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Additional Information

1 **The potential of fermentation on nutritional and technological**
2 **improvement of cereal and legume flours: a review**

3
4 Garrido-Galand, S., Asensio-Grau, A., Calvo-Lerma, J., Heredia, A., Andrés, A.

5
6 Instituto Universitario de Ingeniería de Alimentos para el Desarrollo (IU-IAD). Universitat Politècnica de
7 València, Camino de Vera s/n, 46022 Valencia.

8
9 **Abstract**

10 Nowadays there is an increasing demand for vegetable protein sources as an alternative
11 to that of animal origin, not only for its greater environmental sustainability but also for
12 its relationship with lower risk of suffering cardiovascular diseases. Legumes, cereals and
13 seeds are seen as a good proteinaceous source providing as well dietetic fiber and
14 phytochemicals with antioxidant properties. However, their digestibility and
15 bioavailability are limited by the presence of anti-nutritional factors (ANFs) but
16 susceptible of being improved by soaking, cooking or fermentation. The objective of this
17 work is to review the solid-state and submerged fermentation effect on nutritional and
18 functional properties of legumes, cereals and seeds. The microorganisms involved
19 (bacteria, fungus and yeasts) are able to produce enzymes that degrade ANFs giving rise
20 to more digestible flours with a more interesting nutritional, sensorial and technological
21 profile. Solid-state fermentation is more commonly used for its higher efficiency,
22 accepting agro-industrial residues as substrates and its lower volume of effluents.
23 Fermented legumes had their technological properties enhanced while an increment in
24 antioxidant properties was characteristic of cereals. The present review highlights
25 fermentation of cereals and legumes mainly as a key process that at industrial scale could
26 generate new products with enhanced nutritional and technological properties.

27

28 **Key words:** legumes, cereals, seeds, antinutritional factors, solid-state fermentation,
29 submerged fermentation, flour.

30

31 **Abbreviations:**

32

33 **ACE** Angiotensin converting enzyme

34

35 **AoxA** Antioxidant activity

36

37 **ANFs** Antinutritional factors

38

39 **EAA** Essential amino acids

40

41 **FAA** Free amino acids

42

43 **FPC** Free phenolic compounds

44

45 **GAE** Gallic Acid Equivalent

46

47 **IVPD** *In-vitro* protein digestibility

48

49 **LAB** Lactic acid bacteria

50

51 **OBC** Oil binding capacity

52

53 **SmF** Submerged fermentation

54

55 **SSF** Solid-state fermentation

56

57 **TFC** Total flavonoids content

58

59 **TPC** Total phenolic content

60

61 **WHC** Water holding capacity

62 **1. Legumes, grains and seeds as sustainable source of protein**

63 It is undoubtable that over the 50 past years, we have witnessed a sharp increase
64 in the consumption of animal-origin products, representing nowadays more than half
65 of the protein supply per capita/day (58%) (Bonnet *et al.*, 2020). Meat and derivatives
66 are the main source contributing to protein intake (33.14%), closely followed by cereal
67 products (17.38%) and milk and dairy products (17.17%). However, other important
68 sources of animal protein should also be considered such as fish and seafood (10.63%),
69 eggs (4.68%) or plant-based products (e.g., legumes) (3.32%), whose consumption is
70 significantly low (ANIBES study, 2013; Bonnet *et al.*, 2020). Good quality protein
71 intake is especially crucial in growth periods for physiological functions and organs
72 development (Boye *et al.*, 2012). The Food and Agriculture Organization (FAO)
73 estimates that by 2050, global population will reach 9 billion (FAO, 2012). Thereby,
74 if these population was to keep up with such high meat intake as today, the production
75 would need to rise by 200 million tonnes, which is environmentally unsustainable
76 (FAO, 2009). For these reasons, there is a compelling need in seeking alternative and
77 sustainable sources of protein to ensure an adequate protein intake to the world
78 population, and also because protein-energy malnutrition (PEM) is currently a major
79 public health problem (Bessada *et al.*, 2019; Khattab *et al.*, 2009). Among currently
80 available alternatives, *in vitro* meat is becoming a trend with the intention of dealing
81 with livestock discomfort and slaughtering. It is believed that artificial meat could help
82 to reduce carbon footprint of meat production and provide good protein intake.
83 However, the biggest challenge is reproducing the muscular tissue present in animal
84 meat (Hocquette *et al.*, 2016). In this sense, new advances have been done using
85 gelatine microstructured films in order to obtain parallel alignments of fibres.

86 However, more research is needed as conventional meat presented more muscle and
87 mature fibres (MacQueen *et al.*, 2019). On the other hand, insect protein should also
88 be mentioned as an alternative protein source, not only because of its high nutritional
89 value but also for being breed in environmentally friendly conditions (Montowska, *et*
90 *al.*, 2019). However, its consumption remains controversial and far from being
91 commonly accepted by everyone.

92 In this context, promoting the presence of plant-based foods in the diet, in which
93 legumes, cereals and seeds should be predominant, seems to be a sustainable
94 alternative to protein intake from an environmental point of view: they present lower
95 greenhouse gas emissions and are a highly valuable source of protein and other
96 nutrients (Fasolin, *et al.*, 2019). Furthermore, legumes are not only able to enhance
97 system productivity by helping to the diversification of crop rotations, but they can
98 also restore soil nitrogen without using fertilizers (Margier *et al.*, 2018). Apart from
99 the beneficial environmental impact, vegetal sources of proteins exhibit good
100 nutritional profile since they are also rich in unsaturated fats, and as plant-origin
101 products, they present phytochemicals with antioxidant properties and dietary fibre
102 (Leitzmann, 2005). Legumes present high protein content that can range from 20% in
103 peas or common beans (*Phaseolus vulgaris L.*) to 40% in lupin or soybean, 14-33% of
104 dietary fibre contributing to lower the glycaemic index, 1-2% of total fat (except for
105 oilseeds like soybean), vitamins and minerals including folic acid, thiamine,
106 riboflavin, iron, zinc and calcium (Delgado-Andrade *et al.*, 2016). Cereals such as oats
107 or barley contain a wide range of phenolic compounds with antioxidant activity as well
108 as considerable high amounts of carbohydrates and protein, especially in sorghum
109 comparing to other cereals (Đorđević *et al.*, 2010; Wu *et al.*, 2018; Wang *et al.*, 2019;

110 Pranoto *et al.*, 2013). In particular, cereal grains (e.g., wheat, corn) and legumes (e.g.,
111 beans, peas), present a majoritarian amount of starch apart from protein (Marshall &
112 Chrastil, 1992). It is important to stand out that there is scientific evidence suggesting
113 the existence of a relationship between botanic origin of starch and size (from 2 up to
114 100 μm), shape (oval, polygon, circular, elongated) and morphology of native granules
115 (LeCorre *et al.*, 2011). Starch is made up of α -D-glucose units resulting in a
116 homopolysaccharide that can be found in two forms: as transient starch and as storage
117 starch. The former one is accumulated as a semi crystalline structure in leaf
118 chloroplasts whereas the latter is present as granules in plant tissues and is mainly
119 composed by amylose and amylopectin which are two glucosidic macromolecules
120 (Gismondi *et al.*, 2019). Storage starch is especially genus-specific and is characterized
121 by successive crystalline regions and amorphous structures. In this sense, amylose can
122 be found either in the amorphous region as in wheat starch or distributed with
123 amylopectin clusters in the amorphous and crystalline regions as found in maize starch
124 (LeCorre *et al.*, 2011). Differences in size can be associated to starches from different
125 botanic origin. For instance, wheat starch nanoparticles can be twice as big as in maize
126 (LeCorre *et al.*, 2011). Similar results were encountered by Gismondi *et al.* (2019)
127 indicating that *T. aestivum* (wheat) starch granules exhibited a length ranging from 6
128 to 21 μm and a width between 5-20 μm . On the contrary, *Z. mays* (maize) starch
129 granules were smaller (7-15 μm for length and 5-15 μm for width). Species can as well
130 be differentiated by some particular configurations on the grains such as the presence
131 of fissures (Torrence *et al.*, 2004). In this sense, legumes such as *C. arietinum*
132 (chickpeas) or *L. culinaris* (lentils) present mesial longitudinal clefts whereas cereals
133 such as *O. sativa* (rice) or *T. aestivum* (wheat) don't present fissures (Gismondi *et al.*,

134 2019). However, treatments applied such as drying or grinding can as well produce
135 fissures (Torrence et al., 2004). Different rheological properties can be encountered in
136 the final product depending on the plant crop employed and its size and shape of starch
137 granules (LeCorre *et al.*, 2011).

138 Moreover, starch plays a crucial role as it can form interactions between proteins
139 and lipids in the food matrix (Zhang *et al.*, 2014). Protein-starch systems not only
140 affect the functional properties but also the quality and the nutritional value (e.g.,
141 texture, flavour, shelf life, digestibility) of the final food products (Marshall &
142 Chrastil, 1992; Wang *et al.*, 2020). At low temperatures, this interaction is possible
143 due to the opposite charged surfaces of starch and proteins, being the former negatively
144 charged and the latter positively, causing, therefore, a pH-dependent interaction
145 (Marshall & Chrastil, 1992). However, when plant crops are processed through
146 thermal treatments, gelatinization of starch takes place which implies that starch
147 granules do not dissolve entirely and remain as fragile and amorphous structures
148 known as “ghosts” (Debet & Gidley, 2007; Zhang *et al.*, 2014; Wang *et al.*, 2020). As
149 a result, proteins can as well exhibit cross-linking and generate a network which in
150 contact with starch results in a stable protein-starch matrix (Marshall & Chrastil,
151 1992). On the other hand, seeds such as *Salvia hispanica*, also known as chia, offers
152 high contents of dietary fibre, polyunsaturated fatty acids (α -linolenic acid mainly),
153 high protein content (18-24% of their mass) and mineral supply (Kulczyński *et al.*,
154 2019).

155 Altogether, plant-based diets have been positively associated with a healthy
156 lifestyle. There is scientific evidence indicating that legumes might reduce the risk of
157 suffering cardiovascular disease (CVD) (Patel *et al.*, 2017), metabolic syndrome and

158 type 2 diabetes, while they provide substantial benefits in terms of weight control and
159 gastrointestinal health (Delgado-Andrade *et al.*, 2016). On the contrary, red and
160 processed meat intake has directly been correlated with higher cancers prevalence
161 (colorectal, pancreatic and prostate cancer). Moreover, processed meat consumption
162 is as well a major factor in weight gain since it contains cholesterol and saturated fatty
163 acids (Bonnet *et al.*, 2020). In this context, Harvard Health Publishing and nutrition
164 experts suggested the “Healthy Eating Plate” as a guideline in order to create balanced
165 meals. Protein intake should represent $\frac{1}{4}$ of our plates, where legumes and pulses, must
166 be included since they are considered healthy and versatile protein sources.
167 Furthermore, red meats are meant to be limited and processed meats avoided (Harvard
168 School of Public Health, 2011). Later on, 2016 was declared by the United Nations as
169 The International Year of the Pulse in order to promote grain legumes consumption
170 for being highly nutritious and sustainable (Margier *et al.*, 2018). In terms of protein
171 requirements, the value accepted for a safe level of intake is 0.8 g/kg body weight/day
172 regardless of the age (WHO, 2007; Lonnie *et al.*, 2018).

