepsilon_1 \\
\gamma_{12} \\
\gamma_{13} \\
\kappa_1 \\
\kappa_2 \\
\kappa_3 \\
E_1
\end{pmatrix}$$
(13)

where  $\check{E} = E\left(1-\nu\right)/\left[\left(1+\nu\right)\left(1-2\nu\right)\right]$  is the first term of the stiffness tensor (with E as the Young's modulus and  $\nu$  as the Poisson's coefficient),  $k_c$  is the shear correction factor, G is the shear modulus, J is the polar moment of inertia, I is the moment of inertia,  $\epsilon_1$  is the permittivity in axial direction, and  $e_{11}$  is the piezoelectric coefficient. Notice that inertia moments are the same for  $x_2$  and  $x_3$  directions, and  $k_c \approx 0.9$  since the transversal area is considered a circle.

## 3. Ferroelectric model

The beams used in this section have a more elaborated constitutive model than the one presented in (13). This model introduces non-linear relations in mechanics (plasticity and softening) and electricity (ferroelectricity). A complete description of these constitutive models is presented herein.

Polarization P is a macroscopic magnitude that accumulates the microscopic electric dipole moments in a material. These dipoles are either generated in the presence of an electric field or as a consequence of a particular microstructure. While the former is proportional to the electric field applied, the latter is a permanent value, usually called remanent polarization  $P^r$ , with the only possibility of a change of orientation or domain switching Balanis (1999).

In general, there are three types of macroscopic behavior regarding polarization: dielectric, paraelectric and ferroelectric. For the first one, the polarization is linear to the electric field applied; the second one depends on the electric field as well, but the dependency is non-linear; finally, ferroelectrics have a dependency on the electric field and, superposed, the remanent polarization causing hysteresis phenomena to arise. Ferroelectricity implies always a coupling with the mechanical field, since only piezoelectric materials can be ferroelectrics. In fact, they also have to be pyroelectrics, but we are not considering in this chapter that kind of coupling Said et al. (2017).

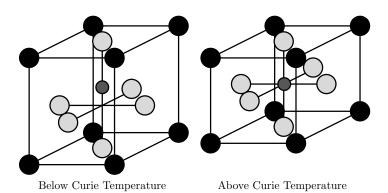


Fig. 3 Sketch of a tetragonal unit cell for PbTiO<sub>3</sub> below (tetragonal) and above (cubic) Curie temperature. Pb in black, O in white and Ti in gray.

The appearance of this remanent polarization can be explained clearly from a microstructure point-of-view. In this chapter, only polarizable tetragonal cell materials are being discussed De Jong et al. (2015). A representative unit cell of this type is sketched in Figure 3 for PbTiO<sub>3</sub> with the different microstructure arrangements depending on the temperature. Below the Curie temperature  $T_c$ , the microstructure is tetragonal, and it behaves as ferroelectric; above this temperature, the microstructure is cubic, the remanent polarization is gone, and its behavior is paraelectric.

The atoms in the center (Ti, in gray) and the corners (Pb, in black) are charged positively while the ones in the middle of the faces are charged negatively (O, in white). Above the Curie temperature, the cell is perfectly symmetric and cubic, with Ti in the center. Below the Curie temperature, the cell reaches minimum potential energy if Ti is ousted from the center in a direction towards one of the oxygen atoms.

This eccentricity creates a microscopic electric dipole due to the misalignment compared with the cubic structure. Notice as well that the cell has been enlarged in the same direction of the misalignment since Ti repels the top O. If the position of Ti switches to any of the other five equilibrium positions, the remanent polarization and the cell enlargement will change as well.

A strong electric field has to be applied in the desired direction to switch the central atom into any of the other minimum positions. This position is also modified if a compressive stress is applied in the direction of the current remanent polarization or traction in any of the corresponding transversal directions. The angle between the old and the new vector  $P^r$  determines the two types of switching:  $180^{\circ}$  and  $90^{\circ}$ . An oriented electric field can cause both  $180^{\circ}$  and  $90^{\circ}$  switches, whereas stress can only generate a  $90^{\circ}$  switch.

The selected criteria to determine whether a switch occurs are extracted from Keip and Schröder (2011) with the combination of both electric field and stress taken into account. Each switch criterion is cast as an energy criterion at a microscopic level, and several possibilities have to be evaluated at the same time for both kinds of switch. Namely, a switch

can take place if the following conditions meet:

$$\frac{\boldsymbol{E} \cdot \Delta \boldsymbol{P}^{r}}{2\boldsymbol{E} \cdot \boldsymbol{P}^{s}} \geq 1 , \text{ for } 180^{\circ} \text{ switch}$$

$$\frac{\boldsymbol{E} \cdot \Delta \boldsymbol{P}^{r}}{\boldsymbol{E} \cdot \boldsymbol{\varepsilon}^{s}} + \frac{\boldsymbol{\sigma} \cdot \Delta \boldsymbol{\varepsilon}^{r}}{\boldsymbol{\sigma} \cdot \boldsymbol{\varepsilon}^{s}} \geq 1 , \text{ for } 90^{\circ} \text{ switch}$$
(14)

where the increments  $\Delta \mathbf{P}^r$  and  $\Delta \boldsymbol{\varepsilon}^r$  are respectively the tensors of change for remanent polarization and strain, while  $\mathbf{P}^s$  and  $\boldsymbol{\varepsilon}^s$  are respectively the spontaneous values of remanent polarization and strain induced by internal microstructure. We note that the above conditions need to be evaluated for each of the five alternative directions of polarization.

Any such switch introduces a change in the microstructure and alters the isotropy of the material, making it transversally isotropic with a preferential direction in the remanent polarization orientation. In Labusch *et al.* (2016), the authors provide a comprehensive description of the enthalpy for magneto-electro-mechanical polarizable materials at a microscale model. We obtain the corresponding constitutive equations through the derivation of the enthalpy with respect to the dual variables. Since this definition of enthalpy is quadratic, the constitutive coefficients are constant. A switch in polarization makes these coefficients to change their value.

As in the previous solid model for our beam lattice model, the coefficients in (13) are also subjected to change with every polarization switch, which implies a change in  $\check{E}$ , G,  $e_{11}$ , and  $\epsilon_1$ . The factor that modifies these properties from its non-polarized to its polarized value is the polarization multiplier m defined through the following linear distributions:

$$\check{E} = \check{E}^{np} + |m| \left( \check{E}^{p} - \check{E}^{np} \right) 
G = G^{np} + |m| \left( G^{p} - G^{np} \right) 
e_{11} = e_{11}^{np} + m \left( e_{11}^{p} - e_{11}^{np} \right) 
\epsilon_{1} = \epsilon_{1}^{np} + |m| \left( \epsilon_{1}^{p} - \epsilon_{1}^{np} \right)$$
(15)

where the superscripts np and p stand for non-polarized and polarized values of each coefficient and |m| stands for the absolute value of m. The values for remanent polarization and remanent strain are also a function of this multiplier as defined as follows:

$$P_1^{\rm r} = m \ P_1^{\rm s}$$

$$\varepsilon_1^{\rm r} = \varepsilon_1^{\rm np} + |m| \left(\varepsilon_1^{\rm p} - \varepsilon_1^{\rm np}\right)$$
(16)

The activation of these remanent variables modify the constitutive equation (13) in the

following way:

$$\begin{pmatrix} N_1 \\ N_2 \\ N_3 \\ M_1 \\ M_2 \\ M_3 \\ Q_1 \end{pmatrix} = \begin{bmatrix} \check{E}A & 0 & 0 & 0 & 0 & 0 & -e_{11}A \\ 0 & k_c GA & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & k_c GA & 0 & 0 & 0 & 0 \\ 0 & 0 & k_c GA & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & GJ & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & \check{E}I & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & \check{E}I & 0 \\ e_{11}A & 0 & 0 & 0 & 0 & 0 & \epsilon_1A \end{bmatrix} \begin{pmatrix} (\varepsilon_1 - \varepsilon_1^{\mathsf{r}}) \\ \gamma_{12} \\ \gamma_{13} \\ \kappa_1 \\ \kappa_2 \\ \kappa_3 \\ E_1 \end{pmatrix} + \begin{pmatrix} 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ P_1^{\mathsf{r}}A \end{pmatrix}$$

$$(17)$$

Two models to represent the switching of polarization and to define the polarization multiplier are presented here. For the first one, the only switch considered is the  $180^{\circ}$  since beams lattice can only take into account axial variations in voltage. Therefore,  $90^{\circ}$  switches are not possible to simulate, the strain is not a factor for m, and a compressive force cannot depolarize the beam in axial direction.

A more refined version of this model requires a small variation to take into account the effect of compression and traction in the bar. The idea is to include a third state of the switch of no remanent polarization as the replacement for  $90^{\circ}$  switching. This way, every beam can take three states: positive, negative, and no axial remanent polarization or zero polarization. This can be enforce as a constraint in the same manner as modeling the inextensible beam deformation Medić et al. (2013).

A numerical difficulty arises when the switch in polarization is implemented as a Heaviside step once one of the conditions in (14) is met. This abrupt change can lead to oscillating residual norms in the finite element method computations due to the uncertainty in a scenario with one or more of the beams getting simultaneously polarized. Such computational difficulty is handled with a smooth correction to this Heaviside step proposed for these two models through the hyperbolic tangent function. The idea is to make a bijective function between electric field and electric displacement, removing any possible uncertainty and introducing a rapid yet smooth change of slope. Once the polarization switches, the constitutive model changes to represent hysteresis behavior.

