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Additional Information

1 Sensitivity analysis of permeable pavement hydrological  
2 modelling in the Storm Water Management Model

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10 **Abstract**

11 The Storm Water Management Model (SWMM), widely used by engineers  
12 to design or analyse stormwater networks, allows to model the so-called Low  
13 Impact Development (LID) controls, which reduce the flow conveyed to tradi-  
14 tional networks. But, values for LID control parameters are often unknown.  
15 Furthermore, it is not always easy to link the cross-section materials to those  
16 provided by the model, particularly in the soil layer. This article provides a  
17 global sensitivity analysis for the PP type of LID control, in order to support  
18 practitioners in calibration tasks. The analysis explores what factors are the  
19 most influential and which can be fixed while calibrating a model. In par-  
20 ticular, flow volume and peak are studied but the analysis also explores the  
21 influence of storm length and drain layer, which is optional. At the end, the  
22 most influential parameters, and those that can be neglected are presented,  
23 showing that we can focus on quite less parameters than initially given when  
24 calibrating a PP model in SWMM.

25 *Keywords:* permeable pavement,, SWMM,, low impact development,,

27 **1. Introduction**

28 Sustainability issues are gaining increasing attention from society (Biswas,  
29 2020), and authorities are encouraged to consider environmental dimensions  
30 of their practices, stormwater projects being no exception (Geyler et al.,  
31 2019). In that context, Sustainable Urban Drainage Systems (SUDS) or Low  
32 Impact Development controls (LID controls) are techniques that provide an  
33 improved rainwater management at source, in order to get the hydrological  
34 behaviour of urbanised land closer to predeveloped situation.

35 Permeable pavement (PP) is one type of such LID technique, charac-  
36 terised by generating a porous but, at the same time, accessible surface for  
37 pedestrians and vehicles. PPs consist of several porous layers laid over the  
38 natural soil, with a cover layer of pavement at the top allowing water to flow  
39 through. The layers are usually referred to, from the top-down: pavement,  
40 bedding, base, subbase and subgrade (natural soil) layers. The section may  
41 also include one or more geotextile layers and one or multiple drains. In any  
42 case, there is no unique layout or cross-section, as solutions adopted by prac-  
43 titioners are usually multiple, depending on the structural and hydrological  
44 requirements of a given application (Rodríguez-Rojas et al., 2020; Kuruppu  
45 et al., 2019; Woods Ballard et al., 2015; Mullaney and Lucke, 2014; Scholz  
46 and Grabowiecki, 2007), but also adapted to local materials and conditions.

47 For stormwater designing purposes or to forecast the response of a given  
48 network facing predicted weather events, it is common for practitioners to  
49 rely on mathematical models. There are several available models for the

50 analysis of the PPs, widely detailed in Kaykhosravi et al. (2018), but few  
51 allow for an integrated hydrological-hydraulic modelling of LIDs incorporated  
52 within catchments, being Storm Water Management Model (SWMM) one of  
53 them. Hence, SWMM is a powerful instrument to carry out different studies  
54 related to various types of LID (Andres-Domenech et al., 2018), including  
55 PP. Several studies use SWMM for analysing LIDs effects on urban flooding  
56 (Qin et al., 2013), hydrologic response of an urban catchment under different  
57 scenarios (Palla and Gnecco, 2015), or prioritising sites and types for LID  
58 practices (Liao et al., 2018; Song and Chung, 2017). Besides SWMM being  
59 recommended for preliminary and detailed design objectives, it is also one of  
60 the most popular models among scientists (Kaykhosravi et al., 2018).

61 Similarly to other types of LID present in SWMM, PPs are defined by  
62 overlapping several layers: surface, pavement, soil, storage and drain. Figure  
63 1.a illustrates the layer layout but, in order to run the model, it is necessary  
64 to fix the parameters defined in each of the layers (see Table 1). It is then,  
65 when allocating values to the parameters provided by SWMM, that doubts  
66 arise about which may be those that fit better to the real pavement charac-  
67 teristics. This is due to the lack of information on the physical properties  
68 of the materials used or, alternatively, because the layout (see Figures 1.b,  
69 .c and .d as an example) do not match predefined layers in the SWMM LID  
70 model.

71 With such difficulties, it is of great value knowing in advance which are  
72 the most influential parameters during model calibration. In essence, while  
73 setting up and using numerical simulation models, Sensitivity Analysis (SA)  
74 methods are invaluable tools (Iooss and Lemaître, 2015). In hydrological

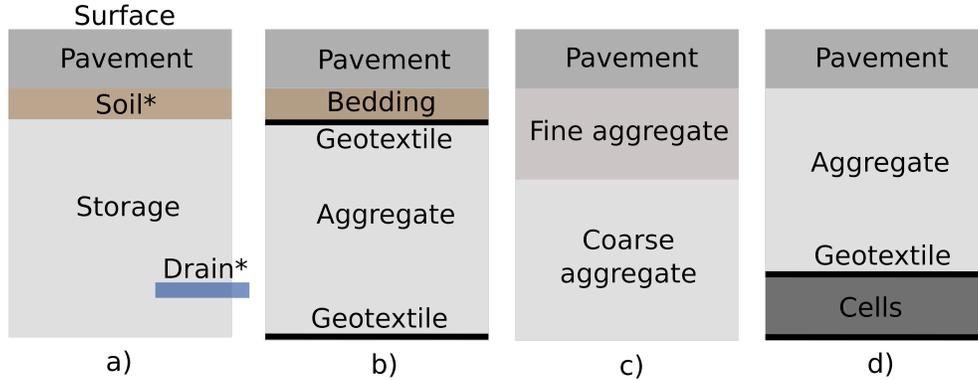


Figure 1: PP cross-sections (a) as defined in the SWMM model (b) with bedding layer and geotextiles, (c) various aggregate types, and (d) cells below aggregate with geotextiles.

75 modelling, the most frequent reason for conducting SA is to select the most  
 76 sensitive parameters to vary during model calibration (Gupta and Razavi,  
 77 2018). Global approaches are required to perform a valid SA when models  
 78 feature nonlinearities and interactions (Saltelli et al., 2019), although there  
 79 are three main obstacles to perform such analysis: the computation time,  
 80 the number of inputs, and the size of the input space (Pujol, 2009).

81 Various SA have recently been carried out on PPs based on HYDRUS  
 82 model (Costa et al., 2020; Brunetti et al., 2018; Turco et al., 2017; Brunetti  
 83 et al., 2016), but the analysed parameters or inputs differ from those used  
 84 in SWMM. Also, several SA have been carried out previously in SWMM, a  
 85 detailed list can be found in Niazi et al. (2017), but few have carried out such  
 86 an analysis focusing just on LID controls and its parameters (Panos et al.,  
 87 2020; Xu et al., 2019; Leimgruber et al., 2018; Peng and Stovin, 2017; Krebs  
 88 et al., 2016), and most of them did it as a previous step before calibrating  
 89 a certain model. Randall et al. (2020) are the only ones that explored the

90 PP, but they did not use a global SA, as they explored parameter variability  
91 for three cross-sections used in their study. In addition, they focused on the  
92 underdrain flow exclusively.

