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Llopis-Castelló, D.; Paredes Palacios, R.; Parreño-Lara, M.; García-Segura, T.; Pellicer, E. (2021). Automatic Classification and Quantification of Basic Distresses on Urban Flexible Pavement through Convolutional Neural Networks. *Journal of Transportation Engineering, Part B: Pavements*. 147(4):1-8. <https://doi.org/10.1061/JPEODX.0000321>



The final publication is available at

<https://doi.org/10.1061/JPEODX.0000321>

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Additional Information

1 **AUTOMATIC CLASSIFICATION AND QUANTIFICATION OF**
2 **BASIC DISTRESSES ON URBAN FLEXIBLE PAVEMENT**
3 **THROUGH CONVOLUTIONAL NEURAL NETWORKS**

4 **David Llopis-Castelló, Ph.D.**, Assistant Professor, dallocas@upv.es; Highway Engineering

5 Research Group, Universitat Politècnica de València (UPV), Camino de Vera, s/n. 46022 –
6 Valencia, Spain. ORCID: 0000-0002-9228-5407 (Corresponding author)

7 **Roberto Paredes, Ph.D.**, Associate Professor, rparedes@dsic.upv.es; Pattern Recognition and

8 Human Language Technology Research Center, UPV, Spain. ORCID: 0000-0002-5192-0021

9 **Mario Parreño-Lara, Ph.D.** Student, maparla@phrlt.upv.es; Pattern Recognition and Human

10 Language Technology Research Center, UPV, Spain. ORCID: 0000-0002-4539-3288

11 **Tatiana García-Segura, Ph.D.**, Assistant Professor, tagarse@upv.es; School of Civil

12 Engineering, UPV, Spain. ORCID: 0000-0002-7059-0566

13 **Eugenio Pellicer, Ph.D., M.ASCE**, Professor, pellicer@upv.es; School of Civil Engineering,

14 UPV, Spain. ORCID: 0000-0001-9100-0644

15
16 **ABSTRACT**

17 Pavement condition assessment is a critical step in road pavement management. In contrast
18 to the automatic and objective methods used for rural roads, the most commonly used method
19 in urban areas is the development of visual surveys usually filled out by technicians that leads
20 to a subjective pavement assessment. While most previous studies on automatic identification
21 of distresses focused on crack detection, this research aims not only to cover the identification
22 and classification of multiple urban flexible pavement distresses (longitudinal and transverse
23 cracking, alligator cracking, raveling, potholes, and patching), but also to quantify them
24 through the application of Convolutional Neural Networks. Additionally, this study also
25 proposes a methodology for an automatic pavement assessment considering the different
26 stages developed in this research. This methodology allows for a more efficient and reliable
27 pavement assessment, minimizing the cost and time required by the current visual surveys.

28 INTRODUCTION

29 Road maintenance is crucial to minimize transportation costs and vehicle emissions (Bull
30 2003; Setyawan and Kusdiantoro 2015) as well as to avoid cost overruns associated with late
31 maintenance that leads to pavement reconstruction (Hajj et al. 2010). Therefore, it is essential
32 to promote proper pavement management that provides suitable pavement conditions for
33 road users at the lowest life cycle cost (AASHTO 2011). In fact, this is why various highway
34 agencies have developed Pavement Management Systems (PMS) which could superintend
35 the life cycle of existing road infrastructures (Hicks et al. 2011). These systems perform a
36 variety of functions in which pavement condition assessment plays a critical role.

37 In general, these systems employ two types of road condition surveys: (i) automatic and (ii)
38 visual. While automatic surveys employ objective indexes such as the International
39 Roughness Index (IRI) and standard procedures, in visual surveys the assessment is always
40 carried out by individual technicians whose personal interpretations are subjective and solely
41 justified based on previous experience in the field.

42 Nonetheless, still the most commonly used method in urban areas has seen to be the visual
43 survey, primarily due to the difficulty of obtaining objective indexes in such environments
44 characterized by numerous intersections, high speed variability, and important traffic flow
45 changes (Reggin et al. 2008; Wang et al. 2013). In addition, the most common urban
46 pavement distresses and their influence on road safety and operation differ from those on
47 rural roads.