## 3.1 Switching model 1

The flowchart for the switching model 1 is depicted in Figure 4. In this model, every beam starts with the zero switch-state  $s_n = 0$ , where subscript n denotes that the variable is taken at time  $t_n$ . It will remain in this state until the beam reaches the coercive electric field  $E_c$  or  $-E_c$ . This forces the switch-state to change in the next time step to either  $s_{n+1} = 1$  or  $s_{n+1} = -1$ , denoting positive or negative polarization respectively. After the beam is polarized, the beam can only switch to the opposite polarized state (180°) once it reaches the opposite value of the coercive electric field, i.e., either  $-E_c$  for  $s_n = 1$  or  $E_c$  for  $s_n = -1$ .

Even though the three states of polarization are implemented in this model, we make the restriction that once a beam is polarized either positive or negative, it cannot return to the zero-state. This first model is quite simple; yet, it allows us to obtain a good approximation in macroscopic electric displacement  $\boldsymbol{D}$ .

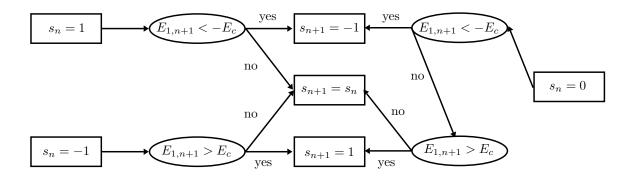


Fig. 4 Flowchart to select the switch-state for the next time step  $s_{n+1}$  based on its previous value  $s_n$  and the current value of electric field  $E_{n+1}$ 

Although the switch-state changes suddenly as a step function, the polarization multiplier is in fact chosen as a smooth function, continuous, and differentiable, cast in terms of the hyperbolic tangent. This regularized multiplier depends on the current axial electric field in the beam  $E_{1,n+1}$  and the switch from the previous time step  $s_n$ , which is defined as follows:

$$m_{n+1}(E_{1,n+1}, s_n) = \frac{1 - s_n}{2} \tanh \left[ \frac{a(s_n)}{E_c} (E_{1,n+1} - E_c) + 3 \right] + \frac{1 + s_n}{2} \tanh \left[ \frac{a(s_n)}{E_c} (E_{1,n+1} + E_c) - 3 \right]$$
(18)

In terms of the hyperbolic tangent is a function with odd symmetry such as a smoothed version of a Heaviside step, with horizontal asymptotic values of -1 and 1 for the former instead of 0 and 1 for the latter. In our case, the chosen form of the argument is  $a/|x_0|$  ( $x \mp |x_0|$ )  $\pm 3$ . The last number has been selected in agreement with  $\tanh(3) = -\tanh(-3) \approx 0.995$  to translate the function so that when  $|x| > |x_0|$  we can consider that the function has already arrived at the other asymptotic value.

In (18), the normalized parameter  $a(s_n)$  controls how smooth or how sharp the curve is as illustrated in Figure 5 left, where the value of  $s_n = -1$  has been adopted. The higher the value of a is, the more similar to a Heaviside step function the regularized polarization multiplier is. The decision for taking such value of a is representative of a crystal with m that starts to change from one asymptotic value to the next one. For this model, we adopted a value of a = 200 for  $s_n = \pm 1$  that corresponds to  $m \approx \pm 0.995$  when  $E_{1,n+1} = \mp 0.97$   $E_c$ . If  $s_n = 0$  then we choose a = 244 to adjust the final part of the curve to the one with polarization as illustrated in Figure 5 right.

The polarization multiplier curves for the three switch-states are drawn in Figure 6 left, where the typical hysteresis loop for ferroelectric materials can be observed Hwang et al. (1995). The choice of parameters for this model makes the behavior of variables affected by polarization very similar to the Heaviside step. Hence, the derivative with respect to the electric field is comparable to the Dirac delta function, as can be seen in the detail of Figure 6 right.

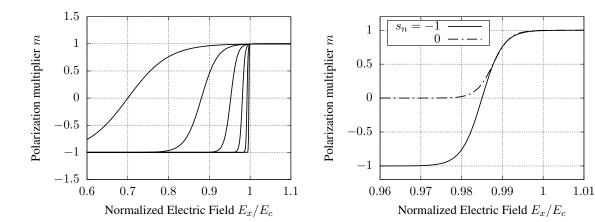


Fig. 5 Left, smoothed polarization multiplier m curves for negative switch-state  $s_n = -1$  with different values of parameter  $a = \{10, 25, 60, 160, 400, 1000\}$ . Right, detail of curves with chosen value a = 200 for  $|s_n| = 1$  and a = 244 for  $s_n = 0$ .

#### 3.2 Switching model 2

Switching model 2 is capable of representing the depolarization of the beams since the switching criteria include the dependency on mechanical stress. The flowchart for this model is depicted in Figure 7.

Every beam starts with the zero switch-state  $s_n = 0$ . A 90° switching condition is tested to check if the beam is getting polarized in the main axial directions:

$$s_{n+1} = -1 \; ; \quad \text{if} \quad \frac{-E_{1,n+1}}{E_c} + \frac{N_{1,n}}{N_c} > 1$$

$$s_{n+1} = 1 \; ; \quad \text{if} \quad \frac{E_{1,n+1}}{E_c} + \frac{N_{1,n}}{N_c} > 1$$

$$(19)$$

This condition is derived from (14) combined with the beam model hypotheses. Once the beam gets polarized into one of the axial directions, both  $180^{\circ}$  and  $90^{\circ}$  switchings can happen. The former can be reached only with a significant change in electric variables. As for the latter, only mechanical variables are relevant since electric variables are non-zero in any transversal direction. The switching criterion is the simplified version of (14). Namely, for  $s_n = 1$ :

$$s_{n+1} = -1;$$
 if  $E_{1,n+1} < -E_c$   
 $s_{n+1} = 0;$  if  $N_{1,n} < -N_c$  (20)

Whereas for  $s_n = -1$ :

$$s_n = 1 ;$$
 if  $E_{1,n+1} > E_c$   
 $s_n = 0 ;$  if  $N_{1,n} < -N_c$  (21)

One can notice that these criteria, once the beam is polarized in any of the axial directions, are decoupled. I.e., 90° switching depends only on the mechanic stress, while 180° switching

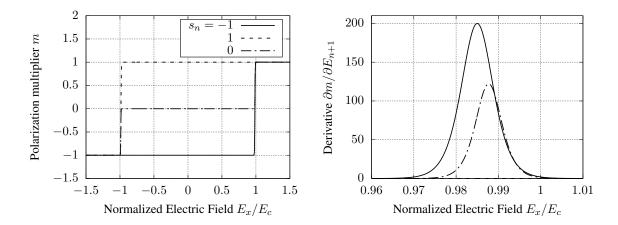


Fig. 6 Left, smoothed polarization multiplier m curves for all three previous state of polarization  $s_n$  with the chosen a = 200. Right, detail of the corresponding derivatives of m with respect to the electric field.

depends only on the electric field. We observe as well that the axial force is considered at the previous time step in order to simplify the recursiveness of the calculation of local variables. An iterative method to obtain the current axial force could be developed, but the assumption is that the time step is small enough to consider major differences between previous and current values.

The regularized polarization multiplier for this model is created similarly to the one in the previous model by using the hyperbolic tangent function. The main difference is that, for every polarization state, there is a different expression:

$$m_{n+1}(E_{1,n+1}, N_{1,n}) = \frac{1}{2} \left\{ 1 + \tanh \left[ \frac{a(0)}{N_c} (N_{1,n} + N_c) - 3 \right] \right\}$$

$$\tanh \left[ \frac{a(1)}{E_c} (E_{1,n+1} + E_c) - 3 \right] ; \text{ if } s_n = 1 ;$$

$$m_{n+1}(E_{1,n+1}, N_{1,n}) = \frac{1}{2} \left\{ 1 + \tanh \left[ \frac{a(0)}{N_c} (N_{1,n} + N_c) - 3 \right] \right\}$$

$$\tanh \left[ \frac{a(1)}{E_c} (E_{1,n+1} - E_c) + 3 \right] ; \text{ if } s_n = -1 ;$$

$$m_{n+1}(E_{1,n+1}, N_{1,n}) = \frac{\text{sign}(E_{1,n+1})}{2} \left\{ 1 + \tanh \left[ a(0) \left( \frac{|E_{1,n+1}|}{E_c} + \frac{N_{1,n}}{N_c} - 1 \right) + 3 \right] \right\} ; \text{ if } s_n = 0$$

$$(22)$$

In Figure 8, all three polarization multiplier situations are illustrated, with  $E_{1,n+1}$  and

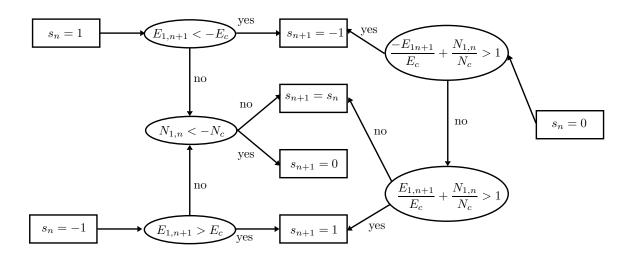


Fig. 7 Flowchart to select the switch for the next time step based on the previous state of switch  $s_n$ , the current value of electric field  $E_{n+1}$ , and the previous value of axial force  $N_{1,n}$ .