93 Besides, PPs have two particular characteristics that differentiate them  
94 from other types of LID controls (Rossman, 2015): the pavement layer is used  
95 exclusively in this type of LID control and, moreover, it is the only one where  
96 the soil layer is optional. Thus, the analysis of PP LID type would be of great  
97 value, since none of the previous studies provided a general vision for PPs in  
98 SWMM, not just valid for a particular case, but as a universal instrument  
99 for all real cases that may emerge when calibrating PPs in SWMM. If that  
100 data may be available, it could potentially be used directly by practitioners  
101 to improve the quality and efficiency of their SWMM modelling.

102 Therefore, the aim of this study is to investigate the influence of multi-  
103 ple factors on the hydrological response of PPs in both short- and long-term  
104 modelling scenarios by using the rainfall-runoff model SWMM. The prob-  
105 lem is addressed in the following way. First, minimum and maximum values  
106 were set for explored parameters. Then, considered cases are defined, in  
107 terms of analysis length, optional layers and analysed outputs. Finally, sen-  
108 sitivity indices and their confidence intervals are calculated for each case.  
109 Consequently, the objectives set for the study are: (a) to check if there are  
110 differences between parameter sensitivities for the several cases studied, (b)  
111 to identify negligible and most influential parameters, and (c) to compare  
112 those parameters with the ones identified on previous SA studies.

## 113 2. Methodology

114 This section describes the methodology used in the three fundamental  
115 steps followed: (1) characterise the variance based SA, (2) characterise the  
116 LID model defined in SWMM, and (3) define the terms in which SA is per-  
117 formed.

### 118 2.1. Variance based sensitivity analysis

119 The Sobol method is a variance based sensitivity method (Sobol', 1990),  
120 which decomposes the model output variance into relative contributions from  
121 individual parameters and parameter interactions, as shown in equation (1).  
122 As a result, the sensitivity of a given parameter is quantified by the ratio of  
123 its contribution to the output variance, which ranges from 0 to 1 (Shin et al.,  
124 2013). The first term of the equation indicates the addition of the variance  
125 for each factor  $i$ , named  $V_i(Y)$ , being these variances exclusive to that factor.  
126 The second term indicates the variance due to combinations of two factors  $i$   
127 and  $j$ , named  $V_{ij}(Y)$ , and so on.

$$V(Y) = \sum_{i=1}^k V_i(Y) + \sum_{i < j}^k V_{ij}(Y) + \dots V_{12\dots k}(Y) \quad (1)$$

128 Those  $V_i(Y)$  terms constitute the *main effect* or the variance of the aver-  
129 age output when the input factor  $X_i$  is fixed. The second one, constitutes the  
130 *second order effect* or the variance of the average output when the input fac-  
131 tors  $X_i$  and  $X_j$  are fixed. Thus, if we consider how much of the total variance  
132 is due to main effect, we can define the *first-order index* given in equation  
133 (2), which represents the main effect contribution of each input factor to the

134 variance of the output (Saltelli et al., 2008). Higher-ranking indices may be  
 135 defined in the same way, such as *second-order indices* or  $S_{ij}$ .

$$S_i = \frac{V_i(Y)}{V(Y)} \quad (2)$$

136 In case we consider the total contribution of the factor  $X_i$  to the output  
 137 variance, we also have to consider the interactions of  $X_i$  with other factors,  
 138 which accounts not only for the main effect, but also the higher-order effects.  
 139 That will be the *total effect* of the factor  $X_i$ . Hence, *total index*  $S_{T_i}$  can be  
 140 defined as shown in equation (3). Total effect will give, then, how much the  
 141 output variance is reduced on average when factor  $X_i$  is fixed.

$$S_{T_i} = 1 - \frac{V_{\sim i}(Y)}{V(Y)} \quad (3)$$

142 In practice, when  $k$  is large, only the main effects and the total effects  
 143 are computed, obtaining a good information on the model sensitivities. In  
 144 addition,  $S_i$  and  $S_{T_i}$  are closely linked to a couple of extremely significant  
 145 sensitivity settings in the calibration context: *factor fixing* and *factor priori-*  
 146 *tisation* (Ratto et al., 2007). *Factor fixing* refers to the identification of those  
 147 input factors, if any, which have no influence on the model output and there-  
 148 fore can be fixed to any value within their feasible range, but with negligible  
 149 implications on the output. *Factor prioritisation* describes the ordering of  
 150 the input factors according to their relative influence on the model output  
 151 (Sarrazin et al., 2016).

152 First-order index being zero,  $S_i = 0$ , is a necessary but insufficient con-  
 153 dition to identify the factor  $X_i$  as non-relevant and fix it. In such case, the  
 154 factor may be involved in interactions with other factors, so there might be

155 higher-order terms (Saltelli et al., 2008). Instead,  $S_i > 0$  is a good value to  
156 qualify a factor as influential, as a factor prioritisation setting.

157 On the other hand, total indices are suitable for the factor fixing setting  
158 (Saltelli et al., 2008), being  $S_{T_i} = 0$  a necessary and sufficient condition in  
159 order to fix  $X_i$  as a noninfluential factor. If  $S_{T_i} \cong 0$ , then  $X_i$  can be fixed  
160 at any value within its range of uncertainty without appreciably affecting  
161 the value of the output variance  $V(Y)$ . As  $S_{T_i} = 0.01$  is generally used as a  
162 threshold for factor fixing (Sarrazin et al., 2016), both obtained  $S_{T_i}$  and  $S_i$   
163 values are rounded to the second decimal.

164 For additive models and under the assumption of orthogonal input factors,  
165  $S_{T_i}$  and  $S_i$  are equal and the sum of all  $S_i$  (and thus all  $S_{T_i}$ ) is 1. For non-  
166 additive models interactions exist:  $S_{T_i}$  is greater than  $S_i$  and the sum of all  
167  $S_i$  is less than 1, and, also, the sum of all  $S_{T_i}$  is greater than 1. By analysing  
168 the difference between  $S_{T_i}$  and  $S_i$ , the impact of the interactions between  
169 parameter  $X_i$  and the other parameters can be determined.