173 Despite the above-cited benefits, legumes, cereals and seeds also exhibit some
174 nutritional deficiencies. While legumes present a great content of essential amino acids
175 (EAA) such as lysine (64 mg/g of protein) and threonine (38 mg/g of protein), they
176 lack of sulphur-containing (S-C) amino acids (methionine, cysteine and tryptophan)
177 (Havemeier *et al.*, 2017). In this sense, essential amino acids are considered critical
178 amino acids that humans are not able to synthesize *de novo* and that are required for
179 proteins formation. There are nine of them, among which lysine, methionine and
180 tryptophan are limiting in plants. This can be explained by the fact that their synthesis
181 in plant tissues is controlled by regulatory factors trough inhibition loops, as an

182 accumulation of amino acids alters the normal biosynthesis of enzymes. Moreover,
183 through the catabolism of amino acids, generation of products serving for plants growth
184 and development is enabled as well as cellular energy production (Galili *et al.*, 2016).
185 For that reason, legumes such as pulses are usually characterized by being an
186 incomplete source of protein, whereas animal proteins (meat, fish, eggs or milk) are
187 nutritionally complete, since they present higher levels of EAA (Vaclavik & Christian,
188 2014). Even though, legumes can be combined with cereals such as wheat and rice, as
189 they present complementary amino acid profiles (good source of S-C amino acids but
190 deficient in lysine) improving hence the quality of the ingested protein (El Youssef *et al.*
191 *al.*, 2020). Besides, legumes also contain anti-nutritional compounds, (ANFs), which
192 at high concentrations, can drastically reduce the bioaccessibility of many nutrients,
193 and thus interfere with their absorption (Robinson *et al.*, 2019). Scientific evidence has
194 showed the ambivalent effect of ANFs as they also exhibit antioxidant and prebiotic
195 activity at low concentrations (e.g., phytates) (Margier *et al.*, 2018) and hypolipidemic
196 and antitumoral properties (e.g., lectins, protease inhibitors) (Bessada *et al.*, 2019).
197 Their biological function, therefore, remains not fully understood, but evidence is
198 certain that they are produced by the plant as secondary metabolites to exert a
199 protective effect against attacks by microorganisms or insects (Belitz *et al.*, 2009).

200 ANFs can be classified into proteinaceous compounds (lectins, protease inhibitors
201 such as trypsin and chymotrypsin inhibitors) and non-protein factors (phytic acid,
202 phenolic compounds (tannins and saponins), α -galactosides and alkaloids) (Bessada *et al.*
203 *al.*, 2019; Khattab & Arntfeld, 2009) (**Table 1**). Protease inhibitors are frequently
204 found in legumes such as soybeans (20 g/kg) white beans (3.6 g/kg) and chickpeas (1.5
205 g/kg) (Belitz *et al.*, 2009) and are responsible of decreasing protein digestibility, since

206 trypsin and chymotrypsin are hydrolases that break down dietary protein (Robinson *et*
207 *al.*, 2019). Lectins are glycoproteins found in cereals and pulses with sugar-binding
208 activity, and therefore with capacity to interfere in the normal nutrient absorption. At
209 certain doses, they can exhibit agglutination activity in blood cells (hemagglutination)
210 (Rehman *et al.*, 2014; Bessada *et al.*, 2019). Phytic acid forms complexes with dietary
211 minerals such as calcium, zinc, iron and magnesium, and therefore decreases
212 bioavailability and mineral absorption (Rosa-Sibakov *et al.*, 2018; Parca *et al.*, 2018).
213 In addition, it can also bind to other nutrients like protein and digestive enzymes
214 (proteases and amylases) resulting in lower protein solubility and proteolysis
215 inhibition (Parca *et al.*, 2018). Phenolic compounds such as tannins are well-known
216 for precipitating proteins, decreasing protein digestibility and amino acid availability
217 (Robinson *et al.*, 2019). In addition, astringent properties are also popular among
218 tannins as they can form complexes with salivary glycoproteins resulting in a reduction
219 in palatability, causing hence a sensory limitation (Bessada *et al.*, 2019). On the other
220 hand, saponins are frequently found in lupins, lentils, chickpeas and in some beans and
221 peas. They are able to form large size micelles by the interaction with bile acid and
222 cholesterol, resulting in poor absorption of cholesterol and free fatty acids. They are
223 also responsible for sensorial rejection due to its bitter taste and foam formation
224 capacity (Bessada *et al.*, 2019). For their part, α -galactosides such as raffinose,
225 stachyose and verbascose, which are often found in legumes, are oligosaccharides
226 composed by sucrose with an α -1-6-galactosyl residue joined to the molecule end
227 (Thirunathan & Manickavasagan, 2018). These compounds are responsible for
228 flatulence and gut gas production caused by microorganisms' fermentation (Rehman
229 *et al.*, 2014). Alkaloids are typically found in lupins and are undesirable for human

230 and animal consumption due to unpalatability, bitter taste and they cause toxicity of
231 the seeds (Kasprowicz-Potocka *et al.*, 2018). Moreover, other sensorial limitations
232 include off-flavours, due to the presence of aldehydes such as hexanal in pea proteins,
233 resulting in an undesirable aroma (El Youssef *et al.*, 2020).

234 Despite the negative effects of the ANFs, their presence can be reduced, and
235 subsequently nutrients bioavailability can be increased, by applying traditional
236 household techniques such as soaking, cooking, roasting or germination before
237 consumption of legumes, cereals and seeds (Bessada *et al.*, 2019) (**Table 1**). Soaking
238 consists in exposing seeds to water and salt solutions, usually overnight (15-20h) or
239 for a shorter time (15-20 min) (Vashishth *et al.*, 2017). To promote the hydrolysis of
240 phytic acid, according to recent studies, soaking should be conducted at optimal
241 conditions of temperature of 45-65 °C and a pH range of 5-6 (Vashishth *et al.*, 2017;
242 Samtiya *et al.*, 2020). Soaking can also enhance removal of water-soluble compounds
243 such as phytates, in legumes and cereals (Rehman *et al.*, 2014). For instance, a
244 reduction of 8.26% of phytic acid was reported in chickpea after 12h of soaking.
245 However, other water-soluble components such as phytochemicals, vitamins and
246 minerals in cereals could be also reduced (Samtiya *et al.*, 2020). Cooking involves
247 boiling food in water at 99 °C (Thirunathan & Manickavasagan, 2018) and it usually
248 follows a previous soaking in the case of legumes (because it reduces cooking time
249 and softens the texture) or a physical treatment such as dehulling (as the seed tegument
250 is not permeable to water). Hull removal does not only improve water absorption but
251 also palatability, as bitterness is reduced, while on the other hand it implies a loss of
252 the nutrients that are present in the hull. In addition, during cooking, thermo-labile
253 compounds such as enzymatic inhibitors and lectins may be inactivated, but others that

254 are thermo-resistant such as tannins, phytic acid or saponins remain unaltered (Bessada
255 *et al.*, 2019). Another cooking method, as roasting, is performed with dry heat at 120-
256 250 °C. It is considered as a thermal degradation process that can reduce α -
257 galactosides such as raffinose, but at the expense of the reduction of other nutritional
258 compounds in pulses such as proteins, starch or vitamins and minerals (Thirunathan &
259 Manickavasagan, 2018). Besides, roasting technique has as well reported to decrease
260 trypsin inhibitor activity in soybean (Samtiya *et al.*, 2020). Another commonly used
261 technique prior consumption is germination, which is considered as the sprout of the
262 seeds. Germination has been attributed to improve protein digestibility of beans,
263 probably due to phytate degradation by native phytases (Rehman *et al.*, 2014;
264 Thirunathan & Manickavasagan, 2018). In addition, reduction of the presence of
265 tannins in germinated cereals has been documented, resulting in an increase of mineral
266 bioavailability and hence of nutritional value (Samtiya *et al.*, 2020).

267 Apart from the inhibitory effect of ANFs on protein digestibility, plant protein is
268 less digestible than animal protein. Plant-based proteins are mainly found in β -sheet
269 conformation whereas animal protein has higher α -helix proportion. β -sheet structure
270 is associated with a particular resistance to denaturation and hence with higher
271 resistance properties towards protein breakdown in the gastrointestinal process
272 (Bessada *et al.*, 2019; Aryee & Boye, 2016). Furthermore, plant-based proteins usually
273 contain fibres that hinder the access of proteases, which therefore decreases protein
274 digestibility (Berrazaga *et al.*, 2019).

275 Finally, plant-based proteins exhibit functional properties that make them suitable
276 for food formulation, as for example in gluten free or protein-enriched products, or in
277 bio-fortification of cereal-based products (Mora-Uzeta *et al.*, 2020). Some of these

278 properties include water holding and oil binding capacity, bulk density, gelation
279 ability, foaming capacity or emulsifying activity among others. These properties are
280 highly dependent on protein and peptide structure, as well as on the interaction with
281 other compounds such as carbohydrates, lipids, other proteins or water. However,
282 since many ANFs can form complexes that decrease protein solubility and availability,
283 these functional properties may be strongly affected (Bessada *et al.*, 2019).

284

285 **2. Searching tools and inclusion criteria performed**

286 In order to identify papers dealing with flour fermentation of legumes, cereals
287 and seeds, we carried out an extensive literature search. Searches were mainly carried
288 out in two databases considered as reliable and abundant source of research (Web of
289 Science and Scopus). The following items were introduced each time but varying the
290 substrate in order to refine the search: *Years*, from “all years” to “present”; *Key words*,
291 “legumes/cereals/seeds” and “flours” and “fermentation”; type of document: “paper”
292 or “review”. Then, some subject areas that were not related to the field of food science
293 were excluded such as “social sciences”, “business, management and accounting”,
294 “environmental science”; “chemical engineering”, “biochemistry, genetics and
295 molecular biology”, “chemistry” among others. Finally, some journal titles were as
296 well excluded, for instance Animal, Journal of Animal Science, Poultry Science or
297 Clinical Immunology. Taking into account all of these restrictions, 32 research articles
298 related to studies in legumes, cereals and seeds flour’s fermentation were included in
299 the review (13 papers for legumes, 13 papers for cereals and 6 papers for seeds).
300 **Figure 1** shows a diagram explaining the search criteria carried out for inclusion of
301 articles.

302

303 **3. Fermentation technology as a biotechnological opportunity for improving**
304 **nutritional and functional properties of foods**

305 Biotechnological techniques have been usually applied in agriculture for
306 increasing production yield, improving pest resistance or enhancing nutritional quality
307 and healthy benefits of food products (Niba, 2003; Datta & Bouis, 2000). In order to
308 deal with global food production, poverty, environmental issues and also nutritional
309 and health problems, biotechnology presents as a powerful tool for developing new
310 sustainable foods with increased nutritional value (Xing *et al.*, 2020). For instance, an
311 example of sustainable biotechnology for plant proteins production is aquaponics. This
312 growing technology combines hydroponics and elements of recirculating aquaculture
313 allowing aquatic organisms and plants to grow symbiotically (Jena *et al.*, 2017). In this
314 sense, water from fish tanks is enriched with nutrients coming from their urine and
315 faeces, and serves as liquid fertiliser for plant growth (Goddek *et al.*, 2015). Nitrifying
316 bacteria from gravel are in charge of converting ammonia from fish waste into nitrate,
317 the form of nitrogen that plants can utilize. It seems a solution towards unsustainability
318 as environmental pollution is lower and there is no need of artificial fertilizers (Jena *et*
319 *al.*, 2017). However, the main challenge would be the complexity of the system design
320 and the need of standardization in order to be economically viable and easy to handle
321 (Goddek *et al.*, 2015).