 $N_{1,n}$  as the independent variables. The change of value for m takes place near the coercive values  $E_c$  or  $N_c$  for the axial polarization state with the same smoothened pattern as in the previous model. As for the depolarized beam, the combined criterion creates a diagonal line of values that nullify the argument of the hyperbolic tangent. Notice that near  $E_{1,n+1} = 0$  there is a jump for the polarization multiplier, which should not affect the stability of the element since once  $m_{n+1} \approx \pm 1$ , the polarization state switches to the other corresponding criteria.

#### 3.3 Viscoplasticity model

The proposed mechanic model will change once the material has reached the yield stress. Here, we adapt to the one-dimensional beam model framework the viscoplasticity with Armstrong-Frederick non-linear kinematic hardening (proposed in Ibrahimbegovic (2009)). This model is implemented independently both for the axial strain and the two shear strains. The description of this constitutive model starts with the hypothesis of additive decomposition of the strains into elastic, plastic, and remanent parts induced by polarization only taken for the case of axial strain:

$$\overline{\varepsilon}_1 = \varepsilon_1^e + \varepsilon_1^{\text{vp}} + \varepsilon_1^{\text{r}} ; \qquad \overline{\gamma}_{12} = \gamma_{12}^e + \gamma_{12}^{\text{vp}} ; \qquad \overline{\gamma}_{13} = \gamma_{13}^e + \gamma_{13}^{\text{vp}} ; \qquad (23)$$

where the bar indicates the total regular part of the total strains, superscript e denotes the elastic part, vp the viscoplastic part, and r the remanent part. We note in passing that the curvatures  $\kappa_i$  are kept elastic considering they are not affected by the viscoplasticity in ferroelectric model. Also, the electric charge is split into elastic and remanent (saturated polarization) parts according to  $Q_1 = Q_1^e + P_1^r$ . Consequently, the free energy potential  $\psi$  is

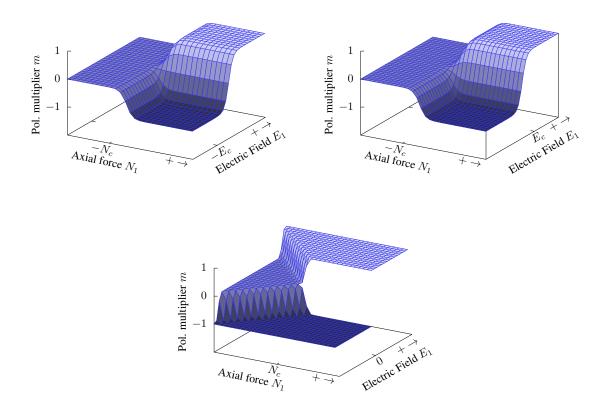


Fig. 8 Smoothed polarization multiplier m curves for switching model 2 with different values of the previous switching state  $s_n = 1$  for top left,  $s_n = -1$  for top right, and  $s_n = 0$  for bottom figure.

decomposed into three decoupled terms:

$$\overline{\psi}_{1}\left(\varepsilon_{1}^{e}, \overline{\zeta}_{1}, E_{1}\right) = \frac{1}{2} \varepsilon_{1}^{e} \widecheck{E} \varepsilon_{1}^{e} + \frac{1}{2} \overline{\zeta}_{1} H_{is,1} \overline{\zeta}_{1} - e_{11} \varepsilon_{1}^{e} E_{1} - \frac{1}{2} E_{1} \epsilon_{1} E_{1};$$

$$\overline{\psi}_{2}\left(\gamma_{12}^{e}, \overline{\zeta}_{2}\right) = \frac{1}{2} \gamma_{12}^{e} k_{c} G \gamma_{12}^{e} + \frac{1}{2} \overline{\zeta}_{2} H_{is,2} \overline{\zeta}_{2};$$

$$\overline{\psi}_{3}\left(\gamma_{13}^{e}, \overline{\zeta}_{3}\right) = \frac{1}{2} \gamma_{13}^{e} k_{c} G \gamma_{13}^{e} + \frac{1}{2} \overline{\zeta}_{3} H_{is,3} \overline{\zeta}_{3}$$

$$(24)$$

The total dissipation is defined through the standard procedure (e.g., Ibrahimbegovic (2009)), applying the second principle of thermodynamics, and the Legendre transformation

in order to the internal energy to exchange the role of  $Q_1$  and  $E_1$ :

$$\overline{\mathscr{D}}_{1} = -\dot{\overline{\psi}}_{1} - \frac{1}{A} \frac{\partial (Q_{1}^{e} E_{1})}{\partial t} + \frac{N_{1}}{A} \dot{\overline{\varepsilon}}_{1} + \frac{Q_{1}}{A} \dot{E}_{1} = \frac{N_{1}}{A} (\dot{\varepsilon}_{1}^{\text{vp}} + \dot{\varepsilon}_{1}^{\text{r}}) + \overline{q}_{1} \dot{\overline{\zeta}}_{1} + E_{1} \frac{\dot{P}_{1}^{\text{r}}}{A};$$

$$\overline{\mathscr{D}}_{2} = -\dot{\overline{\psi}}_{2} + \frac{N_{2}}{A} \dot{\overline{\gamma}}_{12} = \frac{N_{2}}{A} \dot{\overline{\gamma}}_{12}^{\text{vp}} + \overline{q}_{2} \dot{\overline{\zeta}}_{2};$$

$$\overline{\mathscr{D}}_{3} = -\dot{\overline{\psi}}_{3} + \frac{N_{3}}{A} \dot{\overline{\gamma}}_{13} = \frac{N_{3}}{A} \dot{\overline{\gamma}}_{13}^{\text{vp}} + \overline{q}_{3} \dot{\overline{\zeta}}_{3}$$
(25)

In order to get the final result defined by the previous expressions, the following constitutive relations have been enforced:

$$N_{1} = A \frac{\partial \overline{\psi}_{1}}{\partial \varepsilon_{1}^{e}}; \quad N_{2} = A \frac{\partial \overline{\psi}_{2}}{\partial \gamma_{12}^{e}}; \quad N_{3} = A \frac{\partial \overline{\psi}_{3}}{\partial \gamma_{13}^{e}}; \quad Q_{1}^{e} = -A \frac{\partial \overline{\psi}_{1}}{\partial E_{1}};$$

$$\overline{q}_{1} = -\frac{\partial \overline{\psi}_{1}}{\partial \overline{\zeta}_{1}}; \quad \overline{q}_{2} = -\frac{\partial \overline{\psi}_{2}}{\partial \overline{\zeta}_{2}}; \quad \overline{q}_{3} = -\frac{\partial \overline{\psi}_{3}}{\partial \overline{\zeta}_{3}}$$

$$(26)$$

where each  $\bar{q}_i$  is the stress-resultant hardening variable in direction i. The viscoplastic part of the dissipation is extracted from (25) by removing the remanent part of the strain and the polarization terms because they are governed by different evolution equations as described in the previous section. The yield functions governing the change of viscoplastic dissipation are chosen following Ibrahimbegovic (2009) and Ibrahimbegovic (1997) as:

$$\overline{\phi}_i = |N_i - \chi_i A| - (N_{i,y} - \overline{q}_i A) \tag{27}$$

where  $N_{i,y}$  is the yield stress-resultant in direction i, and  $\chi_i$  is the backstress in direction i. The corresponding evolution equation and stress-resultant equivalent to those defined for the Armstrong-Frederick model in terms of implicit differential equations:

$$\dot{\chi}_{1} = H_{l,1} \, \dot{\varepsilon}_{1}^{\text{vp}} - H_{n,1} \, \dot{\overline{\zeta}}_{1} \, \chi_{1} ;$$

$$\dot{\chi}_{2} = H_{l,2} \, \dot{\gamma}_{12}^{\text{vp}} - H_{n,2} \, \dot{\overline{\zeta}}_{2} \, \chi_{2} ;$$

$$\dot{\chi}_{3} = H_{l,3} \, \dot{\gamma}_{13}^{\text{vp}} - H_{n,3} \, \dot{\overline{\zeta}}_{3} \, \chi_{3}$$
(28)