170 For calculating both  $S_i$  and  $S_{T_i}$ , the procedure suggested by Saltelli (2002)  
171 has been used, at the cost of  $N(k+2)$  simulations, being  $N$  the base sample.  
172 Samples are generated with the Latin Hypercube sampling method (McKay  
173 and Beckman, 1979). Although commonly suggested  $N$  value in literature  
174 is 1000, Sarrazin et al. (2016) found that high  $N$  values ( $N \gg 1000$ ) are  
175 necessary for sensitivity indices to converge. However, they found that much  
176 lower  $N$  is enough when the goal is factor prioritisation or fixing. As the  
177 objective of the article is factor prioritisation and factor fixing, a value of  
178  $N=2000$  is used and confidence intervals are calculated.

179 Confidence intervals for the sensitivity indices are estimated with the

180 bootstrap technique (Efron, 1979). A confidence interval of 95% is given  
181 for the sensitivity indices, where limits are computed with the basic method  
182 (Davison and Hinkley, 1997). For that purpose, a number of 1000 replicates  
183 is considered enough (Archer et al., 1997).

## 184 *2.2. Storm Water Management Model*

185 SWMM is a dynamic rainfall-runoff simulation model used for single event  
186 or long-term (continuous) simulation, where LID units can be modelled and  
187 added to a certain subcatchment (Rossman and Huber, 2016a). Conceptu-  
188 ally a generic LID unit can be represented by a number of vertical layers  
189 (Rossman, 2010), combined to create the various LID controls. PP type LID  
190 control combines Surface, Pavement, Soil, Storage and Drain layers (Figure  
191 2), being Soil and Drain layers optional. In this article a square subcatch-  
192 ment of 100 m<sup>2</sup> has been generated for the simulations, all occupied by a LID  
193 control of the PP type.

194 As illustrated in Figure 2, PP can receive water from precipitation ( $i$ ) or  
195 inflows ( $q_0$ ) from other areas. That water on the surface can evaporate ( $e_1$ ),  
196 infiltrate to pavement layer ( $f_1$ ), or flow out from the pavement as runoff  
197 ( $q_1$ ). Water in the pavement layer can also evaporate ( $e_4$ ), or percolate to  
198 the soil layer ( $f_4$ ). Something similar happens in the soil layer beneath;  
199 water can percolate to storage layer ( $f_2$ ), or evaporate ( $e_2$ ). In the storage  
200 layer, water can exfiltrate to native soil ( $f_3$ ), evaporate ( $e_3$ ), or be directed  
201 to another area or conveyance through the drain ( $q_3$ ). In this article  $q_0$  will  
202 not be considered, and regarding the analysed outputs covered in Section  
203 2.3.4, outflow from the PP will be the sum of  $q_1$  and  $q_3$ .

204 The hydrologic performance of the LID control is modelled by solving

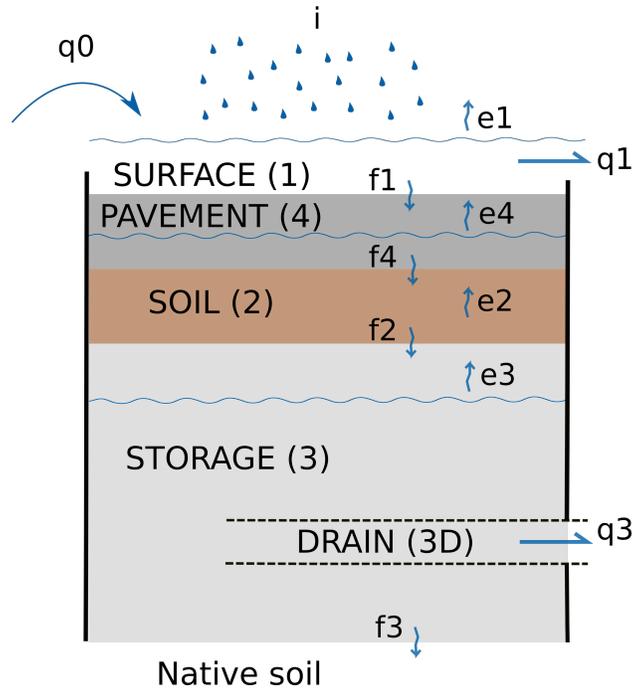


Figure 2: SWMM layers and flux terms for PP.

205 simple mass balance equations, given in the equations (4), (5), (6) and (7),  
 206 that express the change in water volume in each layer over time as the differ-  
 207 ence between the inflow water flux rate and the outflow flux rate (Rossman,  
 208 2010). The flux terms ( $q$ ,  $e$ , and  $f$ ) in these equations are functions of the  
 209 current water content in the various layers ( $d_i$  and  $\theta_i$ ) and specific site and  
 210 soil characteristics. Both  $d_i$  and  $\theta_i$  represent stored water, first one as depth  
 211 (mm) and second one as moisture content (volume of water / total volume  
 212 of soil).  $D_i$  are layer thicknesses and  $\phi_i$  are layer porosities. The rest of  
 213 parameters are specified in the Table 1, presented in Section 2.3.3, as they  
 214 are model parameters.

$$\frac{d(d_1)}{dt} = i + q_0 - e_1 - f_1 - q_1 \quad (4)$$

$$D_4 \cdot (1 - F_4) \cdot \frac{d\theta_4}{dt} = f_1 - e_4 - f_4 \quad (5)$$

$$D_2 \cdot \frac{d\theta_2}{dt} = f_4 - e_2 - f_2 \quad (6)$$

$$\phi_3 \cdot \frac{d(d_3)}{dt} = f_2 - e_3 - f_3 - q_3 \quad (7)$$

215 Evaporation rates are calculated based on potential evaporation,  $E_o(t)$ ,  
 216 detailed in Section 2.3.1. Evaporation on the top layer or surface will be the  
 217 minimum of  $E_o(t)$  and available water. For layers below, evaporation will  
 218 be the minimum of available water and the fraction of potential evaporation  
 219 that did not materialise in the upper layers.

220 Water flow from surface is computed with Manning equation (8). Infil-  
 221 tration to pavement layer depends on available water volume on the surface  
 222 layer, as shown in equation (9). Percolation from pavement layer is the pave-  
 223 ment permeability, as shown in equation (10). Percolation from soil layer is  
 224 calculated with equation (11), which will occur only if water content is higher  
 225 than field capacity. In that case, percolation is modelled using Darcy's law.  
 226 Flow from drain, equation (12), is computed as flow from an orifice, being  
 227  $h_3$  the hydraulic head seen by the underdrain. Exfiltration to native soil is  
 228 the seepage rate of the storage layer, as shown in equation (13).

$$q_1 = \frac{1.49 \cdot W \cdot S^{1/2}}{A \cdot n} \cdot (d_1 - D_1)^{5/3} \quad (8)$$

$$f_1 = i + q_0 + \frac{d_1}{\Delta t} \quad (9)$$

$$f_4 = K_4 \quad (10)$$

$$f_2 = \begin{cases} \text{if } \theta_2 > \theta_{fc} \text{ then,} & K_{2S} \cdot e^{(-HCO \cdot (\phi_2 - \theta_2))} \\ \text{if } \theta_2 \leq \theta_{fc} \text{ then,} & 0 \end{cases} \quad (11)$$

$$q_3 = C_{3D} \cdot (h_3)^{K_{3D}} \quad (12)$$

$$f_3 = K_{3S} \quad (13)$$

229 This set of equations can be solved numerically at each runoff time step to  
 230 determine how an inflow hydrograph to the LID unit is converted into some  
 231 combination of runoff hydrograph, sub-surface storage, sub-surface drainage,  
 232 and infiltration into the surrounding native soil. Certain limitations are  
 233 imposed on the above-mentioned water volumes, defined by the capacity of  
 234 each layer in terms of available space to keep water, or present water volume.  
 235 More details about the equations to compute moisture balance in each layer  
 236 can be found on Rossman and Huber (2016b).