322 Food fermentation dates back to many centuries ago and is considered a food
323 preserving technique, a way of obtaining traditional and nutritive foods, and also, a
324 tool for obtaining new flavours, aromas and textures and foster gastronomic pleasure
325 (Xu *et al.*, 2019). Fermentation of foods are considered as artisanal practices in origin

326 (nowadays industrialized fermentations are optimised processes) and fermented
327 products are commonly present in our daily diet. Globally, fermented foods include
328 soy sauce, tempeh, miso and kombucha from East and Southeast Asia; yogurt, cheese,
329 salami, kefir and quark from Europe and hot pepper sauce among other products from
330 Africa (Xiang *et al.*, 2019). Other traditional fermented foods produced worldwide
331 include beverages such as beer, coffee, tea, wine and cider; bread resulting from
332 cereals fermentation; and pickles or olives from fermented fruits and vegetables
333 (Campbell-Platt, 1994). Among the microorganisms used in fermentation of foods and
334 production of beverages, we can mention molds or fungus (e.g., *Aspergillus spp.*,
335 *Mucor spp.*, and *Rhizopus spp.*), bacteria (e.g., *Lactobacillus spp.*, *Bifidobacterium*
336 *spp.* and *Streptococcus spp.*) and yeasts (e.g., *Saccharomyces spp.*) (Borresen *et al.*,
337 2012).

338 Fermentation can be defined as a biological process in which microorganisms
339 convert substrates into new products, such as enzymes, biomass and primary and
340 secondary metabolites (Adebo *et al.*, 2017). This technique has been largely used by
341 the industry for conservation and sensory purposes in dairy products (e.g., ripened
342 cheese) or in the wine industry. However, other applications of fermentation include
343 increasing the commercial value of food products. Especially regarding the latter,
344 fermentation has proven to have the ability of improving food properties, because the
345 microorganisms involved can generate enzymes that degrade antinutritional factors
346 (Đorđević *et al.*, 2010; Thirunathan & Manickavasagan, 2018). As discussed in the
347 section above, the resulting products do not only present increased nutritional value
348 and are more digestible, but they also present different texture and flavour compared
349 to raw materials, which makes them more palatable (Adebo *et al.*, 2017; Saharan *et*

350 *al.*, 2017). In addition, fermentation contributes to improve food safety, as the growth
351 of pathogenic microorganisms is prevented (Xiang *et al.*, 2019). Therefore, fermented
352 products are meant to be key ingredients for novel foods development with enhanced
353 properties in a wide range of areas of the food industry (food supplements, soup
354 condiments or seasonings (Onweluzo & Nwabugwu, 2009; Onimawo *et al.*, 2003),
355 infant food formulation (Olagunju & Ifesan, 2013) or fortified cereal-based products
356 (e.g., sour-dough breads) (Xing *et al.*, 2020)).

357 Fermentation can be performed either in solid-state (SSF) or by the submerged
358 method (SmF). SmF involves the growth of microorganisms in a liquid culture
359 containing nutrients, high content of free water and oxygen concentration where
360 substrates are rapidly consumed (Liu & Kokare, 2017; Subramaniyam & Vimala,
361 2012). On the other hand, SSF enables the growth of microorganisms on solid
362 substrates surrounded by a continuous gaseous phase. Despite of the presence of some
363 droplets of water between the inter-particle spaces, quantity of free water is scarce (or
364 non-existent) and spaces are filled by gas, which promotes the growth of
365 microorganisms (Pandey, 2003). In 1940, SSF had a great impact due to the production
366 of antibiotics such as penicillin, being this period named as the “Golden Era” of the
367 industrial fermentation (Krishna, 2008). However, difficulties encountered in
368 controlling SSF process (Mitchell *et al.*, 2006) enhanced SmF employment. Some of
369 the obvious advantages of SmF include: i) good control of fermentation parameters
370 (temperature, moisture, monitoring pH or aeration due to the facility of homogenizing
371 the liquid culture) (Domínguez-Espinosa & Webb, 2002), ii) reduction in the risk of
372 desiccation of the fungal hyphae when using molds (Mitchell *et al.*, 2006), iii) lower
373 limitations of requirements when scaling-up the process (Manan & Webb, 2017) and

374 iv) less restrictions in the types of microorganisms able to grow in the culture (Krishna,
375 2008) (**Figure 2**).

376 In the sixties and seventies, however, the interest in SSF suddenly reappeared
377 mainly because the technique allowed for ferment cheap substrates like agro-industrial
378 residues, being an environmental solution for dealing with solid pollutant wastes
379 (Pandey, 2003; Mitchell *et al.*, 2006). Additionally, this technique accepted a broad
380 range of matrices as substrates (Krishna, 2008). Moreover, practical, economic and
381 environmental advantages of SSF over SmF have been highlighted: i) higher
382 concentration of final products since substrate inhibition is scarce (SSF would convert
383 20-30% of the substrate whereas in SmF the maximum amount is around 5% (Liu &
384 Kokare, 2017), ii) environmentally-friendlier due to low water consumption and waste
385 water generation, iii) reduced water activity, making it less susceptible to
386 contaminations, iv) higher volumetric productivity due to a compacted bioreactor and
387 a lower level of moisture, and finally v) less expensive and simpler downstream
388 processes in case of the product needs extraction (Soccol *et al.*,2017).

389 In terms of inoculum used in each technique, SSF is ideal for the development of
390 fungus, as the process conditions are similar to the natural environment where these
391 microorganisms are usually adapted to grow (Manan & Webb, 2017). Moreover,
392 fungal hyphae have the specific capacity of growing in interspaces of solid particulate
393 substrates (Mora-Uzeta *et al.*, 2020). On the other hand, while content of moisture is
394 in the range of 12-70% in SSF (at a lower level of moisture the biological activities
395 are halted (Krishna, 2008)), in SmF the medium is 100% liquid. As a result, this
396 condition makes SmF more suitable for bacteria cultivation, due to the high
397 requirement of high-water activity (Subramaniam & Vimala, 2012; Manan & Webb,

398 2017). In this sense, one of the main problems associated with conventional submerged
399 fermentation is viscosity of the broth due to the molds growth; they produce a
400 mycelium that can interfere in the driving forces and thus in oxygen diffusion (Liu &
401 Kokare, 2017).

402 Fermentation is usually performed with the substrate already milled in order to
403 increase the contact area between substrate and microorganism (Olukomaiya *et al.*,
404 2020; Starzynska-Janiszewska & Stodolak, 2011). However, other process designs can
405 include the milling state after the substrate has been fermented and dried. Milling can
406 be defined as a process in which the grain is grinded into flour or meal with the
407 objective of reducing the particle size and destroying the cellular structure (Oghbaei
408 & Prakash, 2016). As a result, the surface area of the grain is increased and access of
409 enzymes for ANFs degradation is promoted. Enhancement of compounds’
410 bioavailability and protein digestibility is hence boosted (Nkhata *et al.*, 2018).
411 Moreover, due to the starch content present in cereals mentioned before, the milling
412 process is susceptible of changing starch structures resulting in a disruption of the
413 crystalline form and degradation of starch molecules. These changes would
414 particularly affect the functional properties of the resulting flour (e.g.; pasting and
415 swelling properties). In this sense, the degree of starch damage is important as a mild
416 milling is favourable leading to an increase of the loaf-volume of bread but conversely,
417 a severe treatment would rather be negative towards bread quality (Li *et al.*, 2014). A
418 posterior drying treatment is usually applied in order to remove the moisture and
419 stabilise the fermented flour. It is considered as one of the final procedures before
420 packaging or storage of powders and flours (Khan *et al.*, 2016). Drying seems to
421 influence sensory profile of flours, especially regarding colour changes, as Maillard

422 reactions may take place. In addition, new compounds can be generated as well,
423 contributing to antioxidant activity enhancement. However, the optimal temperature is
424 challenging since some bioactive compounds can experiment heat damage with the
425 consequent loss of antioxidant properties (Stoffel *et al.*, 2019).

426 Not only are the characteristics of the substrate employed important (whether the
427 substrate is milled before or after fermentation) for obtaining nutritional and
428 technological improvements, but also the right selection of starter cultures according
429 to their characteristics and traits. Identifying the most suitable starter culture is
430 advantageous as for example, the growth of undesired microorganisms can be
431 prevented by competitiveness of starters and their metabolites (FAO, 1999). In this
432 sense, cereals fermentations are usually performed without previous pasteurization of
433 the raw material as starch would be at the risk of suffering gelatinization and
434 endogenous enzymes present in the cereal and required during fermentation, may be
435 inactivated (Brandt, 2014). Therefore, when fermenting cereals, some starter cultures
436 may show high competitiveness along with short lag phases, which indicates that the
437 strains are able to rapidly grow in sugar enriched materials (FAO, 1999). Regarding
438 legumes, diverse technological properties are targeted when selecting starter cultures.
439 Since legumes present high protein content, enzymatic activities such as proteolytic
440 are not only important for the release of bioactive compounds and essential amino
441 acids producing desired flavors but also for the development of starters (Sáez *et al.*,
442 2017). As a result, high growth rates and high proteolytic activity are desired when
443 fermenting legumes. A significant synergy between enzymes from the substrate and
444 from the microorganisms has been observed, supporting the selection of the most
445 accurate starter culture (FAO, 1999). Furthermore, as before mentioned, since legumes

446 contain ANF's, strains with enzymes able to remove tannins should be selected. For
447 instance, *Lactiplantibacillus plantarum* has been identified as a LAB strain with ability
448 of metabolizing tannins (Sáez *et al.*, 2017). Other environmental and stressful factors
449 that starter cultures must deal with are the increase in temperature and decrease in pH,
450 as in the case of making bread dough.

451 In this context, the present review aims at compiling the most relevant scientific
452 information about the application of fermentation in legumes, cereals and seeds in
453 order to improve their nutritional and functional properties, with the ultimate goal of
454 highlighting its potential in developing of new foods and ingredients.

455

456 **4. FERMENTATION OF LEGUMES**

457 Assuring a proper intake of macronutrients is crucial for a nutritive and balanced
458 diet. However, as mentioned before, some antinutritional factors or interactions
459 between compounds can reduce the bioavailability of nutritional compounds in
460 legumes. The present section summarises the most recent findings regarding the
461 application of fermentation to improve nutritional profile and functionality in legumes.