The principle of maximum viscoplastic dissipation can be applied to obtain the final evolution equations of the viscoplastic variables by introducing the corresponding regularized Lagrangian (e.g., Ibrahimbegovic (2009)). The main difference with respect to plasticity is that the values of  $\overline{\phi}_i > 0$  are admissible but with penalizing positive values of the yield function proportional to the inverse of the viscosity coefficient  $\eta_i$  as follows:

$$\overline{\mathscr{L}}_{1} = -\overline{\mathscr{D}}_{1}^{\text{vp}} + \frac{\langle \overline{\phi}_{1} \rangle}{\eta_{1}};$$

$$\overline{\mathscr{L}}_{2} = -\overline{\mathscr{D}}_{2}^{\text{vp}} + \frac{\langle \overline{\phi}_{2} \rangle}{\eta_{2}};$$

$$\overline{\mathscr{L}}_{3} = -\overline{\mathscr{D}}_{3}^{\text{vp}} + \frac{\langle \overline{\phi}_{3} \rangle}{\eta_{3}}$$
(29)

where  $\langle \cdot \rangle$  is the Macaulay bracket, an operator defined as  $\langle \phi \rangle = \phi$  if  $\phi > 0$  and  $\langle \phi \rangle = 0$  otherwise. The evolution of the viscoplastic variables can then be calculated:

$$\frac{\partial \overline{\mathcal{L}}_{1}}{\partial N_{1}} = -\dot{\varepsilon}_{1}^{\text{vp}} + \frac{\langle \overline{\phi}_{1} \rangle}{\eta_{1}} \operatorname{sign} (N_{1} - \chi_{1}A) = 0 \quad \Rightarrow \quad \dot{\varepsilon}_{1}^{\text{vp}} = \dot{\overline{\gamma}}_{1} \operatorname{sign} (N_{1} - \chi_{1}A) ;$$

$$\frac{\partial \overline{\mathcal{L}}_{1}}{\partial \overline{q}_{1}} = -A \dot{\overline{\zeta}}_{1} + A \frac{\langle \overline{\phi}_{1} \rangle}{\eta_{1}} = 0 \quad \Rightarrow \quad \dot{\overline{\zeta}}_{1} = \dot{\overline{\gamma}}_{1} ;$$

$$\frac{\partial \overline{\mathcal{L}}_{2}}{\partial N_{2}} = -\dot{\gamma}_{12}^{\text{vp}} + \frac{\langle \overline{\phi}_{2} \rangle}{\eta_{2}} \operatorname{sign} (N_{2} - \chi_{2}A) = 0 \quad \Rightarrow \quad \dot{\gamma}_{12}^{\text{vp}} = \dot{\overline{\gamma}}_{2} \operatorname{sign} (N_{2} - \chi_{2}A) ;$$

$$\frac{\partial \overline{\mathcal{L}}_{2}}{\partial \overline{q}_{2}} = -A \dot{\overline{\zeta}}_{2} + A \frac{\langle \overline{\phi}_{2} \rangle}{\eta_{2}} = 0 \quad \Rightarrow \quad \dot{\overline{\zeta}}_{2} = \dot{\overline{\gamma}}_{2} ;$$

$$\frac{\partial \overline{\mathcal{L}}_{3}}{\partial N_{3}} = -\dot{\gamma}_{13}^{\text{vp}} + \frac{\langle \overline{\phi}_{3} \rangle}{\eta_{3}} \operatorname{sign} (N_{3} - \chi_{3}A) = 0 \quad \Rightarrow \quad \dot{\overline{\zeta}}_{3} = \dot{\overline{\gamma}}_{3} \operatorname{sign} (N_{3} - \chi_{3}A) ;$$

$$\frac{\partial \overline{\mathcal{L}}_{3}}{\partial \overline{q}_{3}} = -A \dot{\overline{\zeta}}_{3} + A \frac{\langle \overline{\phi}_{3} \rangle}{\eta_{3}} = 0 \quad \Rightarrow \quad \dot{\overline{\zeta}}_{3} = \dot{\overline{\gamma}}_{3}$$

$$\frac{\partial}{\partial \overline{q}_{3}} = -A \dot{\overline{\zeta}}_{3} + A \frac{\langle \overline{\phi}_{3} \rangle}{\eta_{3}} = 0 \quad \Rightarrow \quad \dot{\overline{\zeta}}_{3} = \dot{\overline{\gamma}}_{3}$$

where we denoted the viscoplastic multiplier  $\dot{\overline{\gamma}}_i = \langle \overline{\phi}_i \rangle / \eta_i$ .

## 3.4 Embedded discontinuity for localized failure

A model of embedded discontinuity is triggered once the element reaches the ultimate stress followed by softening response. A jump in displacement is created in the middle of the beam, which constitutes a weak link that undergoes localized failure. Therefore, localized plastic deformation is limited to the discontinuity, whereas other parts of the beam lattice material are unloading. The description of this model starts with the inclusion of a jump at  $x_1 = \overline{x}_1$  in the displacements:

$$u_{i}\left(x_{1},t\right)=\overline{u}_{i}\left(x_{1},t\right)+\overline{\overline{u}}_{i}\left(t\right)H\left(x_{1}-\overline{\overline{x}}_{1}\right)$$
(31)

where the bar and the double bar indicate, respectively, the regular part and the jump in displacement in direction i and voltage, with H(x) we denote is the Heaviside step function, taking value one for positive argument or zero otherwise. The discontinuity is placed at  $x_1 = \overline{\overline{x}}_1$ . Having this result in mind, the total axial and shear strains are calculated as:

$$\varepsilon_{1} = \overline{\varepsilon}_{1} + \overline{\overline{\varepsilon}}_{1} = \overline{\varepsilon}_{1} + \overline{\overline{u}}_{1} \, \delta \left( x_{1} - \overline{\overline{x}}_{1} \right) ; 
\gamma_{12} = \overline{\gamma}_{12} + \overline{\overline{\gamma}}_{12} = \overline{\gamma}_{12} + \overline{\overline{u}}_{2} \, \delta \left( x_{1} - \overline{\overline{x}}_{1} \right) ; 
\gamma_{13} = \overline{\gamma}_{13} + \overline{\overline{\gamma}}_{13} = \overline{\gamma}_{13} + \overline{\overline{u}}_{3} \, \delta \left( x_{1} - \overline{\overline{x}}_{1} \right)$$
(32)

with  $\delta(x)$  as the Dirac delta function. When softening plasticity is activated, the forces need to be regular for its derivative to comply with the equilibrium equations.

$$\dot{N}_{1} = \check{E}A \left[ \dot{\bar{\varepsilon}}_{1} + \overline{\bar{u}}_{1} \, \delta \left( x_{1} - \overline{\bar{x}}_{1} \right) - \dot{\overline{\gamma}}_{1} \, \operatorname{sign} \left( N_{1} - \chi_{1} A \right) - \dot{\bar{\varepsilon}}_{1}^{r} \right] - e_{11} A \dot{\bar{E}}_{1} ; 
\dot{N}_{2} = k_{c} G A \left[ \dot{\overline{\gamma}}_{12} + \dot{\overline{\gamma}}_{12} - \dot{\overline{\gamma}}_{2} \, \operatorname{sign} \left( N_{2} - \chi_{2} A \right) \right] ; 
\dot{N}_{3} = k_{c} G A \left[ \dot{\overline{\gamma}}_{13} + \dot{\overline{\gamma}}_{13} - \dot{\overline{\gamma}}_{3} \, \operatorname{sign} \left( N_{3} - \chi_{3} A \right) \right]$$
(33)

With this hypothesis in mind, all the jump terms have to be cancelled. Thus, the rate of crack openings  $\alpha_i$  and the new hardening variable  $\bar{\zeta}_i$  are functions of the plastic multipliers:

$$\dot{\alpha}_{i} = \frac{\dot{\overline{u}}_{i}}{\overline{\zeta}_{i}} = \frac{\dot{\overline{\gamma}}_{i}}{\overline{\gamma}_{i}} \operatorname{sign}(N_{i} - \chi_{i}A) ;$$

$$\dot{\overline{\zeta}}_{i} = \dot{\overline{\gamma}}_{i}$$
(34)

The softening criteria  $\frac{=}{\phi}$  in every direction is defined at the discontinuity:

$$\overline{\overline{\phi}}_i = \left| \hat{N}_i \right| - \left( N_{i,f} - \overline{\overline{q}}_i A \right) \tag{35}$$

where  $\hat{N}_i$  represents the force in direction i at the discontinuity  $x_1 = \overline{x}_1$ ,  $N_{i,f}$  is the ultimate force in direction i, and  $\overline{q}_i$  is the stress-like variable for exponential softening:

$$\overline{\overline{q}}_i = \frac{N_{i,f}}{A} \left[ 1 - \exp\left( -\overline{\overline{\zeta}}_i \, \frac{N_{i,f}}{A \, G_{i,f}} \right) \right] \tag{36}$$

with  $G_{i,f}$  as the corresponding fracture energy. This model of embedded discontinuity includes a crack switch-state  $\bar{s}_i$  for each direction i to describe if the element has not cracked yet  $\bar{s}_i = 0$ , or it has already reached the failure stress  $\bar{s}_i = 1$ .

The crack in axial direction influences electric variables by changing the electric properties of the material into those of the vacuum, i.e.,  $\epsilon = \epsilon_0 I$  and  $e^e = 0$ . This transition is modeled following (36) as follows:

$$\boldsymbol{\epsilon} = \epsilon_0 \, \boldsymbol{I} + \left[ 1 - \exp\left( -\overline{\overline{\zeta}}_1 \, \frac{N_{1,f}}{A \, G_{1,f}} \right) \right] (\boldsymbol{\epsilon} - \epsilon_0 \, \boldsymbol{I}) ;$$

$$\boldsymbol{e}^{e} = \left[ 1 - \exp\left( -\overline{\overline{\zeta}}_1 \, \frac{N_{1,f}}{A \, G_{1,f}} \right) \right] \boldsymbol{e}^{e} ;$$
(37)

The decay of piezoelectric properties is reflected in the vanishing of the remanent magnitudes in the same manner:

$$\varepsilon_{1}^{\mathbf{r}} = \left[1 - \exp\left(-\overline{\overline{\zeta}}_{1} \frac{N_{1,f}}{A G_{1,f}}\right)\right] \varepsilon_{1}^{\mathbf{a}};$$

$$P_{1}^{\mathbf{r}} = \left[1 - \exp\left(-\overline{\overline{\zeta}}_{1} \frac{N_{1,f}}{A G_{1,f}}\right)\right] P_{1}^{\mathbf{s}};$$
(38)

where the superscript a denotes the actual state of the bar, either np if  $s_n = 0$  or p if  $s_n = |1|$ .