### 237 *2.3. Model settings and sensitivity analysis*

#### 238 *2.3.1. Climatological data*

239 This study is undertaken with data gathered in Donostia/San Sebastián  
 240 (Spain), located facing the Bay of Biscay, in an area with an Atlantic cli-  
 241 mate. Data from two weather stations has been gathered: one of them is  
 242 Igeldo weather station (43°19'0"N, 2°0'0"W), with a large historical data,

243 and the other one is Miramon weather station ( $43^{\circ}17'20''\text{N}$ ,  $1^{\circ}58'16''\text{W}$ ), a  
244 newer weather station with 10 minutes time interval accessible data.

245 The sensitivity analysis is conducted studying the hydraulic response of  
246 the PP facing two kinds of events: short-term and long-term. As it is common  
247 for practitioners to check the performance of the network for a certain event,  
248 which is also a simple method for LID volumetric design purposes, a 100  
249 years return period and 6 hour rainfall event has been considered for the  
250 short-term analysis (Woods Ballard et al., 2015). A synthetic single event is  
251 generated from data available at the Igeldo weather station. Based on the  
252 IDF curves representing a return period of 100 years, the precipitation depth  
253 for a 6 hours duration storm is 90.7 mm. The design storm has been set with  
254 the alternating block method (Chow, 2010), considering 15 minutes steps.

255 The aforementioned method does not address a continuous scenario, in  
256 which one storm may follow another, and the system may not have time to  
257 drain; henceforth, its potential to handle a new event will be limited. That is  
258 why the performance for the system facing continuous events should also be  
259 examined. As 5 years is considered the minimum period required for secur-  
260 ing sensitivity analysis results that are stable in subcatchments (Shin et al.,  
261 2013), that period is also considered as sufficient for the defined subcatch-  
262 ment. For the long-term analysis, 5 years series recorded at the Miramon  
263 weather station have been gathered, both temperature and precipitation col-  
264 lected in 10-minutes intervals. Figure 3 shows gathered time series, but with  
265 daily precipitation and average daily temperature data to improve the visibil-  
266 ity. Average rainfall is 1507 mm/year, with 196 days per year with measured  
267 rainfall, and average temperature is  $14.2^{\circ}\text{C}$ .

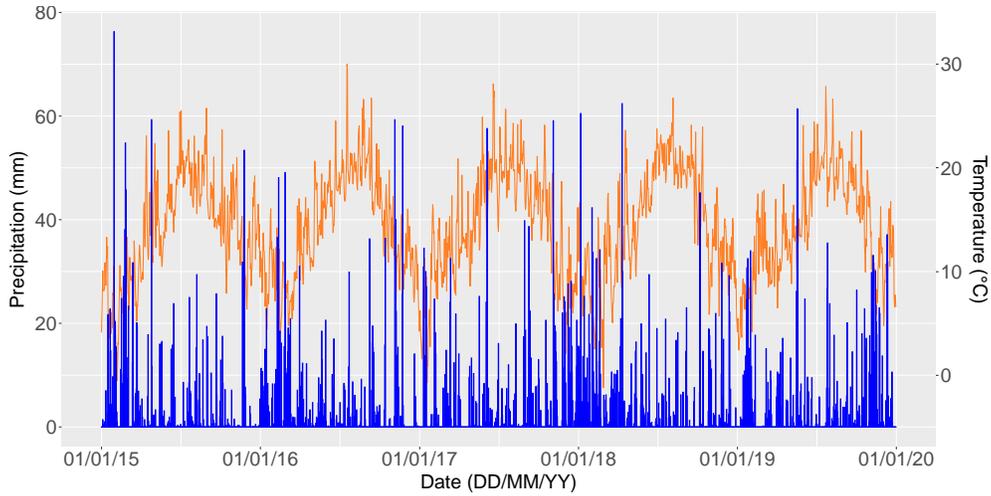


Figure 3: Daily precipitation (blue/left axis) and daily average temperature (brown/right axis) for the long-term modelling scenario.

268 Potential evaporation in the long-term is computed from daily maxi-  
 269 mum and minimum data, based on the Hargreaves method (Hargreaves and  
 270 Samani, 1985) and the latitude. For the long-term, the considered time  
 271 steps for computing runoff when modelling have been 2:30 minutes for Wet  
 272 Weather and 10:00 minutes for Dry Weather. For the short-term, time step  
 273 for both cases has been 1:00 minute. Reporting time step is 5:00 minutes for  
 274 short-term and 10:00 minutes for long-term.

### 275 *2.3.2. Selected optional layers for LID control*

276 As mentioned before, there are two optional layers in the PP type LID  
 277 control: soil layer and drain. The soil layer or bedding layer beneath the  
 278 pavement, fine gravel or clean sand in practice, is a common layer for PICP  
 279 in order to laying the pavers on a evener surface than the one given by  
 280 bigger gravel. Although soil layer is not always placed (Randall et al., 2020;

281 Kayhanian et al., 2019; Tennis et al., 2004), soil layer has not been considered  
282 as an optional layer. Thus two cases are studied: one with Drain option  
283 deactivated, named as *SO* and, a second one with Drain activated, labelled  
284 as *SODR*.

### 285 2.3.3. Selected input parameters for LID control

286 The inputs or parameters given to SWMM, which are used to compute  
287 the mentioned water balances to get the outputs, are listed on the Table 1.  
288 The table also indicates which parameters have been used in the following  
289 SA. Vegetation Volume Fraction from the Surface layer, which refers to the  
290 volume occupied by stems and leaves over the surface (Rossman, 2015), has  
291 been excluded from the SA, as it is very unusual case in PPs (this parameter  
292 is general for all LID control types). Parameters that reduce permeability in  
293 the long-term, such as clogging factor, regeneration interval and regeneration  
294 fraction, have not been considered.