48 To reduce the subjectivity associated with the visual method, a survey should be based on
49 simple and straightforward criteria (Kraemer et al. 2004). Although there is yet no standard
50 guideline to classify and quantify pavement distresses based on their type, severity, and
51 extent, different researchers and agencies have proposed guidelines for use in a certain region

52 or country (Miller and Bellinger 2003). The Long-Term Pavement Performance (LTPP)
53 program of the Federal Highway Administration (FHWA) aims to collect data about
54 pavement condition and maintenance and rehabilitation activities in the United States and
55 Canada (Perera and Kohn 2001). The highway administrations of France and Switzerland
56 have also developed systematic approaches to identify pavement distresses, and the Irish
57 highway administration has incorporated an approved procedure of pavement condition
58 assessment into their national road design guideline (Ragnoli et al. 2018).

59 In the last decade, image processing has been practicing by researchers to make pavement
60 condition assessment more and more objective. The relative studies can be categorized based
61 on their approach to image processing: (i) histogram analysis, (ii) mathematical
62 morphological tools, (iii) Machine Learning techniques, (iv) filtering, and (v) analysis of a
63 model (Chambon and Moliard 2011). Nevertheless, these studies focused primarily on crack
64 detection and, in addition, did not provide a second algorithm or method for an automatic
65 quantification of the predicted distresses.

66 In this context, the development of an automated procedure that can simultaneously identify
67 and quantify the most common distresses affecting urban flexible pavement is of great
68 interest in pavement management. Such a procedure would allow pavement engineers to
69 perform more efficient and reliable pavement assessments and thus reduce the cost and time
70 required by the current visual evaluation methods.

71 **LITERATURE REVIEW**

72 The first researchers addressing the automatic identification of cracks quickly discarded the
73 use of Machine Learning techniques because of the computational and economic costs;
74 instead, they proposed combining techniques from histogram analysis, mathematical
75 morphological tools, and filtering procedures (Chambon and Moliard 2011). The Minimal

76 Path Selection (MPS) algorithm (Zou et al. 2012) and the CrackTree method (Amhaz et al.
77 2016) were the most promising techniques to automatically detect cracks in asphalt
78 pavements.

79 Thanks to innovations and technological progress in the field of computational image
80 processing, several studies have recently considered Machine and Deep Learning techniques
81 to identify not only cracks but also other types of pavement distresses (Oliveira and Correia
82 2012; Radopoulou and Brilakis 2017; Shi et al. 2016; Hadjidemetriou et al. 2018). The most
83 common Machine Learning techniques applied to the identification and classification of
84 pavement distresses are: (i) Support Vector Machines, (ii) Decision Trees, (iii) Random
85 Forest, and (iv) Neural Networks (NN).

86 CrackIT, the integrated system for automatic crack detection developed by Oliveira and
87 Correia (2012), was one of the first methods based on Machine Learning techniques. This
88 model relies on unsupervised learning and consists of two stages: (i) crack detection and (ii)
89 crack characterization. Although its performance showed good results generally, it had
90 difficulty detecting thin cracks (< 2 mm) and returned many false positives.

91 Radopoulou and Brilakis (2017) developed a low-cost method based on Decision Trees to
92 identify longitudinal and transverse cracks, patches, and potholes from images gathered by
93 those video cameras that assist in parking individual cars. Their method uses the Semantic
94 Texton Forests (STF) algorithm as a supervised classifier and achieves an overall accuracy
95 greater than 82%.

96 Shi et al. (2016) developed a new method called CrackForest to identify and classify
97 pavement cracks based on Random Structured Forests that led to an even more accurate
98 classification comparing to CrackTree, CrackIT, and MPS. Moreover, Hadjidemetriou et al.

99 (2018) proposed a method for patch identification and quantification based on Support
100 Vector Machine (SVM) classification techniques.