## 4. Numerical implementation

In this section, we present the details of the discrete approximation constructed by the finite element method Zienkiewicz and Taylor (2005). First of all, the displacements, rotations, and voltage are interpolated from the nodal degrees of freedom. These are constructed

by using the beam element standard linear interpolating functions and the value of the fields at the nodes:

$$u_{i}(x_{1},t) \approx \sum_{a=1}^{2} \mathcal{N}_{a}(x_{1}) \mathbf{a}_{a}^{u_{i}}(t) ;$$

$$\varphi_{i}(x_{1},t) \approx \sum_{a=1}^{2} \mathcal{N}_{a}(x_{1}) \mathbf{a}_{a}^{\varphi_{i}}(t) ;$$

$$V(x_{1},t) \approx \sum_{a=1}^{2} \mathcal{N}_{a}(x_{1}) \mathbf{a}_{a}^{V}(t)$$

$$(39)$$

where  $\mathcal{N}_a$  denotes the shape function for node a and  $\mathbf{a}_a^{u_i}$ ,  $\mathbf{a}_a^{\varphi_i}$  or  $\mathbf{a}_a^V$  the nodal values at node a of the displacements, rotations and voltage. These shape functions are the standard linear polynomials for one dimensional 2-node beam elements:

$$\mathcal{N}_1(x_1) = 1 - \frac{x_1}{L} \; ; \quad \mathcal{N}_2(x_1) = \frac{x_1}{L}$$
 (40)

where L is the length of the beam and  $x_1$  is local coordinate measured from node 1 in the axial direction of the beam as depicted for the local frame in Figure 2. Thus, coordinate  $x_1$  for node 1 equals  $x_{1,1} = 0$  and for node 2 equals  $x_{1,2} = L$ . The derivatives of these shape functions are constant values:

$$\mathcal{B}_1 = \frac{-1}{L} \; ; \quad \mathcal{B}_2 = \frac{1}{L} \tag{41}$$

The interpolation of the crack is simulated with a model of embedded discontinuity with the incompatible mode method et~al., Ibrahimbegovic and Melnyk (2007). Thus, the kinematic description of the fully opened crack does not propagate to neighboring elements. Also, the calculation of the corresponding crack opening can be performed locally in each particular element through operator split procedure Ibrahimbegovic et~al. (1998). For a beam element with crack, the shape function  $\mathcal{M}$  and its derivative  $\mathcal{G}$  are defined as:

$$\mathcal{M} := -\mathcal{N}_2 + H\left(x_1 - \overline{\overline{x}}_1\right) ;$$

$$\mathcal{G} := \overline{\mathcal{G}} + \delta\left(x_1 - \overline{\overline{x}}_1\right) = -\mathcal{B}_2 + \delta\left(x_1 - \overline{\overline{x}}_1\right)$$
(42)

Notice that  $\overline{\mathcal{G}}$  denotes the regular part of the derivative, which has to ensure the orthogonality with respect to the constant stress mode as described in Ibrahimbegovic and Wilson (1991). Choosing the middle of the beam as the point where it breaks already guarantees that the shape function is orthogonal to constant stress, which enforces the patch test Zienkiewicz and Taylor (2005). The strain vector and the electric field can be calculated by using (9) and by adding the corresponding incompatible mode:

$$\varepsilon_{1} = \sum_{a=1}^{2} \mathcal{B}_{a} \mathbf{a}_{a}^{u_{1}} + \mathcal{G} \alpha_{1} ; \quad \gamma_{12} = \sum_{a=1}^{2} \mathcal{B}_{a} \mathbf{a}_{a}^{u_{2}} - \mathcal{N}_{a} \mathbf{a}_{a}^{\varphi_{3}} + \mathcal{G} \alpha_{2} ; 
\gamma_{13} = \sum_{a=1}^{2} \mathcal{B}_{a} \mathbf{a}_{a}^{u_{3}} + \mathcal{N}_{a} \mathbf{a}_{a}^{\varphi_{2}} + \mathcal{G} \alpha_{3} ; \quad \kappa_{i} = \sum_{a=1}^{2} \mathcal{B}_{a} \mathbf{a}_{a}^{\varphi_{i}} ; \quad E_{1} = -\sum_{a=1}^{2} \mathcal{B}_{a} \mathbf{a}_{a}^{V}$$
(43)

For a particular time step  $t_{n+1}$ , denoted with subscript n+1, the computation is divided into two phases: local and global. Newton-Raphson iterative method, capable of solving the non-linearities present in the formulation, is implemented to make both phases converge. First, in the local stage, all the plastic, softening, and ferroelectric internal variables are made to converge. In the global stage, these converged values are used to verify the equilibrium equations, and to obtain new values of displacements, rotations, and voltage, with the assembly of the stiffness matrix and the force vector. If the equilibrium equation is not verified, the local variables are recalculated with the new values of the degrees of freedom followed by the global phase. We further provide the computational details for each particular phase.

## 4.1 Local computation

At iterative sweep (j + 1), the values of the previous iteration for nodal displacements, rotations, and voltage are known:  $\mathbf{a}_{a,n+1}^{u_i,(j)}$ ,  $\mathbf{a}_{a,n+1}^{\varphi_i,(j)}$ ,  $\mathbf{a}_{a,n+1}^{V_i,(j)}$ . First, the electric field is calculated in order to obtain the value of the polarization multiplier. The previous stored value of axial force  $N_{1,n}$  is used as well if the switching model 2 is activated.

A trial step, denoted by superscript tr, is assumed as elastic, where all plastic and softening variables remain unchanged from the converged values in the previous time step:

$$\varepsilon_{1,n+1}^{\text{vp,tr}} = \varepsilon_{1,n}^{\text{vp}}; \quad \gamma_{12,n+1}^{\text{vp,tr}} = \gamma_{12,n}^{\text{vp}}; \quad \gamma_{13,n+1}^{\text{vp,tr}} = \gamma_{13,n}^{\text{vp}}; \quad \overline{\zeta}_{i,n+1}^{\text{tr}} = \overline{\zeta}_{i,n} 
\overline{q}_{i,n+1}^{\text{tr}} = \overline{q}_{i,n}; \quad \alpha_{i,n+1}^{\text{tr}} = \alpha_{i,n}; \quad \overline{\overline{\zeta}}_{i,n+1}^{\text{tr}} = \overline{\overline{\zeta}}_{i,n}; \quad \overline{\overline{q}}_{i,n+1}^{\text{tr}} = \overline{\overline{q}}_{i,n}$$
(44)

The computation is followed by the calculation of the axial strain, modified by polarization material properties in (15), and the constitutive terms in (16). The trial forces,

moments, and the electric charge are computed with so chosen previous values as follows:

$$\begin{split} N_{1}^{\text{tr}} &= \widecheck{E}A\left(\sum_{a=1}^{2}\mathcal{B}_{a}\mathsf{a}_{a,n+1}^{u_{1}} - \varepsilon_{1,n}^{\text{vp}} - \varepsilon_{1,n+1}^{\text{r}} + \overline{\mathcal{G}}\alpha_{1,n}\right) + e_{11}A\sum_{a=1}^{2}\mathcal{B}_{a,n+1}\mathsf{a}_{a}^{V}\;;\\ N_{2}^{\text{tr}} &= k_{c}GA\left(\sum_{a=1}^{2}\mathcal{B}_{a}\mathsf{a}_{a,n+1}^{u_{2}} - \mathcal{N}_{a}\mathsf{a}_{a}^{\varphi_{3}} - \gamma_{12,n}^{\text{vp}} + \overline{\mathcal{G}}\alpha_{2,n}\right)\;;\\ N_{3}^{\text{tr}} &= k_{c}GA\left(\sum_{a=1}^{2}\mathcal{B}_{a}\mathsf{a}_{a,n+1}^{u_{3}} + \mathcal{N}_{a}\mathsf{a}_{a}^{\varphi_{2}} - \gamma_{13,n}^{\text{vp}} + \overline{\mathcal{G}}\alpha_{3,n}\right)\;;\\ M_{1}^{\text{tr}} &= GJ\sum_{a=1}^{2}\mathcal{B}_{a}\mathsf{a}_{a,n+1}^{\varphi_{1}}\;;\\ M_{2}^{\text{tr}} &= \widecheck{E}I\sum_{a=1}^{2}\mathcal{B}_{a}\mathsf{a}_{a,n+1}^{\varphi_{2}}\;;\\ M_{3}^{\text{tr}} &= \widecheck{E}I\sum_{a=1}^{2}\mathcal{B}_{a}\mathsf{a}_{a,n+1}^{\varphi_{3}}\;;\\ Q_{1}^{\text{tr}} &= e_{11}A\left(\sum_{a=1}^{2}\mathcal{B}_{a}\mathsf{a}_{a,n+1}^{u_{1}} - \varepsilon_{1,n}^{\text{vp}} - \varepsilon_{1,n+1}^{\text{r}} + \overline{\mathcal{G}}\alpha_{1,n}\right) - \epsilon_{1}A\sum_{a=1}^{2}\mathcal{B}_{a}\mathsf{a}_{a,n+1}^{V} \end{split}$$

The path to follow next is defined with the flowchart which is depicted in Figure 9. If the element has not broken yet, a verification is made if the yield limit  $N_{i,y}$  is lower or not than the fracture limit  $N_{i,f}$ . Plasticity can only occur if this condition is verified; otherwise, the material is considered brittle enough so that elastic regime is followed directly by softening.