295 In the storage layer, the parameter that considers the reduction of the  
296 seepage rate has not been considered either: clogging factor. Finally, the  
297 parameters that control the opening and closing of the drain have not been  
298 considered: open level, closed level and control curve. All those values have  
299 been ignored while calculating PP performance.

300 Table 1 also gives the range for each parameter value while performing the  
301 SA, maximum and minimum are given, considering a uniform distribution.  
302 Most parameter ranges are taken from the SWMM manuals (Rossman, 2015;  
303 Rossman and Huber, 2016b). Some are modified, such as Surface Berm  
304 Height (*SUBh*) top value, which is set to 150 mm, as it is common value for  
305 the curb height which might work as a berm. Another revised value is Surface

306 Roughness (SUro), as values given by the manual are considered typical for  
307 traditional pavements. Therefore, as pervious pavements are more rough  
308 than traditional ones, unfinished concrete value of 0.02 is used as a high  
309 value for roughness (Chow, 1959). Another modified value is the Surface  
310 Slope (SUsl). A top value of 10% is selected, as it is not usual to design  
311 higher slopes, mainly because of accessibility issues. In Spain, for example,  
312 the different regional regulations do not exceed 8% in general, and allow  
313 slopes of up to 12% for ramps (Alonso López, 2010).

314 The Soil layer and its parameters are, probably, the most unknown to  
315 practitioners, since they are defined with soil parameters such as wilting  
316 point or suction head. Some Soil parameters are also changed, Soil Thickness  
317 (SOth) for example. In that sense, as mentioned in the introduction, it is  
318 considered that there is a wide variety of cross sections that can be modelled  
319 in many different ways. For that reason, a maximum thickness of 200 mm is  
320 considered (Woods Ballard et al., 2015). Field Capacity (SOfc) and Wilting  
321 Point (SOWp) are also modified, as it is considered that those materials may  
322 be clean gravel/sand type. Therefore, a 0.06/0.20 range is considered for the  
323 first parameter and 0.01/0.05 for the second one (Pardossi et al., 2009).

324 In the Storage layer, seepage rate is also modified, considering it up to  
325 1000 mm/h (Woods Ballard et al., 2015). In the Drain layer, the Flow  
326 Coefficient (DRfc) is considered up to 1000 (Zhang and Guo, 2015). It should  
327 be noted that the Offset value from the drain layer is not given in mm, but  
328 as a percentage of the total thickness of the Storage layer.

329 Although SWMM contains some parameters related to the LID control  
330 in a subcatchment, such as Subcatchment Area, Surface Width per Unit,

Table 1: SWMM parameters for PP type LID control.

<i>LAYER / Parameter</i>	<i>Symbol</i>	<i>Code</i>	<i>Units</i>	<i>Min.</i>	<i>Max.</i>
<b>SURFACE</b>					
Berm Height <sup>sa</sup>	$D_1$	SUBh	mm	0	150
Vegetation Volume Frac.	$1 - \phi_1$	SUvf	-	0	0
Roughness <sup>sa</sup>	$n$	SUro	Manning n	0.01	0.02
Slope <sup>sa</sup>	$S$	SUsl	%	0	10
<b>PAVEMENT</b>					
Thickness <sup>sa</sup>	$D_4$	PAth	mm	60	250
Void Ratio <sup>sa</sup>	$\phi_4/(1 - \phi_4)$	PAvr	Voids/Solids	0.3	0.8
Impervious Surf. Frac. <sup>sa</sup>	$F_4$	PAis	-	0	0.95
Permeability <sup>sa</sup>	$K_4$	PApe	mm/h	0.01	40000
Clogging Factor	-	PAcf	-	0	0
Regeneration Interval	-	PAri	days	0	0
Regeneration Fraction	-	PARf	-	0	0
<b>SOIL</b>					
Thickness <sup>sa</sup>	$D_2$	SOth	mm	0	200
Porosity <sup>sa</sup>	$\phi_2$	SOpo	vol. frac.	0.25	0.35
Field Capacity <sup>sa</sup>	$\theta_{fc}$	SOfc	vol. frac.	0.06	0.20
Wilting Point <sup>sa</sup>	$\theta_{wp}$	SOwp	vol. frac.	0.01	0.05
Conductivity <sup>sa</sup>	$K_{2S}$	SOco	mm/h	100	800
Conductivity Slope <sup>sa</sup>	$HCO$	SOcs	-	20	60
Suction Head <sup>sa</sup>	$\psi_2$	SOsh	mm	40	120
<b>STORAGE</b>					
Thickness <sup>sa</sup>	$D_3$	STth	mm	100	1000
Void Ratio <sup>sa</sup>	$\phi_3/(1 - \phi_3)$	STvr	Voids/Solids	0.2	0.8
Seepage Rate <sup>sa</sup>	$K_{3S}$	STsr	mm/h	0	1000
Clogging Factor	-	STcf	-	0	0
<b>DRAIN</b>					
Flow Coefficient <sup>sa</sup>	$C_{3D}$	DRfc	-	0	1000
Flow Exponent <sup>sa</sup>	$K_{3D}$	DRfe	-	0	30
Offset <sup>sa</sup>	$D_{3D}$	DRof	mm	0	100*
Open Level	-	DRol	mm	0	0
Closed Level	-	DRcl	mm	0	0
Control Curve	-	DRcc	-	-	-

\*: this value is given as a percentage of Storage Thickness.

<sup>sa</sup>: included in the sensitivity analysis.

331 % Initially Saturated, % Impervious Area Treated and % Pervious Area  
332 Treated, these parameters have not been considered in the SA, since the

333 study focused on studying specifically the LID control and its parameters.

#### 334 *2.3.4. Hydrological outputs and data treatment*

335 When carrying out a sensitivity analysis it is essential to define its objec-  
336 tive in advance, i.e. which variable or model result is going to be analysed.  
337 SA results may vary depending on targeted output: each target function is  
338 insensitive to some, often different, parameters, particularly for those models  
339 with more than a handful of parameters (Shin et al., 2013).

340 The aim of this study is to explore the impact of design parameters on the  
341 hydraulic response of the PP. To this end, the outputs analysed are those  
342 related with the generated outflow from the PP site: outflow volume and  
343 outflow peak. For that purpose, outflow will be the sum of  $q_1$  and  $q_3$  from  
344 Figure 2, that is, superficial runoff and drain outflow.

345 All outflow data managed by the PP, used to evaluate sensitivity indices,  
346 is obtained from the report file generated by SWMM. Data related to the  
347 volumes is read from the LID Performance Summary section. Data relative  
348 to peak flows is collected from the same file but, in this case, from the Node  
349 Inflow Summary, as runoff and drain flows are diverted in the model to a  
350 couple of nodes for that purpose.

351 By evaluating a total of two outputs across four cases, sixteen indices are  
352 calculated for each LID parameter: a first-order one ( $S_i$ ) and a total effect  
353 one ( $S_{T_i}$ ) for each parameter. As analysed input/output cases are multiple,  
354 values are compared graphically.