462

463 **4.1 Impact of fermentation on nutrient profile of legumes**

464 The most relevant information obtained from fermentation studies carried out
465 using legumes as substrate are gathered in **Table 2**. Legume fermentation with fungus
466 is more often performed in solid-state conditions while most of the SmF studies of
467 legumes are carried out with bacteria. One of the main effects of fermentation of
468 legumes is found in terms of protein changes. In this sense, fermentation with
469 *Pleurotus ostreatus*, has shown to allow an increase in the protein content of kidney

470 beans and black beans (*Phaseolous vulgaris*) of 13% and 6% respectively, as a result of
471 its ability to synthesize amino acids during fermentation (Espinosa-Páez *et al.*, 2017).
472 An even higher increase in the protein content (18.5%) was found in fermented lentils
473 using *P. ostreatus* as well, but at the expense of carbohydrates reduction (6%). This
474 protein increment could be explained as during fermentation, carbohydrates serve as
475 energy source for fungus growth and some of them may have been bioconverted into
476 protein (Asensio-Grau *et al.*, 2020). Furthermore, due to the action of a tannase that
477 this fungus may contain, a reduction of tannins content was reported (Espinosa-Páez
478 *et al.*, 2017). Since tannins are known to bind proteins by forming tannin-protein
479 complexes, protein availability and digestibility may be increased. Likewise, Asensio-
480 Grau *et al.* (2020) reported an enhanced hydrolysed protein fraction after lentils
481 fermentation with *P. ostreatus*, similar to the one occurring during the gastrointestinal
482 digestion and hence contributing to a higher digestibility in the resulting flour. Similar
483 results were found by Mora-Uzeta *et al.* (2020), in which protein content increased in
484 tepary beans (*Phaseolous acutifolius*) (+35%) fermented by *Rhizopus oligosporus*.
485 Besides, when inoculation is carried out with co-culture, protein content seems to
486 suffer a larger increase in comparison with individual strain fermentation as observed
487 in lupin flour fermented by *Aspergillus sojae* and *Aspergillus ficuum*, (Olukomaiya *et*
488 *al.*, 2020). Conversely, when bacteria are used in SSF, Li *et al.* (2020) reported a
489 protein increment (+14.45%) in whole soybean flour using *Lactocaseibacillus casei*,
490 which is similar to the previous results obtained with fungus. Therefore, the synergy
491 between microorganisms seems to promote higher changes in the nutrient profile than
492 individual fermentation.

493 While the effects on total protein content have been defined, the impact of
494 fermentation on amino acid profile remains uncertain since, the effect might be
495 different depending on the type of microorganism, the strain and the substrate used
496 (Mora-Uzeta *et al.*, 2020). The content of most of the essential amino acids (EAA)
497 such as threonine, leucine, isoleucine, phenylalanine, methionine and valine was
498 increased by fungus in kidney, black and tepary beans. Furthermore, SC-amino acids
499 (methionine + cysteine) usually present in low quantities in legumes increased 9.83%,
500 2.72% in black beans and kidney beans fermented by *P. ostreatus* and 16.46% in
501 tepary beans fermented by *R. oligosporus* (Espinosa-Páez *et al.*, 2017; Mora-Uzeta *et*
502 *al.*, 2020). As a result, the quality of the protein in the resulting legume flour was
503 boosted. However, regarding basic amino acid such as lysine and arginine, a reduction
504 was observed, probably due to the acidic conditions (pH<4) during fermentation,
505 leading to amino acid destabilization (Espinosa-Páez *et al.*, 2017). In those studies of
506 bacterial fermentation, total EAA content exhibited a great increment as well
507 (+10.25%) after 72h fermentation in whole soybean. Free amino acid content (FAA)
508 can also be affected by other factors such the addition of exogenous phytase in
509 submerged fermentation as reported by Rosa-Sibakov *et al.* (2018). The ability of the
510 enzyme to perform dephosphorylation of phytic acid improve protein digestibility and
511 availability of minerals. This is in agreement with findings reported by Bautista-
512 Expósito *et al.* (2018), where addition of hydrolytic enzymes such as savinase to the
513 fermentation with *Lactiplantibacillus plantarum*, increased the release of peptides
514 with potential biological activity. As a result, it has been considered as an efficient tool
515 for production of lentil flour with enhanced health-promoting properties.

516 Another significant change observed in fermented legumes is the reduction in
517 lipids and fat content; for example, a reduction of 22.16% was found in whole soybean
518 fermented by *L. casei* and also in tepary bean flour fermented by *R. oligosporus*
519 (47.45%) (Li *et al.*, 2020; Mora-Uzeta *et al.*, 2020). This reduction is more than
520 doubled in fermented tepary beans by *R. oligosporus* being again higher in comparison
521 with the other mentioned studies. This is likely due to the ability of some fungus such
522 as *R. oligosporus*, to produce lipases and to its capacity of obtaining energy from
523 released fatty acids (Mora-Uzeta *et al.*, 2020). In addition, reduction of saturated fatty
524 acids such as palmitic and stearic was encountered with microbial fermentation (-
525 32.6% and -16% respectively), whereas ω -3 fatty acids (e.g., α -linolenic) were
526 increased (+15%) in whole soybean, enhancing the nutritional quality of the resulting
527 flour (Li *et al.*, 2020). Unsaturated fatty acids tend to be oxidised by lipoxygenases,
528 resulting in the generation of off-flavours, so provided that fermentation reduces
529 lipoxygenases activity, this problem would be avoided. In this sense, fermentation by
530 bacteria has shown to allow a reduction of undesirable enzyme activities and thereby
531 improve the sensorial profile of the resulting flours.

532 One special aspect to consider is hardness of legumes and grain hulls, which
533 exhibit a protective function towards microbial attacks and will determine the extent
534 to which microorganisms will have access to their substrates. In this sense, harder hulls
535 will prevent against lignin and cellulose degradation, resulting in less reduction of fibre
536 content. Hence, it can be found that dietary fibre can either remain unaltered or
537 reduced, according to the hardness of the hull. In this sense, dietary fibre exhibited a
538 decrease of 59% in fermented black beans by *P. ostreatus* due to the lower hardness
539 index of the grain hull. Released lignin and cellulose by cellulases, xylanases and

540 laccases, are used by the fungus as nutrients for its growth (Espinosa-Páez *et al.*, 2017).
541 Similarly, crude fibre content also decreased in whole soybean flour (-38.6%) during
542 lactic fermentation by *L. casei*, as bacteria are able to synthesize cellulolytic and
543 hemicellulolytic enzymes (Li *et al.*, 2020). Contrarily, substrates with harder hull such
544 as kidney beans even exhibited an increase in dietary fibre content (+16%) after
545 fermentation by *P. ostreatus* (Espinosa-Páez *et al.*, 2017). One possible reason could
546 be that some fungus are able to use carbohydrates and fats as substrates and produce
547 an enriched fibre mycelium. *Rhizopus* has been identified as a polysaccharides
548 producer, including cellulose and chitin, resulting in a higher dietary fibre content in
549 fermented substrates such as tepary beans (+86%) as seen by Mora-Uzeta *et al.* (2020).

550 It is well known that minerals and vitamins bioaccessibility may be compromised
551 by the formation of complexes with ANF's, and this is another aspect that can be
552 improved by SSF fermentation. An increase of iron and zinc content as well as an
553 improvement of its bioavailability was observed in black eyed peas fermented by
554 *Aspergillus oryzae* as a result of a decrease in ANFs and toxic factors (Chawla *et al.*,
555 2017). Another example is the increase of calcium and phosphorous content observed
556 in solid-state co-fermented lupin flour with *Aspergillus sojae* and *Aspergillus ficuum*.
557 These results may be explained considering the fermentation's ability of degrading
558 phytic acid. Thereby, minerals forming complexes with phytic acid are released
559 resulting in a lupin flour with mineral concentration increased (Olukomaiya *et al.*,
560 2020).

561 Other antinutritional compounds are oligosaccharides such as raffinose, well-
562 known for their excessive ability of gas formation and gastrointestinal discomfort.
563 They seem to be reduced after 48h fermentation in fermented lupin meal. Especially,

564 *K. lactis* was able to reduce total oligosaccharides by 63% while *S. cerevisiae* by 81%
565 and *C. utilis* by 100%. Available oligosaccharides were used up by yeasts justifying
566 its reduction after fermentation. Thereby, depending on the yeast strain the content of
567 oligosaccharides was affected differently (Kasprowicz-Potocka *et al.*, 2018).

568 Impact of fermentation processes in phenolics content of legumes has also been
569 studied. *P. ostreatus* is able to excrete phenol oxidases such as laccases that may
570 depolymerize conjugated phenolic compounds from legume substrates and the
571 mycelium can additionally synthesize phenols, this contributing to the overall increase
572 in TPC (Espinosa-Páez *et al.*, 2017). This increase was of 26.3% in SSF of black beans
573 but absent in kidney beans. As a result, antioxidant activity (AoxA) was also affected:
574 since kidney beans presented a harder hull, phenol oxidases had limited access for
575 degrading bound phenolic compounds and AoxA did not increase. Contrarily, AoxA
576 increased in fermented black beans (+39.5%) due to the higher permeability of its hull
577 (Espinosa-Páez *et al.*, 2017). Similarly, a higher increase in the polyphenol content
578 was reported in fermented lentils with *P. ostreatus* (+53%) as a result of the hydrolysis
579 of bound phenols and the rise in phenylalanine and tyrosine precursors (Asensio-Grau
580 *et al.*, 2020). An even greater increase of TPC was found in SSF of tepary beans by *R.*
581 *oligosporus* (+196.7%); together with FAA and peptides, they were associated with
582 the observed increase of AoxA (+116%) (Mora-Uzeta *et al.*, 2020).

583 When fermentation is performed under submerged conditions, some differences
584 can be encountered. TPC decreased after processing lentil flour with *L. plantarum* (-
585 31.5%), the reduction being attributed to a decrease in flavan-3-ols content. Since
586 flavan-3-ol monomers are sensitive to pH above 6, and fermentation was performed at
587 pH= 6.8, their stability was compromised and hence the compounds degraded. This

588 suggests that pH is a key factor in these processes (Bautista-Expósito *et al.*, 2018).
589 Moreover, the effect of fermentation by *L. plantarum* in combination with enzymatic
590 hydrolysis of savinase have been studied (Bautista-Expósito *et al.*, 2018). Phenolic
591 compounds linked to the cell wall can be released due to protease and esterase activity
592 of savinase but also to extracellular esterase of *L. plantarum*, resulting in increased p-
593 hydroxybenzoic acid and flavonols contents. Therefore, it could be said that combining
594 fermentation with hydrolytic enzymes, may have a positive effect in the release of
595 phenolic compounds. Other SmF studies in which *L. plantarum* has been used,
596 reported a decrease in the conjugated forms for feruloyl derivatives (-21.9%) and p-
597 coumaric derivatives (-23.7%) suggesting that the strain may have a phenolic acid
598 decarboxylase (PAD) activity. Moreover, new phenolic compounds that are not
599 present in unfermented flour, can be produced such as tirosol and quercetin, the latter
600 as a result of the hydrolysis of quercetin glycosides (quercetin 3-*O*-glucoside and 3-*O*-
601 galactoside) (Dueñas *et al.*, 2005).

602 In general terms, variables such as processing conditions or fermentation time are
603 key factors to optimize and control the process. Fermentation time plays an important
604 role as well: Kasproicz-Potocka *et al.* (2018) reported a greater improvement in the
605 protein content with 72h fermentation (+12.6%) in comparison with 48h fermentation
606 (+6.8%) in lupin meal fermented by *Candida utilis*. As a result, the duration of the
607 fermentation process is as well an important parameter, since longer time fermentation
608 tends to highly improve nutrients bioavailability in comparison with shorter time
609 fermentation.

610 Additionally, post-treatments after fermentation may be effective in improving
611 functionality of the resulting product; AoxA was increased after heating fermented

612 cowpea flour (Dueñas *et al.*, 2005). A possible explanation for this finding is that heat
613 driven reactions produce new phenolic compounds with high antioxidant activity, such
614 as hydroxymethylfurfur aldehyde after Maillard's reaction. On the other hand, Mora-
615 Uzeta *et al.* (2020) concluded that in vitro protein digestibility (IVPD) increased
616 (+17.54%) in fermented tepary beans, in part due to the applied pre-treatments: bean
617 cotyledons were cooked (90°C, 30 min) before inoculation with *R. oligosporus*. As a
618 result, proteins are likely to have been denaturalized and the access for hydrolysis,
619 enhanced.