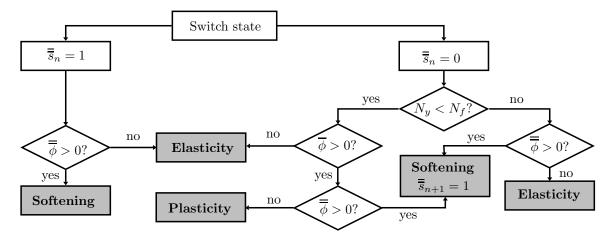


Fig. 9 Diagram of decision for the current local regime depending on the previous crack switch-state.

For such brittle case, the softening criteria is tested with trial values:

$$\overline{\overline{\phi}}_{i,n+1}^{\text{tr}} = \left| \hat{N}_{i,n+1}^{\text{tr}} \right| - \left( N_{i,f} - \overline{\overline{q}}_{i,n+1}^{\text{tr}} A \right)$$

$$\tag{46}$$

If  $\phi_{i,n+1}^{\text{etr}} \leq 0$  the trial step is accepted, where all local variables are guessed correctly and accepted as final. Otherwise, the crack switch-state is turned on for future time steps and softening regime activates.

For the case where the material can plastify, the yield criteria is tested with trial values:

$$\overline{\phi}_{i,n+1}^{\text{tr}} = \left| N_{i,n+1}^{\text{tr}} - \chi_{i,n+1}^{\text{tr}} A \right| - \left( N_{i,y} - \overline{q}_{i,n+1}^{\text{tr}} A \right)$$

$$\tag{47}$$

If  $\overline{\phi}_{i,n+1}^{\text{tr}} \leq 0$ , the hypothesis of elastic regime is correct and all local variables remain the same as in the previous time step. Otherwise, there is still uncertainty on wether plasticity or softening have to be active. To resolve this indecision, the softening criteria is tested with plastic variables:

$$\overline{\overline{\phi}}_{i,n+1}^{\text{pl}} = \left| \hat{N}_{i,n+1}^{\text{pl}} \right| - \left( N_{i,f} - \overline{\overline{q}}_{i,n+1}^{\text{tr}} A \right)$$
(48)

where the plastic force at the discontinuity is defined as in (45) but with the updated value of viscoplastic strain. If  $\overline{\phi}_{i,n+1}^{=\mathrm{pl}} \leq 0$ , the viscoplastic step is accepted; otherwise, the viscoplastic variables are reset to the values of the previous step and the softening variables are calculated.

If the previous crack switch-state indicates that the beam has already been broken, the only regime to check is softening, as in the case of brittle failure. Therefore, the same procedure is followed.

## 4.1.1 Viscoplastic regime

In the case that  $\overline{\phi}_{i,n+1}^{\text{tr}} > 0$  and  $\overline{\phi}_{i,n+1}^{\text{pl}} \leq 0$ , the evolution of the viscoplastic variables defined in (30) is computed by an implicit time integration scheme. The following development is an extension to the one presented in Ibrahimbegovic *et al.* (1998) Ibrahimbegovic (1997). This computation is analogous for any local directions, taking into account the equivalent role of the internal variables for all directions. For direction 1 we have:

$$\varepsilon_{1,n+1}^{\text{vp}} = \varepsilon_{1,n}^{\text{vp}} + \overline{\gamma}_{1,n+1} \operatorname{sign} (N_{1,n+1} - \chi_{1,n+1}A) ;$$

$$\overline{\zeta}_{1,n+1} = \overline{\zeta}_{1,n} + \overline{\gamma}_{1,n+1} ;$$

$$\overline{q}_{1,n+1} = -H_{is} \overline{\zeta}_{1,n+1} ;$$

$$\chi_{1,n+1} = \chi_{1,n} + H_{l,1} \overline{\gamma}_{1,n+1} \operatorname{sign} (N_{1,n+1} - \chi_{1,n+1}A) - H_{n,1} \overline{\gamma}_{1,n+1} \chi_{1,n+1} ;$$

$$N_{1,n+1} = N_{1,n+1}^{\text{tr}} - \widecheck{E}A \overline{\gamma}_{1,n+1} \operatorname{sign} (N_{1,n+1} - \chi_{1,n+1}A)$$
(49)

Substracting  $\chi_{1,n+1}$  multiplied by the area to  $N_{1,n+1}$ , introducing the null term

$$\overline{\gamma}_1 H_{n,1} \left( -N_{1,n+1} + N_{1,n+1}^{\text{tr}} - \widecheck{E}A \ \overline{\gamma}_{1,n+1} \ \text{sign} \left( N_{1,n+1} - \chi_{1,n+1} A \right) \right)$$
 (50)

and further simplifying:

$$(N_{1,n+1} - \chi_{1,n+1} A) = \left[ \left( 1 + \overline{\gamma}_{1,n+1} H_{n,1} \right) N_{1,n+1}^{\text{tr}} - \chi_{1,n} A \right] - \overline{\gamma}_{1,n+1} \left[ \left( \widecheck{E}A + H_{l,1}A \right) \operatorname{sign} \left( N_{1,n+1} - \chi_{1,n+1}A \right) + H_{n,1} \left( N_{1,n+1} - \chi_{1,n+1} A \right) + H_{n,1} \widecheck{E}A\overline{\gamma}_{1,n+1} \operatorname{sign} \left( N_{1,n+1} - \chi_{1,n+1}A \right) \right]$$

$$(51)$$

By using the identity  $a = |a| \operatorname{sign}(a)$ , we can recast to the previous equation in equivalent format:

$$\left\{ |N_{1,n+1} - \chi_{1,n+1} A| + \overline{\gamma}_{1,n+1} \left[ \left( \widecheck{E}A + H_{l,1} A \right) + H_{n,1} |N_{1,n+1} - \chi_{1,n+1} A| + H_{n,1} \widecheck{E}A \overline{\gamma}_{1,n+1} \right] \right\} \operatorname{sign} \left( N_{1,n+1} - \chi_{1,n+1} A \right) = \left[ \left( 1 + \overline{\gamma}_{1,n+1} H_{n,1} \right) N_{1,n+1}^{\operatorname{tr}} - \chi_{1,n} A \right] \tag{52}$$

By taking into account that all terms inside the braces and absolute values are positive, the following relation with respect to the sign operator can be established:

$$sign (N_{1,n+1} - \chi_{1,n+1}A) = sign \left[ \left( 1 + \overline{\gamma}_{1,n+1} H_{n,1} \right) N_{1,n+1}^{tr} - \chi_{1,n} A \right]$$
(53)

Furthermore, we conclude that the terms multiplying both signs in (52) must also be equal. Introducing the definition of viscoplastic multiplier, we can identify the yield criteria:

$$\overline{\phi}_{i,n+1} = |N_{1,n+1} - \chi_{1,n+1} A| - (N_{i,y} - \overline{q}_{1,n+1}) = \overline{\gamma}_{1,n+1} \frac{\eta_i}{\Delta t}$$
(54)

where  $\Delta t$  is the current time step. Thus, the norm  $|N_{1,n+1} - \chi_{1,n+1} A|$  in (52) can be replaced now, resulting in:

$$\left| \left( 1 + \overline{\gamma}_{1,n+1} H_{n,1} \right) N_{1,n+1}^{\text{tr}} - \chi_{1,n} A \right| - \left( N_{1,y} + H_{is} A \overline{\zeta}_{1,n} \right) - \overline{\gamma}_{1,n+1} \left[ \widecheck{E} A + H_{l,1} A + H_{l,1} A + H_{l,1} \left( N_{1,y} + H_{is} A \overline{\zeta}_{1,n} \right) + \overline{\gamma}_{1,n+1} H_{n,1} \left( \frac{\eta_1}{\Delta t} + \widecheck{E} A + H_{is} A \right) \right] = 0$$
(55)

The Newton-Raphson iterative method is used to solve for  $\overline{\gamma}_{1,n+1}$  the previous from this quadratic equation. Such an iterative method requires a good guess for the initial value so that the positive final value is found. A very good initial guess can be found in Hadzalic et al. (2019), where the following expression is obtained for explicit back-stress Armstrong-Frederick:

$$\overline{\gamma}_{1,n+1}^{(0)} = \frac{\overline{\phi}_{i,n+1}^{\text{tr}}}{\widecheck{E}A + H_{is}A + H_{l,1}A + \frac{\eta_i}{\Lambda t} - H_{n,1}A\chi_{1,n}}$$
(56)