101 Deep Learning for automatic crack detection by applying Convolutional Neural Networks
102 (CNNs) has become very popular in the last few years (Zhang et al. 2016; Wang and Hu
103 2017; Xia 2018; Jenkins et al. 2018; Carr et al. 2018; Maeda et al. 2018; Park et al. 2019). A
104 Convolutional Neural Network is a deep neural network with two or more hidden layers that
105 operates in two stages: (i) base model or feature extraction and (ii) top model or classification
106 (Figure 1). The main objective of the convolutional layer is to reduce the computational
107 workload of the system by reducing the number of elements and detecting certain
108 characteristics that can be useful when analyzing the image. This process is carried out by
109 applying a filter to the input image and storing the result in the activation matrix. The pooling
110 layer aims to decrease further the computational workload while characterizing the image by
111 obtaining and locating its predominant features. Finally, the full connected layers deal with
112 classification, i.e., indicate the probability that the input image displays a specific pavement
113 distress.

114 Most of the studies that applied deep learning to the identification of pavement distresses
115 focused on crack detection and used images collected from standard smartphones and
116 cameras (Coenen and Golroo 2017). These studies identified differences in image resolution
117 as well as the size of the blocks into which each image was divided (Table 1). Although the
118 architecture of the Convolutional Neural Networks also differed among studies, the
119 application of this technique resulted in more accurate findings than those achieved by
120 methods based on other machine learning techniques, such as Support Vector Machine or
121 Random Forest (Zhang et al. 2016; Carr et al. 2018; Park et al. 2019).

122 The deep neural network proposed by Maeda et al. (2018) was trained to identify not only
123 cracks but also rutting, bumps, and potholes; however, the distresses identified in each image
124 were labeled as cracks or other corruptions, which clustered the rest of the pavement
125 distresses. In contrast, Xia (2018) did identify different road distresses (longitudinal,
126 transverse, and alligator cracks; seam breaks; and potholes) through the application of CNNs.
127 This research found the vehicle's speed during data collection to be a critical factor. As this
128 speed increased from 10 to 60 km/h, the accuracy were observed to be decreased by 10 to
129 15%, depending on the type of road distress.

130 Mohanraj et al. (2018) proposed a methodology to enhance the accuracy of crack detection
131 in "noisy" conditions, which were introduced through the image gathering system (e.g.,
132 shadows, painted signs, or tire marks). They divided their method into three steps: (i) image
133 preprocessing by applying filters, (ii) feature extraction, and (iii) detection and classification
134 using k-mean clustering.

135 **GOAL OF THE RESEARCH**

136 Unlike most previous studies on automatic identification of distresses that focused on crack
137 detection, this research aims not only to identify and classify multiple urban flexible
138 pavement distresses (longitudinal and transverse cracking, alligator cracking, raveling,
139 potholes, and patching), but also to quantify them through the application of Convolutional
140 Neural Networks. Specifically, this study proposes a two-step method consisting of two
141 concatenated CNNs, the first one for distresses identification and the next one to quantify the
142 predicted distresses. It also proposes an innovative data collection methodology using video
143 cameras located at the rear of passenger cars to assess pavement condition automatically.

144 **RESEARCH METHOD**

145 The research method used for this study consisted of five steps: (i) data collection, (ii) video
146 filtering and image preprocessing, (iii) data augmentation, (iv) Convolutional Neural
147 Network training, and (v) Convolutional Neural Network training validation. A video camera
148 at the rear of a passenger car conducted data collection in an urban environment. Researchers
149 filtered the collected videos to extract the images of the pavement and processed them to
150 remove areas of each image that did not contain relevant information for the detection and
151 classification of pavement distresses and to divide each image into three blocks of 256 x 256
152 pixels. These processes created a database of images classified by types of pavement
153 distresses. Researchers considered different data augmentation techniques because of the
154 large amount of data required for training and decided on a two-step procedure consisting of
155 two concatenated Convolutional Neural Networks (CNN).

156 **Data collection**

157 To obtain a comprehensive database of pavement distresses, researchers collected data along
158 a total of 140 km of streets in the city of Valencia (Spain) under favorable weather conditions
159 using a Garmin Virb Ultra 30 video camera. This video camera was mounted on the rear of
160 a passenger car by a camera gripper suction system that ensured a zenithal position – at 1.4
161 m high – to avoid image distortion (Figure 2).

162 Traveling at 50 km/h maximum speed (as required in the urban areas of the city) and using
163 “1080p” recording mode and “wide” view angle, researchers recorded approximately 350
164 minutes of video at 24 pixels per inch resolution (1920 x 1080 pixels).