620

621 **4.2 Impact of fermentation on functional, sensorial and healthy properties of** 622 **legumes**

623 Properties with technological functionality such as water holding and oil binding
624 capacity, bulk density or emulsifying and foaming properties, have a fundamental role
625 in processing and development of new food products.

626 WHC was increased in black eyed pea flour (from 0.69 to 1.33 g water/g dry
627 powder), as fermentation causes protein denaturalization and exposure of hydrophilic
628 amino acid residues (Chawla *et al.*, 2017). This result was in accordance with findings
629 in fermented chickpea flour, where WHC improved from 1.1 to 1.7 g water/g dry
630 powder (+54.5%) (Xing *et al.*, 2020). Contrarily, WHC decreased in fermented lupin
631 flour as a result of a lack of hydrophilic groups, well-known by their ability of forming
632 bonds with water molecules. Hence, a fermented flour with low WHC is indicated for
633 gruels production (Olukomaiya *et al.*, 2020).

634 Oil binding capacity (OBC), defined as the quantity of oil that can be absorbed by
635 1 g of protein is relevant for food development since industrial processing, shelf-life

636 and sensory quality of products (for example flavour retention) is greatly affected by
637 this property (Bessada *et al.*, 2019). The increase of OBC observed in fermented black-
638 eyed peas and lupin flour could be associated with exposure of nonpolar amino acids
639 or oil entrapment in the surface after flour fermentation (Chawla *et al.*, 2017;
640 Olukomaiya *et al.*, 2020).

641 On the other hand, fungal proteases able to hydrolyse large-size peptides into lower
642 molecular peptides lead to an improvement of emulsifying properties in black eyed
643 peas. The resulting short chained peptides can easily migrate into the interface between
644 immiscible liquids like oil and water and form emulsions. Moreover, hydrolysis
645 enabled the exposure of hydrophobic groups producing a shift in the hydrophilic-
646 lipophilic balance contributing as well to the increase in emulsifying properties
647 (Chawla *et al.*, 2017; Bessada *et al.*, 2019).

648 Some other properties such as bulk density of flours, refers to flowability and its
649 ability to be compacted under pressure. It indicates the amount of flour that can be
650 packed per unit area and is associated with texture, mouthfeel and the amount and
651 strength of packaging material. In this sense, fermentation of black-eyed pea resulted
652 in a reduction of bulk density (0.31 g/cm^3) (Chawla *et al.*, 2017). Variation of bulk
653 density is commonly associated with variation in the content of starch: higher starch
654 content supposes increment in bulk density and higher bulk density needs denser
655 packaging material. As a result, low bulk density flour not only makes easier food
656 packaging but it is as well advantageous for formulation of infant and weaning foods
657 of high nutrient density (Awuchi *et al.*, 2019).

658 Furthermore, regarding foaming properties it can be distinguished the foaming
659 capacity as the volume of air that the protein is able to incorporate and the foaming

660 stability as the time that this foam remains stable (Bessada *et al.*, 2019). Effect of
661 fermentation on these properties remains unclear since in black eyed peas flour
662 fermented by *A. oryzae*, foaming capacity increased whereas in fermented chickpea
663 flour by LAB it decreased. Increase in foaming capacity in black eyed peas could be
664 explained by enhanced WHC previously mentioned (Chawla *et al.*, 2017). Besides,
665 fermentation generates electrostatic changes in macromolecules such as proteins,
666 which are able to form thick films around each air bubble and thereby to reduce the
667 surface tension increasing foam capacity and stability. As a result, the combination of
668 an increment in electrostatic charges and WHC led to increased foam stability. Good
669 foaming capacity and stability are desirable in flours in order to produce baked
670 products but also to be used as additives in food formulation (Awuchi *et al.*, 2019).
671 Contrarily, foaming capacity decreased in chickpea fermented flour (-50%) as a
672 consequence of partial proteolysis during fermentation (Xing *et al.*, 2020). Protein is
673 the main responsible of maintaining the suspension of air bubbles, hence if proteins
674 are hydrolysed, their capacity of foam formation is reduced (Awuchi *et al.*, 2019).

675 Depending on the substrate, findings may be particularly specific for some legumes
676 as there will be mentioned below.

677 Off-flavours associated with beany, green and leguminous attributes have been
678 reported in pea proteins (El Youssef *et al.*, 2020). Sensory properties are essential
679 when it comes to new foods production in order to have consumer's acceptability. In
680 this sense, by using microbial co-culture of LAB and yeasts, not only are aldehydes,
681 ketones and alcohols reduced, but also new compounds such as esters are generated.
682 As a result, beer and yeasts attributes arose and mitigated pea-protein off flavours
683 improving its sensory profile (El Youssef *et al.*, 2020). LAB ensure the obtention of

684 an appropriate gel by pH reduction but they are not able to decrease negative attributes
685 by themselves, that is why fermentation is combined with yeasts such as
686 *Saccharomyces* and *Kluyveromyces* as they exhibit aldehyde and dehydrogenase
687 activity. Furthermore, co-inoculum of LAB of the gender of *Pediococcus*, has allowed
688 as well a reduction of beany smells present in chickpeas (Xing *et al.*, 2020). By SSF,
689 the resulting sourdoughs presented a milder and acidic odour, which was positively
690 appreciated. Besides, unfermented sourdoughs exhibited an early darkening in
691 comparison with fermented doughs, presumably due to pH and moisture stabilization
692 during fermentation. This shows that by the synergistic action of yeasts and bacteria
693 or the mixture of different LAB strains, sensory properties can be enhanced in
694 fermented legumes.

695 On the other hand, alkaloids are commonly found in lupin seeds and are important
696 poisonous compounds produced by the plant. Kasprowicz-Potocka *et al.* (2018)
697 concluded that its reduction depended on factors such as the type of microorganism
698 used or the particle size of the substrate. In their studies of fermented lupin meal by
699 yeasts, reduction was only about 5-16% while in other findings using fungus the
700 reduction amounted to 90%. In addition, lupin seeds in this case, were in the meal form
701 instead of flour, meaning that the particle size is finer in the latter which may explain
702 the differences encountered (Kasprowicz-Potocka *et al.* (2018). In fact, a larger surface
703 area to volume ratio allows an easier access to enzymes and a higher contact between
704 microorganisms and nutrients (Gowthaman *et al.*, 2001) which may be favourable.

705 Finally, an endogenous neurotoxic and non-proteinaceous amino acid (β -ODAP)
706 is typically found in grass peas. If its consumption is extended over time, it can
707 degenerate the motor neuron leading to a disease also known as lathyrism. LAB like

708 *L. plantarum* are believed to reduce free amino acid (FAA) content, therefore β -ODAP
709 may be used as a source of carbon and nitrogen resulting in a decrease in its content
710 as reported by Starzynska-Janiszewska & Stodolak (2011).

711 Regarding the impact on properties related to health, some enzymes such as β -
712 glucosidases responsible for hydrolysing the glycosidic bond of isoflavones glucosides
713 are activated during fermentation by pH reduction. This has been observed in
714 fermented grass pea by *L. plantarum*, but also in whole soybean by *L. casei* and tepary
715 beans by fungus (Starzynska-Janiszewska & Stodolak, 2011; Li *et al.*, 2020; Mora-
716 Uzeta *et al.*, 2020). As a result, the aglycone form is released and has been associated
717 with powerful antioxidant activity for the ease of absorption by the organism and its
718 contribution to risk reduction of suffering cancer (Li *et al.*, 2020). Moreover,
719 submerged fermentation of lentil flour exhibited an enhanced inhibitory activity of the
720 angiotensin I converting enzyme (ACE) (Bautista-Expósito *et al.*, 2018; Bessada *et*
721 *al.*, 2019). This enzyme converts inactive decapeptide angiotensin I into octapeptide
722 angiotensin II, the latter being responsible of increasing blood pressure for its great
723 vasoconstrictor properties. Since hypertension affects nowadays a wide range of
724 population and is the main cause of cardiovascular diseases, fermented lentils by *L.*
725 *plantarum* are seen as suitable ingredients to be add to new food products for people
726 with metabolic syndrome. Specially, small-size peptides (2-12 amino acids) containing
727 aromatic amino acids (proline or hydroxyproline residues) in C-terminal are believed
728 to have a greater effect for being good substrates for ACE (Bessada *et al.*, 2019).

729 Besides, flavonols such as kaempferol and quercetin glucosides may be able to
730 inhibit α -glucosidases (Bautista-Expósito *et al.*, 2018). α -Glucosidase enables glucose
731 absorption as the enzyme is responsible of breaking down the glycosidic bond of

732 disaccharides into more simple sugars, ready to be absorbed (Samtiya *et al.*, 2020).
733 Thereby, by inhibition of this enzyme, hydrolysis of carbohydrates is reduced. As a
734 result, fermented lentil flour is as well considered as suitable for obtaining products
735 for patients suffering hyperglycaemia and hence for type-2 diabetes prevention
736 (Bautista-Expósito *et al.*, 2018).

737

738 **5. FERMENTATION OF CEREALS**

739 The present section summarises the main findings regarding changes in nutrient
740 profile and functional properties of cereals resulting from fermentation processes.
741 Similarly to legumes, solid-state fermentation is predominantly performed with
742 fungus; while bacteria or yeasts are most employed in submerged one. The potentiality
743 of using co-inoculum, compared to single fermentation, to enhance resistance to
744 contamination by altering microorganisms and to increase adaptability to the growing
745 medium, have been also reported in literature (Tesfaw & Assefa, 2014). Nevertheless,
746 biomass growth yield and their synergic metabolic response is highly dependent on
747 the substrate and fermentation conditions (**Table 3**). On the other hand, it is important
748 to point out that studies carried out on cereals were more focused on the impact of
749 fermentation on phenolic compounds and antioxidant properties; whereas
750 improvements in technological properties were more studied in fermented legumes
751 than in fermented cereals.

752

753 **5.1 Impact of fermentation on nutrient profile of cereals**

754 As it has been discussed for legumes, fermentation in cereals mainly aims at
755 increasing protein content and/or their digestibility. Thus, many studies analyse the

756 impact of fermentation, submerged or solid-state, and their variables onto this
757 macronutrient. In this sense, Wu *et al.* (2018) compared of single and co-inoculum for
758 solid-state fermentation of oat. Concretely, greater increase of soluble protein was
759 obtained with the fungus strain of *R. oryzae* (+104.7%) in comparison with the joint
760 use of *R. oryzae* and *L. plantarum* (+44.8%). These results could be explained by the
761 synergetic action of both microorganisms: more soluble protein was produced with *R.*
762 *oryzae* due to its fungal enzymatic activity, but the resulting protein was consumed by
763 LAB in order to survive (Wu *et al.*, 2018). In this sense, LAB are rarely used alone in
764 SSF due to their annoying requirements of moisture and nutrition, especially of
765 nitrogen. In fact, LABs are co-cultured with fungus such as *R. oryzae* as the latter is
766 able to convert polymers into simpler forms that are a source of nutrients and energy
767 for LAB (Wang *et al.*, 2019; Wu *et al.*, 2018).