# 4.1.2 Softening regime

The softening regime is activated, wither with  $\overline{\phi}_{i,n+1}^{\text{tr}} > 0$  for the brittle already broken case or with  $\overline{\phi}_{i,n+1}^{\text{pl}} > 0$  otherwise, where the crack switch-state in direction i is activated:

 $\overline{\overline{s}}_{i,n+1} = 1$ . The corresponding development is further described in detail only for direction 1, given that the same approach can be extrapolated to any other direction. First, the evolution of softening variables and forces is described as:

$$\alpha_{1,n+1} = \alpha_{1,n} + \overline{\overline{\gamma}}_{1,n+1} \operatorname{sign}\left(\hat{N}_{i,n+1}\right)$$

$$\overline{\overline{\zeta}}_{1,n+1} = \overline{\overline{\zeta}}_{1,n} + \overline{\overline{\gamma}}_{1,n+1}$$

$$\overline{\overline{q}}_{1,n+1} = \frac{N_{1,f}}{A} \left[ 1 - \exp\left(-\overline{\overline{\zeta}}_{1,n+1} \frac{N_{1,f}}{A G_{1,f}}\right) \right]$$

$$\hat{N}_{1,n+1} = \hat{N}_{1,n+1}^{\operatorname{tr}} + \widecheck{E}A \,\overline{\mathcal{G}}\left(\alpha_{1,n+1} - \alpha_{1,n}\right) = \hat{N}_{1,n+1}^{\operatorname{tr}} - \widecheck{E}A \,\mathcal{B}_{2} \,\overline{\overline{\gamma}}_{1,n+1} \operatorname{sign}\left(\hat{N}_{1,n+1}\right)$$
(57)

Manipulating the last equation to introduce the norm of the force at the discontinuity:

$$\left(\left|\hat{N}_{i,n+1}\right| + \breve{E}A \ \mathcal{B}_2 \ \overline{\overline{\gamma}}_{1,n+1}\right) \operatorname{sign}\left(\hat{N}_{i,n+1}\right) = \left|\hat{N}_{i,n+1}^{\mathrm{tr}}\right| \operatorname{sign}\left(\hat{N}_{i,n+1}^{\mathrm{tr}}\right) \tag{58}$$

Considering that all terms multiplying the sign operators are always positive, the following relations hold:

$$\operatorname{sign}\left(\hat{N}_{i,n+1}\right) = \operatorname{sign}\left(\hat{N}_{i,n+1}^{\operatorname{tr}}\right) \\ \left|\hat{N}_{i,n+1}\right| + \check{E}A \ \mathcal{B}_{2} \ \overline{\overline{\gamma}}_{1,n+1} = \left|\hat{N}_{i,n+1}^{\operatorname{tr}}\right|$$

$$(59)$$

Therefore, trial values can be used to eliminate recursiveness. For plastic softening, the final values for  $\overline{\phi}_{i,n+1}$  have to be enforced equal to zero. Thus, we can write:

$$\overline{\overline{\phi}}_{i,n+1} = \overline{\overline{\phi}}_{i,n+1}^{\text{tr}} - \widecheck{E}A \,\mathcal{B}_2 \,\overline{\overline{\gamma}}_{1,n+1} + \left(\overline{\overline{q}}_{1,n+1} - \overline{\overline{q}}_{1,n}\right) A = 0 \tag{60}$$

Introducing explicitly the expression for  $\overline{\overline{q}}_{1,n+1}$  and  $\overline{\overline{q}}_{1,n}$  and further simplifying we can write:

$$\overline{\overline{\phi}}_{i,n+1}^{\text{tr}} - \widecheck{E}A \ \mathcal{B}_2 \ \overline{\overline{\gamma}}_{1,n+1} + N_{1,f} \left[ \exp\left(-\overline{\overline{\zeta}}_{1,n} \ \frac{N_{1,f}}{A \ G_{1,f}}\right) - \exp\left(-\overline{\overline{\zeta}}_{1,n} - \overline{\overline{\gamma}}_{1,n+1} \ \frac{N_{1,f}}{A \ G_{1,f}}\right) \right] = 0$$

$$(61)$$

The last expression allows to obtain the value of  $\overline{\overline{\gamma}}_{1,n+1}$  through an iterative method applied similarly to the one in the previous section:

$$\overline{\overline{\phi}}_{i,n+1}^{(k+1)} = \overline{\overline{\phi}}_{i,n+1}^{(k)} + \frac{\partial \overline{\overline{\phi}}_{i,n+1}^{(k)}}{\partial \overline{\overline{\gamma}}_{1,n+1}^{(k)}} \Delta \overline{\overline{\gamma}}_{1,n+1}^{(k)} = 0$$

$$(62)$$

A good initial guess is  $\overline{\overline{\gamma}}_{1,n+1}^{(0)} = 0$ . The method stops when the desired convergence criterion is reached. New values for electric properties must be found using the new plastic multiplier.

## 4.2 Global step computation

The starting point for the global solution step implementation is provided by the weak form of the equilibrium equations (11), where the approximations (39) and (43) have been introduced:

$$\int_{0}^{L} \mathcal{B}_{a} \ \mathbf{w}_{a}^{u_{i}} N_{i,n+1} \ dx_{1} - \mathbf{w}_{a}^{u_{i}} \bar{N}_{i} \big|_{\Gamma_{N}} = 0 ;$$

$$\int_{0}^{L} \mathcal{B}_{a} \ \mathbf{w}_{a}^{\varphi_{i}} M_{i,n+1} \ dx_{1} - \mathbf{w}_{a}^{\varphi_{i}} \bar{M}_{i} \big|_{\Gamma_{M}} = 0 ;$$

$$\int_{0}^{L} \mathcal{B}_{a} \ \mathbf{w}_{a}^{V} Q_{1,n+1} \ dx_{1} - \mathbf{w}_{a}^{V} \bar{Q}_{1} \big|_{\Gamma_{Q}} = 0$$
(63)

with  $\mathbf{w}_a^{u_i}$ ,  $\mathbf{w}_a^{\varphi_i}$ , and  $\mathbf{w}_a^{V_i}$  as the nodal values of the corresponding virtual fields. The kinematic admissibility requires that these nodal values are set to zero if a Dirichlet boundary condition is applied to node a. The corresponding residual is obtained by removing the virtual degrees of freedom in (63) above resulting with:

$$\mathcal{R}_{a,n+1}^{u_i} = \int_0^L \mathcal{B}_a N_{i,n+1} \, dx_1 - \bar{N}_i \big|_{\Gamma_N} = 0 ;$$

$$\mathcal{R}_{a,n+1}^{\varphi_i} = \int_0^L \mathcal{B}_a M_{i,n+1} \, dx_1 - \bar{M}_i \big|_{\Gamma_M} = 0 ;$$

$$\mathcal{R}_{a,n+1}^V = \int_0^L \mathcal{B}_a Q_{1,n+1} \, dx_1 - \bar{Q}_1 \big|_{\Gamma_Q} = 0$$
(64)

where the forces, moments and electric charge are defined as:

$$\begin{split} N_{1} &= \widecheck{E}A \left( \sum_{a=1}^{2} \mathcal{B}_{a} \mathbf{a}_{a,n+1}^{u_{1}} - \varepsilon_{1,n+1}^{\text{vp}} - \varepsilon_{1,n+1}^{\text{r}} + \overline{\mathcal{G}} \alpha_{1,n+1} \right) + e_{11}A \sum_{a=1}^{2} \mathcal{B}_{a} \mathbf{a}_{a,n+1}^{V} ; \\ N_{2} &= k_{c}GA \left( \sum_{a=1}^{2} \mathcal{B}_{a} \mathbf{a}_{a,n+1}^{u_{2}} - \mathcal{N}_{a} \mathbf{a}_{a,n+1}^{\varphi_{3}} - \gamma_{12,n+1}^{\text{vp}} + \overline{\mathcal{G}} \alpha_{2,n+1} \right) ; \\ N_{3} &= k_{c}GA \left( \sum_{a=1}^{2} \mathcal{B}_{a} \mathbf{a}_{a,n+1}^{u_{3}} + \mathcal{N}_{a} \mathbf{a}_{a,n+1}^{\varphi_{2}} - \gamma_{13,n+1}^{\text{vp}} + \overline{\mathcal{G}} \alpha_{3,n+1} \right) ; \\ M_{1} &= GJ \sum_{a=1}^{2} \mathcal{B}_{a} \mathbf{a}_{a,n+1}^{\varphi_{1}} ; \\ M_{2} &= \widecheck{E}I \sum_{a=1}^{2} \mathcal{B}_{a} \mathbf{a}_{a,n+1}^{\varphi_{3}} ; \\ M_{3} &= \widecheck{E}I \sum_{a=1}^{2} \mathcal{B}_{a} \mathbf{a}_{a,n+1}^{\varphi_{3}} ; \\ Q_{1} &= e_{11}A \left( \sum_{a=1}^{2} \mathcal{B}_{a} \mathbf{a}_{a,n+1}^{u_{1}} - \varepsilon_{1,n+1}^{\text{vp}} - \varepsilon_{1,n+1}^{\text{r}} + \overline{\mathcal{G}} \alpha_{1,n+1} \right) - \epsilon_{1}A \sum_{a=1}^{2} \mathcal{B}_{a} \mathbf{a}_{a,n+1}^{V} \end{split}$$

In order to solve this set of global nonlinear equations, we use the Newton-Raphson iterative method:

$$\mathbf{R}_{a,n+1}^{(i+1)} = 0 \quad \Rightarrow \quad \mathbf{R}_{a,n+1}^{(i)} + \frac{\partial \mathbf{R}_{a,n+1}}{\partial \mathbf{a}_{b,n+1}} \Big|^{(i)} \Delta \mathbf{a}_{b,n+1}^{(i)} = 0 ;$$
 (66)

At each iterative sweep, we can perform the corresponding state variable updates according to:

$$\mathbf{a}_{b,n+1}^{(i+1)} = \mathbf{a}_{b,n+1}^{(i)} + \Delta \mathbf{a}_{b,n+1}^{(i)} \tag{67}$$

We note that within each time step, the starting guess in the first iteration is assumed to be the converged value at the previous step:

$$\mathbf{a}_{b,n+1}^{(0)} = \mathbf{a}_{b,n} \tag{68}$$

The derivative term in (66) is the stiffness matrix, which is constructed in the appropriate manner depending on the local regime that the particular bar is undergoing.