165 **Video filtering and image preprocessing**

166 The video filtering stage extracted certain frames of the recorded video. Given that the width
167 of each filtered image was approximately one meter (w) and the maximum traveling speed
168 was 50 km/h, the required video frame rate was approximately 15 frames per second (fps)

169 ($f = v/w$). However, the frame rate during the data collection was 30 fps, so researchers
170 extracted one of every two frames for a total of 361,381 images.

171 Researchers cropped each image to remove the part of the vehicle captured in the frame and
172 split each image into three blocks, resized to 256 x 256 pixels each (Figure 3). This last step
173 minimized the likelihood of having different types of distress in each block and consequently
174 enhanced the accuracy of the classification procedure. Automated scripts programmed in
175 Python carried out both the video filtering and image preprocessing stages.

176 To ensure as objective a classification as possible, three experts identified the diverse road
177 distresses included in each image considering the following categories: (i) longitudinal
178 cracking, (ii) transverse cracking, (iii) alligator cracking, (iv) raveling, (v) potholes, (vi)
179 patching, (vii) road markings, (viii) manholes, and (ix) no pavement distress. The resulting
180 supervised database contained 29,846 images and the number of images in which each type
181 of distress was present was: 5,697 for longitudinal cracking, 3,467 for transverse cracking,
182 595 for alligator cracking, 622 for raveling, 1,231 for potholes, 3,420 for patching, 9,047 for
183 road marking, 1,149 of manholes, and 18,002 with no evidence of pavement distress –
184 including images with only road markings and/or manholes –. In this way, the number of
185 images with only one category of distress was 9,186. The amount of images containing two,
186 three, four, and five types of distress was 2,202, 388, 62, and 6, respectively.

187 **Data Augmentation**

188 A common problem for applying deep learning techniques is the large amount of data
189 required for training to arrive at a particular level of generalization. To address this problem,
190 this study used various data augmentation techniques. These techniques consist of making
191 slight transformations to the training images to create new images that were similar enough
192 to the original ones to maintain the original class of pavement distress but that also introduced

193 some variations that might not be significantly represented in the original dataset. These
194 transformations are performed by iterating over the training dataset. These modified images
195 were used for network learning and then discarded.

196 The employed data augmentation techniques included: (i) Random Crop, which consists of
197 selecting a random part of the original image; (ii) Horizontal Flip, which flips the image
198 horizontally; and (iii) Color Jitter, which introduces slight modifications in brightness,
199 contrast, and saturation to simulate lighting variations. The random crop technique produced
200 images of 224 x 224 pixels, the size required by the ImageNet configuration, which is an
201 ongoing research effort to provide researchers around the world with an easily accessible
202 image database (Russakovsky et al. 2015). The other data augmentation techniques did not
203 modify the size of the images.

204 **Convolutional Neural Network**

205 The study employed two complemented methods for image characterization and damage
206 quantification:

- 207 • A Convolutional Neural Networks (CNN1) that identified all types of distress
208 included in an image (longitudinal cracking, transverse cracking, alligator cracking,
209 raveling, potholes, and patching) (Figure 4).
- 210 • Four CNNs (CNN2) that quantified the severity of each type of distress classified by
211 CNN1. These CNNs determine the geometric features – area, length, and width – of
212 longitudinal cracks, transverse cracks, potholes, and patches. In the case of alligator
213 cracking and raveling, the whole image was considered as damaged because these
214 types of distress usually took up a large area of the image (>80%).

215 Both methods are based on a ResNet architecture introduced by He et al. (2016) and applied
216 to a variety of image classification problems with excellent results (Carr et al. 2018). During
217 the training stage, researchers considered the Adam optimization algorithm, which calculates
218 an exponential moving average of the gradient and the squared gradient, while having the
219 parameters beta1 and beta2 to control the decay rates of these moving averages, providing an
220 optimization algorithm that can handle sparse gradients on noisy problems (Kingma and Ba
221 2015). Cross-entropy loss function, which increases as the predicted probability diverges
222 from the ground-truth label, is used. The pre-trained weights of the ResNet were used as
223 initial values for training on ImageNet, which is an ongoing research effort to provide
224 researchers around the world with an easily accessible image database (Russakovsky et al.
225 2015). Images were then resized to 256 x 256 pixels to meet the requirements of ImageNet.
226 A learning rate of 0.0001 was selected and a 5-fold cross-validation procedure was used to
227 estimate the accuracy of the model on new data.