768 Within the studies carried out with fungus, Xu *et al.* (2019) reported an increase of
769 protein content in fermented quinoa by three medicinal mushrooms. The highest
770 increase was obtained by *Agaricus bisporus* (+133.6%) followed by *Helvella lacunose*
771 (+90%) and *Fomitiporia yanbeinsis* (+58.8%). SSF of rice, wheat and corn with three
772 macro fungi *Agaricus blazei*, *Auricularia fuscusuccinea* and *Pleurotus albidus*
773 resulted in protein enhancement but in a lower extent (+30%, +19% and +46%,
774 respectively) (Stoffel *et al.*, 2019). Protein content increased as well after fermentation
775 with yeasts such as *S. cerevisiae* of rice-black gram mixed flour. Cell yeasts have been
776 reported to contain 10% protein on the dry basis justifying the increase of protein (Rani
777 *et al.*, 2018). Comparing protein results of fermented legumes and cereals, the increase
778 of protein seems to be higher in cereals, since the highest rate in legumes was reported
779 in tepary bean (+35%) against +133.6% in quinoa (Mora-Uzeta *et al.*, 2020).

780 Furthermore, fungus strains seem to contribute to a greater extent to this increase in
781 comparison with yeasts or bacteria. In fact, SmF with *L. plantarum* only implied a
782 protein increase of 12.39% in sorghum. A slightly reduction was even reported because
783 of amino acids conversion into flavours compounds (e.g., lactate and acetate) after 36h
784 of incubation (Pranoto *et al.*, 2013). The *in-vitro* protein digestibility (IVPD) was also
785 evaluated in fermented sorghum by *L. plantarum*. Apparently, IVPD was notable
786 enhanced by the proteolytic and tannase activities present in the bacterium. Thus,
787 protein would be hydrolysed into small peptides and amino acids and on the other
788 hand, complexes of tannins-proteins released, resulting in higher IVPD (Pranoto *et al.*,
789 2013). These findings were in accordance with those obtained in other legumes
790 (Espinosa-Páez *et al.*, 2017).

791 With respect to the effect fermentation on other macronutrients, net variations,
792 positive or negative, seems to be very dependent on metabolic activity of
793 microorganisms involved. Stoffel *et al.* (2019) reported the effectiveness of the three
794 above-mentioned macro fungi for fat content reduction and dietary fibre increase in
795 cereals. Specially, *P. albidus* produced the highest reduction of fat in corn (89%),
796 wheat (87%) and rice flour (83%) while a dietary fibre increase of 175%, 112% and
797 100% was reported in rice, wheat and corn flour, respectively with the same fungus
798 (Stoffel *et al.*, 2019). An increase of total fat content was, however, produced under
799 SSF with *A. blazei*. Besides, it is possible to conclude that macro fungi are more
800 efficient in cereals than in legumes for fat content reduction and dietary fibre increase.
801 Physiochemically, there is a clear tendency of pH reduction and titratable acidity
802 increment as long as fermentation progresses. Titratable acidity increase in fermented
803 rice-black gram flour by fungus could be attributed to the carbohydrates conversion

804 into fermentable sugars, and in turn into organic acids such as citric acid, lactic acid or
805 acetic acid (Rani *et al.*, 2018). Reducing sugars content can, however, experiment an
806 increase, as reported after co-fermentation of dehusked barley and whole grain oats by
807 *L. plantarum* and *R. oryzae*. There is scientific evidence of the major role of *Rhizopus*
808 in saccharification and liquefaction processes due to their amylolytic capability (Wu
809 *et al.*, 2018). A decrease in starch content has been reported in sorghum by means of
810 SmF by *L. plantarum* as this microorganism is considered as a proteolytic bacterium
811 able to hydrolyse starch granules stuck within the protein. In this sense, after
812 proteolysis, bacterial amylases allow easier access to the substrate degrading starch
813 into simple sugars and increasing *in-vitro* starch digestibility (IVSD) (Pranoto *et al.*,
814 2013). These results agree with those reported by Xu *et al.* (2019) in quinoa fermented
815 by edible fungus, in which starch content decreased as long as residual sugars
816 increased.

817 As previously mentioned, polyphenols compounds are of great interest due to their
818 antioxidant activity. They are, however, mainly found in conjugated forms that can
819 reduce their bioavailability and compromise their healthy benefits (Rani *et al.*, 2018).
820 Moreover, their content differs from one cereal to another. For instance, quinoa
821 contains a great amount of vitamins and minerals as well as a wide variety of
822 antioxidants (e.g. polyphenols and flavonoids), which makes TPC values even more
823 significant after fermentation (Xu *et al.*, 2019). Contrarily, other cereals such as rice,
824 oat or corn do not present as much as total phenols as other cereals and no tocopherols
825 or β -carotene after husk removed. As a consequence, they may present low TPC
826 content and less strong antioxidant properties (Xu *et al.*, 2018).

827 Regardless of the TPC of cereals, their bioavailability can be significantly
828 enhanced due to the enzymatic activity of microorganisms (e.g., amylases, xylanases
829 and glucosidases) and its ability of releasing phenolic and bioactive compounds bound
830 to the cell wall. In this sense, Rani *et al.* (2018) reported an increase of total phenolics
831 content (TPC) of 0.44 mg GAE/g in fermented rice-black gram mixed flours by yeasts
832 after 6h of solid-state fermentation. In turn, Sánchez-Magaña *et al.* (2019) observed a
833 higher increase in TPC content in corn flour (9.93 mg GAE/g) after 108h of SSF by *R.*
834 *oligosporus*. Such big differences may be attributed to the microorganism involved as
835 well as other factors such as fermentation time, being much longer in corn flour than
836 rice-black. Moreover, free phenolic content (FPC) was measured amounting to 2.28
837 mg GAE/g. Likely, a release of FPC (1.29mg GAE/g) was found in barley under co-
838 fermentation with LAB and fungus, being phenolic acids such as esculin, caffeic acid
839 and coumaric acid greatly boosted (Wang *et al.*, 2019). Fungal SSF increased free
840 phenolic acids suggesting that carbohydrases release bounding phenols from
841 carbohydrates along fermentation (Sánchez-Magaña *et al.*, 2019). Furthermore, it has
842 been found that ferulic acid is the most predominant phenolic acid present in
843 bioprocessed maize (~50%). TPC content was as well evaluated by Saharan *et al.*
844 (2017) in wheat and rice after fermentation by the fungus *Aspergillus oryzae*. In the
845 case of wheat, the increment was about 6 times (+460%) whereas in rice, the
846 enhancement was about 9 times (+758.8%). This elucidates that antioxidant properties
847 and bioavailability strongly depends on species, variety of the grain, cultivation
848 characteristics and processing conditions (Sánchez-Magaña *et al.*, 2019). Furthermore,
849 since fungi are known to be β -glucosidase producers, soluble aglycones can be
850 released contributing to the increment of TPC content (Sánchez-Magaña *et al.*, 2019).

851 On the other hand, Ayyash *et al.* (2018) showed that individual bacteria strains of
852 *Bifidobacterium* gender were as well capable of synthesizing new phenolic compounds
853 contributing to TPC increase. In these studies, TPC content was increased for quinoa
854 (~41 mg GAE/g) and wheat (~35 mg GAE/g) using *B. longus* (Ayyash *et al.*, 2018).

855 On the other hand, and comparing yeasts or lactic acid bacteria performance, the
856 use of *L. rhamnosus* seems to be more advisable than *S. cerevisiae* with the aim of
857 increasing TPC (Đorđević *et al.*, 2010). For instance, fermentation of buckwheat with
858 yeast increased TPC to 53.2 mg GAE/g while LAB managed to increase the content
859 to a greater extent amounting 59.4 mg GAE/g.

860 It is important to point out that the differences found among studies could be likely
861 due to the solvent used for extraction, which makes usually difficult to compare results.
862 In this sense, different solvents depending of its solubility and polarity can be used in
863 order to perform extraction of antioxidants from food. Đorđević *et al.* (2010) reported
864 that solvent extraction effectiveness was acetone>ethanol>methanol, which agrees
865 with the findings in fermented oats with the fungus *C. militaris* (Xiao *et al.*, 2015).
866 While water extracts exhibited the highest extraction yields in fermented oat (25.46%,
867 w/w), acetone extract presented the highest TPC content (19.71%) followed by
868 methanol (16.80%), ethanol (15%) and water (14.12%). In fact, total avenanthramides
869 content exhibited higher values in inorganic solvent extracts than in water extracts,
870 revealing that antioxidant activities are strongly dependant on the solvent used for
871 extraction (Xiao *et al.*, 2015).

872 Not only are phenolic compounds but also total flavonoids content (TFC) relevant
873 in cereals. Thus, SSF of wheat and oat with *A. oryzae* exhibits a notable increase of
874 quercetin equivalent content, being more notable in wheat than in corn (Saharan *et al.*

875 (2017). Besides, similar results were obtained by Xiao *et al.* (2015) in solid-fermented
876 oat which an increase of luteolin, apigenin and tricetin (Xiao *et al.*, 2015) and in co-
877 fermented barley by LAB and fungus (Wang *et al.*, 2019).

878

879 **5.2 Impact of fermentation on functional, sensorial and healthy properties of** 880 **cereals**

881 Technological properties have not been commonly studied after cereals
882 fermentation in a great extent; some findings, however, can be cited. Pranoto *et al.*
883 (2013) analysed pasting properties after *L. plantarum* fermentation of sorghum. For
884 instance, the gelatinization temperature was reduced when comparing native sorghum
885 (88 °C) and the fermented cereal (79 °C), revealing that the structure was weaker after
886 bioprocessing. As a result, starch stuck of sorghum was easily released with the
887 consequently easier expansion during heating as a consequence of water absorption by
888 the hydroxyl group that starch presents. Complementarily, viscosity peak increased as
889 a result of bacteria growth and its proteolytic activity, releasing starch from the protein
890 matrix. In fact, sorghum fermentation with *L. plantarum* was considered as a
891 promising tool for the production of cereal-based fermented flours with enhanced
892 technological properties as ingredient of cookies, cakes or noodles formulation.

893 Concerning sensorial properties, significant changes on the optical properties were
894 reported in rice, wheat and corn flours as a consequence mycelium growth (Stoffel *et*
895 *al.*, 2019). Fermentation with *P. albidus* exhibited the greatest changes in rice and
896 wheat flours due to an important increase in luminosity (L*). In fermented corn, *A.*
897 *fuscosuccinea* caused a decrease of the parameter b* leading to a less yellowish
898 sample.

899 Incubation time appears to be other critical variable that can significantly influence
900 sensory attributes of fermented cereals. Thus, while 6h was the optimal fermentation
901 time using *S. cerevisiae* (Rani *et al.*, 2018), until 35 days of fermentation were required
902 with filamentous fungus such as *H. lacunose*, *F. yanbeiensis*, *A. bisporus*, *A. blazei*, *A.*
903 *cosuccinea* or *P. albidus* (Xu *et al.*, 2019; Xu *et al.*, 2018; Stoffel *et al.*, 2019).
904 Sánchez-Magaña *et al.* (2019) revealed the longer the fermentation time (108h), the
905 highest the undesirable off-odours in fungal fermented corn grains. In this sense,
906 ergosterol which is a compound produced by macrofungi can be an indicator for
907 quantification of mycelial biomass. Wang *et al.* (2019) reported an increase in
908 ergosterol content during co-fermentation of dehusked-barley with *Rhizopus oryzae*
909 and *Lactiplantibacillus plantarum* after 36h of fermentation. Not only is ergosterol
910 interesting for estimating fungal biomass but it is also a bioactive compound with
911 antioxidant properties, anti-inflammatory and anti-cancer effects (Stoffel *et al.*, 2019).