## 5. Numerical examples

In this section, we present the results of several illustrative numerical simulations. All computations were performed by a research version of computer code FEAP 8.4 (see Taylor (2012)).

# 5.1 Single-Crystal simulation

In this example, a single beam of length L=0.1 m is simulated to obtain the characteristic hysteresis loops. This beam is clamped on the left side and subjected to ground voltage. On the right side, a variable voltage is set in terms of a triangular force, with maximum and minimum values of 300 and -300 V. The material properties are gathered in Table 1

Table 1 Material properties for the material used in the single crystal polarization simulation

Property	Units	Value
First stiffness term $reve{E}$	GPa	100
Poisson's coefficient $\nu$	_	0.3
Permittivity $\epsilon_1$	C/V m	$1.5 \times 10^{-9}$
Spontaneous polarization $P_s$	$\mathrm{C/m^2}$	$2 \times 10^{-5}$
Piezoelectric term $e_{11}$	$\mathrm{C/m^2}$	$5 \times 10^{-7}$
Non-polarized spontaneous strain $\varepsilon_1^{\rm np}$	_	$-1 \times 10^{-5}$
Polarized spontaneous strain $\varepsilon_1^{\rm p}$	_	$4 \times 10^{-5}$
Cross-section Area $A$	$\mathrm{m}^2$	0.5

The results are displayed in Figure 10. On the left, the electric charge  $Q_1$  has the characteristic loop for single crystal. The slope does not change since the non-polarized and the polarized value of the electric permittivity are the same. Also, the value of  $Q_1$  at E=0 is the value of  $P_sA$ .

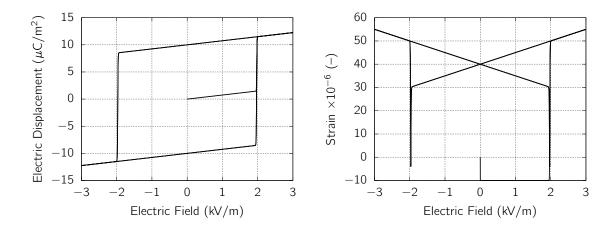


Fig. 10 Electric charge and strain obtained for the beam of the numerical example.

On the right, the computed strain history is plotted showing a typical butterfly loop. Notice that the strain takes negative values when the electric field is close to the coercive value; in other words, when the beam is close to the change of polarization.

We note that even though the proposed lattice model may seem quite simple, it is capable of capturing the behavior of a heterogeneous material. We can see further in the next example.

## 5.2 Macro-model subjected to cyclic electric field applied in vertical direction

The geometry of the numerical example in this section is a cube, with sides of 20 cm as can be seen in Figure 11. On the left, the Voronoi representation (Nikolić *et al.* (2018), Nikolic and Ibrahimbegovic (2015), Ibrahimbegovic *et al.* (2020)) of the cube is pictured, where the different colors show the boundary faces of the Voronoi cells. On the right, the corresponding mesh of lattice beams is depicted. The material properties are extracted from Hwang *et al.* (1995), and given in Table 2.

Table 2 Material properties for the material used in the macro-model.

Property	Units	Value
First stiffness term $\check{E}$	GPa	68
Poisson's coefficient $\nu$	_	0.3
Permittivity $\epsilon_1$	C/V m	$56.3 \times 10^{-9}$
Spontaneous polarization $P_s$	$\mathrm{C/m^2}$	0.25
Piezoelectric term $e_{11}$	$C/m^2$	$1.18 \times 10^{-9}$
Coercive Electric Field $E_c$	V/m	$0.36 \times 10^{6}$

The top and bottom faces have prescriptions of the voltage of V = 0 and  $V = V_t(t)$ , respectively. The voltage at the top is triangular, starting with a value of 0 increasing to  $V_{\text{max}} = 0.2 \text{ MV}$ , then decreasing  $-V_{\text{max}}$  and finally returning to  $V_{\text{max}}$ . In planes  $x_1 = 0$ ,

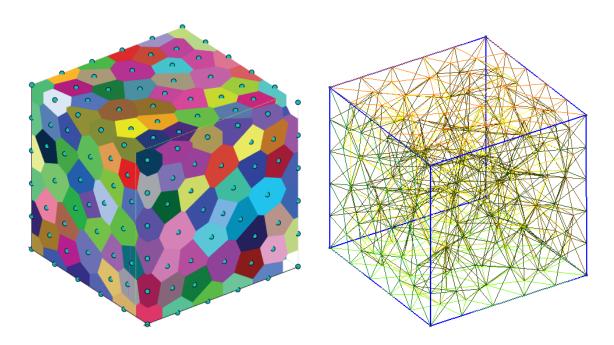


Fig. 11 Mesh used for the numerical examples. Left, Voronoi cell representation; right, lattice model, dual to Voronoi

 $x_2 = 0$ , and  $x_3 = 0$ , the corresponding perpendicular displacement is prescribed to simulate symmetry boundary conditions for one eighth of the specimen. The Neumann boundary condition is also set introducing a constant compressive force. Rotation degrees of freedom are left free. All switches are set initially to zero.

The electric and mechanic variables calculated with the beam model have to be expressed in the global frame and averaged with the volume in order to obtain the corresponding macro response of the material by using:

$$\overline{\xi} = \frac{\int_{\Omega^e} \xi d\Omega}{\int_{\Omega^e} d\Omega} \tag{69}$$

In Figure 12, such an averaged response computed in this numerical example is plotted, where the typical hysteresis loops for electric displacement and strain are given on left and right, respectively. The curves in black are obtained without the compressive force, whereas the blue ones have the imposed boundary condition representing the compressive force. The difference lies in the number of beams that get polarized. The compressive force makes it more difficult to get polarized as depicted in (19).

The averaged strain is affected as well by the introduction of the compressive force. The strain is almost an offset of the one without the force. The shape of the butterfly loop is not as perfect as in the single-crystal simulation. Namely, the minimum stress is not close to zero, and the spontaneous polarization is a little bit higher than the expected value, according to Hwang *et al.* (1995). We can see here one of the limitations of this method is that cannot

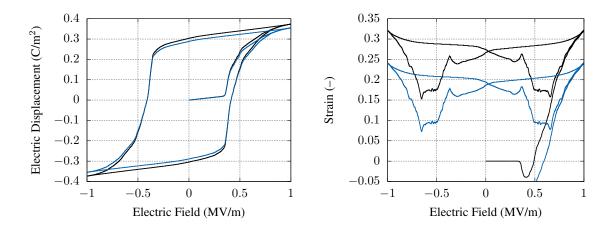


Fig. 12 Averaged vertical electric displacement and strain obtained for the numerical example

capture transversal effects with accuracy, but the axial variables are close to the ones of the continuum model.

#### 6. Conclusions

This paper presents a theoretical micro-model formulation that couples ferroelectricity, viscoplasticity, and localized failure within the same element in a consistent manner. Each of the elements simulates a single crystal of the whole macrostructure that can be polarized in the axial or transversal direction. This feature makes the element suitable for multiscale computations since it can replicate the behavior of the macro response of ferroelectric materials by introducing the properties of a single crystal.

The introduction of an embedded discontinuity model could be crucial in applications under significant or cyclic loadings of any kind, not only mechanical. Potential current and future applications of this type include microsensors that measure outside their usual range, overcharged actuators, solid-state batteries under charging that undergo large temperature gradients.

The numerical examples presented in this work show a good agreement between experiments and the response of the model. In some cases, the polarization switching model 1 could be enough to capture the axial response accurately, although model 2 is not much more expensive in terms of computation resources.

# Acknowledgments

This article was supported jointly by Hauts-de-France Region (CR Picardie) (120-2015 RDISTRUCT-000010 and RDISTRUCT-000010) and EU funding (FEDER) for Chair of Mechanics (120-2015-RDISTRUCTF-000010 and RDISTRUCTI-000004), IUF-Institut Universitaire de France and by Germaine de Staël Collaborative Program between France and Switzerland. All this support is gratefully acknowledged.

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