355 The data has been gathered with the version 5.1.015 of SWMM (EPA,  
356 1971). The analysis of the data has been carried out using the open-source  
357 programming language R (R Core Team, 2020). For modelling purposes

358 *swmmr* package has been used, which interfaces the SWMM with R (Leut-  
359 nant et al., 2019). For the sensitivity analysis, the *sensitivity* package has  
360 been used (Iooss et al., 2020), and for sample generating the *pse* package  
361 (Chalom and Knecht Lopez de Prado, 2017).

### 362 **3. Results and Discussion**

363 The results are presented into several sections. First, some general data  
364 description is given. Later, (1) differences between short- and long-term are  
365 discussed, (2) the influence of the drain layer is analysed, and (3) differences  
366 between selected outputs are discussed. At the end, (4) global analysis is  
367 performed.

368 Although a total of 164 000 simulations are done across various cases  
369 while performing the global SA and, in addition, the 1-in-100 years storm  
370 is simulated for the short-term analysis, few outflow values are computed.  
371 On average, just 0.93% of the simulations produced any outflow. In partic-  
372 ular, the short-term analysis created any outflow five times more than the  
373 long-term, which appears to be intuitive, since the short-term precipitation  
374 is higher. Something similar happened with the optional layers, SODR cre-  
375 ated outflow almost eight times the SO option did. That also appears to  
376 be intuitive, since active drainage layer allows underdrain flow. The most  
377 remarkable aspect of this data is that it shows how effective can PP be re-  
378 ducing the contribution to the stormwater network, no matter how the PP  
379 is designed. The reason for that is that rain intensity is usually lower than  
380 pavement permeability and its storage capacity allows infiltration to native  
381 soil before flow is diverted from the drain.

382 Once SA is performed, some  $S_i$  values are found to be close to zero but  
383 negative. That is consistent with previous findings, as Saltelli et al. (2008)  
384 described negative signs due to numerical errors in the estimates when ana-  
385 lytical sensitivity indices are close to zero. In addition, obtained confidence  
386 intervals were very large in all modelling scenarios, as convergence was not  
387 obtained for calculated sensitivity indices. To avoid those negative values  
388 and high confidence intervals, sample size should be increased until conver-  
389 gence, which is considered unnecessary for factor fixing and prioritisation.  
390 Also, the methodology used to obtain confidence intervals yielded negative  
391 values or indices higher than one. As those are considered meaningless, those  
392 values are not represented on the figures.

393 Before proceeding with a global discussion considering all the cases, con-  
394 ducted at the end of this section, three previous analyses have been performed  
395 from the calculated indices. For those mentioned reviews, plots with values  
396 for first-order indices ( $S$ ) and total effect indices ( $ST$ ) are created. For that  
397 purpose, Table 2 is also built, giving the sum of all indices across cases. Val-  
398 ues from that table will be discussed in the next sections. In addition, that  
399 table confirms that the model is nonadditive, as the sum of  $S_i$  is smaller than  
400 one for all cases. Also, the sum of  $S_{T_i}$  is greater than one for all considered  
401 cases.

### 402 *3.1. Analysis of short- or long-term influence*

403 Regarding to the analysis of storm length, some differences arise between  
404 short-term and long-term. The Figure 4 and values from Table 2 show that  
405 long-term outflows are much more influenced by single parameters, without  
406 considering any interactions. For the short-term, 4.5% of the variance can

Table 2: Sum of first-order indices,  $\sum_{i=1}^k S_i$ , and total-effect indices,  $\sum_{i=1}^k S_{Ti}$ , for each modelling scenario.

INPUT	Short-term (6 hours)				Long-term (5 years)			
	SO		SODR		SO		SODR	
OUTPUT	Vol.	Peak	Vol.	Peak	Vol.	Peak	Vol.	Peak
$\sum_{i=1}^k S_i$	0.12	0.06	0.00	0.00	0.66	0.44	0.63	0.21
$\sum_{i=1}^k S_{Ti}$	2.42	2.81	9.84	9.84	1.34	1.78	1.76	2.63

407 be explained, on average, by one parameter (SOth). On the other hand, for  
 408 the long-term outflow 48.5% of its variance can be explained, on average, by  
 409 two variables (SOth and STsr). In summary, parameter interaction plays a  
 410 significant role on the short-term.

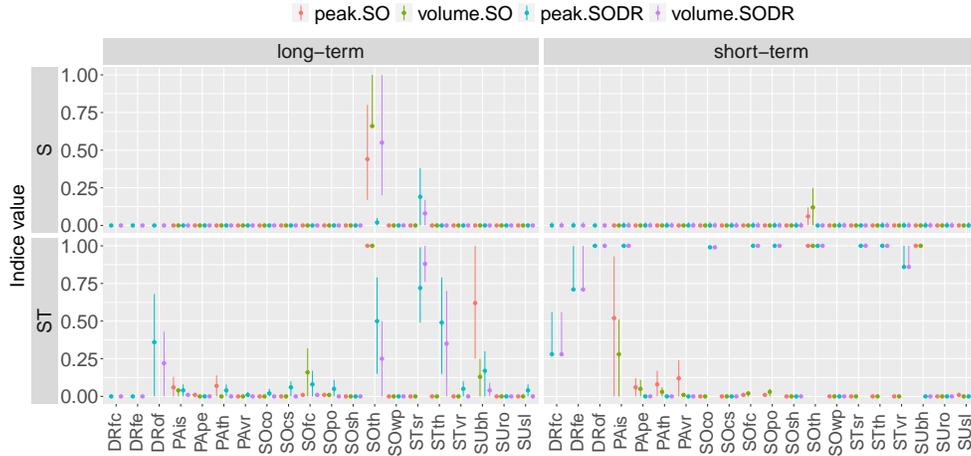


Figure 4: Estimated total (ST) and first-order (S) effects with their confidence intervals for the long (left) and short-term (right) modelling scenarios. Different colours are shown for scenarios including (SODR) and excluding (SO) the effects of drain and measuring outflow peak or volume.

411 *3.2. Analysis of drain influence*

412 If the sensitivity indices are examined according to whether the drain  
413 layer is active or not, the individual influence of parameters is similar to  
414 the previous case. As shown in Figure 5 and values from Table 2, outflow  
415 variance in the SO case is explained on, as average, by one variable (SOth)  
416 in a 32%, while in the SODR case is explained by two variables (SOth and  
417 STsr) in a 21%. That means that interactions are more relevant when Drain  
418 layer is active, which seems reasonable, as outflow is also controlled by the  
419 drain parameters, and, overall, influence of the SOth is reduced.