912 Regarding the antioxidant activity, theoretically antioxidant properties, TPC and
913 TFC seem to be positively correlated with it (Rani *et al.*, 2018). This was confirmed
914 by Saharan *et al.* (2017) as rice exhibited not only the second major increase in TPC
915 but also the highest antioxidant activity assayed as DPPH radical scavenging potential.
916 This is also in agreement with work made by Sánchez-Magaña *et al.* (2019) where
917 positive correlations between TPC and AoxA were found in corn. In this sense,
918 phenolic compounds are the major contributors to the antioxidant activity of cereal
919 grains, and hence to their associated-healthy benefits such as anti-inflammatory and
920 antibacterial properties.

921 In oat grains, phenolic compounds are also the major responsible of antioxidant
922 properties including avenanthramides, a compound typically found in oats, phenolic

923 acids (e.g. ferulic acid, p-coumaric acid, gallic acid, caffeic acid and hydroxybenzoic
924 acid) and flavonoids (e.g. luteolin, apigenin and tricetin) (Xiao *et al.*, 2015). Similarly,
925 Ayyash *et al.* (2018) observed that phenolic compounds in grains were able to
926 neutralize free radicals by donating electrons and protons.

927 On the other hand, it is interesting to point out that fermentation with the fungus
928 *L. rhamnosus*, resulted in an increment in DPPH scavenging activity in all cereals
929 (buckwheat, barley, rye and wheat), buckwheat exhibiting the highest values
930 (Đorđević *et al.*, 2010). However, a positive correlation was not found between TPC
931 and DPPH radical scavenging activity. Similarly, Xu *et al.* (2018) found that TPC in
932 fermented sorghum and corn was lower than in the control samples but antioxidant
933 properties after fermentation were higher. There is not a full explanation but it is
934 plausible that during fermentation other metabolites with antioxidant properties such
935 as ergothioneine, an unusual thio-histidine betaine amino acid, may have been formed
936 (Xu *et al.*, 2018). Moreover, the method used for phenolic content evaluation is also a
937 matter of concern. In their studies, Đorđević *et al.* (2010) used the Folin-Ciocalteu
938 method which can present some limitations: since some compounds such as ascorbic
939 acid can react with the reagent used, total phenol content can be overestimated.

940 These findings elucidate that AoxA and TPC are not always positively correlated;
941 being the evaluation method, the microorganisms involved, the type of substrate and
942 polyphenols major determinants for improving bioactive compounds in cereals.

943 In terms of inhibitory effects, fermentation presents the ability of inhibiting
944 enzymatic activities such as pancreatic lipases, a part from α -glucosidases above-
945 mentioned in legumes fermentation.

946 Lipases are responsible of hydrolysing triacylglycerols to glycerol and fatty acids
947 facilitating its absorption by the small intestine. Thanks to fermentation of wheat
948 grains by *P. albidus* and *A. fuscosuccinea*, lipase activity inhibition was improved
949 (+413% and +40% respectively). As a result, wheat fermentation can be considered an
950 efficient tool for controlling obesity (Stoffel *et al.*, 2019). In addition, glucose
951 absorption can be regulated by inhibition of α -glucosidase and α -amylase as previously
952 seen in fermented lentils (Bautista-Expósito *et al.*, 2018). In this sense, *P. albidus* and
953 *A. blazei* exhibited the highest inhibition power (+78%) of α -glucosidase regardless of
954 the grain used but not inhibitory effects were found for α -amylase (Stoffel *et al.*, 2019).
955 This is in agreement with Ayyash *et al.* (2018) who reported that different strains of
956 *Bifidobacterium* are able to manage to inhibit α -glucosidase and α -amylase activities
957 in fermented quinoa and wheat. Therefore, fermentation of legumes and cereals as
958 well, is confirmed to be a positive technique for controlling diabetes.

959 Furthermore, oat flour can be used with pharmaceutical purposes as a food
960 supplement in order to reduce the risk of suffering oxidative diseases such as cancer,
961 atherosclerosis or arthritis. Besides, since avenanthramides have the ability to act as
962 metal chelators and interfere with the region sites of H₂O₂, they exhibit a protective
963 function against DNA damage (Xiao *et al.*, 2015). Other studies involving oats,
964 showed an enhanced ACE inhibitory activity due to proteolytic activity of *L.*
965 *plantarum* and release of small peptides (Wu *et al.*, 2018). Similar results using *L.*
966 *plantarum* were observed in lentils flour as previously discussed (Bautista-Expósito *et*
967 *al.*, 2018). As a result, it is possible to affirm that this bacteria strain is able to produce
968 key ingredients for production of therapeutic products enriched with probiotics.
969 Similarly, findings by Ayyash *et al.* (2018) using *Bifidobacterium* strains in quinoa,

970 reported an important degree of hydrolysis releasing as well small size proteins (<10
971 kDa) associated with antioxidant and antihypertensive properties.

972

973 **6. FERMENTATION OF SEEDS**

974 Other plant-origin materials, such as seeds, have been also used as substrates for
975 fermentation, though to a much lesser extent than cereals or legumes. In seeds, natural
976 fermentation with autochthonous microorganism has been found to be the most applied
977 technique (**Table 4**). As a result of seeds fermentation, similar changes in nutrient
978 composition compared to cereals and legumes can be found. For instance, protein
979 increases in a similar proportion and lipid decreases, but to a lower extent (Onimawo
980 et al., 2003; Olagunju & Ifesan, 2013). In addition, technological properties are
981 modified when seeds are fermented, including increased WHC. Concerning
982 emulsifying properties, the same increasing tendency observed in legumes is found in
983 seeds, but improvement being significantly lower (Sadh *et al.*,2018; Chawla *et al.*,
984 2017). Finally, apparent viscosity and gelation capacity decrease because of hydrolysis
985 of long-chain polysaccharides and proteins (Onweluzo & Nwabugwu, 2009).

986

987 **7. CONCLUSIONS**

988 The present work reveals the potential of fermenting legumes, cereals and seeds in
989 order to obtain functional flours as key ingredients for new foods production or re-
990 working formulations already in place. Scientific literature evidence that solid-state
991 fermentation is more commonly performed by fungus, whereas bacteria and yeasts are
992 more typically used in liquid culture due to moisture requirements for growth.
993 Fermented legumes flours stand out for an increased protein content, enhanced
994 technological properties and improved sensorial profile by off-flavours elimination

995 especially common in peas. A rise of phenolic compounds and antioxidant properties
996 is characteristic of fermented cereals. Especially, fermentation with the strain
997 *Lactiplantibacillus plantarum* has showed to be an interesting tool for production of
998 foods enriched with probiotics and antihypertensive properties as seen in fermented
999 quinoa. In the case of seeds, fermentation is commonly performed with autochthonous
1000 microorganisms already present in the substrate, resulting in less significant nutritional
1001 changes but interesting technological and sensorial results as seen in fermented chia
1002 sourdough. Fermentation studies reveal improvements as well in healthy properties,
1003 giving rise to functional products with pharmaceutical purposes for oxidative diseases
1004 (e.g., fermented oat flour) or suitable for people with hyperglycaemia and type-2
1005 diabetes (e.g. lentil flour). The characteristics of the fermented products depend on the
1006 following variables: i) the microorganism strain and its metabolic activity, ii) the
1007 positive synergy between microorganisms when inoculation is co-cultured, iii) the
1008 reduced particle size of the substrate and the facilitated access to enzymes and iv) the
1009 duration of fermentation, being generally longer times preferable for greater changes.
1010 However, longer times have also been associated with off-odors which makes essential
1011 the optimization of the above cited parameters in order to obtain flours with the desired
1012 improvements.

1013 Further research is encouraged regarding innovation in fermented foods, including
1014 those products currently found in the market, the ones that were in the market but
1015 disappeared and products that remain at laboratory scale.

1016

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1018

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1026

1027 **COMPETING INTERESTS STATEMENT**

1028 None of the authors have any competing interest to declare.

1029

1030 **8. REFERENCES**

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Table 1. Classification of ANFs and their effect

TYPE	ANF	MAIN FOOD SOURCES	NUTRITIONAL EFFECT	PROCESSING STRATEGIES	REFERENCES
Proteinaceous	Lectins	Cereals and pulses	sugar-binding activity, interfere with nutrient absorption and hemagglutination	Cooking, soaking	Bessada <i>et al.</i> (2019) Gibson <i>et al.</i> (2006) Samtiya <i>et al.</i> (2020)
	Protease inhibitors	Soybeans, white beans, chickpeas	reduces protein digestibility and sulphur amino acid content	Cooking, roasting, cooking + soaking	Bessada <i>et al.</i> (2019) Samtiya <i>et al.</i> (2020) Friaset <i>et al.</i> (2000)
Non-proteinaceous	Phytic acid	Lupins, chickpeas, corn, millet and sorghum	Forms insoluble complexes with metal ions, ↓ mineral bioavailability and absorption, proteolysis inhibition	Soaking, germination	Vashishth <i>et al.</i> (2017) Rehman <i>et al.</i> (2014) Gibson <i>et al.</i> (2006) Rehman <i>et al.</i> (2014)
	Tannins	Bean, cowpea, soybean	↓ protein digestibility and AA availability. Astringent properties and ↓ palatability	Germination, soaking and dehulling	Samtiya <i>et al.</i> (2020) Egounlety & Aworth (2003)
	Saponins	Lupins, chickpeas, lentils, beans and peas	bitter taste and sensory rejection inhibit cholesterol absorption, vit A and E	Cooking	Margier <i>et al.</i> (2018) Samtiya <i>et al.</i> (2020)
	Alfa-galactosides	Legumes	flatulence and gas gut production	Roasting, soaking + dehulling + cooking	Thirunathan & Manickavasagan, (2018) Frias <i>et al.</i> (2000) Khatab <i>et al.</i> (2009) Egounlety & Aworth (2003)
	Alkaloids	Lupins	unpalatability, bitter taste and toxicity of seeds	Soaking, cooking	Jiménez-Martínez <i>et al.</i> (2007)

Table 2. Legume fermentation studies and the main outcomes obtained.