228 Particularly, this study used the ResNet34 architecture. The first step on the ResNet before
229 entering the common layer behavior is a block (Conv1) consisting of a convolution, batch
230 normalization, and max pooling operation based on a kernel size of 7 and a feature map size
231 of 64. Taking these parameters into account, the output size of that operation will be a
232 (112×112) volume. Since each convolution filter (of the 64) is providing one channel in the
233 output volume, the result is (112x112x64) output volume. The next step is the batch
234 normalization, which is an element-wise operation and therefore does not change the size of
235 the volume. In this way, a (3x3) max pooling operation with a stride of 2 is applied.

236 The ResNet consists of four blocks following the same pattern. Each block performs a 3x3
237 convolution with a fixed feature map dimension (64, 128, 256, 512) respectively, bypassing
238 the input every 2 convolutions. Furthermore, the width and height dimensions remain

239 constant during the entire layer. The process yields 32 hidden layers, which along with the
240 initial 2 hidden layers total 34 hidden layers. Finally, an average pooling layer and a dense
241 layer are used to extract the final features and classify the image. The total number of
242 floating-point operations per second (FLOPS) is 3.6×10^9 .

243 CNN1 addressed a multi-label classification problem that aimed to identify all distress
244 instances that appear in a single image. To do so, all images were labeled using one-hot
245 encoding using ones to denote the presence of determined distress. The Network was trained
246 using binary cross entropy loss. After training the network, researchers selected a desirable
247 threshold rate for false positives by using the Receiver Operating Characteristic (ROC) curve.
248 A ROC curve displays the performance of a classification model at all classification
249 thresholds. This curve plots two parameters, the True Positive Rate (TPR) and the False
250 Positive Rate (FPR), from which researchers selected a threshold aimed at minimizing FPR
251 and ensuring a high TPR. After the multi-label classification provided by CNN1, the second
252 CNN (CNN2) assessed only those images with distresses as the objective of this stage was
253 to measure the severity of each damage in terms of geometric dimensions – length, width,
254 and area –.

255 This study implemented all experiments using the Pytorch framework and two NVIDIA RTX
256 2080 GPUs. The Python code, models, and full results are available at
257 <https://github.com/MarioProjects/MnMsCardiac> (Parreño-Lara et al. 2021).

258 **RESULTS**

259 **Training**

260 Deep Learning requires a great amount of data to train a model so that removing a part of the
261 database for validation poses a problem of underfitting. By reducing the training data, a risk
262 of losing important patterns/trends in data set exists, which in turn increases error induced by

263 bias. In this context arises K-Fold cross-validation which is a method that provides a wide
264 dataset for training the model and also leaves a wide dataset for validation. In K-Fold cross
265 validation, the data is divided into k subsets. The holdout method is repeated k times, such
266 that each time, one of the k subsets is used as the test set/validation set and the other k-1
267 subsets are put together to form a training set. The error estimation is averaged over all k
268 trials to get total effectiveness of the model.

269 This study employed a 5-fold cross-validation procedure to obtain more reliable results. To
270 conduct this procedure, researchers split the available sample into five parts, using four parts
271 for training and the fifth part for validation. Excluding the validation fold from the training
272 task, the researchers calculated the global metrics by averaging the results of varying the
273 validation fold among the five possible combinations.

274 The accuracy of the trained Convolutional Neural Networks was assessed through four
275 parameters: (i) *Precision*; (ii) *Recall*; (iii) *F1 score*; and (iv) *Intersection over Union (IoU)*.