420 In that sense, the number of parameters that may be fixed without af-  
421 fecting the outflow, with a  $S_T \approx 0$ , increases in the SO case. However, it is  
422 interesting how these parameters differ from case to case. For the SO case, all  
423 parameters other than SOth and SOfc may be fixed in the Soil layer. On the  
424 contrary, for SODR case, almost all parameters may be fixed in the Pavement  
425 layer. That shows that when Drain layer is active, other soil parameters dif-  
426 ferent from Thickness have also influence in the outflow, which also accounts  
427 for drain flow. But, when Drain layer is not active and outflow accounts just  
428 for runoff, Soil layer parameters loose its influence and Pavement layer pa-  
429 rameters influence is notable. That appears to be intuitive, since pavement  
430 parameters control runoff or at what extent there will be infiltration to the  
431 layers below.

432 *3.3. Analysis of peak or volume or peak*

433 With regard to the output values, Figure 6 and values from Table 2  
434 show that, on average, 35.2% of the outflow variance is explained by two  
435 parameters for the outflow volume, but that value decreases to the 18.8%

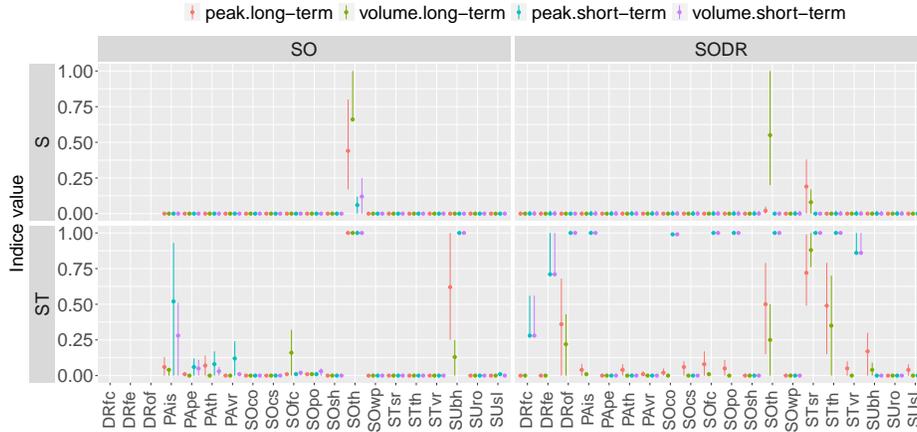


Figure 5: Estimated total ( $S_T$ ) and first-order ( $S$ ) effects with their confidence intervals for scenarios excluding (SO), on the left, and including (SODR), on the right, the effects of drain. Different colours are shown for scenarios considering the long- or short-term modelling and measuring outflow peak or volume).

436 when the analysed output is the peak flow, while the variables remain the  
 437 same (SOth and STsr). Also, interactions play a smaller role on the volume  
 438 outflow than in the peak flow. It is also interesting to see how the number of  
 439 values which can be fixed without affecting the output is higher for the runoff  
 440 volume. For runoff peak there are three parameters with a  $S_T \approx 0$ , while for  
 441 the volume there are six, which includes all the previous three.

#### 442 3.4. General analysis

443 Finally, all cases are compared at once in Figure 7, which is also used  
 444 to identify the most important parameters and those that can be neglected  
 445 or fixed when calibrating the model, no matter what input/output case we  
 446 consider. Those parameters are summarised in Table 3.

447 The graph clearly shows that only two parameters have a influence by

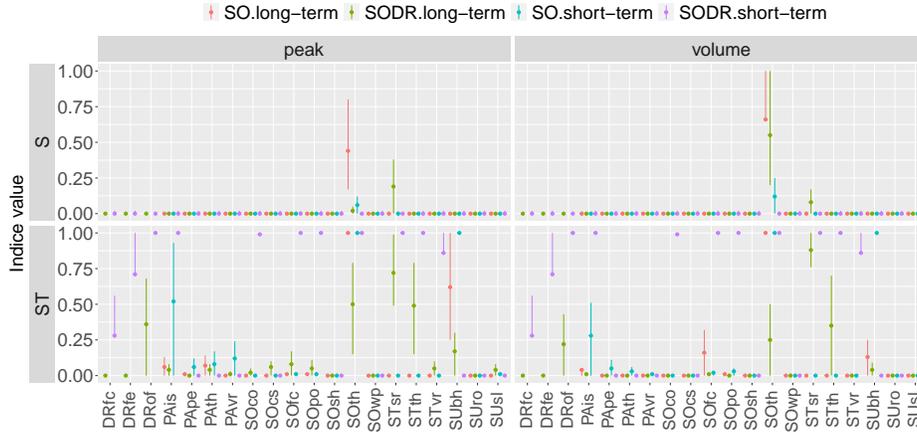


Figure 6: Estimated total (ST) and first-order (S) effects with their confidence intervals for scenarios measuring outflow peak (left) and outflow volume (right). Different colours are shown for scenarios including (SODR) and excluding (SO) the effects of drain and considering the short- or long-term modelling.

448 themselves on the evaluated outputs. SOth and STsr alone can explain, as  
 449 average, 26.5% of the output variance. On the contrary, there are clearly  
 450 three parameters that do not affect the output variance: SOsh, SOWp and  
 451 SUro. First two parameters will be the most obvious candidates for the  
 452 influential ones, and last three will be set as the ones without any influence.

453 If examined by layer, surface parameters have no influence individually.  
 454 On the contrary, SUBh presents high interactions with other parameters,  
 455 thus, it can be considered as the most influential parameter of this layer.  
 456 On the other hand, SUsl presents quite low interactions in just one case,  
 457 so it will be considered as having low influence. It seems consistent SUBh  
 458 being the most influential parameter, as it can restrict the output level and,  
 459 consequently, the generated runoff and infiltration to layers below.

460 With regard to the Pavement layer, something similar happens with the

461 individual influence, since all parameters show a first order index equal to  
462 zero. If total indices are examined and, thus, interactions, PAis is clearly the  
463 most influential parameter. The other three parameters present moderate  
464 interactions, enough not to be considered as non influential. To rank the  
465 other three parameters, the number of cases with the total effect index greater  
466 than zero and its value are checked. Hence, the most influential parameter  
467 is PAth, followed by PApe and, finally, PAvr. None has been considered as  
468 non influential at all, although PAvr could be considered as such in most  
469 of the cases. Again, it seems reasonable PAis being the most influential, as  
470 it controls the open space that water has on surface to penetrate into the  
471 pavement section before other parameters can have any influence.