FERMENTATION TYPE	INOCULUM	SUBSTRATE	MAIN FINDINGS	REFERENCES	
SSF	FUNGUS <i>Aspergillus oryzae</i> <i>Pleurotus ostreatus</i> <i>Rhizopus oligosporus</i>	Black-eyed pea seed flour	↑ protein, dietary fibre in kidney beans, ↓ lipids, dietary fibre in black beans, ↓ carbohydrates in lentils	Chawla et al. (2017) Espinosa-Páez et al. (2017) Mora-Uzeta et al. (2020)	
		Kidney beans (<i>Phaseolus vulgaris</i>)	↑ EAA, FAA, TPC, isoflavones, mineral content		
		Black beans (<i>Phaseolus vulgaris</i>)	↑ protein digestibility, mineral bioavailability, AoxA, ↓ tannins		
		Lentils (<i>Lens culinaris</i>)	↑ WHC, OBC, emulsifying properties, ↓ bulk density		
SSF	BACTERIA <i>Lactocaseibacillus casei</i>	Whole soybean flour	↑ protein, fat and crude fibre, w-3 fatty acids	Li et al. (2020)	
			↑ EAA, FAA, phenolic acids, isoflavones		
			↑ AoxA, ↓ TIA and lipoxigenase activity		
SSF	CO-CULTURE <i>Aspergillus sojae</i> + <i>Aspergillus ficuum</i> <i>Pediococcus pentosaceus</i> + <i>Pediococcus acidilactici</i> + <i>Pediococcus lolii</i>	Lupin flour	↑ mineral content, TPC, ↓ pH	Olukomaiya et al. (2020) Xing et al. (2020)	
		Chickpea flour	↑ IVPD, ↓ raffinose and stachyose, ↓ phytic acid		
			↑ WHC, ↓ foaming capacity, ↑ milder and acidic odours, ↓ beany smells		
SmF	YEASTS <i>Saccharomyces cerevisiae</i> , <i>Kluyveromyces lactis</i> and <i>Candida utilis</i>	Lupin meal	↑ crude protein	Kasprowicz-Potocka et al. (2018)	
			↑ EAA (glutamic acid, proline, glycine, valine and alanine), ↓ EAA (isoleucine, histidine, arginine, phenylalanine and leucine)		
		↓ phytates, oligosaccharides, alkaloids			
	BACTERIA <i>Lactiplantibacillus plantarum</i> VTT E-78076 <i>L. plantarum</i> ATCC 14917 <i>L. plantarum</i> CECT 748	Faba bean flour	↑ FAA, TPC, p-hydroxybenzoic acid, isoflavones in aglycone form, ↓ quercetin glycosides, trans-p-coumaric acid and pH		Rosa-Sibakov et al. (2018) Martín-Cabrejas et al. (2004) Dueñas et al. (2005) Bautista-Expósito et al. (2018) Starzynska-Janiszewska & Stodolak (2011)
		Bean flour (<i>Phaseolus vulgaris</i> L.) Cowpea flour (<i>Vignasinensis</i> L.) Lentil flour (<i>Lens culinaris</i> L.) Grass pea flour (<i>Lathyrus sativus</i> "Krab")	↑ protein solubility, ↓ phytic acid, TIA, tannins, lectins and β-ODAP		
SmF	CO-CULTURE Starter LAB + one of the following yeasts: <i>Kluyveromyces lactis</i> , <i>Kluyveromyces marxianus</i> or <i>Torulopsis delbrueckii</i>	Pea protein isolates	↑ esters and beer/yeast attributes ↓ off-flavours like green/leguminous attributes (aldehydes, ketones, furans, alcohols).	El Youssef et al. (2020)	

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*EAA: essential amino acids; FAA: free amino acids; TPC: total phenolics content; AoxA: antioxidant activity; WHC: water holding capacity; OBC: oil binding capacity; IVPD: *in vitro* protein digestibility; TIA: trypsin inhibitors.

Table 3. Cereals fermentation studies and the main outcomes obtained.

FERMENTATION TYPE	INOCULUM	SUBSTRATE	MAIN FINDINGS	REFERENCE
SSF	YEASTS <i>Saccharomyces cerevisiae</i>	Rice-black gram mixed flours	↑ protein content, ↓ fermentable sugars ↑ TPC, titratable acidity, ↓ moisture content, pH ↑ AoxA ↑ texture and mouthfeel properties, optimization of processing conditions	Rani et al. (2018)
	FUNGUS <i>Helvella lacunosa</i> X1 <i>Agaricus bisporus</i> AS2796 <i>Fomitiporia yanbeiensis</i> G1 <i>Aspergillus oryzae</i> <i>Cordyceps militaris</i> <i>Rhizopus oligosporus</i> <i>Lentinula edodes</i>	Oat Quinoa Wheat Rice Corn Millet Buckwheat Sorghum Brown rice	↑protein content, fat content, reducing sugars, ↓ dietary fibre ↑ TFC, phenolic acids, avenanthramides, ↓ conjugated phenolic compounds ↑ protein digestibility, AoxA, phytase, endocellulase and polyphenol oxidase activity, ↓ tannins and phytic acid, lipase activity ↑ WHC, luminosity in rice and wheat, anti-obesity in vitro activity in wheat, amylase, xylanase and β-glucosidase activity in rice, DNA damage protection in oats	Xu et al. (2019) Xu et al. (2018) Stoffel et al. (2019) Saharan et al. (2017) Xiao et al. (2015) Sánchez-Magaña et al. (2019)
	BACTERIA <i>Bifidobacterium</i> spp. <i>B. animalis</i> , <i>B. breve</i> and <i>B. longum</i>	Quinoa and wheat flours	↑ %DH, small size peptides (< 6 kDa), TPC, ↓ pH ↑ AoxA, ACE inhibitory activity, inhibition of α-glucosidase and α-amylase activity	Ayyash et al. (2018)
	CO-CULTURE <i>Rhizopusoryzae</i> + <i>Lactiplantibacillus plantarum</i>	Dehusked barley Whole grain oat	↑ soluble protein, small size peptides, reducing sugars ↑ amino acid nitrogen, TFC, FPC, ↓ pH ↑ DPPH, ABTS radical scavenging activity, amylase and protease activity ↑ protein solubility, aroma formation, ACE inhibitory activity, enrichment of probiotics microorganisms	Wang et al. (2019) Wu et al. (2018)
	YEASTS <i>Saccharomyces cerevisiae</i>	Buckwheat, wheat germ, barley and rye	↑ TPC content ↑ AoxA (DPPH radical scavenging activity)	Đorđević et al. (2010)
SmF	BACTERIA Natural fermentation and <i>L. Plantarum</i> <i>rhamnosus</i>	<i>L.</i> Sorghum flour Buckwheat, wheat germ, barley and rye	↑ titratable acidity, ↓ pH ↑ IVPD and IVSD, ↓ gelatinization temperature, ↑ peak viscosity	Pranoto et al. (2013) Đorđević et al. (2010)

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*TPC: total phenolic content; AoxA: antioxidant activity; TFC: total flavonoid content; IVPD: *in-vitro* protein digestibility; IVSD: *in-vitro* starch digestibility.

Table 3. Seeds fermentation studies and the main outcomes obtained.

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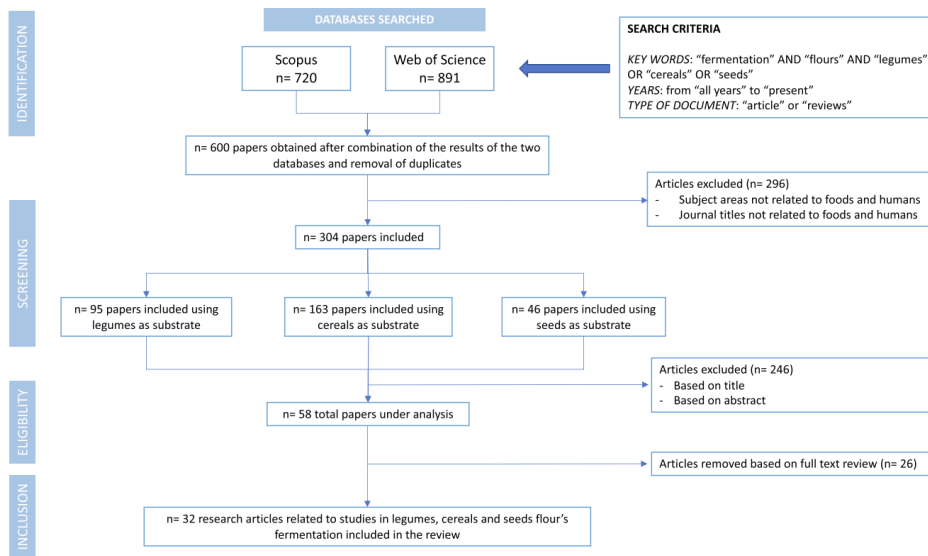
FERMENTATION TYPE	INOCULUM	SUBSTRATE	MAIN FINDINGS	REFERENCE
SSF	FUNGUS <i>Rhizopus oligosporus</i> (DSM 1964 and ATCC 64063) <i>Aspergillus oryzae</i>	Flaxseed oil cake Peanuts oil cake	↑ crude protein content and ↓ dry matter ↑ Mg, InsP3, ↓ InsP5-6 and phytate content ↑ bioavailability of Ca, Mg and P ↑ WHC, OBC, emulsifying properties, smoothness in the grain surface	Dulinski et al. (2017) Sadh et al. (2018)
	NATURAL FERMENTATION	Pumpkin seeds flour Sesame seeds Millet and Pigeon pea seeds	↑ protein, carbohydrates, ↓ fat ↑ mineral content and EAA ↓ phytic acid, phytin phosphorous ↑ WSI, ↓ foam and emulsion capacity and stability, apparent viscosity, WHC and reconstitution time	Onimawo et al. (2003) Olagunju & Ifesan (2013) Onweluzo & Nwabugwu (2009)
SmF	CO-CULTURE Autochthonous LAB + <i>L. plantarum</i> C8	Chia dough	↑ phenolic compounds, chlorogenic acid ↑ viscosity ↓ consistency, volume of bread loaves, firmness and chewiness	Bustos et al. (2017)

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*Mg: magnesium; InsP3: inositol triphosphate; InsP6: myo-inositol hexakisphosphate; InsP5: myo-inositol pentakisphosphate; WHC: water holding capacity; OBC: oil binding capacity; WSI: water solubility index; AoxA: antioxidant activity.

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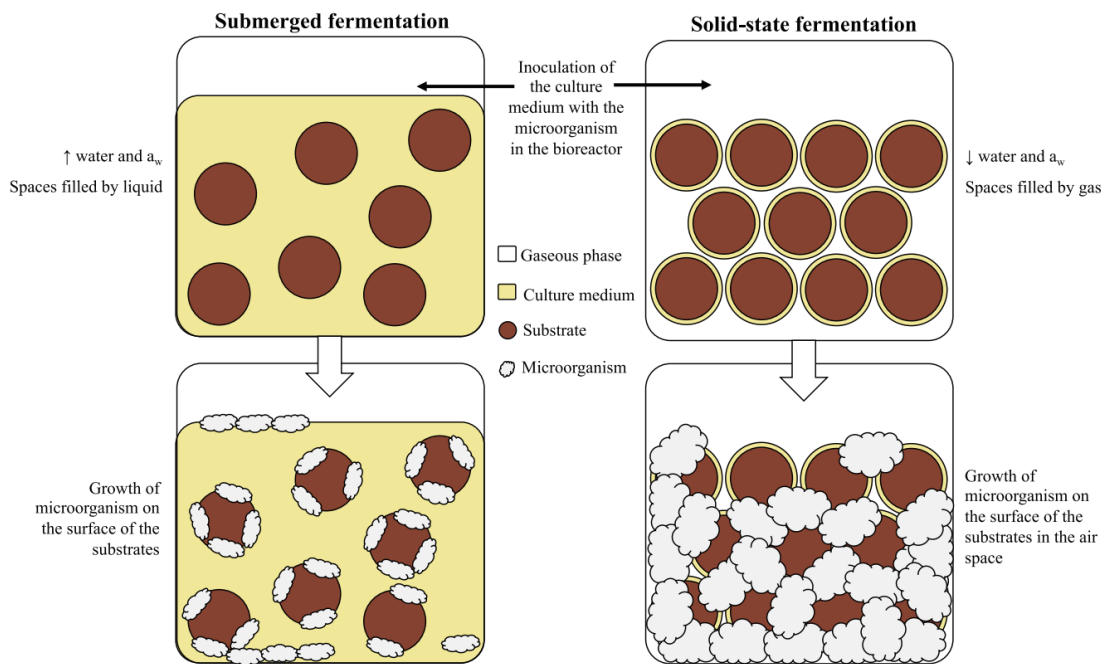
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1427 **Figure 1.** Flow diagram of the search criteria applied to select the papers used in this

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1430 **Figure 2.** Comparison between submerged and solid-state fermentations.