276 Precision is the ratio of correctly predicted positive observations to the total predicted
277 positive observations (Eq. 1), whereas Recall is the ratio of correctly predicted positive
278 observations to all observations (Eq. 2). F1 score is the weighted average of Precision and
279 Recall, considering, therefore, both false positives and false negatives (Eq. 3). Finally,
280 Intersection over Union (IoU) is an evaluation metric used to measure the accuracy of an
281 object detector on a particular dataset. This parameter compares the ground-truth pixels (i.e.,
282 the hand labeled pixels from the testing set that specify where in the image the distress is)
283 and the predicted pixels (Eq. 4).

$$Precision = TP / (TP + FP) \quad (1)$$

$$Recall = TP / (TP + FN) \quad (2)$$

$$F1\ score = \frac{2 \cdot Recall \cdot Precision}{Recall + Precision} \quad (3)$$

$$IoU = \frac{Common\ pixels\ between\ Ground - truth\ and\ Prediction}{Ground - truth\ pixels + Predicted\ pixels} \quad (4)$$

284 where True Positives (TP) are the correctly predicted images with distresses; True Negatives
285 (TN) are the correctly predicted images without distresses; False Positives (FP) are images
286 predicted as damaged but actually there is no distress; and False Negatives (FN) are images
287 with distresses predicted as without damage.

288 The first multi-label classification network (CNN1) yielded results of 0.9317 precision,
289 0.9252 recall, and 0.9262 F1 score. Figure 5 shows the ROC curve for CNN1. This result
290 led to the choice of a threshold of 0.8% for false positives, which meant that four of every
291 1,000 images that did not contain distresses were classified with at least one “damage”,
292 leaving the precision for true positives still very high at 92.35%. CNN2, which focused on
293 distress quantification via image segmentation, yielded a global intersection over union (IoU)
294 of 0.6821 for longitudinal cracks, 0.6709 for transverse cracks, 0.8760 for patches and 0.6870
295 for potholes.

296 Table 2 shows the results for each class for CNN1, where the precision for each of the
297 different types of distresses is higher than 0.9. These high values are a result of the quality of
298 the data: the collected images for training have the same lighting conditions, the video camera
299 was set at a constant position, and damages were sufficiently distinct. Pavement inspections
300 can replicate this uniformity easily by conducting data collection under the proper weather
301 and lighting conditions. Doing so produces a high-quality dataset with minimal variability
302 among the classes, resulting in highly accurate and reliable classifications.

303 Among the incorrect classifications, 1.6% of transverse cracking images, mainly presenting
304 wide crack widths, were mislabeled as potholes, while some images initially classified as

305 raveling and potholes were actually longitudinal cracks. These latter improper classifications
306 usually occurred when the distress was at the edges of the image. Additionally, some potholes
307 that occupied a large area of the image were wrongly classified as raveling and patching.
308 Researchers also measured fold training times and inference times. The average Resnet34
309 inference time was 0.005 seconds, and the training time per fold was 2.5 hours, while this
310 training time for distress quantification was around 1.25 hours per fold.

311 **Validation**

312 The performance of the proposed method was assessed considering an unseen dataset, i.e.,
313 images not used in training. This dataset, consisting of a total of 12,788 images, was obtained
314 from a 4.262 km road section of the data collection that was not considered for training.
315 Particularly, these images were used to validate only CNN1 that aims to identify and classify
316 the diverse types of distress existing in an image or block. To determine the accuracy of the
317 proposed method, the parameters of Precision, Recall, and F1 score were estimated resulting
318 in 0.9733, 0.9146, and 0.9431, respectively. Comparing these values with those obtained in
319 training, it can be concluded that the method proposed in this study is able to accurately
320 identify and classify urban pavement distresses.

321 **DISCUSSION**

322 Currently, urban pavement assessment is performed through visual inspections that leads to
323 a subjective evaluation of pavement condition. To minimize this subjectivity and achieve a
324 more efficient pavement assessment, various studies have recently considered using image
325 processing techniques to identify distresses.

326 The most accurate, reliable, and efficient methods are those based on Deep Learning,
327 particularly through the application of Convolutional Neural Networks (Zhang et al. 2016;
328 Wang and Hu 2017; Jenkins et al. 2018; Carr et al. 2018; Park et al. 2019), but most of these

329 studies focused only on crack detection. By contrast, this research presents a two-step
330 procedure consisting of two concatenated Convolutional Neural Networks to automatically
331 identify and quantify not only longitudinal and transverse cracks, but also alligator cracking,
332 raveling, potholes, and patching. As a result, the proposed procedure allows pavement
333 engineers to identify and classify pavement urban distresses with an precision of more than
334 0.93 on average.