472 The soil layer, the one with highest number of parameters, contains the  
473 most influential parameter by itself alone: SOth. This layer has also two  
474 parameters with no influence in the output: SOsh and SOWp. There is a  
475 third one, SOcs, that presents low interactions in just one case. As the  
476 number of parameters is high in this layer, this last parameter will also  
477 be considered as non-influential. Other three parameters show moderate  
478 interactions, variable over cases: SOfc clearly interacts more than SOPo and  
479 SOco. In this layer, as opposed to the other layers, there is no a clear physical  
480 explanation for SOth being the most influential one. An explanation may be  
481 that the layer thickness controls how water can percolate into lower layers  
482 and, thus, controls the amount of water on the surface that can turn into  
483 runoff.

484 The storage layer contains the second most influencing parameter overall:  
485 STsr. However, its individual influence arises when the Drain layer is active.

486 The other two storage parameters present moderate and high interactions,  
 487 so they can not be fixed. These interactions are also for the activated Drain  
 488 layer option, indicating that storage layer parameters have influence, mainly,  
 489 in the drain outflow. STth would be the most influential of both, and STvr  
 490 the least. Here, again, it seems reasonable STsr to be the most influential,  
 491 as it would control outflow and, thus, water level on the layer, before there  
 492 is outflow from the drain and other two parameters can have its role.

493 The last layer, the only one considered as optional, has no parameters  
 494 influencing by themselves. On the contrary, all parameters show interactions.  
 495 The most influential would be DRof, followed by DRfe and DRfc. Here, it  
 496 also seems a reasonable outcome, as the drain offset controls the flow presence  
 497 on the drain.

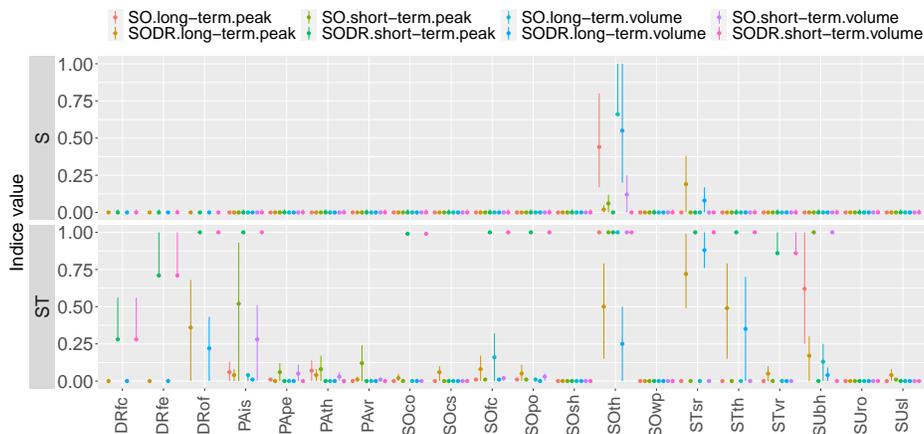


Figure 7: Estimated total (ST) and first-order (S) effects with their confidence intervals for all considered scenarios. Different colours are shown for scenarios including (SODR) and excluding (SO) the effects of drain, scenarios with long- or short-term modelling, and scenarios measuring outflow peak or volume.

498 Table 3 summarises, for each layer, the most influential parameters, those

499 with little influence and those that its value can be fixed. The table is the  
 500 main objective of this article, and will provide practitioners calibrating a real  
 501 pavement, or designing a new one, a helpful tool to focus their efforts on the  
 502 most important parameters (Figure 7 can also be used for that purpose). The  
 503 data is given as a general tool for runoff control purposes, no matter if the  
 504 simulation is done in the long term/short term or if Drain optional layer is  
 505 checked. The most influential parameters are given in the first column, and  
 506 those parameters that have less influence, including interactions with other  
 507 parameters, are given in the second one. The last column gives those param-  
 508 eters which value can be fixed and influence neglected. It is recommended  
 509 to start with the most influential one and, if necessary, to follow with those  
 510 who have less influence.

Table 3: Factors influence for PP type LID in SWMM.

LAYER	Most influential	Low influence	No influence
Surface	(1) SUBh	(2) SUsl	SUro
Pavement	(1) PAis	(2) PAtH (3) PApe (4) PAvr	-
Soil	(1) SOth	(2) SOfc (3) SOpo (4) SOco	SOsh, SOwp, SOcs
Storage	(1) STsr	(2) STth (3) STvr	-
Drain	(1) DRof	(2) DRfe (3) DRfc	-

511 As mentioned in the introduction, Randall et al. (2020) are the only  
 512 ones that studied PP, but they performed a One At a Time (OAT) SA for  
 513 three cross-sections, focusing on their study, but not as a general tool. They  
 514 performed the SA for a short-term event, and studied the underdrain flow,  
 515 peak and volume, without considering the runoff. However, SOth is not  
 516 identified as an influential parameter. If values from Figure 4 are analysed  
 517 in detail, it can be seen that for the short-term and SODR case none of the

518 parameters has  $S_i > 0$ , not even the SOth; that may explain the difference.  
519 Rest of the parameters seem to fit well with findings from Randall et al.  
520 (2020). Therefore, their findings are in line with the values obtained here.

#### 521 **4. Conclusion**

522 Although PP is studied here and some other LID types previously were,  
523 it would be advisable, for future research, to analyse the sensitivity of LID  
524 modules that have not yet been studied (rain barrel, rooftop disconnection,  
525 rain garden and vegetative swale).

526 The parameters that reduce the permeability of the different layers have  
527 not been analysed in this article, as that case may be related to the ageing  
528 of the pavement. It would be interesting to study how clogging may affect to  
529 other parameters. Similarly, the parameters associated with the assignment  
530 of LIDs to the subcatchment have not been studied, as those are the same for  
531 all LID types. Thus, it would also be interesting to examine their influence  
532 on the model output.

533 Moreover, as the soil layer parameters are quite unknown, particularly  
534 when applied to PPs, its properties should be further investigated, as it can  
535 not be characterised as a natural soil. Also, the study has been carried out  
536 with the data associated to a certain climate, so other rainfall could yield  
537 different results. It is recommended to study the influence of other rainfall  
538 regimes in the model.

539 Results show that, in general and regardless the type of storm analysed or  
540 whether the drain is active, there are a few parameters that control the value  
541 of the outflow from a PP site. There are certain differences among cases but

542 the influential/negligible parameters are similar. Consequently, the most  
543 influential ones are berm height, impervious surface fraction of pavement,  
544 soil thickness, storage seepage rate and drain offset. On the contrary, surface  
545 roughness, soil suction head, soil wilting point and soil conductivity slope  
546 have negligible influence on the outflow.

547 Thus, the most sensitive and non-influential SWMM parameters corre-  
548 sponding to the PP type LID control are identified in this article. Although  
549 further research is needed, the parameter list given in this article may still  
550 be a helpful tool for practitioners while calibrating a PP, as data is given as  
551 a general tool, not specific to a case, considering long-term performance and  
552 most useful parameters for urban stormwater design.

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