335 This study also used a total of 29,846 pavement images, many more than the number of
336 images considered in previous studies (Table 1). This research required a greater number of
337 pavement images because it included more types of distresses, and this high-quality and
338 extensive dataset led to a highly accurate classification of the different urban pavement
339 distresses.

340 The findings of this research yielded a new methodology for assessing urban pavement
341 condition automatically (Figure 6). This procedure consists mainly of the four steps of the
342 research method defined in this study and an additional stage focused on pavement condition
343 estimation. The main strength of this methodology is that it allows pavement engineers to
344 perform a more efficient and reliable pavement assessment, minimizing the cost and time
345 required for the current visual surveys.

346 A recent pilot study in the city of Valencia surveyed a total of 50 km of urban roads. The
347 data collection took approximately 5 hours, and the data reduction and distress classification
348 and quantification took 18 hours (corresponding with stages 1-4). This automatic inspection
349 took a full-time expert approximately three days, while a manual visual inspection of these
350 same urban roads might have taken the same full-time expert up to four weeks.

351 The first stage of the proposed methodology is the automatic pavement data collection
352 through video cameras installed on a specific vehicle or even on public vehicles, such as

353 police cars or public transport buses. It is required to record the pavement from a zenithal
354 position – at 1.4 m high – to avoid image distortion and, additionally, to set the camera to 30
355 fps to ensure the recording of the entire road length at the maximum urban speed – 50 km/h
356 –. The second stage involves processing the video to extract the pavement images and
357 dividing each frame into blocks of 256 x 256 pixels. A script programmed in Python performs
358 this stage automatically, removing duplicated images when the vehicle stops – e.g., at traffic
359 lights –. The third stage consists of identifying and classifying pavement distresses by
360 analyzing each block through the first CNN (CNN1) proposed in this study. This technic is
361 able to predict all types of distress included in each image, i.e., it consists in a multi-labeling
362 task. After their identification, the different distresses must be quantified – length and width
363 of cracks and area of raveling, potholes, and patching –. This task is developed by the second
364 CNN trained in this study (CNN2). Finally, the last step of the proposed methodology aims
365 at estimating the condition of the pavement. Among the diverse indexes proposed in the
366 literature, the Pavement Condition Index (PCI) (ASTM 2003) is the most commonly used.
367 Although this index depends on a total of 19 types of distresses, it might be used to estimate
368 urban pavement condition because the method proposed in this study is able to identify the
369 most common urban pavement distresses. Nevertheless, other indexes such as the Urban
370 Pavement Condition Index (UPCI) (Osorio et al., 2014) might be used. Regardless the used
371 index, the most important contribution of this research is that the proposed method provides
372 the data needed to estimate these indexes, i.e., pavement distress identification and
373 quantification.

374 **CONCLUSIONS AND FURTHER RESEARCH**

375 A critical step in managing road pavement is the assessment of pavement condition. Although
376 diverse objective indexes (e.g., IRI) are collected automatically to assess pavement condition

377 on rural roads, the most commonly used method in urban environments is the visual survey
378 conducted by a technician, which introduces a certain degree of subjectivity into the
379 assessment.

380 To minimize this subjectivity, this study proposes a new two-step procedure to identify and
381 quantify road distresses through the application of Convolutional Neural Networks. While
382 most previous research focused only on crack detection, this method can classify the most
383 common urban road distresses (longitudinal, transverse, and alligator cracks, raveling,
384 potholes, and patches) and quantify their severity – geometric features –.

385 This procedure consists of two concatenated CNNs. The first one identifies all urban
386 pavement distresses that an image contains with 0.9317 precision, 0.9252 recall, and 0.9262
387 F1 score. The second CNN quantify the severity of each predicted distress in those images
388 containing longitudinal cracks, transverse cracks, potholes, and/or patches. As a result, the
389 IoU of the distresses quantification is more than 0.65 for all cases. Therefore, the proposed
390 two-step procedure consisting of two concatenated convolutional neural networks yields a
391 highly accurate and reliable classification of urban pavement distresses.

392 Finally, a new methodology to automatically assess pavement condition is proposed,
393 consisting of five stages: (i) pavement data collection by video cameras installed at the rear
394 of a vehicle to ensure a zenithal position, (ii) automatic video filtering and image
395 preprocessing to produce 256-x-256-pixel images, (iii) classification of pavement distresses,
396 (iv) quantification of pavement distresses, and (v) an estimation of pavement condition.

397 A pilot study based on stages i-iv of this methodology showed that this approach allows
398 pavement engineers to perform a more efficient and reliable pavement assessment,
399 minimizing the cost and time required by the current visual surveys.

400 Although the findings of this study are encouraging, further research is needed to cover some
401 limitations of this study. The trained Convolutional Neural Networks can only identify those
402 distresses associated with changes in the image properties, i.e., texture, contrast, brightness,
403 and so on. However, those distress types that do not present a deterioration on the pavement
404 surface, such as shoving or rutting, cannot be detected by using the proposed procedure
405 focused on two-dimensional images. Three-dimensional image processing and the analysis
406 of z-acceleration experienced by the vehicle may help to solve this issue. One interesting
407 solution might be to embed an accelerometer in the video camera (Coenen and Golroo 2017).
408 The images used for training in this study were collected under favorable weather and
409 lighting conditions, but underexposed or overexposed images might not be classified
410 properly. These images usually occur when the vehicle moves from darkness into light and
411 vice versa, yielding a sudden large lighting variation. Therefore, researchers need to collect
412 additional images under non-favorable conditions to extend the testing and application of the
413 proposed procedure. Applying this methodology to rural roads would require the use of a
414 more sophisticated video camera to avoid blurred images during pavement data collection.

415 **DATA AVAILABILITY STATEMENT**

416 The pavement deterioration image dataset that supports the findings of this study is available
417 from the corresponding author upon reasonable request, whereas the code of the
418 Concatenated Convolutional Neural Network is available at
419 <https://doi.org/10.5281/zenodo.4738913>.

420 **ACKNOWLEDGMENTS**

421 The study presented in this paper is part of the research project titled “SIMEPU – Sistema
422 Integral de Mantenimiento Eficiente de Pavimentos Urbanos”, funded by the Spanish
423 Ministries of Science and Innovation and Universities, as well as the European Regional

424 Development Fund under Grant RTC-2017-6148-7. The authors also acknowledge the
425 support of partner companies “Pavasal Empresa Constructora, S.A.” and “CPS
426 Infraestructuras, Movilidad y Medio Ambiente, S.L.” and the Valencia City Council.

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520 **Table 1.** Studies using CNNs for pavement crack detection

Study	Camera	Resolution (pixels)	# images	Block (pixels)
Zhang et al. (2016)	Smartphone	3264 x 2448	500	99 x 99
Wang and Hu (2017)	iPhone 6	960 x 704	510	32 x 32 64 x 64
Jenkins et al. (2018)	iPhone 5	480 x 320	118	572 x 572
Carr et al. (2018)	iPhone	4000 x 3000	118	480 x 340
Maeda et al. (2018)	LG Nexus 5X	600 x 600	9,053	600 x 600
Park et al. (2019)	Black Box Camera	1920 x 1080	664	40 x 40

521

522 **Table 2.** Per-class multi-label metrics

Distress	Precision	Recall	F1 Score
Road Marking	0.9872	0.9824	0.9833
Manhole	0.9562	0.9504	0.9513
Longitudinal cracking	0.9124	0.9111	0.9115
Transverse cracking	0.9189	0.9177	0.9179
Alligator cracking	0.9200	0.9194	0.9194
Raveling	0.9056	0.9044	0.9046
Potholes	0.9134	0.9122	0.9122
Patching	0.9128	0.9125	0.9128

523

524 **FIGURE CAPTIONS**

525 **Fig. 1.** Convolutional Neural Network structure.

526 **Fig. 2.** Instrumented vehicle for data collection.

527 **Fig. 3.** Image preprocessing: (a) raw image; (b) filtered image; (c) division of the image into
528 blocks.

529 **Fig. 4.** Two-step CNN procedure.

530 **Fig. 5.** ROC Curve for classification task.

531 **Fig. 6.** Methodology for pavement condition assessment.